



Annual Performance Report

OMB No. 2010-0032
Expiration Date: 06/30/03

Facility Name: 3M ESPE
Performance Track ID #: A090016
Annual Performance Report #: 1
Reporting Year: 2001
Due Date: 07/01/2002

Section A. General Facility Information

Please supply or revise information in A.1 – A.8 as is necessary to ensure that it is accurate, complete, and up-to-date. Items A.9 and A.10 cannot be pre-completed; please respond as directed in A.9 and A.10 below.

A.1 Name of your facility: 3M ESPE

A.2 Name of your parent company: 3M

A.3 Facility contact person for the Performance Track program:

Name: Mr. Ivan Rivera

Title: EHS Supervisor

Phone: (949) 863-1236

Fax: (949) 863-7023

E-mail: iarivera@mmm.com

A.4 Facility's location

Street Address: 2111 McGaw Ave

Address (cont.):

City: Irvine

State: CA

Zip Code: 92614

A.5 Facility's Website address (if any):

A.6 Number of employees (full-time equivalents) who currently work in the facility:

100-499

A.7 Does your company meet the Small Business Administration definition of a small business for your sector?

No

A.8 North American Industrial Classification System (NAICS) Code(s) that are used to classify business at the facility:

339114

A.9 In your application and, perhaps, in previous annual performance reports, you described what your facility does or makes. Have there been any (additional) changes to your facility's list of products and/or activities? If so, please list them here:

No

A.10 Please update the list of environmental requirements that apply to your facility by listing any changes that have taken place during this reporting period. If you have no changes to report, please enter "No changes".

No changes

**Section B.
Environmental Management System**

B.1 Environmental Management System Assessment. Please summarize EMS assessments conducted *during the year*.

a. Was an EMS audit or other assessment done by an independent third party?

Yes

If yes, please provide the type (e.g., ISO 14001 certification), the scope, and the dates (mo/yr) of each assessment.

Type	Scope	Dates (mo/yr)
ISO 14001	The facility was audited against the requirements of ISO 14001 standards. This is part of bi-annual ISO 14001 re-certification audit.	12/01

b. Was an internal or corporate EMS audit conducted? Yes

If yes, please provide the scope and dates (mo/yr) of each audit.

Scope	Date (mo/yr)
The corporate environmental staff conducted environmental compliance audit that covers implementation of EMS and management review.	02/02

c. Was a compliance audit conducted?

Yes

If yes, please provide the scope and the dates (mo/yr) of each audit, and indicate who conducted the audit(s) (e.g., facility staff, corporate groups, third party).

Scope	Dates	Who conducted the audit
The corporate compliance audit covers compliance with air and water pollution, solid and hazardous waste, tank systems, PCB, and asbestos regulations and requirements, and 3M environmental policies.	02/02	ET&S Environmental Audit Group

d. (Optional) If you would like to describe any other audits or inspections that were conducted at your facility, please do so here.

Monthly cross department audits. That is, each month, selected associate of our department will conduct environmental, health and safety audit of another department's practices. This is done to ensure unbiased review of our practices and to also allow fresh look of our working environment. Issues identified are tracked until closure.

e. Briefly summarize corrective actions taken and other improvements made as a result of your EMS assessments and compliance audit

No non-conformance

f. Has your facility corrected all instances of potential non-compliance and EMS non-conformance identified during your audits and other assessments?

No such instances identified

If no, please explain your plans to correct these instances.

g. When was the last Senior Management review of your EMS completed?

09/01

Who headed the review? Name: Mr. Steve Fisher Title: Quality Supervisor

B.2 ISO 14001 Certification. Is your facility currently certified to ISO 14001?

Yes

B.3 Environmental Aspects Identification. When did your facility last conduct a systematic identification and/or review of your environmental aspects?

09/01

B.4 Progress Toward Achieving Objectives and Targets. In the table below, please provide a narrative summary of progress made toward EMS objectives and targets. You may limit the summary to environmental aspects that are *significant* and towards which progress has been made during the *reporting year*. In cases where *progress* relates specifically to a Performance Track performance commitment, complete the Environmental Aspect column, but in the *Progress* column simply refer to the performance commitment tables in Section C, i.e. "See Section C."

<i>Environmental Aspect</i>	<i>Progress Made This Year</i> (e.g., quantitative or qualitative improvements, activities conducted)
Waste Minimization	See Section C
Air Emissions Reduction	See Section C
Energy Use	See Section C

**Section C.
Environmental Performance Commitments**

Please use the tables below to summarize your facility's environmental performance against your Performance Track performance commitments. Complete only those boxes related to the baseline, current year, and performance commitment.

C.1 Performance Commitment 1

a. Use this table to report data related to your first performance commitment.

Category:	Waste				
Aspect :	Total Solid Waste				
	Aspect Specifics (Optional):				
	Baseline (as stated in your application)	Year 1	Year 2	Year 3	Performance Commitment (the goal stated in your application)
Calendar Year	2000	2001	2002	2003	2003
Actual Quantity (per year)	120	137			Optional:
Measurement Units	tons				
Normalizing Factor	1.0	1.2			Optional:
Basis for your Normalizing Factor	Production				
Normalized Quantity* (per year)	120	114.2			114
*Calculated for you					

b. Briefly describe how you achieved improvements for this aspect or, if relevant, any circumstances that delayed progress.

Recycling efforts and source substitution. Amount of waste generated was reduced with better process controls (waste segregation allowing more cardboard and paper to be recycled).

If you have additional information that you would like to include please attach it here:

Any attachments will be located at the end of the report.

c. Please list any other EPA voluntary programs to which you are also reporting these data (e.g. Energy Star, Project XL).

