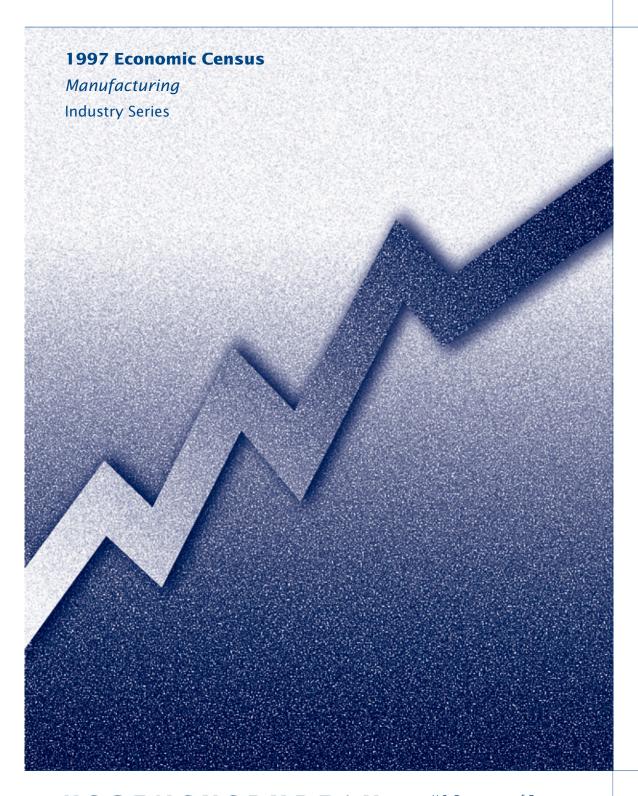
All Other Miscellaneous Food Manufacturing

1997

ssued November 1999

EC97M-3119H



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All Other Miscellaneous Food Manufacturing

EC97M-3119H

1997 Economic Census

Manufacturing **Industry Series**





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Introduction to the Economic Census

PURPOSES AND USES OF THE ECONOMIC CENSUS

The economic census is the major source of facts about the structure and functioning of the Nation's economy. It provides essential information for government, business, industry, and the general public. Title 13 of the United States Code (Sections 131, 191, and 224) directs the Census Bureau to take the economic census every 5 years, covering years ending in 2 and 7.

The economic census furnishes an important part of the framework for such composite measures as the gross domestic product estimates, input/output measures, production and price indexes, and other statistical series that measure short-term changes in economic conditions. Specific uses of economic census data include the following:

- Policymaking agencies of the Federal Government use the data to monitor economic activity and assess the effectiveness of policies.
- State and local governments use the data to assess business activities and tax bases within their jurisdictions and to develop programs to attract business.
- Trade associations study trends in their own and competing industries, which allows them to keep their members informed of market changes.
- Individual businesses use the data to locate potential markets and to analyze their own production and sales performance relative to industry or area averages.

ALL-NEW INDUSTRY CLASSIFICATIONS

Data from the 1997 Economic Census are published primarily on the basis of the North American Industry Classification System (NAICS), unlike earlier censuses, which were published according to the Standard Industrial Classification (SIC) system. NAICS is in the process of being adopted in the United States, Canada, and Mexico. Most economic census reports cover one of the following NAICS sectors:

21	Mining
22	Utilities
23	Construction
31-33	Manufacturing
42	Wholesale Trade
44-45	Retail Trade
48-49	Transportation and Warehousing
51	Information

52 Finance and Insurance 53

Real Estate and Rental and Leasing 54 Professional, Scientific, and Technical Services

55 Management of Companies and Enterprises 56 Administrative and Support and Waste

Management and Remediation Services

61 **Educational Services**

Health Care and Social Assistance 62

Arts. Entertainment, and Recreation 71

72 Accommodation and Foodservices

Other Services (except Public Administration)

(Not listed above are the Agriculture, Forestry, Fishing, and Hunting sector (NAICS 11), partially covered by the census of agriculture conducted by the U.S. Department of Agriculture, and the Public Administration sector (NAICS 92), covered by the census of governments conducted by the Census Bureau.)

The 20 NAICS sectors are subdivided into 96 subsectors (three-digit codes), 313 industry groups (four-digit codes), and, as implemented in the United States, 1170 industries (five- and six-digit codes).

RELATIONSHIP TO SIC

While many of the individual NAICS industries correspond directly to industries as defined under the SIC system, most of the higher level groupings do not. Particular care should be taken in comparing data for retail trade, wholesale trade, and manufacturing, which are sector titles used in both NAICS and SIC, but cover somewhat different groups of industries. The industry definitions discuss the relationships between NAICS and SIC industries. Where changes are significant, it will not be possible to construct time series that include data for points both before and after 1997.

For 1997, data for auxiliary establishments (those functioning primarily to manage, service, or support the activities of their company's operating establishments, such as a central administrative office or warehouse) will not be included in the sector-specific reports. These data will be published separately.

GEOGRAPHIC AREA CODING

Accurate and complete information on the physical location of each establishment is required to tabulate the census data for the states, metropolitan areas (MAs), counties, parishes, and corporate municipalities including cities, towns, villages, and boroughs. Respondents were

required to report their physical location (street address, municipality, county, and state) if it differed from their mailing address. For establishments not surveyed by mail (and those single-establishment companies that did not provide acceptable information on physical location), location information from Internal Revenue Service tax forms is used as a basis for coding.

BASIS OF REPORTING

The economic census is conducted on an establishment basis. A company operating at more than one location is required to file a separate report for each store, factory, shop, or other location. Each establishment is assigned a separate industry classification based on its primary activity and not that of its parent company.

DOLLAR VALUES

All dollar values presented are expressed in current dollars; i.e., 1997 data are expressed in 1997 dollars, and 1992 data, in 1992 dollars. Consequently, when making comparisons with prior years, users of the data should consider the changes in prices that have occurred.

All dollar values are shown in thousands of dollars.

AVAILABILITY OF ADDITIONAL DATA

Reports in Print and Electronic Media

All results of the 1997 Economic Census are available on the Census Bureau Internet site (www.census.gov) and on compact discs (CD-ROM) for sale by the Census Bureau. Unlike previous censuses, only selected highlights are published in printed reports. For more information, including a description of electronic and printed reports being issued, see the Internet site, or write to U.S. Census Bureau, Washington, DC 20233-8300, or call Customer Services at 301-457-4100.

Special Tabulations

Special tabulations of data collected in the 1997 Economic Census may be obtained, depending on availability of time and personnel, in electronic or tabular form. The data will be summaries subject to the same rules prohibiting disclosure of confidential information (including name, address, kind of business, or other data for individual business establishments or companies) that govern the regular publications.

Special tabulations are prepared on a cost basis. A request for a cost estimate, as well as exact specifications on the type and format of the data to be provided, should be directed to the Chief of the division named below, U.S. Census Bureau, Washington, DC 20233-8300. To discuss a special tabulation before submitting specifications, call the appropriate division:

Manufacturing and Construction Division Service Sector Statistics Division

301-457-4673 301-457-2668

HISTORICAL INFORMATION

The economic census has been taken as an integrated program at 5-year intervals since 1967 and before that for 1954, 1958, and 1963. Prior to that time, individual components of the economic census were taken separately at varying intervals.

The economic census traces its beginnings to the 1810 Decennial Census, when questions on manufacturing were included with those for population. Coverage of economic activities was expanded for the 1840 Decennial Census and subsequent censuses to include mining and some commercial activities. The 1905 Manufactures Census was the first time a census was taken apart from the regular decennial population census. Censuses covering retail and wholesale trade and construction industries were added in 1930, as were some covering service trades in 1933. Censuses of construction, manufacturing, and the other business service censuses were suspended during World War II.

The 1954 Economic Census was the first census to be fully integrated: providing comparable census data across economic sectors, using consistent time periods, concepts, definitions, classifications, and reporting units. It was the first census to be taken by mail, using lists of firms provided by the administrative records of other Federal agencies. Since 1963, administrative records also have been used to provide basic statistics for very small firms, reducing or eliminating the need to send them census questionnaires.

The range of industries covered in the economic censuses expanded between 1967 and 1992. The census of construction industries began on a regular basis in 1967, and the scope of service industries, introduced in 1933, was broadened in 1967, 1977, and 1987. While a few transportation industries were covered as early as 1963, it was not until 1992 that the census broadened to include all of transportation, communications, and utilities. Also new for 1992 was coverage of financial, insurance, and real estate industries. With these additions, the economic census and the separate census of governments and census of agriculture collectively covered roughly 98 percent of all economic activity.

Printed statistical reports from the 1992 and earlier censuses provide historical figures for the study of long-term time series and are available in some large libraries. All of the census reports printed since 1967 are still available for sale on microfiche from the Census Bureau. CD-ROMs issued from the 1987 and 1992 Economic Censuses contain databases including nearly all data published in print, plus additional statistics, such as ZIP Code statistics, published only on CD-ROM.

SOURCES FOR MORE INFORMATION

More information about the scope, coverage, classification system, data items, and publications for each of the economic censuses and related surveys is published in the Guide to the 1997 Economic Census and Related Statistics at www.census.gov/econquide. More information on the methodology, procedures, and history of the censuses will be published in the History of the 1997 Economic Census at www.census.gov/econ/www/history.html.

ABBREVIATIONS AND SYMBOLS

The following abbreviations and symbols are used with the 1997 Economic Census data:

- Α Standard error of 100 percent or more.
- D Withheld to avoid disclosing data of individual companies; data are included in higher level totals.
- F Exceeds 100 percent because data include establishments with payroll exceeding rev-
- Ν Not available or not comparable.
- Revenue not collected at this level of detail for Q multiestablishment firms.
- S Withheld because estimates did not meet publication standards.

- V Represents less than 50 vehicles or .05 percent.
- Χ Not applicable.
- Υ Disclosure withheld because of insufficient
 - coverage of merchandise lines.
- Ζ Less than half the unit shown. 0 to 19 employees.
- a b
- 20 to 99 employees.
- 100 to 249 employees. C
- 250 to 499 employees. e
- f 500 to 999 employees.
- 1,000 to 2,499 employees. g
- h 2,500 to 4,999 employees.
- 5,000 to 9,999 employees.
- 10,000 to 24,999 employees.
- k 25,000 to 49,999 employees.
- 50,000 to 99,999 employees.
- 100,000 employees or more. m
- 10 to 19 percent estimated.
- р q 20 to 29 percent estimated.
- Revised. r
- Sampling error exceeds 40 percent.
- Not elsewhere classified. nec
- Not specified by kind. nsk
- Represents zero (page image/print only).
- (CC) Consolidated city.
- Independent city. (IC)

1997 ECONOMIC CENSUS INTRODUCTION 3 This page is intentionally blank.

Manufacturing

SCOPE

The 1997 Economic Census – Manufacturing covers all manufacturing establishments with one or more paid employees. Manufacturing is defined as the mechanical, physical, or chemical transformation of materials or substances into new products. The assembly of components into new products is also considered manufacturing, except when it is appropriately classified as construction.

Establishments in the manufacturing sector are often described as plants, factories, or mills and typically use power-driven machines and materials-handling equipment. Also included in the manufacturing sector are some establishments that make products by hand, like custom tailors and the makers of custom draperies. While manufacturers typically do not sell to the public, some establishments like bakeries and candy stores that make products on the premises may be included.

While logging and publishing are no longer in the scope of manufacturing, data for these industries are included in the manufacturing industry reports, but are not included in the manufacturing state, summary, and other reports.

GENERAL

This report, from the 1997 Economic Census – Manufacturing, is one of a series of 480 industry reports and 51 geographic area reports, each of which provides statistics for individual industries or states, respectively. Seven of the industry reports are for industries no longer in the manufacturing sector but are included with manufacturing for the 1997 census year. Also included for this sector are General, Product, and Materials Consumed Summary reports, a special report on Concentration Ratios in Manufacturing, and data files on Location of Manufacturing Plants.

