

SOCIAL SECURITY ADMINISTRATION

Federal Funds

SPECIAL BENEFITS FOR DISABLED COAL MINERS

General and special funds:

PAYMENTS TO SOCIAL SECURITY TRUST FUNDS

For payment to the Federal Old-Age and Survivors Insurance and the Federal Disability Insurance trust funds, as provided under sections 201(m), 228(g), and 1131(b)(2) of the Social Security Act, **[\$20,308,000]** *\$19,689,000.* (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1998.)

For carrying out title IV of the Federal Mine Safety and Health Act of 1977, **[\$426,090,000]** *\$382,803,000,* to remain available until expended.

For making, after July 31 of the current fiscal year, benefit payments to individuals under title IV of the Federal Mine Safety and Health Act of 1977, for costs incurred in the current fiscal year, such amounts as may be necessary.

For making benefit payments under title IV of the Federal Mine Safety and Health Act of 1977 for the first quarter of fiscal year **[1999, \$160,000,000]** *2000, \$141,000,000,* to remain available until expended. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1998.)

Program and Financing (in millions of dollars)

Identification code 28-0404-0-1-651	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
10.00 Total obligations	6,880	9,650	8,899
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested	8	17	18
22.00 New budget authority (gross)	6,895	9,650	8,899
22.30 Unobligated balance expiring	-5		
23.90 Total budgetary resources available for obligation	6,898	9,667	8,917
23.95 New obligations	-6,880	-9,650	-8,899
24.40 Unobligated balance available, end of year:			
Uninvested	17	18	18
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	31	21	20
Permanent:			
60.05 Appropriation (indefinite)	6,864	9,629	8,879
70.00 Total new budget authority (gross)	6,895	9,650	8,899
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	2	2	2
73.10 New obligations	6,880	9,650	8,899
73.20 Total outlays (gross)	-6,880	-9,650	-8,899
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested	2	2	2
Outlays (gross), detail:			
86.90 Outlays from new current authority	16	21	20
86.97 Outlays from new permanent authority	6,864	9,629	8,879
87.00 Total outlays (gross)	6,880	9,650	8,899
Net budget authority and outlays:			
89.00 Budget authority	6,895	9,650	8,899
90.00 Outlays	6,880	9,650	8,899

This general fund appropriation reimburses the Social Security trust funds annually for (1) special payments to certain uninsured persons, (2) pension reform, and (3) interest on unnegotiated checks.

Object Classification (in millions of dollars)

Identification code 28-0404-0-1-651	1997 actual	1998 est.	1999 est.
25.2 Other services	12	2	2
42.0 Insurance claims and indemnities	6,868	9,648	8,897
99.9 Total obligations	6,880	9,650	8,899

Program and Financing (in millions of dollars)

Identification code 28-0409-0-1-601	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
10.00 Total obligations	627	589	552
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested	9	12	9
22.00 New budget authority (gross)	630	586	543
23.90 Total budgetary resources available for obligation	639	598	552
23.95 New obligations	-627	-589	-552
24.40 Unobligated balance available, end of year:			
Uninvested	12	9	
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	460	426	383
Permanent:			
65.00 Advance appropriation (definite)	170	160	160
70.00 Total new budget authority (gross)	630	586	543
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	54	51	48
73.10 New obligations	627	589	552
73.20 Total outlays (gross)	-630	-593	-556
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested	51	48	44
Outlays (gross), detail:			
86.90 Outlays from new current authority	406	382	348
86.93 Outlays from current balances	54	51	48
86.97 Outlays from new permanent authority	170	160	160
87.00 Total outlays (gross)	630	593	556
Net budget authority and outlays:			
89.00 Budget authority	630	586	543
90.00 Outlays	630	593	556

Title IV of the Federal Mine Safety and Health Act authorizes monthly benefits to coal miners disabled from coal workers' pneumoconiosis (black lung) and to their widows and certain other dependents. SSA is responsible for processing and paying only those claims for coal miners' benefits that were filed between December 30, 1969, when the program originated, and June 30, 1973, when program administration was transferred to the Department of Labor (DOL).

Object Classification (in millions of dollars)

Identification code 28-0409-0-1-601	1997 actual	1998 est.	1999 est.
Personnel compensation:			
11.1 Full-time permanent	2		
11.5 Other personnel compensation		1	1

General and special funds—Continued

SPECIAL BENEFITS FOR DISABLED COAL MINERS—Continued

Object Classification (in millions of dollars)—Continued

Identification code 28-0409-0-1-601	1997 actual	1998 est.	1999 est.
11.9 Total personnel compensation	2	1	1
25.3 Purchases of goods and services from Government accounts		3	3
42.0 Insurance claims and indemnities	623	584	547
99.0 Subtotal, direct obligations	625	588	551
99.5 Below reporting threshold	2	1	1
99.9 Total obligations	627	589	552

Personnel Summary

Identification code 28-0409-0-1-601	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment	51		

SUPPLEMENTAL SECURITY INCOME PROGRAM

For carrying out titles XI and XVI of the Social Security Act, section 401 of Public Law 92-603, section 212 of Public Law 93-66, as amended, and section 405 of Public Law 95-216, including payment to the Social Security trust funds for administrative expenses incurred pursuant to section 201(g)(1) of the Social Security Act, **[\$16,160,000,000] \$21,495,000,000**, to remain available until expended: *Provided*, That any portion of the funds provided to a State in the current fiscal year and not obligated by the State during that year shall be returned to the Treasury.

