

## DEPARTMENT OF LABOR

### EMPLOYMENT AND TRAINING ADMINISTRATION

#### *Federal Funds*

#### **General and special funds:**

##### TRAINING AND EMPLOYMENT SERVICES

For necessary expenses of the Job Training Partnership Act, as amended, including the purchase and hire of passenger motor vehicles, the construction, alteration, and repair of buildings and other facilities, and the purchase of real property for training centers as authorized by the Job Training Partnership Act; the Stewart B. McKinney Homeless Assistance Act; the Women in Apprenticeship and Nontraditional Occupations Act; the National Skill Standards Act of 1994; and the School-to-Work Opportunities Act; [\$4,988,226,000] \$5,073,373,000 plus reimbursements, of which [\$3,794,735,000] \$3,923,654,000 is available for obligation for the period July 1, [1998] 1999 through June 30, [1999] 2000; of which [\$118,491,000] \$150,572,000 is available for the period July 1, [1998] 1999 through June 30, [2001] 2002 for necessary expenses of construction, rehabilitation, and acquisition of Job Corps centers; and of which [\$200,000,000] \$125,000,000 shall be available from July 1, [1998] 1999 through September 30, [1999] 2000, for carrying out activities of the School-to-Work Opportunities Act: *Provided*, That \$53,815,000 shall be for carrying out section 401 of the Job Training Partnership Act, \$71,017,000 shall be for carrying out section 402 of such Act, \$7,300,000 shall be for carrying out section 441 of such Act, [\$9,000,000] \$5,000,000 shall be for all activities conducted by and through the National Occupational Information Coordinating Committee under such Act, [\$955,000,000] \$1,000,000,000 shall be for carrying out title II, part A of such Act, and \$129,965,000 shall be for carrying out title II, part C of such Act: *Provided further*, That the National Occupational Information Coordinating Committee is authorized, effective upon enactment, to charge fees for publications, training and technical assistance developed by the National Occupational Information Coordinating Committee: *Provided further*, That revenues received from publications and delivery of technical assistance and training, notwithstanding 31 U.S.C. 3302, shall be credited to the National Occupational Information Coordinating Committee program account and shall be available to the National Occupational Information Coordinating Committee without further appropriations, so long as such revenues are used for authorized activities of the National Occupational Information Coordinating Committee: *Provided further*, That no funds from any other appropriation shall be used to provide meal services at or for Job Corps centers: *Provided further*, That funds provided for title III of the Job Training Partnership Act shall not be subject to the limitation contained in subsection (b) of section 315 of such Act; that the waiver described in section 315(a)(2) may be granted if a substate grantee demonstrates to the Governor that such waiver is appropriate due to the availability of low-cost retraining services, is necessary to facilitate the provision of needs-related payments to accompany long-term training, or is necessary to facilitate the provision of appropriate basic readjustment services; and that funds provided for discretionary grants under part B of such title III may be used to provide needs-related payments to participants who, in lieu of meeting the enrollment requirements under section 314(e) of such Act, are enrolled in training by the end of the sixth week after grant funds have been awarded: [*Provided further*, That funds provided to carry out section 324 of such Act may be used for demonstration projects that provide assistance to new entrants in the workforce and incumbent workers:] *Provided further*, That service delivery areas may transfer funding provided herein under authority of title II, parts B and C of the Job Training Partnership Act between the programs authorized by those titles of the Act, if the transfer is approved by the Governor: *Provided further*, That service delivery areas and substate areas may transfer up to 20 percent of the funding provided herein under authority of title II, part A and title III of the Job Training Partnership Act between the programs authorized by those titles of the Act, if such

transfer is approved by the Governor: *Provided further*, That, notwithstanding any other provision of law, any proceeds from the sale of Job Corps center facilities shall be retained by the Secretary of Labor to carry out the Job Corps program: *Provided further*, That notwithstanding any other provision of law, the Secretary of Labor may waive any of the statutory or regulatory requirements of titles I-III of the Job Training Partnership Act (except for requirements relating to wage and labor standards, worker rights, participation and protection, grievance procedures and judicial review, non-discrimination, allocation of funds to local areas, eligibility, review and approval of plans, the establishment and functions of service delivery areas and private industry councils, and the basic purposes of the Act), and any of the statutory or regulatory requirements of sections 8-10 of the Wagner-Peyser Act (except for requirements relating to the provision of services to unemployment insurance claimants and veterans, and to universal access to basic labor exchange services without cost to job seekers), only for funds available for expenditure in program year [1998] 1999, pursuant to a request submitted by a State which identifies the statutory or regulatory requirements that are requested to be waived and the goals which the State or local service delivery areas intend to achieve, describes the actions that the State or local service delivery areas have undertaken to remove State or local statutory or regulatory barriers, describes the goals of the waiver and the expected programmatic outcomes if the request is granted, describes the individuals impacted by the waiver, and describes the process used to monitor the progress in implementing a waiver, and for which notice and an opportunity to comment on such request has been provided to the organizations identified in section 105(a)(1) of the Job Training Partnership Act, if and only to the extent that the Secretary determines that such requirements impede the ability of the State to implement a plan to improve the workforce development system and the State has executed a Memorandum of Understanding with the Secretary requiring such State to meet agreed upon outcomes and implement other appropriate measures to ensure accountability[: *Provided further*, That the Secretary of Labor shall establish a workforce flexibility (work-flex) partnership demonstration program under which the Secretary shall authorize not more than six States, of which at least three States shall each have populations not in excess of 3,500,000, with a preference given to those States that have been designated Ed-Flex Partnership States under section 311(e) of Public Law 103-227, to waive any statutory or regulatory requirement applicable to service delivery areas or substate areas within the State under titles I-III of the Job Training Partnership Act (except for requirements relating to wage and labor standards, grievance procedures and judicial review, nondiscrimination, allotment of funds, and eligibility), and any of the statutory or regulatory requirements of sections 8-10 of the Wagner-Peyser Act (except for requirements relating to the provision of services to unemployment insurance claimants and veterans, and to universal access to basic labor exchange services without cost to job seekers), for a duration not to exceed the waiver period authorized under section 311(e) of Public Law 103-227, pursuant to a plan submitted by such States and approved by the Secretary for the provision of workforce employment and training activities in the States, which includes a description of the process by which service delivery areas and substate areas may apply for and have waivers approved by the State, the requirements of the Wagner-Peyser Act to be waived, the outcomes to be achieved and other measures to be taken to ensure appropriate accountability for Federal funds].

For necessary expenses of Opportunity Areas [of] for Out-of-School Youth, in addition to amounts otherwise provided herein, \$250,000,000, to be available for obligation for the period [October 1, 1998] July 1, 2000 through [September 30, 1999, if job training reform legislation authorizing this or similar at-risk youth projects is enacted by July 1, 1998] June 30, 2001. (*Department of Labor Appropriations Act, 1998.*)

**General and special funds—Continued**

**TRAINING AND EMPLOYMENT SERVICES—Continued**

**Program and Financing (in millions of dollars)**

Identification code 16-0174-0-1-504	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Adult training grants .....	894	955	1,000
00.02 Dislocated worker assistance .....	1,230	1,338	1,432
00.03 Youth training grants .....	127	130	130
00.04 Summer youth employment and training program .....	871	871	871
00.05 School-to-work opportunities .....	180	200	200
00.06 Job Corps .....	1,185	1,203	1,249
00.07 Native Americans .....	52	54	54
00.08 Migrant and seasonal farmworkers .....	68	71	71
00.09 Veterans employment .....	8	7	7
00.10 National activities .....	49	69	340
09.01 Reimbursable program .....	5	4	4
10.00 Total obligations .....	4,669	4,902	5,358
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	1,042	1,109	1,199
22.00 New budget authority (gross) .....	4,718	4,992	5,327
22.22 Unobligated balance transferred from other accounts .....	20		
22.30 Unobligated balance expiring .....	-3		
23.90 Total budgetary resources available for obligation .....	5,777	6,101	6,526
23.95 New obligations .....	-4,669	-4,902	-5,358
24.40 Unobligated balance available, end of year:			
Uninvested .....	1,109	1,199	1,168
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	4,720	4,988	5,073
41.00 Transferred to other accounts .....	-4		
43.00 Appropriation (total) .....	4,716	4,988	5,073
Permanent:			
65.00 Advance appropriation (definite) .....			250
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	2	4	4
70.00 Total new budget authority (gross) .....	4,718	4,992	5,327
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	3,833	4,052	3,960
73.10 New obligations .....	4,669	4,902	5,358
73.20 Total outlays (gross) .....	-4,434	-4,994	-4,942
73.40 Adjustments in expired accounts .....	-16		
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	4,052	3,960	4,376
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	740	709	715
86.93 Outlays from current balances .....	3,692	4,281	4,123
86.97 Outlays from new permanent authority .....	2	4	104
87.00 Total outlays (gross) .....	4,434	4,994	4,942
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-1	-2	-2
88.40 Non-Federal sources .....	-1	-2	-2
88.90 Total, offsetting collections (cash) .....	-2	-4	-4
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	4,716	4,988	5,323
90.00 Outlays .....	4,432	4,990	4,938

Note.—Excludes \$5 million in budget authority in 1999 for activities transferred to State Unemployment Insurance and Employment Service Operations. Comparable amounts for 1997 (\$5 million) and 1998 (\$8 million) are included above.

**Summary of Budget Authority and Outlays**

(in millions of dollars)

Enacted/requested:	1997 actual	1998 est.	1999 est.
Budget Authority .....	4,716	4,988	5,323
Outlays .....	4,432	4,990	4,938

Legislative proposal, not subject to PAYGO:

Budget Authority .....			
Outlays .....			-100
Total:			
Budget Authority .....	4,716	4,988	5,323
Outlays .....	4,432	4,990	4,838

**Adult training grants.**—Grants to provide financial assistance to States and territories to design and operate training programs for economically disadvantaged adults.

**Dislocated worker assistance.**—Grants to provide reemployment services and retraining assistance to individuals dislocated from their employment.

**Youth training grants.**—Grants to provide financial assistance to States and territories to design and operate training programs for economically disadvantaged youth.

**Summer youth employment and training.**—Grants to operate programs of employment and training assistance, as well as academic enrichment, for economically disadvantaged youth during the summer months.

**School-to-work opportunities.**—Grants to States and localities, jointly administered by the Departments of Labor and Education, to build systems that provide youth with the knowledge and skills necessary to make an effective transition from school to their first job through work-based learning, school-based education, and connecting activities.

**Job Corps.**—A system of primarily residential centers offering basic education, training, work experience, and other support to economically disadvantaged youth typically from debilitating environments.

**Native Americans.**—Grants to Indian tribes and other Native American groups to provide training, work experience, and other employment-related services to Native Americans.

**Migrant and seasonal farmworkers.**—Grants to public agencies and nonprofit groups to provide training and other employability development services to economically disadvantaged families whose principal livelihood is gained in migratory and other forms of seasonal farmwork.

**Veterans employment.**—Grants or contracts to provide disabled, Vietnam-era, and recently separated veterans with programs to meet their unique employment and training needs.

**National activities.**—Provides program support for JTPA activities and nationally administered programs for segments of the population that have special disadvantages in the labor market. Funding appropriated in 1998 for obligation in 1999 will support a new program of Opportunity Areas for Out-of-School Youth which will provide grants to selected Empowerment Zones (EZ), Enterprise Communities (EC), and other communities meeting EZ/EC criteria in order to substantially increase employment among out-of-school youth through employment and training assistance combined with other assistance. Additional funding requested herein will support the program during the period July 1, 2000 through June 30, 2001.

**Object Classification (in millions of dollars)**

Identification code 16-0174-0-1-504	1997 actual	1998 est.	1999 est.
<b>Direct obligations:</b>			
23.1 Rental payments to GSA .....		1	1
24.0 Printing and reproduction .....		1	1
25.2 Other services .....	32	34	38
25.3 Purchases of goods and services from Government accounts .....	4	3	3
25.5 Research and development contracts .....	3	3	3
31.0 Equipment .....	2	2	2
41.0 Grants, subsidies, and contributions .....	4,477	4,709	5,159
92.0 Undistributed .....	1	1	1
99.0 Subtotal, direct obligations .....	4,519	4,754	5,208
99.0 Reimbursable obligations .....	5	4	4
<b>Allocation Account:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	45	47	48
11.3 Other than full-time permanent .....	3	3	3

11.5	Other personnel compensation .....	3	2	2
11.9	Total personnel compensation .....	51	52	53
12.1	Civilian personnel benefits .....	14	14	14
21.0	Travel and transportation of persons .....	2	2	2
22.0	Transportation of things .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	5	5	5
25.2	Other services .....	34	31	31
26.0	Supplies and materials .....	30	27	27
31.0	Equipment .....	2	1	2
41.0	Grants, subsidies, and contributions .....	5	11	11
92.0	Undistributed .....	1		
99.0	Subtotal, allocation account .....	145	144	146
99.9	Total obligations .....	4,669	4,902	5,358

Obligations are distributed as follows:

Department of Labor .....	4,524	4,758	5,212
Department of Agriculture .....	94	91	93
Department of the Interior .....	51	53	53

TRAINING AND EMPLOYMENT SERVICES  
(Legislative proposal, not subject to PAYGO)  
Program and Financing (in millions of dollars)

Identification code 16-0174-2-1-504	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....			100
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			100
23.95 New obligations .....			-100
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash) .....			100
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			100
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....			100
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....			-100
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			-100

Legislation will be proposed that would authorize the Department of Veterans Affairs to reimburse this account in order to finance activities under the JTPA Section 441 program. This program is designed to meet the unique training needs of veterans. Activities would be carried out through competitive grants and contractual agreements, and would be targeted on older veterans.

WELFARE-TO-WORK JOBS

Program and Financing (in millions of dollars)

Identification code 16-0177-0-1-504	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Formula grants .....		1,120	1,045
00.02 Competitive grants .....		368	343
10.00 Total obligations .....		1,488	1,388
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....		1,488	1,488
23.95 New obligations .....		-1,488	-1,388
24.40 Unobligated balance available, end of year:			
Uninvested .....			100

<b>New budget authority (gross), detail:</b>			
60.00 Appropriation .....	1,488		1,488
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....			1,022
73.10 New obligations .....	1,488		1,388
73.20 Total outlays (gross) .....	-466		-1,299
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....			1,022
1,111			
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	466		573
86.98 Outlays from permanent balances .....			726
87.00 Total outlays (gross) .....	466		1,299
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1,488		1,488
90.00 Outlays .....	466		1,299

This account provides funding for activities of the Welfare-to-Work Grants program, which was established by the Balanced Budget Act of 1997 (P.L. 105-33) which appropriated funding for 1998 and 1999. This program provides formula grants to States and federally administered competitive grants to Private Industry Councils, political subdivisions of States, and private entities to assist hard-to-employ welfare recipients to secure lasting, unsubsidized employment.

Object Classification (in millions of dollars)

Identification code 16-0177-0-1-504	1997 actual	1998 est.	1999 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....		1,486	1,386
41.0 Allocation Account: Grants, subsidies, and contributions .....		2	2
99.9 Total obligations .....		1,488	1,388

COMMUNITY SERVICE EMPLOYMENT FOR OLDER AMERICANS  
[(TRANSFER OF FUNDS)]

For national grants or contracts with public agencies, and public or private nonprofit organizations under paragraph (1)(A) of section 506(a) of title V of the Older Americans Act of 1965, as amended, \$343,356,000; and in addition \$96,844,000 for grants to States under paragraph (3) of such section.

[To carry out the activities for national grants or contracts with public agencies and public or private nonprofit organizations under paragraph (1)(A) of section 506(a) of title V of the Older Americans Act of 1965, as amended, or to carry out older worker activities as subsequently authorized, \$343,356,000.]

[To carry out the activities for grants to States under paragraph (3) of section 506(a) of title V of the Older Americans Act of 1965, as amended, or to carry out older worker activities as subsequently authorized, \$96,844,000.]

[The funds appropriated under this heading shall be transferred to and merged with the Department of Health and Human Services, "Aging Services Programs", for the same purposes and the same period as the account to which transferred, following the enactment of legislation authorizing the administration of the program by that Department.] (Department of Labor Appropriations Act, 1998.)

Program and Financing (in millions of dollars)

Identification code 16-0175-0-1-504	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 National programs .....	361	343	343
00.02 State programs .....	102	97	97
10.00 Total obligations (object class 41.0) .....	463	440	440
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	463	440	440
23.95 New obligations .....	-463	-440	-440

**General and special funds—Continued**

**COMMUNITY SERVICE EMPLOYMENT FOR OLDER AMERICANS—  
Continued  
[(TRANSFER OF FUNDS)]—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 16-0175-0-1-504	1997 actual	1998 est.	1999 est.
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	463	440	440
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	335	388	374
73.10 New obligations .....	463	440	440
73.20 Total outlays (gross) .....	-401	-454	-441
73.40 Adjustments in expired accounts .....	-9		
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	388	374	373
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	96	84	84
86.93 Outlays from current balances .....	305	370	357
87.00 Total outlays (gross) .....	401	454	441
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	463	440	440
90.00 Outlays .....	401	454	441

This program provides part-time work experience in community service activities to unemployed, low-income persons aged 55 and over and is forward funded on a July to June cycle.

**FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES**

For payments during the current fiscal year of trade adjustment benefit payments and allowances under part I; and for training, allowances for job search and relocation, and related State administrative expenses under part II, subchapters B and D, chapter 2, title II of the Trade Act of 1974, as amended, **[\$349,000,000]** \$360,700,000, together with such amounts as may be necessary to be charged to the subsequent appropriation for payments for any period subsequent to September 15 of the current year. (*Department of Labor Appropriations Act, 1998.*)

**Program and Financing (in millions of dollars)**

Identification code 16-0326-0-1-999	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Trade adjustment assistance benefits .....	199	191	218
00.02 Trade adjustment assistance training .....	85	94	94
00.03 North American Free Trade Agreement adjustment assistance benefits .....	17	22	26
00.04 North American Free Trade Agreement adjustment assistance training .....	28	20	23
09.01 Reimbursable program .....	20	40	40
10.00 Total obligations .....	349	367	401
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	355	389	401
22.30 Unobligated balance expiring .....	-5	-22	
23.90 Total budgetary resources available for obligation .....	350	367	401
23.95 New obligations .....	-349	-367	-401
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	325	349	361
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	30	40	40
70.00 Total new budget authority (gross) .....	355	389	401

**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	187	166	174
73.10 New obligations .....	349	367	401
73.20 Total outlays (gross) .....	-342	-359	-396
73.40 Adjustments in expired accounts .....	-27		
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	166	174	178

**Outlays (gross), detail:**

86.90 Outlays from new current authority .....	234	257	292
86.93 Outlays from current balances .....	78	62	64
86.97 Outlays from new permanent authority .....	30	40	40
87.00 Total outlays (gross) .....	342	359	396

**Offsets:**

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-30	-40	-40

**Net budget authority and outlays:**

89.00 Budget authority .....	325	349	361
90.00 Outlays .....	312	319	356

**Summary of Budget Authority and Outlays**

(in millions of dollars)

Enacted/requested:	1997 actual	1998 est.	1999 est.
Budget Authority .....	325	349	361
Outlays .....	312	319	356
Legislative proposal, subject to PAYGO:			
Budget Authority .....			138
Outlays .....			94
Total:			
Budget Authority .....	325	349	499
Outlays .....	312	319	450

**Trade adjustment assistance.**—Adjustment assistance, including cash weekly benefits, training, job search and relocation allowances, is paid to workers as authorized by the Trade Act of 1974, as amended.

