



U.S. Department of  
Transportation

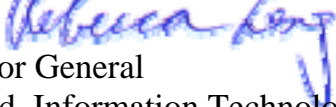
Office of the Secretary  
of Transportation

Office of Inspector General

# Memorandum

Subject: INFORMATION: Notification of Audit— Data  
Integrity of the Commercial Driver's License  
Information Systems (CDLIS), FMCSA  
Project Number 08F3003F000

Date: December 5, 2007

From: Rebecca Leng   
Assistant Inspector General  
for Financial and Information Technology Audits

Reply to  
Attn of: JA-20

To: Federal Motor Carrier Safety Administrator

The Office of Inspector General plans to initiate a performance audit of the Commercial Driver's License Information System (CDLIS) as required by the Safe, Accountable, Flexible, Efficient Transportation Equity Act – A Legacy for Users (SAFETEA-LU). There are over 700,000 active motor carriers in the United States under the jurisdiction of the Federal Motor Carrier Safety Administration (FMCSA), with more than 13 million commercial driver's license (CDL)-holders on record and an estimated 2.7 million CDL holders with hazardous materials endorsements. The records of these commercial drivers are stored in CDLIS, which is used by every state department of motor vehicles (DMV) to track CDL-holders as they move from state to state.

The objectives of this audit are to determine (1) if convictions and other personal information recorded in CDLIS and state DMV systems are accurate, timely, and complete; (2) if CDLIS and state DMV systems are adequately secured according to minimum security standards to prevent unauthorized access to privacy data; and (3) if an adequate contingency plan exists to ensure continual CDLIS service to state DMVs in the event of a disaster.

The audit will be conducted at FMCSA Headquarters, the American Association of Motor Vehicle Administrators CDLIS contractor sites, and selected state motor vehicle administration offices. We will contact your audit liaison to establish an

entrance conference in order to discuss the audit. Nate Custer is the Acting Program Director and Henry Lee is the Project Manager for this audit. If you have questions or require additional information, please contact me at (202) 366-1496, or Nate Custer at (202) 366- 5540.

cc: Chief Information Officer, DOT  
Karen Lynch, MC-PRS  
Martin Gertel, M-1