[From funds provided under the previous paragraph, not less than \$100,000,000 shall be available for payment to the Social Security trust funds for administrative expenses for conducting continuing disability reviews.]

In addition, **[\$175,000,000] \$177,000,000**, to remain available until September 30, **[1999] 2000**, for payment to the Social Security trust funds for administrative expenses for continuing disability reviews as authorized by section 103 of Public Law 104-121 and **[Supplemental Security Income administrative work as authorized by Public Law 104-193] section 10203 of Public Law 105-33**. The term "continuing disability reviews" means reviews and redeterminations as defined under section 201(g)(1)(A) of the Social Security Act, as amended¹, and reviews and redeterminations authorized under section 211 of Public Law 104-193¹.

In addition, \$50,000,000, to remain available until September 30, 2000, for payment to the Social Security trust funds for administrative expenses associated with the conduct of redeterminations of non-disability factors to determine the continuing eligibility of recipients of benefits under title XVI of the Social Security Act who have been designated by the Social Security Administration as having a high probability of changes to the non-disability factors of their eligibility: Provided, That these amounts are available only upon enactment of legislation that amends section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985 to authorize adjustments to the discretionary spending limits to the extent that appropriations are enacted that provide budget authority and result in outlays for that purpose.

For making, after June 15 of the current fiscal year, benefit payments to individuals under title XVI of the Social Security Act, for unanticipated costs incurred for the current fiscal year, such sums as may be necessary.

For making benefit payments under title XVI of the Social Security Act for the first quarter of fiscal year **[1999, \$8,680,000,000] 2000, \$9,550,000,000**, to remain available until expended. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 28-0406-0-1-609	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
00.01 Direct program	28,846	29,765	30,352

09.01 Reimbursable programs:			
09.02 State supplementation payments	2,917	3,010	2,950
Administration of State supplementation payments		35	75
10.00 Total obligations	31,763	32,810	33,377

Budgetary resources available for obligation:

21.40 Unobligated balance available, start of year:			
Uninvested	1,636	1,615	
22.00 New budget authority (gross)	31,724	31,195	33,377
22.10 Resources available from recoveries of prior year obligations	18		
23.90 Total budgetary resources available for obligation	33,378	32,810	33,377
23.95 New obligations	-31,763	-32,810	-33,377
24.40 Unobligated balance available, end of year:			
Uninvested	1,615		

New budget authority (gross), detail:

Current:			
40.00 Appropriation	19,547	16,335	21,672
40.05 Appropriation (indefinite)		2,125	
43.00 Appropriation (total)	19,547	18,460	21,672
Permanent:			
65.00 Advance appropriation (definite)	9,260	9,690	8,680
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Offsetting collections (cash)	2,917	3,010	2,950
68.00 Offsetting collections (cash)		35	75
68.90 Spending authority from offsetting collections (total)	2,917	3,045	3,025
70.00 Total new budget authority (gross)	31,724	31,195	33,377

Change in unpaid obligations:

72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	614	725	711
73.10 New obligations	31,763	32,810	33,377
73.20 Total outlays (gross)	-31,634	-32,824	-33,445
73.45 Adjustments in unexpired accounts	-18		
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested	725	711	643

Outlays (gross), detail:

86.90 Outlays from new current authority	17,587	18,233	21,221
86.93 Outlays from current balances	1,872	1,861	522
86.97 Outlays from new permanent authority	12,177	12,732	11,699
86.98 Outlays from permanent balances			3
87.00 Total outlays (gross)	31,634	32,824	33,445

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Non-Federal sources:			
88.40 Non-Federal sources	-2,917	-3,010	-2,950
88.40 Non-Federal sources		-35	-75
88.90 Total, offsetting collections (cash)	-2,917	-3,045	-3,025

Net budget authority and outlays:

89.00 Budget authority	28,807	28,150	30,352
90.00 Outlays	28,717	29,779	30,420

Summary of Budget Authority and Outlays

(in millions of dollars)

	1997 actual	1998 est.	1999 est.
Enacted/requested:			
Budget Authority	28,807	28,150	30,352
Outlays	28,719	29,781	30,420
Legislative proposal, not subject to PAYGO:			
Budget Authority			-104
Outlays			-105
Legislative proposal, subject to PAYGO:			
Budget Authority			11
Outlays			7
Total:			
Budget Authority	28,807	28,150	30,259
Outlays	28,719	29,781	30,322

Title XVI of the Social Security Act established a supplemental security income (SSI) program to provide monthly

cash benefits as a federally guaranteed minimum income for the needy aged, blind, and disabled.