**North American Free Trade Agreement (NAFTA) Transitional Adjustment Assistance.**—Adjustment assistance, including weekly cash benefits, training, job search and relocation allowances, is paid to workers determined to be adversely affected as a result of the NAFTA as authorized by the Trade Act of 1974, as amended.

**Object Classification (in millions of dollars)**

Identification code 16-0326-0-1-999	1997 actual	1998 est.	1999 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	329	327	361
99.0 Reimbursable obligations: Subtotal, reimbursable obligations .....	20	40	40
99.9 Total obligations .....	349	367	401

**FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES**

**(Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 16-0326-4-1-999	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....			138
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			138
23.95 New obligations .....			-138
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....			138
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			138

73.20	Total outlays (gross) .....	-94
74.40	Unpaid obligations, end of year: Obligated balance:	
	Uninvested .....	44
<b>Outlays (gross), detail:</b>		
86.90	Outlays from new current authority .....	94
<b>Net budget authority and outlays:</b>		
89.00	Budget authority .....	138
90.00	Outlays .....	94

Legislation will be proposed that would extend the Trade Adjustment Assistance (TAA) and the NAFTA-Transitional Adjustment Assistance (NAFTA-TAA) programs for five years. In addition, the proposed legislation would extend eligibility for TAA to those who lose their jobs due to shifts in production abroad, similar to the provision under NAFTA-TAA for shifts in production to Canada and Mexico. The legislated cap on TAA training expenditures would be raised to support the expected increase in program participants. The requirements linking training and income support would be made more consistent across the two programs. Finally, the proposed legislation would create a contingency funding provision to assure that resources are available to pay for any unexpected increase in benefit costs for eligible workers.

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS

For authorized administrative expenses, **[\$173,452,000]** \$162,097,000, together with not to exceed **[\$3,322,476,000]** \$3,130,476,000 (including not to exceed \$1,228,000 which may be used for amortization payments to States which had independent retirement plans in their State employment service agencies prior to 1980], and including not to exceed \$2,000,000 which may be obligated in contracts with non-State entities for activities such as occupational and test research activities which benefit the Federal-State Employment Service System], which may be expended from the Employment Security Administration account in the Unemployment Trust Fund including the cost of administering section 1201 of the Small Business Job Protection Act of 1996, section 7(d) of the Wagner-Peyser Act, as amended, the Trade Act of 1974, as amended, Section 461 of the Job Training Partnership Act, the Immigration Act of 1990, and the Immigration and Nationality Act, as amended, and of which the sums available in the allocation for activities authorized by title III of the Social Security Act, as amended (42 U.S.C. 502-504), and the sums available in the allocation for necessary administrative expenses for carrying out 5 U.S.C. 8501-8523, shall be available for obligation by the States through December 31, **[1998]** 1999, except that funds used for automation acquisitions shall be available for obligation by States through September 30, **[2000]**; and of which \$40,000,000 of the amount which may be expended from said trust fund, shall be available for obligation for the period October 1, 1998 through September 30, 1999, for the purpose of assisting States to convert their automated State employment security agency systems to be year 2000 compliant] 2001; and of which **[\$173,452,000]** \$162,097,000, together with not to exceed **[\$738,283,000]** \$746,138,000 of the amount which may be expended from said trust fund, shall be available for obligation for the period July 1, **[1998]** 1999 through June 30, **[1999]** 2000, to fund activities under the Act of June 6, 1933, as amended, including the cost of penalty mail authorized under 39 U.S.C. 3202(a)(1)(E) made available to States in lieu of allotments for such purpose, [and of which \$200,000,000 shall be available solely for the purpose of assisting States to convert their automated State employment security agency systems to be year 2000 compliant,] and of which \$196,333,000 shall be available only to the extent necessary for additional State allocations to administer unemployment compensation laws to finance increases in the number of unemployment insurance claims filed and claims paid or changes in a State law: *Provided*, That to the extent that the Average Weekly Insured Unemployment (AWIU) for fiscal year **[1998]** 1999 is projected by the Department of Labor to exceed **[2,789,000]** 2,629,000, an additional \$28,600,000 shall be available for obligation for every 100,000 increase in the AWIU level (including a pro rata amount for any increment less than 100,000) from the Employment Security Administration Account of the Unemployment

Trust Fund: *Provided further*, That funds appropriated in this Act which are used to establish a national one-stop career center network may be obligated in contracts, grants or agreements with non-State entities: *Provided further*, That funds appropriated under this Act for activities authorized under the Wagner-Peyser Act, as amended, and title III of the Social Security Act, may be used by the States to fund integrated Employment Service and Unemployment Insurance automation efforts, notwithstanding cost allocation principles prescribed under Office of Management and Budget Circular A-87.

*In addition, and subject to the same terms and conditions, not to exceed \$91,000,000 shall be available only for State administrative expenses associated solely with the conduct of unemployment insurance integrity functions authorized by title III of the Social Security Act. (Department of Labor Appropriations Act, 1998.)*

Program and Financing (in millions of dollars)				
Identification code 16-0179-0-1-999	1997 actual	1998 est.	1999 est.	
<b>Obligations by program activity:</b>				
Direct program:				
Unemployment compensation:				
00.01	State administration .....	2,331	2,275	2,254
00.02	National activities .....	10	10	10
00.03	Contingency .....		196	196
Employment service:				
00.10	Allotments to States .....	691	831	762
00.11	National activities .....	63	63	55
00.12	One-stop career centers .....	132	155	119
09.01	Reimbursable program .....	7	10	10
10.00	Total obligations .....	3,234	3,540	3,406
<b>Budgetary resources available for obligation:</b>				
21.40	Unobligated balance available, start of year:			
	Uninvested .....	90	171	100
22.00	New budget authority (gross) .....	3,319	3,469	3,403
22.21	Unobligated balance transferred to other accounts .....	-4		
23.90	Total budgetary resources available for obligation .....	3,405	3,640	3,503
23.95	New obligations .....	-3,234	-3,540	-3,406
24.40	Unobligated balance available, end of year:			
	Uninvested .....	171	100	97
<b>New budget authority (gross), detail:</b>				
Current:				
40.00	Appropriation .....	170	173	162
Permanent:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash) .....	3,149	3,296	3,241
70.00	Total new budget authority (gross) .....	3,319	3,469	3,403
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Uninvested .....	221	221	262
73.10	New obligations .....	3,234	3,540	3,406
73.20	Total outlays (gross) .....	-3,224	-3,499	-3,391
73.40	Adjustments in expired accounts .....	-9		
74.40	Unpaid obligations, end of year: Obligated balance:			
	Uninvested .....	221	262	277
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	20	20	12
86.93	Outlays from current balances .....	55	183	138
86.97	Outlays from new permanent authority .....	3,149	3,296	3,241
87.00	Total outlays (gross) .....	3,224	3,499	3,391
<b>Offsets:</b>				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
Federal sources:				
88.00	Federal sources .....	-7	-10	-10
88.00	Trust Fund sources .....	-3,146	-3,286	-3,231
88.00	Federal sources .....	4		
88.90	Total, offsetting collections (cash) .....	-3,149	-3,296	-3,241
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	170	173	162
90.00	Outlays .....	75	203	150

Note.—Includes \$5 million in budget authority in 1999 for activities transferred from Training and Employment Services. Comparable amounts for 1998 (\$8 million) and 1997 (\$5 million) are included in the Training and Employment Services account.

**General and special funds—Continued**

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE  
OPERATIONS—Continued

*Unemployment compensation.*—State administration amounts provide administrative grants to State agencies which pay unemployment compensation to eligible workers and collect State unemployment taxes from employers. These agencies also pay unemployment benefits to former Federal personnel as well as trade adjustment assistance to eligible individuals. State administration amounts also provide administrative grants to State agencies to improve the integrity and financial stability of the unemployment compensation program through a comprehensive program, UI Performs, to effect continuous improvement in State performance and related activities designed to assess and reduce errors and prevent fraud, waste, and abuse in the payment of unemployment compensation benefits and the collection of unemployment taxes. National activities relating to the Federal-State unemployment insurance programs are conducted through contracts or agreements with the State agencies. Contingency funds are available only to meet increases in the costs of administration resulting from changes in State law, or increases in the number of claims filed and claims paid. Legislation will be proposed to provide additional administrative resources for the States to operate their UI programs, as described in the proposal for the Unemployment Trust Fund account.

**PROGRAM STATISTICS**

	1996 actual	1997 estimate	1998 estimate <sup>1</sup>	1999 estimate <sup>1</sup>
Staff years .....	38,482	38,961	48,906	47,986
Basic workload (in thousands):				
Employer tax accounts .....	6,146	6,265	6,347	6,401
Employee wage items recorded .....	495,095	504,695	525,226	529,016
Initial claims taken .....	20,043	18,160	18,632	20,174
Eligibility interviews .....	2,969	2,718	10,428	11,393
Weeks claimed .....	140,463	127,377	125,130	136,716
Nonmonetary determinations .....	7,561	7,627	7,533	7,683
Appeals .....	1,179	1,151	1,136	1,096
Covered employment .....	115,361	118,230	119,770	120,780

<sup>1</sup> 1998 and 1999 estimates include workload that can be financed from contingency funds.

*Employment service.*—The public employment service is a nationwide system providing no-fee employment services to individuals who are seeking employment and employers who are seeking workers. State employment service activities are financed by allotment to States distributed under a demographically based funding formula established under the Wagner-Peyser Act, as amended. Employment service allotments are funded on a program year basis running from July 1 through June 30 of the following year.

Employment service activities serving national needs, including interstate job listings and labor certification of aliens, are conducted through specific reimbursable agreements between the States and the Federal Government under the Wagner-Peyser Act, as amended. Funding is also provided for amortization payments for States which had independent retirement plans prior to 1980 in their State employment service agencies.

Legislation will be proposed that would authorize the Department of Labor to collect fees from employers for the certification of certain aliens as eligible workers. This proposal would be implemented in fiscal year 2000. This is one of several proposals in the budget to charge fees to users directly availing themselves of, or subject to, a government service, program, or activity, in order to cover the government's costs. Upon enactment of the authorization, appropriations language will be used to make the fees available for alien labor certification program operations.

*One-Stop Career Centers.*—These funds will be used to support voluntary State efforts to create a comprehensive system of One-Stop Career Centers which will provide workers and

employers with quick and easy access to a wide array of enhanced career development and labor market information services. Funding for this activity is on a program year basis, running from July 1 through June 30 of the following year.

**PROGRAM STATISTICS**

	(In thousands)			
	1996 actual <sup>1</sup>	1997 estimate <sup>2</sup>	1998 estimate <sup>3</sup>	1999 estimate <sup>4</sup>
Total applicants .....	18,407	18,000	18,000	18,000
Entered employment .....	3,255	3,275	3,300	3,325

<sup>1</sup> For the program year, July 1, 1996–June 30, 1997.  
<sup>2</sup> For the program year, July 1, 1997–June 30, 1998.  
<sup>3</sup> For the program year, July 1, 1998–June 30, 1999.  
<sup>4</sup> For the program year, July 1, 1999–June 30, 2000.

**Object Classification (in millions of dollars)**

Identification code 16–0179–0–1–999	1997 actual	1998 est.	1999 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges .....	109	112	112
41.0 Grants, subsidies, and contributions .....	3,118	3,418	3,284
99.0 Subtotal, direct obligations .....	3,227	3,530	3,396
99.0 Reimbursable obligations .....	7	10	10
99.9 Total obligations .....	3,234	3,540	3,406

**PAYMENTS TO THE UNEMPLOYMENT TRUST FUND**

**Program and Financing (in millions of dollars)**

Identification code 16–0178–0–1–603	1997 actual	1998 est.	1999 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	5	5	5
24.40 Unobligated balance available, end of year:			
Uninvested .....	5	5	5
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			

This account was initiated as a result of the amendments to the Emergency Unemployment Compensation law (P.L. 102–164, as amended) which currently provides for general fund financing for administrative costs related to extended benefits under the optional, total unemployment rate trigger. These funds are transferred to a receipt account in the Unemployment Trust Fund (UTF) in order that resources may be transferred to the Employment Security Administration Account in the UTF for administrative costs.

**ADVANCES TO THE UNEMPLOYMENT TRUST FUND AND OTHER FUNDS**

For repayable advances to the Unemployment Trust Fund as authorized by sections 905(d) and 1203 of the Social Security Act, as amended, and to the Black Lung Disability Trust Fund as authorized by section 9501(c)(1) of the Internal Revenue Code of 1954, as amended; and for nonrepayable advances to the Unemployment Trust Fund as authorized by section 8509 of title 5, United States Code, [section 104(d) of Public Law 102–164, and section 5 of Public Law 103–6,] and to the “Federal unemployment benefits and allowances” account, to remain available until September 30, [1999, \$392,000,000] 2000, \$357,000,000.

In addition, for making repayable advances to the Black Lung Disability Trust Fund in the current fiscal year after September 15, [1998] 1999, for costs incurred by the Black Lung Disability Trust Fund in the current fiscal year, such sums as may be necessary. (*Department of Labor Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)			
Identification code 16-0327-0-1-603	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 92.0)	15		
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	15		
23.95 New obligations	-15		
<b>New budget authority (gross), detail:</b>			
40.05 Appropriation (indefinite)	15		
<b>Change in unpaid obligations:</b>			
73.10 New obligations	15		
73.20 Total outlays (gross)	-15		
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	15		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	15		
90.00 Outlays	15		

This account provides repayable advances to the Black Lung Disability Trust Fund for making payments from that fund whenever its balances prove insufficient. The funding requested in this appropriation for FY 1999 is entirely for Black Lung. This spending authority is presented as authority to borrow in the Black Lung Disability Trust Fund.

This account also provides advances to several other accounts to pay unemployment compensation to eligible individuals under various Federal and State unemployment compensation laws whenever the balances in the funds prove insufficient or whenever reimbursements to certain accounts, as allowed by law, are to be made. Advances made to the Federal employees compensation account in the Unemployment Trust Fund and to the Federal unemployment benefits and allowances account are nonrepayable. All other advances made to the Federal unemployment account and to the Extended unemployment compensation account (both in the Unemployment Trust Fund) are repaid, with interest, to the general fund of the Treasury.

PROGRAM ADMINISTRATION

For expenses of administering employment and training programs, **[\$90,308,000] \$97,262,000**, including **[\$6,000,000] \$6,400,000** to support up to 75 full-time equivalent staff, the majority of which will be term Federal appointments lasting no more than **[three] two** years, to administer welfare-to-work grants, together with not to exceed **[\$41,285,000] \$46,198,000**, which may be expended from the Employment Security Administration account in the Unemployment Trust Fund. (8 U.S.C. 1184; 19 U.S.C. 2271-2321; 20 U.S.C. 6104; 29 U.S.C. 49-49I-1, 50, 1501 et seq.; 42 U.S.C. 502-504, 2000-2000d-4, 3001 et seq.; 8 U.S.C. 1182; 42 U.S.C. 603(a). (Department of Labor Appropriations Act, 1998.)

Program and Financing (in millions of dollars)			
Identification code 16-0172-0-1-504	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Adult employment and training	28	28	31
00.02 Youth employment and training	29	30	33
00.03 Employment security	44	44	47
00.04 Apprenticeship services	16	17	18
00.05 Executive direction	7	7	8
00.06 Welfare-to-work		6	6
09.01 Reimbursable program	1		
10.00 Total obligations	125	132	143
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	124	131	143
22.22 Unobligated balance transferred from other accounts	3		

23.90 Total budgetary resources available for obligation	127	131	143
23.95 New obligations	-125	-132	-143
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation	81	90	97
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	43	41	46
70.00 Total new budget authority (gross)	124	131	143
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	14	14	10
73.10 New obligations	125	132	143
73.20 Total outlays (gross)	-123	-136	-144
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested	14	10	9
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	72	81	87
86.93 Outlays from current balances	10	13	10
86.97 Outlays from new permanent authority	41	41	46
87.00 Total outlays (gross)	123	136	144
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Trust fund sources	-40	-41	-46
88.00 Trust fund sources (Transfer 16-0179)	-3		
88.90 Total, offsetting collections (cash)	-43	-41	-46
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	81	90	97
90.00 Outlays	82	95	98

**Adult employment and training.**—Provides leadership, policy direction and administration for a decentralized system of grants to States and federally administered programs for job training and employment assistance for disadvantaged adults and dislocated workers; provides for training and employment services to special targeted groups; provides for the settlement of trade adjustment petitions; and includes related program operations support activities.

**Youth employment and training.**—Provides leadership, policy direction and administration for a decentralized system of grants to States and federally administered programs for job training and employment assistance for youth, including youth training grants, summer youth programs, the Job Corps; it also provides for leadership and policy direction for implementing the School-to-Work Opportunities system; and includes related program operations support activities.

**Employment security.**—Provides leadership and policy direction for the administration of the comprehensive nationwide public employment service system; unemployment insurance programs in each State; and for a One-Stop career center network, including a comprehensive system of collecting, analyzing and disseminating labor market information; and includes related program operations support activities.

**Apprenticeship services.**—Promotes and provides leadership and policy direction for the administration of apprenticeship as a method of skill acquisition through a Federal-State apprenticeship structure. In 1999, it provides for expansion of the Child Care Apprenticeship Program for increased training of child care providers, in support of the President's Child Care initiative.

**Executive direction.**—Provides leadership and policy direction for all training and employment services programs and activities and provides for related program operations support, including research, evaluations, demonstrations and performance standards, and a new program of Opportunity Areas for Out-of-School Youth.

