
Office of Inspector General

Formal Reprogramming of Facilities and Equipment Appropriation

Federal Aviation Administration

Report Number FE-1998-132

Date Issued: May 7, 1998





**U.S. Department of
Transportation**
Office of the Secretary
of Transportation

The Inspector General

Office of the Inspector General
Washington, D.C. 20590

May 7, 1998

The Honorable Frank R. Wolf
Chairman, Subcommittee on Transportation
and Related Agencies
U. S. House of Representatives
Washington, D. C. 20515

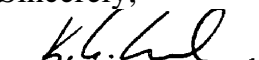
Dear Mr. Chairman:

On April 3, 1998, we briefed your staff on the status of your requested audit of the budget execution process within the Federal Aviation Administration (FAA). Our full report should be completed by May 20, 1998. At the briefing, your staff requested interim information on FAA's formal reprogramming actions, taken during Fiscal Year (FY) 1997, to reprogram \$34 million within the Facilities and Equipment appropriation. FAA requested this reprogramming authority to meet urgent requirements for the Standard Terminal Automation Replacement System (STARS) program, and the Air Route Traffic Control Center (ARTCC) Modernization program. Congress approved the reprogramming of \$31 million: \$10 million for STARS and \$21 million for ARTCC. The information in this letter does not pertain to FAA's FY 1998 reprogramming request.

FAA provided detailed information to Congress on proposed reductions by budget line items to offset the \$34 million requested. We made a detailed comparison of budget line items and found major variances in amounts proposed for reduction by budget line item to actual amounts reprogrammed. FAA reprogrammed \$1.7 million from six budget line items that were not proposed to Congress, and did not reprogram about \$6 million from 21 budget line items that were proposed for reduction. These 27 budget line items are highlighted in the enclosure.

If I can answer any questions, or be of further assistance, please feel free to contact me on (202) 366-1959, or my Assistant Inspector General for Auditing, Lawrence H. Weintrob, on (202) 366-1992. This letter is our report number FE-1998-132.

Sincerely,


Kenneth M. Mead
Inspector General

Enclosure

cc: Chief of Staff
FAA Administrator

Office of Inspector General
Comparison of FAA Proposed and Actual Reprogramming Sources
for ARTCC and STARS using FY 1995 Appropriation

<u>Project Description</u>	<u>Budget Line Item Number</u>	<u>FY 1995 Appropriation</u>	<u>Proposed Reduction</u>	<u>Actual Reduction</u>
Terminal Doppler Weather Radar	2B02	25,000,000	(500,000)	0
Mode S	2B03	24,900,000	(753,630)	0
Airport Surface Detection	2B15	7,000,000	(940,800)	0
Employee Safety f/ATC Facs/OSHA	2B23	31,300,000	(641,400)	(641,400)
ALSIP-Provide Frangilble Structures	2D02	2,000,000	(299,100)	0
Runway Visual Range (RVR) - Est	2D06	2,500,000	(243,000)	0
ILS-Replace	2D07	11,600,000	(19,208)	0
FAA System Architecture	4A09	2,000,000	(75,000)	(75,000)
Precision Auto Tracking System	1E06	1,400,000	(210,000)	(210,000)
CAMI Infrastructure Improvement	1E07	2,900,000	(276,000)	(276,000)
Radar Microwave Link (RML) System	2A03	8,000,000	(70,200)	(70,200)
Display Channel Comp. Rehost (DCCR)	2A10	2,000,000	(100,000)	0
Emergency Transceivers-Replace	2B11	3,000,000	(44,600)	(44,600)
Dallas/Ft Worth Metroplex Program	2B19	5,500,000	(176,700)	0
Flight Service Station (FSS) Auto.	2C01	8,000,000	(5,000)	(5,000)
Purchase Land or Easement f/existing	2E07	1,150,500	(137,500)	(137,500)
Aircraft Fleet Modernization	2E09	50,000,000	(649,100)	(649,100)
Air Traffic Controller Chairs - Replace	2E10	730,800	(93,189)	(93,189)
Computer Aided Eng Graphics (CAEG)	2E12	1,000,000	(149,200)	(2,254)
Child Care Facilities	3A06	4,400,000	(87,000)	(87,000)
Mike Monroney Areo Center Lease	4A03	14,800,000	(43,856)	0
In-plant NAS Contract Support Service	4A04	5,700,000	(21,856)	(2,186)
National Airspace Integrated Log Supt	4A06	5,000,000	(866,006)	(266,006)
Technical Support Services Contract	4A10	61,000,000	(18,503)	(18,503)
Program Support Services	4A12	19,217,000	(40,748)	(40,748)
ILS Replace	2D04	20,100,000	0	(19,264)
Advanced Automation Sys-EDT&E	1A02	409,500,000	0	(1,235,850)
Display Channel Complex Rehost	2A23	2,000,000	0	(100,000)
Airport Cable Loop System-Sup	2E11	6,113,000	0	(26,200)
Total FY 1995 Proposed Funding Sources			<u>(6,461,596)</u>	
Total FY 1995 Actual Funding Sources				<u>(4,000,000)</u>

Office of Inspector General
 Comparison of FAA Proposed and Actual Reprogramming Sources
 for ARTCC and STARS using FY 1996 Appropriation

