DHS Exhibit 300 Public Release BY09 (Form) / NPPD - Protected Critical Infrastructure Information Program (2009) (Item)

Form Report, printed by: Administrator, System, **Feb 6, 2008**

OVERVIEW

General Information

1. Date of Submission:	Sep 6, 2007
2. Agency:	Department of Homeland Security
3. Bureau:	Preparedness (PREP)
4. Name of this Capital Asset:	NPPD - Protected Critical Infrastructure Information Program (2009)
5. Unique ID:	024-65-01-04-01-9505-00
(For IT investments only, see section 53. For all other, use agency ID system.)	

All investments

6. What kind of investment will this be in FY2009?
(Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.)

Mixed Life Cycle

7. What was the first budget year this investment was submitted to OMB?

FY2006

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap. [LIMIT: 2500 char]

The PCII Program is a Congressionally mandated program that increases government access to Critical Infrastructure Information (CII) that has historically not been available from the private sector. The CII Act of 2002 established a statutory exemption to FOIA for CII voluntarily shared with DHS and requires the establishment of uniform procedures for receipt, care, secure storage, and sharing of this information with Federal, State, and local entities to protect the nation's critical infrastructures. The Final Rule on Procedures for Handling Critical Infrastructure Information is codified at 6 CFR Part 29. The PCII Program Office, established in 2004, fulfills the requirements and mission mandated in the CII Act and 6 CFR Part 29. The Fiscal Year Homeland Security Program (FYHSP) covers costs for the entire program, which supports a distributed architecture of managing PCII, while providing protection for the submitted material. Without the information this program provides, the government would be unaware of critical vulnerabilities and would be unable to adequately respond to an incident. This could lead to enormous costs associated with the disruption, preemption, or destruction of critical infrastructure, as well as personal injuries and loss of lives. Since an estimated 85 percent of the nation's critical infrastructure is managed by the private sector, failure to implement the PCII Program and its essential elements would result in the government's inability to receive and access information about the nation's critical infrastructure.

9. Did the Agency's Executive/Investment Committee approve this request?

Yes

9.a. If "yes," what was the date of this approval?

Apr 14, 2006

10. Did the Project Manager review this Exhibit?

Yes

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?

Yes

12.a. Will this investment include electronic assets (including computers)?

Yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

No

12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?

12.b.2. If "yes," will this investment meet sustainable design principles?

12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," select the initiatives that apply:

Human Capital	Yes
Budget Performance Integration	
Financial Performance	
Expanded E-Government	
Competitive Sourcing	Yes
Faith Based and Community	
Real Property Asset Management	
Eliminating Improper Payments	
Privatization of Military Housing	
R and D Investment Criteria	
Housing and Urban Development Management and Performance	
Broadening Health Insurance Coverage through State Initiatives	
Right Sized Overseas Presence	
Coordination of VA and DoD Programs and Systems	

13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

PCIIMS and PAS support citizen-centered govt. in that they help facilitate strong industry and govt alliances by encouraging information sharing.These alliances decrease the distance between citizens and decision-makers by promoting cooperation. Contracts will continue to be reviewed, renewed and/or subject to solicitation.Competitive sourcing has had a catalytic effect on our program and has lead to increased innovation, efficiency and effectiveness in the concept and development of our systems

14. Does this investment support a program assessed using Program Assessment Rating Tool (PART)?

No

14.a. If "yes," does this investment address a weakness found during the PART review?

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

14.c. If "yes," what rating did the PART receive?

15. Is this investment for information technology (See section 53 for definition)?

Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(1) Project manager has been validated as qualified for this investment

18. Is this investment identified as "high risk" on the Q4-FY 2007 agency high risk report (per OMB Memorandum M-05-23)

No

19. Is this a financial management system?

No

19.a. If "yes," does this investment address a FFMIA compliance area?

19.a.1. If "yes," which compliance area: [LIMIT: 250 char]

19.a.2. If "no," what does it address? [LIMIT: 500 char]

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A–11 section 52. [LIMIT: 2500 char]

20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)

Area	Percentage	
Hardware	0.00	
Software	0.00	
Services	80.06	
Other	19.94	
Total	100.00	★

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

N/A

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?
Yes
Question 24 must be answered by all Investments:
24. Does this investment directly support one of the GAO High Risk Areas?
Yes

SUMMARY OF SPENDING

SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier -2006	PY 2007	CY 2008	BY 2009
Planning:				
Budgetary Resources	3.749	1.000	0.000	0.000
Acquisition:				
Budgetary Resources	17.191	2.000	3.160	3.309
TOTAL, sum of stages:				
Budgetary Resources	20.940	3.000	3.160	3.309
Maintenance:				
Budgetary Resources	1.871	6.440	2.869	2.924
TOTAL, All Stages				
Budgetary Resources	22.811	9.440	6.029	6.233
Government FTE Costs	3.580	1.484	1.517	1.552
# of FTEs	30.00	12.00	12.00	12.00
Total, BR + FTE Cost	26.391	10.924	7.546	7.785

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

Yes

2.a. If "yes," how many and in what year? [LIMIT: 500 char]

6 in FY08

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes. [LIMIT: 2500 char]

The summary of spending reflects Resource Allocation Decision (RAD)