Each industry report presents data for a six-digit North American Industry Classification System (NAICS) industry. A description of the particular NAICS industry may be found in Appendix B. These reports include such statistics as number of establishments, employment, payroll, value added by manufacture, cost of materials consumed, value of shipments, capital expenditures, etc. Explanations of these and other terms may be found in Appendix A. The industry reports also include data for states with 100 employees or more in the industry.

State reports, which include the District of Columbia, present similar statistics at the "all manufacturing" level for each state and its metropolitan areas (MAs) with 250

employees or more, and for counties, consolidated cities, and places with 500 employees or more. The state reports also include six-digit NAICS level data for industries with 100 employees or more in the state.

The General Summary report contains industry and geographic area statistics summarized in one report. It includes higher levels of aggregation than the industry and state reports, as well as revisions to the data made after the release of the industry and state reports.

The Products and Materials Consumed reports summarize the products and materials data published in the industry reports. The Product Summary report also includes data from the Current Industrial Reports (CIR) and a special table with data for products that are primary to more than one industry, which are not in the industry reports.

The Concentration Ratios report publishes data on the percentage of value of shipments accounted for by the 4-, 8-, 20-, and 50-largest companies for each manufacturing industry. Also shown in this report are Hirschmann-Herfindahl Indexes for each industry.

The Location of Manufacturing data files contain statistics on the number of establishments for the three- and six-digit NAICS industry by state, county, place, and ZIP Code by employment-size of the establishment.

GEOGRAPHIC AREAS COVERED

Statistics at the six-digit NAICS industry level are shown for states and the District of Columbia in both the state and industry reports for cells with 100 employees or more

The state reports also include data at the "all manufacturing" level for a variety of geographies that meet the employment criteria.

Data are available for the metropolitan areas (MAs) with 250 employees or more. The term MA is a general term used to encompass all of the specifically defined metropolitan areas. A consolidated metropolitan statistical area (CMSA) is made up of two or more contiguous primary metropolitan statistical areas (PMSAs) with a combined population of at least 1 million. A PMSA is a subdivision of a CMSA that demonstrates very strong internal economic and social links separate from the ties to other portions of the CMSA. A metropolitan statistical area (MSA) is an integrated economic and social unit with a population of at least 50,000. An MA is made up of one or more counties meeting standards of metropolitan character. In New England, cities and towns, rather than counties, are the

U.S. Census Bureau, 1997 Economic Census

component geographic units. Determination of the MAs was made by the Office of Management and Budget (OMB) as of June 30, 1997. The population estimates were from the 1990 Census of Population or a subsequent special census. When applicable, the make-up of an MA is included in Appendix E. Changes to geographical boundaries are noted in Appendix D.

The state reports include data for counties with 500 employees or more. These are the primary divisions of states, except in Louisiana where they are called parishes and in Alaska where they are called boroughs and census areas. Maryland, Missouri, Nevada, and Virginia have one or more places that are independent of county organizations. These places are treated as counties and places. The counties and places are defined as of January 1, 1997.

The state reports include data for places with 500 employees or more. Places are typically cities, towns, and villages. They may be incorporated municipalities, semiindependent municipalities, special economic urban areas (SEUAs), or other place equivalents.

The state reports also include data for consolidated cities with 500 employees or more. Consolidated cities are made up of separately incorporated municipalities.

COMPARABILITY OF THE 1992 AND 1997 CENSUSES

The adoption of the North American Industry Classification System (NAICS) has had a major impact on the comparability of data between the 1992 and 1997 censuses. Approximately half of the industries in the manufacturing sector of NAICS do not have comparable industries in the Standard Industrial Classification (SIC) system that was used in the past. If industries are not comparable between the two censuses, historic data are not shown. When applicable, Appendix G shows the product class and product comparability between the two systems.

While most of the change affecting the manufacturing sector was change within the sector, some industries left manufacturing and others came into manufacturing. Prominent among those leaving manufacturing are logging and portions of publishing. Prominent among the industries coming into the manufacturing sector are bakeries, candy stores where candy is made on the premises, custom tailors, makers of custom draperies, and tire retreading. Data for the industries coming into manufacturing as well as those leaving manufacturing are included in the manufacturing industry report series for 1997. However, the state and summary reports only include data for industries in the NAICS definition of manufacturing.

Another change resulting from the conversion to NAICS is that data for central administrative offices (CAOs) associated with manufacturing are not included along side the manufacturing data. This change affects data in the state reports and the general summary.

DISCLOSURE

In accordance with Federal law governing census reports (Title 13 of the United States Code), no data are published that would disclose the operations of an individual establishment or company. However, the number of establishments classified in a specific industry or geography is not considered a disclosure, and may be released even when other information is withheld.

The disclosure analysis for the industry statistics files is based on the total value of shipments. When the total value of shipments cannot be shown without disclosing information for individual companies, the complete line is suppressed except for capital expenditures. However, the suppressed data are included in higher-level totals. A separate disclosure analysis is performed for capital expenditures that can be suppressed even though value of shipments data are published.

AVAILABILITY OF MORE FREQUENT ECONOMIC DATA

The Census Bureau conducts the Annual Survey of Manufactures (ASM) in each of the 4 years between the economic censuses. The ASM is a probability-based sample of approximately 58,000 establishments and collects many of the same industry statistics (including employment, payroll, value of shipments, etc.) as the economic census. However, there are selected statistics not included in the ASM. Among these are the number of companies and establishments, detailed product and materials data, and substate geographic data.

In addition to the ASM, the Census Bureau conducts a Current Industrial Reports (CIR) program. The CIR publishes detailed product statistics for selected manufacturing industries at the U.S. level annually and, in some cases, monthly and/or quarterly. For the 1997 Economic Census - Manufacturing, the annual CIR data are included in the Product Summary report.

The Census Bureau also conducts the monthly Manufacturers' Shipments, Inventories, and Orders (M3) program, which publishes detailed statistics for manufacturing industries at the U.S. level.

Table 1. Industry Statistics on NAICS Basis With Distribution Among 1987 SIC-Based Industries: 1997

[NAICS codes appear in bold type. For meaning of abbreviations and symbols, see introductory text. For explanation of terms, see appendixes]

NAICS			All	All em	ployees	Pr	roduction work	ers				Total capital
or SIC code	Industry	Com- panies ¹	estab- lish- ments ²	Number	Payroll (\$1,000)	Number	Hours (1,000)	Wages (\$1,000)	Value added by manufacture (\$1,000)	Cost of materials (\$1,000)	Value of shipments (\$1,000)	
311999	All other miscellaneous food mfg	781	852	35 978	938 869	28 610	54 782	603 507	3 841 654	4 265 783	8 113 673	280 177
201520	Poultry & egg processing (pt) Canned specialties (pt)	N	84	11 048 D	210 503	9 851	18 907	161 107	607 735	1 078 360	1 687 510	
203220 208730	Flavoring extracts & syrups,		_ ′	_	_	_	_		_	_	_	
209990	n.e.c. (pt)	N N	29 732	D 23 161	654 034	17 736	33 668	404 069	2 993 847	D 2 861 752	D 5 862 108	220 138

¹For the census, a company is defined as a business organization consisting of one establishment or more under common ownership or control. ²Includes establishments with payroll at any time during the year.

Table 2. Industry Statistics for Selected States: 1997

[States that are disclosures or with less than 100 employees are not shown. For explanation of terms, see appendixes. For meaning of abbreviations and symbols, see introductory text]

Coates that are disclosures of with less to			All		oloyees		oduction work			,,	, ,	
Industry and geographic area	E¹	Total	With 20 em- ploy- ees or more	Number	Payroll (\$1,000)	Number	Hours (1,000)	Wages (\$1,000)	Value added by manufacture (\$1,000)	Cost of materials (\$1,000)	Value of shipments (\$1,000)	Total capital expendi- tures (\$1,000)
311999, ALL OTHER MISCELLANEOUS FOOD MFG												
United States	1	852	318	35 978	938 869	28 610	54 782	603 507	3 841 654	4 265 783	8 113 673	280 177
Arizona	5 2 2 1 6	7 154 11 29 14	2 51 3 9 3	132 3 896 271 808 151	3 719 114 956 5 836 21 709 3 339	122 2 710 203 500 111	273 5 045 329 950 181	2 404 59 373 3 137 10 193 1 994	6 041 373 398 21 411 113 944 11 357	8 210 355 011 28 388 66 538 9 412	14 274 740 111 47 359 180 356 20 800	447 35 352 758 4 092 521
Illinois Indiana Kansas Louisiana Maryland	- 1 - 2 1	54 13 7 17 12	26 8 5 5 5	3 609 1 004 494 545 284	100 269 33 351 18 011 13 749 10 859	2 888 748 268 418 232	5 842 1 692 557 825 514	68 731 18 657 6 561 8 259 8 559	573 877 149 188 59 338 89 232 55 973	458 107 190 278 125 876 68 460 42 534	1 031 909 337 591 184 996 157 703 98 615	30 248 11 187 3 646 3 673 6 426
Massachusetts Michigan Minnesota Missouri Nebraska	3 1 -	13 28 28 18 7	4 11 11 8 4	330 712 1 807 712 1 009	8 960 17 636 56 769 17 427 16 713	247 541 1 389 598 928	450 932 2 617 1 235 1 795	5 798 9 690 30 600 11 840 15 323	52 655 68 536 183 662 88 795 52 568	40 393 95 206 267 967 70 633 94 618	93 026 163 849 452 888 159 299 147 049	959 11 603 9 605 6 170 10 563
Nevada . New Jersey . New York . North Carolina . North Dakota .	7 - 2 - 2	6 28 46 13 6	4 12 11 8 2	285 2 053 872 1 243 106	7 595 81 244 20 387 22 990 2 433	197 1 535 642 1 024 77	391 3 319 1 066 2 007 190	4 063 50 265 11 748 15 077 1 664	19 899 354 550 92 008 76 169 9 897	22 106 444 280 87 874 45 423 9 748	42 045 798 160 179 265 121 610 19 488	1 232 17 685 5 649 4 033 403
Ohio . Oregon Pennsylvania Texas Utah Washington Wisconsin	1 5 2 2 1 3	27 9 36 64 4 22 29	10 2 11 19 4 4 12	1 507 124 789 1 405 304 204 1 024	43 949 2 872 18 882 30 443 7 765 3 937 27 901	1 180 102 578 1 144 246 161 788	2 393 166 965 2 012 434 263 1 746	32 098 1 873 11 288 20 686 4 189 2 656 17 028	173 545 7 712 62 671 115 286 31 991 13 290 121 799	310 309 10 299 194 892 142 129 42 752 17 253 100 614	482 767 18 013 257 843 257 338 74 413 30 571 222 468	13 302 760 7 631 8 177 8 123 882 11 150

^{*} Hawaii has no incorporated places in the sense of functioning governmental units; however, in agreement with Hawaiian law, the Bureau of the Census reports data for census designated places (CDPs) which have been designated as place equivalents. Those CDPs, only for the state of Hawaii, with 2,500 or more population are recognized.

¹Some payroll and sales data for small single-establishment companies with up to 20 employees (cutoff varied by industry) were obtained from administrative records of other government agencies rather than from census report forms. These data were then used in conjunction with industry averages to estimate statistics for these small establishments. This technique was also used for a small number of other establishments whose reports were not received at the time data were tabulated. The following symbols are shown where estimated data based on administrative-record data account for 10 percent or more of the figures shown: 1–10 to 19 percent; 2–20 to 29 percent; 3–30 to 39 percent; 4–40 to 49 percent; 5–50 to 59 percent; 6–60 to 69 percent; 7–70 to 79 percent; 8–80 to 89 percent; 9–90 percent or more.