**General and special funds—Continued**

**PROGRAM ADMINISTRATION—Continued**

*Welfare-to-work.*—Provides leadership, policy direction, technical assistance, and administration for a decentralized system of grants to States and federally administered competitive grants to Private Industry Councils, political subdivisions of States, and private entities to assist hard-to-employ welfare recipients to secure lasting, unsubsidized employment.

Legislation will be proposed that would authorize the Department of Labor to collect fees from employers for the certification of certain aliens as eligible workers. This proposal would be implemented in fiscal year 2000. This is one of several proposals in the budget to charge fees to users directly availing themselves of, or subject to, a government service, program, or activity, in order to cover the government's costs. Upon enactment of the authorization, appropriations language will be used to make the fees available for alien labor certification program operations.

**Object Classification** (in millions of dollars)

Identification code 16-0172-0-1-504	1997 actual	1998 est.	1999 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	73	75	80
11.3 Other than full-time permanent .....	1	3	3
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	75	79	84
12.1 Civilian personnel benefits .....	14	16	17
21.0 Travel and transportation of persons .....	4	5	5
23.1 Rental payments to GSA .....	10	10	11
23.3 Communications, utilities, and miscellaneous charges .....	1	1	2
25.2 Other services .....	3	3	3
25.3 Purchases of goods and services from Government accounts .....	10	10	12
25.7 Operation and maintenance of equipment .....	3	3	4
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	3	3
99.0 Subtotal, direct obligations .....	123	131	142
99.0 Reimbursable obligations .....	1		
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	125	132	143

**Personnel Summary**

Identification code 16-0172-0-1-504	1997 actual	1998 est.	1999 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	1,296	1,375	1,442
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	10	3	3

**UNEMPLOYMENT TRUST FUND**

**Unavailable Collections** (in millions of dollars)

Identification code 20-8042-0-7-999	1997 actual	1998 est.	1999 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....	53,317	61,416	70,187
<b>Receipts:</b>			
02.01 General taxes, FUTA .....	6,103	6,196	6,254
02.02 Deposits by Federal agencies to the Federal Employees Compensation Account .....	602	517	526
02.03 Non-repayable advances for unemployment compensation .....	5		
02.04 Payments from the general fund for administrative costs .....	-42		
02.05 Interest and profits on investments in public debt securities .....	3,713	4,213	4,491
02.06 State accounts, deposits by States .....	22,071	22,658	24,175
02.08 Deposits by Railroad Retirement Board .....	28	68	104
02.09 CMA interest, Unemployment trust fund .....	2	2	2

02.99 Total receipts .....	32,482	33,654	35,552
04.00 Total: Balances and collections .....	85,799	95,070	105,739
<b>Appropriation:</b>			
05.01 Unemployment trust fund .....	-24,309	-24,808	-27,550
05.02 Unemployment trust fund, legislative proposal subject to PAYGO .....			-126
05.04 Railroad unemployment insurance trust fund .....	-74	-75	-73
05.99 Subtotal appropriation .....	-24,383	-24,883	-27,749
07.99 Total balance, end of year .....	61,416	70,187	77,990

**Program and Financing** (in millions of dollars)

Identification code 20-8042-0-7-999	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
<b>Federal-State unemployment insurance:</b>			
<b>Withdrawals:</b>			
00.01 Benefit payments by States .....	20,278	20,631	23,359
00.02 Federal employees' unemployment compensation .....	550	506	533
00.03 State administrative expenses .....	3,140	3,335	3,316
<b>Federal administrative expenses:</b>			
00.10 Direct expenses .....	45	45	50
00.11 Reimbursements to the Department of the Treasury .....	111	106	107
00.20 Veterans employment and training .....	182	182	183
00.21 Interest on refunds .....	3	3	3
10.00 Total obligations .....	24,309	24,808	27,551

**Budgetary resources available for obligation:**

21.40 Unobligated balance available, start of year:			
Uninvested .....	694	694	694
22.00 New budget authority (gross) .....	24,309	24,808	27,550
23.90 Total budgetary resources available for obligation .....	25,003	25,502	28,244
23.95 New obligations .....	-24,309	-24,808	-27,551
24.40 Unobligated balance available, end of year:			
Uninvested .....	694	694	694

**New budget authority (gross), detail:**

<b>Current:</b>			
40.26 Appropriation (trust fund, definite) .....	3,421	3,562	3,508
<b>Permanent:</b>			
60.27 Appropriation (trust fund, indefinite) .....	32,482	33,651	35,513
60.45 Portion precluded from obligation .....	-11,594	-12,405	-11,511
63.00 Appropriation (total) .....	20,888	21,246	24,002
65.26 Advance appropriation (trust fund, definite) .....			40
70.00 Total new budget authority (gross) .....	24,309	24,808	27,550

**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	15	24	88
73.10 New obligations .....	24,309	24,808	27,551
73.20 Total outlays (gross) .....	-24,300	-24,744	-27,590
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	24	88	49

**Outlays (gross), detail:**

86.90 Outlays from new current authority .....	2,774	2,892	2,894
86.93 Outlays from current balances .....	647	606	670
86.97 Outlays from new permanent authority .....	20,879	21,246	24,026
87.00 Total outlays (gross) .....	24,300	24,744	27,590

**Net budget authority and outlays:**

89.00 Budget authority .....	24,309	24,808	27,550
90.00 Outlays .....	24,299	24,744	27,590

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	1997 actual	1998 est.	1999 est.
<b>Enacted/requested:</b>			
Budget Authority .....	24,309	24,808	27,550
Outlays .....	24,300	24,744	27,590
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			126
Outlays .....			126
<b>Total:</b>			
Budget Authority .....	24,309	24,808	27,676
Outlays .....	24,300	24,744	27,716



The financial transactions of the Federal-State and railroad unemployment insurance systems are made through the Unemployment Trust Fund. All State and Federal unemployment tax receipts are deposited in the trust fund and invested in Government securities until needed for benefit payments or administrative costs. States may receive repayable advances from the fund when their balances in the fund are insufficient to pay benefits. The fund may receive repayable advances from the general fund when it has insufficient balances to make advances to States or to pay the Federal share of extended benefits.

State payroll taxes pay for all regular State benefits. During periods of high State unemployment, extended benefits, financed one-half by State payroll taxes and one-half by the Federal unemployment payroll tax, are also paid. The Federal tax pays the costs of Federal and State administration of unemployment insurance and veterans employment services and 97% of the costs of the employment service.

The Federal employees compensation account provides funds to States for unemployment compensation benefits paid to eligible former Federal civilian personnel, Postal Service employees, and ex-servicemembers. Benefits paid are reimbursed to the Federal employees compensation account by the various Federal agencies. Any additional resources necessary to assure that the account can make the required payments to States will be provided from the Advances to the Unemployment Trust Fund and other funds account.

Both the benefit payments and administrative expenses of the separate unemployment insurance program for railroad employees are paid from the unemployment trust fund and receipts from the tax on railroad payrolls are deposited in the fund to meet expenses.

**Status of Funds** (in millions of dollars)

Identification code 20-8042-0-7-999	1997 actual	1998 est.	1999 est.
Unexpended balance, start of year:			
0100 Treasury balance .....	135	213	265
0101 U.S. Securities: Par value .....	53,893	61,923	70,706
0199 Total balance, start of year .....	54,028	62,136	70,971
Cash income during the year:			
Governmental receipts:			
0200 General taxes, FUTA, Unemployment trust fund .....	6,103	6,196	6,254
0201 Unemployment trust fund, State accounts, Deposits by States .....	22,071	22,658	24,175
0202 Deposits by Railroad Retirement Board .....	28	68	104
Proprietary receipts:			
0221 CMA interest, Unemployment trust fund .....	2	2	2
Intragovernmental transactions:			
0240 Deposits by Federal agencies to the Federal Employees Compensation Account, Unemployment trust fund .....	602	517	526
0241 Non-repayable advances for unemployment compensation, Unemployment trust fund .....	5		
0243 Repayments to the general fund .....	-42		
0244 Unemployment trust fund, Interest and profits on investments in public debt securities .....	3,713	4,213	4,491
0299 Total cash income .....	32,482	33,654	35,552
Cash outgo during year:			
0500 Unemployment trust fund .....	-24,300	-24,744	-27,590
0503 Railroad unemployment insurance trust fund .....	-74	-75	-73
0504 Cash outgo during the year (-) .....			-126
0597 Outgo under present law (-) .....	-24,374	-24,819	-27,663
0598 Outgo under proposed legislation (-) .....			-126
0599 Total cash outgo (-) .....	-24,374	-24,819	-27,789
Unexpended balance, end of year:			
0700 Uninvested balance .....	213	265	278
0701 U.S. Securities: Par value .....	61,923	70,706	78,456
0799 Total balance, end of year .....	62,136	70,971	78,734

**Object Classification** (in millions of dollars)

Identification code 20-8042-0-7-999	1997 actual	1998 est.	1999 est.
Purchases of goods and services from Government accounts:			
25.3 Employment and training administration .....	41	41	46
25.3 Departmental management .....	4	4	4
25.3 Reimbursements to Department of the Treasury .....	111	106	107
Grants, subsidies, and contributions:			
41.0 Payments to States for administrative expenses .....	3,140	3,335	3,308
41.0 One-Stop, LMI .....			8
Insurance claims and indemnities:			
42.0 Federal unemployment benefits .....	550	506	533
42.0 State unemployment benefits .....	20,278	20,631	23,359
43.0 Interest and dividends .....	3	3	3
93.0 Veterans employment and training .....	182	182	183
99.0 Subtotal, direct obligations .....	24,309	24,808	27,551
99.9 Total obligations .....	24,309	24,808	27,551

**UNEMPLOYMENT TRUST FUND**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 20-8042-4-7-999	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....			126
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			126
23.95 New obligations .....			-126
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite) .....			126
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			126
73.20 Total outlays (gross) .....			-126
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....			126
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			126
90.00 Outlays .....			126

Legislation will be proposed that would enhance the Unemployment Insurance (UI) safety net to assure the availability of benefits in the event of a recession, make the program more accessible to unemployed workers, and improve State administrative operations. Proposed legislation would change the trigger for the standby Extended Benefits program to make it more responsive to rising State unemployment. Proposed legislation would also encourage States to improve the solvency of their unemployment trust funds and their ability to pay benefits in a recession. Legislation will seek additional administrative resources for the States to operate their UI programs, improving service delivery and improving access to UI benefits for low-wage workers.

**PENSION AND WELFARE BENEFITS ADMINISTRATION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses for the Pension and Welfare Benefits Administration, [\$82,000,000, of which \$3,000,000 shall remain available through September 30, 1999 for expenses of completing the revision of the processing of employee benefit plan returns] \$90,974,000. (Department of Labor Appropriations Act, 1998.)

**General and special funds—Continued****SALARIES AND EXPENSES—Continued****Program and Financing (in millions of dollars)**

Identification code 16-1700-0-1-601	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Enforcement and compliance .....	55	72	72
00.02 Policy, regulations, and public services .....	12	12	15
00.03 Program oversight .....	4	4	4
00.91 Total direct obligations .....	71	88	91
09.01 Reimbursable program .....		1	1
10.00 Total obligations .....	71	89	92
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....		6	
22.00 New budget authority (gross) .....	77	83	92
23.90 Total budgetary resources available for obligation	77	89	92
23.95 New obligations .....	-71	-89	-92
24.40 Unobligated balance available, end of year:			
Uninvested .....	6		
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	77	82	91
Permanent:			
68.00 Spending authority from offsetting collections: Off-			
setting collections (cash) .....		1	1
70.00 Total new budget authority (gross) .....	77	83	92
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	18	16	17
73.10 New obligations .....	71	89	92
73.20 Total outlays (gross) .....	-73	-88	-90
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	16	17	19
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	58	70	77
86.93 Outlays from current balances .....	15	17	12
86.97 Outlays from new permanent authority .....		1	1
87.00 Total outlays (gross) .....	73	88	90
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal			
sources .....		-1	-1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	77	82	91
90.00 Outlays .....	73	87	89

**Enforcement and compliance.**—Conducts criminal and civil investigations, and performs reviews to ensure compliance with the fiduciary provisions of the Employee Retirement Income Security Act (ERISA) and the Federal Employees' Retirement System Act of 1986 (FERSA). Assures compliance with applicable reporting requirements, as well as accounting, auditing and actuarial standards. Provides compliance assistance to the public. The 1999 estimates include: (1) expanded compliance and technical assistance capabilities to help ensure employers, practitioners, participants, and beneficiaries understand their rights and responsibilities under the new health benefit laws; and (2) transition to enhanced pension protection through faster multi-agency processing of employers' plan returns.

	1997 actual	1998 est.	1999 est.
Plan reviews and investigations conducted .....	7,004	7,895	7,567
Inquiries received:			
Field offices <sup>1</sup> .....	109,599	121,000	240,500

**Policy, regulations and public services.**—Conducts policy, research, and legislative analyses on pension, health, and other

employee benefit issues. Promulgates regulations and interpretations. Issues individual and class exemptions from regulations. Discloses government-required reports and provides compliance assistance to the public. The 1999 estimates include enhanced compliance guidance, interpretive and analysis capabilities related to the new health benefit laws covering private employers.

	1997 actual	1998 est.	1999 est.
Exemptions, variances, determinations, interpretations, and regulations issued .....	1,131	1,227	1,303
Inquiries received:			
National office <sup>1</sup> .....	45,797	53,000	75,500

<sup>1</sup>Inquiries received at field and national offices represent the total number of inquiries received by staff.

**Program oversight.**—Provides overall policy direction, strategic planning, leadership, and management of the pension and welfare benefits program. Provides administrative support for budget, debt collection, personnel, labor/employee relations, and other administrative activities, as well as technical program training related to the agency's enforcement, policy, legislative and regulatory functions.

**Object Classification (in millions of dollars)**

Identification code 16-1700-0-1-601	1997 actual	1998 est.	1999 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	33	38	42
12.1 Civilian personnel benefits .....	7	8	8
21.0 Travel and transportation of persons .....	2	2	3
23.1 Rental payments to GSA .....	4	4	5
23.3 Communications, utilities, and miscellaneous			
charges .....		1	1
25.2 Other services .....	3	5	5
25.3 Purchases of goods and services from Government			
accounts .....	11	13	14
25.5 Research and development contracts .....	2	2	2
25.7 Operation and maintenance of equipment .....	5	14	10
31.0 Equipment .....	3	1	1
99.0 Subtotal, direct obligations .....	70	88	91
99.0 Reimbursable obligations .....		1	1
99.5 Below reporting threshold .....	1		
99.9 Total obligations .....	71	89	92

**Personnel Summary**

Identification code 16-1700-0-1-601	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent			
employment .....	606	709	764

**PENSION BENEFIT GUARANTY CORPORATION****Federal Funds****Public enterprise funds:****PENSION BENEFIT GUARANTY CORPORATION FUND**

The Pension Benefit Guaranty Corporation is authorized to make such expenditures, including financial assistance authorized by section 104 of Public Law 96-364, within limits of funds and borrowing authority available to such Corporation, and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 104 of the Government Corporation Control Act, as amended (31 U.S.C. 9104), as may be necessary in carrying out the program through September 30, [1998] 1999, for such Corporation: *Provided*, That not to exceed [\$10,433,000] \$10,958,000 shall be available for administrative expenses of the Corporation: *Provided further*, That expenses of such Corporation in connection with the termination of pension plans, for the acquisition, protection or management, and investment of trust assets, and for benefits administration services shall be considered as non-administrative expenses for the purposes hereof, and excluded from the above limitation. (*Department of Labor Appropriations Act, 1998.*)

**Program and Financing** (in millions of dollars)

Identification code 16-4204-0-3-601	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
09.01 Single employer program benefits payments .....	790	869	977
09.02 Multi-employer program financial assistance .....	5	6	14
09.03 Administrative expenses .....	10	11	11
09.04 Services related to terminations .....	125	137	148
10.00 Total obligations .....	930	1,023	1,150
<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
21.40 Uninvested .....	63	83	67
U.S. Securities:			
21.41 Par value .....	6,532	7,760	9,045
21.42 Unrealized discounts .....	-343	-374	-374
21.99 Total unobligated balance, start of year .....	6,252	7,469	8,738
22.00 Budget authority from offsetting collections .....	2,147	2,292	2,399
23.90 Total budgetary resources available for obligation .....	8,399	9,761	11,137
23.95 New obligations .....	-930	-1,023	-1,150
Unobligated balance available, end of year:			
24.40 Uninvested .....	83	67	68
U.S. Securities:			
24.41 Par value .....	7,760	9,045	10,292
24.42 Unrealized discounts .....	-374	-374	-374
24.99 Total unobligated balance, end of year .....	7,469	8,738	9,986
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash) .....	2,147	2,292	2,399
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	37	17	34
73.10 New obligations .....	930	1,023	1,150
73.20 Total outlays (gross) .....	-950	-1,006	-1,151
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	17	34	33
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	950	1,006	1,151
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on U.S. securities .....	-574	-588	-663
Non-Federal sources:			
88.40 Premium income .....	-1,071	-1,000	-979
88.40 Benefit payment reimbursements .....	-333	-567	-609
88.40 Reimbursements from trust funds for services related to terminations .....	-169	-137	-148
88.90 Total, offsetting collections (cash) .....	-2,147	-2,292	-2,399
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	-1,197	-1,286	-1,248

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	1997 actual	1998 est.	1999 est.
Enacted/requested:			
Budget Authority .....			
Outlays .....	-1,197	-1,286	-1,248
Legislative proposal, subject to PAYGO:			
Budget Authority .....			
Outlays .....			1
Total:			
Budget Authority .....			
Outlays .....	-1,197	-1,286	-1,247

**Status of Direct Loans** (in millions of dollars)

Identification code 16-4204-0-3-601	1997 actual	1998 est.	1999 est.
Cumulative balance of direct loans outstanding:			
1231 Disbursements: Direct loan disbursements .....	5	6	14
1263 Write-offs for default: Direct loans .....	-5	-6	-14

This wholly owned government corporation administers programs of mandatory insurance to prevent loss of pension benefits under covered private, defined-benefit pension plans if single-employer plans terminate or if multiemployer plans are unable to pay benefits.

**Single-employer program.**—The single-employer program protects about 33 million participants in about 43,000 pension plans. Under this program a company may voluntarily seek to terminate its plan, or the PBGC may seek termination under certain circumstances. The PBGC must seek termination when a plan cannot pay current benefits.

In a "standard" termination, plan assets must be sufficient to pay all benefits before the plan is allowed to end. That payment is in the form of an annuity purchased from an insurance company, or a lump sum payment. After the payment is made, the PBGC guarantee ends. A plan that cannot pay all benefits may be ended by a "distress" termination, but only if the employer meets tests proving severe financial distress; for example, the likelihood that continuing the plan would force the company to shut down. If the terminated plan cannot pay at least the PBGC-guaranteed benefits, the PBGC uses its funds to ensure guaranteed benefits are paid.

