

49 CFR part or section containing information collection requirement	OMB control No.
Section 571.125	2127-0506
Section 571.205	2127-0038
Section 571.209	2127-0512
Section 571.213	2127-0511
Section 571.214	2127-0558
Section 571.217	2127-0505
Section 571.218	2127-0518
Part 573	2127-0004
Part 574	2127-0050
Part 575 excluding UTQGS	2127-0049
Section 575.104 (UTQGS)	2127-0519
Part 576	2127-0042
Part 580	2127-0047
Part 585	2127-0535
Parts 591 and 592	2127-0002

[57 FR 21215, May 19, 1992]

PART 510—INFORMATION GATHERING POWERS

- 510.1 Scope and purpose.
- 510.2 Definitions.
- 510.3 Compulsory process, the service thereof, claims for confidential treatment, and terms of compliance.
- 510.4 Subpoenas, generally.
- 510.5 Information gathering hearings.
- 510.6 Administrative depositions.
- 510.7 General or special orders.
- 510.8 Written requests for the production of documents and things.
- 510.9 Motions to modify, limit, or quash process.
- 510.10 Supplementation of responses to process.
- 510.11 Fees.
- 510.12 Remedies for failure to comply with compulsory process.

AUTHORITY: Secs. 112 and 119, National Traffic and Motor Vehicle Safety Act 1966, as amended (15 U.S.C. 1401 and 1407); secs. 104, 204, 414, and 505, Motor Vehicle Information and Cost Savings Act, as amended (15 U.S.C. 1914, 1944, 1990d, and 2005); delegation of authority (49 CFR 1.51).

SOURCE: 45 FR 29042, May 1, 1980, unless otherwise noted.

§ 510.1 Scope and purpose.

This rule governs the use of the information gathering powers of the National Highway Traffic Safety Administration contained in section 112 of the National Traffic and Motor Vehicle Safety Act of 1966, as amended 15 U.S.C. 1401, and sections 104, 204, 414, and 505 of the Motor Vehicle Information and Cost Savings Act, as amended 15 U.S.C. 1914, 1944, 1990d, and 2005.

§ 510.2 Definitions.

- (a) *NHTSA* means the National Highway Traffic Safety Administration.
- (b) *Administrator* means the Administrator of the National Highway Traffic Safety Administration.
- (c) *Chief Counsel* means the Chief Counsel of the National Highway Traffic Safety Administration.
- (d) *Deputy Administrator* means the Deputy Administrator of the National Highway Traffic Safety Administration.
- (e) *Person* includes agents, officers, and employees of sole proprietorships, partnerships, corporations, and other entities.

[45 FR 29042, May 1, 1980; 45 FR 32001, May 15, 1980]

§ 510.3 Compulsory process, the service thereof, claims for confidential treatment, and terms of compliance.

(a) NHTSA may use any of the following means to conduct investigations, inspections, or inquiries to obtain information to carry out its functions under the National Traffic and Motor Vehicle Safety Act of 1966, as amended, 15 U.S.C. 1381 *et seq.*, and the Motor Vehicle Information and Cost Savings Act, as amended, 15 U.S.C. 1901 *et seq.*:

- (1) Subpoenas;
- (2) Information gathering hearings;
- (3) Administrative depositions;
- (4) General or special orders; and
- (5) Written requests for the production of documents and things.

(b) A person, sole proprietorship, partnership, corporation, or other entity served with compulsory process under this part shall be provided with the following information at the time of the service:

- (1) The name of the person, sole proprietorship, partnership, corporation, or other entity to which the process is addressed;
- (2) The statutory provision under which the compulsory process is issued;
- (3) The date, time, and place of return;
- (4) A brief statement of the subject matter of the investigation, inspection, or inquiry; and

§510.4

(5) In the case of a subpoena *duces tecum* or a written request for the production of documents and things, a reasonably specific description of the documents or things to be produced.

(c) Service of the compulsory processes specified in paragraph (a) of this section is effected:

(1) By personal service upon the person, agent-in-charge, or agent designated to receive process under 15 U.S.C. 1399(e) of the sole proprietorship, partnership, corporation or other entity being investigated, inspected, or inquired of; or

(2) By mail (registered or certified) or delivery to the last known residence or business address of such person or agent.

(d) The date of service of any compulsory process specified in paragraph (a) of this section is the date on which the process is mailed by the agency, or delivered in person, as the case may be. Whenever a period is prescribed for compliance with compulsory process, and the process is served upon the party by mail, 3 days are added to the period.

(e)(1) Any person, sole proprietorship, partnership, corporation, or other entity submitting information or producing documents or things in response to any compulsory process issued under this part may request confidential treatment for all or part of that information or for those documents or things.

(2)(1) Except as provided in paragraph (e)(2)(ii) of this section, requests for confidentiality shall be in writing, and addressed to the Chief Counsel.

(ii) Requests for confidentiality made during an information gathering hearing or an administrative deposition may be made orally to the presiding officer. Any oral request for confidentiality shall be supplemented by a written request, and this written request must be addressed to the Chief Counsel and received by NHTSA within five days of the date of the oral request.

(iii) A written request for confidentiality under paragraph (e) of this section shall specify the information, documents, or things which are to be kept confidential, specify the grounds upon which the claim is based, provide such information as may be necessary to

49 CFR Ch. V (10-1-02 Edition)

permit the NHTSA to determine whether the claim is valid, and specify the period of time for which confidential treatment is requested.

(f) The Chief Counsel, or his or her delegate, is authorized to negotiate and approve the terms of satisfactory compliance with any compulsory process issued under this part.

§510.4 Subpoenas, generally.

NHTSA may issue to any person, sole proprietorship, partnership, corporation, or other entity a subpoena requiring the production of documents or things (subpoena *duces tecum*) and testimony of witnesses (subpoena *ad testificandum*), or both, relating to any matter under investigation or the subject of any inquiry. Subpoenas are issued by the Chief Counsel. When a person, sole proprietorship, partnership, corporation, or other entity is served with a subpoena *ad testificandum* under this part, the subpoena will describe with reasonable particularity the matters on which the testimony is required. In response to a subpoena *ad testificandum*, the sole proprietorship, partnership, corporation, or other entity so named shall designate one or more officers, directors, or managing agents, or other persons who consent to testify on its behalf, and set forth, for each person designated, the matters on which he or she will testify. The persons so designated shall testify as to matters known or reasonably available to the entity.

[53 FR 26261, July 12, 1988]

§510.5 Information gathering hearings.

(a) NHTSA may issue a subpoena to compel any person, sole proprietorship, partnership, corporation, or other entity to provide information at an information gathering hearing. The subpoenas are used for the purpose of obtaining testimony from a witness under oath and obtaining relevant documents and things. The Administrator, or a NHTSA employee designated by the Administrator, presides at the hearing. Information gathering hearings are open to the public unless the presiding officer rules otherwise, and