Table 3. Detailed Statistics by Industry: 1997

[For meaning of abbreviations and symbols, see introductory text. For explanation of terms, see appendixes]

Item	Value	Item	Value
311999, ALL OTHER MISCELLANEOUS FOOD MFG		311999, ALL OTHER MISCELLANEOUS FOOD MFG —Con.	
Companies ¹ number	781	Value added \$1,000	3 841 654
All establishments	852 534 236 82	Total inventories, beginning of year \$1,000. Finished goods inventories, beginning of year \$1,000. Work-in-process inventories, beginning of year \$1,000. Materials and supplies inventories, beginning of year \$1,000.	718 566 303 100 63 941 351 525
All employees number. Total compensation ² \$1,000. Annual payroll. \$1,000. Total fringe benefits \$1,000.	35 978 1 169 281 938 869 230 412	Total inventories, end of year \$1,000. Finished goods inventories, end of year \$1,000. Work-in-process inventories, end of year \$1,000. Materials and supplies inventories, end of year \$1,000.	775 231 335 552 57 763 381 916
Production workers, average for year	28 610 28 550 28 432	Gross book value of total assets at beginning of year	2 403 224 280 177
Production workers on August 12	28 226 29 232	(new and used)\$1,000 Capital expenditures for machinery and equipment (new	58 861
Production-worker hours 1,000. Production-worker wages \$1,000.	54 782 603 507	and used) \$1,000 Total retirements ² \$1,000 Gross book value of total assets at end of year \$1,000	221 316 47 266 2 636 135
Total cost of materials\$1,000	4 265 783	Total depreciation during year ² \$1,000	162 165
Cost of materials, parts, containers, etc., consumed \$1,000. Cost of resales \$1,000. Cost of fuels \$1,000. Cost of purchased electricity \$1,000. Cost of contract work \$1,000.	3 937 081 163 909 58 818 75 767 30 208	Total rental payments ² . \$1,000 . Buildings and other structures rental payments ² . \$1,000 . Machinery and equipment rental payments ² . \$1,000 . Cost of purchased services for the repair of buildings and other	54 835 23 741 31 094
Quantity of electricity purchased for heat and power 1,000 kWh Quantity of electricity generated less sold for heat and power 1,000 kWh	1 298 366 D	Cost of purchased services for the repair of machinery and	5 413 60
Total value of shipments \$1,000. Primary products value of shipments \$1,000. Secondary products value of shipments \$1,000. Total miscellaneous receipts \$1,000. Value of resales \$1,000. Contract receipts \$1,000. Other miscellaneous receipts \$1,000.	8 113 673 6 888 273 774 074 451 326 241 655 204 374 5 297	Response coverage ratio ⁴ percent	37 737 60 4 178 60 2 283 60 1 487 60 6 317
Primary products specialization ratio percent. Value of primary products shipments made in all industries \$1,000. Value of primary products shipments made in this industry \$1,000.	89 9 377 956 6 888 273	Response coverage ratio ⁴ percent. Cost of purchased software and other data processing services ³ \$1,000.	60 1 729
Value of primary products shipments made in other industries\$1,000	2 489 683	Response coverage ratio ⁴ percent Cost of purchased refuse removal (including hazardous waste)	60
Coverage ratio percent	73	services ³	8 429 60

Note: The amounts shown for purchased services reflect only those services that establishments purchase from other companies.

¹For the census, a company is defined as a business organization consisting of one establishment or more under common ownership or control.

²These items are collected in the ASM and estimated for the remaining establishments; therefore, the levels of estimation are higher than for other items in the table.

³Based on ASM sample data.

⁴A response coverage ratio is derived for this item by calculating the ratio of the weighted employment (establishment data multiplied by sample weight) for those ASM establishments that reported to the weighted total employment for all ASM establishments classified in this industry.

Table 4. Industry Statistics by Employment Size: 1997

[For meaning of abbreviations and symbols, see introductory text. For explanation of terms, see appendixes]

			All	All em	oloyees	Pr	oduction work	ers				
Employment size class	E¹	Total	With 20 em- ploy- ees or more	Number	Payroll (\$1,000)	Number	Hours (1,000)	Wages (\$1,000)	Value added by manufacture (\$1,000)	Cost of materials (\$1,000)	Value of shipments (\$1,000)	Total capital expendi- tures (\$1,000)
311999, ALL OTHER MISCELLANEOUS FOOD MFG												
All establishments	1	852	318	35 978	938 869	28 610	54 782	603 507	3 841 654	4 265 783	8 113 673	280 177
Establishments with 1 to 4 employees	7	267	-	479	10 261	407	562	6 019	36 892	40 934	78 139	3 294
employees	5 4	128 139	-	859 1 921	19 427 42 049	647 1 429	921 2 252	11 028 24 804	85 900 144 759	77 688 139 063	162 977 283 522	9 560 13 604
Establishments with 20 to 49 employees	2	150	150	4 589	113 295	3 476	6 187	66 902	422 842	536 604	960 430	35 928
employees	2	86	86	6 089	164 073	4 394	8 525	92 740	739 500	846 221	1 582 908	47 646
employees	1	56	56	8 570	245 693	6 482	12 961	143 461	1 129 162	1 088 880	2 217 087	79 475
employees Establishments with 500 to 999	-	12	12	4 001	128 679	3 116	6 784	78 372	571 071	653 066	1 234 003	31 864
employees Establishments with 1,000 to 2,499	-	14	14	9 470	215 392	8 659	16 590	180 181	711 528	883 327	1 594 607	58 806
employees Establishments with 2,500 employees	-	-	-	_	_	_	_	_	_	_	-	_
or more	-	_	_	_	_	_	_	_	_	_	_	=
Administrative records ²	9	217	_	940	15 837	765	910	10 052	56 005	58 823	114 908	4 437

¹Some payroll and sales data for small single-establishment companies with up to 20 employees (cutoff varied by industry) were obtained from administrative records of other government agencies rather than from census report forms. These data were then used in conjunction with industry averages to estimate statistics for these small establishments. This technique was also used for account for 10 percent or more of the figures shown: 1–10 to 19 percent; 2–20 to 29 percent; 3–30 to 39 percent; 4–40 to 49 percent; 5–50 to 59 percent; 6–60 to 69 percent; 7–70 to 79 percent; 8–80 to 89 percent; 9–90 percent or more.

²Some payroll and sales data for small single-establishment companies with up to 20 employees (cutoff varied by industry) were obtained from administrative records of other government agencies rather than from census report forms. These data were then used in conjunction with industry averages to estimate statistics for these small establishments. Data are also included in respective size classes shown.

Table 5. Industry Statistics by Industry and Primary Product Class Specialization: 1997

[For meaning of abbreviations and symbols, see introductory text. For explanation of terms, see appendixes]

NAICS		All	All em	oloyees	Pr	oduction work	ers	Value added			Total capital
industry or product class code	Industry or primary product class	estab- lish- ments	Number	Payroll (\$1,000)	Number	Hours (1,000)	Wages (\$1,000)	by manufacture (\$1,000)	Cost of materials (\$1,000)	Value of shipments (\$1,000)	expendi- tures (\$1,000)
311999	All other miscellaneous food mfg	852	35 978	938 869	28 610	54 782	603 507	3 841 654	4 265 783	8 113 673	280 177
3119991 3119994 3119997 311999A	Desserts (ready-to-mix) Sweetening syrups and molasses Baking powder and yeast Macaroni and noodle products packaged with other ingredients, not	16 24 30	1 674 1 185 1 565	58 291 34 783 57 679	1 397 841 1 092	2 858 1 654 2 388	43 517 21 109 35 419	267 692 174 162 290 839	344 011 173 014 193 034	612 089 346 582 483 714	13 983 9 970 26 247
311999D	canned or frozen Dried and dehydrated products, except pasta, packaged with other ingredients	50 25	2 580 2 089	65 874 66 722	2 200	4 221 2 813	49 523 32 930	341 682 489 107	308 780 358 670	649 881 844 722	23 272 22 413
311999G 311999J	Liquid, dried, and frozen eggs Flavoring powders, tablets, and	44	4 651	110 330	3 893	8 156	77 006	433 255	991 476	1 426 219	30 561
311999M	paste, including dry mix cocktails Other food preparations, nec	20 118	1 635 7 081	73 755 218 425	1 000 5 274	2 411 10 739	39 512 127 124	287 074 898 874	271 620 1 052 363	558 690 1 960 404	15 581 74 609

Table 6a. Products Statistics: 1997 and 1992

[Includes quantity and value of products of this industry produced by (1) establishments classified in this industry (primary) and (2) establishments classified in other industries (secondary). Transfers of products of this industry from one establishment of a company to another establishment of the same company (interplant transfers) are also included. For meaning of abbreviations and symbols, see introductory text. For explanation of terms, see appendixes]

			19	997		1992				
NAICS		Number of		Product	shipments	Number of		Product	shipments	
product code	Product	companies with shipments of \$100,000 or more	Quantity of production for all purposes	Quantity	Value (\$1,000)	companies with shipments of \$100,000 or more	Quantity of production for all purposes	Quantity	Value (\$1,000)	
311999	All other miscellaneous food preparations	N	x	x	9 377 956	N	x	х	N	
3119991	Desserts (ready-to-mix)	N	X	X	512 820	N	X	X	708 846	
31199911	Desserts (ready-to-mix)	N	X	Х	502 000	N	х	Х	N	
3119991111 3119991121	Ready-to-mix desserts with cornstarch base, consumer sizes (less than 1 lb)	10	Х	101.1	151 846	8	x	226.4	204 858	
3119991131	more)	6	X	P6.3	8 706	5	х	28.3	28 319	
3119991141	base, consumer sizes (less than 1 lb) mil lb Ready-to-mix desserts with gelatin base, commercial sizes (1 lb or	11	Х	119.0	156 942	11	x	264.4	324 038	
3119991151	more) mil lb. Ready-to-mix desserts, other base mil lb.	6 17	X	5.2 9194.7	5 860 178 646	10 6	X	P6.3 P36.4	6 973 43 245	
3119991Y 3119991YWV	Desserts (ready-to-mix), nsk	N N	X	X	10 820 10 820	N N	X	X	N 101 413	
3119994	Sweetening syrups and molasses	N N	X	X	627 456	N N	x	X	611 636	
31199941	Sweetening syrups and molasses	N	Х	Х	612 366	N	х	Х	N	
3119994111	Sweetening syrups and molasses containing corn syrup mil lb	37	Х	913.5	423 337	48	х	P883.1	531 825	
3119994121	Sweetening syrups and molasses not containing corn syrup	27	Х	275.6	189 029	16	х	118.5	57 808	
3119994Y	Sweetening syrups and molasses, nsk	N	X	X	15 090	N	X	X	N	
3119994YWV 3119997	Sweetening syrups and molasses, nsk	N N	X	X X	15 090 373 875	N N	x x	X X	22 003 315 951	
31199971		N N		X	371 691	N N		X	313 931 N	
3119997111 3119997121 3119997131 3119997141	Baking powder and yeast Baking powder	7 5 5	X X X	P114.7 495.3 42.9	45 777 160 539 65 739	6 5 4	X X X	41.8 431.8 D	60 819 165 359 D	
3110007141	grown yeast, including torule, extracts, and other yeast products) mil lb (dry basis)	9	×	251.0	99 636	10	x	129.7	29 858	
3119997Y 3119997YWV	Baking powder and yeast, nsk	N N	X	X X	2 184 2 184	N N	X X	X X	N D	
311999A	Macaroni and noodle products packaged with other ingredients, not canned or frozen	N	x	х	1 321 561	N	x	x	1 122 344	
311999A1	Macaroni and noodle products packaged with other ingredients, not canned or									
311999A111	frozen Dry (less than 14 percent moisture) macaroni, spaghetti, vermicelli, and other macaroni products packaged with other ingredients, not canned or	N	Х	Х	1 310 664	N	X	Х	N	
311999A121	frozen	28	Х	P838.5	1 093 451	23	X	470.9	611 456	
311999A131	with other ingredients, not canned, frozen, or refrigeratedmil lb Refrigerated macaroni, spaghetti, vermicelli, and other macaroni products packaged with other	4	х	3.6	7 639	4	x	D	D	
311999A141	ingredients, not canned or frozenmil lb Dry (less than 14 percent moisture) noodle products of all shapes, sizes, and types (except Chinese), packaged	26	Х	P58.9	64 350	19	x	P74.8	69 098	
311999A151	with other ingredients, not canned or frozen	14	Х	P173.2	123 829	12	x	P142.9	161 762	
311999A161	with other ingredients, not canned, frozen, or refrigerated	7	X	6.8	6 566	2	X	D	D	
311999AY	ingredients, not canned or frozen mil lb Macaroni and noodle products packaged with other ingredients not canned or	12	Х	S	14 829	5	X	S	12 623	
311999AYWV	with other ingredients, not canned or frozen, nsk	N	Х	Х	10 897	N	x	Х	N	
244005	canned or frozen, nsk	N	X	X	10 897	N	x	X	16 214	
311999D	Dried and dehydrated products, except pasta, packaged with other ingredients	N	x	Х	1 000 184	N	x	X	N	
311999D1	Dried and dehydrated products, except pasta, packaged with other ingredients	N	х	х	1 000 184	N	х	х	N	
311999D131	Dried and dehydrated potatoes, packaged with other ingredientsmil lb	13	Х	73.5	111 931	7	х	102.5	127 429	
311999D141 311999D151	Head rice packaged with other ingredients mil lb Other dried and dehydrated products,	13	х	853.2	627 339	15	x	464.1	395 597	
	except pasta and imitation dairy mixes, packaged with other ingredients	40	Х	^q 190.6	260 914	N	x	N	N	

See footnotes at end of table.