Object Classification (in millions of dollars)				
Identification code 28-0406-0-1-609	1997 actual	1998 est.	1999 est.	
Direct obligations:				
41.0 Grants, subsidies, and contributions	26,693	27,457	27,997	
92.0 Undistributed	2,153	2,308	2,355	
99.0 Subtotal, direct obligations	28,846	29,765	30,352	
99.0 Reimbursable obligations	2,917	3,045	3,025	
99.9 Total obligations	31,763	32,810	33,377	

SUPPLEMENTAL SECURITY INCOME PROGRAM
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 28-0406-2-1-609	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
10.00 Total obligations			-97
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			-97
23.95 New obligations			97
New budget authority (gross), detail:			
Current:			
40.00 Appropriation			-104
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)			7
70.00 Total new budget authority (gross)			-97
Change in unpaid obligations:			
73.10 New obligations			-97
73.20 Total outlays (gross)			98
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested			1
Outlays (gross), detail:			
86.90 Outlays from new current authority			-104
86.97 Outlays from new permanent authority			6
87.00 Total outlays (gross)			-98
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources			-7
Net budget authority and outlays:			
89.00 Budget authority			-104
90.00 Outlays			-105

This schedule reflects the effects of the Administration's proposal to impose a user fee on representatives of Social Security or Supplemental Security Income claimants. This monetary assessment would be imposed only if the claimant being represented is awarded past due benefits and a fee for representation is approved by the Agency. An assessment would not be imposed if the representative does not charge a fee for services. Language will be provided to the appropriations and authorizing committees. This schedule also reflects the program effects of the Administration's proposal to authorize an adjustment to the 1999 discretionary spending limits for amounts appropriated to conduct additional redeterminations of non-disability factors of eligibility under the Supplemental Security Income program.

Object Classification (in millions of dollars)

Identification code 28-0406-2-1-609	1997 actual	1998 est.	1999 est.
92.0 Undistributed			-104
93.0 Limitation on expenses			7
99.9 Total obligations			-97

SUPPLEMENTAL SECURITY INCOME PROGRAM
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 28-0406-4-1-609	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
10.00 Total obligations			11
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			11
23.95 New obligations			-11
New budget authority (gross), detail:			
40.00 Appropriation			11
Change in unpaid obligations:			
73.10 New obligations			11
73.20 Total outlays (gross)			-7
74.41 Unpaid obligations, end of year: Obligated balance: U.S. Securities: Par value			4
Outlays (gross), detail:			
86.90 Outlays from new current authority			7
Net budget authority and outlays:			
89.00 Budget authority			11
90.00 Outlays			7

This schedule reflects the program effects of the Administration's proposal to authorize the Commissioner to recover any SSI overpayments by offsetting OASDI benefits.

This schedule also reflects the effects of the Administration's proposal to test allowing Supplemental Security Income and Social Security Disability Insurance beneficiaries to choose their own public or private vocational rehabilitation providers. Participating providers would be paid a percentage of disability benefits saved as a result of beneficiaries returning to work for a specified period of time.

This schedule also reflects the administrative effects of the Administration's proposal to authorize an adjustment to the 1999 discretionary spending limits for amounts appropriated to conduct additional redeterminations of non-disability factors of eligibility under the Supplemental Security Income program.

Object Classification (in millions of dollars)

Identification code 28-0406-4-1-609	1997 actual	1998 est.	1999 est.
92.0 Undistributed			-39
93.0 Limitation on expenses			50
99.9 Total obligations			11

OFFICE OF INSPECTOR GENERAL
(INCLUDING TRANSFER OF FUNDS)

For expenses necessary for the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, [\$10,164,000] \$12,000,000, together with not to exceed [\$38,260,000] \$40,000,000, to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Federal Old-Age and Survivors Insurance Trust Fund and the Federal Disability Insurance Trust Fund.

General and special funds—Continued

**OFFICE OF INSPECTOR GENERAL—Continued
(INCLUDING TRANSFER OF FUNDS)—Continued**

In addition, an amount not to exceed 3 percent of the total provided in this appropriation may be transferred from the "Limitation on Administrative Expenses", Social Security Administration, to be merged with this account, to be available for the time and purposes for which this account is available: *Provided*, That notice of such transfers shall be transmitted promptly to the Committees on Appropriations of the House and Senate. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 28-0400-0-1-651	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
10.00 Total obligations	36	48	52
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	37	48	52
23.95 New obligations	-36	-48	-52
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	6	10	12
Permanent:			
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	28	42	40
68.10 Change in orders on hand from Federal sources	3	-4	
68.90 Spending authority from offsetting collections (total)	31	38	40
70.00 Total new budget authority (gross)	37	48	52
Change in unpaid obligations:			
72.95 Unpaid obligations, start of year: Orders on hand from Federal sources	5	8	4
73.10 New obligations	36	48	52
73.20 Total outlays (gross)	-33	-52	-52
74.95 Unpaid obligations, end of year: Orders on hand from Federal sources	8	4	4
Outlays (gross), detail:			
86.90 Outlays from new current authority	5	9	11
86.93 Outlays from current balances	1	2	1
86.97 Outlays from new permanent authority	25	35	37
86.98 Outlays from permanent balances	2	6	3
87.00 Total outlays (gross)	33	52	52
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-28	-42	-40
88.95 Change in orders on hand from Federal sources	-3	4	
Net budget authority and outlays:			
89.00 Budget authority	6	10	12
90.00 Outlays	5	10	12

The Office of Inspector General provides agency-wide audit and investigative functions to help find and correct operational and administrative deficiencies which create conditions for existing or potential instances of fraud, waste, and mismanagement.

