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5 6 7	ITS PROGRAM ADVISORY COMMITTEE MEETING
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PROCEEDINGS

### 3 Call to Order

- 4 (Convened at 8:05 a.m)
- 5 Robert Peter Denaro: We're going to get started. We
- have a busy day, as you know. First off, Shelley has some
- 7 things to pass around.
- 8 Shelley Row: This is a list of all the Advisory
- 9 Committee members and their contact information. If you
- 10 would just double check your information and check it off
- if it is okay. And if it isn't, if you could correct that,
- 12 we would appreciate that.
- 13 And then, I have something, let me go ahead and pass
- 14 around. This is the Budget Document I mentioned to you
- 15 before. Some of these numbers have changed, but this will
- 16 give you where we started from a few months ago. So, I'll
- 17 just pass that around.

# 18 ITS Program Major Initiatives Updates

- 19 Robert Peter Denaro: So, what we have today is
- 20 walking through the program updates. And, just to remind
- 21 everyone, we have three questions that we're charged with
- 22 answering here. And, I guess what we decided yesterday was
- 23 to jot down your notes. You have some pages at your place

- there, one each on the three questions.
- 2 The three questions are, are these activities likely
- 3 to advance the state of the art? Secondly, are the
- 4 technologies likely to be deployed? And thirdly, are they
- 5 the appropriate roles for the government and the private
- 6 sector, and perhaps, academia and so forth in these
- 7 activities?
- 8 And the format will be that we will have the major
- 9 programs, roughly a half hour total, fifteen minutes of
- 10 presentation and fifteen minutes of interaction, addressing
- 11 these questions. Anybody, are there any questions on that?
- 12 Dr. Joseph Sussman: So, we want to answer that
- question for each of the programs?
- 14 Robert Peter Denaro: Yes. You have to keep your
- 15 notes in order there. What I think we will do is, we will
- 16 collect it all at the end. We will have some level of
- 17 interaction, but we will be moving pretty fast. What I
- think we will do is collect them, and Joe and I will kind
- 19 of sort through and see if we can write some level of
- 20 summary back to you later. And it, perhaps, will become
- 21 part of our Advice Memo, following this meeting.
- 22 Joseph Averkamp: So, as we're going through each of
- the presentations, we're going to talk to each of these

- 1 questions?
- 2 Robert Peter Denaro: To the extent we can. There
- 3 might be some comments you have.
- 4 Joseph Averkamp: On the ones that are clearly going
- 5 to sunset between now and 2010, what will we do?
- 6 Robert Peter Denaro: Do less, right. I mean, but it
- 7 still might be one we want to address. Alright, so, the
- 8 first one, I believe, is NG911?

#### 9 Next Generation 9-1-1

- 10 Shelley Row: NG911, and just so you know, I sent an
- 11 email to the staff, which I hope they got, last night,
- 12 based on our conversation yesterday. And I've asked them
- 13 to try to focus some of their remarks on the research
- 14 results that they have learned to date. So, that they may
- not necessarily hit all the bullet points on their slides,
- 16 but they're interspersing their discussion a little bit
- 17 about the research results.
- So, Linda Dodge, who is our Program Manager, is going
- 19 to talk about NG911, ETO, and then the Rural Safety
- 20 Initiative will be next, because that's also Linda's lead.
- 21 Robert Peter Denaro: Before she starts, on a personal
- 22 note, I want to thank whoever was responsible for it. And,
- 23 maybe it was you, Shelley, or just someone in general, but

- the gesture in my room was very special, so thank you.
- When I checked in, I was amused to see that I had Room 511.
- 3 (Laughter)
- 4 Shelley Row: Anything we can do. We're on top of it
- 5 all. And, I'm so relieved, because I had no idea where
- 6 your room was.
- 7 (Laughter)
- 8 Linda Dodge: I didn't know there was an opportunity,
- 9 or I would have tried to tip the bellman to get 911.
- 10 So anyway, good morning everyone, I'm Linda Dodge, and
- it is my pleasure to be the Program Manager for NG911. And
- 12 this has been a two year project, one that is winding down
- 13 rapidly. So, we've had twenty-four months of intense work,
- 14 with regard to the contractual part. We had an additional
- 15 year prior to that for planning. So, basically, what
- 16 you're seeing here are the accomplishments this year.
- 17 This is a section, or if you will, a segment of the
- 18 fifty-two deliverables. We had fifty-two deliverables in a
- 19 twenty-four month period, so it was pretty ambitious. And
- 20 everything is, currently, on time and on budget. And, it's
- 21 kind of scary, but we're very happy about that. We are in
- 22 the process of we have a short amount of time, so I'll go
- 23 through this. If you have any questions, I'll be more than

- 1 happy to go back.
- Where we are right now, we have completed our Proof of
- 3 Concept testing. We just recently completed the testing at
- 4 our five POC sites. Those sites not only did the testing,
- 5 but they also did live demonstrations. And this gave us an
- 6 opportunity for the jurisdictions to be able to share with
- 7 the community, not only the community that they serve, such
- 8 as the public safety community, but also the user
- 9 community, the public, themselves, as to what the
- 10 capabilities of Next Generation 911 will be.
- 11 And those demonstrations were so successful, in fact,
- 12 and this, I believe, is one of the one of the outcomes that
- 13 Shelley would like me to share, is that we started out with
- 14 a list of requirements, and that list of requirements was
- 15 pretty demanding. And in fact, that we had a very short
- 16 period of time to do this test.
- 17 And so, because of that, we identified the different
- 18 populations of the various sites that were being looked at.
- 19 For example, Rochester, New York has a very high population
- of hearing impaired individuals. So we felt one of the
- 21 things we would want to do there would be to test some of
- 22 the capabilities of the future NG911 system that would most
- benefit that population, such as videoing and text

- 1 messaging, and so on.
- 2 That was tested and it was tested successfully. In
- 3 fact, all of the requirements were met in all of the tests.
- 4 Because once we started, it became very clear that all the
- 5 preparation that had been done in advance was done such
- 6 that all the requirements were successfully then tested in
- 7 every site, not just the original set that we went out to
- 8 test.
- 9 We were going to test a couple in each, and then we
- 10 were going to do a system test. Because of the success, we
- were able to test every requirement at every site, as well
- 12 as the system.
- 13 Yes, Shelly?
- 14 Shelley Row: I just wanted to make sure that the
- 15 Committee was aware of what it was we were testing. NG911
- is testing the IP text messaging capabilities, to get that
- 17 into a PSAP. So, when Linda is talking about testing, they
- 18 test instant messaging, telematics, voice over IP, text
- 19 messaging, video, trying to get those kinds of calls into a
- 20 PSAP, which currently, it's not able to do.
- 21 Joseph Averkamp: The one question I had, which is not
- 22 called out in the Program Summary is, does that include
- 23 delivery of location data? One of the big shortcomings in

- 1 the voice over IP Systems is that they don't include
- 2 delivery of address or location data.
- 3 Linda Dodge: Yes. I apologize. I shouldn't assume
- 4 that everyone read the preparation material, because I
- 5 don't always do that, myself, and I apologize. But yes,
- 6 Next Generation 911 is certainly an advance over what we
- 7 currently use. It will allow us to, in the future, make a
- 8 911 call from any device with which we can communicate.
- 9 So for instance, if currently wish to communicate via
- 10 your laptop, and you need to contact 911, you will be able
- 11 to do so, and, we will be able to find you, assuming that
- 12 you have your GPS locator turned on. Any other questions
- about the original purpose of the Next Generation 911
- 14 Initiative?
- 15 Dr. Joseph Sussman: Linda, do you consider any of the
- 16 privacy issues inherent in this kind of technology? Of
- 17 course we want to locate people, but there are some privacy
- 18 considerations, as well?
- 19 Linda Dodge: Of course, there are privacy
- 20 considerations, and I think it varies, depending on your
- 21 situation. If you want to be under the screen, so to
- 22 speak, and have no one know where your location is,
- 23 certainly that is your prerogative; however, when you have

- 1 an emergency, most people do want to be found.
- 2 And, at what point in time do you turn on that device
- 3 so that your G.O. locator is active? And, that's something
- 4 that, I think, the further we go with this, and standards
- 5 are developed that address those type of privacy issues,
- 6 the public will become much more comfortable with that.
- 7 But again, that is something that is going to come through
- 8 the standards process.
- 9 Joseph Averkamp: With the wireless industry, the way
- 10 it does work is, you can turn off your locator for any kind
- of commercial application, but you cannot turn it off for
- 12 PSAP. That is one call that will always be deliberate.
- 13 Michael Replogle: You can't turn it off for what?
- 14 Joseph Averkamp: Patching to a public safety
- 15 answering phone. So, when you're calling 911, your
- location will be tracked. So, when you turn off the G.O.
- 17 locator function on your phone, and that is true for any
- 18 commercial application, you can't be found. But it will be
- 19 passed the GPS function on a phone will be passed to the
- 20 operator the 911 operator.
- Dr. Joseph Sussman: So, that's inherent in the
- 22 hardware?
- Joseph Averkamp: It is a function of that's the way

- all the wireless carriers have gone, and it's been very
- 2 thoroughly vetted by the CTIA and the wireless industry, as
- 3 far as that being an acceptable process.
- 4 Linda Dodge: And, Next Generation 911 actually built
- 5 on our wireless E911 WE911 Initiative. Is there anything
- 6 else I can address now?
- 7 Robert Peter Denaro: Linda, where does this go next,
- 8 then?
- 9 Linda Dodge: Thank you, I'll just go on with my
- 10 presentation. The way it's going to go from now is to tell
- 11 about some of the accomplishments. And I wanted to touch
- 12 on the fact that we have, basically, two major research
- 13 accomplishments, if you will, in addition to all of the
- 14 accomplishments we did as a part of the Initiative.
- 15 Our research outcomes have allowed technical and
- 16 political advancements. With the technical, basically, we
- 17 have started a dialogue among the industry. Rather than
- 18 having multiple agencies and entities discussing which way
- 19 they were going to go with Next Generation 911, the
- leadership of DOT has allowed them all to come together.
- 21 We have encouraged the stakeholders to come together
- 22 and work with us on this. And, one of the things we did
- with the federal stakeholders, and state and local

- industries, was we brought everyone together to say,
- 2 basically, "Here's what we need. We need your input so
- 3 that everyone's on board. How can we best address this
- 4 issue?" And in fact, DOT has been praised in numerous
- 5 environments, in areas we have never felt welcome before.
- 6 And, it has been very successful for DOT.
- Now, where it goes in the future; at the close of this
- 8 Initiative, it's going to transition to the National 911
- 9 Office. And another outcome of this is, basically, that
- 10 the recognition DOT got allowed them to be one of the
- 11 forerunners at being selected for the National 911 Office.
- 12 And because of the commitment toward this, NTIA and
- 13 the EMS Office with the National Highway Traffic Safety
- 14 Administration will be housing the National 911 Office.
- 15 So, everything we've learned, all of the information with
- 16 regard to the architecture and the transition plan, will
- 17 transition to the National 911 Office.
- 18 Tomiji Sugimoto: Is there any opportunity to have the
- 19 (inaudible).
- 20 Linda Dodge: We have had the Emergency Medical
- 21 Services providers and we have Emergency Medical
- 22 Physicians involved. We allow anyone and everyone to
- 23 participate, and encourage that. We work very closely with

- 1 those entities.
- Now remember, with Next Generation 911, what we're
- doing is getting the call from the public to the PSAP.
- 4 That's the segment we're dealing with now. Now, this
- 5 technology, obviously, will help the further distribution
- of those calls. And one thing that will be accomplished
- 7 from this, also, is the fact that we will have a reliable
- 8 redundant system.
- 9 So, in the case of another Katrina, or whatever, where
- 10 the PSAPs no longer can receive calls and provide response
- 11 for it, those calls will be transferred to another PSAP
- that take care of those calls. So, that's another thing
- 13 the system has provided for.
- 14 Shelley Row: One thing the Committee should be aware
- of on this project is, what this research did was to
- 16 develop a new architecture for, basically, all different
- types of calls going into a PSAP. It is based on IP.
- 18 The current system is an old analog system, so what we
- 19 did was to develop the new architecture, we tested it in
- five locations, and they got tremendous press. And so, we
- 21 have all of that material now that could be used by a PSAP
- to migrate into that new environment.
- But, there are no funds for that, so they have to

- 1 choose to do it, they to choose the deployment, it's at a
- local level. So that the big lift would be now, how do
- 3 they get the money? How do they make the investment and
- 4 take what we did as research, and actually implement it?
- 5 The National 911 Office and NHTSA have some grant
- 6 programs that they are going to be administering which will
- 7 be able to help them. So it will keep going that
- 8 initiative will keep going through them, but that is the
- 9 ultimate endgame, is to get this deployed at the PSAP
- 10 level.
- 11 Joseph Averkamp: So, one of the observations I would
- make, and I think this gets to our second question about
- 13 barriers to deployment is that, there are about four
- 14 thousand PSAPs out there. And, I know one of the
- 15 challenges has been in the wireless industry, is getting
- 16 them funds to upgrade their facilities. And so, we don't
- 17 have a specific plan for funding them. There is some grant
- money, you say?
- 19 Shelley Row: The National 9110ffice has a grant
- 20 program.
- 21 Linda Dodge: The 2004 E911 Act that was passed by
- 22 Congress, it was the last bill signed in 2004, and
- 23 basically provides the structure for funding for that type

- of activity. It hasn't been funded yet.
- Joseph Averkamp: Is there a mechanism by which,
- 3 because in the wireless industry there's actually fifty
- 4 cents added to every phone bill that creates a pool of
- 5 money, and as a PSAP, you can apply for it. Do we have any
- 6 such mechanism like that?
- 7 Linda Dodge: Funding is going to be a major concern
- 8 as we move from the analog system and the current funding
- 9 base on hard line and cable connection toward whatever
- 10 surcharge is being assessed. That is something being
- discussed within the industry, and with the provider
- agencies, as well, as to how we will be able to fund that
- in the future to maintain that service?
- 14 Scott Belcher: Joe, is there a business model for
- 15 funding that?
- 16 Joseph Averkamp: Well, there is. There has been, and
- 17 the telephone industry deals with this all the time with
- 18 universal service fees, and they also had the E-911 tax
- 19 that has been applied. So, I was just curious. It's very
- 20 useful work and we need to move it to the next generation,
- 21 where we can support all sorts of delivery methods for it.
- 22 But, the challenge we always have is that the PSAPs
- don't have the money to upgrade their equipment. So, if

- 1 you were an operator in Dubuque, Iowa, it didn't matter how
- 2 advanced the state of the art was, they were not able to
- 3 afford it.
- 4 Linda Dodge: It goes back to the 1972 EMS Act and how
- 5 911 was originally funded, which was based on your billing,
- 6 you know, whatever your service was, that you had for your
- 7 phone. Eventually they said, "Well, we've got to be able
- 8 to pay for this," and they did the surcharge. And
- 9 eventually it went to E9-1-1, and now we're in a system
- 10 where people are moving away from those hard line phones,
- 11 but we still have the cost of maintaining the system. In
- fact, the conversion is probably going to be more costly
- than what we're dealing with now. So, the industry is
- 14 looking at alternatives.
- 15 Dr. Kenneth Button: On this particular project, your
- 16 finite agreement is not really to deal with, I mean, that
- 17 is, I think that is an important point, whether you deliver
- 18 something which is useful for the next stage. As far as I
- 19 understand, this is more a technology project, rather than
- a political, administrative project, for getting the thing
- 21 into the system.
- 22 Linda Dodge: That's true, but it did have major
- 23 political implications, in that it allowed people to come

- 1 together and start talking, and actually to cooperate in
- 2 areas where, in the past, they haven't cooperated because
- 3 they were busy trying to determine how they could move.
- 4 Dr. Kenneth Button: But, that's more of a side
- 5 effect.
- 6 Linda Dodge: Exactly, it is.
- 7 Dr. Kenneth Button: Side effects are often useful to
- 8 note.
- 9 Linda Dodge: It is, especially, I think, in this
- 10 area, because what we're also doing at the close of this
- is, is we're doing a spec sheet, which will help the local
- 12 jurisdictions say, "Here's what we need," so that they can
- 13 best price out what they're going to need, and help them go
- 14 to the local jurisdictions. And then the competition
- 15 begins among the providers and carries.
- 16 Dr. Adrian Lund: I think it's important to note, in
- 17 terms of what this Committee is discussing, that this side
- 18 effect is a key question for us, and that is how this is
- 19 going to be deployed, nationally. And, one of the
- 20 barriers, as I understand it, what we're saying here is
- 21 that this program has demonstrated the feasibility of the
- 22 technology that seems to have passed with flying colors in
- 23 all the states. But now, it's simply handed over, "Here it

- is," and it's up to five thousand PSAPs-
- Linda Dodge: Six thousand, actually, but who's
- 3 counting?
- 4 (Laughter)
- 5 Dr. Adrian Lund: To individually take it up?
- 6 Linda Dodge: Yes; however, we do have that National
- 7 911 Office that will take the Transition Plan. And, in
- 8 fact, Congress has required them to prepare a Transition
- 9 Plan. Well, the Next Generation 911 Initiative has already
- 10 provided that Transition Plan, so they're ahead of the
- 11 game.
- So, they'll be able to provide the Transition Plan and
- 13 Recommendations for how this can be accomplished. And
- 14 then, whether Congress sees fit to add additional funding
- or not, or opportunities for them to be more of a catalyst
- 16 in this, then we're hopeful. Because the National Highway
- 17 Traffic Safety Administration is able to be a partner in
- 18 this. And, while we funded it, they were very aggressive
- 19 in helping us get this done, and delivering the final
- 20 product.
- 21 Dr. Joseph Sussman: We will be hearing more speakers,
- 22 so I'm trying to get some of the notation down pat. You
- 23 say no new FY'09 funds are anticipated, yet there is work

- 1 that is going to be done in 2009?
- 2 Linda Dodge: There is work that's going to be done,
- and it's based on a contract that was a twenty-four month
- 4 contract. That contract does not close out until December
- 5 31, so that funding has already been committed. So, while
- 6 we're not asking for new funding for FY'09, there will be
- 7 some work being completed in 2009, based on funding we
- 8 already had in 2008.
- 9 Dr. Joseph Sussman: Final reports?
- 10 Linda Dodge: Final reports, the spec sheet that I
- 11 mentioned and things of that nature.
- Dr. Joseph Sussman: So, the money carries over?
- 13 Linda Dodge: Yes, it does. It is on the contract.
- So, once all of those tasks are completed, then the
- 15 contract will close out with the final report.
- Robert Peter Denaro: I was going to say, this is one
- 17 of the efforts that, since it was done, we probably spent
- 18 less time on it. I encourage us to move on now; however, I
- 19 think we've identified the barriers, and so forth. Are
- there any last comments from the Committee. Any questions?
- 21 Linda Dodge: But, it is an exciting topic, I've got
- 22 to say.
- 23 Robert Peter Denaro: Yes, it's an important one, for

1 sure.

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### Emergency Transportation Operations

- 3 Linda Dodge: Emergency Transportation Operations.
- 4 This initiative was not as focused as the National 911
- 5 Initiative. Basically, it represented approximately
- 6 thirteen projects that, while weren't specifically
- 7 connected, were very complimentary to one another.
- 8 So, as we worked through those over the last three
- 9 years, funding was expended down, as you notice, the last
- obligated \$350,000.00 this year. And this will close out
- 11 the ETO, as well. And with that, we had a lot of research
- results, and a couple that I'll share with you, because I
- think since we just went from NG911, you'll appreciate
- 14 this.
- 15 We completed a camera phone project which was a
- 16 collaborative venture between Virginia and Maryland, and
- 17 the State Police, and the DOTs. And what we were finding
- 18 out, and I'm sure that you all have sat in congestion from
- 19 time to time and wondered what was going on why the tow
- 20 trucks weren't moving, and why the tow trucks are sitting
- on the side of the road?
- 22 And part of the time, it's because it's the wrong
- 23 equipment. And the reason it's the wrong equipment is

- 1 because we count on individuals who are calling it in, to
- 2 provide us with that information. And, without a visual,
- 3 that information, certainly, it's like the game of
- 4 "gossip." You don't always get the information you need.
- 5 And a perfect example was, on Route 66, and those of
- 6 you who travel through Northern Virginia are probably very
- 7 familiar with that area. On one particular day, an
- 8 individual called in and said, "There's a truck
- 9 overturned." And basically, the information came out,
- 10 "It's pretty much a pick-up with a small load of dirt on
- 11 it,"
- 12 And an hour and a half later, they were still getting
- 13 the equipment there that they needed, because it was a
- 14 major earth-moving dump truck and they needed a loader,
- 15 they needed a sweeper, and they needed a dump truck to load
- 16 it into. And so, everyone, all of us in this room, were
- 17 sitting there, for an additional hour and a half, without
- 18 an alternate route because we didn't have that information.
- 19 So, that increases the risk to the rescuer, the first
- 20 responder, maybe to the truck driver, and also to us who
- 21 were sitting in that queue.
- 22 So, the camera phone, essentially, was a project that
- 23 we funded, through the University of Maryland, to manage

- 1 the project. And, we outfitted the tow truck drivers with
- the best technology, you know, the greatest phones out
- 3 there. And they came in with better phones than we had -
- 4 very technosmart. But, we did outfit the jurisdictions of
- 5 the State Police that were going to be active in that
- 6 particular project.
- 7 The arriving State Trooper would take a picture of the
- 8 crash scene and go on about his business of making sure
- 9 that he could secure the scene, making sure it was safe for
- 10 everyone. And in the meantime, the image was going back,
- 11 was dispatched and being forwarded either directly to it
- 12 could go directly to the tow truck drivers, or could be
- 13 forwarded to the State Police dispatch, to the tow truck
- 14 drivers, depending on how the state was going to work it.
- 15 So, the drivers could see the particular problem and
- 16 could assess whether they needed a HAZMAT crew, whether
- 17 they needed some type of a liquid transfer mechanism, or
- 18 did they just need a small tow truck? That was successful.
- 19 The report was jus completed and will be presented at World
- 20 Congress.
- 21 Scott Belcher: Linda, did the information also go to
- the Traffic Management Center?
- 23 Linda Dodge: It's available to go to the Traffic

- 1 Management Center through DOT, because that was one of the
- 2 partners. So basically, you have the state control, you
- 3 have the towing industry, and you have the Virginia and
- 4 Maryland DOTs.
- 5 Scott Belcher: Okay, thank you.
- 6 Linda Dodge: It depended on the area it was in,
- 7 whether it went through major TMC, or not.
- 8 Scott Belcher: Right.
- 9 Robert Peter Denaro: You've said tow truck drivers
- 10 had cameras with them, and police had cameras? Was it both
- of them?
- 12 Linda Dodge: Yes. The tow truck drivers only used it
- if they needed to request additional equipment and show
- 14 what they have for the most part. They all had camera
- 15 phones.
- 16 Robert Peter Denaro: So first, the officer would try
- 17 to identify to the tow truck driver, then when he got there
- he could change his mind and take another picture?
- 19 Linda Dodge: That's right. This was kind of a
- 20 precursor to see whether this was reasonable for all public
- 21 safety. We wanted to take a particular discipline that we
- 22 felt was a smaller population, if you will, because each of
- 23 the states has contracts with the particular and had a way

- of managing that tow response.
- 2 And so, we felt this would be a good way to test it,
- 3 and it worked. There were a couple of delays. We lost of
- 4 couple of project managers, and so it was about six months
- 5 late being delivered, but we were very happy with the
- 6 product that we got.
- 7 Robert Peter Denaro: I just got a fancy new phone,
- 8 and I didn't know it had a camera until we came. And I
- 9 think I know how to take a picture, but I definitely don't
- 10 know how to send it to anybody. Was there a training
- 11 implication here, too?
- 12 Linda Dodge: There was. There was actually.
- Joseph Averkamp: You need a fifteen-year-old.
- 14 (A bit of laughter)
- 15 Linda Dodge: Kaplan, working with our technical
- 16 consultants, developed a training program for the
- 17 respective State Police, as well as for the tow truck
- 18 drivers.
- 19 Robert Peter Denaro: This would be a deployment
- issue, as well, if it would be done on a wide-scale basis.
- 21 First of all, to achieve commonality of devices is,
- 22 perhaps, a challenge, I would think. And secondly, the
- 23 training issue.

- 1 Linda Dodge: Well, the commonality wasn't an issue.
- 2 The reason we looked at that was, we wanted to know which
- 3 particular cameras could broadcast the best, not camera
- 4 selection, but which phones had the best broadcast for that
- 5 particular area that we were testing, depending on who the
- 6 carrier was. And so, we pre-tested the phones and the
- 7 carriers to make sure we had a carrier and phone that was
- 8 compatible.
- 9 Robert Peter Denaro: But that's my point. To do that
- on a nationwide basis, then, there's a lot of compatibility
- 11 testing, locally, and all of that.
- 12 Linda Dodge: Well, I would suspect that a lot of the
- 13 public safety officers already have cell phones. You're
- 14 seeing a lot more officers using cell phones, and you're
- 15 seeing them used them used more than you're seeing them
- 16 using the hand held, and I would imagine that a lot of them
- 17 have cameras.
- 18 And so, if they're purchasing that equipment, in that
- 19 jurisdiction, then they know whether that works, or not.
- 20 The wouldn't be deploying phones for the use of their
- 21 patrols that they couldn't broadcast with.
- 22 Robert Peter Denaro: Well, you're buying them for
- voice communications for sure. Whether or not that system

- 1 is good for transmitting pictures is, maybe, another story.
- Joseph Averkamp: Usually, you have to buy a data
- 3 plan, right?
- 4 Linda Dodge: That's true; however, the first thing is
- 5 being able to make the connection with the cell phone, and
- 6 then, it's also determining the sophistication of that
- 7 phone. And so, there are about three things that they
- 8 would be doing. But, that would be a local jurisdictional
- 9 issue.
- 10 Shelley Row: One thing to be aware of on this
- 11 project, Linda's giving you one example of-
- 12 Linda Dodge: There were thirteen projects so, again,
- 13 Shelly's afraid we're getting bogged down, and I
- 14 understand. But I wanted to share that, and also-
- Dr. Adrian Lund: It seems justified.
- 16 (A bit of laughter)
- 17 Shelley Row: There's so much to talk about.
- 18 Linda Dodge: That was one of the accomplishments
- 19 beyond what we show up here. So again, that is just giving
- 20 us the opportunity to reduce the congestion and make
- 21 everything safer, and have a more rapid incident clearance.
- 22 With regard to the other projects, as I said, there
- 23 were thirteen. Oh, thank you, Shelley. One thing that

- 1 came out of this we had some foundation documents that a
- lot of this research developed from. And along with that,
- 3 we had research that was specific to ETO, and we also had
- 4 related research and publications.
- 5 So, you will be receiving, in addition to our handy,
- 6 dandy NG911 help book, you will also be receiving a copy of
- 7 the CD that was recently published, that has a lot of the
- 8 ETO research results on it. It's kind of one-stop shopping
- 9 for a lot of our users.
- 10 Are there any questions about the ETO?
- 11 Dr. Joseph Sussman: I would mention that Linda and I
- were at a meeting where the hope was it would be useful to
- the emergency operations and the medical application. And
- 14 it turned out to be very interesting to the medical
- profession, to have a photograph of the accidents before
- 16 the patient gets transported to the ER. The surgeons and
- 17 the responders can apparently learn quite a bit by seeing
- 18 how smashed up the car was.
- 19 Linda Dodge: Not only how smashed up, but also
- 20 direction of injury. Having been a paramedic in the field
- 21 for a long time, I know it makes a big difference if you've
- 22 been T-boned and the rotation of the skull, and the brain
- within the skull, versus if you've been hit head on. So

- 1 the physicians are more readily understanding of the type
- 2 of injury they are looking at, and can more rapidly
- intervene and hopefully provide the appropriate treatment.
- 4 Joseph Averkamp: When is this program set to end?
- 5 Linda Dodge: It's ending as we speak. The
- 6 initiative, itself, closed out last December. This
- 7 initiative was in cooperation with, as NG911 was, our model
- 8 partner, NHTSA. This particular initiative was with the
- 9 Operations Office of Federal Highway Administration
- 10 Emergency Transportation Office. So, we worked very
- 11 closely on all of these projects.
- 12 And that particular CD was even further enriched with
- some of the documents that were relevant, that came from
- 14 the Operations Program. It's certainly supplemented, but
- 15 it is identified. When you go through it, you'll see where
- 16 they came from.
- 17 Robert Peter Denaro: Was there a distribution of this
- 18 CD?
- 19 Linda Dodge: The CD had a huge distribution. We have
- 20 a stakeholder list of about two thousand. They went
- 21 through that particular list. They're going to major
- 22 conferences, such as ITE, to World Congress, to the
- communications conferences, and also to our regional

- offices, and to state division offices, and so on. It's a
- 2 huge distribution.
- 3 Robert Peter Denaro: Any other questions from the
- 4 Committee?
- 5 Shelley Row: Just so you know, if this is useful to
- 6 you, with NG911, the total program cost us about six
- 7 million dollars over about two years.
- 8 Linda Dodge: Since you brought that up, Shelley, we
- 9 started out in the early years of talking about NG911,
- 10 thinking it was going to cost us about eleven million
- dollars, and because of the pace of the industry, and
- because of the support of the industry, we were able to
- 13 curtail the cost to drastically a much smaller amount.
- 14 Shelley Row: And, ETO was about 5.9 million over a
- 15 couple of years?
- 16 Linda Dodge: Over three years, yes.
- 17 Robert Peter Denaro: Okay.
- 18 Linda Dodge: Any other questions on ETO?
- 19 (No response)
- Linda Dodge: Moving on. Am I in my time frame?
- 21 Robert Peter Denaro: You're doing great.