	1997 actual	1998 est.	1999 est.
Government trusteeships at end of year .....	2,420	2,580	2,730
Participants in government trusteeships owed benefits .....	465,000	515,000	565,000
Retirees receiving monthly benefits .....	205,800	227,900	250,100

**Multiemployer program.**—The multiemployer insurance program protects about 8.8 million participants in about 2,000 plans. Multiemployer pension plans are maintained under collectively bargained agreements involving unrelated employers, generally in the same industry. If a PBGC-insured multiemployer plan is unable to pay guaranteed benefits when due, the PBGC will provide the plan with financial assistance to continue paying guaranteed benefits, ordinarily in the form of a loan to the plan. Twenty-four plans are expected to be receiving assistance in 1999.

**Administrative expenses subject to limitation.**—Provides for collection of over \$1 billion in premiums, accounting and auditing services, asset management, executive direction, and other support functions.

**Services related to terminations.**—This activity provides for needed, but unpredictable, costs related to benefits administration, actuarial services, managing the assets of trustee plans, and a share of other costs arising from plan termination. Funding includes enhancement in customer services to process final benefit determinations faster.

	1997 actual	1998 est.	1999 est.
Plans terminated during the year:			
With sufficient assets .....	3,060	3,000	3,000
Without sufficient assets .....	165	150	150
Regulations issued .....	10	10	10

**Financing.**—The primary source of financing is annual premiums paid by sponsors of ongoing covered plans, which vary according to the plans' funding level. Other sources of financing include assets from terminated plans, investment income, and amounts due the Corporation from the sponsors of terminating plans. Also, the Corporation is authorized to borrow up to \$100 million from the U.S. Treasury.

**Operating results.**—The following tables show the status of the Corporation's trust funds and the Corporation's operating results.

**STATUS OF TRUST FUNDS**

(In thousands of dollars)

	1996 actual	1997 actual	1998 est.	1999 est.
Assets:				
Cash .....	393,010	267,930	267,930	267,930
Investments .....	4,554,160	6,353,630	7,277,780	7,838,870
Receivables:				
Due from Pension Benefit Guaranty Corporation .....	5,872,970	4,590,980	5,299,960	5,973,260
Due from employers—terminated plans .....	44,050	51,990	277,080	297,060
Assets of pretrusteed plans .....	118,590	104,370	532,820	354,910

**Public enterprise funds—Continued**

**PENSION BENEFIT GUARANTY CORPORATION FUND—Continued**

**STATUS OF TRUST FUNDS—Continued**

(In thousands of dollars)

	1996 actual	1997 actual	1998 est.	1999 est.
Other assets .....	124,680	46,890	46,900	46,900
<b>Total assets .....</b>	<b>11,107,460</b>	<b>11,415,790</b>	<b>13,702,470</b>	<b>14,778,930</b>
<b>Liabilities:</b>				
Estimate of future benefits—terminated plans .....	9,933,220	10,310,410	13,428,190	14,581,270
Estimate of probable terminations (net claims for) .....	954,690	1,059,440	236,120	159,500
Other liabilities .....	219,550	45,940	38,160	38,160
<b>Total liabilities .....</b>	<b>11,107,460</b>	<b>11,415,790</b>	<b>13,702,470</b>	<b>14,778,930</b>

**CHANGE IN CORPORATION'S LIABILITY UNDER TERMINATED PLANS**

(In thousands of dollars)

	1996 actual	1997 actual	1998 est.	1999 est.
Liability, beginning of year .....	5,033,803	4,918,288	3,531,602	5,063,900
Liability incurred due to plan terminations .....	342,830	145,591	1,382,686	645,652
(New liabilities assumed) .....	578,950	694,496	3,266,874	1,270,376
(Plan assets acquired) .....	-348,540	-564,966	-1,608,034	-561,506
(Recoveries from employers, net) .....	112,420	16,061	-276,154	-63,218
Operating loss of trust fund .....	-14,580	-1,116,822	444,256	472,924
Benefit payments .....	-443,765	-415,455	-294,644	-368,656
<b>Liability, end of year .....</b>	<b>4,918,288</b>	<b>3,531,602</b>	<b>5,063,900</b>	<b>5,813,820</b>

**Statement of Operations (in millions of dollars)**

Identification code 16-4204-0-3-601	1996 actual	1997 actual	1998 est.	1999 est.
<b>Revenue:</b>				
0101 Premium income .....	1,183	1,090	999	980
0101 Investment income .....	152	1,006	588	663
0101 Other income .....	6	5		
<b>Expense:</b>				
0102 Trust fund operating loss .....	15	1,116	-444	-473
0102 Net liability due to plan terminations .....	-343	-146	-1,382	-646
0102 Provision for probable terminations .....	224	-105	823	77
0102 Change in allowance for uncollectible financial assistance .....	-102	3	-22	-56
0102 Administrative expenses .....	-21	-27	-11	-12
0109 Net income or loss (-) .....	1,114	2,942	551	533
0191 Total revenues .....	1,341	2,101	1,587	1,643
0192 Total expenses .....	-227	841	-1,036	-1,110
0199 Net income or loss .....	1,114	2,942	551	533

**Balance Sheet (in millions of dollars)**

Identification code 16-4204-0-3-601	1996 actual	1997 actual	1998 est.	1999 est.
<b>ASSETS:</b>				
<b>Federal assets:</b>				
1101 Fund balances with Treasury .....	1	1	1	1
Investments in US securities:				
Treasury securities, par:				
1102 Treasury securities, par .....	6,532	7,760	9,045	10,292
1102 Treasury securities, unamortized discount (-)/premium (+) .....	655	1,187	1,223	1,232
1106 Receivables, net .....	95	114	114	114
1206 Non-Federal assets: Receivables, net .....	247	125	22	24
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross .....	31	35		
1602 Interest receivable .....	19	24		
1603 Allowance for estimated uncollectible loans and interest (-) .....	-50	-59		
1699 Value of assets related to direct loans .....				
<b>Other Federal assets:</b>				
1801 Cash and other monetary assets .....		1		

1803 Property, plant and equipment, net	4	5	5	5
1901 Other assets .....	24			
1999 Total assets .....	7,558	9,193	10,410	11,668
<b>LIABILITIES:</b>				
<b>Non-Federal liabilities:</b>				
2201 Accounts payable .....	328	307	302	295
2206 Pension and other actuarial liabilities .....	6,238	4,952	5,623	6,380
2999 Total liabilities .....	6,566	5,259	5,925	6,675
<b>NET POSITION:</b>				
3300 Cumulative results of operations .....	992	3,934	4,485	4,993
3999 Total net position .....	992	3,934	4,485	4,993
4999 Total liabilities and net position .....	7,558	9,193	10,410	11,668

**Object Classification (in millions of dollars)**

Identification code 16-4204-0-3-601	1997 actual	1998 est.	1999 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	40	43	44
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	1	2	2
11.9 Total personnel compensation .....	43	47	48
12.1 Civilian personnel benefits .....	9	10	10
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	13	12	12
23.3 Communications, utilities, and miscellaneous charges .....	1	3	3
24.0 Printing and reproduction .....	1	1	1
25.2 Other services .....	64	70	78
25.3 Purchases of goods and services from Government accounts .....	1	1	1
26.0 Supplies and materials .....	1	1	2
31.0 Equipment .....	2	2	3
33.0 Investments and loans .....	5	6	14
42.0 Insurance claims and indemnities .....	790	869	977
99.9 Total obligations .....	930	1,023	1,150

**Personnel Summary**

Identification code 16-4204-0-3-601	1997 actual	1998 est.	1999 est.
2001 Total compensable workyears: Full-time equivalent employment .....	737	754	754

**PENSION BENEFIT GUARANTY CORPORATION  
(Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 16-4204-4-3-601	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 33.0) .....			1
<b>Budgetary resources available for obligation:</b>			
23.95 New obligations .....			-1
24.40 Unobligated balance available, end of year: Uninvested .....			-1
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			1
73.20 Total outlays (gross) .....			-1
<b>Outlays (gross), detail:</b>			
86.98 Outlays from permanent balances .....			1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			1

**Status of Direct Loans (in millions of dollars)**

Identification code 16-4204-4-3-601	1997 actual	1998 est.	1999 est.
<b>Cumulative balance of direct loans outstanding:</b>			
1231 Disbursements: Direct loan disbursements .....			1
1263 Write-offs for default: Direct loans .....			-1

The Administration will propose legislation to expand pension coverage, improve benefit portability, and strengthen retirement security. Included in the package will be: a new, simplified defined-benefit pension plan for small businesses, which are only about half as likely as larger companies to offer worker pensions; raising the current guarantee cap on multiemployer pension benefits; and extending PBGC's "missing participants" program to defined-contribution plans and to certain defined-benefit plans, such as multiemployer plans.

73.20	Total outlays (gross) .....	-313	-332	-349
74.40	Unpaid obligations, end of year: Obligated balance:			
	Uninvested .....	36	36	37
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	261	277	289
86.93	Outlays from current balances .....	22	24	23
86.97	Outlays from new permanent authority .....	30	32	37
87.00	Total outlays (gross) .....	313	332	349
<b>Offsets:</b>				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources .....	-27	-27	-32
88.40	Non-Federal sources .....	-3	-5	-5
88.90	Total, offsetting collections (cash) .....	-30	-32	-37
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	293	300	314
90.00	Outlays .....	283	300	312

**EMPLOYMENT STANDARDS ADMINISTRATION**

*Federal Funds*

**General and special funds:**

SALARIES AND EXPENSES

For necessary expenses for the Employment Standards Administration, including reimbursement to State, Federal, and local agencies and their employees for inspection services rendered, **[\$299,660,000]** \$314,267,000, together with **[\$993,000]** \$1,924,000 which may be expended from the Special Fund in accordance with sections 39(c), 44(d) and 44(j) of the Longshore and Harbor Workers' Compensation Act: *Provided*, That \$500,000 shall be for the development of an alternative system for the electronic submission of reports as required to be filed under the Labor-Management Reporting and Disclosure Act of 1959, as amended, and for a computer database of the information for each submission by whatever means, that is indexed and easily searchable by the public via the Internet: *Provided further*, That the Secretary of Labor is authorized to accept, retain, and spend, until expended, in the name of the Department of Labor, all sums of money ordered to be paid to the Secretary of Labor, in accordance with the terms of the Consent Judgment in Civil Action No. 91-0027 of the United States District Court for the District of the Northern Mariana Islands (May 21, 1992): *Provided further*, That the Secretary of Labor is authorized to establish and, in accordance with 31 U.S.C. 3302, collect and deposit in the Treasury fees for processing applications and issuing certificates under sections 11(d) and 14 of the Fair Labor Standards Act of 1938, as amended (29 U.S.C. 211(d) and 214) and for processing applications and issuing registrations under title I of the Migrant and Seasonal Agricultural Worker Protection Act (29 U.S.C. 1801 et seq.). (*Department of Labor Appropriations Act, 1998.*)

*Enforcement of wage and hour standards.*—The Wage and Hour Division works to obtain and encourage compliance with the minimum wage, overtime, child labor, and other employment standards under the Fair Labor Standards Act, Migrant and Seasonal Agricultural Worker Protection Act, the Family and Medical Leave Act, certain provisions of the Immigration and Nationality Act, the wage garnishment provisions in title III of the Consumer Credit Protection Act, and the Employee Polygraph Protection Act. Prevailing wages are determined and employment standards enforced under various Government contract wage standards. In 1999 approximately 265,000 persons are expected to be aided under the Fair Labor Standards Act through securing agreements with firms to pay back wages owed to their workers. In Government contract compliance actions, about 24,000 persons will be aided through securing agreements to pay wages owed to workers. Under the Migrant and Seasonal Agricultural Worker Protection Act program, approximately 2,500 investigations and 1,000 housing inspections will be completed. In the course of all on-site investigations, investigators will routinely check for employer compliance with child labor standards and the employment eligibility verification recordkeeping requirements of the Immigration and Nationality Act. The Electronic Service Contract Act Notification System, which will enable contracting agencies to request and receive wage determination data, will become operational in FY 1998. Resources will be earmarked for Davis-Bacon wage survey/wage determination reengineering and reinvention in FY 1999. The Budget maintains resources for the Wage and Hour Division which will be assigned to areas where employment of illegal immigrants is most prevalent. The targeting of labor standards enforcement efforts in those industries and geographic areas where unauthorized workers are most prevalent will help to reduce the economic incentive for such illegal employment practices and will, in turn, reduce illegal immigration.

*Federal contractor EEO standards enforcement.*—The Office of Federal Contract Compliance Programs (OFCCP) is responsible for ensuring nondiscrimination in employment based on race, sex, religion, color, national origin, disability or veteran status by Federal contractors and subcontractors at 90,000 supply and service establishments and 150,000 construction sites with a total workforce of 22 million people. It conducts compliance reviews and complaint investigations. It assures that Federal contractors and subcontractors take affirmative action in the hiring and advancement of minorities and women under the authority of Executive Orders 11246 and 11375. It enforces the affirmative action and nondiscrimination provisions of the Rehabilitation Act of 1973 and, as an agent of the Equal Employment Opportunity Commission, the Americans With Disabilities Act of 1990. It ensures that contractors comply with the provisions of the Vietnam Era Veter-

**Program and Financing (in millions of dollars)**

Identification code 16-0105-0-1-505	1997 actual	1998 est.	1999 est.	
<b>Obligations by program activity:</b>				
Direct program:				
00.01	Enforcement of wage and hour standards .....	118	121	130
00.02	Federal contractor EEO standards enforcement .....	59	62	68
00.03	Federal programs for workers' compensation .....	102	105	108
00.04	Program direction and support .....	12	12	12
00.05	Labor-management standards .....	29	27	28
09.01	Reimbursable program .....	3	5	5
10.00	Total obligations .....	323	332	351
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	323	332	351
23.95	New obligations .....	-323	-332	-351
<b>New budget authority (gross), detail:</b>				
Current:				
40.00	Appropriation .....	290	300	314
40.75	Reduction pursuant to P.L. 104-208 .....	-1		
42.00	Transferred from other accounts .....	4		
43.00	Appropriation (total) .....	293	300	314
Permanent:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash) .....	30	32	37
70.00	Total new budget authority (gross) .....	323	332	351
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Uninvested .....	26	36	36
73.10	New obligations .....	323	332	351

General and special funds—Continued

SALARIES AND EXPENSES—Continued

ans Readjustment Assistance Act of 1974 providing for affirmative action by Federal contractors to employ, and advance in employment, special disabled and Vietnam era veterans.

The Budget includes resources for a tiered-review process, which will significantly reduce the paperwork burden on federal contractors and increase coverage of the contractor universe; compliance assistance to ensure that federal contractors are fully aware of their equal employment opportunity responsibilities; increased vigilance in preventing discrimination based on national origin through coordination with the Department of Justice; and the review of federal contractor's compliance with the Family and Medical Leave Act. In 1999, approximately 2,300,000 individuals will be directly aided through 5,000 compliance reviews, 425 complaint investigations, and 5,040 other compliance actions.

*Federal programs for workers' compensation.*—Under this income maintenance activity, the Employment Standards Administration administers the Federal Employees' Compensation Act, the Longshore and Harbor Workers' Compensation Act, and the benefit provisions of the Federal Mine Safety and Health Act of 1977. These programs ensure that eligible disabled and injured workers or their survivors receive compensation and medical benefits and a range of services including rehabilitation, supervision of medical care, and technical and advisory counseling to which they are entitled. Monitoring services are provided with respect to State workers' compensation laws.

*Program direction and support.*—This activity includes planning, personnel management, financial management, and Federal/State liaison programs, management systems implementation, and data processing operations. Major goals in 1999 will include implementing financial management initiatives; continued efforts to eliminate internal fraud, waste, and mismanagement; the improvement of management information, automated data processing, and program and fiscal accountability; and legislative and regulatory improvements.

*Labor-management standards.*—The Office of Labor-Management Standards (OLMS) collects and discloses union financial reports; audits union financial records and investigates possible embezzlements of union funds; conducts election investigations and reruns of union officer elections after court determinations that elections were not conducted in accordance with the Labor-Management Reporting and Disclosure Act; and administers the statutory program to certify employee protection provisions under various Federally-sponsored transportation programs. In FY 1999, OLMS expects to process 36,000 reports and conduct a total of 4,031 investigations, audits, and supervised elections.

Object Classification (in millions of dollars)

Identification code 16-0105-0-1-505	1997 actual	1998 est.	1999 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	177	187	196
11.3 Other than full-time permanent .....	2	2	
11.5 Other personnel compensation .....	3	2	2
11.9 Total personnel compensation .....	182	191	198
12.1 Civilian personnel benefits .....	38	41	42
21.0 Travel and transportation of persons .....	9	8	9
22.0 Transportation of things .....			1
23.1 Rental payments to GSA .....	23	25	26
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
24.0 Printing and reproduction .....	1	1	1
25.2 Other services .....	3	4	6
25.3 Purchases of goods and services from Government accounts .....	27	27	29
25.7 Operation and maintenance of equipment .....	23	20	23
26.0 Supplies and materials .....	2	2	2

31.0	Equipment .....	8	4	5
99.0	Subtotal, direct obligations .....	320	327	346
99.0	Reimbursable obligations .....	3	5	5
99.9	Total obligations .....	323	332	351

Personnel Summary

Identification code 16-0105-0-1-505	1997 actual	1998 est.	1999 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment .....	3,655	3,922	3,917
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....		17	17

SPECIAL BENEFITS

(INCLUDING TRANSFER OF FUNDS)

For the payment of compensation, benefits, and expenses (except administrative expenses) accruing during the current or any prior fiscal year authorized by title 5, chapter 81 of the United States Code; continuation of benefits as provided for under the head "Civilian War Benefits" in the Federal Security Agency Appropriation Act, 1947; the Employees' Compensation Commission Appropriation Act, 1944; and sections 4(c) and 5(f) of the War Claims Act of 1948 (50 U.S.C. App. 2012); and 50 percent of the additional compensation and benefits required by section 10(h) of the Longshore and Harbor Workers' Compensation Act, as amended, [\$201,000,000] \$179,000,000, together with such amounts as may be necessary to be charged to the subsequent year appropriation for the payment of compensation and other benefits for any period subsequent to August 15 of the current year: *Provided*, That amounts appropriated may be used under section 8104 of title 5, United States Code, by the Secretary of Labor to reimburse an employer, who is not the employer at the time of injury, for portions of the salary of a reemployed, disabled beneficiary: *Provided further*, That balances of reimbursements unobligated on September 30, [1997] 1998, shall remain available until expended for the payment of compensation, benefits, and expenses: *Provided further*, That in addition there shall be transferred to this appropriation from the Postal Service and from any other corporation or instrumentality required under section 8147(c) of title 5, United States Code, to pay an amount for its fair share of the cost of administration, such sums as the Secretary [of Labor] determines to be the cost of administration for employees of such fair share entities through September 30, [1998] 1999: *Provided further*, That of those funds transferred to this account from the fair share entities to pay the cost of administration, [\$7,269,000 shall be made available to the Secretary of Labor for expenditures relating to capital improvements in support of Federal Employees' Compensation Act administration, and the] \$20,250,000 shall be made available to the Secretary as follows: for the operation of and enhancement to the automated data processing systems in support of the Federal Employees' Compensation Act administration, \$11,969,000; for expenditures relating to the expansion of the periodic roll management project, \$6,652,000; for the financial management improvement project, \$1,629,000; the remaining [balance of such] funds shall be paid into the Treasury as miscellaneous receipts: *Provided further*, That the Secretary may require that any person filing a notice of injury or a claim for benefits under chapter 81 of title 5, United States Code, or 33 U.S.C. 901 et seq., provide as part of such notice and claim, such identifying information (including Social Security account number) as such regulations may prescribe. (Department of Labor Appropriations Act, 1998.)