Object Classification (in millions of dollars)

Identification code 28-0400-0-1-651	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent	22	30	34
12.1 Civilian personnel benefits	6	8	8
21.0 Travel and transportation of persons	1	2	2
23.1 Rental payments to GSA	1	2	2
25.3 Purchases of goods and services from Government accounts	3	4	4
26.0 Supplies and materials	1		
31.0 Equipment	1	2	2
99.0 Subtotal, direct obligations	35	48	52

99.5 Below reporting threshold	1		
99.9 Total obligations	36	48	52

Personnel Summary

Identification code 28-0400-0-1-651	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment	314	411	433

Trust Funds

FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8006-0-7-651	1997 actual	1998 est.	1999 est.
Balance, start of year:			
01.99 Balance, start of year	471,133	537,929	623,409
Receipts:			
02.01 Transfers from general fund (FICA taxes)	319,524	339,344	354,127
02.02 Transfers from general fund (SECA taxes)	18,070	20,560	21,490
02.03 Federal employer contributions (FICA taxes)	5,315	5,931	6,388
02.04 Refunds	-895	-955	-1,005
02.05 Interest received by trust funds	37,688	42,318	46,523
02.07 Deposits by States	30		
02.09 Federal payments to the FOASI trust fund	6,477	9,089	8,378
02.10 Payments for military service credits	267	243	228
02.11 Tax refund offset	9	10	10
02.99 Total receipts	386,485	416,540	436,139
04.00 Total: Balances and collections	857,618	954,469	1,059,548
Appropriation:			
05.01 Current law	-319,689	-331,060	-343,670
05.02 Legislative proposal not subject to PAYGO			-20
05.99 Subtotal appropriation	-319,689	-331,060	-343,690
07.99 Total balance, end of year	537,929	623,409	715,858

Program and Financing (in millions of dollars)

Identification code 20-8006-0-7-651	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
00.01 Direct program	321,744	333,366	346,060
09.01 Reimbursable program	18	39	39
10.00 Total obligations	321,762	333,405	346,099
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	321,762	333,405	346,099
23.95 New obligations	-321,762	-333,405	-346,099
New budget authority (gross), detail:			
Current:			
40.26 Appropriation (trust fund, definite)	2,069	2,063	1,798
Permanent:			
60.27 Appropriation (trust fund, indefinite)	384,416	414,477	434,341
60.45 Portion precluded from obligation	-66,796	-85,480	-92,469
63.00 Appropriation (total)	317,620	328,997	341,872
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2,073	2,345	2,429
70.00 Total new budget authority (gross)	321,762	333,405	346,099
Change in unpaid obligations:			
Unpaid obligations, start of year:			
Obligated balance:			
72.40 Uninvested	75	-49	1
72.41 U.S. Securities: Par value	28,271	29,515	29,660
72.99 Total unpaid obligations, start of year	28,346	29,466	29,661
73.10 New obligations	321,762	333,405	346,099
73.20 Total outlays (gross)	-320,642	-333,210	-345,156
Unpaid obligations, end of year:			
Obligated balance:			
74.40 Uninvested	-49	1	1
74.41 U.S. Securities: Par value	29,515	29,660	30,603
74.99 Total unpaid obligations, end of year	29,466	29,661	30,604

Outlays (gross), detail:				
86.90	Outlays from new current authority	1,853	2,033	1,849
86.93	Outlays from current balances	148	320	284
86.97	Outlays from new permanent authority	318,624	301,712	313,647
86.98	Outlays from permanent balances	17	29,145	29,376
87.00	Total outlays (gross)	320,642	333,210	345,156
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-2,055	-2,306	-2,390
88.40	Non-Federal sources	-3	-3	-3
88.45	Offsetting governmental collections	-15	-36	-36
88.90	Total, offsetting collections (cash)	-2,073	-2,345	-2,429
Net budget authority and outlays:				
89.00	Budget authority	319,689	331,060	343,670
90.00	Outlays	318,569	330,865	342,727

Summary of Budget Authority and Outlays

(in millions of dollars)

	1997 actual	1998 est.	1999 est.
Enacted/requested:			
Budget Authority	319,689	331,060	343,670
Outlays	318,569	330,865	342,727
Legislative proposal, not subject to PAYGO:			
Budget Authority			20
Outlays			20
Total:			
Budget Authority	319,689	331,060	343,690
Outlays	318,569	330,865	342,747

The old-age and survivors insurance (OASI) program provides monthly cash benefits to retired workers and their dependents and to survivors of deceased workers.