## 22 Rural Safety

23 Linda Dodge: Now, the Rural Safety Initiative. This

- 1 is something where an innovation program this is a little
- 2 new. I think you all have been briefed on this in the
- 3 past, but just to provide an update.
- 4 Dr. Joseph Sussman: Are we out of order?
- 5 Shelley Row: There is no this project has not
- 6 started yet. It's still in the selection phase, so looking
- 7 at the red, yellow, green, there's no red, yellow, or green
- 8 report on this program, because there's nothing to report
- 9 on yet, because nothing has been selected.
- 10 Linda Dodge: And, we're just getting ready to finish
- 11 that process. So, basically, the Deputy Secretary
- 12 determined that we were doing a lot for the urban areas,
- 13 with regard to congestion initiatives, but the rural areas
- 14 certainly had some issues, as well.
- 15 And a lot of the problems they were having were with
- 16 regard to the big holidays each year, with running off the
- 17 road, lack of ability to navigate curves and rural
- 18 intersections, and so on. So he asked to put together a
- 19 work group which is multi-modal, in try to determine among
- the members how we could best fund some of the issues.
- 21 The Office of Federal Highway Administration, Office
- 22 of Safety, and the IBBSS Program Office and RITA, combined
- forces and put together a grant program, or an award

- 1 program, depending on the amount of money we each could
- 2 bring to the table. They will be administered by each of
- 3 the particular offices, separately, but we're working
- 4 together to be able to maximize the resources.
- 5 So essentially, what we're looking at with the IBBSS
- 6 Program is, a six million dollar block of money which will
- 7 include evaluation, as well as technical management of the
- 8 program. We're hopeful we'll be able to put 5.4 million
- 9 out on the street in the rural areas, and we're moving
- 10 along very well in that.
- 11 It was a multiple-phase process. Basically, we didn't
- 12 want to overburden the rural areas with a huge application,
- to begin with. And so, it was basically, kind of a very
- 14 brief Application of Interest. If you had the money, how
- much would you need, what would be your target area, and,
- oh, by the way, you're going to have to provide us data.
- 17 And by the way, our criteria is going to be set on,
- 18 basically, what is the risk? What are we going to be able
- 19 to do? How many lives do you think we'll be able to save?
- 20 What are your basic problems, and what funding will be
- 21 required for us to mitigate those issues?
- 22 So originally, we had thirty proposals. The Federal
- 23 Register hit the street in February. We had a deadline of

- 1 May 12 for the initial "We wanted to be counted," saying,
- 2 "We're interested. Here's our initial information."
- One thing they had to do was, they had to come
- 4 together with a partnership. So, in other words, Four
- 5 Corners, Colorado, USA couldn't just come in with a
- 6 proposal and say, "Here we are. We're stand alone. We're
- 7 going to do this." We wanted to know who they were going
- 8 to work with, other partners within the community, and
- 9 would they have the support of the state to make sure this
- 10 could be a successful application, and they would have what
- 11 they needed?
- 12 Robert Peter Denaro: Linda, could you give us a feel
- for the scope of the application? Did you specify in the
- 14 proposal, what kind of things you were interested in?
- 15 Linda Dodge: For Phase I, our total?
- 16 Robert Peter Denaro: Well, for these proposals?
- 17 Linda Dodge: Well, the initial proposal was, just
- 18 basically, almost a Letter of Interest.
- 19 Joseph Averkamp: What does it have to do?
- 20 Linda Dodge: It has to identify what the problem is,
- 21 where is the problem located, what roadway? Is this really
- 22 a rural area? We wanted to make sure it was rural, and not
- an urban or a near urban area.

- 1 Robert Peter Denaro: In general this problem would be
- defined as a safety problem, the cause of severe injury, or
- 3 fatality? What was the scope here?
- 4 Linda Dodge: What is the causation? Essentially, we
- 5 identified what we considered, based on data, to be the
- 6 most relevant areas. They weren't restricted to that. It
- 7 may have been intersections and it may have been lack of
- 8 ability to navigate a curve, or whatever the data supports
- 9 nationally.
- 10 Robert Peter Denaro: The data, being accident data?
- 11 Shelley Row: This is based upon the rural crash
- 12 problem.
- Dr. Adrian Lund: I think this is technology looking
- 14 for an application in the rural area, right? So, you're
- 15 asking local communities to come up with how this
- technology might be used to help them?
- 17 Shelley Row: For our portion it was a little bit
- different for the other funding, but for our portion, we
- 19 needed a technology hook. Is that correct, Linda?
- 20 Linda Dodge: It is. So, basically, we told them what
- 21 we thought the problems were in the rural areas, to give
- them someplace to look. So, okay, here are the areas we
- think you may be having problems, based on what we know of

- 1 national data, which comes in from the states.
- 2 So, then they would look across their states. If the
- 3 DOT was working with the partners, they looked across the
- 4 state to help them identify what roads segments had a
- 5 higher incidence rate of crashes, fatalities, and disabling
- 6 injuries, and so on. Then, what would be the technology
- 7 that could help to correct that problem, and how are we
- 8 going to mitigate those issues, and what kind of impact
- 9 would it have?
- 10 So, we were looking for some local demographics, local
- 11 crash data, and how do you propose to form a partnership to
- 12 address these, and how much is it going to cost? Just give
- 13 us the brief information. So then, that was Phase I. And,
- 14 we had thirty initial applications. Representing twenty
- 15 four were from DOTs supporting some of the local areas. We
- 16 had six non-state, which were usually counties. We had a
- 17 couple of towns. And twenty of those were very much
- 18 collaborative in nature.
- So, after we went through the initial round, then we
- sent out invitations, basically, to those who qualified.
- 21 We sent out invitations for those to come back for a
- deadline of July 7, to provide us with an expanded
- 23 application. Give us your work plan. Give us your budget.

- 1 Give us a time frame for completion. Give us everything
- we're going to need, in order to identify how we're going
- 3 to address this. And, oh, by the way, do you plan on
- 4 evaluating this, because that was part of the RFP, but
- 5 we'll be evaluating this?
- 6 One thing that is different from what we normally do,
- 7 this is not a high risk innovative research type of thing.
- 8 This is using known successful technologies, but
- 9 implementing them in a more innovative way, in a manner in
- 10 which they haven't been used in the past, or tested.
- 11 Robert Peter Denaro: So, these plans that came back
- were requested were to, essentially, choose from a list of
- 13 technologies you provided, or was it more than that?
- 14 Linda Dodge: It was much more than that. We just
- 15 said, "Here are some examples. If you have something
- innovative, absolutely, we're interested in it. But, if
- 17 you have a given technology that's been a proven
- 18 technology, and that's what you want to apply to this, then
- we're open to that, as well."
- 20 Robert Peter Denaro: Can you give us a handful of
- 21 examples of what kinds of technologies we're talking about
- in the applications?
- 23 Linda Dodge: Certainly. For instance, it would be
- 24 ITS related, so no rumble strips, no quardrails. We're not

- 1 talking pavement and concrete and barriers.
- We're talking about ITS technology, such as, there may
- 3 be an aviation technology, such as a runway lighting
- 4 technology that could be applied to a particular roadway
- 5 segment, that would help to differentiate the lanes on a
- 6 two-way road.
- 7 Curve warnings, basically, that could be, if you're
- 8 over the recommended speed, perhaps some type of dynamic
- 9 message sign would be flashing to tell you that there's a
- 10 curve ahead and you're exceeding the speed limit.
- 11 Shelley Row: Radar for curve speed warnings,
- 12 hydroplaning?
- 13 Linda Dodge: You like that one? We have some of the
- 14 reviewers in the room that we can talk to, specifically.
- 15 Shelley Row: Before we get too far out on a limb
- here, these have not been selected or unannounced?
- 17 Linda Dodge: We're in the peer review process.
- 18 Robert Peter Denaro: That's good. We just wanted the
- 19 flavor. We're coming from ignorance, okay?
- 20 Linda Dodge: Okay, but we're doing a little dance
- 21 here, because we don't want to say how many actually came
- in, and we don't want to say how many we're going to be
- able to fund, but we have a target funding of 5.4 million.

- 1 Dr. Kenneth Button: I always find there's a danger in
- these sort of things, when you come along. I was involved
- 3 in an exercise in Virginia, where suddenly, somebody came
- 4 along with some new forms of call boxes, and installed
- 5 them, and discovered everybody had a cell phone anyway.
- 6 And they were not used at all.
- But, because we were offering something, and it was
- 8 where the problem was perceived before the technology was
- 9 put in front of them. When you look at these proposals,
- 10 are you looking back at the track record of people in the
- 11 countryside, to see if they actually do have a problem,
- 12 rather than inventing one?
- 13 Linda Dodge: Absolutely, because they have to provide
- 14 the data, and the data has to be able to support that.
- 15 Dr. Kenneth Button: Not data, but, for example,
- 16 discussions with local councils and agencies about the
- 17 problems before them. I'm not worried about numbers, I'm
- 18 worried about whether there's an actual perceived problem.
- 19 You can always make up a problem. That's not too
- 20 difficult.
- 21 Linda Dodge: If I can just talk about the term,
- 22 "perception." That's a good point, because you and I, in
- 23 our neighborhoods, we always perceive that everybody is

- 1 speeding but us. And so, is this a perception of a
- 2 problem, or is that perception backed up by reality. And,
- 3 in fact, the data proves that.
- 4 We have not asked them to provide City Council
- 5 minutes, or anything of that nature, but in some instances
- 6 they did. In most instances, they provided letters from
- 7 their partners, indicating why they felt it was a problem,
- 8 and what had been done to date about it, and their
- 9 concerns.
- 10 We were interested in knowing if they had already been
- 11 identified and placed in the Highway Safety Plan for the
- 12 state. So, it had risen to that level of interest and
- 13 concern.
- 14 Robert Peter Denaro: It sounds like the technology
- 15 that is being suggested could be both infrastructure, which
- 16 they control, and in-vehicle, which they don't control.
- 17 Linda Dodge: No, we did not pursue any.
- 18 Robert Peter Denaro: That's on the side of the road.
- 19 So, it was all local infrastructure based?
- 20 Joseph Averkamp: I don't want to get afoul the
- 21 procurement process, but what would be the basis for
- assessing whether or not a proposal is a good idea, is
- 23 based on the number of accidents reduced, fatalities?

- 1 Linda Dodge: I didn't bring the specific criteria
- with me, Shelley, but yes, it has to do with the impact -
- 3 the potential impact has to do with the ability to deliver
- 4 this particular, whatever, the implementation of what this
- 5 particular grant would be. Do they have a good opportunity
- 6 for success?
- 7 Robert Peter Denaro: Do you have any more information
- 8 about this? I wanted to ask the Committee about some
- 9 questions, referring to it?
- 10 Linda Dodge: I have a couple of quick things in the
- 11 review process things that I can share with you, that I
- 12 think will give you a little better feeling about it. The
- 13 review teams that were put together for Phase II, we made
- 14 sure that at least two reviewers reviewed every particular
- 15 application.
- 16 One of those reviewers is a subject matter expert at
- 17 ITS in that particular area of technology. And the other
- 18 person was a subject matter expert in highway safety. And
- in fact, some of them, of course, were cross-qualified.
- 20 And then, in addition to that, the DOT team, the
- 21 partners from Federal Highway, and the ITS Program Office
- that are managing the project, also read the applications.
- 23 And, our particular bosses have been briefed, but sworn to

- 1 secrecy. We hope that the Secretary will make an
- announcement on or around the 18 of August.
- Robert Peter Denaro: So, want I wanted to do for the
- 4 Committee here was, although this is new and there's not a
- 5 lot of specificity yet, because you haven't done the awards
- 6 and so forth, I think it is a good chance for us to test
- 7 our process here.
- 8 So, just to quickly walk through the questions, is it
- 9 likely to advance the state of progress? Any comments on
- 10 that?
- 11 Scott Belcher: I think this is important work, and I
- 12 think it gets lost.
- 13 Robert Peter Denaro: Because it's rural?
- 14 Scott Belcher: Because it's rural.
- 15 Robert Peter Denaro: I agree.
- 16 Scott Belcher: And so, I think the amount of money
- that's in play is not huge, but if it's done right, and I
- do think it will be done right, we have an opportunity,
- really, to advance things incrementally. So, I think it's
- 20 a good idea.
- 21 Randell Iwasaki: I think that, not that we don't have
- 22 an application, but I think we have an opportunity to match
- 23 different technologies together, to showcase the fact that
- you can take technology for rural applications and save

- 1 lives. The problem is you don't get a lot of spreading the
- 2 word.
- 3 So, in California, we put actually, I was the
- 4 Project Manager back in the mid-90s on a fog warning system
- 5 that kind of stayed there, but we never expanded it. And
- 6 it was in a rural area of Interstate 5-205, and we
- 7 eliminated all fog-related accidents. But even in our
- 8 state, we didn't expand it. We're just expanding it now to
- 9 Highway 99.
- 10 Robert Peter Denaro: So, that's kind of an employment
- 11 issue?
- 12 Randell Iwasaki: Yes, so these projects help overcome
- some of those barriers because once again, the federal role
- is to document some of the hardships we've had in the
- 15 states, to implement this kind of technology.
- 16 Dr. Kenneth Button: I like the idea. I think you
- 17 should be very careful in selecting things. In my neck of
- 18 the woods, one clearly that needs work is deer detection.
- 19 Deer are the biggest menace for drivers. I'm not quite
- 20 sure whether you can do anything on this.
- 21 But there's a bit of danger sometimes when you put
- 22 this kind of proposal out that, given the resources that
- are available to these rural communities, that may adopt,

- or seek, whatever, a high tech solution to where a low tech
- 2 solution, if you will, may be more cost effective and
- 3 efficient. Is that kind of thing being looked at?
- 4 Alternatives to the ITS approach? Because ITS is not the
- 5 only way of approaching problems.
- 6 Linda Dodge: Well, if it wasn't the appropriate use
- 7 of that particular technology, and if there was something
- 8 else that was more appropriate than that particular
- 9 application, then it would bubble to the top.
- 10 Dr. Kenneth Button: That is assessed?
- 11 Shelley Row: It would be assessed, but it wouldn't be
- 12 funded with ITS money then.
- Dr. Kenneth Button: But, I'm just saying, you do look
- 14 at alternatives which are low tech, as well as high tech?
- 15 Linda Dodge: Which is a good point, because there are
- 16 other funding mechanisms through the Federal Highway
- 17 Administration, that some of these are being bumped to.
- 18 And we might say, "Hey, you might want to look at this
- 19 particular application, or "You may want to seek funding
- 20 under this particular opportunity." And so, they're not
- 21 necessarily put in the trash can.
- 22 Paul is one of the reviewers.
- Voice: Well, the states go through safety audits, and

- they look for exactly those types of things. And in fact,
- 2 some of the proposals talk about the lower cost, lower
- 3 sophistication types of applications that they can and are
- 4 doing, and they're looking at how else might technology
- 5 come in?
- 6 So, there's definitely, through the Road Safety Audit
- 7 Program, looking for the other opportunities to solve the
- 8 safety problems.
- 9 Linda Dodge: And, some of them make commitments that
- 10 if it proves to be successful in this particular area, we
- 11 will commit to do it in other areas of our state. Now,
- 12 that's a nice added touch.
- Robert Peter Denaro: Are there any other comments or
- 14 questions on the deployment?
- Dr. Joseph Sussman: Linda, if I'm understanding this
- 16 correctly, this will extend beyond the end of SAFETEA-LU,
- is that correct?
- 18 Linda Dodge: It's going to depend. I hate that
- 19 question.
- Dr. Joseph Sussman: I pride myself on asking those
- 21 kinds of questions.
- (Laughter)
- 23 Linda Dodge: Technically, it could, but simply

- 1 because some of these are, maybe, a year long, some of them
- 2 are two years. And then depending on the level of data
- 3 that we need, we may go a little longer to make sure we're
- 4 able to validate the results, so that when we attempt to
- 5 replicate this, or offer it for application in other areas,
- 6 we will have a good proof.
- 7 Any other questions?
- 8 Joseph Averkamp: I guess my only observation would be
- 9 that I think it's a good program, and we're not prescribing
- 10 a solution. We're soliciting for them. I think at an
- 11 early stage, this is a good approach.
- 12 Robert Peter Denaro: Okay, great.
- 13 Linda Dodge: Am I free to go?
- 14 Robert Peter Denaro: Yes.
- 15 Linda Dodge: Thank you very much.
- 16 Shelley Row: One thing to note on this particular
- 17 project. If you look at your Budget Summary document, you
- 18 will not find this one on here. This is an example of a
- 19 project that was added, where the administration felt like
- there was an important issue here, though six million
- dollars was added in the FY'09 budget to cover this.
- 22 Randell Iwasaki: So, that's the total amount of
- 23 money? So, you can fund a project up to five million?

- 1 Linda Dodge: No, the RFA clearly indicated there was
- 2 a maximum amount. I don't have it in front of me. I want
- 3 to say, two million was the maximum. Oh, that did say, not
- 4 to exceed five million. I stand corrected. I think it
- 5 said two, but I'll have to go back and check.
- 6 Scott Belcher: Don't get greedy, Randy.
- 7 (Laughter)
- 8 Linda Dodge: I will get back to you on that.
- 9 Randell Iwasaki: My only point is, if you give one
- 10 grant up to five million, then you don't have much left for
- 11 other people.
- 12 Linda Dodge: Let me just say, we've had a lot of
- 13 applications.
- 14 Randell Iwasaki: I'm not trying to pin you down for a
- 15 "yes," here.
- 16 Integrated Vehicle-Based Safety Systems (IVBSS)
- 17 Robert Peter Denaro: Is IVBSS next?
- 18 Shelley Row: Yes, IVBSS is next, and this is Steve
- 19 Sill. Steve is the Project Manager for IVBSS, among
- 20 several other duties, as well.
- 21 Steve Sill: Good morning. I'd also like to introduce
- 22 Jack Terrence, who is back in the audience. Jack is NHTSA
- employee. He is the day to day Project Manager for IVBSS,

- and he is here if for no other reason than to correct me if
- 2 I get it wrong during the questions and answers. He does
- 3 this stuff every day.
- Just to refresh your memory about the program, IVBSS
- 5 is one of the major initiatives at ITS JPO. It's a thirty-
- 6 five million dollar project, originally intended for four
- 7 years, and now slightly longer than that, to develop, and
- 8 then if successful in the development, to field test in the
- 9 real world, an integrated collision warning system that is
- 10 on the vehicle.
- 11 It does not need to communicate with other vehicles,
- 12 and is fully effective, regardless of the equipment on any
- other cars. So, it protects against lane change merge,
- 14 which is where you may move into another lane, and there
- 15 may be a car there, or a car may be moving toward you, or
- 16 road collision and road departure. And in the case of the
- 17 car system only, it also has a curve speed warning
- 18 capability.
- 19 These crashes represent about 60% of the reportable
- crashes, and so we're talking about a very significant
- 21 chunk, potentially, of the 40,000 fatalities every year.
- Now, in the case of IVBSS, we're looking at both an
- automobile system, and a heavy truck system, and both of

- 1 these systems will be tested in the field for a period of
- 2 one year.
- 3 To date, we have completed Phase I, and what we have
- 4 seen so far is that we have fully tested the system. It
- 5 has demonstrated very good performance and very low false
- 6 alarm rates. It has fully met all of its performance
- 7 requirements.
- 8 We have also developed an intuitive platform specific
- 9 human-machine interface. And, the reason I mention
- 10 platform specific, is because both the training and the
- 11 physical environment are very different in a heavy truck
- than they are in automobiles, so the types of warnings
- 13 provided to the driver need to be different if you want to
- 14 elicit the correct responses.
- 15 And, although you could argue that these technologies
- have individually entered the marketplace on some high-end
- 17 vehicles, this system is the first integration of all of
- 18 them on a single vehicle, with the capability to prioritize
- 19 and arbitrate between warnings, and present these warnings
- to the driver in a way that they are not overwhelmed or
- 21 confused by multiple warnings. And in that sense, it very
- 22 much is an advance in the state of the art.
- Now, there's no question that the equipment, as

- installed on these proof of concepts, essentially pre-
- 2 production prototype maturity vehicles, is far too
- 3 expensive. If you wanted to build yourself a seventeenth
- 4 car, and we have sixteen, it would cost a lot of money, but
- 5 there's nothing that would preclude a widespread adoption
- 6 and a much, much lower price point, with high volume
- 7 purchases.
- 8 The primary partners here, in the case of the car, are
- 9 Visteon, and in the case of the truck, Eaton. Both are
- 10 pier one suppliers to their industries, and we would expect
- 11 that products based on some of these technologies developed
- here, will be entering the marketplace relatively soon.
- 13 But the fact remains that this is an intensely
- 14 competitive market, and they're certainly not going to talk
- 15 publicly to anyone about what they're going to be offering
- 16 next year, or the year after that, to their customers.
- 17 Scott Belcher: Steve, who is the heavy vehicle
- 18 partner? I didn't hear you.
- 19 Steve Sill: It's Eaton that's the supplier of the
- 20 system, International Truck is the supplier of the truck,
- 21 and then Conway is the field demonstration partner.
- 22 Dr. Adrian Lund: You said this is the first time all
- 23 these systems have been in a single vehicle. Could you

- 1 list those systems again?
- 2 Steve Sill: For the car, we have curve speed warning,
- 3 but we don't have it for the truck. For both vehicles, we
- 4 have forward collision warning, lane change merge, and road
- 5 departure warning. Lane change merge is radar based,
- 6 forward collision is radar based, and road departure is
- 7 vision based. And, we've also added all of the logic, to
- 8 arbitrate and prioritize warnings, so it is an integrated
- 9 warning system.
- 10 Dr. Adrian Lund: Are there cars on the market which
- 11 have all of these systems now, except for curve speed
- warning?
- 13 Steve Sill: I don't believe anyone is selling
- 14 anything that has all three of them on the vehicle. You
- 15 can buy things from different manufacturers. We're not
- 16 aware of anyone who has a system that integrates the
- warnings, and prioritizes and arbitrates between them.
- 18 The other thing, and this is more intuitive than it is
- 19 scientific, is the performance we've seen from this system,
- 20 especially the false alarm rate, appears to be far better
- 21 than what is on the marketplace today.
- 22 Dr. Joseph Sussman: Steve, again, to test Bob's
- 23 process here, can you help us out with understanding why

- there is a federal role in developing in-vehicle
- 2 technology?
- 3 Steve Sill: I can certainly try. There are two
- 4 primary interests here. One is internal, in that absent a
- 5 field operation test of a system like this, where we give
- 6 it to real truck drivers in the real world, and we assess
- 7 its effectiveness, there's really no easy way for NHTSA to
- 8 know just how much these systems might enhance safety.
- 9 The alternate example there is electronic stability
- 10 control, which made its way in the marketplace, and after
- 11 many years of statistically valid data, it became obvious
- 12 that it is an enormous safety benefit, and it's not
- mandated.
- 14 But, even look at the amount of time that took, and
- 15 how many lives were lost in the interim. You would argue
- 16 if there is a better way to know in advance how many lives
- 17 you might save, then you can hopefully take appropriate
- 18 regulatory action soon.
- 19 Robert Peter Denaro: So, is this project going to
- 20 attempt to measure that?
- 21 Steve Sill: Yes, to the extent we can, will field
- 22 operational tests.
- 23 Robert Peter Denaro: I think you said, the likelihood

- of encountering these events would be small, because of the
- 2 amount of time.
- 3 Steve Sill: The likelihood of an actual crash is very
- 4 small; however, the data acquisition systems were
- 5 sophisticated. So, when you get an event that results in a
- 6 warning, we can review the event, we can review the
- 7 multiple camera video, the audio, and we make a judgment.
- 8 Did the system prevent a crash here?
- 9 And, it tells us a couple of things. First, it tells
- 10 us how many close calls there really are when the average
- 11 driver gets in a car. And how many times we see the driver
- 12 react to avoid a crash, based on the warning, specifically,
- 13 rather than when the guy honks his horn or when he later
- 14 realizes. So, you can make inferences there on how many
- 15 crashes this might prevent.
- 16 Now, we haven't got the kind of budget it would take
- 17 to put hundreds of cars out there, and run millions of
- miles, such that we expect to get hundreds of crashes.
- 19 But, we're doing the best we can, and we do believe we've
- done fairly extensive analysis and we do believe we'll get
- 21 statistically significant data.
- 22 Robert Peter Denaro: Is one of the outputs of this,
- 23 when you said 60% of crashes are from these causes, and

- take one of them, road departure, okay?
- 2 Steve Sill: That is one that has a relatively high
- 3 proportion of fatalities.
- 4 Robert Peter Denaro: So then, you have good
- 5 statistics now on what you believe the number of crashes
- 6 were fatalities for road departures?
- 7 Steve Sill: Sure.
- 8 Robert Peter Denaro: Will this project attempt to
- 9 estimate what the reduction would be?
- 10 Steve Sill: Yes. Further, if the federal funding
- 11 here, and the federal role accelerates the implementation
- of these types of technologies, just by even a few weeks,
- if you look at the number of fatalities, all of a sudden,
- 14 the thirty five million dollars doesn't look like a
- terribly high cost in the scheme of things.
- 16 Robert Peter Denaro: Europe just mandated lane
- departure. For example, they took RESC and they said,
- 18 "We'll trump you, we'll one up you." And in fact, they did
- 19 the collision avoidance, with collision mitigation, as well
- 20 as lane departure for heavy vehicles.
- 21 And they're looking at some kind of data. I don't
- 22 know what kind of data they're looking at, although I've
- seen the rumble strip data, which maybe is an analogy,

- 1 potentially. And so, that is what you're trying to do,
- 2 too, is gather some of this data so that we can make early
- 3 decisions?
- 4 Steve Sill: Yes, you can speed the decision. Even if
- 5 there is no regulatory decision, the fact remains that
- 6 under the new end cap, the presence of lane departure
- 7 warning, and the presence of forward collision warning on a
- 8 car will indeed be shown on a sticker.
- 9 And if we have data here that is publicized, and say,
- 10 "Hey, these are really good things," those things, in
- 11 combination, would cause more people to buy it, we hope.
- 12 Recognizing that we do believe the technology can come down
- to an affordable price point fairly quickly, in which case,
- 14 you get pretty good benefits.
- 15 Dr. Kenneth Button: I would come back to comparing
- 16 this to the Federal Drug Administration, where these costs
- 17 are actually borne by the public sector. And, in the
- 18 Federal Drug Administration, they do all the testing, and
- 19 the government looks at their test to see if it is
- appropriate for the drug to go on the market.
- 21 The federal role is, basically, to assess the results
- 22 produced by the drug companies. And if the drug,
- 23 basically, produces benefits, you accept it. And if it

- doesn't, you reject it. You compare the side effects with
- 2 the primary reaction.
- 3 Now, this seems to me entirely different in this
- 4 approach, where you are actually doing the testing of it.
- 5 I would have thought there would be markets out there, like
- 6 the insurance market, which is probably driving away a lot
- 7 of cars, because certainly in many parts of the world, when
- 8 you have these technologies fitted in the vehicle, these
- 9 countries in the world with market mechanisms, when you've
- 10 got the technology, you've got a significantly reduced
- insurance premium. And that's a big incentive for drivers
- 12 to take it up in the private companies, to adopt them.
- I understand you want the information, so if you can
- 14 ratify a technology, which the insurance company says is
- 15 safe, your insurance premium goes down. But I'm not quite
- 16 sure what the federal role should be, beyond that in the
- 17 market system?
- 18 Steve Sill: But, the insurance industry, I would
- 19 expect, and I'm certainly not an insurance, I mean, this is
- 20 not my area of expertise, but I would expect an insurance
- 21 company would base their premiums on risk data. And,
- 22 absent many million miles of field experience with these
- 23 types of systems, they would not know whether, or to what

- 1 extent, to have premium reductions.
- 2 Dr. Kenneth Button: But, isn't that what the private
- 3 sector does, when it markets the product?
- 4 Steve Sill: But, if you wait for those millions of
- 5 miles and those numbers of years of experience, if the
- 6 system was indeed successful, you have missed the
- 7 opportunity to prevent many crashes and many fatalities.
- 8 And, if we can accelerate the ability to acquire that
- 9 knowledge at a relatively modest cost, we believe that to
- 10 be an appropriate federal role.
- 11 And further, fundamentally, the Food and Drug
- 12 Administration model is a very different model than the
- 13 transportation research model. And we do, indeed, do this
- 14 in many areas of transportation research, where we fund the
- research to develop, and then to field test, the
- 16 technology, and then to publicize the data. And in some
- cases, we mandate the technology, both on the
- infrastructure side and on the vehicle side.
- 19 Dr. Joseph Sussman: Are you are arguing as a market
- 20 failure that is not in the enlightened self interest of
- 21 General Motors or Honda to just do this stuff, and create a
- 22 better position for themselves in the marketplace?
- 23 Steve Sill: I wouldn't argue it's a complete failure.