Program and Financing (in millions of dollars)

Identification code 16-1521-0-1-600	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
00.01 Longshore and harbor workers' compensation benefits	3	4	4
00.02 Federal Employees' Compensation Act benefits .....	1,901	1,912	1,917
10.00 Total obligations .....	1,904	1,916	1,921

<b>Budgetary resources available for obligation:</b>			
21.40	Unobligated balance available, start of year:		
	Uninvested .....	745	918
22.00	New budget authority (gross) .....	2,077	2,043
			2,025
23.90	Total budgetary resources available for obligation	2,822	2,961
23.95	New obligations .....	-1,904	-1,916
24.40	Unobligated balance available, end of year:		
	Uninvested .....	918	1,045
			1,149
<b>New budget authority (gross), detail:</b>			
Current:			
40.00	Appropriation .....	213	201
Permanent:			
68.00	Spending authority from offsetting collections: Off-		
	setting collections (cash) .....	1,864	1,842
			1,846
70.00	Total new budget authority (gross) .....	2,077	2,043
			2,025
<b>Change in unpaid obligations:</b>			
72.40	Unpaid obligations, start of year: Obligated balance:		
	Uninvested .....	90	35
73.10	New obligations .....	1,904	1,916
73.20	Total outlays (gross) .....	-1,959	-1,916
			-1,921
74.40	Unpaid obligations, end of year: Obligated balance:		
	Uninvested .....	35	35
			35
<b>Outlays (gross), detail:</b>			
86.90	Outlays from new current authority .....	213	201
86.93	Outlays from current balances .....	745	884
86.97	Outlays from new permanent authority .....	1,001	831
86.98	Outlays from permanent balances .....		
			1
87.00	Total outlays (gross) .....	1,959	1,916
			1,921
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00	Offsetting collections (cash) from: Federal sources	-1,864	-1,842
			-1,846
<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....	213	201
90.00	Outlays .....	95	74
			75

**Federal Employees' Compensation Act Benefits.**—Under the Federal Employees' Compensation Act program, income is replaced if a job injury results in time away from work. Medical bills arising from compensable job injuries are also paid. Not all benefits are paid by the program since the first 45 days of disability are usually covered by keeping injured workers in pay status with their employing agencies. In 1999, 175,000 injured federal workers or their survivors will file claims; 54,500 will receive long-term wage replacement benefits for job-related injuries, diseases, or deaths. Most of the costs of this account are charged back to the employing agencies of beneficiaries.

**FEDERAL EMPLOYEES' COMPENSATION WORKLOAD**

	1997 actual	1998 est.	1999 est.
Wage-loss claims received .....	19,181	20,000	20,000
Compensation and medical payments .....	2,400,000	2,400,000	2,400,000
Cases received .....	173,319	175,000	175,000
Periodic payment cases .....	55,484	55,500	54,500

**Longshore and harbor workers' compensation benefits.**—Under the Longshore and Harbor Workers' Compensation Act, as amended, the Federal Government pays from direct appropriations one-half of the increased benefits provided by the amendments for persons on the rolls prior to 1972. The remainder is provided from the special fund which is financed by private employers assessed at the beginning of each calendar year for their proportionate share of these payments.

**Object Classification (in millions of dollars)**

Identification code 16-1521-0-1-600	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....			4
12.1 Civilian personnel benefits .....			1
25.2 Other services .....			9
25.7 Operation and maintenance of equipment .....	10	1	1
31.0 Equipment .....	4	6	5

42.0	Insurance claims and indemnities .....	1,890	1,909	1,901
99.9	Total obligations .....	1,904	1,916	1,921

**Personnel Summary**

Identification code 16-1521-0-1-600	1997 actual	1998 est.	1999 est.	
1001	Total compensable workyears: Full-time equivalent employment .....			140

**PANAMA CANAL COMMISSION COMPENSATION FUND**

**Unavailable Collections (in millions of dollars)**

Identification code 16-5155-0-2-602	1997 actual	1998 est.	1999 est.	
Balance, start of year:				
01.99	Balance, start of year .....			
Receipts:				
02.01	Deposits for Panama Commission Compensation Fund, Labor .....	7	7	5
02.02	Interest on investments, Panama Canal Comm., Labor .....	2	5	6
02.99	Total receipts .....	9	12	11
Appropriation:				
05.01	Panama Canal Commission compensation fund .....	-9	-12	-11
07.99	Total balance, end of year .....			

**Program and Financing (in millions of dollars)**

Identification code 16-5155-0-2-602	1997 actual	1998 est.	1999 est.	
<b>Obligations by program activity:</b>				
10.00	Total obligations (object class 42.0) .....	6	6	7

<b>Budgetary resources available for obligation:</b>				
Unobligated balance available, start of year:				
21.40	Uninvested .....		1	1
U.S. Securities:				
21.41	Par value .....	73	75	81
21.42	Unrealized discounts .....	-1	-1	-1
21.99	Total unobligated balance, start of year .....	72	75	81
22.00	New budget authority (gross) .....	9	12	11
23.90	Total budgetary resources available for obligation	81	87	92
23.95	New obligations .....	-6	-6	-7
Unobligated balance available, end of year:				
24.40	Uninvested .....	1	1	1
U.S. Securities:				
24.41	Par value .....	75	81	86
24.42	Unrealized discounts .....	-1	-1	-1
24.99	Total unobligated balance, end of year .....	75	81	86
<b>New budget authority (gross), detail:</b>				
60.25	Appropriation (special fund, indefinite) .....	9	12	11
<b>Change in unpaid obligations:</b>				
73.10	New obligations .....	6	6	7
73.20	Total outlays (gross) .....	-6	-6	-7
<b>Outlays (gross), detail:</b>				
86.98	Outlays from permanent balances .....	6	6	7
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	9	12	11
90.00	Outlays .....	6	6	7

**Panama Canal Commission Compensation Fund.**—This fund has been established to provide for the accumulation of funds to meet the Panama Canal Commission's obligations to defray costs of workers' compensation which will accrue pursuant to the Federal Employees' Compensation Act (FECA). On December 31, 1999, the Commission will be dissolved as set forth in the Panama Canal Treaty of 1977, and the liability of the Commission for payments beyond that date will not end with its termination. The establishment of this fund, into which funds will be deposited on a regular

**General and special funds—Continued**

## PANAMA CANAL COMMISSION COMPENSATION FUND—Continued

basis by the Commission is in conjunction with the transfer of the administration of the FECA program from the Commission to the Department of Labor effective January 1, 1989.

**Trust Funds**

## BLACK LUNG DISABILITY TRUST FUND

(INCLUDING TRANSFER OF FUNDS)

[For payments from the Black Lung Disability Trust Fund, \$1,007,000,000, of which \$960,650,000 shall be available until September 30, 1999, for payment of all benefits as authorized by section 9501(d)(1), (2), (4), and (7) of the Internal Revenue Code of 1954, as amended, and interest on advances as authorized by section 9501(c)(2) of that Act, and of which \$26,147,000 shall be available for transfer to Employment Standards Administration, Salaries and Expenses, \$19,551,000 for transfer to Departmental Management, Salaries and Expenses, \$296,000 for transfer to Departmental Management, Office of Inspector General, and \$356,000 for payment into miscellaneous receipts for the expenses of the Department of Treasury, for expenses of operation and administration of the Black Lung Benefits program as authorized by section 9501(d)(5) of that Act: *Provided*, That, in addition, such amounts as may be necessary may be charged to the subsequent year appropriation for the payment of compensation, interest, or other benefits for any period subsequent to August 15 of the current year.]

*Beginning in fiscal year 1999 and thereafter, such sums as may be necessary from the Black Lung Disability Trust Fund, to remain available until expended, for payment of all benefits authorized by section 9501(d) (1), (2), (4) and (7), of the Internal Revenue Code of 1954, as amended; and interest on advances as authorized by section 9501(c)(2) of that Act; and in addition, the following amounts shall be available from the Fund for fiscal year 1999 for expenses of operation and administration of the Black Lung Benefits program as authorized by section 9501(d)(5) of that Act: \$30,191,000 for transfer to the Employment Standards Administration, "Salaries and Expenses"; \$20,422,000 for transfer to Departmental Management, "Salaries and Expenses"; \$306,000 for transfer to Departmental Management, "Office of Inspector General"; and \$356,000 for payments into miscellaneous receipts for the expenses of the Department of Treasury. (Department of Labor Appropriations Act, 1998.)*

**Unavailable Collections (in millions of dollars)**

Identification code 20-8144-0-7-601	1997 actual	1998 est.	1999 est.
Balance, start of year:			
01.99 Balance, start of year .....	7	4	10
Receipts:			
02.01 Transfer from general fund, Black Lung Benefits Revenue Act taxes .....	614	640	662
02.02 Miscellaneous interest .....	3	2	2
02.99 Total receipts .....	617	642	664
04.00 Total: Balances and collections .....	624	646	674
Appropriation:			
05.01 Administrative Expenses .....	-620	-636	-664
05.99 Subtotal appropriation .....	-620	-636	-664
07.99 Balance, end of year .....	4	10	10

**Program and Financing (in millions of dollars)**

Identification code 20-8144-0-7-601	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Disabled coal miners benefits .....	478	467	454
00.02 Administrative expenses .....	46	46	51
00.03 Interest on advances .....	471	494	516
10.00 Total obligations .....	995	1,007	1,021

**Budgetary resources available for obligation:**

22.00 New budget authority (gross) .....	995	1,007	1,021
23.95 New obligations .....	-995	-1,007	-1,021

**New budget authority (gross), detail:**

40.26 Appropriation (trust fund, definite) .....	46	46	51
40.27 Appropriation (trust fund, indefinite) .....	574	590	613
43.00 Appropriation (total) .....	620	636	664
47.05 Authority to borrow (indefinite) .....	375	371	357
70.00 Total new budget authority (gross) .....	995	1,007	1,021

**Change in unpaid obligations:**

73.10 New obligations .....	995	1,007	1,021
73.20 Total outlays (gross) .....	-995	-1,007	-1,021

**Outlays (gross), detail:**

86.90 Outlays from new current authority .....	995	1,007	1,021
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**Net budget authority and outlays:**

89.00 Budget authority .....	995	1,007	1,021
90.00 Outlays .....	995	1,007	1,021

The trust fund consists of all moneys collected from the coal mine industry under the provisions of the Black Lung Benefits Revenue Act of 1981, as amended by the Consolidated Omnibus Budget Reconciliation Act of 1985, in the form of an excise tax on mined coal. These moneys are expended to pay compensation, medical, and survivor benefits to eligible miners and their survivors, where mine employment terminated prior to 1970 or where no mine operator can be assigned liability. In addition the fund pays all administrative costs incurred in the operation of part C of the black lung program. The fund is administered jointly by the Secretaries of Labor, the Treasury, and Health and Human Services. The Benefits Revenue Act provides for repayable advances to the fund in the event fund resources will not be adequate to meet program obligations. Such advances are to be repaid with interest. The outstanding debt at the end of each year was: 1981, \$1,510 million; 1982, \$1,793 million; 1983, \$2,151 million; 1984, \$2,497 million; 1985, \$2,833 million; 1986, \$2,884 million; 1987, \$2,952 million; 1988, \$2,993 million; 1989, \$3,049 million; 1990, \$3,049 million; 1991, \$3,266 million; 1992, \$3,606 million; 1993, \$3,949 million; 1994, \$4,363 million; 1995, \$4,738 million; 1996, \$5,112 million; 1997, \$5,487 million; 1998, \$5,858 million; and 1999, \$6,215 million.

**BLACK LUNG DISABILITY TRUST FUND WORKLOAD**

	1997 actual	1998 est.	1999 est.
Claims received .....	7,416	7,500	7,200
Claims in payment status .....	61,447	59,000	57,000
Medical benefits only recipients .....	15,195	13,500	11,500

**Status of Funds (in millions of dollars)**

Identification code 20-8144-0-7-601	1997 actual	1998 est.	1999 est.
Unexpended balance, start of year:			
0100 Treasury balance .....	8	4	10
0105 Outstanding debt to Treasury .....	-5,112	-5,487	-5,858
0199 Total balance, start of year .....	-5,104	-5,483	-5,848
Cash income during the year:			
Governmental receipts:			
0200 Transfer from general fund, Black Lung Benefits Revenue Act taxes .....	614	640	662
Proprietary receipts:			
0220 Miscellaneous interest, Black Lung fund .....	3	2	2
0299 Total cash income .....	617	642	664
Cash outgo during year:			
0500 Black lung disability trust fund .....	-995	-1,007	-1,021
Unexpended balance, end of year:			
0700 Cash Balance .....	4	10	10
0705 Outstanding debt to Treasury .....	-5,487	-5,858	-6,215
0799 Total balance, end of year .....	-5,483	-5,848	-6,205

**Object Classification (in millions of dollars)**

Identification code 20-8144-0-7-601	1997 actual	1998 est.	1999 est.
25.2 Other services .....	46	46	51



42.0	Insurance claims and indemnities .....	478	467	454
43.0	Interest and dividends .....	471	494	516
99.9	Total obligations .....	995	1,007	1,021

**SPECIAL WORKERS' COMPENSATION EXPENSES**

**Unavailable Collections** (in millions of dollars)

Identification code 16-9971-0-7-601	1997 actual	1998 est.	1999 est.	
Balance, start of year:				
01.99	Balance, start of year .....			
Receipts:				
02.01	Longshoremen's & Harbor Workers Compensation Act, Receipts, Special workers' .....	112	136	139
02.02	Longshoremen's & Harbor Workers Compensation Act, Earnings on investments, Special workers', Labor .....	3	3	3
02.03	Workmen's Compensation Act within District of Columbia, Receipts, Special workers' .....	11	12	12
02.99	Total receipts .....	125	152	154
Appropriation:				
05.01	Special workers' compensation expenses .....	-125	-152	-154
05.99	Subtotal appropriation .....	-125	-152	-154
07.99	Total balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 16-9971-0-7-601	1997 actual	1998 est.	1999 est.	
<b>Obligations by program activity:</b>				
00.01	Longshore and Harbor Workers' Compensation Act, as amended .....	125	134	138
00.02	District of Columbia Compensation Act .....	11	11	11
10.00	Total obligations .....	136	145	149

**Budgetary resources available for obligation:**

Identification code 16-9971-0-7-601	1997 actual	1998 est.	1999 est.	
Unobligated balance available, start of year:				
21.40	Uninvested .....	1	1	
U.S. Securities:				
21.41	Par value .....	79	67	74
21.42	Unrealized discounts .....	-1	-1	-1
21.99	Total unobligated balance, start of year .....	78	67	74
22.00	New budget authority (gross) .....	125	152	154
23.90	Total budgetary resources available for obligation .....	203	219	228
23.95	New obligations .....	-136	-145	-149
Unobligated balance available, end of year:				
24.40	Uninvested .....	1	1	1
U.S. Securities:				
24.41	Par value .....	67	74	79
24.42	Unrealized discounts .....	-1	-1	-1
24.99	Total unobligated balance, end of year .....	67	74	79

**New budget authority (gross), detail:**

Current:				
40.26	Appropriation (trust fund, definite) .....	1	1	2
Permanent:				
60.27	Permanent Appropriation (trust fund, indefinite) .....	124	151	152
70.00	Total new budget authority (gross) .....	125	152	154

**Change in unpaid obligations:**

72.40	Unpaid obligations, start of year: Obligated balance: Uninvested .....	5	5	5
73.10	New obligations .....	136	145	149
73.20	Total outlays (gross) .....	-136	-145	-149
74.40	Unpaid obligations, end of year: Obligated balance: Uninvested .....	5	5	5

**Outlays (gross), detail:**

86.90	Outlays from new current authority .....	1	1	2
86.97	Outlays from new permanent authority .....	57	77	73
86.98	Outlays from permanent balances .....	78	67	74
87.00	Total outlays (gross) .....	136	145	149

**Net budget authority and outlays:**

89.00	Budget authority .....	125	152	154
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90.00	Outlays .....	136	145	149
Distribution of budget authority by account:				
	Longshore and Harbor Workers' Compensation Act .....	114	140	142
	District of Columbia Compensation Act .....	11	12	12

Distribution of outlays by account:

	Longshore and Harbor Workers' Compensation Act .....	124	134	138
	District of Columbia Compensation Act .....	11	11	11

The trust funds consist of amounts received from employers for the death of an employee where no person is entitled to compensation for such death, for fines and penalty payments, and pursuant to an annual assessment of the industry, for the general expenses of the fund under the Longshore and Harbor Workers' Compensation Act, as amended.

These trust funds are available for payments of additional compensation for second injuries. When a second injury is combined with a previous disability and results in increased permanent partial disability, permanent total disability, or death, the employer's liability for benefits is limited to a specified period of compensation payments after which the fund provides continuing compensation benefits. In addition, the fund pays one-half of the increased benefits provided under the Longshore and Harbor Workers' Compensation Act, as amended, for persons on the rolls prior to 1972. Maintenance payments are made to disabled employees undergoing vocational rehabilitation to enable them to return to remunerative occupations, and the costs of necessary rehabilitation services not otherwise available to disabled workers are defrayed. Payments are made in cases where other circumstances preclude payment by an employer and to provide medical, surgical, and other treatment in disability cases where there has been a default by the insolvency of an uninsured employer.