Products Statistics: 1997 and 1992—Con.

[Includes quantity and value of products of this industry produced by (1) establishments classified in this industry (primary) and (2) establishments classified in other industries (secondary). Transfers of products of this industry from one establishment of a company to another establishment of the same company (interplant transfers) are also included. For meaning of abbreviations and symbols, see introductory text. For explanation of terms, see appendixes]

	To explanation of terms, see appendixes		19	997			19	992	
NAICS		Number of		Product	shipments	Number of		Product	shipments
product code	Product	companies with shipments of \$100,000 or more	Quantity of production for all purposes	Quantity	Value (\$1,000)	companies with shipments of \$100,000 or more	Quantity of production for all purposes	Quantity	Value (\$1,000)
311999	All other miscellaneous food preparations—Con.								
311999D	Dried and dehydrated products, except pasta, packaged with other ingredients — Con.								
311999DY	Dried and dehydrated products, except pasta, packaged with other ingredients,								
311999DYWV	nsk. Dried and dehydrated products, except pasta, packaged with other	N	Х	Х	-	N	Х	X	N
0440000	ingredients, risk	N N	X	X	-	N N	X	X	N
311999G 311999G1	Liquid, dried, and frozen eggs Liquid, dried, and frozen eggs	N N	X	X X	1 461 611 1 345 194	N N	X	X X	866 236 N
311999G111 311999G121 311999G131 311999G141	Dried egg whites	9 6 8 7	X X X X X	P24.2 P27.4 931.8 S	66 625 43 921 55 464 86 674	14 10 11 8	X X X X X	P45.1 S 45.9 33.1	107 251 47 129 68 048 50 609
311999G151 311999G161	Frozen or liquid egg whites	15 13		395.0 ^q 56.6	261 229 44 117	17 13		279.7 112.2	265 237 51 648
311999G171 311999G181	Frozen or liquid eggs, whole mill lb. Frozen or liquid eggs, mixed mill lb.	16 17	X X X	P667.9 635.2	345 086 442 078	18 14	X X X	P277.5 146.1	146 798 79 403
311999GY 311999GYWV	Liquid, dried, or frozen eggs, nsk	N N	X	×	116 417 116 417	N N	X	X	N 50 113
311999J	Flavoring powders, tablets, and paste, including dry mix cocktails	N	х	x	847 999	N	x	x	N
311999J1	Flavoring powders, tablets, and paste, including dry mix cocktails	N	х	x	847 999	N	x	x	N
311999J111	Soft drink (effervescent and noneffervescent) flavoring powders,			Α.					
311999J121	tablets, and pastes	20 54	X X	115.4 9111.1	458 581 389 418	13 38	x x	88.7 285.6	188 913 958 296
311999JY	Flavoring powders, tablets, and paste,			v			v	v.	
311999JYWV	including dry mix cocktails, nsk	N N	X X	X X	_	N N	X X	X X	N N
311999M	Other food preparations, nec	N	Х	х	2 079 878	N	x	х	N
311999M1 311999M101 311999M111	Other food preparations, nec	N 7	X	X	2 073 445 222 801	N N	X X	X	N N
311999M121 311999M131	toasted mil lb. Blended honey, including churned mil lb. Chinese noodles, except canned and	4 17	X X	14.5 168.6	16 476 186 171	7 21	X	31.5 9159.4	42 580 148 288
311999M141	frozen	44 4	X	^q 223.4 D	127 201 D	36 5	X	⁹ 144.5 7.5	101 964 22 908
311999M151	Unpopped popcorn, in consumer	10	v	D4 407 4	707 000	15	v	D1 004 C	670 541
311999M161	packages mil lb. Cracker sandwiches, made from purchased crackers mil lb.	13	X	P1 407.4 D	737 300 D	15	X	P1 934.6 D	679 541
311999M171	Food preparations, nec	93	â	X	689 538	Ň	â	X	Ň
311999MY 311999MYWV	Other food preparations, nec, nsk	N N	X	X	6 433 6 433	N N	X	X	N N
311999W	All other miscellaneous food products, nsk, total	N	x	х	1 152 572	N	x	x	N
311999WY	All other miscellaneous food manufacturing, nsk, total	N	х	x	1 152 572	N	x	x	N
311999WYWW	All other miscellaneous food manufacturing, nsk, for nonadministrative-record								
311999WYWY	establishments. All other miscellaneous food manufacturing, nsk, for administrative- record establishments	N N	X X	x x	1 002 938 149 634	N N	x x	×	N N
	record establishments	IN IN	X	X	149 034	IN IN	X	^	IN .

[#] Additional information is available for this item; see Appendix F.

@ Additional data are available for these codes at the aggregate U.S. level in the Current Industrial Report (CIR) series; see Appendix F for the CIR survey number and title.

\$ This product is primary to more than one industry; see Appendix F for a listing of the related product codes.

Note: For some establishments, data have been estimated from central unit values which are based on quantity-value relationships of reported data. The following symbols are used when percentage of each quantity figure estimated in this manner equals or exceeds 10 percent of published figure: P 10 to 19 percent estimated; 9 20 to 29 percent estimated. If 30 percent or more is estimated, figure is replaced by S.

Table 6b. Product Class Shipments for Selected States: 1997 and 1992

[Product classes covered are those that are economically significant and whose production is geographically dispersed, provided dispersion is not approximated by data in Table 2. Also, product classes are not shown if they are miscellaneous or "not specified by kind" classes. Statistics for some states are withheld because they are either less than \$2 million in product class shipments or they disclose data for individual companies in 1997. For meaning of abbreviations and symbols, see introductory text. For explanations of terms, see appendixes]

NAICS roduct class	Product class and geographic area	Value of product shipment (\$1,000)	S
code		1997	199
119991	DESSERTS (READY-TO-MIX)		
	United States	512 820	708 84
	California	110 264	40.44
	Illinois	13 877	13 11
19994	SWEETENING SYRUPS AND MOLASSES		
	United States	627 456	611 63
	California	33 560	19 2
	Illinois lowa	236 013 110 363	282 9
	Ohio	28 666	21 8
	Texas	18 045	5 6
19997	BAKING POWDER AND YEAST		
	United States	373 875	315 9
	California	35 933	40 1
1999A	MACARONI AND NOODLE PRODUCTS PACKAGED WITH OTHER INGREDIENTS, NOT CANNED OR FROZEN		
		4 224 224	
	United States	1 321 561	1 122 3
	California	96 260 9 212	50 2 2 6
	Illinois	592 521	2 0
	Nebraska New York	5 098 32 462	19 7
		46 899	
	Pennsylvania	5 487	
	Virginia	101 577	
1999D	DRIED AND DEHYDRATED PRODUCTS, EXCEPT PASTA, PACKAGED WITH OTHER INGREDIENTS		
	United States	1 000 184	
	California	157 409	
	ldaho	24 030	
	Illinois Minnesota	231 541 2 871	
	New Jersey	11 723 10 856	
		10 030	
1999G	LIQUID, DRIED, AND FROZEN EGGS		
	United States	1 461 611	866 2
	California	36 480	39 3
	Georgia	67 053 106 480	50 7 92 5
	Minnesota	271 553	
	MissouriOhio	103 976 86 032	111 6
1999J	FLAVORING POWDERS, TABLETS, AND PASTE, INCLUDING DRY MIX COCKTAILS	33 332	
	United States	847 999	
	California	50 505	
	Florida	16 070	
	Illinois Minnesota	193 360 14 401	
	Missouri. New Jersey.	12 024 164 632	
	Wisconsin	15 396	
1999M	OTHER FOOD PREPARATIONS, NEC		
	United States	2 079 878	
	California	255 995	
	Florida Georgia	7 682 22 793	
	Hawaii	9 576	
	Illinois	133 953	
	lowa	306 717	
	Kansas	139 149 6 169	
	Michigan Minnesota	5 183 177 106	
	Missouri. Nebraska	43 324 19 605	
	New Jersey.	43 745	
	New York	47 937 39 685	
		-3 000	
	Ohio	201 426	
	Ohio . Texas . Washington .	201 436 21 754 24 869	

[#] Additional information is available for this item; see Appendix F.

@ Additional data are available for these codes at the aggregate U.S. level in the Current Industrial Report (CIR) series; see Appendix F for the CIR survey number and title.

\$ This product is primary to more than one industry; see Appendix F for a listing of the related product codes.

Table 7. Materials Consumed by Kind: 1997 and 1992

[Includes quantity and cost of materials consumed or put into production by establishments classified only in this industry. For meaning of abbreviations and symbols, see introductory text. For explanation of terms, see appendixes]

NAICS		19	97	19	992
material code	Material consumed	Quantity	Delivered cost (\$1,000)	Quantity	Delivered cost (\$1,000)
311999	ALL OTHER MISCELLANEOUS FOOD MFG				
32721301 11231005	Glass containers	Х	=	N	N
31142103 11121100 31142309	doz) doz) Concentrated fruit juices	946 476.3 D 5.2 910.5	681 517 D 2 354 19 595	N N N	N N N N
11115007 31121117 31121127 31121101 11100027	Popcorn, whole grain mil lb. Corn grits, meal, and flakes 1,000 cwt. Corn flour mil lb. Wheat flour 1,000 cwt. Spices, raw mil lb.	784.5 D 11.6 8 146.6 34.7	117 829 D 1 683 102 935 23 272	N N N N N N N N N N N N N N N N N N N	N N N N N N N N N N N N N N N N N N N
31122101 31131003 31100019 32221001 33243101 00970099 00971000	Corn syrup	X	41 401 148 652 68 642 260 227 28 029 1 653 800 780 981	N N X X X X	N N N N N N N N N N N N N N N N N N N

 $[\]mbox{\#}$ Additional information is available for this item; see Appendix F.

Note: For some establishments, data have been estimated from central unit values which are based on quantity-value relationships of reported data. The following symbols are used when percentage of each quantity figure estimated in this manner equals or exceeds 10 percent of published figure: p 10 to 19 percent estimated; q 20 to 29 percent estimated. If 30 percent or more is estimated, figure is replaced by S.

Appendix A. Explanation of Terms

BEGINNING- AND END-OF-YEAR INVENTORIES

Respondents were asked to report their beginning-of-year and end-of-year inventories at cost or market. Effective with the 1982 Economic Census, this change to a uniform instruction for reporting inventories was introduced for all sector reports. Prior to 1982, respondents were permitted to value inventories using any generally accepted accounting method (FIFO, LIFO, market, to name a few). Beginning in 1982, LIFO users were asked to first report inventory values prior to the LIFO adjustment and then to report the LIFO reserve and the LIFO value after adjustment for the reserve.