Status of Funds (in millions of dollars)

Identification code 20-8006-0-7-651	1997 actual	1998 est.	1999 est.
Unexpended balance, start of year:			
0100 Treasury balance	76	-51	1
0101 U.S. Securities: Par value	499,403	567,445	653,068
0199 Total balance, start of year	499,479	567,394	653,069
Cash income during the year:			
Governmental receipts:			
0200 Transfers from general fund (FICA taxes)	319,524	339,344	354,127
0203 Transfers from general fund (SECA taxes)	18,070	20,560	21,490
0204 Refunds	-895	-955	-1,005
0205 FOASI, Deposits by States	30		
Proprietary receipts:			
0221 Tax refund offset	9	10	10
Intragovernmental transactions:			
0240 Federal employer contributions (FICA taxes)	5,315	5,931	6,388
0241 Interest received by trust fund	37,688	42,318	46,523
0242 FICA and SECA tax credits	1		
0243 Individual income taxes on OASI benefits	6,463	9,071	8,361
0244 Federal payment for special benefits for the aged	3	2	1
0245 Pension reform	1	2	2
0246 Credit for unnegotiated OASI checks	9	14	14
0247 Federal payments for military service credits	267	243	228
Offsetting collections:			
0281 Offsetting collections	2,073	2,345	2,429
0299 Total cash income	388,558	418,885	438,568
Cash outgo during year:			
0501 Benefit payments	-312,880	-324,804	-336,904
0502 Payments to Railroad Retirement Board	-3,688	-3,708	-3,690
0503 Administrative expenses	-2,001	-2,353	-2,133
0504 Outlays from offsetting collections	-2,073	-2,345	-2,429
0505 Quinquennial military service credit adjustment			
0506 Proposed legislation—OASI effect of Medicare buy-in			-20
0597 Outgo under present law (-)	-320,642	-333,210	-345,156
0598 Outgo under proposed legislation (-)			-20
0599 Total cash outgo (-)	-320,642	-333,210	-345,176
Unexpended balance, end of year:			
0700 Uninvested balance	-51	1	1
0701 U.S. Securities: Par value	567,445	653,068	746,460
0799 Total balance, end of year	567,394	653,069	746,461

Object Classification (in millions of dollars)				
Identification code 20-8006-0-7-651	1997 actual	1998 est.	1999 est.	
Direct obligations:				
25.3	Office of the Inspector General	18	24	25
42.0	Retirement and survivors insurance benefits	313,814	324,956	337,988
Undistributed:				
92.0	Reimbursement for administrative expenses of Department of the Treasury	218	215	196
92.0	Payment to railroad retirement account (net settlement) (45 U.S.C. 228g)	3,688	3,708	3,690
Administrative expenses: Portion of limitation on administrative expenses, Social Security Administration:				
Limitation on expenses:				
93.0	OASI program	1,853	2,155	1,806
93.0	SSI program	2,153	2,308	2,355
99.0	Subtotal, direct obligations	321,744	333,366	346,060
99.0	Reimbursable obligations	18	39	39
99.9	Total obligations	321,762	333,405	346,099

FEDERAL OLD-AGE AND SURVIVOR'S INSURANCE TRUST FUND
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 20-8006-2-7-651	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
10.00	Total obligations (object class 42.0)		20
Budgetary resources available for obligation:			
22.00	New budget authority (gross)		20
23.95	New obligations		-20
New budget authority (gross), detail:			
60.27	Appropriation (trust fund, indefinite)		20
Change in unpaid obligations:			
73.10	New obligations		20
73.20	Total outlays (gross)		-20
Outlays (gross), detail:			
86.97	Outlays from new permanent authority		20
Net budget authority and outlays:			
89.00	Budget authority		20
90.00	Outlays		20

This schedule reflects the program effect of the Administration's Medicare buy-in proposal on the Social Security Old-Age and Survivor's Insurance Trust Fund.

FEDERAL DISABILITY INSURANCE TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8007-0-7-651	1997 actual	1998 est.	1999 est.	
Balance, start of year:				
01.99	Balance, start of year	43,295	57,031	69,758
Receipts:				
02.01	Transfers from general fund (FICA taxes)	52,381	53,940	56,268
02.02	Transfers from general fund (SECA taxes)	3,044	3,254	3,408
02.03	Federal employer contributions (FICA taxes)	868	942	1,015
02.04	Refunds	-158	-152	-160
02.05	Interest received by trust funds	3,526	4,412	5,100
02.06	Attorney fees, legislative proposal not subject to PAYGO			12
02.07	Deposits by States	-6		
02.08	Federal payments to the FDI trust fund	403	561	521
02.09	Payments for military service credits	33	39	36
02.10	Tax refund offset	9	10	10
02.99	Total receipts	60,100	63,006	66,210
04.00	Total: Balances and collections	103,395	120,037	135,968
Appropriation:				
05.01	Appropriation	-46,364	-50,279	-53,386

FEDERAL DISABILITY INSURANCE TRUST FUND—Continued

Unavailable Collections (in millions of dollars)—Continued

Identification code 20-8007-0-7-651	1997 actual	1998 est.	1999 est.
05.02 Legislative proposal, not subject to PAYGO			-12
05.99 Subtotal appropriation	-46,364	-50,279	-53,398
07.99 Total balance, end of year	57,031	69,758	82,570

Program and Financing (in millions of dollars)

Identification code 20-8007-0-7-651	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
10.00 Total obligations	46,364	50,279	53,386
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	46,364	50,279	53,386
23.95 New obligations	-46,364	-50,279	-53,386

New budget authority (gross), detail:

Current:			
40.26 Appropriation (trust fund, definite)	1,382	1,132	1,353
Permanent:			
60.27 Appropriation (trust fund, indefinite)	58,718	61,874	64,845
60.45 Portion precluded from obligation	-13,736	-12,727	-12,812
63.00 Appropriation (total)	44,982	49,147	52,033
70.00 Total new budget authority (gross)	46,364	50,279	53,386