**Object Classification** (in millions of dollars)

Identification code 16-9971-0-7-601	1997 actual	1998 est.	1999 est.	
25.3	Purchases of goods and services from Government accounts .....	1	1	2
42.0	Insurance claims and indemnities .....	135	144	147
99.9	Total obligations .....	136	145	149

**OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses for the Occupational Safety and Health Administration, [\$336,480,000] \$355,045,000, including not to exceed [\$77,941,000] \$81,140,000 which shall be the maximum amount available for grants to States under section 23(g) of the Occupational Safety and Health Act, which grants shall be no less than 50 percent of the costs of State occupational safety and health programs required to be incurred under plans approved by the Secretary under section 18 of the Occupational Safety and Health Act of 1970; and, in addition, notwithstanding 31 U.S.C. 3302, the Occupational Safety and Health Administration may retain up to \$750,000 per fiscal year of training institute course tuition fees, otherwise authorized by law to be collected, and may utilize such sums for occupational safety and health training and education grants: *Provided*, That, notwithstanding 31 U.S.C. 3302, the Secretary of Labor is authorized, during the fiscal year ending September 30, [1998] 1999, to collect and retain fees for services provided to Nationally Recognized Testing Laboratories, and may utilize such sums, in accordance with the provisions of 29 U.S.C. 9a, to administer national and international laboratory recognition programs that ensure the safety of equipment and products used by workers in the workplace: *Provided further*, That none of the funds appropriated under this paragraph shall be obligated or expended to prescribe, issue, administer, or enforce any

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

standard, rule, regulation, or order under the Occupational Safety and Health Act of 1970 which is applicable to any person who is engaged in a farming operation which does not maintain a temporary labor camp and employs ten or fewer employees: *Provided further*, That no funds appropriated under this paragraph shall be obligated or expended to administer or enforce any standard, rule, regulation, or order under the Occupational Safety and Health Act of 1970 with respect to any employer of ten or fewer employees who is included within a category having an occupational injury lost workday case rate, at the most precise Standard Industrial Classification Code for which such data are published, less than the national average rate as such rates are most recently published by the Secretary, acting through the Bureau of Labor Statistics, in accordance with section 24 of that Act (29 U.S.C. 673), except—

(1) to provide, as authorized by such Act, consultation, technical assistance, educational and training services, and to conduct surveys and studies;

(2) to conduct an inspection or investigation in response to an employee complaint, to issue a citation for violations found during such inspection, and to assess a penalty for violations which are not corrected within a reasonable abatement period and for any willful violations found;

(3) to take any action authorized by such Act with respect to imminent dangers;

(4) to take any action authorized by such Act with respect to health hazards;

(5) to take any action authorized by such Act with respect to a report of an employment accident which is fatal to one or more employees or which results in hospitalization of two or more employees, and to take any action pursuant to such investigation authorized by such Act; and

(6) to take any action authorized by such Act with respect to complaints of discrimination against employees for exercising rights under such Act: *Provided further*, That the foregoing proviso shall not apply to any person who is engaged in a farming operation which does not maintain a temporary labor camp and employs ten or fewer employees]. (*Department of Labor Appropriations Act, 1998.*)

**Program and Financing (in millions of dollars)**

Identification code 16-0400-0-1-554	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Safety and health standards .....	11	12	12
00.02 Federal enforcement .....	123	129	135
00.03 State programs .....	77	78	81
00.04 Technical support .....	19	18	18
00.05 Federal compliance assistance .....	38	44	47
00.06 State consultation grants .....	35	35	39
00.07 Safety and health statistics .....	15	14	16
00.08 Executive direction and administration .....	7	6	7
00.91 Total direct program .....	325	336	355
09.01 Reimbursable program .....	2	1	1
10.00 Total obligations .....	327	337	356
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	327	337	356
23.95 New obligations .....	-327	-337	-356
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	326	336	355
40.75 Reduction pursuant to P.L. 104-208 .....	-1		
43.00 Appropriation (total) .....	325	336	355
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	2	1	1
70.00 Total new budget authority (gross) .....	327	337	356
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Uninvested .....	67	71	74
73.10 New obligations .....	327	337	356

73.20 Total outlays (gross) .....	-322	-335	-353
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....	71	74	77
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	267	286	302
86.93 Outlays from current balances .....	53	48	50
86.97 Outlays from new permanent authority .....	2	1	1
87.00 Total outlays (gross) .....	322	335	353
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-1		
88.40 Non-Federal sources .....	-1	-1	-1
88.90 Total, offsetting collections (cash) .....	-2	-1	-1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	325	336	355
90.00 Outlays .....	320	334	352

*Safety and Health Standards.*—The safety and health standards activity provides for the development, promulgation, review and evaluation of occupational safety and health standards under procedures providing opportunity for public comment. Before any standard is proposed or promulgated, a determination is made that: (1) a significant risk of serious injury or health impairment exists; (2) the standard will reduce this risk; (3) the standard is economically and technologically feasible; and (4) the standard is cost-effective when compared with alternative regulatory proposals providing equal levels of protection. Regulatory reform efforts include consensus-based rulemaking, development of common sense regulations, rewriting existing standards in plain language, and regulatory process improvements.

*Enforcement.*—This activity provides for the enforcement of workplace standards promulgated under the Occupational Safety and Health Act of 1970 through the physical inspection of worksites, and by fostering the voluntary cooperation of employers and employees. This activity also provides grants to assist States in administering and enforcing State standards. Programs are targeted to the investigation of claims of imminent danger and employee complaints, investigation of fatal and catastrophic accidents, programmed inspections of firms with injury-illness rates that are above the national average, and special emphasis inspections for serious safety and health hazards. OSHA's enforcement strategy includes a selective targeting of inspections and related compliance activities to specific high hazard industries, and offering employers a choice between a partnership and a traditional enforcement relationship.

*Technical Support.*—This activity provides specialized technical expertise and advice in support of a wide range of program areas, including construction, standards setting, variance determinations, compliance assistance, and enforcement. Areas of expertise include laboratory accreditation, industrial hygiene, ergonomics, occupational health nursing, occupational medicine, and safety engineering.

*Compliance Assistance—Federal.*—This activity supports a variety of employer and employee assistance programs, consistent with OSHA's partnership initiatives. Outreach activities are conducted, including training and information exchanges and technical assistance to employers requesting such help. Grants are awarded to nonprofit organizations to provide employee and employer training programs, targeted to address specific industry needs for safety and health education. Employers are encouraged to establish voluntary employee protection programs, and Federal agencies are assisted in implementing job safety and health programs for their employees. Professional training for compliance personnel and others with related workplace safety and health responsibilities is conducted at the OSHA Training Institute, and further

training is provided by education centers selected and sanctioned by the institute.

**Compliance Assistance—State.**—This activity supports 90 percent Federally-funded cooperative agreements with designated State agencies to provide free on-site consultation to employers upon request. State agencies tailor workplans to specific needs in each State while maximizing their impact on injury and illness rates in smaller establishments. These projects offer a variety of services, including safety and health program assessment and assistance, hazard identification and control, and training of employers and their employees.

**Statistics.**—Information technology, management information and statistical support for OSHA's programs and field operations are provided through an integrated data network, and statistical analysis and review. OSHA administers and maintains the recordkeeping system which serves as the foundation for the BLS survey on occupational injuries and illnesses, provides guidance on recordkeeping requirements to both the public and private sectors, and develops regulations along with interpretive publications and materials.

**Executive direction and administration.**—These activities include executive direction, planning and evaluation, management support, legislative liaison, interagency affairs, administrative services, and budgeting and financial control.

**PROGRAM STATISTICS**

	1997 actual	1998 est.	1999 est.
Standards promulgated .....	4	5	5
Inspections:			
Federal inspections .....	34,264	34,000	34,500
State program inspections .....	56,623	56,000	56,600
Training and consultations:			
Training grants supported .....	23	38	39
Consultation visits .....	24,785	25,000	27,000

**Object Classification (in millions of dollars)**

Identification code 16-0400-0-1-554	1997 actual	1998 est.	1999 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	109	117	122
11.3 Other than full-time permanent .....	1	1	2
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	112	120	126
12.1 Civilian personnel benefits .....	24	26	27
21.0 Travel and transportation of persons .....	9	8	9
23.1 Rental payments to GSA .....	15	17	18
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	5	4	4
25.2 Other services .....	42	44	49
25.3 Purchases of goods and services from Government accounts .....	18	16	17
25.7 Operation and maintenance of equipment .....	6	5	5
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	6	6	7
41.0 Grants, subsidies, and contributions .....	80	83	86
99.0 Subtotal, direct obligations .....	324	336	355
99.0 Reimbursable obligations .....	1		
99.5 Below reporting threshold .....	2	1	1
99.9 Total obligations .....	327	337	356

**Personnel Summary**

Identification code 16-0400-0-1-554	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	2,118	2,212	2,250

**ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS**

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows:  
Environmental Protection Agency: Hazardous Substance Response Trust Fund.

**MINE SAFETY AND HEALTH ADMINISTRATION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses for the Mine Safety and Health Administration, **[\$203,334,000]** \$211,165,000, including purchase and bestowal of certificates and trophies in connection with mine rescue and first-aid work, and the hire of passenger motor vehicles; and, in addition, beginning in fiscal year 1999 and thereafter, not to exceed \$750,000 per fiscal year may be collected by the National Mine Health and Safety Academy for room, board, tuition, and the sale of training materials, otherwise authorized by law to be collected, to be available for mine safety and health education and training activities, notwithstanding 31 U.S.C. 3302; the Secretary is authorized to accept lands, buildings, equipment, and other contributions from public and private sources and to prosecute projects in cooperation with other agencies, Federal, State, or private; the Mine Safety and Health Administration is authorized to promote health and safety education and training in the mining community through cooperative programs with States, industry, and safety associations; and any funds available to the Department may be used, with the approval of the Secretary, to provide for the costs of mine rescue and survival operations in the event of a major disaster: *Provided*, That none of the funds appropriated under this paragraph shall be obligated or expended to carry out section 115 of the Federal Mine Safety and Health Act of 1977 or to carry out that portion of section 104(g)(1) of such Act relating to the enforcement of any training requirements, with respect to shell dredging, or with respect to any sand, gravel, surface stone, surface clay, colloidal phosphate, or surface limestone mine. 30 U.S.C. 1-11, 801, 951 et seq.; 91 Stat. 1290-1322; Stat. 111, 137; (Department of Labor Appropriations Act, 1998.)

**Program and Financing (in millions of dollars)**

Identification code 16-1200-0-1-554	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Enforcement:			
00.01 Coal .....	107	107	110
00.02 Metal/non-metal .....	42	44	45
00.03 Standards development .....	1	1	1
00.04 Assessments .....	3	3	4
00.05 Educational policy and development .....	15	15	16
00.06 Technical support .....	21	24	25
00.07 Program administration .....	8	9	10
09.01 Reimbursable program .....			1
10.00 Total obligations .....	197	203	212
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	197	203	212
23.95 New obligations .....	-197	-203	-212
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	198	203	211
40.75 Reduction pursuant to P.L. 104-208 .....	-1		
43.00 Appropriation (total) .....	197	203	211
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....			1
70.00 Total new budget authority (gross) .....	197	203	212
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	28	21	22
73.10 New obligations .....	197	203	212
73.20 Total outlays (gross) .....	-203	-203	-210
73.40 Adjustments in expired accounts .....	-1		
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	21	22	22
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	179	185	192
86.93 Outlays from current balances .....	24	18	18
86.97 Outlays from new permanent authority .....			1

**General and special funds—Continued****SALARIES AND EXPENSES—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 16-1200-0-1-554	1997 actual	1998 est.	1999 est.
87.00 Total outlays (gross) .....	203	203	210
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....			-1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	197	203	211
90.00 Outlays .....	203	203	209

**Enforcement.**—The Enforcement strategy in 1999 will be an integrated approach that links all actions to preventing occupational injuries and illness. These include inspection of mines as mandated by the Federal Mine Safety and Health Act of 1977, special emphasis initiatives that focus on persistent safety and health hazards, promulgation of safety and health standards, investigation of serious accidents, and on-site education and training assistance. The desired outcome of these enforcement efforts is to at least maintain or lower fatality and injury rates.

**Assessments.**—This activity assesses and collects civil monetary penalties for violations of safety and health standards.

**Educational policy and development.**—This activity develops and coordinates MSHA's mine safety and health education and training policies, and provides classroom instruction at the National Academy for MSHA personnel, other governmental personnel, and the mining industry. States provide mine health and safety training materials, and provide technical assistance through the State Grants program.

**Technical support.**—This activity applies engineering and scientific expertise through field and laboratory forensic investigations to resolve technical problems associated with implementation of the Mine Act. Technical support administers a fee program to approve equipment, materials, and explosives for use in mines and performs field and laboratory audits of equipment previously approved by MSHA. It also collects and analyzes data relative to the cause, frequency, and circumstances of accidents.

**Program administration.**—This activity provides for general administrative functions.

**PROGRAM STATISTICS**

	1997 actual	1998 est.	1999 est.
<b>Enforcement:</b>			
<b>Fatality Rates:</b>			
Coal mines .....	.033	.033	.033
Metal/non-metal mines .....	.028	.028	.028
<b>Non-fatal lost time injury rates:</b>			
Coal mines .....	4.86	4.86	4.86
Metal/Non-metal mines .....	2.70	2.70	2.70
Regulations promulgated .....	3	9	9
<b>Assessments:</b>			
Violations assessed .....	106,717	110,000	110,000
<b>Educational policy and development:</b>			
Course days .....	498	550	550
<b>Technical support:</b>			
Equipment approvals .....	1,418	1,500	1,500
Field investigations .....	448	450	500
Laboratory samples analyzed .....	181,535	268,000	286,000

Note.—Incidence rates represent the number of injuries that occur for each 200,000 employee-hours worked.

**Object Classification (in millions of dollars)**

Identification code 16-1200-0-1-554	1997 actual	1998 est.	1999 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	109	114	120
11.3 Other than full-time permanent .....	1		
11.5 Other personnel compensation .....	4	2	3
11.9 Total personnel compensation .....	114	116	123

12.1 Civilian personnel benefits .....	33	34	34
21.0 Travel and transportation of things .....	6	7	7
22.0 Transportation of things .....	3	3	3
23.1 Rental payments to GSA .....	9	9	9
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
24.0 Printing and reproduction .....	1	1	1
25.2 Other services .....	3	3	3
25.3 Purchases of goods and services from Government accounts .....	8	9	9
25.4 Operation and maintenance of facilities .....			1
25.7 Operation and maintenance of equipment .....	4	4	4
26.0 Supplies and materials .....	4	3	3
31.0 Equipment .....	4	6	6
41.0 Grants, subsidies, and contributions .....	6	6	6
99.0 Subtotal, direct obligations .....	197	203	211
99.5 Below reporting threshold .....			1
99.9 Total obligations .....	197	203	212

**Personnel Summary**

Identification code 16-1200-0-1-554	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	2,182	2,186	2,243

**BUREAU OF LABOR STATISTICS****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses for the Bureau of Labor Statistics, including advances or reimbursements to State, Federal, and local agencies and their employees for services rendered, **[\$327,609,000] \$344,724,000**, of which **[\$15,430,000] \$11,159,000** shall be for expenses of revising the Consumer Price Index and shall remain available until September 30, **[1999] 2000**, together with not to exceed **[\$52,848,000] \$54,146,000**, which may be expended from the Employment Security Administration account in the Unemployment Trust Fund. (*Department of Labor Appropriations Act, 1998.*)

**Program and Financing (in millions of dollars)**

Identification code 16-0200-0-1-505	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Labor force statistics .....	153	164	170
00.02 Prices and cost of living .....	100	107	120
00.03 Compensation and working conditions .....	57	59	61
00.04 Productivity and technology .....	7	7	8
00.05 Employment projections .....	5	5	5
00.06 Executive direction and staff services .....	22	23	24
00.07 Consumer price index revision .....	16	16	11
00.91 Total direct program .....	360	381	399
09.01 Reimbursable program .....	20	22	22
10.00 Total obligations .....	380	403	421
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....		1	
22.00 New budget authority (gross) .....	381	403	421
23.90 Total budgetary resources available for obligation .....	381	404	421
23.95 New obligations .....	-380	-403	-421
24.40 Unobligated balance available, end of year:			
Uninvested .....	1		
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	310	328	345
40.75 Reduction pursuant to P.L. 104-208 .....	-1		
43.00 Appropriation (total) .....	309	328	345
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	72	75	76
70.00 Total new budget authority (gross) .....	381	403	421

Change in unpaid obligations:			
72.40	Unpaid obligations, start of year: Obligated balance:		
	Uninvested .....	77	59
73.10	New obligations .....	380	403
73.20	Total outlays (gross) .....	-392	-400
73.40	Adjustments in expired accounts .....	-6	
74.40	Unpaid obligations, end of year: Obligated balance:		
	Uninvested .....	59	63
			69
Outlays (gross), detail:			
86.90	Outlays from new current authority .....	259	269
86.93	Outlays from current balances .....	61	56
86.97	Outlays from new permanent authority .....	72	75
87.00	Total outlays (gross) .....	392	400
			415
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00	Federal sources .....	-69	-71
88.40	Non-Federal sources .....	-3	-4
88.90	Total, offsetting collections (cash) .....	-72	-75
			-76
Net budget authority and outlays:			
89.00	Budget authority .....	309	328
90.00	Outlays .....	320	325
			345
			339

**Labor force statistics.**—Publishes monthly estimates of the labor force, employment, unemployment, and earnings for the Nation, States, and local areas. Makes studies of the labor force. Publishes data on covered employment and wages, by industry. Resources are requested in 1999 to collect and publish national data on job vacancies and labor turnover.

	1997 actual	1998 est.	1999 est.
Labor force statistics (selected items):			
Covered employment and wages (quarterly series) .....	1,000,201	1,000,201	1,000,201
Employment and unemployment estimates for States and local areas (monthly and annual series) .....	87,100	87,300	87,300
Occupational employment statistics (annual series) .....	57,040	57,040	57,040

**Prices and cost of living.**—Publishes the Consumer Price Index (CPI), the Producer Price Index (PPI), Export and Import Price Indexes (IP), estimates of consumers' expenditures, and studies of price change. Resources are requested in 1999 for the second year of an initiative to improve the timeliness and accuracy of the Consumer Price Index.

