

400 Seventh Street, S.W. Washington, D.C. 20590

Pipeline and Hazardous Materials Safety Administration

DOT-SP 11244 (EIGHTH REVISION)

EXPIRATION DATE: February 28, 2011

(FOR RENEWAL, SEE 49 CFR § 107.109)

1. GRANTEE: Niwot

Niwot Technologies, LLC (Former Grantee: Supercritical Thermal Systems Inc. (STS) Longmont, CO

2. PURPOSE AND LIMITATIONS:

- a. This special permit authorizes the manufacture, marking, sale, and use of non-DOT specification titanium alloy cylinders for the transportation in commerce of air, refrigerated liquid. This special permit provides no relief from the Hazardous Materials Regulations (HMR) other than as specifically stated herein.
- b. The safety analyses performed in development of this special permit only considered the hazards and risks associated with transportation in commerce.
- Party status will not be granted to this special permit.
- 3.. REGULATORY SYSTEM AFFECTED: 49 CFR Parts 106, 107 and 171-180.
- 4. REGULATIONS FROM WHICH EXEMPTED: 49 CFR § 173.316(c) in that a non-DOT specification cylinder is not authorized, except as specified herein.
- 5. <u>BASIS</u>: This special permit is based on Niwot Technologies, LLC application dated March 12, 2007, submitted in accordance with § 107.109.

6. HAZARDOUS MATERIALS (49 CFR § 172.101):

Hazardous Materials Description			
Proper Shipping Name	Hazard Class/ Division	Identi- fication Number	Packing Group
Air, refrigerated liquid, (cryogenic liquid)	2.2	UN1003	N/A

7. SAFETY CONTROL MEASURES:

- a. PACKAGING Packaging prescribed is a non-DOT specification, insulated, 4 liter capacity cylinder constructed of titanium alloy with an aluminum alloy vacuum shell. Cylinders must be in conformance with ADD's drawing 529-101 on file with the Office of Hazardous Materials Special Permits and Approvals (OHMSPA) and with the DOT 4L Specification (§§ 178.35 & 178.57) except as follows:
- § 178.35(e) Pressure relief devices and pressure control valves.

Each cylinder must be equipped with two relief valves, one set between 750 to 800 psi and another at 950 psi.

- \$178.35(f) Marking.
 - (1) Cylinders must be marked "DOT-SP 11244" in place of "DOT-4L".

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- § 178.57(a) Type, size, service pressure, and design service temperature.
 - (1) The maximum service pressure is 1,000 psig.
 - (2) The design service temperature is -320°F.

- § 178.57(b) Material.
 - (1) The inner containment vessel is constructed of Ti-6Al-4V titanium alloy.
 - (2) The outer jacket is constructed of 6061-T6 and/or Type 536 aluminum alloys, or Type 304 stainless steel.
- § 178.57(e) Welding.
 - (5) (Added) Pre-weld preparation procedures must be in conformance with ADD MP-0011.
- \$178.57(f) Wall thickness.
 - (1) Replace 45,000 psig with 60,000 psig.

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§ 178.57(q) Heat treatment.

Stress relief annealing is authorized.

- § 178.57(o) Authorized materials of construction.
 - (1) Inner containment vessel (cylinder). Titanium alloy of uniform quality. Chemical analysis must conform to MIL-T-9047.

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8. <u>SPECIAL PROVISIONS</u>:

- a. In accordance with the provisions of Paragraph (b) of § 173.22a, persons may use the packaging authorized by this special permit for the transportation of the hazardous materials specified in paragraph 6, only in conformance with the terms of this special permit.
- b. A person who is not a holder of this special permit, but receives a package covered by this special permit, may reoffer it for transportation provided no modifications or changes are made to the package and it is offered for transportation in conformance with this special permit and the HMR.

- c. A current copy of this special permit must be maintained at each facility where the package is offered or reoffered for transportation.
- d. Each packaging manufactured under the authority of this special permit must be either (1) marked with the name of the manufacturer and location (city and state) of the facility at which it is manufactured or (2) marked with a registration symbol designated by the Office of Hazardous Materials Special Permits and Approvals for a specific manufacturing facility.
- e. A current copy of this special permit must be maintained at each facility where the package is manufactured under this special permit. It must be made available to a DOT representative upon request.
- f. Each cylinder must be shipped in a strong outside package in accordance with § 173.301(a)(9).
- g. Packagings permanently marked 'DOT-E 11244', prior to October 1, 2007 may continue to be used under this special permit for the remaining service life of the packaging or until the special permit is no longer valid. Packagings marked on or after October 1, 2007 must be marked 'DOT-SP 11244'.
- h. Shipping papers displaying 'DOT-E 11244' may continue to be used until October 1, 2007, provided the special permit remains valid.
- 9. MODES OF TRANSPORTATION AUTHORIZED: Motor vehicle.
- 10. MODAL REQUIREMENTS: A current copy of this special permit must be carried aboard each motor vehicle used to transport packages covered by this special permit.
- 11. <u>COMPLIANCE</u>: Failure by a person to comply with any of the following may result in suspension or revocation of this special permit and penalties prescribed by Federal hazardous materials transportation law 49 U.S.C. 5101 <u>et seq</u>.:
 - All terms and conditions prescribed in this special permit and the Hazardous Materials Regulations, Parts 171-180.

- o Persons operating under the terms of this special permit must comply with the security plan requirement in Subpart I of Part 172 of the HMR, when applicable.
- o Registration required by § 107.601 $\underline{\text{et seq.}}$, when applicable.

Each "Hazmat employee", as defined in § 171.8 who performs a function subject to this special permit must receive training on the requirements and conditions of this special permit in addition to the training required by §§ 172.700 through 172.704.

No person may use or apply this special permit, including display of its number, when this special permit has expired or is otherwise no longer in effect.

Under Title VII of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU)- 'The Hazardous Materials Safety and Security Reauthorization Act of 2005' (Pub. L. 109-59), 119 Stat. 1144 (August 10, 2005), amended the Federal hazardous materials transportation law by changing the term 'exemption' to 'special permit' and authorizes a special permit to be granted up to two years for new special permits and up to four years for renewals.

12. REPORTING REQUIREMENTS: Shipments or operations conducted under this special permit are subject to the Hazardous Materials Incident Reporting requirements specified in 49 CFR §§ 171.15 - Immediate notice of certain hazardous materials incidents, and 171.16 - Detailed hazardous materials incident reports. In addition, the grantee(s) of this special permit must notify the Associate Administrator for Hazardous Materials Safety, in writing, of any incident involving a package, shipment or operation conducted under terms of this special permit.

Issued in Washington, D.C.:

Diane Lavalle

for Bob Richard

Deputy Associate Administrator

for Hazardous Materials Safety

Continuation of DOT-SP 11244 (8th Rev.)

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Address all inquiries to: Associate Administrator for Hazardous Materials Safety, Pipeline and Hazardous Materials Safety Administration, Department of Transportation, Washington, D.C. 20590. Attention: PHH-31.

Copies of this special permit may be obtained by accessing the Hazardous Materials Safety Homepage at http://hazmat.dot.gov/sp_app/special_permits/spec_perm_index.htm
Photo reproductions and legible reductions of this special permit are permitted. Any alteration of this special permit is prohibited.

PO: CWFreeman/sln