Change in unpaid obligations:

Unpaid obligations, start of year:			
Obligated balance:			
72.40 Uninvested	2	1	1
72.41 U.S. Securities: Par value	6,786	6,450	6,085
72.99 Total unpaid obligations, start of year	6,788	6,451	6,086
73.10 New obligations	46,364	50,279	53,386
73.20 Total outlays (gross)	-46,701	-50,644	-53,479
Unpaid obligations, end of year:			
Obligated balance:			
74.40 Uninvested	1	1	1
74.41 U.S. Securities: Par value	6,450	6,085	5,993
74.99 Total unpaid obligations, end of year	6,451	6,086	5,994

Outlays (gross), detail:

86.90 Outlays from new current authority	1,105	1,036	1,281
86.93 Outlays from current balances	106	255	157
86.97 Outlays from new permanent authority	44,982	43,157	46,137
86.98 Outlays from permanent balances	508	6,196	5,904
87.00 Total outlays (gross)	46,701	50,644	53,479

Net budget authority and outlays:

89.00 Budget authority	46,364	50,279	53,386
90.00 Outlays	46,701	50,644	53,479

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:			
Budget Authority	46,364	50,279	53,386
Outlays	46,701	50,644	53,479
Legislative proposal, not subject to PAYGO:			
Budget Authority			12
Outlays			11
Total:			
Budget Authority	46,364	50,279	53,398
Outlays	46,701	50,644	53,490

The disability insurance (DI) program provides monthly cash benefits for disabled workers under age 65 and their dependents.

Status of Funds (in millions of dollars)

Identification code 20-8007-0-7-651	1997 actual	1998 est.	1999 est.
Unexpended balance, start of year:			
0100 Treasury balance	-17	-80	1
0101 U.S. Securities: Par value	50,100	63,562	75,843
0199 Total balance, start of year	50,083	63,482	75,844
Cash income during the year:			
Governmental receipts:			
0200 Transfers from general fund (FICA taxes)	52,381	53,940	56,268
0203 Transfers from general fund (SECA taxes)	3,044	3,254	3,408
0204 Refunds	-158	-152	-160
0205 State deposits	-6		
Proprietary receipts:			
0222 Tax refund offset	9	10	10
0224 Attorney user fees			12
Intragovernmental transactions:			
0240 Federal employer contributions (FICA taxes)	868	942	1,015
0241 Interest received by trust fund	3,526	4,412	5,100
0243 Individual income taxes on DI benefits	400	558	518
0244 Credit for unnegotiated DI checks	3	3	3
0245 Federal payments for military service credits	33	39	36
0297 Income under present law	60,100	63,006	66,198
0298 Income under proposed legislation			12
0299 Total cash income	60,100	63,006	66,210
Cash outgo during year:			
0501 Benefit payments	-45,367	-49,220	-51,873
0502 Payments to Railroad Retirement Board	-59	-76	-95
0503 Administrative expenses	-1,211	-1,291	-1,438
0504 Beneficiary services	-63	-56	-73
0505 Pre 1957 military service credits			
0506 Demonstration projects	-1	-1	
0507 Proposed legislation—Attorney user fees			-11
0597 Outgo under present law (-)	-46,701	-50,644	-53,479
0598 Outgo under proposed legislation (-)			-11
0599 Total cash outgo (-)	-46,701	-50,644	-53,490
Unexpended balance, end of year:			
0700 Uninvested balance	-80	1	1
0701 U.S. Securities: Par value	63,562	75,843	88,562
0799 Total balance, end of year	63,482	75,844	88,563

Object Classification (in millions of dollars)

Identification code 20-8007-0-7-651	1997 actual	1998 est.	1999 est.
Purchases of goods and services from Government accounts:			
25.3 Office of the Inspector General	13	14	15
25.3 Beneficiary services	63	56	73
25.3 Demonstration projects	1	1	
42.0 Disability insurance benefits	44,993	48,851	51,807
Undistributed:			
92.0 Reimbursement for administrative expenses of Department of the Treasury	38	39	37
92.0 Payment to railroad retirement account (net settlement)	59	76	95
93.0 Administrative expenses: Portion of limitation on administrative expenses, Social Security Administration	1,197	1,242	1,359
99.0 Subtotal, direct obligations	46,364	50,279	53,386
99.9 Total obligations	46,364	50,279	53,386

FEDERAL DISABILITY INSURANCE TRUST FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 20-8007-2-7-651	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
10.00 Total obligations (object class 93.0)			12
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			12
23.95 New obligations			-12

New budget authority (gross), detail:		
60.27	Appropriation (trust fund, indefinite)	12
Change in unpaid obligations:		
73.10	New obligations	12
73.20	Total outlays (gross)	-11
Outlays (gross), detail:		
86.97	Outlays from new permanent authority	11
Net budget authority and outlays:		
89.00	Budget authority	12
90.00	Outlays	11

This schedule reflects the effects of the Administration's proposal to impose a user fee on representatives of Social Security or Supplemental Security Income claimants. This monetary assessment would be imposed only if the claimant being represented is awarded past due benefits and a fee for representation is approved by the Agency. An assessment would not be imposed if the representative does not charge a fee for services. Language will be provided to the appropriations and authorizing committees.