- 1 I would argue, though, that by priming the pump, we can
- 2 accelerate the speed at which these are addressed, and
- 3 looking at the fatality rate, that that's probably a pretty
- 4 good investment. And further, we get the knowledge of the
- 5 systems performance that we wouldn't get from the
- 6 manufacturer, directly.
- 7 Scott Belcher: There is a market failure, but it is a
- 8 different market failure. It's a market failure, in that
- 9 many of us are not willing to pay incremental costs to get
- 10 these safety applications, because we don't think we're
- 11 going to be the one in the accident. We don't think we're
- 12 going to be one of the 42,000 people. And so, it may be
- that there's a federal role in trying to make that happen.
- 14 Robert Peter Denaro: I would ask Tommy at Honda.
- 15 Steve Sill: Note that Honda is our automobile partner
- in this program. Full disclosure.
- 17 Robert Peter Denaro: My question is, would Honda, or
- 18 any other auto company, find this information useful and
- 19 valuable?
- Tomiji Sugimoto: Yes, I think so; however, there has
- 21 been the study in the similar feature, where technology,
- 22 and also, the key is as you've said, initially, the study
- is very, very good for NHTSA to understand what is the

- 1 advance safety technology, or something like that.
- 2 And also, it is a good chance to consider about how to
- make an integration for the warning system, or something
- 4 like that. So, either the OEM and government study has to
- 5 be corroborated with each other in the future. I don't
- 6 know how to say it, but I think the research, not only the
- 7 OEM, but also the government.
- 8 Dr. Adrian Lund: Can I just make a comment? Part of
- 9 this is, I think, a misunderstanding about how much faster
- 10 something like Electronic Stability Control could have gone
- into the market. If you look at ESC, it's a record pace
- 12 with which it went into the market without any government
- 13 intervention. So, I think there is a role for the federal
- 14 government to understand how this technology is working.
- 15 But, if you think that it's going to accelerate the
- 16 speed with which auto makers are coming up with this
- technology, or other people are coming up with this
- 18 technology, everybody is looking for new technology to
- 19 sell, so that is happening. So, I don't think we could
- 20 have made it go in any faster.
- 21 Look at the current side impact rule making at NHTSA,
- 22 which by 2016 will require that all side air bags provide
- 23 head protection. Auto makers have agreed that every

- 1 vehicle will have side air bags with head protection by
- 2 next September. This is not and it is for other reasons,
- 3 competitive reasons. We do crash tests that show the
- 4 difference, and so on.
- 5 So, we have to be careful with what we think is going
- 6 to happen, in terms of driving this into the market. But,
- 7 the government does have a role in understanding and
- 8 helping all of us understand what are the real effects.
- 9 So, this is good, from that perspective.
- 10 Steve Sill: I would argue that even the smallest
- 11 acceleration, even if it's only a few months, still offers
- 12 substantial benefit.
- Dr. Adrian Lund: But, I would also point out that
- 14 when you do this study, you still won't know what the real
- 15 world effect is. It's very important. All you know is,
- 16 how are drivers responding to it, and is it giving the kind
- of information that you expect it to give, and are drivers
- 18 sharing that?
- 19 But, you won't actually know what drivers do with
- their behavior in response to all of this new information
- 21 that you are giving them. And, that is an important
- 22 concept. People change what they do in driving, if the
- 23 driving task changes.

- 1 Dr. Kenneth Button: The seat belt problem.
- 2 Shelley Row: I have a comment and a question. Let me
- do my comment, then I want to ask a question on what you
- 4 just said, Adrian.
- 5 I wanted the Committee to be aware, and Jack you're
- 6 still here. I don't want to put words in NHTSA's mouth,
- 7 but I wanted to reflect what we've heard in discussions
- 8 with NHTSA, because I had the exact same questions, by the
- 9 way, about this project when it first started. So, I think
- 10 they're very valid questions.
- 11 When we talked to NHTSA about the regulatory role that
- they serve with the automotive industry, they're obviously
- very interested in getting proven technologies into
- 14 vehicles that can help save lives, obviously. The process
- in the federal government to do that is an extremely
- 16 lengthy one that is heavily data reliant. And they have
- been interested in accelerating that process, because they
- 18 think that if they can get the data they need to feel
- 19 comfortable with a rule-making action, that it can in fact
- 20 save lives. And, it could accelerate that.
- 21 So, they've been looking across the board at ways to
- 22 accelerate the process and their data collection approach
- 23 which, up to this point, has been mostly driven just on

- 1 field experience once it's deployed in a vehicle.
- 2 So they've been looking at, can you do operational
- 3 tests, can you do modeling, can you do simulation, can you
- 4 do some of the things that help them be comfortable,
- 5 sooner? So, that is what NHTSA is trying to do, is to give
- 6 them comfort sooner than it would have otherwise. That is
- 7 the statement I was going to make.
- Now, the question I had, coming back to your point,
- 9 Adrian, is we are believing, and I think NHTSA believes,
- 10 that through their combination of the NCAMP Program, Stars
- on Cars, and the regulatory possibility, the wonderful
- 12 thing about a regulation, you don't have to actually do it,
- 13 you just have to talk about doing it, and that actually
- works.
- 15 It's a wonderful thing that that combination of levers
- that they have, in fact, does help motivate driver
- 17 purchasing decisions, and helps motivate the industry.
- 18 Now, are you saying you don't believe that's true?
- 19 Dr. Adrian Lund: It is true, to a small extent, but
- let's take the case of Electronic Stability Control, right
- 21 now. We're trying to hasten people's purchase decisions
- 22 for that, but the fact is that despite, now two and a half
- 23 to three years of really intense publicity, I'm guessing

- that somewhere around 85-90% of people out there have no
- idea of what we're talking about when we say their next car
- 3 should have Electronic Stability Control. It's known by a
- 4 number of different trade names among the automobiles, and
- 5 so on.
- 6 So, what it has done, though, is, you're right, it
- 7 does have the effect of motivating manufacturers to get it
- 8 out there, because there are a certain amount of informed
- 9 buyers who are reading, there's an informed press who are
- 10 reading, and no manufacturer wants to have their car listed
- as behind the curve on anything new. I don't want to
- minimize that there's an impact, but I think we're greatly
- overstating what it is, too. Nevertheless, there is a role
- in knowing what the actual effect is.
- 15 Steve Sill: And we, what UMTRE has done in the past,
- 16 and what we see on the in-car video, and on the data
- 17 acquisition is, it doesn't take long for the drivers who
- 18 get these vehicles to go back to their old habits. Just
- 19 days after they get their car, they get used to it, and you
- 20 start to see all of the behaviors you would expect in the
- 21 real world when you're looking at a warning, when you see
- the cheeseburger in one hand and the coffee in the other
- 23 hand, and steering with the elbows, and all of the other

- 1 kinds of behaviors that we, unfortunately, see a great deal
- of in the real world. And so, in that sense, we get a
- 3 reasonable indication of how we would expect people to act
- 4 in a vehicle.
- 5 Now, what we can't, of course, get is a one year FOT,
- 6 where someone gets a car for six or eight weeks, and
- 7 basically the truck driver drives it for a year. What we
- 8 can't see is how people might adapt a year down the road,
- 9 or two years down the road, with tens of thousands of
- 10 miles, working with the system. And, unfortunately, other
- 11 than spending the money to put that many cars out there for
- that long, I don't think there's anything we can do there,
- 13 although, I'd probably have to ask an engineering
- 14 psychologist about that.
- 15 Robert Peter Denaro: I want to make one comment, too,
- 16 from my personal experience. And, obviously, I'm looking
- 17 at the maps, which is a part of this effort, as well. It
- may or may not be important to some of the other
- 19 applications, but I've done a lot of talking to OEMs, as
- well as some of the system providers here, and my
- 21 experience is that they are desperately wanting this kind
- 22 of information.
- 23 Because it's my opinion, that the decisions made by

- 1 private companies about what to invest in is, yes, there's
- 2 a piece of, that this is the right thing to do and so
- forth. But let's face it, a large part is, what is going
- 4 to sell? And they do not want to spend millions of dollars
- 5 inventing a system that is going to be an option that no
- 6 one orders.
- 7 And there's a lot of that that goes, especially in
- 8 today's environment, that goes into those decisions. If
- 9 there is data available to say, "Gee, if we do this, the
- 10 data are significant enough that, probably, people will
- 11 respond to this, " that helps a lot. So, I would argue in
- 12 favor, and I think it will accelerate the possible adoption
- of these kind of technologies.
- 14 And the other piece is the publicity to people.
- 15 Adrian is exactly right. People don't understand what ESC
- is, but that doesn't mean we should not try to get the
- information across. We've got to figure out ways to
- 18 communicate to people, and that's one of the statements I
- 19 make in speeches I do on this subject, is everyone says
- 20 safety doesn't sell, no one wants to order a safety system.
- 21 And a lot of times car companies don't want to say, "Gee,
- this car used to not be safe, but now we have this option,
- 23 so now it is safe." They don't want to go there.

- 1 But, as soon as there's data out there that can be
- 2 collected by NHTSA, or the insurance industry, or anybody
- 3 else that says, this particular model of car, because of
- 4 this feature, seems to be having about, pick a number, 55%
- 5 fewer accidents. When that data is available, I think it
- 6 will create a pull for people, and we've got to get to that
- 7 point in time, somehow. So, I do believe this kind of
- 8 effort helps.
- 9 Bryan Mistele: When the project, which was originally
- 10 described, was "We're building sixteen cars, and we're
- looking at the interaction between these HMI
- 12 notifications." That is different from what the two of you
- are talking about, which is kind of a broad study of
- 14 collision avoidance systems, and similar technologies, and
- 15 figuring out what the overall impact would be. I'm not
- sure this project gets to where you want to go.
- 17 Robert Peter Denaro: That's why I asked the question
- 18 of Steve, are you actually going to try to estimate, for
- 19 each of these crash types, what the likely decrease might
- 20 be? But, I agree with you. What we heard was, just
- 21 looking at the integration, does that create issues and so
- 22 forth?
- 23 Steve Sill: To clarify, although we will indeed try

- 1 to estimate the expected reduction in each crash type, it
- is only valid for a vehicle equipped with the integrated
- 3 system.
- 4 Robert Peter Denaro: Good point.
- 5 Steve Sill: And so, if you were to run three separate
- field trials, one at point of departure, one lane change
- 7 merge, and one forward collision warning, but there was
- 8 only one type of warning transmitted to the driver in each
- 9 vehicle, and only one type of crash protected against, it's
- 10 entirely possible that you would see different expected
- 11 rates of crash reduction.
- 12 So, we will see what we expect for each type of crash,
- 13 but it's only in the scheme of the vehicle that it's
- 14 capable of providing multiple warnings. And, we might
- 15 expect somewhat lower effectiveness there for any given
- 16 type of crash because of the risk of confusion, and an
- incorrect response to a given warning. You know, swerve,
- when you should have slammed on the brakes.
- 19 That said, we would expect the overall benefit to be
- 20 greater for a system that protects against multiple crash
- 21 types than we would expect that benefit to be for a system
- that protects against only one, even if it is more
- 23 effective at protecting against that only one.

- 1 Tomiji Sugimoto: There is another research called
- 2 ACAT, to estimate the effectiveness of the system in the
- 3 market.
- 4 Robert Peter Denaro: What is that study?
- 5 Tomiji Sugimoto: ACAT.
- 6 Steve Sill: And, there was also the Road Departure
- 7 Collision Warning, which dealt with curve speed warning and
- 8 departure crashes, only. And that was JPO funded, and Jack
- 9 managed it. And, in the case of this program, we have made
- 10 an effort to release far more data than we have in the
- 11 past, to the public. All of the human factors research
- work and the results are out there, publicly. The
- verification test procedures we're using are out there.
- 14 And, we're trying very hard to be as transparent as
- 15 possible, and make the information and results we have
- available to the public, as soon as we can.
- 17 Robert Peter Denaro: We probably have to move on
- 18 pretty soon, but I just want to ask under the three
- 19 questions, the advanced state of the art, and can it be
- deployed, and the appropriate role for government? Are
- 21 there any other comments or questions from the Committee?
- Dr. Joseph Sussman: Well the issue is joined on that
- 23 last question. I think there are a lot of different

- 1 opinions on that question.
- 2 Robert Peter Denaro: I agree.
- 3 Joseph Averkamp: The only observation I would make is
- 4 that, this is a thirty five million dollar program, so I
- 5 think you also have to view it in the context of, how does
- 6 it stack up against a five million dollar program, and
- 7 also, how to use the funds in a different way? We have to
- 8 be considering them.
- 9 Shelley Row: I was just going to make a link from
- 10 yesterday's discussion. This is the vehicle that will be
- 11 at World Congress that will have limited ride
- 12 opportunities, because you have to go a ways to be able to
- experience some of the warning systems. But, the physical
- 14 vehicle will be there, and UMTRE will also have a
- 15 demonstration.
- Robert Peter Denaro: Is this the 11th Avenue?
- 17 Shelley Row: No, it is not.
- 18 Steve Sill: The warnings will function, generally,
- only above twenty five miles an hour. Below that, it's
- 20 impossible to get a reasonable false alarm rate. Further,
- 21 at the lower speed, you're generally not looking at high
- 22 severity crashes.
- 23 Robert Peter Denaro: Is this the Long Island one?
- 24 Steve Sill: It will be available for some very

- limited demos, and we'll probably end up having to cross
- 2 into New Jersey and get onto the turnpike, where you can
- 3 exceed twenty five miles an hour, I guess, during that
- 4 limited non-rush hour time.
- 5 Just very briefly on the program status, the program
- 6 is mostly funded. Phase I was completed. We just started
- 7 Phase II. This year, we expect to obligate just over two
- 8 million dollars 1.99 million are obligated, as of today.
- 9 Next year, 1.2 million, most of the funds required are
- 10 obligated in previous years. Next year is 1.2, we expect,
- 11 unless there is some horrendous cost overrun. And in the
- next year, we expect to complete the fleet build, and
- 13 complete the pilot FOTs.
- 14 Experience has taught us that when you think you're
- 15 ready to go with the full scale FOT, you probably aren't.
- And it is prudent to try a small pilot first, to make sure
- 17 you have the best practices. And then, we'll initiate the
- 18 year long full scale effort. I quess now, Valerie is going
- 19 to cover VII, if there are no other questions for me.

## 20 Vehicle Infrastructure Initiative (VII)

- 21 Valerie Briggs: Mike Schagrin chose a really good
- week to be on vacation. I can talk VII, and make up some
- things on CICAS, and see if you know the difference.

- 1 (Inaudible)
- So, let's start with VII. I'm told that you want
- 3 results, and the big result are bullets two and three.
- 4 This year, we've actually done the proof of concept test
- 5 for VII. I'm assuming all of you know that VII is -
- 6 Vehicle Infrastructure Integration. That's a cooperative
- 7 program between US DOT, all the states, and the automobile
- 8 industry, to develop an information infrastructure for
- 9 exchanging data for safety and mobility applications. It
- 10 started in 2004, and this year we actually did the proof of
- 11 concept test, and we're getting results now, and it will
- 12 continue through September.
- But, what we're learning is, the architecture works
- 14 for the most part. It is not without its challenges. We
- found the DSRC Standards to need to be tweaked, but
- 16 generally, the architecture works. We can exchange data
- between vehicles and other vehicles. And a big
- 18 significance there is, vehicles of different manufacturers,
- 19 and that's the second bullet. And that is one of the big
- 20 reasons the government is involved in this, because it
- 21 requires cooperation between the vehicle manufacturers, the
- 22 different manufacturers.
- 23 Bryan Mistele: Is this still Wi-Fi, where the local

- 1 sensors are along the road?
- Valerie Briggs: It's both vehicle-to-vehicle, and
- 3 vehicle-to-infrastructure. It uses the Wi-Fi, yes and no.
- 4 It is built on a wireless, the DSRC communications
- 5 protocols are built on 802.11 standard, which is also the
- 6 standard that is used for Wi-Fi, but it is not your classic
- 7 Wi-Fi. We've adapted Wi-Fi for the vehicle environment,
- 8 where the vehicles are going seventy miles per hour.
- 9 And, one of the big issues there is whether you can
- 10 start a transaction within the range of one roadside unit,
- and pick it up within another. And you have to be able to
- 12 start that transaction very fast, because you're traveling
- 13 at seventy miles an hour, or sixty five miles an hour, and
- 14 it could take two or three seconds to get that connection,
- and you've probably lost your ability to do a transaction.
- 16 So those are the sort of things we're discovering in
- 17 the proof of concept test. You can do a transaction very
- 18 quickly. You can continue a transaction from one RC to
- 19 another. Those are the sort of things we are learning. We
- 20 haven't finished the proof of concept test. We expected to
- 21 do that in September, and have the results in October.
- 22 We also updated the cost analysis. We started it last
- 23 year, and with this one, we really on some of the

- 1 institutional issues, because we really hadn't started that
- 2 before. And, we have to look at, how do we make this work?
- 3 And, of course, that's the real challenge. So, we've
- 4 started some work with the auto industry, looking at what
- 5 are the legal parameters that we need to be concerned with,
- 6 as well as looking at, what should the institutional
- 7 framework be for implementing this?
- 8 Also, we've started a lot of public awareness
- 9 activities. This has been criticized for being a closed
- program, and we want to change that. We have implemented
- 11 a website this year, but we also expect to really step up
- 12 public outreach activities in the coming year, reaching out
- 13 to new communities that we haven't worked a lot with in the
- 14 past.
- 15 Another thing that happened this year was, Safe Trip-21
- 16 was implemented. You heard about that yesterday. We also
- 17 have been looking at what happens after the proof of
- 18 concept test? This initiative is one that our
- 19 administrator is very interested in, and has had some real
- 20 strong opinions about. So, for the last nine months or so,
- 21 we have really been looking at, what happens after proof of
- 22 concept, and how do we make this program into something
- that can really be implemented in the real world? And,

- 1 what does that mean? So, we've been going through a real
- 2 program redefinition over the past nine months.
- 3 The budget this year was 16.2 million. I would say
- 4 that was under what was originally scoped. And a lot of
- 5 that was for the proof of concept testing.
- 6 Dr. Joseph Sussman: Valerie, in the written material,
- 7 the term "re-scoped" was used. Here, you talk about a new
- 8 program direction. I'm having a little trouble getting my
- 9 arms around what the changes were. What have been the
- 10 changes that have occurred?
- 11 Bryan Mistele: And, I would say, what was the
- 12 feedback from the administrator that led to the changes?
- 13 Valerie Briggs: There have been a lot of issues.
- 14 This program was really started, and put inside of a box,
- 15 and the box was DSRC, and that is a dedicated spectrum that
- 16 the FCC set aside in 1999. We were looking, specifically,
- 17 at what could be done with the DSRC-based infrastructure.
- And, the administrator came in and said, "DSRC is fine, but
- 19 there are many other technologies out there that we should
- 20 also consider. And, how do we make all of this work with
- 21 other technologies, too?" That was one of his big points.
- Another big point was, how do we implement this?
- 23 There has been very little discussion of how this was

- 1 implemented. There was an assumption the government was
- 2 going to take on a major funding role, and as all of us are
- 3 aware, this is a very difficult thing in this environment.
- 4 We're already in a very tight budget situation, and our
- 5 administrator asked, realistically, is that possible? And,
- if that's not possible, what else could we do?
- 7 And so, what else should this program be doing if the
- 8 government isn't going to be taking on a big funding role.
- 9 What else should we be doing to engage the private sector
- and to look at other ways of doing what we're going to
- 11 accomplish through VII?
- 12 Now, what we have realized is, and what those who
- really knew DSRC well know is that DSRC is the only
- 14 technology that we know of now that has the properties that
- are able to do the safety applications that require very
- 16 fast transactions. So, the active safety applications,
- 17 where the vehicle brakes to avoid collision, that's one of
- the advantages. It's also dedicated spectrums, and that's
- 19 very valuable.
- 20 Randell Iwasaki: Plus, it's reliable.
- 21 Valerie Briggs: Exactly. The protocols are built to
- 22 be very reliable, and so there are some significant
- 23 advantages to DSRC. Since it is an open standard, one of

- 1 the challenges is, and we want a non-proprietary system for
- this, how does someone make money on a non-proprietary
- 3 system? And there is the Catch-22, how to get your private
- 4 investment in a non-proprietary system? They're not
- 5 compatible. So, those are the challenges that we are
- 6 grappling with.
- 7 Shelley Row: One thing I would add, Valerie just did
- 8 a good job articulating the changes. One thing I would add
- 9 is, originally, the program was focused, both on safety and
- 10 mobility. And you heard from the administrator yesterday,
- 11 the focus on safety. So, we have refocused the program to
- 12 be almost exclusively on safety and situational awareness.
- 13 That includes some of the weather data we can get off the
- vehicles for the pro-DSRC based transactions. But, a lot
- of the peer mobility work has been de-scoped.
- 16 Valerie Briggs: That is largely because we do realize
- 17 that there are other technologies out there that can do the
- 18 mobility applications, that DSRC doesn't have a monopoly on
- 19 them.
- 20 Robert Peter Denaro: DSRC is like travel information?
- 21 Valerie Briggs: Exactly, it provides information
- 22 about the infrastructure.
- 23 Scott Belcher: It's also important to realize that

- 1 DOT has not been making these changes in a vacuum. When
- 2 they're talking about new business models, they went out to
- 3 the industry to get feedback, when the talked about proof
- 4 of concept, and potentially changing that. And what
- 5 happens to the test bed? They went out to the industry for
- 6 feedback. And so, many of the folks here have had an
- 7 opportunity to continue to provide information as this is
- 8 evolving.
- 9 Dr. Kenneth Button: A statement and a question. My
- 10 statement is simply, taking out the mobility aspect from
- 11 the safety aspect, I think, is dumb, because you ask, how
- 12 do you actually pay for these things and get people to use
- 13 them? Complementary goods are sold together, and that is
- 14 absolutely dumb to separate them out. It really is. It's
- 15 simple economics.
- 16 My question is, though, can you just explain a little
- 17 bit how you do a cost benefit analysis on this?
- 18 Valerie Briggs: We have the Volpe Center doing cost
- 19 benefit analysis. It is, to date, a very classic federal
- 20 cost benefit analysis that looks at the total system cost
- 21 versus the total system.
- Dr. Kenneth Button: The total system?
- Valerie Briggs: We have a pretty good idea of what a

- 1 total system cost would be, because we have an architecture
- and we have a system design. So that, okay, wait a second.
- 3 We have estimated what we think the end vehicle cost would
- 4 be, and we have estimated what infrastructure cost would
- 5 be, and we have some good idea in fact, California has
- 6 done a lot of good research on the cost.
- 7 What we don't have a good concept of is the monetary
- 8 value of the benefits. That is why this has been very,
- 9 very difficult, because while we can classify the
- 10 monitoring value of some of the safety apps, it's very
- 11 difficult to classify the monitoring value of the mobility
- applications of the things that we don't even know the
- 13 system will be used for yet. I mean, this is like the
- 14 internet. How do you do a cost benefit analysis for the
- 15 internet? So, this has been a very difficult exercise.
- 16 Dr. Kenneth Button: I'll ask a philosophical question
- then, since cost benefit analysis is a partially colloquium
- 18 approach, and you can't do it on anything of size, how do
- 19 you do it on a system like this, theoretically? As an
- 20 economist, I ask that question.
- 21 Valerie Briggs: You probably have a better sense of
- 22 how to do it than I do.
- 23 Dr. Kenneth Button: You can't do it. That's my

- 1 point. It's impossible.
- 2 Valerie Briggs: This was originally done, you do cost
- 3 benefit analysis when the federal government is going to
- 4 make a major investment, or when it's making a requirement
- on a state, because you want to know how much it's really
- 6 going to cost.
- 7 Dr. Kenneth Button: They do an assessment, not a cost
- 8 benefit analysis. A cost benefit analysis, in economic
- 9 terms, has a particular meaning. I hope the government is
- 10 not doing the cost benefit analysis.
- 11 Valerie Briggs: There are a lot of issues here, and
- 12 I'm going to move on. I don't know that I can answer your
- 13 questions.
- 14 Bryan Mistele: You say you have a very good idea of
- 15 the system vehicle costs?
- 16 Valerie Briggs: We have a better sense of the
- 17 infrastructure cost.
- 18 Bryan Mistele: For a nationwide deployment, what is
- 19 it?
- 20 Valerie Briggs: What we've done is, we have
- 21 extrapolated what we have done in the Detroit and
- 22 California tests. California has actually done some really
- good analysis of what it cost them to install the test

- 1 materials. And of course, we can make an estimation that
- 2 the equipment costs are going to go down. And, we have to
- 3 assume, based upon assumptions.
- 4 And, we looked at what it would take to do the
- 5 backhaul communications, as well as the road
- 6 infrastructure, as well as the operational cost. We made
- 7 assumptions, which is what you have to do, based upon what
- 8 you think would be required for operation, as well as
- 9 replacement costs, as well as maintenance costs.
- 10 This was looking at a forty year term horizon. It did
- include operating costs. And this was based upon the
- 12 system design that we've actually done in the early part of
- 13 the program. So, it's all assumptions based. We also do
- 14 have some vehicle cost, based on the fact that the auto
- makers are working with us, and are installing the
- 16 equipment in the vehicles. And, we're making assumption.
- 17 We don't know how much the cost of the equipment will go
- 18 down or not.
- 19 Shelley Row: I would just add, this has been a sticky
- 20 wicket, to say the least, and the first go at it was
- 21 premised on that original concept of in the box, that
- Valerie described, which was DSRC only, lots of
- intersections on freeways, all that kind of thing. And so,

- that was where some of the original numbers came from.
- What we are doing now is going back to rethink it.
- 3 And, some of your comments can be most helpful, to say,
- 4 "Well, what if the model was a little different. What if
- 5 it was V to V only, and what does that mean. And what if
- 6 it was V to V, plus V to I, only at high crash
- 7 intersections? What would that look like?"
- 8 Valerie Briggs: We're now using it more as a decision
- 9 tool, as to whether we should go forward, and in research
- 10 terms. So, it's less, what is going to cost on a national
- scale, to implement this by everyone who might be involved?
- 12 And, what do we think are the major benefits and monetary
- 13 value of those benefits from certain sets of applications
- 14 or approaches we can take? How do you compare the various
- 15 deployment decisions based upon some idea, or some other
- 16 cost?
- 17 Dr. Joseph Sussman: This gets to the question I asked
- 18 before on re-scoping questions. What I hear is, there are
- 19 two re-scopes, one broadening beyond DSRC, and the other
- 20 was the unrelenting focus on safety within the context of
- 21 VII. Is that the re-scoping we are hearing?
- 22 Valerie Briggs: There were a lot of re-scopes. Those
- are two of the main ones. Certainly, the expanding beyond

- 1 DSRC, we don't want to abandon that issue because we still
- think it is critical. And then, the safety also, I don't
- think we're abandoning mobility, but our administrator
- 4 wants us to be very focused on safety.
- 5 And the point that you brought up was, originally, why
- 6 it was all done together? With the concept that you can
- 7 piggyback, you can get the value out of mobility benefits.
- 8 So, that is now a challenge, on how do you deploy this?
- 9 Robert Peter Denaro: I have one question, and
- 10 Shelley, this may be in the white paper, also, where we
- 11 consider this near-term. And, I'm struggling a little bit
- with that, because it seems to me that, indeed, while
- 13 you've got the infrastructure deployment necessity, and
- 14 whether it's DSRC, or whether it's striking the right
- agreement with private companies, and so forth, that still
- 16 takes time.
- 17 And the V-to-V, it seems to me, that first of all,
- 18 getting equipment into OEM vehicles is not a quick process.
- 19 And secondly, you need a reasonable population of vehicles
- 20 before they start showing some benefit. And to me, those
- 21 seem to add up to be a more medium term, as opposed to a
- 22 year term. Can you help me with that a little bit?
- 23 Valerie Briggs: What is your exact question?

