

186 Exchange St., Ste 110, Buffalo, NY
14204-2026
1400 Old Country Road, Ste 202, Westbury,
NY 11590-5119
Fed Bldg, Rm 3404, 26 Federal Plaza, New
York, NY 10278-0105

North Carolina

Quorum Business Park, Ste 120 7508 E
Independence Blvd., Charlotte, NC 28227-
9409

North Dakota

USPO Bldg, Rm 312, 657 Second Ave North,
Fargo, ND 58102-4727

Ohio

URS Building Suite 201, 36 E 7th St,
Cincinnati, OH 45202-4439
Celebrezze Fed Bldg, Rm 907, 1240 E 9th St,
Cleveland, OH 44199-2093

Oregon

Green-Wyatt Fed Bldg, Rm 377, 1220 SW 3rd
Ave, Portland, OR 97204-2807

Pennsylvania

1514 11th Avenue, PO Box 990, Altoona, PA
16603-0990
Fed Bldg, Rm 576, 228 Walnut St, Box 11697,
Harrisburg, PA 17108-1697
Nix Fed Bldg, 900 Market St., Ste 301, PO
Box 327, Philadelphia, PA 19105-0327
Moorhead Fed Bldg, Rm 1511, 1000 Liberty
Ave., Pittsburgh, PA 15222-4107
Siniawa Plaza II, 717 Scranton Carbondale
Hwy, Scranton, PA 18508-1121

Tennessee

233 Cumberland Bend, Ste 104, Nashville,
TN 37228-1813

Texas

819 Taylor St, Rm 10G02, PO Box 17420, Fort
Worth, TX 76102-0420
Leland Fed Bldg, Ste 845, 1919 Smith,
Houston, TX 77002-8051

Utah

125 S State St, Rm 1205, Salt Lake City, UT
84138-1137

Virginia

400 North 8th St., Ste 470, Richmond, VA
23219-4819
First Campbell Square, Ste 260, 210 First
Street, SW, PO Box 270, Roanoke, VA
24002-0270

Washington

Pacific First Plaza, Ste 201, 155 108th Ave,
NE, Bellevue, WA 98004-5901
US Cthse, Rm 492, W 920 Riverside Ave,
Spokane, WA 99201-1081

West Virginia

New Fed Bldg, Rm 145, 640 4th Ave, PO Box
2153, Huntington, WV 25721-2153

Wisconsin

Reuss Plaza, Ste 1300, 310 W Wisconsin Ave,
Milwaukee, WI 53203-2219

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BILLING CODE 7905-01-P

SECURITIES AND EXCHANGE COMMISSION

Proposed Collection; Comment Request

Upon Written Request, Copies Available
From: Securities and Exchange
Commission, Office of Filings and
Information Services, Washington, DC
20549.

Extension:

Rule 19d-1; SEC File No. 270-242; OMB
Control No. 3235-0206.

Notice is hereby given that pursuant
to the Paperwork Reduction Act of 1995
(44 U.S.C. 3501 *et seq.*) the Securities
and Exchange Commission
("Commission") intends to submit to
the Office of Management and Budget
request for extension of the previously
approved collection of information
discussed below.

- Rule 19d-1—Notices by Self-
Regulatory Organizations of Final
Disciplinary Actions, Denials Bars, or
Limitations Respecting Membership,
Association, or Access to Services, and
Summary Suspensions

Rule 19d-1 (17 CFR 240.19d-1)
("Rule") under the Securities Exchange
Act of 1934 (17 U.S.C. 78a *et seq.*)
prescribes the form and content of
notices to be filed with the Commission
by self-regulatory organizations
("SROs") for which the Commission is
the appropriate regulatory agency
concerning the following final SRO
actions: (1) Disciplinary sanctions
(including summary suspensions); (2)
denials of membership, participation or
association with a member; and (3)
prohibitions or limitations on access to
SRO services.

The Rule enables the Commission to
obtain reports from the SROs containing
information regarding SRO
determinations to discipline members or
associated persons of members, deny
membership or participation or
association with a member, and similar
adjudicated findings. The Rule requires
that such actions be promptly reported
to the Commission. The Rule also
requires that the reports and notices
supply sufficient information regarding
the background, factual basis and issues
involved in the proceeding to enable the
Commission: (1) To determine whether
the matter should be called up for
review on the Commission's own
motion; and (2) to ascertain generally
whether the SRO has adequately carried
out its responsibilities under the
Exchange Act.

It is estimated that 10 respondents
will utilize this application procedure
annually, with a total burden of 1175
hours, based on past submissions. This

figure is based on 10 respondents,
spending approximately 117.5 hours
each. Each respondent submitted
approximately 235 responses. The staff
estimates that the average number of
hours necessary to comply with the
requirements of Rule 19d-1 for each
submission is 0.5 hours. The average
cost per hour, per each submission is
approximately \$101. Therefore, the total
cost of compliance for all the
respondents is \$118,675. (10
respondents × 235 responses per
respondent × .5 hrs per response × \$101
per hour).

The filing of notices pursuant to the
Rule is mandatory for the SROs, but
does not involve the collection of
confidential information. Please note
that an agency may not conduct or
sponsor, and a person is not required to
respond to, a collection of information
unless it displays a currently valid
control number. Rule 19d-1 does not
have a retention of records requirement.

Written comments are invited on: (a)
Whether the proposed collection of
information is necessary for the proper
performance of the functions of the
agency, including whether the
information shall have practical utility;
(b) the accuracy of the agency's
estimates of the burden of the proposed
collection of information; (c) ways to
enhance the quality, utility and clarity
of the information to be collected; and
(d) ways to minimize the burden of the
collection of information on
respondents, including through the use
of automated collection techniques or
other forms of information technology.
Consideration will be given to
comments and suggestions submitted in
writing within 60 days of this
publication.

Direct your written comments to R.
Corey Booth, Director/Chief Information
Officer, Securities and Exchange
Commission, C/O Shirley Martinson,
6432 General Green Way, Alexandria,
VA 22312 or send an e-mail to:
PRA_Mailbox@sec.gov. Comments must
be submitted to OMB within 60 days of
this notice.

Dated: April 24, 2007.

Florence E. Harmon,
Deputy Secretary.

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SECURITIES AND EXCHANGE COMMISSION

Proposed Collection; Comment Request

Upon Written Request, Copies Available
From: Securities and Exchange