This schedule also reflects the effects of the Administration's proposal to test allowing Supplemental Security Income and Social Security Disability Insurance beneficiaries to choose their own public or private vocational rehabilitation providers. Participating providers would be paid a percentage of disability benefits saved as a result of beneficiaries returning to work for a specified period of time.

LIMITATION ON ADMINISTRATIVE EXPENSES

For necessary expenses, including the hire of two passenger motor vehicles, and not to exceed \$10,000 for official reception and representation expenses, not more than **[\$5,894,040,000] \$5,949,000,000** may be expended, as authorized by section 201(g)(1) of the Social Security Act, from any one or all of the trust funds referred to therein: *Provided*, That not less than \$1,600,000 shall be for the Social Security Advisory Board: *Provided further*, That unobligated balances at the end of fiscal year **[1998] 1999** not needed for fiscal year **[1998] 1999** shall remain available until expended **[for a state-of-the-art computing network] to invest in the Social Security Administration computing network**, including related equipment and non-payroll administrative expenses associated solely with this network: *Provided further*, That reimbursement to the trust funds under this heading for expenditures for official time for employees of the Social Security Administration pursuant to section 7131 of title 5, United States Code, and for facilities or support services for labor organizations pursuant to policies, regulations, or procedures referred to in section 7135(b) of such title shall be made by the Secretary of the Treasury, with interest, from amounts in the general fund not otherwise appropriated, as soon as possible after such expenditures are made].

[From funds provided under the previous paragraph, notwithstanding the provision under this heading in Public Law 104-208 regarding unobligated balances at the end of fiscal year 1997 not needed for such fiscal year, an amount not to exceed \$50,000,000 from such unobligated balances shall, in addition to funding already available under this heading for fiscal year 1998, be available for necessary expenses.]

From funds provided under the first paragraph, not less than \$200,000,000 shall be available for conducting continuing disability reviews.

From funds provided under the first paragraph, not less than \$210,000,000 shall be available for conducting non-disability redeterminations of eligibility in the Supplemental Security Income program.

In addition to funding already available under this heading, and subject to the same terms and conditions, **[\$290,000,000] \$355,000,000**, to remain available until September 30, **[1999] 2000**, for continuing disability reviews as authorized by section 103 of Public Law 104-121[,] and section 10203 of Public Law 105-33 [and Supplemental Security Income administrative work as authorized by Public Law 104-193]. The term "continuing disability reviews" means reviews and redeterminations as defined under section 201(g)(1)(A) of the Social Security Act as amended[, and reviews and redeterminations authorized under section 211 of Public Law 104-193].

In addition to funding already available under this heading, and subject to the same terms and conditions, \$50,000,000, to remain available until September 30, 2000, for administrative expenses associated with the conduct of redeterminations of non-disability factors to determine the continuing eligibility of recipients of benefits under title XVI of the Social Security Act who have been designated by the Social Security Administration as having a high probability of changes to the non-disability factors of their eligibility.

[In addition to funding already available under this heading, and subject to the same terms and conditions, \$190,000,000, which shall remain available until expended, to invest in a state-of-the-art computing network, including related equipment and non-payroll administrative expenses associated solely with this network, for the Social Security Administration and the State Disability Determination Services, may be expended from any or all of the trust funds as authorized by section 201(g)(1) of the Social Security Act.]

In addition, **[\$35,000,000] \$75,000,000**, to be derived from administration fees in excess of \$5.00 per supplementary payment collected pursuant to section 1616(d) of the Social Security Act or section 212(b)(3) of Public Law 93-66, which shall remain available until expended. To the extent that the amounts collected pursuant to such section 1616(d) or 212(b)(3) in fiscal year **[1998] 1999** exceed **[\$35,000,000] \$75,000,000**, the amounts shall be available in fiscal year **[1999] 2000** only to the extent provided in advance in appropriations Acts.

Program and Financing (in millions of dollars)

	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
Direct program	6,106	6,670	6,541
Reimbursable program	18	39	39
Total obligations	6,124	6,709	6,580
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
Appropriation: U.S. securities: Par value	238	489	227
New budget authority (gross)	6,425	6,448	6,487
Unobligated balance expiring	-50	0	0
Total budgetary resources available for obligation	6,613	6,937	6,714
New obligations	6,124	6,709	6,580
Unobligated balance available, end of year:			
Appropriation: U.S. securities: Par value	489	227	134
New budget authority (gross), detail:			
Limitation on administrative expenses (LAE):			
Appropriations	6,108	6,119	6,024
Reductions pursuant to P.L. 104-208	11		
Adjusted LAE	6,097	6,119	6,024
Distribution of adjusted LAE by funding sources:			
Old Age and Survivors Insurance Trust Fund	2,051	2,039	1,773
Disability Insurance Trust Fund	1,234	1,003	1,160
Supplemental Security Income (SSI) Appropriation:			
Payment to OASI Trust Fund	1,966	2,077	2,064
Hospital Insurance Trust Fund	481	561	559
Supplementary Medical Insurance Trust Fund	365	404	393
Increased fee for administration of State supplemental payments		35	75
Spending authority from reimbursable agreements	18	39	39
Subtotal, LAE	6,115	6,158	6,063
Adjustments to discretionary caps:			
Continuing disability reviews and redeterminations	310	290	355
SSI non-disability redeterminations (proposed legislation)			50
Other proposed legislation:			
Fees for administering claimant representative fees			19
Total, including adjustments to discretionary caps and proposed legislation	6,425	6,448	6,487
Change in unpaid obligations:			
Unpaid obligations, start of year: Obligated balance:			
U.S. Securities: Par value	1,081	1,300	1,350
New obligations	6,124	6,709	6,580
Total outlays (gross)	5,905	6,659	6,743
Unpaid obligations, end of year: Obligated balance:			
U.S. Securities: Par value	1,300	1,350	1,187
Outlay (gross), detail:			
Outlays from new current authority	5,230	5,767	5,969