	1997 actual	1998 est.	1999 est.
Consumer price indexes published (monthly) .....	8,362	5,400	5,400
Producer prices:			
(a) Commodity indexes published (monthly) .....	3,471	3,358	3,267
(b) Mining and manufacturing indexes published (monthly) .....	8,349	7,472	6,746
International prices and price indexes:			
(a) Sample units initiated (annually) .....	3,200	3,200	3,200
(b) Price quotations collected (monthly) .....	24,000	23,000	23,000

**Compensation and working conditions.**—Publishes data on wages and benefits by occupation for major labor markets and industries. Compiles annual information to estimate the incidence and number of work-related injuries, illnesses, and fatalities. Includes resources to continue producing surveys to support the implementation of the Federal Employees Pay Comparability Act of 1990.

	1997 actual	1998 est.	1999 est.
Compensation and working conditions (major items):			
Employment cost index—number of schedules .....	7,500	8,000	12,000
Occupational Safety and Health—number of schedules .....	200,000	200,000	200,000
Federal pay reform—number of schedules .....	20,900	30,600	36,000

**Productivity and technology.**—Provides studies of productivity changes for industries and major economic sectors. Develops international comparisons of productivity and cost. Studies the effects of technology change on employment and productivity.

	1997 actual	1998 est.	1999 est.
Studies, articles, and special reports .....	40	34	37
Series maintained <sup>1</sup> .....	4,679	1,449	1,467

<sup>1</sup> 1998 and 1999 reflect an improved definition of international series.

**Employment projections.**—Provides economic projections, including changes in the level and structure of economic growth, and industry employment and occupational projections. Publishes the *Occupational Outlook Handbook and Quarterly*.

	1997 actual	1998 est.	1999 est.
Industry projections (2 year cycle) .....	92	92	92
Occupational Outlook Handbook statements (2 year cycle) .....	125	125	125

**Executive direction and staff services.**—Provides planning and policy for the Bureau of Labor Statistics (BLS), operates the management information system, coordinates research, and publishes data and reports for government and public use.

**Consumer Price Index Revision.**—In February, BLS will release the revised index for January, 1998, will introduce a revised housing sample in 1999, and will complete the revision in 2000. The revision includes new market baskets of goods and services as well as improvements in collecting and processing data for the CPI and for surveys which support the CPI.

**Object Classification** (in millions of dollars)

Identification code 16-0200-0-1-505	1997 actual	1998 est.	1999 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent .....	103	118
11.3	Other than full-time permanent .....	7	10
11.5	Other personnel compensation .....	2	2
11.9	Total personnel compensation .....	112	130
12.1	Civilian personnel benefits .....	22	27
21.0	Travel and transportation of persons .....	7	6
23.1	Rental payments to GSA .....	34	28
23.3	Communications, utilities, and miscellaneous charges .....	3	3
24.0	Printing and reproduction .....	1	2
25.2	Other services .....	49	47
25.3	Purchases of goods and services from Government accounts .....	64	71
25.7	Operation and maintenance of equipment .....	1	2
26.0	Supplies and materials .....	1	1
31.0	Equipment .....	11	7
41.0	Grants, subsidies, and contributions .....	55	57
99.0	Subtotal, direct obligations .....	360	381
99.0	Reimbursable obligations .....	20	22
99.9	Total obligations .....	380	403
			421

**Personnel Summary**

Identification code 16-0200-0-1-505	1997 actual	1998 est.	1999 est.
Direct:			
1001	Total compensable workyears: Full-time equivalent employment .....	2,296	2,503
Reimbursable:			
2001	Total compensable workyears: Full-time equivalent employment .....	59	81

**DEPARTMENTAL MANAGEMENT**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses for Departmental Management, including the hire of three sedans, and including up to **[\$4,421,000] \$4,679,000** for the President's Committee on Employment of People With Disabilities, **[\$152,253,000] \$188,761,000**, together with not to exceed **[\$282,000] \$299,000**, which may be expended from the Employment Security Administration account in the Unemployment Trust Fund: *Provided*, That no funds made available by this Act may be used by the Solicitor of Labor to participate in a review in any United States court of appeals of any decision made by the Benefits Review Board under section 21 of the Longshore and Harbor Workers' Compensation Act (33 U.S.C. 921) where such participation is precluded

## General and special funds—Continued

## SALARIES AND EXPENSES—Continued

by the decision of the United States Supreme Court in *Director, Office of Workers' Compensation Programs v. Newport News Shipbuilding, 115 S. Ct. 1278 (1995)*; *Provided further*, That no funds made available by this Act may be used by the Secretary of Labor to review a decision under the Longshore and Harbor Workers' Compensation Act (33 U.S.C. 901 et seq.) that has been appealed and that has been pending before the Benefits Review Board for more than 12 months; *Provided further*, That any such decision pending a review by the Benefits Review Board for more than one year shall be considered affirmed by the Benefits Review Board on that date, and shall be considered the final order of the Board for purposes of obtaining a review in the United States courts of appeals; *Provided further*, That these provisions shall not be applicable to the review of any decision issued under the Black Lung Benefits Act (30 U.S.C. 901 et seq.). (*Department of Labor Appropriations Act, 1998.*)

## Program and Financing (in millions of dollars)

Identification code 16-0165-0-1-505	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Program direction and support .....	20	19	20
00.02 Legal services .....	66	71	72
00.03 International labor affairs .....	10	12	39
00.04 Administration and management .....	14	14	16
00.05 Adjudication .....	35	35	36
00.06 Promoting employment of people with disabilities	4	4	5
00.07 Women's bureau .....	7	8	8
00.09 Civil rights .....	5	5	5
00.10 Chief financial officer .....	4	5	6
00.11 Employment of adults with disabilities task force			2
00.91 Total direct program .....	165	172	209
09.01 Reimbursable program .....	12	13	15
10.00 Total obligations .....	177	186	224
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	176	184	225
22.22 Unobligated balance transferred from other accounts	1		
23.90 Total budgetary resources available for obligation	177	184	225
23.95 New obligations .....	-177	-186	-224
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	144	152	189
Permanent:			
68.00 Spending authority from offsetting collections: Off-			
setting collections (cash) .....	32	32	36
70.00 Total new budget authority (gross) .....	176	184	225
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	15	15	16
73.10 New obligations .....	177	186	224
73.20 Total outlays (gross) .....	-176	-184	-208
73.40 Adjustments in expired accounts .....	-1		
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	15	16	32
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	131	138	159
86.93 Outlays from current balances .....	13	14	14
86.97 Outlays from new permanent authority .....	32	32	36
87.00 Total outlays (gross) .....	176	184	208
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-26	-26	-30
88.40 Non-Federal sources .....	-6	-6	-6
88.90 Total, offsetting collections (cash) .....	-32	-32	-36
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	144	152	189
90.00 Outlays .....	145	152	172

Note.—Excludes \$1 million in budget authority in 1999 for activities transferred to Working capital fund. Comparable amounts for 1997 (\$1 million) and 1998 (\$1 million) are included above.

**Program direction and support.**—Provides leadership and direction for all programs and functions assigned to the Department. Provides guidance for the development and implementation of governmental policy to protect and promote the interests of the American worker, toward achieving better employment and earnings, promoting productivity and economic growth, safety, equity and affirmative action in employment, and collecting and analyzing statistics on the labor force.

**Legal services.**—Provides the Secretary of Labor and Departmental program officials with the legal services required to accomplish the Department's mission. The major services include litigating cases, providing assistance to the Department of Justice in case preparation and trials, reviewing rules, orders and written interpretations and opinions for DOL program agencies and the public, and coordinating the Department's legislative program.

**International labor affairs.**—Coordinates the Department of Labor's international responsibilities, including support of U.S. foreign policy objectives through relationships with international organizations and foreign governments, analysis on the labor market and economic impact of trade proposals, trade legislation and immigration-related initiatives; and assures compliance with worker rights provisions in U.S. trade law.

**Administration and management.**—Exercises leadership in all Departmental administrative and management programs and services and ensures efficient and effective operation of Departmental programs; provides policy guidance on matters of personnel management, information resource management and procurement; and provides for consistent and constructive internal labor-management relations throughout the Department.

**Adjudication.**—Conducts formal hearings and renders timely decisions on claims filed under the Black Lung Benefits Act, the Longshore and Harbor Workers' Compensation Act and its extensions, the Federal Employees' Compensation Act and other acts involving complaints to determine violations of minimum wage requirements, overtime payments, health and safety regulations and unfair labor practices.

**Promoting employment of people with disabilities.**—The President's Committee on Employment of People With Disabilities provides leadership to eliminate employment barriers to people with physical, mental and communications disabilities.

**Women's bureau.**—Promotes the interests of wage earning women, and seeks to improve their working conditions and advance their opportunities for profitable employment.

**Civil rights.**—Ensures full compliance with title VI of the Civil Rights Act of 1964 and other regulatory nondiscrimination provisions in programs receiving financial assistance from the Department of Labor and promotes equal opportunity in these programs and activities; and ensures equal employment opportunity to all DOL employees and applicants for employment.

**Chief financial officer.**—Responsible for enhancing the level of knowledge and skills of Departmental staff working in financial management operations; developing comprehensive accounting and financial management policies; assuring that all DOL financial functions conform to applicable standards; providing leadership and coordination to DOL agencies' trust and benefit fund financial actions; monitoring the financial execution of the budget in relation to actual expenditures; and managing a comprehensive training program for budget, accounting, and financial support staff.

**National task force on the employment of adults with disabilities.**—The Task Force will be charged with the development of a national policy to bring adults with disabilities

into gainful employment at a rate that is as close as possible to that of the general population. The Task Force will study the barriers to employment faced by disabled individuals and report its findings and policy recommendations to the President on a periodic basis over its four-year life.

**Object Classification** (in millions of dollars)

Identification code 16-0165-0-1-505	1997 actual	1998 est.	1999 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	92	98	102
11.3 Other than full-time permanent .....	2	1	1
11.5 Other personnel compensation .....	1	1	2
11.9 Total personnel compensation .....	95	100	105
12.1 Civilian personnel benefits .....	18	19	20
21.0 Travel and transportation of persons .....	2	2	3
23.1 Rental payments to GSA .....	15	16	16
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
24.0 Printing and reproduction .....			1
25.1 Advisory and assistance services .....	2	3	3
25.2 Other services .....	3	6	7
25.3 Purchases of goods and services from Government accounts .....	15	15	16
25.7 Operation and maintenance of equipment .....	4	3	3
26.0 Supplies and materials .....	2	1	1
31.0 Equipment .....	2	1	1
41.0 Grants, subsidies, and contributions .....	5	4	31
99.0 Subtotal, direct obligations .....	165	172	209
99.0 Reimbursable obligations .....	12	13	15
99.5 Below reporting threshold .....		1	
99.9 Total obligations .....	177	186	224

**Personnel Summary**

Identification code 16-0165-0-1-505	1997 actual	1998 est.	1999 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	1,503	1,531	1,555
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	42	60	60

**OFFICE OF INSPECTOR GENERAL**

For salaries and expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, [\$42,605,000] \$46,033,000, together with not to exceed [\$3,645,000] \$3,772,000, which may be expended from the Employment Security Administration account in the Unemployment Trust Fund. (Department of Labor Appropriations Act, 1998.)

**Program and Financing** (in millions of dollars)

Identification code 16-0106-0-1-505	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
<b>Direct program:</b>			
00.01 Program activities .....	41	41	44
00.02 Executive direction and management .....	6	6	6
00.91 Total direct program .....	47	47	50
09.01 Reimbursable program .....	3	15	15
10.00 Total obligations .....	50	62	65
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	50	62	65
23.95 New obligations .....	-50	-62	-65
<b>New budget authority (gross), detail:</b>			
<b>Current:</b>			
40.00 Appropriation .....	43	43	46
<b>Permanent:</b>			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	7	19	19

70.00 Total new budget authority (gross) .....	50	62	65
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**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	7	5	5
73.10 New obligations .....	50	62	65
73.20 Total outlays (gross) .....	-52	-62	-64
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	5	5	5

**Outlays (gross), detail:**

86.90 Outlays from new current authority .....	39	38	40
86.93 Outlays from current balances .....	6	5	5
86.97 Outlays from new permanent authority .....	7	19	19
87.00 Total outlays (gross) .....	52	62	64

**Offsets:**

<b>Against gross budget authority and outlays:</b>			
88.00 Offsetting collections (cash) from: Federal sources .....	-7	-19	-19

**Net budget authority and outlays:**

89.00 Budget authority .....	43	43	46
90.00 Outlays .....	45	43	45

**Program activities.**—Program activities within the Office of Inspector General include audit, program fraud, labor racketeering and special evaluations and inspections of program activities. The Office of Audit performs audits of the Department's financial statements, programs, activities, and systems to determine whether information is reliable, controls are in place, resources are safeguarded, funds are expended in a manner consistent with laws and regulations and managed economically and efficiently, and desired program results are achieved. The Office of Investigations administers an investigative program to detect and deter fraud, waste and abuse in Departmental programs; and to identify and reduce labor racketeering and corruption in employee benefit plans, labor-management relations, and internal union affairs. The OIG also provides technical assistance and conducts special reviews and evaluations.

	1997 actual	1998 est.	1999 est.
Audits .....	128	75	75
Program Fraud Investigations .....	1,099	986	986
Labor Racketeering Investigations .....	588	579	554

**Executive direction and management.**—This activity includes the management, legal counsel, administrative support, planning, evaluation, legislative liaison, personnel and financial functions for the OIG.

**Object Classification** (in millions of dollars)

Identification code 16-0106-0-1-505	1997 actual	1998 est.	1999 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	25	26	27
11.5 Other personnel compensation .....	3	2	3
11.9 Total personnel compensation .....	28	28	30
12.1 Civilian personnel benefits .....	6	6	7
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services .....	4	4	5
25.3 Purchases of goods and services from Government accounts .....	2	2	2
25.7 Operation and maintenance of equipment .....	1	1	
99.0 Subtotal, direct obligations .....	47	47	50
99.0 Reimbursable obligations .....	3	15	15
99.9 Total obligations .....	50	62	65

**General and special funds—Continued**  
**OFFICE OF INSPECTOR GENERAL—Continued**

**Personnel Summary**

Identification code 16-0106-0-1-505	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	427	417	428

**ASSISTANT SECRETARY FOR VETERANS EMPLOYMENT AND TRAINING**

Not to exceed **[\$181,955,000]** \$182,719,000 may be derived from the Employment Security Administration account in the Unemployment Trust Fund to carry out the provisions of 38 U.S.C. 4100-4110A and 4321-4327, and Public Law 103-353, and which shall be available for obligation by the States through December 31, [1998] 1999. (Department of Labor Appropriations Act, 1998.)

**Program and Financing (in millions of dollars)**

Identification code 20-8042-0-7-999	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
State administration:			
Disabled veterans outreach program .....	82	80	80
Local veterans employment representatives .....	75	77	77
Administration .....	23	23	24
National Veterans' Training Institute .....	2	2	2
Total obligations .....	182	182	183

<b>Budgetary resources available for obligation:</b>			
New budget authority (gross) .....	182	182	183
New obligations .....	-182	-182	-183

<b>New budget authority (gross), detail:</b>			
Appropriations (trust funds) .....	182	182	183

<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year .....	15	24	28
New obligations .....	182	182	183
Total outlays (gross) .....	-173	-178	-185
Unpaid obligations, end of year .....	24	28	26

<b>Outlays (gross) detail:</b>			
Total outlays gross .....	173	178	185

<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections from trust funds .....	182	182	183

<b>Net budget authority and outlays:</b>			
Budget authority (net) .....			
Outlays (net) .....	-9	-4	2

**State administration.**—The Disabled Veterans Outreach Program provides intensive employment and job development services to secure permanent employment for veterans, particularly those with service-connected disabilities and other disadvantages. Local Veterans Employment Representatives provide job development, placement, and supportive services directly to veterans and conduct functional supervision of the services provided veterans by other local office staff to ensure compliance with the performance standards for services to veterans.

**Administration.**—Identifies policies and programs to serve and meet employment and training needs of veterans. Assures the adequacy of counseling, testing, job training, and job placement services for veterans through monitoring, evaluating, and providing technical assistance and training to those delivering these services. Coordinates with the Department of Defense to ensure the provision of labor market information and other services to military service-members separating from active duty to expedite their transition from military to civilian employment under the Transition Assistance Program. Provides on-the-job training programs and other specialized services for certain veterans identified as facing seri-

ous barriers to employment. Administers veterans employment and training programs under the Job Training Partnership Act to provide these services. Promotes compliance of Federal contractors in listing jobs for veterans. Provides information and processes complaints to help veterans, reservists, and members of the National Guard obtain employment rights provided by law. Investigates alleged failure by Federal agencies to provide veterans' preference in employment or list competitive vacancies with the job service.

**National Veterans Training Institute.**—This program operates through a contract with the University of Colorado in Denver, Colorado, providing training to Federal and State employees who assist veterans in finding jobs.

**Object Classification (in millions of dollars)**

Identification code 20-8042-0-7-999	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	13	14	14
12.1 Civilian personnel benefits .....	3	3	3
21.0 Travel and transportation of persons .....	1	2	2
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.2 Other services .....	8	4	4
25.3 Purchases of goods and services from Government accounts .....	2	2	2
41.0 Grants, subsidies, and contributions .....	153	154	155
93.0 Limitation on expenses .....	-182	-182	-183
99.0 Subtotal, limitation acct—direct obligations .....	1		

**Personnel Summary**

Identification code 20-8042-0-7-999	1997 actual	1998 est.	1999 est.
6001 Total compensable workyears: Full-time equivalent employment .....	242	254	254

**Intragovernmental funds:**

**WORKING CAPITAL FUND**

[The paragraph under this heading in Public Law 85-67 (29 U.S.C. 563) is amended by striking the last period and inserting after "appropriation action" the following: "; Provided further, That the Secretary of Labor may transfer annually an amount not to exceed \$3,000,000 from unobligated balances in the Department's salaries and expenses accounts, to the unobligated balance of the Working Capital Fund, to be merged with such Fund and used for the acquisition of capital equipment and the improvement of financial management, information technology and other support systems, and to remain available until expended: Provided further, That the unobligated balance of the Fund shall not exceed \$20,000,000."] (Department of Labor Appropriations Act, 1998.)