- 1 Robert Peter Denaro: Well the question is, seeing
- this referred to as a near-term opportunity, one to three
- 3 years, to me, seems to be a lot longer.
- 4 Valerie Briggs: I don't think this is a near-term
- 5 opportunity.
- 6 Shelley Row: The Safe Trip-21 sliver is intended to be
- 7 near term.
- 8 Valerie Briggs: That is the mobility application.
- 9 It's not using the DSRC technology.
- 10 Joseph Averkamp: You're referring to Page Three of
- 11 the draft?
- 12 Valerie Briggs: I'm going to have to speed some of
- this up. This is a much longer term program, and it's
- 14 largely going to depend on whether the automobile industry
- is comfortable with this, and whether they're willing to
- 16 implement it.
- 17 Let's go on to the next slide. One of the things
- 18 we're looking at for the program is, how much vehicle
- 19 infrastructure do you really need? Can we do this at a
- 20 minimum level, other than the infrastructure? And that
- 21 fundamentally changes the architecture, and that
- 22 fundamentally changes how we do things. And security
- becomes much more difficult then, and those issues change.

- 1 But, we think that is probably a more realistic deployment
- 2 scenario than looking at thousands of state and local
- 3 agencies out there, expecting them to implement this.
- 4 So, the next two slides are broken out in terms of how
- 5 the program has been re-scoped. We've worked a lot with
- 6 the administrator in doing this, but the vision here is to
- 7 do a lot more scanning of what technology is available now,
- 8 and what's on the horizon, to make sure we're not ignoring
- 9 some things that are coming out that could make a big
- 10 difference.
- 11 And also, looking at what could we do to accelerate
- 12 the deployment? Is there, for instance, a strategy to
- 13 retrofit the vehicles, or to get the aftermarket industry
- 14 involved in putting different technology in their devices,
- 15 that can then be put into a vehicle that can be used for
- 16 safety? For instance, can you put a DSRC chip in a GPS
- 17 unit?
- 18 Then with the leading architecture and standard for
- 19 DSRC, there is still some standards work to be done. It
- takes a long time to develop standards, and we're well down
- 21 that path. But, it will be 2009 before we can complete all
- of this.
- We're also working with the industry on developing

- 1 certifications processes, to certify that the technology
- 2 meets the FCC standards for DSRC, and we would not do that
- 3 as the government. That would be an industry activity.
- 4 But, we're working with industry to develop a test method
- 5 and to help them establish a process.
- 6 We're still very interested in safety applications.
- 7 We have worked with the auto industry to help them develop
- 8 vehicle-to-vehicle safety applications, as well as others
- 9 that could be used with the DSRC system. And, that
- 10 involves a lot of Con Ops development, as well as doing
- 11 field operational tests. Of course, those are huge tests.
- 12 I think that's most of what's on this slide. Any
- 13 questions here, or shall I go on? I don't want to use up
- 14 all of my colleagues' time. I will take questions.
- 15 Robert Peter Denaro: Why don't you go on, and we'll
- 16 come back with questions?
- 17 Valerie Briggs: Okay. And then, one of the things
- our administrator cares the most about is that we establish
- 19 test beds that are based on open platforms. And my
- 20 understanding of an open platform is that it rises above an
- 21 architecture. It looking at what are the interfaces to
- 22 enable various technologies to be used within a system?
- 23 And so, we're looking at how you establish an open

- 1 platform that can be used for VII, and then, how do you
- 2 establish test beds where industry, or state and local
- 3 governments, or whoever, can come in and test their
- 4 concepts? And, how do we help promote what is going on in
- 5 the industry, and working with industry to develop some
- 6 additional focus on this subject through a series of test
- 7 beds?
- 8 Then, the next two categories are going to be looking
- 9 at the non-technical issues. We're looking at re-branding
- 10 the program. Clearly, there have been some big changes.
- No one ever liked the term, VII, and so, what should we
- 12 rename the program? What is the program? How do we talk
- about the program? We're actually going to hire a
- 14 professional firm to do that work with us on that. That is
- something we, as engineers, aren't good at.
- 16 Where we did develop a website, then we're greatly
- 17 going to expand it to be a knowledge management tool, where
- 18 we do look at other research that is going on around the
- 19 world in this subject. We will provide some resources for
- whoever is interested in the subject.
- 21 Also, looking at the institutional framework, what
- should be the government's role in all of this, and how do
- we help make this happen? And then, the related policy

- 1 issues and legal issues.
- 2 And then, as you said, we really are turning our cost
- 3 benefit analysis into more of a return on investment
- 4 deployment decision tool, to help us look at what research
- 5 should be done.
- 6 And then, of course, our partners care a lot about
- 7 mobility and environment, and we don't want to abandon
- 8 those. So, one of the big things we're going to be looking
- 9 at is, what can we piggyback on to? If we're doing a safety
- 10 system, what can we piggyback on? What are the other
- 11 benefits related to mobility and the environment?
- 12 Shelley Row: One thing I would quickly mention here,
- 13 too. Kay Hartman is here. She's working with Valerie and
- 14 Mike on an applications workshop, and a couple of us talked
- 15 about that last night, the premise being, not necessarily
- 16 VII, but if you got in the presence of all this data that
- 17 we know is coming, what do you do with it and how do you
- 18 use it? So, that is something we're looking to do, later
- in the fall.
- 20 Valerie Briggs: And that would not just be DSRC data.
- 21 The I-95 Corridor Coalition is looking at this. Lucky me,
- 22 I got to say, I don't know what my budget is. We've just
- gotten to this level of re-scoping. We are determining

- 1 what all of this means, and what it costs. And, we don't
- 2 have a defined budget.
- In the past, the VII budget has been about twenty one
- 4 million. I don't know what the new budget will be. That
- 5 is our starting point. But, we have to look at these
- 6 things, to see how much we're going to do in each of these
- 7 areas.
- 8 Shelley Row: When we estimated early on, we estimated
- 9 it was about sixteen million for VII, for the next fiscal
- 10 year, but that will likely change. Also, we're looking at
- 11 CICAS, and that one is changing, as well, in light of all
- 12 the changes to the program.
- 13 Valerie Briggs: If there are no questions, let's go
- 14 to CICAS.
- 15 Robert Peter Denaro: I just wanted to poll the
- 16 congregation on the advanced state of the art, and likely
- to be deployed, and government roles.
- 18 Joseph Averkamp: I guess the one observation, and I
- 19 haven't looked at VII a lot, is one of the challenges to
- the deployment I see with, generally, a nationwide wireless
- 21 network is, how is it going to be best deployed and managed
- 22 by a central authority, which is somewhat counter to the
- 23 traditional way DOT does business, from a federal aid

- 1 perspective.
- 2 So, that's going to be a barrier to deployment. The
- 3 most efficient way to run a network is the way Verizon does
- 4 it and the way Spring does it. And if we're going to
- 5 federalize it, where it's going to be sent off to all the
- 6 states, we'll have to figure out a way so that we don't
- 7 have-
- 8 And that goes from, not just management of the
- 9 network, but spectrum management. There's probably a role
- 10 for somebody to be a spectrum manager, to make sure that -
- 11 you always have issues with competing towers. I mean,
- 12 carriers deal with that all the time. So, that's among the
- issues incorporated in the technology.
- 14 Scott Belcher: For me, a big hurdle is an ability or
- 15 willingness to commit, because technology is evolving so
- 16 quickly, and things are happening so quickly, and I've only
- 17 been around for nine months, but I've been watching you
- 18 guys try to keep up and make sure that you don't lose sight
- 19 of where technology is, and the advances that are happing.
- But if you don't, at some point, commit to something, then
- 21 you will just spend all of your time chasing after the next
- technological advance.
- Valerie Briggs: And, the auto industry is very

- 1 concerned with that, too. They're saying, if we have to
- 2 put something in our vehicle, we have to know what it is.
- 3 We can't put an open platform in our vehicle. What does
- 4 that mean to us? So, we need to define things at a level
- 5 that are practical to them.
- 6 Randell Iwasaki: I think the good news is, the FCC
- 7 sets aside 75 megahertz of spectrum for transportation
- 8 purposes, so that's kind of the focal point of
- 9 communications. The Safe Trip-21 kind of goes the next step,
- 10 and that is to test, are there aftermarket solutions?
- 11 Because VII, when we first started, the communication
- 12 technologies were imbedded in the car.
- 13 With Safe Trip-21, we are talking about communications
- 14 that are different. There are PDAs and cell phones, and
- 15 things like that. So, what are the next generation of
- 16 communication devices that will allow us to do some things
- 17 we're trying to do with VII? We don't know. That's one of
- 18 the tests.
- 19 Robert Peter Denaro: Randy, from the California
- 20 perspective, I guess with transportation, do you see the
- 21 likelihood of deployment of something like this?
- 22 Randell Iwasaki: We have always, because we are one
- of the we finally made the test bed. We started

- 1 installing our DSRC radios for the World Congress, and we
- 2 knew we weren't going to put those everywhere in California
- 3 by ourselves. We didn't have the money, and it just
- 4 doesn't compete with the overlay and interchange.
- 5 So, we tried to go and be open-minded about it. Okay,
- 6 if this truly is a technology we want to use, then how do
- 7 we do it where there's an incentive for the private sector
- 8 to come forward? And, that's why we have all these
- 9 partnerships with others. And originally, in order to be
- in the test bed, you had to use DSRC, you had to use
- 11 certain software, everything had to be consistent.
- 12 And I think the step forward, which in Scott's mind
- may be a step back, is we're going out, saying, "Okay, what
- 14 else is out there?" I think that's what really keeps us in
- 15 the game, is that there are other options. At the end of
- the day, we're going to have safety benefits, and
- hopefully, the mobility, because, that is the piece,
- itself.
- 19 Bryan Mistele: I would add, as originally envisioned
- it, vehicle-to-infrastructure, I still remain very
- 21 skeptical if we're going to see a nationwide deployment of
- 22 any infrastructure, given the cost that won't be borne by
- 23 the government or the private sector, then you'll have to

- go to vehicle-to-vehicle.
- 2 My concern there is that the private sector is already
- 3 doing a lot of the efforts and a lot of investment today.
- 4 So as currently envisioned, and again, I'm not an expert at
- 5 all in VII, but we all wonder if it will be deployed?
- 6 Randell Iwasaki: When you get to the next topic, that
- 7 is really when you talk about intersection of collision
- 8 avoidance. You have to have something that is not going to
- 9 drop on you. Communication can drop. The cell phone
- 10 signal is weak in that area. At least from a DOT
- 11 perspective, you're betting a lot of money on the fact that
- 12 whatever we're going to do, it has to work 100% of the
- 13 time. If it doesn't, you're in deep trouble.
- 14 Valerie Briggs: If this research spurs the private
- 15 sector to provide the technologies that would allow the
- 16 automakers to build vehicles that didn't crash, to prevent
- 17 an accident, then it has accomplished its purpose. It
- doesn't have to be done in a design that the federal
- 19 government comes up with.
- 20 Randell Iwasaki: The whole premise was, cars refusing
- 21 to crash, cars refusing to run off the road.
- 22 Valerie Briggs: If some company comes up with the way
- 23 to do that, then we will have accomplished our goal. And,

- if it's affordable to the general public-
- 2 Robert Peter Denaro: You can retire?
- 3 Valerie Briggs: Right.
- 4 Joseph Averkamp: I see where Bryan's coming from,
- 5 from the perspective of the intermingling of safety and
- 6 mobility. And I know, to Ken's point, that mobility is
- 7 what sells. And actually, that is why so much of the
- 8 private sector is peeling off of those apps and making
- 9 money at it.
- 10 Now, people want to talk about downloading movies and
- 11 stuff, but they've been doing it for about three years. As
- 12 far as probe data, their study is done around probe data.
- 13 So, all of the valuable assets have been peeled off. Also,
- 14 when you start to talk about the need for commercial to
- 15 interplay with safety, when you look at what the FCC did
- 16 with the 700 megahertz spectrum and the D-Block, which
- 17 they're trying to raise 1.3 billion for, they only got one
- 18 bid for 470 million. When it didn't meet the reserve
- 19 price, they took it back.
- 20 And the reason why people were reluctant to bid on
- 21 that is that, when you have the requirements for public
- delivery that are intermingled with your commercial
- requirements, it makes the spectrum less valuable. People

- are not sure of what the requirements are going to be.
- 2 If you talk to people who were looking to bid on the
- 3 spectrum, it's because many of the state public
- 4 communications officials wanted things like, ruthless pre-
- 5 emption, that is, if there's a hurricane in Florida, I want
- 6 to kick every consumer off my network and make it just
- 7 available to first responders.
- 8 The challenge with that is that, that's exactly the
- 9 time that I, as a consumer, want to use my phone. So
- 10 consequently, I'm not going to subscribe to that service.
- 11 And so, I just think we have to be a little bit careful
- 12 about overestimating the value of the mobility
- applications, especially if they're intermingled with
- 14 safety.
- 15 Bryan Mistele: And to your point, a nationwide
- 16 wireless network, regardless of whether it's Wi-Max or Wi-
- 17 Fi, or whatever, is very expensive. We have a history of
- 18 those. Your other point, obviously, as with On-Star and
- 19 investing, that service exists for safety and security.
- 20 That is how they make their money. So, clearly, safety
- 21 sells.
- 22 And so, I quess from an environment-scanning point of
- 23 view, we do want to look at what the car companies are

- 1 doing. (Inaudible)
- 2 Shelley Row: One of the things I would share with you
- is, and you are welcome to comment as well, we do work with
- 4 the automotive industry quite a lot in this program, and
- 5 they come to us and tell us where they need our help.
- 6 So, for example, even in the vehicle-to-vehicle, where
- 7 they are perfectly capable of doing the research and the
- 8 applications for that, what they've asked us to do is the
- 9 security work in the middle because it's so critical, you
- 10 can't have a bogus car communicating with a real car. And
- so, they've asked us to step in the middle of that.
- 12 And so, we're trying to work with them to do some of
- 13 the security work, to study some of the scalability for the
- 14 vehicles to communicate with vehicles. And, if you think
- of the number of vehicles in the world, it's an enormous
- 16 load of information.
- So, is it scalable and reliable? Would it be at that
- scale, and some of those issues? And so, that is where we
- 19 are trying to, in the white space we talked about, that is
- where we're trying to work in that white space, to
- 21 facilitate the automotive industry.
- 22 Scott Belcher: And, you also help them bridge the
- anti-trust gap, as well. That's an important function.

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1 Robert Peter Denaro: One thing I struggle with a
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- 2 little bit, in understanding this, is the integration of
- 3 this with the previous discussion on the IVBSS. What is
- 4 the end stage that is going to make sense, in terms of
- 5 autonomous systems, etcetera, on the vehicles, versus this?
- 6 So at an intersection, with the new scanning lasers,
- you can detect a vehicle coming and it's extremely reliable
- 8 and so forth. Do you detect curve warning, if that's in
- 9 the vehicle, or is that an infrastructure thing? So, what
- 10 is the likely intersection of those technologies which
- 11 makes both of them work better, and at the right price
- 12 point and so forth?
- Robert Peter Denaro: Now, just to check on time,
- 14 CICAS is the next one, is that right?
- 15 Valerie Briggs: We can go real fast through CICAS.
- 16 Robert Peter Denaro: That's what you think. You
- 17 thought you were going to go fast through this one. Just
- for everybody, we had planned a break at 10:15, but I would
- 19 suggest we go through CICAS, and everyone will be motivated
- 20 to move quickly. Then we will take a break after that one.
- 21 Cooperative Intersection Collision Avoidance Systems (CICAS)
- 22 Valerie Briggs: Like I said, Mike Schagrin is really
- 23 the manager of CICAS. With VII, I know it, and with CICAS,

- 1 I'm going to do my best.
- 2 CICAS is really three different programs. One is the
- 3 Intersection Violation Warning Program, where you get a
- 4 warning light in an intersection, for the most part, the
- 5 signalized intersection.
- 6 Then, the next one is actually helping you to do a
- 7 left turn in an intersection, because of course, there are
- 8 a lot of accidents in left turn movements.
- 9 And, the other one is helping you to judge a gap at a
- 10 stop signed intersection. There are a lot of accidents at,
- 11 particularly, rural intersections, where people misjudge
- 12 gaps.
- And so, it's really three programs. We're working
- 14 with different states and universities. This has largely
- 15 been the University of Virginia, this has largely been
- 16 California, and this has largely been Minnesota. I believe
- 17 that's true.
- 18 And, the CICAS Violation Program has just completed
- 19 Phase I. Phase I was developing the concepts and doing a
- 20 lot of human factors research on the driver vehicle
- 21 interface, and then actually conducting and developing
- 22 prototypes, and conducting major test track testing of
- those prototypes.

- 1 They just completed that in Virginia and did get very
- 2 good results from this. I'm told that it went extremely
- 3 well. And, they actually did use real drivers, not
- 4 engineers. And so, they recruited average people out
- 5 there, and used them at the Virginia Tech Test Track.
- 6 This is a program that NHTSA is very involved in, and
- 7 is actually leading for us. We are very excited about it.
- 8 And, the next major step in this would be a major field
- 9 operational test. And like I said, as you know, field
- 10 operational tests are really expensive, because it's
- 11 implemented in the field. We don't feel that we have the
- money at this time to do a major field operational test,
- and so we have put the brakes on this program at this time.
- 14 But we may be picking it up and have some of the testing
- done through VII, in the future.
- 16 Robert Peter Denaro: I'm sorry, I don't completely
- 17 understand what "violation" means?
- 18 Valerie Briggs: Violation means it's just, if you're
- 19 about to run a signalized intersection, that you'll get a
- 20 warning in your vehicle saying "stop."
- 21 Robert Peter Denaro: Traffic light, but not stop
- 22 sign?
- Valerie Briggs: I believe it's just traffic signals.

- 1 Yes, Gregg is confirming, this is just traffic signals.
- 2 So, what happens is you have is, you have the intersection.
- 3 There's a timing on the signal, itself, that sends your car
- 4 a message that says, you're about to violate.
- 5 Robert Peter Denaro: This is not like a camera in the
- 6 car, sensing if this is a signal?
- 7 Valerie Briggs: It's from the intersection, yes.
- 8 It's DSRC based.
- 9 Shelley Row: That's the other reason we put the field
- test on hold, with all of the re-scoping and refiguring, to
- 11 see what makes sense. It's not clear, when you're talking
- on the order of fifteen million dollars for the field test
- 13 component, which it is. So, we wanted to let this shake
- out a little bit more before we make the investment.
- 15 Valerie Briggs: In order for this to work, you can
- 16 put it on a major portion of the signalized intersections
- in the U.S. So, it would be a huge deployment cost.
- 18 Robert Peter Denaro: Well, maybe. But, when you put
- it on one, you get the benefit there.
- 20 Valerie Briggs: You do. This doesn't necessarily
- 21 have to be universal. In fact, in the VII calculations,
- 22 most of the vast majority of the ROCs were signalized
- 23 intersections.

- 1 Robert Peter Denaro: My point was, if you did add
- 2 that to all of the signalized intersections in the U.S.
- 3 that had the most accidents, and you started working your
- 4 way down the list, after ten, you're making a substantial
- 5 contribution.
- 6 Valerie Briggs: That's very true.
- 7 Dr. Joseph Sussman: In the written material, you
- 8 characterize CICAS as research within the re-scope of VII.
- 9 Valerie Briggs: They started out as separate
- 10 programs. We're doing everything we can to merge them.
- 11 They are, of course, based on the same technology, and
- 12 there has been interaction. It's a lot of the same people
- 13 from the automotive companies, but it is two different
- 14 groups. It's more of the safety engineers, whereas with
- 15 VII, you work with a group called the VII Consortium, which
- is more the policy level people. And so, we are merging.
- 17 Dr. Joseph Sussman: We non-fed mere mortals sometimes
- 18 have trouble following all these acronyms. You talk about
- 19 VII, you talk about Safe Trip-21, you talk about CICAS.
- 20 Valerie Briggs: And up here, I had to look up what
- 21 DVI was, and what DII was, myself. And that is one reason
- 22 why, and Shelley has been a big proponent of this, is that
- 23 we're all engineers, well most of us are engineers. And we

- 1 come up with these terms that make sense to us, but they
- 2 may not make sense to everyone, and we're trying to become
- 3 much more friendly to how we talk about our programs.
- 4 Dr. Joseph Sussman: I'm less worried about particular
- 5 acronyms like VII than I am about names of programs which
- 6 turn out to be largely the same, with different names.
- 7 Valerie Briggs: Well, we started out as in-house
- 8 research programs, and while that's fine for us in house,
- 9 it doesn't make sense when you're talking to the outside
- 10 world, which is why we're trying to talk about them in the
- 11 same program.
- Dr. Kenneth Button: Besides reporting back to
- 13 reporting back to my friends in Virginia that the engineers
- 14 are not real drivers, which I'm sure they're going to love
- to know, I have a couple of questions. The first one is
- 16 actually on testing. Is this testing also about human
- 17 reaction to this information?
- 18 Valerie Briggs: It is.
- 19 Dr. Kenneth Button: My tendency, if I hear the fact
- 20 that the light is going to change to red, is to put my foot
- 21 down, not slow down.
- 22 My second question is, do we look at why people have
- 23 some of these problems? For example, my difficulty is

- having taken someone to Baltimore last weekend, I kept
- 2 going through stop signs. And the reason was simply, they
- 3 were just not painted on the road properly. The sign on
- 4 the pole had fallen off, or whatever. Now one reason that
- 5 happens is the local government doesn't have the resources
- 6 to maintain one of the most primitive forms of information.
- Now, if you're going to suggest putting in these
- 8 really rather more expensive forms of information, I'm just
- 9 wondering how you're going to get full implementation,
- 10 given the fact that they can't maintain what they've got?
- 11 Valerie Briggs: And, that is an ongoing problem with
- 12 all of ITS. We can study this technology, but whether or
- 13 not it will be implemented in the form we envisioned it is
- 14 something that we have a real hard time with having to get
- 15 control over.
- 16 And, we are doing quite a lot of human factors
- 17 research in both of these areas. In fact, this one, the
- 18 Stop Sign Assist Program, has a pretty active human factors
- 19 research, looking at how the driver reacts to information
- on the roadway. So, that is the message.
- 21 Dr. Kenneth Button: They normally haven't got
- anything, because they're missing something in practice.
- 23 Valerie Briggs: That is a different funding program.

- 1 Alfred Foxx: What you're saying is in real world
- 2 reality, the funding for maintaining the basic
- 3 infrastructure is kind of scarce.
- 4 Valerie Briggs: I know, I know.
- 5 Alfred Foxx: So, with all of the technology that
- 6 you're presenting, you say, well, how does that really make
- 7 streets even safer, when just the basic thing is not there?
- 8 Randell Iwasaki: I think that's a great question.
- 9 This has to do with signalized intersections.
- 10 Alfred Foxx: I understand signalized intersections,
- 11 but there are certain cues on signalized intersections that
- 12 most of the human persons that are driving key on, like the
- 13 stop bar. I know, in Baltimore, we have stop bars and
- 14 crosswalks.
- 15 (Crosstalk)
- 16 Randell Iwasaki: The controller, itself, can be VII
- 17 rated. There's not a lot of maintenance, per se, on
- 18 controllers, and so you've got your normal infrastructure,
- 19 with just little add-ons. You have a little sticker that
- 20 says VII ready on your controller. I'm not sure what you
- 21 do with a stop bar, or the lack of a stop sign.
- 22 One of the proof of concepts that we're looking at on
- VII, is on vehicle signage. If your car is warning you,

- 1 there should be a stop sign there.
- 2 Alfred Foxx: Even with that, there are some
- 3 environmental things you have to be worried about,
- 4 especially in an urban environment where you have trees
- 5 growing, and things that would interfere with the signals.
- 6 Valerie Briggs: That's a good point. This was line
- 7 of sight based testing, and that is one of the big issues.
- 8 Does DSRC work over the horizon, or urban canyons?
- 9 Okay, Signalized Left Turn Assist, this is the
- 10 California program we're working on, to look at what we can
- 11 do to improve left turn movement and reduce left turn
- 12 related crashes. There has been, I believe this was in the
- early stages, there's been a concept of operations
- 14 developed, and there is some research looking at that
- 15 concept of operations and how it works with the signalized
- 16 intersections. If you have more questions, I'm going to
- 17 refer you to Gregg Davis in the back. Again, this is
- 18 communication from the traffic signal.
- 19 Joseph Averkamp: I don't want to get too deep into
- 20 the concept of operations, but how does it detect the
- 21 approaching vehicle? Is this radar?
- Valerie Briggs: This is DSRC-based, also.
- 23 Joseph Averkamp: So, it requires the oncoming vehicle

- 1 be equipped?
- 2 Valerie Briggs: The assumption in this program is
- 3 that VII was going to equip all the vehicles. That is why
- 4 this program is one that we're rolling out.
- 5 Joseph Averkamp: So, the information on that is sent
- 6 to the vehicle?
- 7 Valerie Briggs: Yes.
- 8 Greg Davis: Actually, there was room for a sensor in
- 9 the roadway. Adding to what Bob said, the second program
- 10 there, the Left Turn Assist and Stop Sign Assist, are
- originally programs designed to give drivers supplemental
- information that would help them to have a proper cap,
- 13 whether at a signalized intersection, or a rural
- 14 intersection, which rural Minnesota is doing in the stop
- 15 sign assist. Under those two conditions, they're using a
- 16 combination of lasers and radars to detect the presence of
- 17 road signs.
- 18 Shelley Row: With stop sign assist, part of this
- 19 program is intended to be an early way of deploying a
- 20 technology to help with that particular problem. So, it
- was originally conceived as a pre-DSRC, pre-VII
- 22 application.
- Dr. Adrian Lund: I'm having difficulty imagining how

- 1 the left turn assist works. What is it doing? I'm trying
- 2 to think of when you need that assist.
- 3 Randell Iwasaki: If the car is coming too fast and
- 4 you misjudge the gap, and you go ahead and make the left
- 5 turn, and they hit you. This system will warn you, "Don't
- 6 make the left turn, because the oncoming car is coming too
- 7 fast." You're not able to judge that. That is one of the
- 8 accidents.
- 9 Dr. Adrian Lund: But, I can predict how this is going
- 10 to be set. This is going to be set at a very cautious
- 11 level. It's going to be like curve speed warnings out
- there on yellow signs, which people regularly ignore
- because they're set very, very low. This is going to be
- 14 giving information that is going to tell people, "Don't
- turn, this car is coming too fast."
- 16 And those people are going to be sitting there, and
- they're going to say, "Well, this thing is really
- 18 conservative." And then, it becomes information that they
- 19 don't use. Because I can't imagine you sitting at the true
- danger point, that is if you floor it, you can't make it.
- 21 I'm just trying to figure out, if I'm a driver, when
- does it help me?
- 23 Greg Davis: The last scenario with a rural signalized

- 1 assist, and also these are the accidents that the states
- 2 have observed that at these particular stop-controlled
- 3 intersections, the accidents that are involved are
- 4 typically 70% are either A, B, or K classifications, so
- 5 they're very severe hits, because of high speeds on the
- 6 major arterials. So, this system is assisting drivers
- 7 trying to make a left turn, through a divided highway.
- 8 Now, what happens when we look at the human factor
- 9 studies, is we get a bunch of data collected that shows the
- 10 accidents were violations of all the human factors. They
- 11 were daytime accidents, clear weather, clear sight
- 12 distance, there was no driver distraction involved. They
- were just poor judgments, and the driver may not have been
- 14 paying attention to the road, and they pull out with a gap
- of less than two seconds, or even less than that, and they
- 16 are hit.
- 17 So, the intent of this infrastructure based device is
- 18 to warn them and to give them a little heads up that
- 19 there's traffic approaching from the left, from the right,
- or across the road, and you should not go.
- 21 Dr. Kenneth Button: Let me just ask, who are the
- 22 people doing this work? Are they sociologists and
- 23 psychologists?