Inventory Data by Stage of Fabrication

Total inventories and three detailed components (1) finished goods, (2) work-in-process, and (3) materials, supplies, fuels, etc., were collected.

When using inventory data by stage of fabrication for "all industries" and at the three-digit subsector level, it should be noted that an item treated as a finished product by an establishment in one industry may be reported as a raw material by an establishment in a different industry. For example, the finished-product inventories of a steel mill would be reported as raw materials by a stamping plant. Such differences are present in the inventory figures by stage of fabrication shown for all publication levels.

COST OF MATERIALS

This term refers to direct charges actually paid or payable for items consumed or put into production during the year, including freight charges and other direct charges incurred by the establishment in acquiring these materials. It includes the cost of materials or fuel consumed, whether purchased by the individual establishment from other companies, transferred to it from other establishments of the same company, or withdrawn from inventory during the year.

Included in this item are:

- Cost of parts, components, containers, etc.—Includes all raw materials, semifinished goods, parts, containers, scrap, and supplies put into production or used as operating supplies and for repair and maintenance during the year.
- Cost of products bought and sold in the same condition.

- Cost of fuels consumed for heat and power—Includes the cost of materials or fuel consumed, whether purchased by the individual establishment from other companies, transferred to it from other establishments of the same company, or withdrawn from inventory during the year.
- 4. Cost of purchased electricity—The cost of purchased electric energy represents the amount actually used during the year for heat and power. In addition, information was collected on the quantity of electric energy generated by the establishment and the quantity of electric energy sold or transferred to other plants of the same company.
- 5. Cost of contract work—This term applies to work done by others on materials furnished by the manufacturing establishment. The actual cost of the material is to be reported on the cost of materials, parts, and containers line of this item. The term "Contract Work" refers to the fee a company pays to another company to perform a service.

Specific Materials Consumed

In addition to the total cost of materials, which every establishment was required to report, information also was collected for most manufacturing industries on the consumption of major materials used in manufacturing. The inquiries were restricted to those materials which were important parts of the cost of production in a particular industry and for which cost information was available from manufacturers' records. If less than \$25,000 of a listed material was consumed by an establishment, the cost data could be reported in the "Cost of all other materials...," Census material code 00970099. Also, the cost of materials for small establishments for which administrative records or short forms were used was imputed into the "Materials not specified by kind," Census materials code 00971000.

Duplication in Cost of Materials and Value of Shipment

The aggregate of the cost of materials and value of shipments figures for industry groups and for all manufacturing industries includes large amounts of duplication since the products of some industries are used as materials by others. This duplication results, in part, from the addition of related industries representing successive

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stages in the production of a finished manufactured product. Examples are the addition of flour mills to bakeries in the food group and the addition of pulp mills to paper mills in the paper and allied products group of industries. Estimates of the overall extent of this duplication indicate that the value of manufactured products exclusive of such duplication (the value of finished manufactures) tends to approximate two-thirds of the total value of products reported in the survey.

Duplication of products within individual industries is significant within a number of industry groups, e.g., machinery and transportation industries. These industries frequently include complete machinery and their parts. In this case, the parts made for original equipment are materials consumed for assembly plants in the same industry.

Even when no significant amount of duplication is involved, value of shipments figures are deficient as measures of the relative economic importance of individual manufacturing industries or geographic areas because of the wide variation in ratio of materials, labor, and other processing costs of value of shipments, both among industries and within the same industry.

Before 1962, cost of materials and value of shipments were not published for some industries which included considerable duplication. Since then, these data have been published for all industries at the U.S. level and beginning in 1964, for all geographic levels.

COST OF PURCHASED SERVICES

Annual Survey of Manufactures (ASM) establishments were requested to provide information on the cost of purchased services for the repair of buildings and other structures, the repair of machinery, communication services, legal services, accounting and bookkeeping services, advertising, software and other data processing services, and refuse removal. Each of these items reflects the costs paid directly by the establishment and excludes salaries paid to employees of the establishment for these services.

Included in the cost of purchased services for the repair of buildings and machinery are payments made for all maintenance and repair work on buildings and equipment. Payments made to other establishments of the same company and for repair and maintenance of any leased property also are included. Extensive repairs or reconstruction that was capitalized is considered capital expenditures and is, therefore, excluded from this item. Repair and maintenance costs provided by an owner as part of a rental contract or incurred directly by an establishment in using its own work force also are excluded.

Included in the cost of purchased advertising services are payments for printing, media coverage, and other advertising services and materials.

Included in the cost of purchased software and other data processing services are all purchases by the establishment from other companies. Excluded are services provided by other establishments of the same company (such as by a separate data processing unit).

Included in the cost of purchased refuse removal services are all costs of refuse removal services paid by the establishment, including costs for hazardous waste removal or treatment. Excluded are all costs included in rental payments or as capital expenditures.

Response Coverage Ratio

A response coverage ratio is a measure of the extent to which respondents report for an item. The estimate is made by calculating the ratio value of the weighted total employment data for all the ASM establishments that report the item to the weighted total employment data for all ASM establishments classified in an industry (reporters and non-reporters).

DEPRECIATION CHARGES FOR FIXED ASSETS

This item includes depreciation and amortization charged during the year against assets. Depreciation charged against fixed assets acquired since the beginning of the year and against assets sold or retired during the year are components of this category. Respondents were requested to make certain that they did not report accumulated depreciation.

EMPLOYEES

This item includes all full-time and part-time employees on the payrolls of operating manufacturing establishments during any part of the pay period which included the 12th of the months specified on the report form. Included are all persons on paid sick leave, paid holidays, and paid vacations during these pay periods. Officers of corporations are included as employees; proprietors and partners of unincorporated firms are excluded. The "all employees" number is the average number of production workers plus the number of other employees in mid-March. The number of production workers is the average for the payroll periods including the 12th of March, May, August, and November.

Production Workers

This item includes workers (up through the line-supervisor level) engaged in fabricating, processing, assembling, inspecting, receiving, storing, handling, packing, warehousing, shipping (but not delivering), maintenance, repair, janitorial and guard services, product development, auxiliary production for plant's own use (e.g., power plant), recordkeeping, and other services closely associated with these production operations at the establishment covered by the report. Employees above the working-supervisor level are excluded from this item.

All Other Employees

This item covers nonproduction employees of the manufacturing establishment including those engaged in factory supervision above the line-supervisor level. It

includes sales (including driver-salespersons), sales delivery (highway truck drivers and their helpers), advertising, credit, collection, installation and servicing of own products, clerical and routine office functions, executive, purchasing, financing, legal, personnel (including cafeteria, medical, etc.), professional, and technical employees. Also included are employees on the payroll of the manufacturing establishment engaged in the construction of major additions or alterations utilized as a separate work force.

FRINGE BENEFITS

Fringe benefits are divided into legally required expenditures and payments for voluntary programs. The legally required portion consists primarily of Federal old age and survivors' insurance, unemployment compensation, and workers' compensation. Payments for voluntary programs include all programs not specifically required by legislation whether they were employer initiated or the result of collective bargaining. They include the employer portion of such plans as insurance premiums, premiums for supplemental accident and sickness insurance, pension plans, supplemental unemployment compensation, welfare plans, stock purchase plans on which the employer payment is not subject to withholding tax, and deferred profit-sharing plans. They exclude such items as companyoperated cafeterias, in-plant medical services, free parking lots, discounts on employee purchases, and uniforms and work clothing for employees.

GROSS BOOK VALUE OF DEPRECIABLE ASSETS AT BEGINNING OF YEAR (BOY) AND END OF YEAR (EOY)

Total value of depreciable assets is collected on all census forms. It shows the value of depreciable assets for the beginning of year and end of year. The data encompass all fixed depreciable assets on the books of establishments. The values shown (book value) represent the actual cost of assets at the time they were acquired, including all costs incurred in making the assets usable (such as transportation and installation). Included are all buildings, structures, machinery, and equipment (production, office, and transportation equipment) for which depreciation reserves are maintained. Excluded are nondepreciable capital assets including inventories and intangible assets, such as timber and mineral rights.

The definition of fixed depreciable assets is consistent with the definition of capital expenditures. For example, expenditures include actual capital outlays during the year rather than the final value of equipment put in place and buildings completed during the year. Accordingly, the value of assets at the end of the year includes the value of construction in progress.

In addition, respondents were requested to make certain that assets at the beginning of the year plus capital expenditures, less retirements, equaled assets at the end of the year.

NUMBER OF ESTABLISHMENTS AND COMPANIES

A separate report was required for each manufacturing establishment (plant) with one employee or more. An establishment is defined as a single physical location where manufacturing is performed. A company, on the other hand, is defined as a business organization consisting of one establishment or more under common ownership or control.

If the company operated at different physical locations, even if the individual locations were producing the same line of goods, a separate report was requested for each location. If the company operated in two or more distinct lines of manufacturing at the same location, a separate report was requested for each activity.

An establishment not in operation for any portion of the year was requested to return the report form with the proper notation in the "Operational Status" section of the form. In addition, the establishment was requested to report data on any employees, capital expenditures, inventories, or shipments from inventories during the year.

PAYROLL

This item includes the gross earnings of all employees on the payrolls of operating manufacturing establishments paid in the calendar year. Respondents were told they could follow the definition of payrolls used for calculating the Federal withholding tax. It includes all forms of compensation, such as salaries, wages, commissions, dismissal pay, bonuses, vacation and sick leave pay, and compensation in kind, prior to such deductions as employees' social security contributions, withholding taxes, group insurance, union dues, and savings bonds. The total includes salaries of officers of corporations; it excludes payments to proprietors or partners of unincorporated concerns. Also excluded are payments to members of Armed Forces and pensioners carried on the active payrolls of manufacturing establishments.

The census definition of payrolls is identical to that recommended to all Federal statistical agencies by the Office of Management and Budget. It should be noted that this definition does not include employers' social security contributions or other nonpayroll labor costs, such as employees' pension plans, group insurance premiums, and workers' compensation.

The ASM provides estimates of employers' total supplemental labor costs (those required by Federal and state laws and those incurred voluntarily or as part of collective bargaining agreements).

PRODUCT CODES AND CLASSES OF PRODUCTS

NAICS United States industries are identified by a six-digit code, in contrast to the four-digit SIC code. The longer code accommodates the large number of sectors and allows more flexibility in designing subsectors. Each

product or service is assigned a ten-digit code. The product coding structure represents an extension by the Census Bureau of the six-digit industry classifications of the manufacturing and mining sectors. The classification system operates so that the industrial coverage is progressively narrower with the successive addition of digits. This is illustrated as follows:

NAICS level	NAICS code	Description
Industry	33461	Manufacturing and reproduction of magnetic and optical media
U.S. industry	334612	Reproduction of software
Product class	3346120	Prerecorded compact disc (except software), tape, and record reproducing
BLS link code	3346120X	_
Product code	3346120XXX	

As in previous censuses, data were collected for most industries on the quantity and value of individual products shipped. In the 1997 census program, information was collected on the output of almost 10,000 individual product items.

In the new system, there are about 1,500 product classes (seven-digit codes), about 6,000 census products (ten-digit codes), and an additional 3,700 CIR products (ten-digit codes). The ten-digit products are considered the primary products of the industry with the same first six digits.

The list of products for which separate information was collected was prepared after consultation with industry and government representatives. Comparability with previous figures was given considerable weight in the selection of product categories so that comparable 1992 information is presented for most products.

Typically, both quantity and value of shipments information were collected. However, if quantity was not significant or could not be reported by manufacturers, only value of shipments was collected.

Shipments include both commercial shipments and transfers of products to other plants of the same company. For industries in which a considerable portion of the total shipments is transferred to other plants of the same company, separate information on interplant transfers also was collected. Moreover, for products that are used to a large degree within the same establishment as materials or components in the fabrication of other products, total production and often consumption of the item within the plant (quantity produced and consumed) was collected. Typically, the information on production also was collected for products for which there are significant differences between total production and shipments in a given year because of wide fluctuations in finished goods inventories. Other measures of output of products with long production cycles were used as appropriate and feasible.

