

I.A.: OVERVIEW BY09

Descriptive Information BY09

Template Name	BY2009
Investment Name	ESA - OWCP-Energy IT System
Investment Revision Number	15
Is this investment a consolidated business case?	No
Point of Contact	
Revision Comment	
Date of Submission	9/10/2007
Agency	Department of Labor
Bureau	ESA - OWCP
Name of this Capital Asset	ESA - OWCP-Energy IT System
Exhibit 53 Part	IT Investments by Mission Area
OMB Investment Type	01 - Major Investment
OMB Exhibit 53 Major Mission Area	Financial Management
What kind of investment will this be in this Budget Year?	Mixed Life Cycle
OMB Short Description	Provides lump sum compensation/medical benefits to covered employees or their qualifying survivors incurring injury resulting from workplace exposure to radiation/beryllium/silica, in support of congressional mandate.

Screening Questions BY09

Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

The Energy IT system is a mixed lifecycle mission-critical investment supporting the Office of Workers' Compensation Programs (OWCP) Energy Employees Occupational Illness Compensation Program (EEOICP). The investment is comprised of the steady state Energy Case Management System (ECMS) and the DME Unified ECMS (U-ECMS), being developed to fill the gap with an integrated system that addresses both Parts B and E benefits. The systems provide authorized program staff with case create, tracking, query, and report generation functionality.

The development contract began a few months later in FY 2007 than originally planned due to procurement delays and the need for an extension to the requirements phase to allow the new contractor to do a thorough review of requirements. Since that delay EEOICP has finalized an integrated baseline review to bring together the WBS's of two companies and three ESA organizations. The delayed contract plus the work on the integrated schedule has resulted in a shift of projected spending for this mandatory (no year) and indefinite (such sums as needed) funded investment from FY2007 to FY 2008 and FY 2009, and a proposed (and extremely detailed) re-baseline for the project allowing the Project Manager to more easily see and manage dependencies between the various organizations. More information on the pending rebaseline is in the UECMS Rebaseline Justification document available from DOL's OCIO.

The EEOICP's mission is to provide a lump sum payment of \$150,000 and medical benefits to workers who became seriously ill from exposure to beryllium, silica, or radiation while working for the Department of Energy (DOE), or its contractors. Additionally EEOICP oversees payment of wage loss compensation and compensation based on an impairment rating to DOE contractor employees with an occupational illness due to exposure to a toxic substance at a DOE facility.

Two core functions: Adjudicate Claims and Pay Compensation Benefits, support the Services for Citizens business area of the FEA Business Reference Model (BRM). Within the Services for Citizens business area, the program's operations support the Income Security Line of Business (LOB). This LOB includes activities designed to ensure that members of the public are provided with the necessary means to sustain an adequate level of existence. OWCP programs directly support two sub-functions of this LOB: General Retirement and Disability and Survivor Compensation.

Did the Agency's Executive/Investment Committee approve this request?	Yes
If "yes," what was the date of this approval?	7/5/2007
Did the Project Manager review this Exhibit?	Yes
Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.	Yes
Will this investment include electronic assets (including computers)?	Yes

Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only) No

If "yes," is an ESPC or UESC being used to help fund this investment?

If "yes," will this investment meet sustainable design principles?

If "yes," is it designed to be 30% more energy efficient than relevant code?

Does this investment directly support one of the PMA initiatives? Yes

If "yes," check all of the PMA initiatives that apply: Expanded E-Government
Financial Performance

Is this investment for information technology? Yes

Briefly describe how this asset directly supports the identified initiative(s)? In support of the financial performance PMA goal, the Energy IT investment improves accuracy and streamlines/consolidates processes to maintain low operating costs and reduce erroneous payments. The E-Gov goal is supported by allowing users to obtain information regarding claims filing procedures and eligibility. Through the common Government Benefits portal, citizens can retrieve information regarding claims filing procedures, eligibility and other program information.