- 1 Greg Davis: They are a combination of engineers and
- 2 psychologists at the University of Minnesota.
- 3 Dr. Kenneth Button: I mean, it's a human first, and
- 4 engineering second. Human factors may dominate everything.
- 5 (Inaudible)
- 6 Shelley Row: And, that is the Stop Sign Assist
- 7 Project, isn't it Greg?
- 8 Greg Davis: Yes.
- 9 Shelley Row: The signalized Left Turn Assist, that's
- 10 in the very early stages, and we just had a review on that
- just recently. And the original technology, while it's
- 12 valid, we think there are other technologies that might be
- 13 equally or better suited to the problem.
- 14 So we're actually going to go back to rethink a little
- 15 bit, to make sure we're really looking at optimal choices.
- 16 It's a very real problem. It is just we are looking at the
- 17 right technology options?
- 18 Dr. Adrian Lund: I recognize the problem. I just
- 19 can't figure out how this actually works.
- 20 Greg Davis: With many of those crashes, obviously, if
- 21 we see the vehicle coming to us, we're not going to make
- 22 the left turn. But in many cases, we don't see the vehicle
- 23 because of the queue of vehicles in opposing lanes making

- left turns or whatever, we don't see them, and we go
- 2 anyway. Those are the kinds of situations where a
- 3 supplemental warning can add value.
- 4 And even if you don't believe, you know, you're making
- 5 a turn and you realize the thing goes off early, and so
- forth. If you can't see and you're taking a chance, you
- 7 are going to pay attention.
- 8 Joseph Averkamp: So, I think the biggest challenge to
- 9 deployment here, Bob, is the number of DSRC units deployed
- in the vehicle?
- 11 Shelley Row: That's for the first one. The second,
- too, is it's an option they don't require in the vehicle.
- 13 Robert Peter Denaro: I know of work going on in
- 14 Europe right now, for example, where they're using a lot of
- 15 in-vehicle cameras and that sort of thing, to detect stop
- 16 signs and signals, and so on.
- 17 Valerie Briggs: And, I also believe these are not the
- 18 technologies that have to be connected to the vehicle,
- 19 necessarily. It could be aftermarket.
- 20 Robert Peter Denaro: Good point.
- 21 Shelley Row: I'm not sure we're completely clear on
- 22 the stop sign one. As Greg said, if there is a divided
- 23 highway kind of a scenario where you're misjudging the gap,

- 1 it's a driver infrastructure interface. So that's not an
- in vehicle warning. So, the roadway knows you are there,
- 3 because of the combination of laser and radar, and it is
- 4 able to tell you via a sign on the road. That's why I-to-
- 5 I, the driver infrastructure interface is a sign. And
- 6 that's why it was considered to be a very early application
- of technology that would predate a VII application.
- 8 Dr. Kenneth Button: So, this would replace the
- 9 physical sign, which Baltimore can't afford to provide?
- 10 Shelley Row: That would be it.
- 11 (Crosstalk)
- 12 Robert Peter Denaro: I'm sorry, would you say that
- 13 again?
- 14 Greg Davis: Part of the stop sign assist and the
- 15 signalized left turn assist, these are simply advisory
- 16 signs, so they're only telling a driver when it's not safe
- 17 to go. We do not give any information for the driver to go
- 18 ahead.
- 19 Dr. Adrian Lund: So, where is that displayed?
- 20 Greg Davis: The display, which one, for the stop sign
- 21 or the signal?
- Dr. Adrian Lund: It sounded like you said they were
- the same, but I need both of them.

- 1 Greg Davis: For the stop sign, there are two signs.
- One is located in the median, and the other is on the far
- 3 side of the intersection. For the signalized intersection,
- 4 the infrastructure interface is mounted on the mast.
- 5 Dr. Adrian Lund: And, that gives speed back to the
- 6 person?
- 7 Greq Davis: Yes.
- 8 Dr. Adrian Lund: So, this is another place the person
- 9 should look when they looking to see if traffic is coming?
- 10 Greg Davis: That's correct.
- 11 Tomiji Sugimoto: There are many options.
- Dr. Adrian Lund: It could be in the car, but this is
- just sign enhancement.
- 14 Valerie Briggs: Right now, yes.
- 15 Dr. Adrian Lund: This is just sign enhancement.
- 16 Greg Davis: There are two things going on here. One
- 17 is the hidden communications the driver does not really
- 18 see, and the other component is the actual interface, which
- 19 can be based in the infrastructure, on the vehicle, or
- 20 potentially on the road, or both. We just need to do
- 21 further research to find out which is more effective.
- 22 Randell Iwasaki: I wanted to say, we built one at the
- 23 2005 World Congress in San Francisco. We have the

- 1 technology deployed.
- 2 Valerie Briggs: Any other questions?
- 3 Robert Peter Denaro: Any other questions on the
- 4 likely to be advanced state of the art, likely to be
- 5 deployed federal role? Okay, good.
- 6 Valerie Briggs: Okay.
- 7 Robert Peter Denaro: Valerie, thank you. Good job.
- 8 Okay, I think I would propose a break. So, what did we
- 9 plan? We planned it for fifteen minutes, so let's be back
- 10 around ten past.
- 11 (Recess)
- 12 Clarus
- Robert Peter Denaro: Alright, I believe we're going
- 14 to talk about the weather next. Shelley, did you want to
- 15 say anything?
- Shelley Row: This is Paul Pisano. Paul is in the
- 17 Operations Program. Linda Keefer is the JPO staff person
- 18 manages the Road/Weather part of the program, but Paul is
- 19 working on it every day. It's his full time job with
- 20 Federal Highway. He's been managing this program for quite
- 21 a number of years, and does an excellent job. He will be
- 22 talking to you about Clarus.
- Now, just so you know, the legislation requires a

- 1 weather program and, in fact, specifies a certain amount of
- 2 money in the budget to be set aside for a Road Weather
- 3 Program. A part of that program is the Clarus Initiative.
- 4 So, it is not all the road/weather program, but it is the
- 5 major research initiative that is a part of the program.
- 6 Paul Pisano: Thank you. Again, for those of you not
- 7 familiar with Clarus, first of all, it is not an acronym.
- 8 It's the Latin word for clear. We did try to stay away
- 9 from the acronym side of things.
- 10 But, the whole idea here with Clarus is that, number
- one, there is a difference between what happens up here and
- 12 what happens on the pavement when it comes to weather. We
- 13 know that when snows, and the snow doesn't stick to the
- 14 road, that we need to know what's happening on the roadway,
- itself, when it comes to weather.
- 16 And the other position that we have is that if you had
- 17 more timely, accurate and relevant information about what
- 18 is happening, and what is going to happen with the forecast
- 19 side of weather, you, whether you are a traffic manager, or
- 20 a maintenance manager, or a traveler, or a truck driver, or
- 21 whomever, could make a better decision.
- 22 So, we're all about information and providing more
- 23 timely, accurate and relevant information about weather and

- 1 road conditions because of the weather. So, we start with
- 2 that, and recognize that we know that if you have better
- 3 road/weather information, you can make better decisions.
- 4 Safety ETs have been doing this for years when it
- 5 comes to winter maintenance, and have made huge investments
- in sensor systems, and there are now about 2,500 sensors
- 7 along the sides of the road that are collecting data. The
- 8 problem was that data was not being quality checked, the
- 9 data was not going anywhere except, maybe, to the state, or
- 10 to the state's private sector vendor, and we were losing a
- 11 huge asset.
- 12 So, Clarus is all about assimilating all of these
- observations, quality checking them, and then disseminating
- 14 them out to the community, both public and private sector,
- 15 to use these improved observations and turn them into
- 16 better road/weather information products. So, that is what
- 17 Clarus is all about.
- So, what we've been doing over the past couple of
- 19 years is designing and developing this database management
- 20 system, which we did. And that system is run now by one of
- 21 our contractors. Now, we are at the point where we said,
- "Okay, we've got the system developed. Let's show what we
- 23 can do with these observations through a regional

- demonstration." The regional demonstration is in three
- 2 phases.
- 3 The first phase, which was completed in January of
- 4 this year, was to work with teams of states to say, "If you
- 5 had Clarus information, how would you do your job better?
- 6 What type of products and services would you want, to
- 7 better manage the system?"
- 8 We had three teams come up with their concepts of
- 9 operations, of what they would want to have in house, as
- 10 group information services, to better manage the system
- 11 because of the weather.
- 12 And out of that came some common themes of better
- 13 traffic operations, a better sense of what is happening on
- 14 the roadway, better winter maintenance, better traveler
- 15 information, and more infrastructure-based systems like a
- better weight restriction system. So I know I'm not
- 17 letting my trucks drive down roads where the pavement is
- 18 going to be damaged because the subsurface layers are going
- 19 through freeze/thaw cycles. So those are the types of
- 20 concepts they developed.
- 21 The second phase is with the theme done in parallel,
- 22 which is to get as many states connected to the system as
- 23 possible. We started this fiscal year with six states, and

- 1 we added twelve new states. And, I'm going to go ahead and
- 2 tack on one slide here, that's not in the package, of the
- 3 current status of the system. You can see all the states
- 4 in green are those that are connected to the system, who
- 5 are providing their road/weather observations into the
- 6 database management system, and we're working with others
- 7 to get connected. So, our second phase of the regional
- 8 demonstration has been to get these other states connected.
- 9 You also see, that not only are we looking at just the
- 10 U.S., but we're working closely with Canada, who is
- 11 developing a similar system called, Road Weather
- 12 Information Network. And, we're bringing data from British
- 13 Columbia, the Yukon, and Alberta, as well.
- 14 We're also working with the local agencies. Right
- now, we've got the city of Indianapolis connected, and
- 16 we're working with some other cities and counties, to bring
- 17 their data in, as well. The idea is, the more data you get
- in, the better our observations are going to be, and the
- 19 better your ultimate product will be.
- The third phase is where we are right now, to actually
- 21 build, deploy and evaluate the services that are captured
- 22 up in these Phase Con Ops. So, we took the Con Ops from
- the states, who said, "This is what we would like." We

- 1 turned it into an RFP, which went out on the street to the
- 2 private sector. It was then required to partner with
- 3 multiple states to then develop those services, and we will
- 4 now go through a two year process of developing those
- 5 services, then testing and evaluating.
- 6 The RFP closes today, so I have nothing about how many
- 7 proposals we're received yet or anything like that. I
- 8 can't say anything more about it than that, except that we
- 9 are shooting to award by the end of the fiscal year. We
- 10 expect to get about twelve months of developing these
- 11 services, and then about twelve months of testing and
- 12 evaluation.
- Joseph Averkamp: I'm trying to get a feel for the
- 14 numbers, like, how many stations are you deploying? Are
- 15 these, like, weather?
- 16 Paul Pisano: These are stations that already existed.
- 17 These are state investments in road/weather sensor
- 18 stations. There are about 2,500 out there today, and it
- 19 varies from state to state. Some states, like Minnesota
- and Ohio, are very rich in their deployments. Other states
- 21 have very few. So, there is no uniformity. It's all about
- 22 what the states have decided to invest.
- Joseph Averkamp: And, what we're doing here is not

- investing and deploying additional stations? We're just
- got incoming data from the ones that exist?
- 3 Paul Pisano: We're taking advantage of the existing
- 4 infrastructure, and making the most of those investments,
- 5 and just bringing the data into a common environment,
- 6 assimilating it, and quality checking it, which tended not
- 7 to be done within the state systems, to make sure we've got
- 8 good data, and then turning that out to whomever wants it.
- 9 It's available back to the states, so the states can
- 10 use the system, just to monitor the health of their
- 11 network, and see, "Am I getting the good data that I think
- 12 I'm getting?" and also, to the private sector. For
- 13 example, Barent Services is one of our customers. They
- 14 take the data off of Clarus, they feed it through their XM
- 15 services, so now, if you subscribe to the XM weather
- 16 service, there is some Clarus data in there.
- 17 Obligated this year was nine hundred thousand, a much
- 18 more committed big dollar figure being the base for the
- 19 regional demonstration, and we expect to obligate much more
- 20 by the end of the fiscal year.
- 21 Shelley Row: What's the big chunk of money you're
- 22 expected to obligate?
- 23 Paul Pisano: We expect to obligate, the total this

- 1 year for Clarus is about six million. And as I said, the
- 2 majority of that is part of the regional demonstration.
- 3 So, we're expecting another four and a half, easily, that
- 4 is committed, but not yet obligated, plus the other nine,
- 5 so that's five and a half that has either been committed,
- 6 or obligated.
- 7 Okay, so in terms of expected accomplishments, then,
- 8 for this fiscal year, we're going to go through the Phase
- 9 III Regional Demonstration to build these services. We
- 10 also have a target of adding ten more states to the system.
- 11 Again, state participation is purely voluntary. So, number
- one, they're not required to participate, and number two,
- 13 they may not have any data to add to the system. So, we
- don't expect to have all fifty states, ever.
- 15 Our target is about thirty three states, so we've got
- 16 eighteen and a half. South Dakota is half a state right
- 17 now, because we've got their stations, we know where their
- 18 stations are located, we've got the meta-data associated
- 19 with those stations, but we're not actually bringing the
- 20 congestion data from South Dakota yet. So, if we got that,
- 21 that would be nineteen. If we had another ten more that
- 22 would give us twenty nine, so we're almost as far as we
- 23 think we were going to be able to get, in terms of getting

- 1 states connected.
- 2 The other big part of it that we look to do this
- 3 coming year is to work more closely with the private
- 4 sector. We're afraid that this might be one of the best-
- 5 kept secrets of the ITS program, and the private sector may
- 6 not even be aware of the fact that there's all this data
- 7 out there, available to them.
- 8 So, we want to foster use of that data, not so much
- 9 through funding operational tests. The regional
- demonstration is going to be the real closed activity we'll
- 11 be doing. But more, just being more aware that the data is
- there, what it takes to get to the data, how to access it,
- how to subscribe, and all of that type of thing.
- 14 We also recognize that this is an R&D system. It is
- not a permanent deployment, and yet we want to have a
- permanent home for this process. So, we're working very
- 17 closely with NOAA, the National Oceanic and Atmospheric
- 18 Administration, who oversees the National Weather Service.
- 19 It does this sort of thing for all the atmospheric weather
- stations, so we want to bring it in to that process.
- 21 Shelley is in discussions with the director of the
- National Weather Service. They are fully committed to
- doing that. How that happens, when that happens, and all

- 1 the details, we are still trying to hash out. There is
- 2 certainly some risk involved, but our target right now is
- 3 to have it all over and into NOAA by mid-2011.
- We also our original system requirements had on the
- 5 order of twelve different quality checking processes that
- 6 we wanted to run within the system. We originally built
- 7 eight of those, and so now we're going through the process
- 8 of improving some of the other quality detecting
- 9 capabilities.
- 10 And the other big piece to Clarus and to Road Weather
- 11 is this whole idea of mobile observations. This was
- 12 mentioned briefly in the VII discussion, but the fact is,
- 13 as recognized earlier, there is a fixed number of sensors
- 14 out there, and there's a lot of roadway that is not being
- 15 measured. And, as you know, bridges freeze before road
- 16 surfaces, so you can have very local weather condition, and
- 17 you're not going to get that, you're not going to be able
- 18 to deploy that many sensors along your network.
- 19 How do you fill those gaps between sensors? The
- 20 vehicle, we think, is the natural way to do that. And,
- 21 just this whole idea of getting into the bus, to get
- 22 windshield wiper status, ABS or traction control data,
- 23 temperature off of the vehicle, all these things that are

- 1 already being collected on a vehicle, if we can turn it
- into a valid road/weather observation, that would be an
- 3 immense asset to this whole idea of getting more timely,
- 4 accurate, and relevant road/weather information.
- 5 We're looking at that, and we also recognize that
- 6 windshield wiper status doesn't tell you, necessarily, that
- 7 it's raining. It could mean that you're washing the
- 8 windshield, or not. So, we need to get a lot of data, and
- 9 we also need to compare that data, say, through a radar
- 10 mosaic, to confirm that what radar is showing is actually
- 11 hitting the ground, or not.
- 12 And so, there is some post-processing that needs to
- 13 happen with these vehicle observations, to turn it into a
- valid road/weather observation. What we're working on now
- is to develop the algorithms to see how to take that data
- 16 and to turn it into useful observations.
- 17 So, in 2009, we're estimating about 2.1 million for
- 18 Clarus. As Shelley mentioned earlier, we also have other
- 19 projects we're working through the Road/Weather Program,
- that the remaining money will go into. We're authorized at
- 21 five million, but what we are actually appropriated is
- 22 still to be determined. So, there is some gray area there,
- 23 but about 2.1 million is what we're anticipating for '09.

- 1 That was about fifteen minutes' worth. Any questions?
- 2 Dr. Adrian Lund: I have a question. I understand
- 3 you're doing, potentially, some enhancement thinking, too,
- 4 by adding mobile platforms, but you have a demonstration
- 5 scheduled for fiscal year '09. My question is, what is the
- 6 definition of a successful demonstration? What are you
- 7 looking to demonstrate?
- Paul Pisano: Well, it comes back to improved
- 9 operations and management of the highway system. So, if a
- 10 state is able to save money by using, say, when it comes to
- 11 winter maintenance. Some states will go out and spread
- 12 chemicals any time they see the first snowflake. We want
- to try to reduce that by more effectively managing their
- 14 resources.
- 15 If they have better information through these Clarus
- services, they may not dispatch the crews unnecessarily.
- 17 So that would save the impact on the environment and the
- 18 impact on direct dollars saved by the state DOT on their
- 19 winter maintenance processes.
- 20 If they make a decision about when to allow trucks to
- 21 use their roads because of weight restrictions and the
- 22 potential damage to the pavement, then that means they are
- 23 going to be saving money, because they're not putting

- 1 trucks out there on roads where they shouldn't be
- 2 traveling.
- 3 Dr. Adrian Lund: Are you actually going to measure
- 4 whether they make good decisions, or just whether they
- 5 avail themselves of the information?
- 6 Paul Pisano: To the extent that we can actually look
- 7 at the actual cost savings, we're going to do that. And, I
- 8 think that that can be done with respect to the winter
- 9 maintenance decisions, for example.
- 10 Some if it is going to be more traveler information
- 11 based. We're going to improve services for travelers.
- 12 We're going to have to come up with some sort of surrogates
- 13 to assess whether or not it's actually helping travelers to
- 14 make better decisions. We may not know that explicitly.
- 15 Shelley Row: If I could just interject for a minute.
- 16 I think the other thing, too, as Paul says, that we can
- 17 evaluate is the actual demonstrations that are done. But
- 18 the other thing that I think may be a little implicit in
- 19 the demonstrations is that we can take the data, work with
- the states, and work with the private sector. They're
- 21 working, fundamentally, with the private sector to develop
- 22 some services.
- One of the things it does is create a market. This is

- 1 an extremely small marketplace. It's a very niche service,
- and they don't have research dollars. So, if we can help
- 3 do an application that both helps the private sector to see
- 4 how the data could be used for their benefit, and give
- 5 their potential customer a flavor of how valuable it can
- 6 be, then we actually seed a marketplace there.
- 7 And, we have been very successful in the other part of
- 8 the program, making decisions for support systems, of doing
- 9 that, creating a market, creating some data that is
- 10 available that the private sector takes, and then serves
- 11 that market.
- 12 Dr. Adrian Lund: So, success implies that somebody is
- 13 taking it up?
- 14 Shelley Row: That is right. "Somebody," being both
- 15 public sector and private sector.
- 16 Dr. Kenneth Button: These things currently used on a
- 17 low integrated basis, as far as I understand it,
- therefore, there must be some information how actually this
- 19 information is used at the moment, by the private sector
- 20 and the public sector.
- 21 The obvious thing to do is, first off, find how they
- 22 use it at the moment before you move forward. Has anyone
- 23 been actually asking the people, the clients for the

- 1 current system, how they use it?
- 2 Paul Pisano: Well, that is, certainly the states who
- 3 are the ones investing in the system, they use it mostly as
- 4 a winter maintenance tool. And as I said through Phase I,
- 5 we said, "How else would you want to use it," they're
- 6 recognizing that we would see some other value added.
- 7 Dr. Kenneth Button: And, I, is it used by the private
- 8 sector, and in what way?
- 9 Paul Pisano: Not as much, because it's not available.
- 10 It's proprietary, so that company who installed the sensors
- in that state will have the data, but there might be a
- 12 small company who does road/weather forecasting that isn't
- 13 tied to the broader community to get that information.
- 14 Some states are putting sensor observations up on their
- 15 websites, but not turning that into that value-added
- information, that we want to see not just what the
- 17 temperature is now, but what is the pavement going to be
- 18 like three hours from now when I'm driving on it? There's
- 19 limited capability out there.
- There is some of that, but we're trying to expand it
- 21 out to broader and more sophisticated types of products.
- 22 Dr. Joseph Sussman: You talked about relatively
- 23 routine events, rain and snow. Do you deal with unusual

- 1 events like tornados and dust storms, and that kind of
- 2 thing?
- 3 Paul Pisano: The systems are observing at all times,
- 4 and there, it's a matter of, what do you do with the data?
- 5 Now, a tornado is usually such a short-lived event that
- 6 you're going to get your information about tornados from
- 7 the weather service as it is now, and there's not a real
- 8 link today to the highway environment.
- 9 Dust storms and visibility, on the other hand, there's
- 10 a lot of that. And, there's a lot of use by states to
- 11 monitor the visibility, whether it's fog, or dust, or
- things like that. And, they'll use that, like in
- California, with the fog warning system and such, they
- 14 would use that to link it to roadsides and such.
- 15 Randell Iwasaki: These are more on a micro-scale,
- 16 each little weather station. And to your question, there's
- no one place to go where the private sector firm can import
- 18 all of this information to then guide trucks through the
- 19 Sierra Nevadas with some reasonable information.
- 20 Dr. Kenneth Button: I was just asking what it's used
- 21 for?
- 22 Randell Iwasaki: NOAA's information is more global.
- 23 So, you see the huge cloud, but you don't know on a micro-

- 1 scale how the road is icing, is it not, should I send my
- 2 trucks out right now? And then, from a maintenance
- 3 perspective, you want to save money. In the old days, what
- 4 they did was they spread salt everywhere before it snowed.
- 5 And then the snowstorm doesn't come, and it's windy, and
- 6 all of your salt gets blown off and kills all the trees on
- 7 the side of the road, but there's no salt left on your
- 8 road. Then it does rain, then it does freeze. And you're
- 9 supposed to create a brine to make sure your ice not stick
- 10 to the road. So now, you have to get graters, because the
- 11 stuff is sticking and the road is closed. And so, it just
- 12 gives you a little bit better information.
- 13 Shelley Row: Interestingly, Canada has identified
- 14 salt as a hazardous material.
- 15 Randell Iwasaki: So have we. If you go on Interstate
- 16 80, heading to Reno, there are very few trees left alive
- 17 within a certain range, because it's really alkaline soil
- 18 now, and kills all the trees. So, we try to limit the
- 19 amount of salt we spread.
- 20 Paul Pisano: Likewise, in New Hampshire, they're
- 21 trying to do a widening of the highway, and they can't
- 22 unless they can show that they're going to reduce the
- amount of salt that they use on that road. There are

- 1 actual ties to other highway improvements because of the
- 2 salt associated with winter maintenance.
- 3 Randell Iwasaki: It's not, per se, a hazardous
- 4 material, but we have to address this issue of salt.
- 5 Robert Peter Denaro: It sounds like it's a pretty
- 6 high priority in California. Do you see a lot of value?
- 7 Randell Iwasaki: Yep.
- 8 Paul Pisano: Thank you for your time.
- 9 Robert Peter Denaro: Any other questions before you
- 10 escape?
- 11 Scott Belcher: I guess my question, and maybe you
- 12 mentioned it and I missed it is, so most of the data is
- 13 proprietary? How is there, it goes to the deployment
- 14 point. I mean, you've got deployment where the states are
- 15 getting the data, but how does the data then become
- 16 available to a companies like Bryan's, who would want to
- push this data out to consumers?
- 18 Paul Pisano: It originally had been proprietary, and
- 19 there have been a lot of restrictions that the companies
- 20 would put on the states who are procuring the systems. As
- 21 it is now, if the state provides data to the Clarus system
- that data is available to anybody.
- 23 Scott Belcher: In real time?

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1 Paul Pisano: Near real time. It takes a couple of
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- 2 minutes to run through the quality checks. And also, it a
- 3 question of how often the states poll their stations. They
- 4 may do it every fifteen minutes, every thirty minutes, or
- 5 every hour. So, it's only as frequent as the states are
- 6 polling it. Once they poll it, we get it, and within
- 7 minutes it's quality checked and out there.
- 8 Scott Belcher: Do people know it's available now? Do
- 9 companies know it's available?
- 10 Paul Pisano: Some. We work very hard with the
- 11 private sector. And, I forgot to mention, next week, we
- 12 have our big stakeholder meeting. We've got about eighty
- 13 people coming in, both public sector and private sector,
- 14 weather community and transportation community, private
- 15 sector. We have Barent Services, some of the data users,
- 16 QTT and such, are going to be there. So, some people know
- 17 about it who have been participating as part of a
- 18 stakeholder group.
- 19 As I mentioned earlier, I don't think we've done a
- good enough job raising awareness about it, so, I think we
- 21 need to do more. I know Pete Costello at INRIX has been
- 22 active in our stakeholder group, so he's aware of it. But,
- 23 whether or not it is out there and is broader, I don't

- 1 know.
- 2 Shelley Row: One of the measures of the effectiveness
- 3 of a program is how much has the private sector picked up
- 4 the data and used it? We don't try to have any control
- 5 over who it is, because in some cases, it might be someone
- 6 at Bryan's company, or it might be a weather provider who
- 7 is going to take it, weave it into the forecast, and then
- 8 provide that forecast to a company like Bryan's company.
- 9 We don't care, as long as they're getting good quality
- 10 road-specific micro-scale data. And, that is what has been
- 11 missing all this time. And we're hoping that if we can do
- some of this work, that that market will develop, and that
- it will create a pool so that they can go further with it.
- 14 Paul Pisano: Getting back to the point of the
- 15 previous question. The whole private sector, whether that
- 16 is between public and private, we see the public sector
- 17 role as the facilitator, by running the quality checking
- 18 processes, then providing data. But then the private
- 19 sector and let other people take the data and run with it,
- and do good things with it.
- 21 Robert Peter Denaro: Thanks, Paul.

