

OCFO - DOLAR\$ System Maintenance

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information and Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

Date of Submission	12/29/2006
Agency	Department of Labor
Bureau	DM - OCFO
Name of this Capital Asset	OCFO - DOLAR\$ System Maintenance
OMB Investment Type	01 - Major Investment
What kind of investment will this be in this Budget Year?	Operations and Maintenance

Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

The DOL Accounting and Related System (DOLAR\$) is the DOL centralized accounting system of record and general ledger. It's based on FEDERAL SUCCESS, a COTS package which DOL customized and implemented in 1989. The source code is written in COBOL and it resides on a mainframe based central processor at the SunGard Data Services in Voorhees, New Jersey. Users gain access via the DOL Employee Computer Network (ECN) which is owned and operated by the Office of the Asst. Secretary for Admin. and Mgt. (OASAM), IT Center, Office of Technical Services.

DOLAR\$ has since been enhanced to meet subsequent Federal financial management statutes, policies and regulations. It provides centralized and standardized financial management information-a key to enabling DOL and its agencies to comply with federally mandated requirements for core accounting and financial management functions and to meet their business information needs. With DOLAR\$, DOL has received unqualified audit opinions for nine consecutive years.

The OCFO was planning to implement the Labor Executive Accountability Program (LEAP) to replace DOLAR\$ and agency ancillary systems in order to provide consistent, timelier data and better financial services to DOL. LEAP was to become the system of record on 10/1/2008; however, due to a lack of funding for FY2007, the LEAP investment has been suspended. Thus, DOLAR\$ will continue as the system of record until a replacement system is identified and implemented. To ensure an appropriate transition and disposition of DOLAR\$, it will continue for 18 months after the new system implementation. The two systems must run parallel for six months after the new system has successfully functioned prior to beginning the decommissioning of DOLAR\$. Then it will take six to twelve months to fully decommission DOLAR\$ and achieve disposition.

FY2008 activities consist of on-going system operations and maintenance to operate DOLAR\$ and mainframe host services. As it will be the system of record longer than anticipated, additional funds are needed to ensure DOLAR\$ continues to perform at the current service level. Thus, the O&M funding request for 2008 is greater than 2007. Additional activities include upgrading the network as well as necessary software upgrades to the application. This also includes \$58,000 to implement NIST 800-53 and OMB M-06-16 security control.

Did the Agency's Executive/Investment Committee approve this request? Yes

If "yes," what was the date of this approval? 6/29/2006

Did the Project Manager review this Exhibit? Yes

Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.	Yes
Will this investment include electronic assets (including computers)?	Yes
Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	No
If "yes," is an ESPC or UESC being used to help fund this investment?	
If "yes," will this investment meet sustainable design principles?	
If "yes," is it designed to be 30% more energy efficient than relevant code?	
Does this investment directly support one of the PMA initiatives?	Yes
If "yes," check all of the PMA initiatives that apply:	Budget Performance Integration, Financial Performance
For information technology investments only:	
Is this investment for information technology?	Yes
Briefly describe how this asset directly supports the identified initiative(s)?	The ongoing maintenance of DOLAR\$ is critical to comply with internal operating requirements and other federal agency requirements. In FY 2004, the OCFO implemented the Cost Analysis Manager (CAM). CAM represents a set of processes for accumulating, measuring, analyzing, interpreting, and reporting information about the cost to perform a DOL activity.
If the answer to Question, "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.	
What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance):	(1) Project manager has been validated as qualified for this investment
If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	N/A
Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	Yes

Section B: Summary of Spending (All Capital Assets)

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The

"TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

**Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES
(REPORTED IN MILLIONS)**
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY - 1 and Earlier	PY 2006	CY 2007	BY 2008
Total Budgetary Resources	20.01	4.592	3.5	4.5

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

Section C: Acquisition/Contract Strategy (All Capital Assets)

Sensitive Data

*** SENSITIVE DATA: This information was omitted completely ***

Section D: Performance Information (All Capital Assets)

Sensitive Data

*** SENSITIVE DATA: This information was omitted completely ***

Section E: Security and Privacy (IT Capital Assets Only)

Sensitive Data

*** SENSITIVE DATA: This information was omitted completely ***

Section F: Enterprise Architecture (EA) (IT Capital Assets Only)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target Yes
enterprise architecture?

If "no," please explain why this investment is not included in your agency's target enterprise architecture?

This initiative is identified in the Departmental Enterprise Architecture. This initiative will continue to sustain DOLAR\$ as the IT solution to meet its financial business processes, external requirements, and user needs until implementation of a new replacement financial management system. In addition, the DOLAR\$ investment was developed, reviewed, and approved by OCFO's internal governance process which includes reviews for EA, Security, and Capital Planning. The current OCFO Baseline and Target

EAs were developed in November 1999. Because of the older technology of DOLAR\$, it is not practical to re-engineer the system to provide the needed functionality of a fully FSIO-compliant system that offers all of the features of the newer COTS packages. A New Core Financial Management System (NCFMS) is needed to bring the DOL accounting system into compliance with the FSIO requirements. In accordance with the OCFO's "to be" plan to modernize DOL's central accounting system, the OCFO incorporated modern interface technology to improve the utility of DOLAR\$, and in FY 2003, the OCFO began efforts to replace DOLAR\$ with an EA-compliant core financial management system.

Is this investment included in the agency's EA Transition Strategy? Yes

Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

No.

If "yes," please describe.

Does this investment provide the public with access to a government automated information system? No

If "yes," does customer access require specific software (e.g., a specific web browser version)?

If "yes," provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services).

Part III: For "Operation and Maintenance" Investments Only (Steady State)

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Part I, Section A above.

Section A: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan? Yes

What is the date of the risk management plan? 8/16/2006

Section B: Cost and Schedule Performance (All Capital Assets)

Sensitive Data

*** SENSITIVE DATA: This information was omitted completely ***