IT Screening Questions BY09

If the answer to Question, "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance): (1) Project manager has been validated as qualified for this investment

If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities? N/A

Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval? Yes

I.B.: SUMMARY OF SPENDING BY09

Summary of Spending BY09

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in thousands, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

REDACTED EXHIBIT 300 DME/Mixed BY09: ESA - OWCP-Energy IT System (Revision ...

	2001	2002	2003	2004	2005	2006	PY 2007	CY 2008	BY 2009
Planning									
Budgetary Resources	0	5018	835	1337	172	1906	446	640	0
Acquisition									
Budgetary Resources	0	6066	3097	3217	3589	0	2260	656	3560
Subtotal Planning & Acquisition									
Budgetary Resources	0	11084	3932	4554	3761	1906	2706	1296	3560
Operations & Maintenance									
Budgetary Resources	0	5036	3028	4536	8646	8129	5541	10396	10599
TOTAL									
Budgetary Resources	0	16120	6960	9090	12407	10035	8247	11692	14159
Government FTE Costs									
Budgetary Resources	0	1100	1130	1310	1519	1846	1922	1978	2013
Planning									
Budgetary Resources	0	0	0	0	0	0	0	0	0
Acquisition									
Budgetary Resources	0	0	0	0	0	0	0	0	0
Maintenance									
Budgetary Resources	0	1100	1130	1310	1519	1846	1922	1978	2013

Full time Equivalents BY09

Use the following table to provide the number of Government Full Time Equivalents (FTE) represented by the Government FTE Costs in the Summary of Spending Table. Numbers should be entered in decimal format for each of the categories listed.

	2001	2002	2003	2004	2005	2006	PY 2007	CY 2008	BY 2009
Security									
IT									
Financial Management									
Program Management									
Other									
Total*									

I.C.: ACQUISITION/CONTRACT STRATEGY BY09

Sensitive Data

* * * SENSITIVE DATA: This information was omitted completely * * *

I.D.: PERFORMANCE INFORMATION BY09

Sensitive Data

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I.E.: SECURITY AND PRIVACY BY09

Sensitive Data

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I.F.: ENTERPRISE ARCHITECTURE (EA) BY09

General EA Questions BY09

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target enterprise architecture? Yes

If "no," please explain why this investment is not included in the agency's EA Transition Strategy?

Is this investment included in the agency's EA Transition Strategy? Yes

Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc). If so, please describe.

Yes. The Energy system leverages existing components across the Government to reuse existing IT investment by maintaining a presence on the Govbenefits.gov website. Frequently Asked Questions and other information regarding the Energy program can be accessed there.

If "yes," please describe how the application will leverage existing components and/or applications across the Government.

Yes. This investment leverages the following existing applications across the Government:

- Labor's GovBenefits.Gov as a portal for citizens to find information about Federal benefits they may be entitled to. The DEEOIC program is included on this site.
- Treasury's Secure Payment System (SPS) for transmitting and certifying payment files.
- DOL/ESA's general support system (GSS) houses the investment's application and provides development tools.
- DOL/ESA's Login Component - provides a singly-developed/managed access component to guarantee consistent authentication security for all MAs housed on the GSS.
- OWCP's Central Bill Process (CBP) - an outsourced business process shared by 3 OWCP investments to process medical bills.

II.A.: ALTERNATIVES ANALYSIS BY09

Analysis Background BY09

Part II is completed only for investments identified as "Planning" or "Full Acquisition" or "Mixed Life-Cycle" investments in

response to Part I above.

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments, and the Clinger Cohen Act of 1996 for IT investments, to determine the criteria you should use in your Benefit/Cost Analysis.

Did you conduct an alternatives analysis for this project? Yes

II.B.: RISK MANAGEMENT BY09

Risk Management Plan BY09

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan? Yes

What is the date of the risk management plan? 6/4/2007

II.C.: COST AND SCHEDULE PERFORMANCE BY09

Sensitive Data

* * * SENSITIVE DATA: This information was omitted completely * * *