## Congestion Initiative

22

23 Shelley Row: This is Brian Cronin. Brian manages the

- 1 Congestion Initiative, which you're going to hear about
- 2 now, for the ITS Program. This is obviously a DOT-wide
- 3 program. He also manages the Integrated Corridor
- 4 Management Project, which you will hear about next.
- 5 Brian Cronin: The Congestion Initiative is multi-
- 6 modal, multi-agency funded, and managed through OST. It is
- 7 being funded as part of ITS Program, as well as with funds
- 8 from FTA, and the Federal Highway Administration.
- 9 So, I just thought today, really, if you ask, "What do
- 10 we do this year?" Really, what we are doing is getting the
- 11 money out and awarded, and trying to work with the
- 12 locations we've selected to actually have the legislative
- authority and implement the project.
- 14 So, the big fall out, New York, did not get their
- 15 legislative authority. We pulled their money back. We
- 16 have then selected Las Angeles and Chicago. There was only
- 17 a small amount, five million dollars of ITS money that was
- going to New York, so, just that money was reallocated,
- 19 three and a half million to Chicago, focusing a lot on bus
- 20 rapid transit technology, and sort of supporting that. The
- 21 rest of the money is going into the evaluation pot to
- 22 support adding L.A. and Chicago into the evaluation.
- The biggest thing is Minnesota, and that's fully

- 1 funded. Seattle, as of, actually, this week now, they have
- 2 half of their money, so this number now up to about 44
- 3 million dollars.
- 4 The biggest activity, really, for the JPO is, we're
- 5 leading the evaluation. So myself and Jane Lappin, and we
- 6 have representatives from the modes and the Secretary's
- 7 office looking at, how do we evaluate these different
- 8 implementations? What do we look at? What data are we
- 9 trying to generate? How are we going to show impact on
- 10 congestion?
- 11 And so, we selected an independent evaluator. They
- have gone out, actually, this week they're in Seattle, next
- week they're in Minnesota. We've already been to Miami
- 14 several times, trying to look at how are we going to get
- 15 this data, and how are we going to show that for tolling
- transit technology telecommuting, we're impacting
- 17 congestion? So, that's where we are this year.
- 18 Bryan Mistele: Weren't there two other studies, like
- 19 San Diego and Miami, on the list?
- 20 Brian Cronin: Yes, our five original partners were
- 21 Miami, New York, Seattle, Minnesota, and San Francisco.
- New York is out, and we've added L.A. and Chicago. In
- 23 addition to ITS money, there was fifteen million of FDA

- 1 transit money and three million of ITS money going to San
- 2 Diego for an automated bus guidance application. So, we
- 3 haven't awarded ITS money. We're going to do that next
- 4 year. We've been finalizing the scope and so forth.
- 5 So, the next year, in the fall, we're going to have
- 6 documented, sort of the whole evaluation and framework, how
- 7 we're looking at all of these different cities, and how
- 8 we're going to answer the objectives questions we posted.
- 9 In the spring, we hope to have detailed specific plans
- for all the sites, and most of them are operational
- 11 September of '09. That is the deadline we put in our
- 12 agreements. Miami is actually looking they have a Phase
- 13 I, their first phase should be operational at the end of
- 14 August, or early September. We have another 40 million
- 15 next year.
- 16 I'd be happy to answer any questions, if you want to
- 17 know what ITS is getting out of it.
- 18 Joseph Averkamp: One of the questions do I have, and
- 19 this goes to part of the vision about managing demand, is
- 20 telecommuting is part of the initiative? Is anyone
- 21 actively pursuing telecommuting?
- 22 Brian Cronin: Minnesota is the most active. They
- 23 have been partnering with Best Buy as a major employment

- 1 center. And they have instituted, I can't remember the
- 2 name of it, but they have a special program that they're
- 3 focused on, and so they've probably taken that and doing
- 4 the most advanced amount of telecommuting along their
- 5 corridor. San Francisco, Seattle and Miami are all doing
- 6 something.
- We didn't get as big a response on that element as we
- 8 were hoping for, but it is a part of what we are trying to
- 9 do.
- 10 Robert Peter Denaro: Is VMT tolling part of that?
- 11 Brian Cronin: What's that?
- 12 Robert Peter Denaro: Is Vehicle Miles Travel Tolling
- 13 part of this?
- 14 Brian Cronin: No.
- 15 Joseph Averkamp: Do we have an urban partners
- 16 discussion?
- 17 Shelley Row: This is it. These are the urban
- 18 partners.
- 19 Brian Cronin: Most of the ITS funds are going towards
- open road tolling. However, in Seattle, most of the
- 21 resources are active traffic management, a sign to look at
- 22 how you actively manage that freeway network. And in San
- Francisco, there will be a lot of ITS money put into 511

- 1 and traveler information enhancements.
- 2 Randell Iwasaki: When is Washington going to do the
- 3 Active Traffic Managing, because we have an 80 million
- 4 dollar contract that is getting designed right now on
- 5 Interstate 80, heading toward San Francisco/Oakland Bay
- 6 Bridge? Are they going to be within a couple of years,
- 7 because we're within a couple of years of doing that? We
- 8 can certainly give you information on that when we get it.
- 9 Brian Cronin: They're supposed to have everything at
- this point up and running by September of '09.
- 11 Randell Iwasaki: Really?
- 12 Brian Cronin: Yes.
- 13 Randell Iwasaki: Have they gone through the
- 14 environmental process yet?
- 15 Brian Cronin: I'm not sure, yet, to be honest with
- 16 you.
- 17 Michael Replogle: There is also a component of, in
- 18 the San Francisco EPA that deals with parking pricing and
- 19 parking management in the downtown. Is there an ITS
- 20 component to that?
- 21 Brian Cronin: Yes, there is no ITS money, but it is a
- 22 massive ITS implementation. It's just sort of using
- different money. So, they have both on-street and off-

- 1 street parking. They have 26,000 spaces that are publicly
- 2 owned and operated.
- 3 Actually, I was just there last week, and they are
- 4 piloting, just even before they get our money basically,
- 5 parking which uses mesh networks, and communication with
- 6 in-space centers to monitor the space availability, and
- 7 then be able to go with various different payment options.
- 8 But to look at how they take that information and put
- 9 it into the Trip Planner, or different outreach mechanisms.
- 10 Dr. Kenneth Button: Just the public sector parking?
- 11 Brian Cronin: Yes.
- Dr. Kenneth Button: Why not private?
- Brian Cronin: Why not? They would love to have the
- 14 private. There are a few major private operators trying to
- 15 get in.
- 16 Dr. Kenneth Button: Well, it's simple. Just put the
- monitor on the road, outside the car park so they can
- 18 measure the cars going in, and the cars coming out. You
- don't need the private sector to participate.
- 20 Robert Peter Denaro: There's actually a story that
- 21 goes back fifteen years ago. The first system that did
- that was in Gothenburg, Sweden, and they actually went in
- and dug up the road in front of all the parking lots, and

- 1 put the sensors in there, and then they were done with it.
- 2 Dr. Kenneth Button: Exactly, you don't need the
- 3 private sector's participation.
- 4 Shelley Row: We will have to tell San Francisco.
- 5 Michael Replogle: And parking management pricing is
- 6 really the centerpiece in Chicago, where there is no
- 7 roadway pricing. So, is there an ITS component that you
- 8 expect to be funding in Chicago dealing with that, as well?
- 9 Brian Cronin: No. I mean, essentially, in Chicago,
- 10 we've provided transit money as a negotiation. They're
- doing the partner pricing, and they're hiring a
- 12 concessionaire. So, we have some money going for
- evaluation, and we have some money as part of the transit.
- 14 That's it.
- 15 Michael Replogle: As a part of these evaluations
- 16 plans, I'm thinking back to, this goes, probably it dates
- me, actually, back in the last 70s and early 80s, US DOT
- 18 used to have something called the Service and Methods
- 19 Demonstration Program, which basically tried to promote
- 20 best practices and gave money for innovative strategies to
- local governments' transit agencies and transportation.
- 22 And, there was a US DOT funded component called
- 23 Service and Methods Demonstration Briefs, which worked with

- the evaluation contractors on these initiatives to,
- 2 basically, fund monthly reports for the public around the
- 3 country on what was the progress in these projects, and
- 4 what could others learn from these best practices as they
- 5 were being evaluated, and as these initiatives were being
- 6 designed, and as they unfolded.
- 7 So others in other states and communities could see,
- 8 what does it take to get one of these up and going, what
- 9 are the factors in evaluating, and what is being learned as
- 10 it is being learned? So, it was more of a cooperative
- 11 learning progress.
- 12 I'm wondering if there is any potential for that kind
- 13 of a framework here, so that you could make sure that the
- 14 evaluation plans and frameworks that you're helping to fund
- for these partnership initiatives, get properly
- disseminated and provide for this kind of collective work?
- 17 Brian Cronin: We have a communication plan as part of
- 18 the evaluation scope. That's something we're looking at,
- 19 how much we do and in this next year versus a couple of
- 20 years out. We're trying to put on the grid, now on the
- 21 website, as much information as we have. We have fact
- 22 sheets about each of the urban partners, and what they're
- 23 trying to do. But, that is a good idea, to look a little

- 1 bit more.
- 2 Dr. Joseph Sussman: Is it worth looking at any of the
- 3 political side of this, why it went down in flames in New
- 4 York, to try to understand some of the impediments to
- 5 implementing these kinds of schemes? Or, do you view it as
- 6 so obvious that it's not worth studying?
- 7 Brian Cronin: I think New York is looking at we
- 8 aren't finding anything specifically at the moment, as to
- 9 evaluating why New York didn't get the necessary authority,
- 10 I think New York is looking at that. And maybe, our
- 11 pricing office, I haven't talked to, their document is
- 12 something on the side. A lot of it was a political issue.
- 13 Dr. Joseph Sussman: If we're interested in barriers
- 14 to deployment, obviously, there was a pretty big barrier to
- 15 deployment.
- 16 Michael Replogle: It was really a failure of deal
- 17 making. It was a problem in the relationship between the
- 18 mayor and the legislature, more than anything else.
- 19 Shelley Row: Just so you know, a little more
- 20 background here, too. One of the real challenges with the
- 21 whole UPA Congestion Initiative is how many different pots
- of money went into this?
- 23 Brian Cronin: At least ten.

- 1 Shelley Row: Okay, and each one of those, you can
- 2 probably appreciate, have different requirements on the
- 3 type of money. So, when the applications came in, then the
- 4 team had to go through the applications and figure out what
- 5 was a worthy project, and then mix and match pots of money
- 6 to fund different things. Nobody had evaluation money but
- 7 us. And then, of course, there was much more money
- 8 requested than what was available. So, we had to be very
- 9 strong in carving off money to do evaluation.
- 10 And our question was, what do you evaluate? Do you
- 11 evaluate the ITS portion since it's ITS money, or do you
- 12 evaluate the initiative? And a lot of the questions are
- about, really, about did the premise work? And so, we're
- 14 actually kind of evaluating the whole premise.
- 15 The difficulty is that the money we have for the evaluation
- 16 is going to be stretched pretty thin to do the evaluations.
- 17 So, we're trying to stick to the netting, and that's why
- 18 you see us really focus on those specific locations.
- 19 Michael Replogle: How big is the evaluation budget?
- 20 Brian Cronin: It's now up to about 6.9 million, but
- 21 you've really got to look at that, out of 8 million
- dollars. Don't look at it out of a hundred.
- 23 Dr. Kenneth Button: By evaluation, evaluation means

- 1 you're putting a money value on it? Basically, what do you
- 2 mean by evaluation? How are you defining evaluation?
- 3 Brian Cronin: We have four key objectives, and what's
- 4 the impact on congestion by the implementation, mainly, of
- 5 the pricing? And that is question one.
- 6 Question two is the associated impacts to transit to
- 7 ridership go up? Does the arterial run better? What was
- 8 the impact on the environment? What was the impact on the
- 9 freight goods movement? And the question, what is the
- 10 associated impact, is the same. The sublevel under that
- and what we are going to focus on is going to differ, site
- 12 by site.
- The third question was, the lessons learned and not
- 14 the outreach, but certainly the communications and policy
- 15 related to the decision making.
- 16 And the fourth one is cost benefit. I'll just leave
- it at that. I was here in the morning.
- 18 (A bit of laughter)
- 19 Dr. Kenneth Button: It's politicians taking on a
- 20 particular piece of jargon, which they particularly like
- 21 the sound of.
- 22 Robert Peter Denaro: Any other comments from the
- 23 Committee on the state of the art, or the deployment?

- 1 Brian Cronin: I think you will see, if you've read
- the Secretary's announcement on the new plan, the Urban
- 3 Partnership, and the pooling of resources, and the multi-
- 4 modal nature, is all sort of stemming from this idea.
- 5 Scott Belcher: From my standpoint, I think the real
- 6 value of this is that, as we go into reauthorization of the
- 7 transportation bill, one of the great challenges is really
- 8 being able to articulate deployments and benefits, where
- 9 things have actually happened, and what the results of
- 10 those are. And, this gives you some very large, very
- 11 visible case studies that you can point to. So, I think
- 12 that's the greatest value, in my book.
- 13 Michael Replogle: I would agree with Scott, and I
- 14 think this initiative, perhaps, more than any other in US
- 15 DOT is kind of breaking the ice of looking at integrated
- 16 holistic approaches to managing transportation networks for
- 17 high productivity and high system performance, and doing so
- in an effort to try and get at a better cost effectiveness
- 19 and trying to break through some of the political log jams
- around more integrated approaches.
- 21 And at the same time, I think there have been some
- real challenges in conveying the benefits and the
- 23 attributes of this program to the Congress, in particular.

- 1 And so, I think we've seen some signs that congressional
- 2 support for this program has been less than strong.
- 3 I think one of the biggest challenges, going into the
- 4 coming year or two, is how to convey the benefits of this
- 5 in a way that shows that this isn't a partisan thing, and
- 6 this isn't about privatization. It isn't about trying
- destroy transit, or this isn't anti-rail, this isn't anti-
- 8 Smart Growth.
- 9 This is something that can, in fact, be used as an
- 10 effective instrument for harnessing federal transportation
- 11 resources in a way that, again, focuses on the fundamental
- 12 purpose of the program, getting good value for the customer
- and the tax payer out of that.
- 14 So, figuring out a way to sell this more effectively
- on Capitol Hill, I think, remains a challenge for US DOT,
- and I would encourage you to redouble efforts in that
- 17 arena.
- Dr. Joseph Sussman: Shelley, is there anything
- 19 instructive, and I'm not trying to be facetious about this,
- to ask about how the monies were coupled together to do
- this? It's sort of unusual in the context.
- 22 Shelley Row: It was very unusual. I've never seen
- anything like it in my career. It could not have happened,

- 1 had it not been for such strong leadership out of the
- 2 Secretary's office. That is the only way you could get
- 3 around a billion dollars.
- 4 So, it was that, and then we had the continuing
- 5 resolution that freed up a lot of money. So, those two
- factors came together at one time, that enabled the
- 7 Department to put so much money together in one spot.
- 8 Brian Cronin: It started just with ITS and Value
- 9 Pricing. So, you had 130 million in discretionary money.
- 10 Then basically, the rest of the money was earmarked. Then
- 11 you had 550 million in Transit money, you had another 100
- 12 million in Federal Highway money.
- 13 Shelley Row: That was freed up. And then the
- 14 Secretary's office remains heavily involved, and that has
- 15 been the glue that has made this work, because it would
- 16 have been extremely difficult for all those modes and all
- 17 those different kinds of money to come together, and sort
- 18 it all out.
- Joseph Averkamp: If we focus on the safety
- initiative, as we talked about in the vision, how does this
- 21 initiative fit in?
- 22 Shelley Row: Well, the funding for it ends in 2009,
- 23 so the last bit of money that we provide is in the next

- fiscal year. So, that's all the money we will have in this
- 2 program.
- 3 Michael Replogle: I guess I think that's a concern,
- 4 because this has been, to my mind, perhaps one of the most
- 5 promising initiatives. So, I would hate to see that lost
- 6 in the translation of this program, to focus on safety. I
- 7 think the safety focus is a good one, but it shouldn't be
- 8 the sole focus.
- 9 I guess I would very much hope that this kind of
- 10 integrated, holistic initiative, and say ITS needs to be
- 11 embedded as a part of how we think about smart
- 12 transportation systems, and networks and services. That is
- 13 part of the vision right there.
- 14 Brian Cronin: I would agree, but also say, while
- 15 there is some advancement in research in ITS, most of this
- is pre-existing technology, so it is really a function of,
- is there a venue or a mechanism, for looking at how to get
- 18 the money to implement these? So, hopefully, these
- 19 demonstrations will prove that this strategy works. Then,
- it's just a mechanism of money.
- I mean, there will be some new ways to do pricing, or
- new ways to get traveler information out, which we may
- 23 still want to do some research on. I mean, I would agree.

- 1 You don't want to just give up on safety, but the premise
- 2 behind this is really, it's more a funding availability
- 3 issue.
- 4 Dr. Kenneth Button: I take your point about the
- 5 demonstrations. To be honest, we've got congestion pricing
- 6 and things in place in many parts of the world. We've had
- 7 it successfully implemented in some environments in this
- 8 country. I'm not sure, I mean, you can do as many
- 9 demonstrations as you like, but I think there needs to be a
- 10 change in political will, which I think, possibly, Joe is,
- in some sense, hinting at.
- 12 I mean, I think this is in favor of it, but I wouldn't
- 13 be too optimistic about changing a great deal of minds
- 14 because there's a lot of experience out there that
- 15 congestion pricing works, that technology works, the whole
- 16 lot.
- I think it is useful, as I say, but I'm not so
- optimistic that it actually moves you forward, in terms of
- 19 actual applications, in the long run.
- 20 Brian Cronin: And I would say that the political
- 21 conversation that has been had in this country over the
- last year has probably happened ten years sooner than it
- 23 ever would have happened. And so, I think that is an

- 1 impetus for moving things forward.
- 2 Michael Replogle: And, I think the conversation,
- 3 particularly in New York, did more to advance the
- 4 conversation in the nation. And we got, for the first
- 5 time, an elected city council body of the largest city in
- 6 the country, voting 30 to 20 in favor of implementing a
- 7 congestion charge to enter downtown, and to reduce traffic
- 8 by over 6%, and to fund better transit. So, that is a
- 9 major achievement. We actually had two governors and one
- 10 house of the state legislature supporting it. New York may
- 11 come back in the wake of the Ravitch Commission, to revisit
- 12 this.
- 13 And, I think it is not a done deal yet in California,
- 14 as to whether they will be able to secure state legislative
- 15 support in the next month.
- 16 Robert Peter Denaro: We probably should move on.

## 17 Integrated Corridor Management (ICM)

- 18 Brian Cronin: In Integrated Corridor Management, this
- is really the premise that states, and transit agencies,
- 20 and cities have implemented ITS over the last many years,
- 21 and have sort of optimized the highway arterial and the
- 22 transit system.
- 23 But for a user, and operating the system, how can we

- integrate the operations and management of a multi-modal
- 2 corridor to make and improve reliable person and goods
- 3 through-put? So, it's looking at, how do we from a
- 4 management perspective, share data information, mostly from
- 5 existing systems between freeway, arterial, and transit
- 6 operators? And then, how do we take that information and
- 7 make it also available to the traveler?
- 8 So, over the last year, we've been focused on working
- 9 with eight sites across the country to define, really, what
- 10 is the concept of this system, and what are the
- 11 requirements, and what will it do, what will these systems
- be able to achieve, and what are some gaps? And, we've
- 13 completed that.
- 14 We are starting to looking at where we completed the
- 15 testing and modeling of a test corridor. We're looking at
- 16 how does a locality assess, or what sort of strategies make
- 17 sense? What are the interdependencies between those
- 18 strategies, to sort of make that analysis before we jump
- into a demonstration? So, we've completed that.
- 20 And, we're just finishing up the process of completing
- 21 the selection of three of the sites to do this modeling.
- 22 When we look at the ultimate outcomes of this initiative,
- 23 it is that we've demonstrated this ICM concept, and that we

- 1 have tools available for localities to make decisions on,
- 2 what are the sort of strategies they want to implement?
- 3 How much management improvement can we get? And so, that
- 4 is where we're going through that process.
- 5 We obligated just under 2.8 million so far this year.
- 6 Let me go to the next one and then we'll take questions.
- 7 The next year, we will be completing this modeling, this
- 8 simulation activity, with three different sites, and then
- 9 we will be selecting up to three pioneer sites to actually
- 10 demonstrate. They'll be bringing in their partners to,
- 11 basically, implement and design their system. And that is
- 12 a proposed budget of 7.8 million, mainly reflecting the
- 13 cost to do demonstrations.
- 14 Randell Iwasaki: When you say, "select up to three
- 15 pioneer sites," are you actually talking about the three
- 16 pioneer sites you mentioned on the previous page, or are
- 17 these different sites?
- Brian Cronin: We made the decision that at the start,
- 19 and we had eight we were working with, that we're limiting
- it to those eight. But, we will open it back up to all
- 21 eight from a demonstration perspective. With that said,
- they've got to have good costs, and requirements, and data.
- 23 Randell Iwasaki: So, should we be producing an AMS

- 1 for one of the pioneer sites you didn't select?
- 2 Brian Cronin: Well, we have several cities in the
- 3 mix, but we have sort of committed to a process where we
- 4 want these sites to define their performance objectives and
- 5 goals, archive data, and collect it and make sure you can
- 6 measure it against the goals, and do the modeling
- 7 simulations to make sure you can actually do the analysis.
- 8 And so, when we collected and decided on the analysis
- 9 modeling simulation sites, we needed sites who have a good
- 10 requirements. So, they decided on their goals and have the
- 11 available now, or maybe just a limited amount of data they
- had to go and collect so we could do the modeling in a
- 13 year, and then have models available to, hopefully,
- 14 calibrate it and validate it so we can use it.
- 15 What we're trying to do in this phase is, sort of,
- 16 prove that out, this analysis process. When we get to the
- demonstration, we have to model again, because what we're
- 18 ultimately trying to do at the end of the game, is have
- 19 this modeling approach that has been used, that's reliable,
- and that has information that we're using in this next
- 21 year, to predict and use as assumptions for modeling. We
- 22 want the demonstrations to validate that those assumptions
- 23 are correct.

- 1 So, we will be collecting and evaluating the
- information. If we didn't model the site now, and we
- 3 picked them at the demonstration phase, that would mean
- 4 modeling them then.
- 5 Michael Replogle: What does "AMS" stand for?
- 6 Brian Cronin: Analysis Modeling and Simulation.
- 7 Dr. Joseph Sussman: Can you say a few words about the
- 8 kinds of models you're anticipating here?
- 9 Brian Cronin: We're using a demand model. There are,
- 10 sort of, three classes of models. There's macroscopic
- 11 travel demand, which puts trips at a regional level, and
- 12 you have to use that. Then, there's mesoscopic, which
- 13 looks more at the corridor, in and of itself. And then,
- there's microscopic, which would look at the arterials.
- 15 And in the traffic sense, we're using all three,
- 16 because you're trying to look at how trip choices are made,
- 17 how they distribute it through the network, and the
- 18 ultimate results? We're not creating new models. We're
- 19 using the existing models. What we are doing in the
- 20 research phase is how you bring these things together to
- 21 make the appropriate decision making?
- 22 Dr. Joseph Sussman: Whose models are you using?
- 23 Brian Cronin: Lots of different vendors.

- 1 Dr. Joseph Sussman: Are you using many mesoscopic and
- 2 many microscopic?
- 3 Brian Cronin: Yes. On microscopic, we have SynchPro.
- 4 For traffic signal, we have Visa. We've got mesoscopic in
- 5 a direct model, which is related to DynoSmart Transmodeler.
- 6 As a demand model, we have a few different names. So,
- 7 we're bringing in private partners to work with us a little
- 8 bit. One of our key things is, we're trying to be vendor
- 9 neutral. We're trying to provide a process and test it.
- 10 Michael Replogle: Is there an objective here of being
- able to do these things, sort of, generically, or to match
- them up with real time traffic observations, so that you
- can anticipate, that you're going to have a degraded level
- 14 of service a half an hour from now if you don't take action
- 15 now?
- 16 Brian Cronin: Several sites are contemplating that.
- 17 The modeling that we're going to do initially is at the
- 18 planning level, to help in choice of strategy. Several of
- 19 the sites are thinking ahead and trying to make it more of
- 20 a real-time predictive model than is out there yet. So, it
- 21 is something that we might include as a part of the
- demonstration, but we'll see.
- 23 Dr. Adrian Lund: I'm going to ask a question that

- 1 really shows my ignorance. Is a good outcome that you
- 2 increase the number of completed trips through your
- 3 corridor, or are you decreasing?
- 4 Brian Cronin: That is a good question. We talk
- 5 about, really, increasing reliable data throughput. So,
- 6 the question is, is part of it increasing it, or providing
- 7 more a transit service? Part if it is just getting the
- 8 same amount of cars through more reliably.
- 9 Dr. Adrian Lund: So, the corridors you're looking at,
- 10 you've got alternative forms of transportation, as well as
- 11 alternative routes?
- 12 Brian Cronin: Yes.
- Randell Iwasaki: We did this in the Bay area back in
- 14 2000. It's a lot of work. It's a tremendous amount of
- 15 work, and most DOTs won't do it because it costs too much.
- 16 But we broke up Interstate 80, because it has parallel
- 17 routes and it has parallel transit opportunities.
- 18 So, we looked at number one, how do we sequence our
- 19 investment so it won't cause a problem somewhere else, by
- doing an improvement here? And then, it's not less strips,
- 21 it's moving more people through that corridor more
- 22 reliably. So, if there's capacity here, how do we then use
- 23 ITS or other methods, to tie people into other modes, or on

- 1 a different street?
- 2 So, you can't take a location where you have
- 3 interstate X, and there are absolutely no parallel routes
- 4 or transit. It just doesn't work there. But, where you
- 5 have highly urbanized areas and multiple options, then how
- do you put a parking lot in the right location or use
- 7 transitory development? What are those impacts that allow
- 8 you to get more people through the system more reliably,
- 9 and make that permanent mode shift?
- 10 Dr. Adrian Lund: So, it's decreasing in one mode, and
- increasing in another?
- 12 Randell Iwasaki: It could be, yes.
- 13 Michael Replogle: Is this work integrated with the
- 14 Travel Model Improvement Program that is funded out of the
- 15 Federal Highway Administration Office of Planning?
- 16 Brian Cronin: Somewhat. We are doing model
- 17 coordination work, but there is not a direct funding link,
- 18 or anything.
- 19 Michael Replogle: I guess I would just suggest that
- 20 there is value in trying to coordinate those efforts as
- 21 closely as you can, because they're trying to advance these
- 22 same kinds of tools, and help MPOs and state DOTs make use
- of these tools. So, I think there's room for a lot of

- 1 cross-fertilization.
- 2 Dr. Joseph Sussman: I hear you saying, though, that
- 3 you're starting with other people's models, a broad variety
- 4 of them, and that the contribution here would simply be the
- 5 integration of these models at various scales to produce
- 6 some kind of integrated tool. Is that what you are
- 7 proposing?
- 8 Brian Cronin: We're not going to create a tool. We
- 9 will work with some of the tools if there is a piece that's
- 10 not capable of doing, and we need to do that if we need to
- 11 get the interface to enable that analysis. But, we're not
- trying to come and create this massive model, or tool, that
- we will go sell, or just make available.
- 14 What we're trying to do is work with the model
- 15 suppliers and agencies, to identify, sort of, whether we
- need enhance the capability and test the approach?
- 17 Michael Replogle: You know, as we step back and look
- 18 at the major questions we're asked about, I'm struck, just
- 19 looking at your budget numbers. I think the budget that
- 20 you have here in the JPO program for this initiative is
- 21 about equal to the budget of the entire Travel Model
- 22 Improvement Program in the Federal Highway Administration,
- 23 which is aimed at four hundred MPOs across the country and

- 1 fifty state DOTs, many of whom are lagging terribly in
- their modeling practices and using really old, and not very
- 3 workable, schemes that don't take into account things like
- 4 time of day or travel.
- 5 So, I guess it seems to me that there is a compelling
- 6 case to be made to look at how, I mean, if you have 21
- 7 million dollars remaining in this program as you go
- 8 forward, to try to make sure that the results from this
- 9 program aren't concentrated only in the test sites, but
- 10 that they really are rapidly disseminated into looking at
- 11 where are the gaps in all the four hundred MPOs in the
- 12 country that have a potential benefit of looking at better
- 13 modeling to support traffic system management, and taking
- 14 results from what you do here and to raise their own state
- of practice?
- 16 Dr. Kenneth Button: Can I ask a question? There's a
- 17 huge modeling exercise going on, involving massive numbers
- of redundant physicists, in California somewhere.
- 19 Michael Replogle: You're talking about the Los Alamos
- 20 effort?
- 21 Dr. Kenneth Button: Yes, it's a huge exercise.
- 22 Michael Replogle: That's a Domenici Special Senator
- 23 Domenici.