<u>Project Description</u>	<u>Budget Line Item Number</u>	<u>FY 1996 Appropriation</u>	<u>Proposed Reduction</u>	<u>Actual Reduction</u>
Aeronautical Data Link (ADL)-EDT&E	1A05	27,400,000	(1,000,000)	0
Mode S - Provide	2B02	12,700,000	(136,997)	0
Instrument Landing Sys (ILS)-EST/Upgr	2D03	35,000,000	(162,400)	0
ILS Replace GRN-27	2D09	6,900,000	(1,000,000)	(1,000,000)
Distance Learning	3B01	8,800,000	(156,000)	(150,000)
NAS Training Facilities	3B03	3,000,000	(450,000)	(450,000)
FAA Systems Architecture	4A09	3,000,000	(450,000)	(450,000)
Terminal Area Surveillance System	1B05	5,000,000	(429,630)	0
Technical Center Fiber Data Dist. Inte.	1E07	2,000,000	(300,000)	0
CAMI Infrastructure Improvement	1E08	600,000	(80,000)	(80,000)
Cabin Research Facility Const	1E09	500,000	(75,000)	0
Radar Microwave Link (RML) System	2A02	1,000,000	(150,000)	(150,000)
DOD Base Closure - Facility Transfer	2A17	5,000,000	(600,000)	(600,000)
Emergency Transceivers - Replace	2B09	2,000,000	(300,000)	(300,000)
Radio Control Equipment (RCE) -Prov	2B11	1,100,000	(165,000)	0
Dallas Ft Worth Metroplex	2B18	13,000,000	(950,000)	(1,623,500)
New Austin Airport at Bergstrom	2B20	14,000,000	(500,000)	(600,000)
Low Cost ASDE	2B26	5,000,000	(13,025)	0
Loop Technology for Surface Detection	2B27	2,000,000	(10,000)	0
Flight Service Station (FSS) Automation	2C01	1,000,000	(80,000)	0
FSAS Oper. & Sup. Imple Sys (OASIS)	2C03	16,700,000	(2,505,000)	(2,505,000)
Flight Service Facilities Improvement	2C04	805,000	(118,300)	(118,300)
Purchase Land or Easement f/exist FAC	2E07	1,500,000	(225,000)	(20,000)
National Airspace Sys Recovery Com	3A03	2,000,000	(290,000)	(290,000)
Child Care Facilities	3A06	2,600,000	(390,000)	(390,000)
Logistics Support System and Fac	3A08	2,000,000	(500,000)	(500,000)
Program Support Leases	4A02	29,500,000	(250,000)	0
Mike Monroney Aero Center Lease	4A04	15,000,000	(148,000)	(148,200)
Acquisition Oversight	4A08	400,000	(60,000)	(55,000)

Total FY 1996 Proposed Funding Sources

(11,494,352)

Total FY 1996 Actual Funding Sources

(9,430,000)

Office of Inspector General
 Comparison of FAA Proposed and Actual Reprogramming Sources
 for ARTCC and STARS using FY 1997 Appropriation

<u>Project Description</u>	<u>Budget Line Item Number</u>	<u>FY 1997 Appropriation</u>	<u>Proposed Reduction</u>	<u>Actual Reduction</u>
Aviation Weather Services Improve	1A01	19,942,000	(1,275,200)	(1,200,000)
Next Generation VHF A/G Comm Sys	1A04	2,090,000	(267,300)	0
Airport Surface Target Ident System	1B05	4,000,000	(600,000)	(600,000)
Local Area Augmentation System	1D01	6,000,000	(350,000)	(350,000)
NAS Improvement of Sys Supt Lab.	1E04	2,000,000	(300,000)	(300,000)
Weather& Radar Processor - MWP II	2A04	24,650,000	(500,000)	(500,000)
Aeronautical Data Link (ADL)	2A05	17,425,000	(900,000)	(11,118)
Spectrum Auction Impact	2A17	40,000,000	(4,000,000)	(4,429,630)
Chicago TRACON	2B12	2,900,000	(196,900)	(196,900)
Southern California TRACON	2B15	5,700,000	(500,000)	(500,000)
Voice Recorder Replacement Prog.	2B20	4,000,000	(400,000)	(400,000)
Navigation and Landing Aids - Improve	2D10	3,744,000	(362,300)	(440,000)
Computer Aided Eng. Graphics Rep	2E08	1,500,000	(225,000)	(225,000)
NAS Recovery Communications	3A03	1,500,000	(191,800)	(191,800)
Aviation Safety Analysis System	3A04	19,400,000	(500,000)	(500,000)
Sys Engineering & Dev Support	4A01	31,341,000	(500,000)	(500,000)
Program Support Leases	4A02	29,600,000	(525,000)	(525,000)
Logistics Support Services	4A03	8,800,000	(500,000)	(500,000)
In-plant NAS Contract support Svcs.	4A05	4,800,000	(720,000)	(720,000)
Transition Engineering Support	4A06	47,500,000	(500,000)	(500,000)
FAA System Architecture	4A09	6,500,000	(975,000)	(2,875,000)
Technical Services Support Contract	4A10	65,900,000	(1,755,552)	(1,755,552)
Tower Automation Program	2B19	10,000,000	0	(250,000)
Air Traffic Control Tower/TRACON-Improve	2B07	16,354,000	0	(100,000)
Total FY 1997 Proposed Funding Sources			<u>(16,044,052)</u>	
Total FY 1997 Actual Funding Sources				<u>(17,570,000)</u>