PRIMARY PRODUCT CLASS CODE

This file presents selected statistics for establishments according to their degree of specialization in products primary to their industry. This field contains either the six-digit North American Industrial Classification System (NAICS) industry code corresponding to all establishments in the industry, or the seven-digit NAICS product class code for all establishments within the industry that are specialized in a particular product class. Product class specialization is determined by evaluating the ratio of the largest primary product class shipments to total product shipments (primary plus secondary, excluding miscellaneous receipts) for the establishment.

PRODUCTION-WORKER HOURS

This item covers hours worked or paid for at the plant, including actual overtime hours (not straight-time equivalent hours). It excludes hours paid for vacations, holidays, or sick leave.

QUANTITY OF ELECTRIC ENERGY CONSUMED FOR HEAT AND POWER

Data on the cost of purchased electric energy were collected on all census forms. However, data on the quantity of purchased electric energy were collected only on the Annual Survey of Manufactures (ASM) form. In addition, information is collected on the quantity of electric energy generated by the establishment and the quantity of electric energy sold or transferred to other plants of the same company.

RENTAL PAYMENTS

Total rental payments are collected on all census forms. However, the breakdown between rental payments for buildings and other structures and rental payments for machinery and equipment is collected only on the ASM forms. This item includes rental payments for the use of all items for which depreciation reserves would be maintained if they were owned by the establishment, e.g., structures and buildings, and production, office, and transportation equipment. Excluded are royalties and other payments for the use of intangibles and depletable assets and land rents where separable.

When an establishment of a multiestablishment company was charged rent by another part of the same company for the use of assets owned by the company, it was instructed to exclude that cost from rental payments. However, the book value (original cost) of these companyowned assets was to be reported as assets of the establishment at the end of the year.

If there were assets at an establishment rented from another company and the rents were paid centrally by the head office of the establishment, the company was instructed to report these rental payments as if they were paid directly by the establishment.

RETIREMENTS OF DEPRECIABLE ASSETS

Included in this item is the gross value of assets sold, retired, scrapped, destroyed, etc., during the calendar year. When a complete operation or establishment changed ownership, the respondent was instructed to report the value of the assets sold at the original cost as recorded in the books of the seller. The respondent also was requested to report retirements of equipment or structures owned by a parent company that the establishment was using as if it were a tenant.

TOTAL CAPITAL EXPENDITURES (NEW AND USED)

For establishments in operation and any known plants under construction, manufacturers were asked to report their new and used expenditures for (1) permanent additions and major alterations to manufacturing establishments and (2) machinery and equipment used for replacement and additions to plant capacity if they were of the type for which depreciation accounts were ordinarily maintained.

Totals for expenditures include the costs of assets leased from nonmanufacturing concerns through capital leases. New facilities owned by the Federal Government but operated under contract by private companies and plant and equipment furnished to the manufacturer by communities and nonprofit organizations are excluded. Also excluded are expenditures for land and cost of maintenance and repairs charged as current operating expenses.

For any equipment or structure transferred for the use of the reporting establishment by the parent company or one of its subsidiaries, the value at which it was transferred to the establishment was to be reported. If an establishment changed ownership during the year, the cost of the fixed assets (building and equipment) was to be reported.

VALUE ADDED

This measure of manufacturing activity is derived by subtracting the cost of materials, supplies, containers, fuel, purchased electricity, and contract work from the value of shipments (products manufactured plus receipts for services rendered). The result of this calculation is adjusted by the addition of value added by merchandising operations (i.e., the difference between the sales value and the cost of merchandise sold without further manufacture, processing, or assembly) plus the net change in finished goods and work-in-process between the beginning-and end-of-year inventories.

For those industries where value of production is collected instead of value of shipments, value added is adjusted only for the change in work-in-process inventories between the beginning and end of year. For those

industries where value of work done is collected, the value added does not include an adjustment for the change in finished goods or work-in-process inventories.

"Value added" avoids the duplication in the figure for value of shipments that results from the use of products of some establishments as materials by others. Value added is considered to be the best value measure available for comparing the relative economic importance of manufacturing among industries and geographic areas.

VALUE OF SHIPMENTS

This item covers the received or receivable net selling values, f.o.b. plant (exclusive of freight and taxes), of all products shipped, both primary and secondary, as well as all miscellaneous receipts, such as receipts for contract work performed for others, installation and repair, sales of scrap, and sales of products bought and sold without further processing. Included are all items made by or for the establishments from material owned by it, whether sold, transferred to other plants of the same company, or shipped on consignment. The net selling value of products made in one plant on a contract basis from materials owned by another was reported by the plant providing the materials.

In the case of multiunit companies, the manufacturer was requested to report the value of products transferred to other establishments of the same company at full economic or commercial value, including not only the direct cost of production but also a reasonable proportion of "all other costs" (including company overhead) and profit.

In addition to the value for NAICS defined products, aggregates of the following categories of miscellaneous receipts are reported as part of a total establishment's value of product shipments:

- 1. Reported contract work—Receipts for work or services that a plant performed for others on their materials.
- 2. Value of resales—Sales of products brought and sold without further manufacture, processing, or assembly.
- 3. Other miscellaneous receipts—Such as repair work, installation, sales of scrap, etc.

Industry primary product value of shipments represents one of the three components of value of shipments. These components are:

- 1. Primary products value of shipments.
- 2. Secondary product value of shipments.
- 3. Total miscellaneous receipts.

Primary product shipments is used in the calculations of industry specialization ratio and industry coverage ratio. The term "Value of primary products shipments made in this industry" is used in this publication and refers to the same data.

1997 ECONOMIC CENSUS APPENDIX A A-5

Duplication in Cost of Materials and Value of Shipment

The aggregate of the cost of materials and value of shipments figures for industry groups and for all manufacturing industries includes large amounts of duplication since the products of some industries are used as materials by others. This duplication results, in part, from the addition of related industries representing successive stages in the production of a finished manufactured product. Examples are the addition of flour mills to bakeries in the food group and the addition of pulp mills to paper mills in the paper and allied products group of industries. Estimates of the overall extent of this duplication indicate that the value of manufactured products exclusive of such duplication (the value of finished manufactures) tends to approximate two-thirds of the total value of products reported in the annual survey.

Duplication of products within individual industries is significant within a number of industry groups, e.g., machinery and transportation industries. These industries frequently include complete machinery and their parts. In this case, the parts made for original equipment are materials consumed for assembly plants in the same industry.

Even when no significant amount of duplication is involved, value of shipments figures are deficient as measures of the relative economic importance of individual manufacturing industries or geographic areas because of the wide variation in ratio of materials, labor, and other processing costs of value of shipments, both among industries and within the same industry.

Before 1962, cost of materials and value of shipments were not published for some industries which included considerable duplication. Since then, these data have been published for all industries at the U.S. level and beginning in 1964, for all geographic levels.

Specialization and Coverage Ratios

These items are not collected on the report forms but are derived from the data shown in Table 3. An establishment is classified in a particular industry if its shipments of primary products of that industry exceed in value its shipments of the products of any other single industry.

An establishment's shipments include those products assigned to an industry (primary products), those considered primary to other industries (secondary products), and receipts for miscellaneous activities (merchandising, contract work, resales, etc.). Specialization and coverage ratios have been developed to measure the relationship of primary product shipments to the data on shipments for the industry shown in Tables 1a through 5 and data on product shipments shown in Tables 6a and 6b.

Specialization ratio represents the ratio of primary product shipments to total product shipments (primary and secondary, excluding miscellaneous receipts) for the establishments classified in the industry.

Coverage ratio represents the ratio of primary products shipped by the establishments classified in the industry to the total shipments of such products that are shipped by all manufacturing establishments wherever classified.

Appendix B. NAICS Codes, Titles, and Descriptions

311999 ALL OTHER MISCELLANEOUS FOOD MANUFACTURING

This U.S. industry comprises establishments primarily engaged in manufacturing food (except animal food; grain and oilseed milling; sugar and confectionery products; preserved fruits, vegetables, and specialties; dairy products; meat products; seafood products; bakeries and tortillas; snack foods; coffee and tea; flavoring syrups and concentrates; seasonings and dressings; and perishable prepared food). Included in this industry are establishments primarily engaged in mixing purchased dried and/or dehydrated ingredients including those mixing purchased dried and/or dehydrated ingredients for soup mixes and bouillon.

The data published with NAICS code 311999 include the following SIC industries:

2015 Poultry slaughtering and processing (pt)

2032 Canned specialties (pt)

2087 Flavoring extracts and syrups, n.e.c. (pt)

2099 Food preparations, n.e.c. (pt)

This definition comes from the 1997 NAICS Manual. However, for this industry, the 1997 Economic Census – Manufacturing did not fully implement the conversion to NAICS. Data for NAICS industry 311999 do not include establishments primarily engaged in the manufacture of soup mixes from purchased dehydrated ingredients. The NAICS definitions will be fully implemented with the 2002 Economic Census.

Appendix C. Coverage and Methodology

MAIL/NONMAIL UNIVERSE

The manufacturing universe includes about 400,000 establishments. This number includes those industries in the North American Industry Classification System (NAICS) definition of manufacturing, but not those industries leaving the manufacturing sector in the classification change. The amounts of information requested from manufacturing establishments were dependent upon a number of factors. The more important considerations were the size of the company and whether it was included in the annual survey of manufactures (ASM). The methods of obtaining information for the various subsets of the universe to arrive at the aggregate figures shown in the publication are described below:

 Small single-establishment companies not sent a report form.

Approximately 40 percent of the manufacturing establishments were small single-establishment companies that were excused from filing a census report. Selection of these establishments was based on two factors: annual payroll and our ability to assign the correct six-digit NAICS industry classification to the establishment. For each four-digit Standard Industrial Classification (SIC) industry code, an annual payroll cutoff was determined. These cutoffs were derived so that the establishments with payroll less than the cutoff were expected to account for no more than 3 percent of the value of shipments for the industry. Generally, all single-establishment companies with less than 5 employees were excused, while all establishments with more than 20 employees were mailed forms. Establishments below the cutoff that could not be directly assigned a six-digit NAICS code were mailed a classification report which requested information for assigning NAICS industry codes. Establishments below the cutoff that could be directly assigned a six-digit NAICS code were excused from filing any report. For below cutoff establishments, information on the physical location, payroll, and receipts was obtained from the administrative records of other Federal agencies under special arrangements that safeguarded their confidentiality.

Estimates of data for these small establishments were developed using industry averages in conjunction with the administrative information. The value of shipments and cost of materials were not distributed among specific products and materials for these

establishments but were included in the product and material "not specified by kind" (nsk) categories.

The industry classification codes included in the administrative-record files were assigned on the basis of brief descriptions of the general activity of the establishment. As a result, an indeterminate number of establishments were erroneously coded to a four-digit SIC industry and then erroneously re-coded to a six-digit NAICS industry. This was especially true whenever there was a relatively fine line of demarcation between industries or between manufacturing and nonmanufacturing activity.

Sometimes the administrative-record cases had only two- or three-digit SIC group classification codes available in the files. For the 1997 Economic Census – Manufacturing, these establishments were sent a separate classification form, which requested information on the products and services of the establishment. This form was used to code many of these establishments to the appropriate six-digit NAICS level. Establishments that did not return the classification form were coded later to those six-digit NAICS industries identified as "All other" industries within the given subsector.

As a result of these situations, a number of small establishments may have been misclassified by industry. However, such possible misclassification has no significant effect on the statistics other than on the number of companies and establishments.

The total establishment count for individual industries should be viewed as an approximation rather than a precise measurement. The counts for establishments with 20 employees or more are far more reliable than the count of total number of establishments.

2. Establishments sent a report form.

The establishments covered in the mail canvass were divided into three groups:

a. ASM sample establishments.

This group accounts for approximately 15 percent of all manufacturing establishments. The ASM panel covers all the units of large manufacturing establishments as well as a sample of the medium and smaller establishments. The probability of selection was proportionate to size. For more information, see the Description of the ASM Survey Sample.

