



DHS Exhibit 300 Public Release BY09 (Form) / ICE - Automation and Modernization (Atlas) 2009 (Item)

Form Report, printed by: Administrator, System, Feb 6, 2008

OVERVIEW

General Information

1. Date of Submission:	Sep 7, 2007
2. Agency:	Department of Homeland Security
3. Bureau:	Security, Enforcement and Investigations (SEI)
4. Name of this Capital Asset:	ICE - Automation and Modernization (Atlas) 2009
5. Unique ID:	024-50-02-00-01-5457-00

(For IT investments only, see section 53. For all other, use agency ID system.)

All investments

6. What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.)
Full-Acquisition
7. What was the first budget year this investment was submitted to OMB?
FY2004
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap. [LIMIT: 2500 char]
Atlas is the principal automation modernization program for improving information sharing across the U.S. Immigration and Customs Enforcement (ICE). Atlas is a multi-year infrastructure modernization program. The program addresses the gaps in the ICE Office of the Chief Information Officer's (OCIO) ability to provide management, technical, and information services to the organization. Atlas was also created to address the growing burden placed on ICE's IT infrastructure by DHS' expanding mission and to better satisfy ICE's current and projected needs. The Atlas program's scope includes the architectural design, acquisition, integration, and maintenance of hardware and software, which comprise the ICE IT foundation. The program's delivered services will be used by ICE's operations and mission support users, DHS enterprise services, other DHS/non-DHS systems, and users authorized to access ICE information resources.
9. Did the Agency's Executive/Investment Committee approve this request?
Yes
9.a. If "yes," what was the date of this approval?
Jul 30, 2007
10. Did the Project Manager review this Exhibit?
Yes
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?
Yes
12.a. Will this investment include electronic assets (including computers)?
Yes
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)
No
12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?
12.b.2. If "yes," will this investment meet sustainable design principles?
12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?
13. Does this investment support one of the PMA initiatives?
Yes
If "yes," select the initiatives that apply:

Human Capital	Yes
Budget Performance Integration	No

Financial Performance	No
Expanded E-Government	Yes
Competitive Sourcing	Yes
Faith Based and Community	No
Real Property Asset Management	No
Eliminating Improper Payments	No
Privatization of Military Housing	No
R and D Investment Criteria	No
Housing and Urban Development Management and Performance	No
Broadening Health Insurance Coverage through State Initiatives	No
Right Sized Overseas Presence	No
Coordination of VA and DoD Programs and Systems	No

13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

E-Gov: Atlas develops infrastructure allowing information to be shared quickly and conveniently between federal, state and local governments. Human Capital: Atlas transforms the way ICE employees enforce US immigration and custom laws by increasing workforce productivity through the capture and dissemination of ICE mission critical information. Competitive Sourcing: Atlas continues to promote innovation, efficiency, and greater effectiveness through full and open competition wherever possible.

14. Does this investment support a program assessed using Program Assessment Rating Tool (PART)?

Yes

14.a. If "yes," does this investment address a weakness found during the PART review?

Yes

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

ICE - Automation Modernization (ATLAS)

14.c. If "yes," what rating did the PART receive?

Results Not Demonstrated

15. Is this investment for information technology (See section 53 for definition)?

Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 1

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(1) Project manager has been validated as qualified for this investment

18. Is this investment identified as "high risk" on the Q4-FY 2007 agency high risk report (per OMB Memorandum M-05-23)

No

19. Is this a financial management system?

No


19.a. If "yes," does this investment address a FFMIA compliance area?

19.a.1. If "yes," which compliance area: [LIMIT: 250 char]

19.a.2. If "no," what does it address? [LIMIT: 500 char]

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52. [LIMIT: 2500 char]

20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)

Area	Percentage	
Hardware	26.80	
Software	20.42	
Services	26.40	
Other	26.37	
Total	100.00	

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

N/A

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO High Risk Areas?

Yes

SUMMARY OF SPENDING

SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY	BY
	-2006	2007	2008	2009
Planning:				
Budgetary Resources	16.928	0.000	0.000	1.149
Acquisition:				
Budgetary Resources	69.106	15.000	14.345	20.509
TOTAL, sum of stages:				
Budgetary Resources	86.034	15.000	14.345	21.658
Maintenance:				
Budgetary Resources	1.395	0.000	0.000	0.000
TOTAL, All Stages				
Budgetary Resources	87.429	15.000	14.345	21.658
Government FTE Costs	1.742	0.000	0.655	1.342
# of FTEs	7.00	0.00	0.00	0.00
Total, BR + FTE Cost	89.171	15.000	15.000	23.000

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

Yes

2.a. If "yes," how many and in what year? [LIMIT: 500 char]

The ICE OCIO will need to maintain our current level of 7 FTEs through life of the investment program (31 Dec 2014), thus replacement FTE's are required to fill vacancies that may arise, due to retirements, promotions, or reassignments.

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes. [LIMIT: 2500 char]

On October 1, 2003, the Congress appropriated \$9.817M for ICE Automation Modernization (Atlas) to be made available after GAO review of an expenditure plan. The FY 2004 appropriation was not released by the end of the fiscal year; therefore, all funds carried forward into FY 2005. On October 18, 2004, the Congress appropriated \$39.605M for Atlas to be made available after GAO review of an expenditure plan. On July 29, 2005, Atlas met legislative conditions for release of FY 2004 funds. The FY 2005 appropriation was not released by the end of the fiscal year. Therefore, after obligating \$1.241M during the fiscal year, the carry over into FY 2006 was \$48.181M. On October 18, 2005, the Congress appropriated \$39.749M for Atlas to be made available after GAO review of an expenditure plan. On June 22, 2006, Atlas met legislative conditions for release of FY 2005 funds. The FY 2006 appropriation was not released by the end of the fiscal year. Therefore, after obligating \$19.784M during the fiscal year, the carry over into FY 2007 was \$68.146M. On October 4, 2006, the Congress appropriated \$15.000M for Atlas, of which \$13.000M would be made available after GAO review of an expenditure plan. On March 22, 2007, Atlas met legislative conditions for release of FY 2006 funds. On June 7, 2007, Atlas met legislative conditions for release of FY 2007 funds.