**Program and Financing (in millions of dollars)**

Identification code 16-4601-0-4-505	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
09.01 Financial and administrative services .....	32	45	50
09.02 Field services .....	22	22	23
09.03 Facilities management .....	8		
09.04 Human resources services .....	8	7	8
09.05 Penalty mail and telecommunications .....	21	23	23
09.06 Investment in reinvention fund .....		1	1
09.07 Non-DOL reimbursements .....	5	5	5
10.00 Total obligations .....	96	103	110

<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	9	10	12
22.00 New budget authority (gross) .....	98	105	112
23.90 Total budgetary resources available for obligation .....	107	115	124
23.95 New obligations .....	-96	-103	-110
24.40 Unobligated balance available, end of year:			
Uninvested .....	10	12	15



<b>New budget authority (gross), detail:</b>			
68.00	Spending authority from offsetting collections (gross):		
	Offsetting collections (cash) .....	98	105
			112
<b>Change in unpaid obligations:</b>			
72.40	Unpaid obligations, start of year: Obligated balance:		
	Uninvested .....	13	12
			12
73.10	New obligations .....	96	103
			110
73.20	Total outlays (gross) .....	-97	-103
			-110
74.40	Unpaid obligations, end of year: Obligated balance:		
	Uninvested .....	12	12
			12
<b>Outlays (gross), detail:</b>			
86.97	Outlays from new permanent authority .....	92	97
			103
86.98	Outlays from permanent balances .....	5	5
			8
87.00	Total outlays (gross) .....	97	103
			110
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00	Offsetting collections (cash) from: Federal sources	-98	-105
			-112
<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....		
90.00	Outlays .....	-1	-2
			-2

Note.—Includes \$1 million in obligational authority in 1999 for activities previously financed from Departmental management for 1997 (\$1 million) and 1998 (\$1 million).

**Financial and administrative services.**—Provides support for financial systems on a Department-wide basis, financial services primarily for DOL national office staff, cost determination activities, maintenance of Departmental host computer systems, procurement and contract services, safety and health services, maintenance and operation of the Frances Perkins Building and general administrative support in the following areas: space and telecommunications, property and supplies, printing and reproduction and energy management.

**Field services.**—Provides full range of administrative and technical services to all agencies of the Department located in its regional and field offices. These services are in the personnel, financial and administrative areas.

**Human Resources Services.**—Provides guidance to DOL agencies in Senior Executive Service resource management and in the management of Schedule "C" and expert and consultant services, development and administration of Departmental programs for personnel security and financial disclosure, direct staffing and position management services, and benefits counseling to DOL employees.

**Penalty mail and telecommunications.**—Provides for departmental mail payments to the U.S. Postal Service and telecommunications payments to the General Services Administration.

**Non-DOL reimbursements.**—Funds received for services rendered to any entity or person for use of Departmental facilities and services, including associated utilities and security services, shall be credited to and merged with this fund.

**Investment in Reinvention Fund.**—This fund will finance agency reinvention proposals and other investment or capital acquisition projects in order to achieve savings and streamline work processes. The fund will be self-sustaining as agencies pay back the initial investment with savings generated through implementation of efficiencies and reinvention initiatives.

**Financing.**—The fund is paid by the agencies for which centralized services are performed at rates that return in full all expenses of operation, including reserves for accrued annual leave and depreciation of equipment.

**Object Classification** (in millions of dollars)

Identification code 16-4601-0-4-505			
		1997 actual	1998 est.
			1999 est.
Personnel compensation:			
11.1	Full-time permanent .....	30	33
			35
11.3	Other than full-time permanent .....	1	
11.5	Other personnel compensation .....	1	1
			1

11.9	Total personnel compensation .....	32	34	36
12.1	Civilian personnel benefits .....	7	8	9
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	7	7	8
23.3	Communications, utilities, and miscellaneous charges	27	29	29
24.0	Printing and reproduction .....		1	1
25.1	Advisory and assistance services .....	1		
25.2	Other services .....	5	7	7
25.3	Purchases of goods and services from Government			
	accounts .....	2	2	2
25.4	Operation and maintenance of facilities .....	4	4	5
25.7	Operation and maintenance of equipment .....	4	3	4
26.0	Supplies and materials .....	2	1	1
31.0	Equipment .....	4	4	6
99.0	Subtotal, reimbursable obligations .....	96	101	109
99.5	Below reporting threshold .....		2	1
99.9	Total obligations .....	96	103	110

**Personnel Summary**

Identification code 16-4601-0-4-505		1997 actual	1998 est.	1999 est.
2001	Total compensable workyears: Full-time equivalent			
	employment .....	699	690	700

**ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS**

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows: Agency for International Development, Functional Development Assistance Program, Department of Education: Office of Vocational and Adult Education: "Vocational and Adult Education".

**GENERAL PROVISIONS**

SEC. 101. None of the funds appropriated in this title for the Job Corps shall be used to pay the compensation of an individual, either as direct costs or any proration as an indirect cost, at a rate in excess of \$125,000.

(TRANSFER OF FUNDS)

SEC. 102. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act, as amended) which are appropriated for the current fiscal year for the Department of Labor in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: *Provided*, That the Appropriations Committees of both Houses of Congress are notified at least fifteen days in advance of any transfer.

SEC. 103. Funds shall be available for carrying out title IV-B of the Job Training Partnership Act, notwithstanding section 427(c) of that Act, if a Job Corps center fails to meet national performance standards established by the Secretary.

SEC. 104. None of the funds made available in this Act may be used by the Occupational Safety and Health Administration to promulgate or issue any proposed or final standard regarding ergonomic protection before September 30, 1998: *Provided*, That nothing in this section shall be construed to limit the Occupational Safety and Health Administration from issuing voluntary guidelines on ergonomic protection or from developing a proposed standard regarding ergonomic protection: *Provided further*, That no funds made available in this Act may be used by the Occupational Safety and Health Administration to enforce voluntary ergonomics guidelines through section 5 (the general duty clause) of the Occupational Safety and Health Act of 1970 (29 U.S.C. 654). ]

SEC. 105. Section 13(b)(12) of the Fair Labor Standards Act of 1938 (29 U.S.C. 213(b)(12)) is amended by striking "water for agricultural purposes" and inserting in lieu thereof "water, at least 90 percent of which was ultimately delivered for agricultural purposes during the preceding calendar year". ]

**TITLE V—GENERAL PROVISIONS**

SEC. 501. The Secretaries of Labor, Health and Human Services, and Education are authorized to transfer unexpended balances of prior appropriations to accounts corresponding to current appropriations provided in this Act: *Provided*, That such transferred balances

are used for the same purpose, and for the same periods of time, for which they were originally appropriated.

SEC. 502. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 503. (a) No part of any appropriation contained in this Act shall be used, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, radio, television, or video presentation designed to support or defeat legislation pending before the Congress or any State legislature, except in presentation to the Congress or any State legislature itself.

(b) No part of any appropriation contained in this Act shall be used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence legislation or appropriations pending before the Congress or any State legislature.

SEC. 504. The Secretaries of Labor and Education are each authorized to make available not to exceed \$15,000 from funds available for salaries and expenses under titles I and III, respectively, for official reception and representation expenses; the Director of the Federal Mediation and Conciliation Service is authorized to make available for official reception and representation expenses not to exceed \$2,500 from the funds available for "Salaries and expenses, Federal Mediation and Conciliation Service"; and the Chairman of the National Mediation Board is authorized to make available for official reception and representation expenses not to exceed \$2,500 from funds available for "Salaries and expenses, National Mediation Board".

SEC. 505. Notwithstanding any other provision of this Act, no funds appropriated under this Act shall be used to carry out any program of distributing sterile needles or syringes for the hypodermic injection of any illegal drug *unless the Secretary of Health and Human Services determines that such programs are effective in preventing the spread of HIV and do not encourage the use of illegal drugs.*

[SEC. 506. Section 505 is subject to the condition that after March 31, 1998, a program for exchanging such needles and syringes for used hypodermic needles and syringes (referred to in this section as an "exchange project") may be carried out in a community if—

(1) the Secretary of Health and Human Services determines that exchange projects are effective in preventing the spread of HIV and do not encourage the use of illegal drugs; and

(2) the project is operated in accordance with criteria established by such Secretary for preventing the spread of HIV and for ensuring that the project does not encourage the use of illegal drugs.]

SEC. [507] 506. (a) Purchase of American-Made Equipment and Products.—It is the sense of the Congress that, to the greatest extent practicable, all equipment and products purchased with funds made available in this Act should be American-made.

(b) Notice Requirement.—In providing financial assistance to, or entering into any contract with, any entity using funds made available in this Act, the head of each Federal agency, to the greatest extent practicable, shall provide to such entity a notice describing the statement made in subsection (a) by the Congress.

(c) Prohibition of Contracts With Persons Falsely Labeling Products as Made in America.—If it has been finally determined by a court or Federal agency that any person intentionally affixed a label bearing a "Made in America" inscription, or any inscription with the same meaning, to any product sold in or shipped to the United States that is not made in the United States, the person shall be ineligible to receive any contract or subcontract made with funds made available in this Act, pursuant to the debarment, suspension, and ineligibility procedures described in sections 9.400 through 9.409 of title 48, Code of Federal Regulations.

SEC. [508] 507. When issuing statements, press releases, requests for proposals, bid solicitations and other documents describing projects or programs funded in whole or in part with Federal money, all grantees receiving Federal funds included in this Act, including but not limited to State and local governments and recipients of Federal research grants, shall clearly state: (1) the percentage of the total costs of the program or project which will be financed with Federal money; (2) the dollar amount of Federal funds for the project or program; and (3) percentage and dollar amount of the total costs of the project or program that will be financed by non-governmental sources.

[SEC. 509. (a) None of the funds appropriated under this Act shall be expended for any abortion.

(b) None of the funds appropriated under this Act shall be expended for health benefits coverage that includes coverage of abortion.

(c) The term "health benefits coverage" means the package of services covered by a managed care provider or organization pursuant to a contract or other arrangement.]<sup>1</sup>

[SEC. 510. (a) The limitations established in the preceding section shall not apply to an abortion—

(1) if the pregnancy is the result of an act of rape or incest; or

(2) in the case where a woman suffers from a physical disorder, physical injury, or physical illness, including a life-endangering physical condition caused by or arising from the pregnancy itself, that would, as certified by a physician, place the woman in danger of death unless an abortion is performed.

(b) Nothing in the preceding section shall be construed as prohibiting the expenditure by a State, locality, entity, or private person of State, local, or private funds (other than a State's or locality's contribution of Medicaid matching funds).

(c) Nothing in the preceding section shall be construed as restricting the ability of any managed care provider from offering abortion coverage or the ability of a State or locality to contract separately with such a provider for such coverage with State funds (other than a State's or locality's contribution of Medicaid matching funds).]<sup>1</sup>

SEC. [511] 508. Notwithstanding any other provision of law—

(1) no amount may be transferred from an appropriation account for the Departments of Labor, Health and Human Services, and Education except as authorized in this or any subsequent appropriation Act, or in the Act establishing the program or activity for which funds are contained in this Act;

(2) no department, agency, or other entity, other than the one responsible for administering the program or activity for which an appropriation is made in this Act, may exercise authority for the timing of the obligation and expenditure of such appropriation, or for the purpose for which it is obligated and expended, except to the extent and in the manner otherwise provided in sections 1512 and 1513 of title 31, United States Code; and

(3) no funds provided under this Act shall be available for the salary (or any part thereof) of an employee who is reassigned on a temporary detail basis to another position in the employing agency or department or in any other agency or department, unless the detail is independently approved by the head of the employing department or agency.

SEC. [512] 509. None of the funds made available in this Act may be used to enforce the requirements of section 428(b)(1)(U)(iii) of the Higher Education Act of 1965 with respect to any lender when it is made known to the Federal official having authority to obligate or expend such funds that the lender has a loan portfolio under part B of title IV of such Act that is equal to or less than \$5,000,000.

Sec. [513] 510. (a) None of the funds made available in this Act may be used for—

(1) the creation of a human embryo or embryos for research purposes; or

(2) research in which a human embryo or embryos are destroyed, discarded, or knowingly subjected to risk of injury or death greater than that allowed for research on fetuses in utero under 45 CFR 46.208(a)(2) and section 498(b) of the Public Health Service Act (42 U.S.C. 289g(b)).

(b) For purposes of this section, the term "human embryo or embryos" include any organism, not protected as a human subject under 45 CFR 46 as of the date of the enactment of this Act, that is derived by fertilization, parthenogenesis, cloning, or any other means from one or more human gametes or human diploid cells.

SEC. [514] 511. (a) Limitation on Use of Funds for Promotion of Legalization of Controlled Substances.—None of the funds made available in this Act may be used for any activity when it is made known to the Federal official having authority to obligate or expend such funds that the activity promotes the legalization of any drug or other substance included in schedule I of the schedules of controlled substances established by section 202 of the Controlled Substances Act (21 U.S.C. 812).

(b) Exceptions.—The limitation in subsection (a) shall not apply when it is made known to the Federal official having authority to obligate or expend such funds that there is significant medical evidence of a therapeutic advantage to the use of such drug or other substance or that federally sponsored clinical trials are being conducted to determine therapeutic advantage.

SEC. [515] 512. None of the funds made available in this Act may be obligated or expended to enter into or renew a contract with an entity when it is made known to the Federal official having authority to obligate or expend such funds that—

(1) such entity is otherwise a contractor with the United States and is subject to the requirement in section 4212(d) of title 38, United States Code, regarding submission of an annual report to the Secretary of Labor concerning employment of certain veterans; and

(2) such entity has not submitted a report as required by that section for the most recent year for which such requirement was applicable to such entity.

**[SEC. 516. (a) Fees for Federal Administration of State Supplementary SSI Payments.—**

(1) Optional state supplementary payments.—

(A) In general.—Section 1616(d)(2)(B) of the Social Security Act (42 U.S.C. 1382e(d)(2)(B)) is amended—

(i) by striking “and” at the end of clause (iii); and

(ii) by striking clause (iv) and inserting the following:

“(iv) for fiscal year 1997, \$5.00;

“(v) for fiscal year 1998, \$6.20;

“(vi) for fiscal year 1999, \$7.60;

“(vii) for fiscal year 2000, \$7.80;

“(viii) for fiscal year 2001, \$8.10;

“(ix) for fiscal year 2002, \$8.50; and

“(x) for fiscal year 2003 and each succeeding fiscal year—

“(I) the applicable rate in the preceding fiscal year, increased by the percentage, if any, by which the Consumer Price Index for the month of June of the calendar year of the increase exceeds the Consumer Price Index for the month of June of the calendar year preceding the calendar year of the increase, and rounded to the nearest whole cent; or

“(II) such different rate as the Commissioner determines is appropriate for the State.”

(B) Conforming amendment.—Section 1616(d)(2)(C) of such Act (42 U.S.C. 1382e(d)(2)(C)) is amended by striking “(B)(iv)” and inserting “(B)(x)(II)”.

(2) Mandatory state supplementary payments.—

(A) In general.—Section 212(b)(3)(B)(ii) of Public Law 93–66 (42 U.S.C. 1382 note) is amended—

(i) by striking “and” at the end of subclause (III); and

(ii) by striking subclause (IV) and inserting the following:

“(IV) for fiscal year 1997, \$5.00;

“(V) for fiscal year 1998, \$6.20;

“(VI) for fiscal year 1999, \$7.60;

“(VII) for fiscal year 2000, \$7.80;

“(VIII) for fiscal year 2001, \$8.10;

“(IX) for fiscal year 2002, \$8.50; and

“(X) for fiscal year 2003 and each succeeding fiscal year—

“(aa) the applicable rate in the preceding fiscal year, increased by the percentage, if any, by which the Consumer Price Index for the month of June of the calendar year of the increase exceeds the Consumer Price Index for the month of June of the calendar year preceding the calendar year of the increase, and rounded to the nearest whole cent; or

“(bb) such different rate as the Commissioner determines is appropriate for the State.”

(B) Conforming amendment.—Section 212(b)(3)(B)(iii) of such Act (42 U.S.C. 1382 note) is amended by striking “(ii)(IV)” and inserting “(ii)(X)(bb)”.

(b) Use of New Fees To Defray the Social Security Administration’s Administrative Expenses.—

(1) Credit to special fund for fiscal year 1998 and subsequent years.—

(A) Optional state supplementary payment fees.—Section 1616(d)(4) of the Social Security Act (42 U.S.C. 1382e(d)(4)) is amended to read as follows:

“(4)(A) The first \$5 of each administration fee assessed pursuant to paragraph (2), upon collection, shall be deposited in the general fund of the Treasury of the United States as miscellaneous receipts.

“(B) That portion of each administration fee in excess of \$5, and 100 percent of each additional services fee charged pursuant to paragraph (3), upon collection for fiscal year 1998 and each subsequent fiscal year, shall be credited to a special fund established in the Treasury of the United States for State supplementary payment fees. The amounts so credited, to the extent and in the amounts provided in advance in appropriations Acts, shall be available to defray expenses incurred in carrying out this title and related laws.”

(B) Mandatory state supplementary payment fees.—Section 212(b)(3)(D) of Public Law 93–66 (42 U.S.C. 1382 note) is amended to read as follows:

“(D)(i) The first \$5 of each administration fee assessed pursuant to subparagraph (B), upon collection, shall be deposited in the general fund of the Treasury of the United States as miscellaneous receipts.

“(ii) The portion of each administration fee in excess of \$5, and 100 percent of each additional services fee charged pursuant to subparagraph (C), upon collection for fiscal year 1998 and each subsequent fiscal year, shall be credited to a special fund established in the Treasury of the United States for State supplementary payment fees. The amounts so credited, to the extent and in the amounts provided in advance in appropriations Acts, shall be available to defray expenses incurred in carrying out this section and title XVI of the Social Security Act and related laws.”

(2) Limitations on authorization of appropriations.—From amounts credited pursuant to section 1616(d)(4)(B) of the Social Security Act and section 212(b)(3)(D)(ii) of Public Law 93–66 to the special fund established in the Treasury of the United States for State supplementary payment fees, there is authorized to be appropriated an amount not to exceed \$35,000,000 for fiscal year 1998, and such sums as may be necessary for each fiscal year thereafter, for administrative expenses in carrying out the supplemental security income program under title XVI of the Social Security Act and related laws. ]

**[SEC. 517. Section 520(c)(2)(D) of the Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1997, is amended by striking “September 30, 1997” and inserting in lieu thereof “December 31, 1997”. ]**

**[SEC. 518. None of the funds made available in this Act may be used to pay the expenses of an election officer appointed by a court to oversee an election of any officer or trustee for the International Brotherhood of Teamsters. ]**

**[SEC. 519. Subsection (k) of section 9302 of the Balanced Budget Act of 1997, as added by section 1604(f)(3) of the Taxpayer Relief Act of 1997, is repealed. ]**

*SEC. 513. Section 520(c)(2)(D) of the Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1997, as amended by section 517 of Public Law 105–78, is further amended by striking “December 31, 1997” and inserting “December 31, 1998”. (Department of Labor Appropriations Act, 1998.)*

<sup>1</sup> The Administration proposes to delete this provision and will work with Congress to address this issue.