- 1 Dr. Kenneth Button: It's just a huge exercise. It's
- 2 totally wrong, of course, but huge.
- 3 Scott Belcher: One of the things that I like about,
- 4 both, this program, as well as the other one, Brian, and I
- 5 think you've got two good ones, is the fact that they are
- 6 multi-modal. When I look at a lot of what is RITA is doing
- 7 right now, and JPO is doing right now, it is highway-
- 8 centric.
- 9 And as we go forward, ITS has got to find its way into
- 10 transit, it's got to find its way into railroads, it's got
- 11 to find its way into freight management. And so, I like
- 12 that part of the emphasis. So, if I have a comment, it's
- that as you continue to refine the safety vision, let it go
- 14 beyond, because that is important as we go forward.
- 15 Robert Peter Denaro: Alright, we probably should move
- on. Thank you, Brian.

## 17 Mobility Services for All Americans (MSAA)

- 18 Shelley Row: This is Mobility Services for All
- 19 Americans. Yehuda Gross leads this program for our office.
- Yehuda has been managing the program for quite some time.
- 21 He comes from the transit world, that's his background.
- 22 So, Yehuda Gross.
- 23 Yehuda Gross: I have my notes, but Mobility Services

- 1 for All Americans is a product which has a goal, and the
- 2 mobility product is really, travel management coordination-
- 3 centric.
- 4 So, let me give you a very quick background of what
- 5 mobility service is, why we're doing it, and why did it
- 6 becomes a project with a lot of visibility for the sixty
- 7 four federal programs that paid for transportation and
- 8 human services?
- 9 Because of this, each one of the agencies is doing
- 10 their own thing, with their own clients, and there's never
- 11 coordination between the transportation that is provided
- 12 through those agencies.
- We have established a partnership with nine federal
- 14 departments, and four of them are very close, because fifty
- 15 two of the sixty four programs are within four, the
- 16 Department of Transportation, HHS, Education, and Labor.
- 17 So, it is a partnership between us and the DOT, and
- 18 other departments, and a partnership between us and the
- 19 FTA, which contributed funds in order for us to achieve our
- 20 goals.
- The sites that were selected through an RFP process,
- are eight. We had in mind five. We got 1.25 million
- dollars from FTA, so we could increase it to eight. And

- 1 they're going through a structured process of developing a
- design for TMCC, which is the Travel Management
- 3 Coordination Center.
- What we have seen from the very beginning is, we have
- 5 learned an awful lot. We have met with the human service
- 6 users through listening groups and focus groups, and we've
- 7 listened to what they need. So that our concept from the
- 8 very beginning kept changing as we met with those groups.
- 9 And this took a period of time.
- 10 One thing that we concluded is that users want to be
- 11 treated nice. They said, "We're not being treated nice.
- 12 We're a specialty transportation and we're not being
- 13 treated nice. We want to be treated as people." And
- 14 that's why the Aid All Americans helps anyone who uses
- 15 transportation.
- 16 We have also shown that once we guide and we provide
- technical assistance, we can use a system engineering
- 18 approaches with the non-classic users of transportation.
- 19 Of the providers of transportation, only three out of the
- 20 eight are the classic transit groups, and this is in the
- 21 big cities. When you go away from the big cities, those
- 22 are not.
- 23 And in fact we look at our leadership, there is MPO, a

- 1 COG, a Work Force Coalition, and they're leading it. So,
- they're not really being used to design and provide
- 3 transportation. The agencies, themselves, became users of
- 4 transportation that was provided by others.
- 5 So, in order to be able to coordinate between
- 6 agencies, we have held their hands and shown that they can
- 7 get really very good results, and, I'll show you in a
- 8 minute, from every one of those agencies in designing a
- 9 technical approach, using ITS to solve their transportation
- 10 problems.
- 11 Let me go back to what we have achieved in '08. And,
- 12 I won't be fair if I don't go to the very end of '07,
- 13 because the concept of operations was delivered in December
- of '07, which was the basis of where data built afterwards
- is their design.
- 16 We have seen that the diversity of approaches was
- 17 totally different from what we thought, from a
- 18 transportation provision. We thought we could have a
- 19 center that was either virtual, or physical.
- 20 A virtual center is where each agency sits in their
- own office, and they are somehow connected in the right
- 22 area network, and they can share information. This is a
- virtual center or a physical one, where you build a place

- and people sit there, and really manage and coordinate.
- 2 Shelley Row: Excuse me, but if I could just interrupt
- 3 you. I just want to make sure the Committee is connecting
- 4 with you. I want to make sure you all are getting that you
- 5 have a whole bunch of human services providers of
- 6 transportation services. You call on each one
- 7 individually. And what this project is trying to do is
- 8 synch them all up. What is your slogan?
- 9 Yehuda Gross: One vision, one call.
- 10 Shelley Row: One vision, one call. This synchs them
- 11 all up, optimizes it, and makes it much easier for the
- 12 customer, but also makes a much more optimal system. So
- we're talking about lots of little service providers.
- 14 Robert Peter Denaro: What are some examples of the
- different kinds of service providers?
- 16 Shelley Row: A couple of examples are, who are some
- of the individual service providers?
- 18 Yehuda Gross: Well, you look at the individual
- 19 providers? Brokers provide transportation, so they avoid
- the legality.
- 21 Shelley Row: They are like a hospital, or a nursing
- home, or, help me?
- 23 Yehuda Gross: ParaTransit is one of the contractors.

- 1 ParaTransit is a part of it in the area. However, I'll
- give you an example in Pittsburgh. There are over two
- 3 hundred contractors that are providing transportation, two
- 4 hundred.
- 5 Robert Peter Denaro: What kinds of transportation?
- 6 Sick and elderly?
- 7 Yehuda Gross: Sick and elderly, handicapped,
- 8 specialty children. Again, the irony is that tenants will
- 9 provide a van ride for somebody who is going to a train,
- 10 rather than provide a pass and send the person on a bus.
- 11 So again, that aid, looking at it from a holistic approach
- 12 to solve the transportation problems of all those that are
- using and needing transportation.
- 14 Shelley Row: It's massively inefficient today.
- 15 Robert Peter Denaro: So, what's inefficient? I quess
- 16 I'm still not understanding.
- 17 Yehuda Gross: Very simple.
- 18 Robert Peter Denaro: If I'm a person who needs to go
- 19 from point A to point B, I have lots of choices. I pick
- one and I'm going to get to where I want to go.
- 21 Yehuda Gross: In human service, they reserve rides,
- 22 because some of it is entitlement and some of it is just
- provided as a service to the community. In rural areas,

- 1 there is no public transportation, so they use the brokers
- or the services locally, which are community generated, in
- 3 order to provide some transportation, but not all
- 4 transportation.
- 5 Let me give you an example. You've asked for an
- 6 example. I have to take an elderly to the doctor, okay?
- 7 The elderly reserves a ride, okay? Before we did any
- 8 coordination, it started to take effect in some areas that
- 9 we request, seventy hours before, a ride. Actually, it was
- 10 they would be scheduled on every third Wednesday to the
- 11 doctor. So, they become eligible through documentation.
- 12 So, that is one of them.
- Now, you can have, and I've heard it from the aid,
- 14 three vans then starting in the same neighborhood, going to
- 15 the same hospital from different agencies with one person.
- 16 Scott Belcher: Isn't part of the issue also that
- 17 there is also an insufficient supply of services for the
- 18 handicapped and the elderly? And so, part of this is
- 19 coordinating not only the private sector, but the NGOs and
- other folks? Because you do have people who will give you
- 21 two or three hours on this day for these certain types of
- 22 people, or this certain type of category of people, but
- they may not have funding for that?

- 1 So it is a coordination effort of multiple people with
- very small agendas. Many of these people will only service
- 3 a certain category of needy folks.
- 4 Shelley Row: And, the busses are virtually empty.
- 5 It's very inefficient.
- 6 Yehuda Gross: In Camden, for instance, there are
- 7 twenty six faith based organizations that have volunteered,
- 8 and are joining that coalition there to provide the
- 9 transportation. So, it is not just depending on the pay as
- 10 you go, but also the volunteers that are providing the
- 11 transportation. Today, they're coordinating with them to
- 12 become partners, as part of the providers of the
- 13 transportation.
- 14 Dr. Kenneth Button: I've confronted this problem back
- in England, where we have an equally chaotic problem, so
- 16 I'm quite familiar with it. There's another side to it,
- 17 then, that is also the health workers that go around,
- 18 rather than the patient or the person with some disability
- 19 going somewhere. There's also a delivery of service in the
- house, in the sense that you get nurses, and cleaners, and
- 21 auxiliary workers.
- 22 Is this just for purely for moving around, let's use
- 23 the word "patient," as it's a generic word to use. I'm not

- in any way being derogatory, I just need a single word. Is
- 2 this for moving the patient around, or can it also bring
- 3 services to the home?
- 4 Yehuda Gross: We haven't encountered yet, where
- 5 somebody who delivered a service was entitled to a
- 6 specialty ride. However, we have encountered where there
- 7 is an official guide, or help, that can go with the
- 8 patient, and both are covered under a certain entitlement.
- 9 So, let me go what we have done in '08. So, I start
- 10 at the end of '07, which was the concept of operations.
- 11 Again, they didn't understand what a concept of operations
- 12 was, so we used different words in order to send a message
- to "Tell us your story." Then we told them, "Okay, once
- 14 you have your concept of operations, what is your
- 15 requirement? You have told your story, so what are your
- requirements?" Then, we went to, "What are your gaps
- 17 between your requirements and what you want to do?" And
- 18 eventually, they could provide a design.
- 19 June 30 was the due date for all of the designs from
- 20 eight sites. Yesterday, close of business, was their
- 21 proposals. They had to give the proposal of how they used
- their design in order to really build one of those sectors.
- 23 So this is what we have done in '08.
- The obligation of \$400,000.00 is for some management

- 1 assistance and technical assistance. And, the 2.25 million
- 2 dollars was committed. It is now in the tubes to the FTA.
- 3 They have added a million dollars to this, so that we will
- 4 be able to go from two demonstrations into four.
- 5 Joseph Averkamp: So, the Coordination Center attempts
- 6 to coordinate rides? Does this consist of, like, is it an
- 7 800 number, with a database?
- 8 Yehuda Gross: It's much more than a number.
- 9 Joseph Averkamp: But, it's a number, with a database
- 10 that coordinates all these schedules of these various
- vehicles, so I can do a look-up?
- 12 Yehuda Gross: What is done today, which is very
- 13 interesting, in rural areas, because of their needs, they
- 14 coordinate via telephone. They don't have the funds,
- really, to establish anything that is similar, or
- 16 resembles, a center.
- 17 In the big cities, it's the reverse. They have the
- 18 funds, but the coordination is not very well. Somewhere in
- 19 the city, a solution will be found, which will be very
- 20 interesting. So, in the big cities, we have to get them
- 21 together, to talk to each other, to agree we're going to be
- working together. So, it's more of a policy than the
- 23 technology.

- 1 Now what happens is, what is done today, is each one
- 2 takes care of their own patients. So, if it's paid by the
- 3 AOA, which is for the elderly, they take in their region
- 4 for the elderly only. And the specialty children was only
- 5 their own clients. Now, we're looking at seats.
- 6 Joseph Averkamp: I understand there are these
- 7 different agencies. I'm trying to get at the specifics of
- 8 the program. What are we paid to get?
- 9 Yehuda Gross: So, what we're paid to get is, how do I
- 10 know it will work if I have to deal with other agencies?
- How do I see that my money gets service to my customers,
- and we start putting everything together? We are building
- a place that is tactile, that you can touch and see, and
- 14 share it with others. We're taking the total risk.
- 15 They're giving us money and time.
- 16 Joseph Averkamp: Does the Center consist of call
- 17 center operators? Is it a data center?
- Yehuda Gross: Well, remember, I started virtual or
- 19 physical? Here's the place where there's two hundred
- 20 providers, not brokers. It's only one broker, but
- 21 providers there's a different approach. They've classified
- 22 them by price. Once a month, they have to put their price
- for a given route that they're going to serve. So, they

- 1 are classified, the lower ones, the middle ones, and the
- 2 higher ones. They put every ride that comes in, as a
- 3 request for bids.
- 4 So, from the two hundred, from that layer, they bid.
- 5 If they can't find anybody, they go to the more expensive
- 6 layer to provide the transportation, between all of those
- 7 that either are entitled, or are in the community, the ones
- 8 that are willing to pay.
- 9 Another place is very simple. You give us your money,
- 10 they take it to the leadership. The leadership's approach
- is, "Give us your money and we will provide your customers
- 12 all of their needs. The risk is ours." So, it is a
- dictatorial way to a partnership.
- 14 Everything is done together. There are six of us. We
- 15 sit together. We meet on a monthly basis. Right now,
- 16 there is one agency that leads. So, what we do is we have
- 17 a database that is shared in some places already, between
- 18 all of the users of that system. It is not really the
- 19 riders, but they are providers of the transportation.
- 20 And then, the users get either one number. There's no
- 21 wrong door. If you call your agency, you get the answer
- 22 because they're on the network. You get a central number
- 23 for that region. So, we really didn't force the 511 or the

- 1 800, each one is the way they want. One of them decided
- they were going to have a phone number which is, in fact,
- 3 in Paducah, one number to serve all.
- 4 Robert Peter Denaro: Let me ask you a question that
- 5 we're supposed to answer. From your experience, at this
- 6 point, what do you see as the barriers to deploying this?
- 7 Yehuda Gross: You want an honest answer? Political.
- 8 Robert Peter Denaro: Can you elaborate on that?
- 9 Yehuda Gross: The same type of a question.
- 10 Dr. Kenneth Button: My question is different. The
- 11 same thing happens in the taxi cab industry. There are a
- 12 lot of little taxi cab operators, and some person comes
- along with a clearing house, and sends people and takes
- 14 bookings, and does things. So, it works pretty well in the
- private sector, in the taxi cab industry.
- 16 I'm just coming back to your point. Why is it working
- in these types of services?
- 18 Yehuda Gross: Why? Because there's no politics
- 19 involved in taxi cabs. It's a market. Here, it is
- 20 entitlements, it's provision of transportation which is
- 21 coming from the top down. The community does not have any
- 22 rights. Everybody is using it. If they have a car and
- there are a whole lot of people that don't have a way to go

- from point A to point B, whether it's work, entertainment,
- 2 etcetera. So, you can't really compare the two. Different
- 3 things drive it.
- 4 And, by the way, the taxi industry is also a supplier
- of rides by contract, as needed. So, in Paducah by the
- 6 way, Paducah has established a taxi service, because there
- 7 was no taxi service.
- 8 Michael Replogle: So, a lot of this is the challenge
- 9 of trying to develop interagency cooperation agreements, to
- 10 try and better coordinate fragmented provision of services
- in a framework that enables higher productivity out of
- whatever resources are in the community for these services,
- 13 through a tendering process or through other kinds of
- 14 arrangements? And that is what you're trying to
- demonstrate, that there are multiple ways of developing
- these cooperative agreements?
- 17 Yehuda Gross: It's very interesting. Two big vendors
- 18 came in and said, "You really have established a new
- 19 industry." Because, what they did is, they scheduled and
- were able to put people into cars, not into seats, but into
- cars, okay? Now, they're also doing between one agency to
- 22 another. So, they have had to change their software and
- 23 hardware approach, in order to accommodate this concept.

- 1 Scott Belcher: This seems like a very obvious federal
- 2 role, because there's not a market there.
- 3 Robert Peter Denaro: There's not a national center to
- 4 do this, otherwise.
- 5 Scott Belcher: Yet, my only observation, and it's
- 6 just not clear, is how much cost sharing is going on
- 7 between the other relevant agencies? I see you all have
- 8 committed 2.2. How much are you getting from HHS, and
- 9 Labor, and Energy?
- 10 Yehuda Gross: That's politics, too. They have never
- 11 worked together with anyone else, so we said we will take
- 12 the risk, okay? Now, by the way, HHS has given us money.
- 13 There is a memorandum of cooperation between HHS, and AOA,
- 14 and FTA, which was a sign. So, they worked together and
- 15 they've given us money. Education has given us money, and
- 16 they have sent people with details to work with us on our
- internal plan. So, there was a commitment.
- 18 Remember, once I prove it, then their money becomes
- 19 good money. Because once they realized their money can go
- 20 much further than it is going today, and they start to
- 21 coordinate. More than this, we're working with the
- 22 transits, too. The transits complain all the time.
- They're sending me a \$30.00 patient that I can only charge

- 1 \$2.00, okay, while the broker can get \$30.00. So, there's
- 2 cooperation there, too.
- 3 Dr. Adrian Lund: What is a broker?
- 4 Yehuda Gross: A broker is an area manager for the
- 5 authorities, for the political authority that is managing
- 6 transportation. So, what they do is then they go and hire
- 7 paratransits, small operators, to provide the actual
- 8 transportation. So, the broker really, in some areas they
- 9 provide some, then it's really global. If there are "x"
- 10 amount of people in my community that deserve, or are
- 11 entitled to, rides, I'm going to give you the amount of
- 12 dollars for your business. You provide the rides, and I'm
- going to inspect and see that you're really doing it. And
- if you lose money, it's your problem. So, there are
- 15 different ways that brokers work.
- 16 Shelley Row: This program, just so you know, this is
- 17 the only program we have, where another agency is
- 18 contributing money to it.
- 19 Michael Replogle: I think it's a great program, but
- 20 here are the challenges to communicate this effectively.
- 21 Yehuda Gross: So, we have two evaluations. One of
- 22 them is the standard one, which is the impact. The other
- one is a process. Is this a good way for a developmental

- 1 project?
- 2 Dr. Kenneth Button: Dissemination is not necessarily
- 3 producing results. It is getting them out into the world.
- 4 What is the marketing strategy, and what is the marketing
- 5 plan? I find there's a bit of a problem with many of these
- 6 projects. What is your marketing? Who markets your
- 7 program?
- 8 Yehuda Gross: Here it is. We have a steering group
- 9 that represents academia, transit, brokers, vendors. There
- 10 are seven of them, and we have a committee chair, and we
- 11 are developing a strategic plan of how to market this whole
- 12 thing.
- 13 Michael Replogle: It seems to me, this is the kind of
- 14 thing that, particularly given the scarcity of government
- 15 funding for health and human services programs, there's an
- 16 opportunity here to increase the productivity of how funds
- 17 get spent, so the results from this need to be disseminated
- in ways that gets to Congress, that gets through the
- 19 General Accounting Office, reporting on this, or whatever,
- 20 so that it is highlighted as an opportunity for increasing
- 21 the effectiveness of service delivery.
- 22 Robert Peter Denaro: Okay, Yehuda, thank you. We
- 23 need to move on to the last one. Thank you very much. And

1 the last one, I think the last one-

## 2 Electronic Freight Management (EFM)

- 3 Shelley Row: It is Electronic Freight Management.
- 4 Kate Hartman manages the EFM Program. She also manages
- 5 quite a number of things in the office. This project
- 6 completed it's operational test this year. Kate, go ahead.
- 7 Kate Hartman: Last, but hopefully, not least,
- 8 although I'm kind of used to being an outliner in the
- 9 office with this program. It is not a safety program. It
- 10 is not a mobility program. It's an efficiency and global
- 11 connectivity program. It's very much focused on the
- 12 private sector. And, based upon the questions you've been
- asking, you're going to have quite a few when I go through
- 14 this.
- 15 It also doesn't deal with the infrastructure, it
- 16 doesn't deal with vehicles. It deals with information and
- about goods moving through the system. It's also probably
- 18 the only departmental program that deals with Victoria's
- 19 Secret underwear and Chinet paper plates. So, it's been an
- 20 interesting project.
- 21 The accomplishments in 2008 are that we completed the
- 22 actual operational test. The adoption task was awarded -
- 23 the funding was awarded almost 600K. You have to do a

- 1 couple of dollars under.
- The evaluation final report was delivered in June. If
- anyone wants a copy, I can provide it to you. I haven't
- 4 gotten it up on the web, because we're dealing with 508
- 5 compliance issues before we post it.
- And then, the most interesting thing that's happened
- 7 this summer is, we sent out a Request for Information, and
- 8 this gets to the marketing of a project. You take a step
- 9 back here, and let me tell you a little bit about the
- 10 project.
- 11 It is likened to a FEDEX or a UPS system, in terms of
- 12 tracking freight through the system. FEDEX and UPS are
- vertically integrated closed systems. You ship a package,
- 14 you get on the internet, you check and see where your
- 15 package is, and that's about where the analogy stops.
- 16 What this project has done is taken web services,
- 17 service oriented architecture, information processing and
- 18 applied it to a supply chain. And the CEFM is the Columbus
- 19 Electronic Freight Management Project. It was a project
- 20 done with Limited Brands. They are located in Columbus,
- Ohio and they have a large distribution center there.
- 22 And believe it or not, underwear is time-sensitive,
- 23 and with the design process and the manufacturer, they need

- 1 to get it shipped in order to meet holidays and fashion
- 2 trends. And, I'm trying to say this with as straight a
- 3 face as I can.
- 4 Dr. Kenneth Button: It's time sensitive because it
- 5 gets delivered quickly.
- 6 Kate Hartman: It's February 14, Valentine's Day, and
- 7 all of a sudden something hits the market that they want
- 8 their designers try to hit. You wait for the ship to come
- 9 over, and you've missed the time from the sales end. And
- 10 it's also with Limited Brands, they find it efficient for
- 11 them to ship through Air Cargo.
- 12 Anyhow, they will issue Limited Brands will issue a
- 13 purchase order in Columbus to a manufacturer in China, to
- 14 produce whatever the goods are. They then truck it to an
- 15 Air Cargo handling facility in Hong Kong. Their customs
- 16 brokers, freight forwarders, and airlines would all be
- 17 involved in getting the product onto the belly of an
- 18 airplane that flies it to the United States.
- 19 When it lands in the U.S., it has to be processed by
- 20 U.S. Customs. Their cargo handlers, again, have to touch
- 21 it, freight forwarders have to touch it, and brokers have
- 22 to touch it. It has to go to distribution. Trucking
- companies get into the distributions. Every time somebody
- touches it, they are processing information about the

- 1 shipment.
- What this project has done is try to get this
- 3 information entered once, and then it follows it through
- 4 the system. One of the things about this project is it's
- 5 tracking the information, not the goods. So, there's not
- 6 an RFID shipper tag on the product. There's nothing being
- 7 scanned.
- 8 But what happens a lot of times is the trucking
- 9 company that wants to pick up the goods from the airport
- 10 would like to know if the goods actually got on the
- 11 airplane in Hong Kong? What they have done in the past is
- sent faxes, emails, phone calls, text messages, what have
- 13 you.
- 14 What the web services technology does is allow the Air
- 15 Cargo folks to input their information that they've sent
- 16 it, and then the trucking company can shoot out a message,
- 17 and pull from the Air Cargo services information, without
- having to call them up and say, "Can you look in your
- 19 database and see if it got sent?"
- 20 Web services allows for authorization and
- 21 authentication over the web to share information to track
- the product through the system.
- 23 Shelley Row: One thing, Kate, if I can interject, one

- 1 point is that there are shippers who do similar things
- 2 today in proprietary closed networks, which was the FEDEX
- analysis. This one will be non-proprietary, so it is a
- 4 web-based service, non-proprietary, which allows those
- 5 customers like Limited Brands, to use a variety of
- 6 shippers, where before they would have been locked in to
- 7 certain ones and brokers.
- 8 Kate Hartman: And Limited Brands competes their
- 9 supply chain every quarter, in order to drive the costs
- 10 down.
- 11 Flip to the next slide. This is going into what we're
- 12 expecting to do in '09. Like I said, we have completed the
- 13 project. It was successful. It showed that labor hours -
- 14 actually one of the brokers could reassign an employee to
- 15 more complex tasks because they didn't have to have this
- one person sending faxes and making phone calls.
- 17 It also decreased the shipment time by a 12% reduction
- in the time it took to get from point A to the distribution
- 19 house. It reduced data entry errors, because there weren't
- 20 multiple people entering the same information. It also
- 21 reduced time in the Customs processing, because they could
- get documentation to the brokers sooner, and get the
- filings made earlier. So, we think it was somewhat

- 1 successful. We are now into the adoption phase.
- 2 Robert Peter Denaro: The user of this is, I'm not
- 3 completely getting it, is the trucking company?
- 4 Kate Hartman: No, it's anyone throughout the supply
- 5 chain. Limited Brands can also, if it chooses, to check
- 6 without having to figure out where in the system it is, and
- 7 whether they call the Air Cargo handler in Hong Kong, or
- 8 whether to call the trucking company in Columbus. And
- 9 actually, they do that. They have these daily status
- 10 reports, and they go out and pull the information, and they
- 11 can see.
- 12 Robert Peter Denaro: So, help me with more of the
- scenario, because you mentioned, okay, somebody is going to
- 14 enter some information in Hong Kong, and it got on an
- 15 airplane. Is somebody else entering information that it
- 16 was received in New York, or whatever?
- 17 Kate Hartman: They have to do that anyway, but now,
- 18 further down the supply chain, they can check their cargo
- 19 handler. It's usually a one to one relationship. The Air
- 20 Cargo handler in Hong Kong is sending them communications
- 21 with their cargo handler in Columbus. The trucking
- 22 company's relationship is with the Air Cargo handler in
- 23 Columbus, not with the Air Cargo handler in Hong Kong.

- 1 Robert Peter Denaro: So, now it's just visible to
- 2 everybody?
- 3 Dr. Kenneth Button: It's got some security advantages
- 4 or disadvantages.
- 5 Kate Hartman: Computer security, or homeland
- 6 security?
- 7 Dr. Kenneth Button: Homeland.
- 8 Kate Hartman: Actually, I could tell you stories
- 9 about working with Customs. Yes, because it could have
- 10 some positive, in that it goes further into the supply
- 11 chain. The further into the supply chain you go, though,
- 12 the more nefarious activities happen. So yes, it could,
- and Customs folks are interested and aware of this.
- 14 We're also working with the World Customs Organization
- 15 to document this and promote it, especially on standards.
- 16 That's one of the things that is global. We have to have
- 17 standards that are global. We're working with
- 18 international standards organizations.
- 19 Scott Belcher: Kate, is there a reason DOT is doing
- 20 this? I'm trying to figure out why DOT is doing this?
- 21 Kate Hartman: It was a different world when we
- 22 started.
- 23 Scott Belcher: And maybe, is another reason, because

- 1 you're targeting a different part of the market that
- 2 doesn't have the financial resources to build this kind of
- 3 system?
- 4 Kate Hartman: It's small and medium people within the
- 5 supply chain, and some of the smaller trucking companies.
- 6 And that's actually where we got the biggest success. ODW
- 7 is the trucking company in Ohio that does most of the
- 8 Limited Brands shuttling of their goods to their
- 9 distribution center. And, they have actually taken this
- 10 and tried to connect it with some of the other supply chain
- 11 partners outside of Limited.
- 12 So, it's people who aren't the UPS and FEDEX companies
- 13 of the world. The have lots of different partners and
- 14 supply chains. It's the Limited or Eddie Bauer, Demdako,
- 15 which is an importer of figurines that are sold in
- 16 Hallmark. And, this is part of the adoption strategy that
- we're going into.
- 18 We're starting some case studies, because the Limited
- 19 Brands project was basically a test case, and it was an Air
- 20 Cargo case. It was an Air Cargo supply chain. We have
- 21 branched out into rail and ship, and these are what the
- 22 case studies are that we've been trying to do, to document
- 23 the internal investment for adopting this kind of system.