N/A

C.2 Performance Commitment 2

a. Use this table to report data related to your second performance commitment.

Category :	Air Emissions				
Aspect :	Emissions of VOCs				
	Aspect Specifics (Optional):				
	Baseline (as stated in your application)	Year 1	Year 2	Year 3	Performance Commitment (the goal stated in your application)
Calendar Year	2000	2001	2002	2003	2003
Actual Quantity (per year)	3.73	3.28			Optional:
Measurement Units	tons				
Normalizing Factor	1.0	1.2			Optional:
Basis for your Normalizing Factor	Production				
Normalized Quantity* (per year)	3.7	2.7			3.54
*Calculated for you					

b. Briefly describe how you achieved improvements for this aspect or, if relevant, any circumstances that delayed progress.

Recycling efforts and Source substitution. Air emissions were reduced by rigorous pre-use analysis (source substitution and recycling).

Attach:

Any attachments will be located at the end of the report.

c. Please list any other EPA voluntary programs to which you are also reporting these data (e.g. Energy Star, Project XL).

N/A

C.3 Performance Commitment 3

a. Use this table to report data related to your third performance commitment.

Category :	Energy Use				
Aspect :	Total Energy Use				
	Aspect Specifics (Optional):				
	Baseline (as stated in your application)	Year 1	Year 2	Year 3	Performance Commitment (the goal stated in your application)
Calendar Year	2000	2001	2002	2003	2003
Actual Quantity (per year)	170	156			Optional:
Measurement Units	mmBtu				
Normalizing Factor	1.0	1.2			Optional:
Basis for your Normalizing Factor	Production				
Normalized Quantity* (per year)	170	130			162
*Calculated for you					

b. Briefly describe how you achieved improvements for this aspect or, if relevant, any circumstances that delayed progress.

Implemented numerous energy conservation measures. Surveyed facility to identify, evaluate and apply energy conservation practices.

Attach:

Any attachments will be located at the end of the report.

c. Please list any other EPA voluntary programs to which you are also reporting these data (e.g. Energy Star, Project XL).

N/A

C.4 Performance Commitment 4

a. Use this table to report data related to your fourth performance commitment.

Category :	Waste				
Aspect :	Hazardous Solid Waste				
	Aspect Specifics (Optional):				
	Baseline (as stated in your application)	Year 1	Year 2	Year 3	Performance Commitment (the goal stated in your application)
Calendar Year	2000	2001	2002	2003	2003
Actual Quantity (per year)	42	40			Optional:
Measurement Units	tons				
Normalizing Factor	1.0	1.2			Optional:
Basis for your Normalizing Factor	Production				
Normalized Quantity* (per year)	42	33.3			40
*Calculated for you					

b. Briefly describe how you achieved improvements for this aspect or, if relevant, any circumstances that delayed progress.

Hazardous waste were combusted for energy recovery.

Attach:

Any attachments will be located at the end of the report.

c. Please list any other EPA voluntary programs to which you are also reporting these data (e.g. Energy Star, Project XL).

N/A

**Section D.
Public Outreach and Performance Reporting**

D.1 Please briefly summarize the public outreach and reporting activities that your facility has conducted during the year. Feel free, but not obligated, to attach supporting materials (e.g., meeting agendas, public announcements).

Elected officials at federal and state levels were invited to visit the plant for a recognition event on April 20, 2001. Website where environmental performance is outlined is available for the general public.

Attachment:

Any attachments will be located at the end of the report.

D.2 Please indicate which of the following methods your facility plans to use to make its Performance Track Annual Report available to the public. Please check as many as appropriate.

Web Site
Meetings

URL: www.3m.com/about3m/environment

Other:

**Section E.
Self-Certification of Continued Program Participation**

On behalf of **3M ESPE,**
(name of my facility)

I certify that

- I have read and agree to the terms and conditions as specified in the *National Environmental Performance Track Program Guide*. This facility, to the best of my knowledge, continues to meet all program criteria;
- I have personally examined and am familiar with the information contained in this Annual Performance Report. The information contained in this report is, to the best of my knowledge and based on reasonable inquiry, true, accurate, and complete;
- My facility has an environmental management system (EMS), as defined in the Performance Track EMS criteria, including systems to maintain compliance with all applicable federal, state, tribal, and local environmental requirements, in place at the facility, and the EMS will be maintained for the duration of the facility's participation in the program;
- My facility has conducted an objective assessment of its compliance with all applicable federal, state, tribal, and local environmental requirements; and the facility has corrected all identified instances of potential or actual noncompliance;
- Based on the foregoing compliance assessments and subsequent corrective actions (if any were necessary), my facility is, to the best of my knowledge and based on reasonable inquiry, currently in compliance with applicable federal, state, tribal, and local environmental requirements.

I agree that EPA's decision whether to accept participants into or remove them from the National Environment Performance Track is wholly discretionary, and I waive any right that may exist under any law to challenge EPA's acceptance or removal decision.

I am the senior manager with responsibility for the facility and am fully authorized to execute this statement on behalf of the corporation or other legal entity whose facility is part of the National Environmental Performance Track program.

Signature/Date

Printed Name

Ms. Dena Robertson

Title

Plant Manager

Phone Number

949-863-1360

E-mail Address

Facility Name

3M ESPE

Facility Street Address

2111 McGaw Ave

Irvine, CA 92614

Performance Track ID#

A090016

Appendix:**Do you want to add Appendix?****When adding additional comments, please refer to question number.****Comments:****Attachment:**

Paperwork Reduction Act Notice

The public reporting and recordkeeping burden for this collection information is estimated to average 188 hours per respondent annually. Burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose or provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.