MANUFACTURING APPENDIX C C-1

In an economic census year, the ASM report form (MA-1000) replaces the first page of the regular census form for those establishments included in the ASM. In addition to information on employment, payroll, and other items normally requested on the regular census form, establishments in the ASM sample were requested to supply additional information on gross book value of assets and capital expenditures. ASM establishments were also requested to provide information on retirements, depreciation, rental payments, and supplemental labor costs. For establishments not included in the ASM, these additional items were estimated using relationships observed in the ASM establishment data. The census statistics for these variables are a sum of the ASM establishment data and the estimated data for non-ASM establishments. ASM establishments were also requested to provide information for selected purchased services. The census statistics for the purchased service items were derived solely from the ASM establishments. See Appendix A, Explanation of Terms for an explanation of these items. The census part of the report form is 1 of 220 versions containing product, material, and special inquiries. The diversity of manufacturing activities necessitated the use of this many forms to canvass the 480 manufacturing industries. Each form was developed for a group of related industries.

Appearing on each form was a list of products primary to the group of related industries as well as secondary products and miscellaneous services that establishments classified in these industries were likely to perform. Respondents were requested to identify the products, the value of each product, and, in many cases, the quantity of the product shipped during the survey year. Space also was provided for the respondent to describe products not specifically identified on the form.

The report form also contained a materials-consumed inquiry which varied from form to form depending on the industries being canvassed. The respondents were asked to review a list of materials generally used in their production processes. From this list, each establishment was requested to identify those materials consumed during the survey year, the cost of each, and, in certain cases, the quantity consumed. Once again, space was provided for the respondent to describe significant materials not identified on the form.

A wide variety of special inquiries was included to measure activities peculiar to a given industry, such as operations performed and equipment used.

b. Large and medium establishments (non-ASM).

Approximately 30 percent of all manufacturing establishments were included in this group. A variable cutoff, based on administrative-record payroll data and determined on an industry-by-industry basis, was used to select those establishments that were to receive 1 of the 220 economic census – manufacturing regular forms. The first page, requesting establishment data for items such as employment and payroll, was standard but did not contain the detailed statistics included on the ASM form. The product, material, and special inquiry sections supplied were based on the historical industry classification of the establishment.

c. Small single-establishment companies (non-ASM).

This group includes approximately 15 percent of all manufacturing establishments. For those industries where application of the variable cutoff for administrative-record cases resulted in a large number of small establishments being included in the mail canvass, an abbreviated or short form was used. These establishments received 1 of the 31 versions of the short form, which requested summary product and material data and totals but no details on employment, payroll, cost of materials, inventories, and capital expenditures.

Use of the short form has no adverse effect on published totals for the industry statistics because the same data were collected on the short form as on the long form. However, detailed information on products and materials consumed was not collected on the short form; thus, its use would increase the value of the nsk categories.

INDUSTRY CLASSIFICATION OF ESTABLISHMENTS

Each of the establishments covered in the 1997 Economic Census – Manufacturing was classified in 1 of 480 industries (473 manufacturing industries and 7 former manufacturing industries) in accordance with the industry definitions in the 1997 NAICS Manual. This is the first edition of the NAICS Manual and it is a major change from the 1987 SIC Manual that was used previously. Appendix A of the 1997 NAICS Manual notes the comparability between the 1987 SIC and 1997 NAICS classification systems. When applicable, Appendix G of this report shows the product class and product comparability between the two systems for data in this report.

In the NAICS system, an industry is generally defined as a group of establishments that have similar production processes. To the extent practical, the system uses supply-based or production-oriented concepts in defining industries. The resulting group of establishments must be significant in terms of number, value added by manufacture, value of shipments, and number of employees.

C-2 APPENDIX C MANUFACTURING

The coding system works in such a way that the definitions progressively become narrower with successive additions of numerical digits. In the manufacturing sector for 1997, there are 21 subsectors (three-digit NAICS), 86 industry groups (four-digit NAICS), 184 NAICS industries (five-digit NAICS) that are comparable with Canadian and Mexican classification, and 473 U.S. industries (six-digit NAICS). This represents an expansion of the four-digit SICbased U.S. industries from 459 in 1987. Product classes and products of the manufacturing industries have been assigned codes based on the industry from which they originate. In the new system, there are about 1,500 product classes (seven-digit codes), about 6,000 census products, and an additional 3,700 CIR products (ten-digit codes). The ten-digit products are considered the primary products of the industry with the same first six digits. These counts do not include the seven former manufacturing industries that are included in the 1997 Economic Census - Manufacturing.

For the 1997 Economic Census – Manufacturing, all establishments were classified in particular industries based on the products they produced. If an establishment made products of more than one industry, it was classified in the industry with the largest product value. For 1997, there were no "resistance rules" or "frozen industries."

In ASM years, establishments included in the ASM sample with certainty weights are reclassified by industry only if the change in the primary activity from the prior year is significant or if the change has occurred for 2 successive years. This procedure prevents reclassification when there are minor shifts in product mix.

In ASM years, establishments included in the ASM sample with noncertainty weight are not shifted from one industry classification to another. They are retained in the industry where they were classified in the base census year. However, in the following census year, these ASM plants are allowed to shift from one industry to another.

The results of these rules covering the switching of plants from one industry classification to another are that some industries comprise different mixes of establishments in different survey years. Hence, comparisons between prior-year and current-year published totals, particularly at the six-digit NAICS level, should be viewed with caution. This is particularly true for the comparison between the data shown for a census year versus the data shown for the previous ASM year.

As previously noted, the small establishments that may have been misclassified by industry are usually administrative-record cases whose industry codes were assigned on the basis of incomplete descriptions of the general activity of the establishment. Such possible misclassifications have no significant effect on the statistics other than on the number of companies and establishments.

Establishments frequently make products classified both in their industry (primary products) and other industries (secondary products). Industry statistics (employment, payroll, value added by manufacture, value of shipments, etc.) reflect the activities of the establishments which may make both primary and secondary products. Product statistics, however, represent the output of all establishments without regard for the classification of the producing establishment. For this reason, when relating the industry statistics, especially the value of shipments, to the product statistics, the composition of the industry's output should be considered.

The extent to which industry and product statistics may be matched with each other is measured by the primary product specialization ratio and the coverage ratio. The primary product specialization ratio is the proportion of industry shipments accounted for by the primary products of establishments classified in the industry. The coverage ratio is the proportion of product shipments accounted for by establishments classified in the industry.

ESTABLISHMENT BASIS OF REPORTING

The economic census – manufacturing is conducted on an establishment basis. A company operating at more than one location is required to file a separate report for each location or establishment. The ASM also is conducted on an establishment basis, but separate reports are filed for just those establishments selected in the sample. Companies engaged in distinctly different lines of activity at one location are requested to submit separate reports if the plant records permit such a separation and if the activities are substantial in size.

In 1997, as in earlier years, a minimum size limit was set for inclusion of establishments in the census. All establishments employing one person or more at any time during the census year are included. The same size limitation has applied since 1947 in censuses and annual surveys of manufactures. In the 1939 and earlier censuses, establishments with less than \$5,000 value of products were excluded. The change in the minimum size limit in 1947 does not appreciably affect the historical comparability of the census figures except for data on number of establishments for a few industries.

The 1997 Economic Census – Manufacturing excludes data for central administrative offices (CAOs). These would include separately operated administrative offices, warehouses, garages, and other auxiliary units that service manufacturing establishments of the same company. These data are published in a separate report series.

DESCRIPTION OF THE ASM SURVEY SAMPLE

The annual survey of manufactures (ASM) sample is drawn for the second survey year after a census. The most recent sample was drawn for the 1994 survey year based on the 1992 Census of Manufactures. This sample will be in place through the 1998 ASM.

MANUFACTURING APPENDIX C C-3

In 1992, there were approximately 370,000 individual manufacturing establishments. For sample efficiency and cost considerations, the 1992 manufacturing population was partitioned into two components for developing estimates within the ASM; a mail stratum and a nonmail stratum.

Mail stratum. The mail stratum of the survey is comprised of larger single-location manufacturing companies and all manufacturing establishments of multiunit companies (companies that operate at more than one physical location). Approximately 230,000 of the 370,000 establishments in the 1992 census were assigned to the mail stratum. On an annual basis, the mail stratum is supplemented with larger, newly active single-location companies identified from a list provided by the Internal Revenue Service (IRS) and new manufacturing locations of multiunit companies identified from the Census Bureau's Company Organization Survey (COS).

For the 1994 survey, a new sample of approximately 58,000 individual establishments was selected from the mail stratum assembled from the 1992 census. Supplemental samples representing both 1993 and 1994 births (newly active establishments that were not included in the 1992 census) were also selected. Establishments selected for the sample are mailed an ASM survey questionnaire for each year through 1998.

The 1994-98 ASM sample design is similar to the one used since 1984. Companies in the 1992 Census of Manufactures with manufacturing shipments of at least \$500 million were defined as company certainties. For these large companies, each manufacturing establishment is included in the mail sample. For the 1994-98 sample, there are approximately 650 certainty companies collectively accounting for over 18,000 establishments.

For the remaining portion of the mail component of the survey, the establishment was defined as the sample unit. All establishments with 250 employees or more were defined as employment certainties. In addition, all establishments producing products in SIC 3571 (Electronic Computers) were defined as certainties. Across these three arbitrary certainty classes, there were approximately 25,000 establishments included in the sample with certainty. Collectively, these certainty establishments accounted for approximately 80 percent of the total value of shipments in the 1992 Census of Manufactures.

Smaller establishments in the remaining portion of the mail stratum were sampled with probabilities ranging from .02 to 1.00. The initial probabilities of selection assigned to these establishments were proportionate to a measure-of-size determined for each establishment. The measure-of-size was a function of the establishment's 1992 industry classification, its 1992 product class data, and the historical variability of the year-to-year estimates of the product class estimates. For each product class (1,755) and four-digit industry (459), a desired reliability

constraint was specified. Using a technique developed by Dr. James R. Chromy of the Research Triangle Institute, the initial establishment probabilities were optimized such that the expected sample satisfied all industry and product class reliability constraints while the sample size was minimized. This technique reduces the likelihood of selecting nonrepresentative samples for individual product classes or industries.

This method of assigning probabilities based on product class shipments is motivated by our primary desire to produce reliable estimates of both product class and industry shipments. The high correlation between shipments and employment, value-added, and other general statistics assures that these variables will also be well represented by the sample. The actual sample selection procedure uses an independent chance of selection method (Poisson sampling) which permits us to prevent small establishments from being selected in consecutive samples without introducing a bias into the survey estimates.

Nonmail component. The initial nonmail component of the survey was comprised of approximately 140,000 small, single-establishment companies that were tabulated as administrative records in the 1992 Census of Manufactures. The nonmail stratum is also supplemented annually using the list of newly active single-location companies provided by the Internal Revenue Service (IRS) and payroll cutoffs. Companies with payroll below the payroll cutoff are added to the nonmail stratum. For this portion of the population, sampling is not used. The data for this group are estimated based on selected information obtained annually from the administrative records of the IRS and Social Security Administration (SSA). This administrative information, which includes payroll, total employment, industry classification, and physical location, is obtained under conditions which safeguard the confidentiality of both tax and census records.

DESCRIPTION OF THE ASM ESTIMATING PROCEDURE

Most of the ASM estimates derived for the mail stratum are computed using a difference estimator. At the establishment level, there is a strong correlation between the current-year data values and the corresponding 1992 (base) data values. Therefore, within the mailed stratum, for each item at each level of aggregation, an estimate of the "difference" between the current year and the base year is computed from sample cases and added to the corresponding base-year values. For the 1993-1997 ASM estimates, the 1992 Census of Manufactures values serve as the base year. For the 1998 ASM, the base will be updated to be the 1997 Economic Census – Manufacturing.

Due to the positive year-to-year correlation, estimates derived using this methodology are generally more reliable than comparable estimates developed from the current sample data alone. Estimates for the capital expenditures variables are not generated using the difference

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estimator because the year-to-year correlations are considerably weaker. The standard linear estimator is used for these variables.