LIMITATION ON ADMINISTRATIVE EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued			
	1997 actual	1998 est.	1999 est.
Outlays from current balances	675	892	774
Total outlays (gross)	5,905	6,659	6,743
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources	15	26	26
Non-Federal sources	3	13	13
Total offsetting collections (cash)	18	39	39
Net budget authority and outlays:			
Budget authority	6,407	6,409	6,448
Outlays	5,887	6,620	6,704

The Limitation on Administrative Expenses Account provides resources for the Social Security Administration (SSA) to administer the Old Age, Survivors, and Disability Insurance programs, the Supplemental Security Income program, and certain health insurance functions for the aged, disabled, and economically disadvantaged.

Object Classification (in millions of dollars)

Identification code 20-8007-0-7-651	1997 actual	1998 est.	1999 est.
Limitation Acct—Direct Obligations:			
Personnel compensation:			
11.1 Full-time permanent	2,567	2,726	2,851
11.3 Other than full-time permanent	131	172	119
11.5 Other personnel compensation	218	142	116
11.8 Special personal services payments	1	2	2
11.9 Total personnel compensation	2,917	3,042	3,088
12.1 Civilian personnel benefits	542	614	630
13.0 Benefits for former personnel	2	2	2
21.0 Travel and transportation of persons	44	41	38
22.0 Transportation of things	6	7	7
23.1 Rental payments to GSA	284	319	337
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	256	285	288
24.0 Printing and reproduction	20	29	32
25.1 Advisory and assistance services	9	12	14
25.2 Other services	1,450	1,555	1,563
25.3 Purchases of goods and services from Government accounts	53	65	74
25.4 Operation and maintenance of facilities	126	157	106
25.7 Operation and maintenance of equipment	91	73	44
26.0 Supplies and materials	42	41	59
31.0 Equipment	161	312	187
32.0 Land and structures	82	99	57
42.0 Insurance claims and indemnities	7	8	8
43.0 Interest and dividends	12	11	7
93.0 Limitation on expenses	-6,106	-6,670	-6,541
99.0 Subtotal, limitation acct—direct obligations	-1	3	1
Limitation Acct—Reimbursable Obligations:			
Personnel compensation:			
11.1 Full-time permanent	9	13	13
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	10	14	14
12.1 Civilian personnel benefits	2	3	3

23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	1	1	1
25.3 Purchases of goods and services from Government accounts		10	10
25.4 Operation and maintenance of facilities	1		
25.7 Operation and maintenance of equipment	1	7	7
31.0 Equipment	1	1	1
32.0 Land and structures	1	1	1
93.0 Limitation on expenses	-18	-39	-39
99.0 Subtotal, limitation acct—reimbursable obligations	1		

Personnel Summary

Identification code 20-8007-0-7-651	1997 actual	1998 est.	1999 est.
Limitation account—direct:			
6001 Total compensable workyears: Full-time equivalent employment	64,574	64,946	63,153
Limitation account—reimbursable:			
7001 Total compensable workyears: Full-time equivalent employment	279	300	300

LIMITATION ON ADMINISTRATIVE EXPENSES

(Legislative proposal, not subject to PAYGO)

Upon enactment of authorization to establish fees to cover the administrative costs of the fee approval and direct payment processes utilized by representatives of claimants to benefits under title II or title XVI of the Social Security Act, such fees may be collected and credited as offsetting collections: Provided, That not to exceed \$19,000,000 of such fees shall be available until expended for authorized purposes.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	1997 actual	1998 est.	1999 est.
Offsetting receipts from the public:			
75-241800 Receipts from SSI administrative fee	145	145	145
75-309600 Recovery of beneficiary overpayments from SSI program	1,150	1,248	1,276
General Fund Offsetting receipts from the public	1,295	1,393	1,421

As directed by Section 104 of P.L. 103-296, the Social Security Independence and Program Improvements Act of 1994, the Commissioner of Social Security shall prepare an annual budget for the Social Security Administration (SSA), which shall be submitted by the President to the Congress without revision, together with the President's annual budget for SSA.

The Commissioner's annual budget for fiscal year 1999 includes a total of \$6,782 million in discretionary resources. This represents \$6,734 million for SSA administrative expenses (including \$420 million in funds not subject to the discretionary spending caps for conducting continuing eligibility reviews and \$50 million in no-year Capital Investment funds) and \$48 million for the Office of Inspector General.