

**Table TA_2: Number of 5500s and Schedules Filed with Burden Estimates, Proposed Rules
by Filer Type**

Filer Type	Total ¹	5500	Sch A	Sch B	Sch C	Sch D	Sch E	Sch G	Sch H	Sch I	Sch P	Sch R	Sch SSA	Short Form
Schedules	832,683	253,027	261,220	59,164	83,584	199,068	0	148,645	193,559	65,516	0	171,684	0	579,656
5500 Large Plans	188,620	188,620	237,791	21,266	83,499	195,909	0	148,615	193,441	356	0	140,690	0	0
DB, ME, 100-1,000	827	827	230	1,102	1,051	822	0	382	1,474	1	0	1,173	0	0
DB, ME, > 1,000	1,106	1,106	570	1,555	1,475	2,068	0	2,635	1,983	0	0	1,653	0	0
DB, SE, 100-1,000	8,889	8,889	2,281	12,424	8,478	15,711	0	2,483	15,848	7	0	13,555	0	0
DB, SE, > 1,000	4,190	4,190	1,094	5,892	4,453	10,262	0	3,056	7,479	0	0	6,367	0	0
DC, ME, non-403(b)	2,315	2,315	1,157	75	2,297	3,686	0	3,514	4,100	5	0	2,893	0	0
DC, ME, 403(b)	382	382	191	0	196	825	0	719	686	0	0	567	0	0
DC, SE, non-403(b)	70,043	70,043	33,482	199	35,292	140,240	0	106,563	124,283	99	0	101,709	0	0
DC, SE, 403(b)	8,557	8,557	4,268	0	4,395	18,458	0	16,087	15,350	0	0	12,688	0	0
Welfare, ME	5,718	5,718	11,225	2	4,833	686	0	2,903	6,488	10	0	6	0	0
Welfare, SE	86,594	86,594	183,293	17	21,029	3,152	0	10,274	15,749	234	0	80	0	0
5500 Small Eligible	579,656	0	0	34,108	0	0	0	0	0	0	0	0	0	579,656
DB	30,838	0	0	33,511	0	0	0	0	0	0	0	0	0	30,838
DC, non-403(b)	533,021	0	0	589	0	0	0	0	0	0	0	0	0	533,021
DC, 403(b)	8,773	0	0	6	0	0	0	0	0	0	0	0	0	8,773
Welfare2	7,024	0	0	2	0	0	0	0	0	0	0	0	0	7,024
5500 Small Ineligible	64,406	64,406	23,429	3,790	85	3,159	0	30	118	65,160	0	30,994	0	0
DB	4,037	4,037	1,605	3,712	10	41	0	0	7	4,085	0	3,298	0	0
DC, non-403(b)	60,225	60,225	21,715	78	55	3,116	0	30	101	60,952	0	27,693	0	0
DC, 403(b)	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Welfare2	145	145	109	0	20	1	0	0	10	123	0	4	0	0

Hours/Schedule	13.48													
5500 Large Plans	50.17	23.47	3.92	27.10	3.68	1.24	0	11.46	6.33	2.43	0	0.36	0	0.00
DB, ME, 100-1,000	108.89	44.47	1.99	26.22	3.90	0.94	0	17.53	7.03	2.84	0	1.67	0	0.00
DB, ME, > 1,000	149.76	44.47	1.99	28.72	3.90	0.94	0	17.53	7.03	2.84	0	1.67	0	0.00
DB, SE, 100-1,000	104.75	44.31	1.99	26.22	3.90	0.94	0	17.53	7.03	2.84	0	0.30	0	0.00
DB, SE, > 1,000	117.47	44.31	1.99	28.72	3.90	0.94	0	17.53	7.03	2.84	0	0.30	0	0.00
DC, ME, non-403(b)	75.72	40.38	2.57	26.22	2.57	1.20	0	11.09	6.01	2.83	0	1.00	0	0.00
DC, ME, 403(b)	78.69	40.38	2.57	26.22	2.57	1.20	0	11.09	6.01	2.83	0	1.00	0	0.00
DC, SE, non-403(b)	73.22	40.21	2.57	26.22	2.57	1.20	0	11.09	6.01	2.83	0	0.31	0	0.00
DC, SE, 403(b)	77.51	40.21	2.57	26.22	2.57	1.20	0	11.09	6.01	2.83	0	0.31	0	0.00
Welfare, ME	33.07	5.49	4.23	26.22	5.37	4.82	0	10.99	7.56	2.24	0	1.00	0	0.00
Welfare, SE	18.44	5.33	4.23	26.22	5.37	4.82	0	10.99	7.56	2.24	0	0.30	0	0.00
5500 Small Eligible	2.42	0.00	0.00	5.78	0.00	0.00	0	0.00	0.00	0.00	0	0.00	0	2.08
DB	8.47	0.00	0.00	5.88	0.00	0.00	0	0.00	0.00	0.00	0	0.00	0	2.08
DC, non-403(b)	2.08	0.00	0.00	0.00	0.00	0.00	0	0.00	0.00	0.00	0	0.00	0	2.08
DC, 403(b)	2.08	0.00	0.00	0.00	0.00	0.00	0	0.00	0.00	0.00	0	0.00	0	2.08
Welfare2	2.08	0.00	0.00	0.00	0.00	0.00	0	0.00	0.00	0.00	0	0.00	0	2.08
5500 Small Ineligible	5.98	1.31	2.86	5.88	2.88	0.51	0	11.09	5.82	2.98	0	0.46	0	0.00
DB	11.27	1.31	2.83	5.88	3.14	0.38	0	17.53	6.60	2.99	0	0.46	0	0.00
DC, non-403(b)	5.62	1.31	2.86	5.88	2.06	0.51	0	11.09	5.64	2.98	0	0.47	0	0.00
DC, 403(b)	0.00	0.00	0.00	0.00	0.00	0.00	0	0.00	0.00	0.00	0	0.00	0	0.00
Welfare2	6.97	1.23	3.38	5.88	4.97	0.95	0	10.99	7.09	2.36	0	0.46	0	0.00