For the nonmail stratum, estimates for payroll and employment are directly tabulated from the administrative-record data provided by IRS and SSA. Estimates of data other than payroll and employment are developed from industry averages. Although the nonmail stratum contains approximately 170,000 individual establishments in 1994, it accounts for less than 2 percent of the estimate for total value of shipments at the total manufacturing level.

Corresponding estimates for the mail and nonmail components are combined to produce the estimates included in this publication.

QUALIFICATIONS OF THE ASM DATA

The estimates developed from the sample are apt to differ somewhat from the results of a survey covering all companies in the sample lists but otherwise conducted under essentially the same conditions as the actual sample survey. The estimates of the magnitude of the sampling errors (the difference between the estimates obtained and the results theoretically obtained from a comparable, complete-coverage survey) are provided by the standard errors of estimates.

The particular sample selected for the ASM is one of many similar probability samples that, by chance, might have been selected under the same specifications. Each of the possible samples would yield somewhat different sets of results, and the standard errors are measures of the variation of all the possible sample estimates around the theoretically comparable, complete-coverage values.

Estimates of the standard errors have been computed from the sample data for selected ASM statistics in this report. They are represented in the form of relative standard errors (the standard errors divided by the estimated values to which they refer).

In conjunction with its associated estimate, the relative standard error may be used to define confidence intervals (ranges that would include the comparable, completecoverage value for specified percentages of all the possible samples).

The complete-coverage value would be included in the range:

From one standard error below to one standard error above the derived estimate for about two-thirds of all possible samples.

From two standard errors below to two standard errors above the derived estimate for about 19 out of 20 of all possible samples.

From three standard errors below to three standard errors above the derived estimate for nearly all samples.

An inference that the comparable, complete-survey result would be within the indicated ranges would be correct in approximately the relative frequencies shown. Those proportions, therefore, may be interpreted as defining the confidence that the estimates from a particular sample would differ from complete-coverage results by as much as one, two, or three standard errors, respectively.

For example, suppose an estimated total is shown at 50,000 with an associated relative standard error of 2 percent, that is, a standard error of 1,000 (2 percent of 50,000). There is approximately 67 percent confidence that the interval 49,000 to 51,000 includes the complete-coverage total, about 95 percent confidence that the interval 48,000 to 52,000 includes the complete-coverage total, and almost certain confidence that the interval 47,000 to 53,000 includes the complete-coverage total.

In addition to the sample errors, the estimates are subject to various response and operational errors: errors of collection, reporting, coding, transcription, imputation for nonresponse, etc. These operational errors also would occur if a complete canvass were to be conducted under the same conditions as the survey. Explicit measures of their effects generally are not available. However, it is believed that most of the important operational errors were detected and corrected during the Census Bureau's review of the data for reasonableness and consistency. The small operational errors usually remain. To some extent, they are compensating in the aggregated totals shown. When important operational errors were detected too late to correct the estimates, the data were suppressed or were specifically qualified in the tables.

As derived, the estimated standard errors included part of the effect of the operational errors. The total errors, which depend upon the joint effect of the sampling and operational errors, are usually of the order of size indicated by the standard error, or moderately higher. However, for particular estimates, the total error may considerably exceed the standard errors shown. Any figures shown in the tables in this publication having an associated standard error exceeding 15 percent may be combined with higher level totals, creating a broader aggregate, which then may be of acceptable reliability.

DATA FROM THE CURRENT INDUSTRIAL REPORTS (CIR)

The CIR program provides product statistics for selected manufacturing industries at the U.S. level annually and, in some cases, monthly and/or quarterly. When detail product data are collected in the CIR, they are not also collected in the census. However, the annual CIR data are included in the census Product Summary report.

The CIR program uses a unified data collection, processing, and publication system. The Census Bureau updates the survey panels for most reports annually and reconciles the estimates to the results of the broader-based annual survey of manufactures and the economic

census – manufacturing. The economic census – manufacturing provides a complete list of all producers of the products covered by the CIR program and serves as the primary source for CIR sampling. Where a small number of producers exist, CIR surveys cover all known producers of a product. However, when the number of producers is large, cutoff and random sampling techniques are used. Surveys are continually reviewed and modified to provide the most up-to-date information on products produced. While the CIR program includes both mandatory and voluntary surveys, the annual data are mandatory.

DUPLICATION IN COST OF MATERIALS AND VALUE OF SHIPMENTS

Data for cost of materials and value of shipments include varying amounts of duplication, especially at higher levels of aggregation. This is because the products of one establishment may be the materials of another. The value added statistics avoid this duplication and are, for most purposes, the best measure for comparing the relative economic importance of industries and geographic areas.

VALUE OF INDUSTRY SHIPMENTS COMPARED WITH VALUE OF PRODUCT SHIPMENTS

The 1997 Economic Census – Manufacturing shows value of shipments data for industries and products. In the industry statistics tables and files, these data represent the total value of shipments of all establishments classified in a particular industry. The data include the shipments of the products classified in the industry (primary to the industry), products classified in other industries (secondary to the industry), and miscellaneous receipts (repair work, sale of scrap, research and development, installation receipts, and resales). Value of product shipments shown in the products statistics tables and files represent the total value of all products shipped that are classified as primary to an industry regardless of the classification of the producing establishment.

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Appendix D. Geographic Notes

Not applicable for this report.

1997 ECONOMIC CENSUS APPENDIX D D-1

Appendix E. Metropolitan Areas

Not applicable for this report.

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Appendix F. Footnotes for Products Statistics and Materials Consumed by Kind

Not applicable for this report.

Appendix G. Comparability of Product Classes and Product Codes: 1997 to 1992

1997 published	1997 collected	1992 published	1997 published	1997 collected	1992 published	1997 published	1997 collected	1992 published
3111111 3111111111	20473 2047321	20473 2047321	3112111561 3112111671	2041117 2041121	2041117 2041121	3112217 3112217111	20463 2046353	20463 2046353
3111111121 3111111231	2047323 2047326	2047323 2047326	3112111681	2041123	2041123 2041126	3112217121 3112217131	2046354 2046356	2046354 2046356
3111111341	2047338	2047338	31121117A1	2041129	2041129	3112217141	2046359	2046359
3111111YWV			31121117B1 31121118C1	2041128	2041128 2041131	3112217YWV		
3111114 3111114111	20474 2047441	20474 2047441	31121118D1	2041151	2041151	311221A 311221A111	20464 2046462	20464 2046462
3111114221	2047443	2047443	31121118E1 31121118F1	2041161 2041198	2041161 2041198	311221A221	2046465	2046465
3111114231 3111114341	2047445 2047454	2047445 2047454	3112111YWV	2041100	2041100	311221A231 311221A241	2046472 2046475	2046472 2046475
3111114351	2047457	2047457	3112114	20412	20412	311221AYWV	2046400	2046400
3111114YWV	2047400		3112114111 3112114121	2041219	2041213 2041219	311221W	20460	20460
311111W	20470 2047000	20470 2047000	3112114YWV	2041200	2041200	311221WYWW	2046000	2046000 2046002
311111WYWY	2047002	2047002	3112117	20413	20413 2041311	3112221	20751	20751
3111191 3111191111	20481 2048111	20481 2048111	3112117121	2041315	2041315	3112221111 3112221221	2075113	2075113 2075115
3111191121	2048115	2048115	3112117141	2041321	2041321 2041323	3112221231	2075121	2075121
3111191231 3111191341	2048116 2048118	2048116 2048118	3112117151 3112117161	2041365	2041365 2041393	3112221241 3112221YWV	2075131	2075131 2075100
3111191351	2048121 2048122	2048121 2048122	3112117171	2041395	2041395	3112224	20752 pt	20752 pt
3111191361 3111191371	2048123	2048123	3112117181 3112117YWV	2041397	2041397 2041300	3112224111	2075211	2075211
3111191381 3111191391	2048124 2048131	2048124 2048131	311211A	20415	20415	3112224221 3112224231	2075251	2075251
31111913A1	2048132	2048132	311211A111	2041511	2041511	3112224241 3112224261	2075261 2075297	2075261 2075297
31111913B1 31111913C1	2048133 2048134	2048133 2048134	311211A121 311211A131	2041513	2041513 2041515	3112224YWV	2075200 pt	2075200 pt
3111191YWV	2048100	2048100	311211A141 311211A151 pt	2041521	2041521 2041517	311222W	20750 pt	20750 pt
3111194 3111194100	20482 2048200	20482 2048200	311211A151 pt	2041530 pt	2041519	311222WYWW	2075000 pt 2075002 pt	2075000 pt 2075002 pt
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3111197111	2048301	2048301	311211A161 pt 311211A161 pt	2041590 pt	2041585	3112231100	2074100	2074100
3111197121 3111197YWV	2048302 2048300	2048302 2048300	311211A161 pt	2041590 pt	2041588	3112234 3112234100	20742 2074200	20742 2074200
311119A	20484	20484	311211A161 pt	2041590 pt	2041589	3112237	20743	20743
311119A100	2048400	2048400	311211A171 pt	2041596 pt	2041591 2041592	3112237100	2074300	2074300
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311119DYWV	2048500	2048500	311211D pt	20416	20343 pt	311223A231 311223AYWV	2074498	2074498
311119G 311119G100	20486	20486 2048600	311211D111 pt	2034338	2034339 pt	311223D	20761	20761
311119J	20487	20487	311211D111 pt	2041613	2041613 2041627	311223D111	2076113	2076113
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311119JYWV	2048700	2048700				311223G	20762	20762
311119M	20488	20488	311211W pt	·	20340 pt	311223G111 311223G121	2076223	2076223 2076252
311119M111	2048811 2048812	2048811 2048812	311211W pt 311211WYWW pt	20410 2034000 pt	20410 2034000 pt	311223G131	2076257	2076257
311119M131	2048813 2048816	2048813 2048816	311211WYWW pt 311211WYWY pt	2041000	2041000 2034002 pt	311223G141 311223G151	2076262	2076262 2076263
311119M151	2048821	2048821	311211WYWY pt	2041002	2041002	311223G161 311223G171	2076264	2076264 2076265
311119M161	2048823 2048825	2048823 2048825	3112120	20440	20440	311223G181	2076268	2076268
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311119T151 311119T161	2048A09	2048A09	3112211111	2046104	2046103 2046104	3112251 pt	•	•
311119T171	2048A12	2048A12	3112211131 pt 3112211131 pt	2046114 pt	2046113 2046116	3112251 pt	•	
311119T181 311119TYWV	2048A19	2048A19 2048A00	3112211141	2046118	2046118	3112251 pt	•	20763 pt
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		•	3112214		20462	3112251221 3112251331	2079115	2079115
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1997 published	1997 collected	1992 published	1997 published	1997 collected	1992 published	1997 published	1997 collected	1992 published
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1997 published	1997 collected	1992 published	1997 published	1997 collected	1992 published	1997 published	1997 collected	1992 published
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311421J271 311421JYWV 311421M 311421M111	2033A94	2033A94 2033A00 2033B 2033B12	311511A111 311511A121 311511AYWV	2026502	2026500 pt 2026500 pt 2026500 pt 20267	3115200471 3115200481 3115200491 31152005A1	2024025 2024026 2024027 2024035	2024099 pt 2024099 pt 2024099 pt 2024031 pt
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311421P121 311421P131 311421P141 311421P151 311421P161 311421P171	2035215	2035213 2035215 2035219 2035221 2035231 2035233	311511DYWV 311511G 311511G111 311511G121 311511G131	2026815	20268 2026813 2026815	31152005H1 3115200YWW 3115200YWY 3116111	2024098	2024000 2024002 20111
311421P181 311421P191 311421P1A1 311421P1B1 311421P1C1 311421PYWV	2035235	2035235 2035239 2035271 2035275 2035298 2035200	311511GYWV	2026800	2026800 20260 2026000 2026002	3116111221 3116111331 3116111441 3116111551 3116111661	2011114	2011114 2011116 2011118 2011131 2011151
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