Total Burden Hours	11,250,207	4,512,101	997,966	795,538	307,939	243,591	0	1,702,725	1,224,947	195,099	0	64,614	0	1,205,685
5500 Large Plans	9,462,258	4,427,627	931,021	576,207	307,694	241,979	0	1,702,388	1,224,258	865	0	50,220	0	0
DB, ME, 100-1,000	90,029	36,773	458	28,900	4,098	775	0	6,697	10,371	3	0	1,954	0	0
DB, ME, > 1,000	165,586	49,175	1,135	44,658	5,751	1,949	0	46,211	13,951	0	0	2,756	0	0
DB, SE, 100-1,000	931,170	393,868	4,538	325,751	33,058	14,811	0	43,532	111,468	20	0	4,125	0	0
DB, SE, > 1,000	492,221	185,657	2,176	169,229	17,362	9,674	0	53,578	52,607	0	0	1,938	0	0
DC, ME, non-403(b)	175,276	93,467	2,977	1,963	5,912	4,433	0	38,979	24,639	14	0	2,893	0	0
DC, ME, 403(b)	30,094	15,441	491	0	506	992	0	7,975	4,122	0	0	567	0	0
DC, SE, non-403(b)	5,128,483	2,816,435	86,159	5,205	90,844	168,646	0	1,182,091	746,853	281	0	31,969	0	0
DC, SE, 403(b)	663,239	344,071	10,983	0	11,314	22,196	0	178,448	92,240	0	0	3,988	0	0
Welfare, ME	189,121	31,417	47,442	46	25,949	3,309	0	31,915	49,016	22	0	6	0	0
Welfare, SE	1,597,038	461,323	774,664	457	112,899	15,195	0	112,961	118,991	525	0	24	0	0
5500 Small Eligible	1,402,733	0	0	197,048	0	0	0	0	0	0	0	0	0	1,205,685
DB	261,191	0	0	197,048	0	0	0	0	0	0	0	0	0	64,144
DC, non-403(b)	1,108,683	0	0	0	0	0	0	0	0	0	0	0	0	1,108,683
DC, 403(b)	18,248	0	0	0	0	0	0	0	0	0	0	0	0	18,248
Welfare2	14,611	0	0	0	0	0	0	0	0	0	0	0	0	14,611
5500 Small Ineligible	385,216	84,474	66,945	22,284	245	1,612	0	336	689	194,234	0	14,395	0	0
DB	45,484	5,298	4,548	21,825	32	16	0	0	47	12,217	0	1,502	0	0
DC, non-403(b)	338,722	78,999	62,028	459	113	1,595	0	336	571	181,728	0	12,891	0	0
DC, 403(b)	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Welfare2	1,010	177	369	0	101	1	0	0	70	289	0	2	0	0

¹Total column is based on Number of Filers rather than Number of Forms Filed

²Small welfare plan counts are restricted to those that "must file", that is, indicated "trust" as method of funding or payment of benefits, or attached Schedule I. This table does not take into account the transfer of Schedule E items to Schedule R, which would affect ESOPs
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