- 1 The cost to the individual company is basically
- 2 configuring in connecting to the system. The EFM system is
- 3 available on a website. What you need to connect to is,
- 4 you can do it internally, if you have the IT resources, is
- 5 to connect to the web services, and have your supply chain
- 6 partners all connect to the web services.
- 7 We think there is a potential business model here for
- 8 translation service providers to do this, and configure and
- 9 connect. But it is available now if a company wanted to do
- 10 it and had the IT resources to do their existing
- information systems and their supply chain partners.
- 12 Robert Peter Denaro: So, Kate, who implements this?
- 13 Kate Hartman: Well, it would be a couple of different
- 14 scenarios. Right now, the adoption got picked up by Kansas
- 15 City Smart Board, which is a trade development group in
- 16 Kansas City. And they are promoting it, and they are
- 17 taking on some of the costs to provide translation services
- 18 in partnership with us, to a number of Kansas City based
- 19 shippers.
- 20 Robert Peter Denaro: How do they fund it?
- 21 Kate Hartman: They think it's important enough that
- they're using some of their own internal money. We are
- 23 also providing some funding to do some of these, the engine

- 1 to start doing a registry, and then to document it.
- 2 Robert Peter Denaro: You didn't get me far enough
- 3 yet. I got Kansas City.
- 4 Shelley Row: Let me just try to do a summary here.
- 5 The end customers are all of those people who are involved
- 6 in the supply chain, targeted mostly at the small and
- 7 medium ones. This would enable many more players to be in
- 8 the mix at a lower cost to everybody, which is good for the
- 9 whole supply chain.
- 10 One of the measures of effectiveness, then, is does
- anybody care? So, we put out this RFI to say, "Does
- 12 anybody want to work with us? Do you care enough to want
- to work with us on these case studies?" And, we were happy
- 14 and pleased to see a very strong response from a range of
- companies that covered big to small, from products to
- service providers, from brokers to shippers.
- They were all over the supply chain, who came to us
- 18 saying, "Yes, we think this is interesting and think this
- 19 could be useful to us. And, we do want to work with you on
- 20 how we could adopt it, and work with you to make that
- 21 transition," that Kate is talking about, to use the web
- 22 services.
- 23 Kate Hartman: I'm seeing all these faces that have

- 1 questions.
- 2 Dr. Kenneth Button: I have a fairly simple question.
- 3 What is the market failure which prevents the private
- 4 sector doing this?
- 5 Robert Peter Denaro: Why didn't the private sector
- 6 just do it?
- 7 Kate Hartman: They are. They're starting to adopt
- 8 it.
- 9 Robert Peter Denaro: So then, why are you doing it?
- 10 Shelley Row: Why wouldn't it have happened without
- 11 this project?
- 12 Kate Hartman: That is the government's convener of
- the standards, and bringing partners together to start
- 14 operational test that usually take several years to do. We
- 15 started this a number of years ago, and technology has
- 16 advanced to the point where it makes I mean, a lot of
- 17 people can take it on.
- 18 Robert Peter Denaro: So, is this one of those
- 19 projects or ideas where you've kind of had to get it
- started, but once people see the vision, you can go away?
- 21 Kate Hartman: That is what we're hoping. That's the
- 22 plan.
- 23 Michael Replogle: So, is there a potential here to

- 1 walk away earlier than originally envisioned, given that
- there's lots of interest in the marketplace, and the money
- isn't exactly growing on trees, and maybe there are other
- 4 things that need the funding more?
- 5 Kate Hartman: I'm not sure, earlier than envisioned,
- 6 because I think the envisioning was that we would continue
- 7 dabbling in this for years to come, as any good researchers
- 8 like to do. But yes, ending it soon.
- 9 Another case study or two, to just really nail down-
- 10 Shelley Row: Our internal discussions talk a lot
- 11 about exit strategies.
- 12 Kate Hartman: And that is what we are aiming towards,
- 13 to get out of this. This project really doesn't have much
- of a life past next year, or so.
- 15 Robert Peter Denaro: Any more questions from the
- 16 Committee on this? Thank you, Kate. Are we done?
- 17 Shelley Row: That's all of it. Those are all of the
- 18 major initiatives. That's certainly not all of the
- 19 programs. You can see from the budget, other things are on
- 20 here.

## 21 General Discussion

- 22 Robert Peter Denaro: Thank you. That was very
- 23 useful. Thank you to all of you. We have two or three

- 1 things left here. One is, we allow time for general
- 2 discussion of our three questions across all of these, if
- 3 there are any other comments, although we got a lot of
- 4 comments during this, so we'll see.
- 5 And then, maybe just a summary, and then wrap up, and
- 6 then in general for this meeting is kind of the next
- 7 steps. How do we wrap this up?
- 8 And then, there are a few administrative details that
- 9 we want to do before we leave. Then, there is an informal,
- optional light lunch from 1:00 to 2:00. If your experience
- 11 was good with the light lunch yesterday, you might want to
- 12 indulge again today.
- So, let's spend a few minutes just kind of opening it
- 14 up here. Now that we've been able to look at all of these
- 15 projects in general, we can go two ways. We can either
- 16 jump into some specific comments you wish you would have
- 17 said before and want to get out, or we can talk more in
- 18 general about the three questions of what the JPO is doing,
- in terms of likely to advance, and deployment.
- Dr. Joseph Sussman: I would advance the question of,
- 21 we've heard a lot of different things that are very, very
- 22 different. Is there some kind of integrating theme to all
- of this? Is there some kind of base that things can be

- 1 tested against, to understand whether a particular project
- 2 makes sense? There is a lot of good stuff, but I fail to
- 3 see the commonality in many cases.
- Bryan Mistele: To add to that, you mentioned last
- 5 night, as a part of this money, there are some
- 6 Congressional comments on what it should be spent for.
- 7 Can you talk to that?
- 8 Shelley Row: I'm not sure if we included the
- 9 legislation on that. We included the Advisory Committee
- 10 legislation. And no, there's not.
- In some of your previous materials we gave you,
- 12 actually, the legislation for the programs. I would start
- there, and then go to Joe's question.
- 14 The legislation has some level of specificity in it,
- 15 actually. If you trace the history of it, it looks a whole
- 16 lot like the previous legislation which included not only a
- 17 research program, but a deployment program. So, the
- 18 legislation reads like, in my view, a deployment program.
- 19 But in this legislation, they kept the old language,
- 20 but eliminated the deployment program funding. So, what we
- 21 have is legislation that sounds a lot like deployment, and
- 22 has specific goals related to deployment, and yet is a
- 23 research program. So, it's a little bit difficult.

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1 And in the legislation, it requires a whole host of
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- 2 things. It requires a Road/Weather Program. It requires
- 3 us to do a long list, and it says we should give priority
- 4 to things that reduce congestion, that I don't remember now
- 5 all of the things, but it is a long list of things. Oh,
- 6 501, which we didn't talk about today, but a lot of things
- 7 are in there that we have to give priority to. It says we
- 8 should be educating people. It says we should be doing
- 9 architecture. It says we should be doing standards. And,
- 10 it says we should be doing evaluation. So, it gives you a
- 11 smorgasbord of things we should be doing. Within that,
- there's latitude to pick and choose, and how to focus some
- money on research.
- 14 Now, Joe, I'm actually getting to your question.
- 15 Several years ago, long before I was here, they went
- 16 through the thought process that the program of today has
- 17 been more of a peanut butter approach. We ought to focus
- 18 the funding on a few significant areas of research. And,
- 19 they went through an extensive process, with all the modes
- and came up with these specific projects you heard briefed
- 21 today. And, they were intended to be problem driven, but
- they were in certain segments, all of which aligned with
- 23 the departmental goals.

- 1 So, they all aligned with the departmental goals,
- okay? So, that's probably only the uniting theme across
- 3 all of them, even though some are very specific to safety,
- 4 and specific to automotive, and specific to track
- 5 engineering, specific to transit, specific to freight.
- 6 So, there's no, in my view, uniting theme within the ITS
- 7 Program across all of them, other than how can technology
- 8 advance transportation? But, they all align to a
- 9 departmental goal.
- 10 Dr. Joseph Sussman: In many cases, it seems to me it
- 11 wasn't so much a technology question. The question on the
- 12 elderly and handicapped was mostly an administrative
- 13 question. It doesn't make it not meaningful.
- 14 Shelley Row: Technology is the enabler. Actually,
- 15 I'm not sure, with the exception of VII and maybe a little
- 16 bit of IVBSS, the technology is there. We're trying to do
- some things to enhance it. In many of these examples, the
- 18 role is the convener role. A lot of it is about getting
- 19 the right people in the role to figure out how you can use
- the technology to bring it all together, and then evaluate
- it and get it out to people.
- 22 Ken, you made some really good points, and we've
- 23 talked about a lot. We do pretty well in identifying the

- 1 problem, getting the stakeholders together, working the
- 2 problem, and getting the product, but we're not so great
- yet, with that market transition piece. And, that is very
- 4 difficult. And that is, I think, an area of opportunity
- for the Program.
- 6 Dr. Joseph Sussman: But, is it fair to say, Shelley,
- 7 that we're evaluating a program that, at least in
- 8 principle, is really in its twilight period? That we're
- 9 seeing a substantial proposed shift in the way you're
- 10 going?
- 11 Shelley Row: Yes, I think that is the opportunity of
- it, and that is why I think it is so exciting. These
- programs, many of which you've seen either end their
- 14 funding this year, or have a little bit of funding next
- 15 year. But, only three of them, two of them, are really
- linked together, VII and ICM, that have life in 2010.
- So, we've got the perfect storm. We have an
- 18 authorization coming up. We have a series of major
- 19 programs coming to an end, opening up new opportunities for
- 20 new programs. So, we have opportunity for strategic
- 21 direction, new legislation, and a whole new set of research
- 22 agendas that are open to us.
- 23 It is a perfect opportunity to be on an Advisory

- 1 Committee, for example, to think about not only what we
- should do, but what is the process for getting to what we
- 3 should do?
- 4 Michael Replogle: That is why I think setting the
- 5 goal here is so important. And as Administrator Brubaker
- 6 laid out yesterday, it's been articulated as sort of,
- 7 safety as the overarching goal, which has a compelling
- 8 narrative to it, but I think it is only one aspect of what
- 9 intelligent transportation systems are about, and about
- 10 what a reasonable set of attributes of what is the national
- 11 purpose of the federal transportation program as it focuses
- on ITS.
- And so, when I think about an important piece of ITS,
- 14 it is also getting information so that if I want to plan my
- day, and I want to go from my home to somewhere in the
- 16 country that I've never been before, on a business trip,
- 17 and I don't want to have to rent a car, I want to be able
- 18 to get seamless information so that I'm not forced into
- 19 renting a car every time at an airport, because I know I
- 20 won't have a break in the trip chain.
- 21 If I go to Europe, I know I can generally do a
- 22 business trip without having to rent a car. But, when I go
- in America, I have to rent a car. That is something that

- 1 ITS can make a difference about.
- 2 Shelley Row: And, a seamless payment all the way
- 3 across.
- 4 Michael Replogle: But, that has nothing to do with
- 5 safety, and that's just one of a number of attributes I
- 6 think we do need to have on the table as we focus on what
- 7 this should be about?
- 8 Robert Peter Denaro: However, if you look at the
- 9 goals in general, safety, mobility and environment, I think
- 10 all three are there. And what I heard Administrator
- Brubaker saying is, he wants a very, very strong emphasis
- 12 on safety, but I don't think it implies the other two go
- away.
- I think the challenge is, what we're talking about
- 15 here is the overarching goal, and how are things linked and
- 16 integrated together? The challenge is going forward, how
- do you come up with a set of programs which are strongly
- 18 sold by safety, but don't totally ignore those other two
- 19 pieces, which are still in there, and of course, the
- decision on relative funding, and so forth?
- 21 Shelley Row: If I might take you back to your last
- 22 meeting. In hindsight, I think we would have flipped the
- 23 meeting orders. Last time, we talked about strategic

- direction and this is why, because we have all of these
- things that are ending, and this great opportunity. And
- 3 the things we talked about and you commented to us on, was
- 4 the environmental goal, the safety goal, all about 360
- 5 awareness, about the vehicle, everything that would be in
- 6 safety. We talked about the value of real time
- 7 information, that linking, Michael, what you just
- 8 articulated, an integrated payment linking. And those were
- 9 the four things we talked about as strategic directions,
- 10 moving forward.
- 11 Where we are now is that it's safety, and then the
- other ones are still there, but with a preponderance of
- energy funding intended go toward the safety piece.
- 14 Scott Belcher: We started on a strategic planning
- 15 process, and it kind of got stalled for all of those
- 16 reasons. Is there an intention to re-engage in that
- 17 process at some point?
- 18 Shelley Row: That is a good question. Two answers.
- 19 One is that it has shifted now, obviously, and so we needed
- 20 to pause while it shifted. The other reason we're paused
- 21 right now is because we actually have to write a report
- that has to be delivered to Congress. We are separating it
- into two documents.

- One of them is a Program Plan, that will be a report
- 2 on the current program and the research results, and that's
- 3 being written right now. The other will be a Strategic
- 4 Direction Plan, that will be reframed, mostly around the
- 5 safety goal, but with the work you all contributed to the
- 6 last time on those other goal areas, as well.
- 7 So, our intent is to include everything you talked
- 8 about last time in that Strategic Document, but again,
- 9 greatly expanding the safety piece, and greatly collapsing
- 10 the other pieces.
- So, we're working on that in parallel, and until that
- is done, we really aren't in a position, frankly, to re-
- 13 engage the Committee with the kind of next steps for
- 14 strategic planning.
- 15 Dr. Joseph Sussman: Shelley, you mentioned
- reauthorization, which is of course a critical opportunity,
- 17 but what is the time frame within which the JPO and DOT,
- 18 more broadly, puts together its proposal for a reauthorized
- 19 bill?
- 20 Shelley Row: That's also a good question, and I'm not
- 21 going to have a good answer for you on that one, Joe. We,
- 22 at the JPO, have not been involved in the reauthorization.
- That's in the building at this point. So, I actually don't

- 1 know where that stands.
- 2 You have an interesting dilemma, not a dilemma, but
- 3 just a situation where you have authorization that is
- 4 coming up at the same time the administration is changing.
- 5 So, this administration you just saw put forward the
- 6 document you saw the Secretary just released which is, I
- 7 think, their parting shot on what they think the direction
- 8 of the program should be.
- 9 But frankly, others, AASHTO, ITS America, and others,
- 10 are developing authorization proposals now. We have not
- 11 really engaged that much, to my knowledge, in the building.
- 12 Scott Belcher: There have been a number of
- initiatives being done by different modes, trying to be
- 14 coordinated.
- 15 Shelley Row: We have not been a part of that.
- 16 Randell Iwasaki: Historically, you don't lobby for
- 17 positions in a reauthorization bill.
- 18 Shelley Row: Historically, the administration would
- 19 put forward an authorization proposal. It's just that
- 20 right now, the administration is changing. So, I don't
- 21 think they intend to put forward the kind of detail you
- 22 would typically do. It will be a new administration who
- 23 will be working on that.

- 1 Dr. Joseph Sussman: I guess people read into
- 2 documents what they want to read into them, to a certain
- 3 extent. But, at least from my perspective, the statement
- 4 of Secretary Peters on, her perspectives on the core issues
- 5 of transportation, did not seem to me to conform very well
- 6 with what Administrator Brubaker was laying on the table
- 7 for the ITS Program. Now, that may or may not be a
- 8 problem, but I'm pretty convinced there's a misalignment
- 9 there.
- 10 Robert Peter Denaro: Or different timing. They're
- 11 not synched together.
- 12 Scott Belcher: Joe, that might be something the
- Committee, in our note back or in our letter back, might
- 14 want to recognize. I don't think Shelley is in a position
- to be able to answer that.
- 16 Dr. Joseph Sussman: I understand. I'm speaking now
- 17 to the Committee that will presumably do another Advice
- 18 Memo, and go through a process, Bob and I, working on
- 19 drafting it for the approval of the Committee.
- 20 But it seems to me that the key question to provide
- 21 advice on is whether the focus on safety is, in fact, the
- 22 most productive way forward?
- 23 Shelley Row: I'm not going to comment. That is your

- 1 decision.
- Joseph Averkamp: I think, clearly, the Secretary, I
- 3 mean, it probably should be part of the Advice Memo, that
- 4 we request clarification and alignment of the objectives.
- 5 I do think, I mean, the Secretary clearly has safety as
- 6 among her objectives, so it's really a matter of, I guess
- 7 "emphasis" is the word I would use versus "alignment."
- 8 It's a matter of emphasis. When Paul talks about 70%
- 9 devoted to safety, I don't know if that's the amount of
- 10 emphasis the Secretary would place on it.
- 11 Dr. Kenneth Button: I was going to say that it seems
- to me that the budget is quite small in total. I don't
- 13 know how much Toyota spends on research and development
- 14 each year, but I suspect the budget here is actually very
- 15 small. And I think having a focus is a good idea.
- 16 Spreading your peanut butter everywhere is not a good
- 17 idea, in my view, because you don't get a very big bang for
- the buck, and the actual marketing problem comes in to
- 19 this. One reason you have a marketing issue is because
- 20 you've got diversity of products. If you have a lot of
- integrated products, it's much easier to integrate them,
- and sell them, and get people out there to use them,
- because it's easier to get a brand image, if you will.

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I mean, some of these projects are extremely
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- 2 interesting and extremely important. But marketing, I
- 3 think, is very difficult. And therefore, I think you're
- 4 going to have to say, well how much do you want to put in
- one area, and how much do you want to put it on?
- 6 Given the amount of resources, you've got to talk
- 7 about plopping, I would say, 70% somewhere. And it seems
- 8 to me that safety might be the one which you can get the
- 9 biggest bang for. That the environment has to be brought
- 10 into account in the assessment procedure, I'm not sure how
- 11 ITS actually moves it forward.
- 12 And also, it should be taken up by other departments,
- 13 as well. You have the main bulk of DOT dealing with
- 14 mobility. That's its main function in life. That's what
- they do, move people around. So, it does leave safety as a
- 16 nice area. It's an area ITS has historically been very
- good at, and some of these projects indicate this.
- So, I'm not too uneasy with this 70%. I would market
- 19 it differently, though. I would not say 70% of resources
- are on this. I would say, 70% of resources are perhaps on
- 21 safety, but in safety improvement, we also have A, B, C, D,
- 22 E, additional benefits on the environment, on mobility, and
- 23 to get them in place where you actually have to have

- 1 complimentarity with these other areas.
- 2 So, I don't see it as a bigger problem here. I think
- 3 it is a case where we're couching it and putting it into
- 4 place.
- 5 Dr. Joseph Sussman: I think that will take some
- 6 crafting and wordsmanship.
- 7 Dr. Kenneth Button: You may disagree with my view on
- 8 that.
- 9 Dr. Joseph Sussman: You commented earlier today,
- 10 though, that making an artificial distinction between
- 11 safety and mobility, and throwing out the mobility, I think
- 12 you used the word "dumb."
- Dr. Kenneth Button: To get them in place, you've got
- 14 to pay for them. Safety is one thing which, in the private
- 15 sector, I think you can sell. Volvo has demonstrated that
- 16 it can be sold. But the public sector side of safety is to
- 17 get resources in the private sector, and get money for
- 18 application, not on the research or development side.
- 19 But, I think you have to piggyback it all the way
- 20 around a lot of it, and I think you can do that. It's a
- 21 bit like the stuff on congestion tolling. I think the way
- 22 to piggyback that is on the information system, that you
- are paying a toll, but you're getting information about

- 1 congestion levels in different parts of the city, and where
- 2 you go. That is a product, and the product is multi-
- 3 dimensional.
- 4 Dr. Adrian Lund: I would like to suggest that if we
- 5 talk about this issue of focusing on safety and the other
- 6 areas, that we not, in our advice document, get into
- 7 disagreements between the Administrator and the Secretary.
- 8 That is not the point. I think what we're asked to do,
- 9 Congress has asked the Department to look at how new
- 10 technology can be used to improve transportation, and I
- 11 think it is transportation, generally, it's not just
- 12 safety.
- I think that our job is to ask, do we think that the
- 14 relevant technologies are being looked at? And if not,
- 15 what are the technologies that we think have just been
- 16 missed, somehow? Are they being looked at correctly? Are
- 17 the proposed programs, in fact, addressing the logical
- 18 uses?
- 19 I'm hearing some concern in the Committee that
- 20 focusing in on safety is going to ignore that. It would be
- 21 good if we had specific examples of what's going to be left
- out of this equation if safety becomes the target. That it
- 23 would be within our purview to list what we think is being

- left out of that, not to get into any comment about whether
- 2 they should or shouldn't, in some global sense, be limited
- 3 to safety, but rather, what is it that we think would be
- 4 left out if that happened? I think that's appropriate for
- 5 us to comment on.
- 6 Joseph Averkamp: I think Adrian makes a good point,
- 7 that maybe in my mind there are two things we need to
- 8 solicit for, and that is, what are the needs? What are the
- 9 areas we need to focus, where is the market failure, and
- 10 what are the technologies that are available?
- 11 What we're trying to do is match the unmet need with
- 12 the available technology piece. And I don't know what the
- 13 mechanism for that solicitation is, or what the process is.
- 14 Shelley, I'm sure your organization knows how to do that.
- 15 But for me, I think that's what you're looking to
- 16 accomplish.
- 17 Robert Peter Denaro: As a Committee, we have to come
- 18 to some level of consensus. That is a charge, I believe,
- so we'll have to do that. But I would just say, I'm not
- totally in agreement with what I'm hearing about the
- 21 concern about safety, because my view is, when we started
- 22 out two meetings ago, this committee said, "There's not
- enough emphasis on safety. Where is safety?"

- 1 So, the pendulum was over on the side of the case over
- 2 here, and now we're sitting here, two meetings later, and
- 3 saying, "Whoa, what happened? Now the pendulum is over
- 4 here on this side of the case. What happened to all of
- 5 that?"
- 6 So, while I hear us saying it's just a matter of
- 7 degree, and frankly, I like the idea of a central focus.
- 8 And I, frankly, support Paul's concern about how you sell a
- 9 program, and so forth, and have something that is really
- 10 central to that focus.
- 11 So, I like those things. We are merely, in my
- opinion, now discussing how do we assure look, if it's
- 30%, and everything else is 70%, is 30% enough?
- 14 Should it be 60/40? I think what we're really talking
- 15 about degrees here, or making sure these other things don't
- 16 disappear.
- 17 Michael Replogle: One of the things that Paul's memo
- 18 set out is, it's okay, let's have a clear focus on safety -
- 19 a clear metric to reduce crashes by 90% by X year. I guess
- 20 that I would personally feel more comfortable if a focus
- 21 was something along the lines of, say, the ITS Program,
- 22 should enhance safety while improving mobility, reducing
- the environmental footprint of transportation, and

- 1 enhancing the overall system efficiency, or something like
- 2 that?
- 3 This gives you a consolidated mission statement that
- 4 gives you a central focus, but also gives you a clear
- framework that says you've got to also perform in these
- 6 other dimensions. And I think there should be clearly
- 7 articulated criteria for performance on those other
- 8 dimensions.
- 9 I would suggest, for example, that ITS could play a
- 10 significant role in improving the greenhouse gas efficiency
- 11 of transportation networks, and also managing travel
- 12 demands, mode shifting, and other factors, managing the
- overall price and other information to users through
- 14 seamless fares and seamless information.
- 15 So those functions also have a role to play. And we
- 16 could say, this program should also reduce greenhouse gas
- 17 emissions, or contribute to a reduction of 30% greenhouse
- 18 reduction by 2030, or something like that, whichever goals
- 19 might be articulated in the future by the Congress, for
- 20 example.
- 21 Robert Peter Denaro: I would completely agree with
- 22 what you just said. That's why I said we're leading with
- 23 safety, and by the way, safety creates benefits in both the

- 1 environment and mobility for the very reasons we know. But
- given that, there are still additional things that need to
- 3 be done in each of those categories, and here's what they
- 4 are.
- 5 And, I also like the idea that you just proposed, that
- 6 in addition to 90% fatality reduction, we have similar,
- 7 call it bold, audacious goals in the other areas, as well.
- 8 Dr. Kenneth Button: I think the reason safety was
- 9 chosen is because it's easiest to put a number on it and
- 10 measure it. I think it is much more difficult having to
- 11 contribute to global warming. There are all sorts of
- 12 policies out there contributing to it, and figuring out how
- much ITS contributes, as a percentage, is extraordinarily
- 14 difficult, and the same thing with mobility. It is
- 15 difficult. It is possibly easier to measure things.
- 16 And maybe that is why I'm suggesting, in a sense,
- 17 leading with safety. As I said just now, I think that if
- 18 you put these others, and articulated it much more clearly
- 19 than I did, but put these other things in as being
- 20 important.
- 21 Dr. Joseph Sussman: What Michael has advanced, I
- think, is what we said in the previous Advice Memo, that is
- 23 once you take a systems approach, which applies a multi-

- dimensional approach to enhancing the transportation
- 2 system. It is a nuanced difference, but I think an
- 3 important one.
- 4 Dr. Kenneth Button: I would just like to add one
- 5 thing. The last, or penultimate one, the mobility I feel
- 6 like, or what I would call, impatient, let's use the same
- 7 word. That seems to be something also to be mentioned,
- 8 giving wider access to transportation.
- 9 Dr. Joseph Sussman: Well, we had that as our initial
- 10 goal. We put that forward in the previous Advice Memo.
- 11 Michael Replogle: Access for all is one way of
- 12 expressing it.
- Dr. Joseph Sussman: As I say, that was the initial
- 14 goal that we requested, that JPO look at.
- 15 Michael Replogle: If you want to weigh a formulary
- 16 way for accessing all framework, one way that I was
- 17 actually working with a number of members of the
- 18 Congressional Black Caucus on framing this in the late
- 19 years of the Clinton administration, working on how to
- insure the transportation system delivers, or makes timely
- 21 progress, to ensure equal access to jobs in public
- 22 facilities for all, without undue time and cost burdens.
- 23 And, that's a way of, basically, saying we're trying to

- 1 make sure that everybody can get around to places.
- 2 And, ITS does have a potential to deliver that,
- 3 particularly through enabling things like more effective
- 4 para-transit, which is another area we really haven't
- 5 talked about. We started to talk about it with social
- 6 service delivery systems, but new kinds of real-time ride
- 7 matching services and para-transit services could easily be
- 8 enabled by a focus on, how do we use some of these new
- 9 approaches to information management and logistics
- 10 management for people and freight, in order to reduce
- 11 travel demand by delivering better mobility?
- 12 Robert Peter Denaro: What I would like to ask, as I
- 13 said before, since we need to reach consensus eventually
- is, on this topic, we're talking right now about the
- emphasis on safety, and the degree to which we're more
- 16 explicit about mobility and the environment, and we're
- doing a lot of talking. How about the rest? I would like
- 18 to understand where you are, so can we kind of go around
- 19 the table with those who haven't talked too much? And,
- 20 Tomi, if we could start with you, what is your take on this
- 21 issue, about the emphasis on safety versus the others?
- 22 Tomiji Sugimoto: I think anything that can contribute
- 23 to the public with the ITS technology, but of course, ITS

- 1 technology has many capabilities to improve our society, or
- our lives. But of course, we should ask of the
- 3 Administrator, what is the most important thing so far in
- 4 the U.S.?
- 5 Anyway, my perspective is that the safety is an
- 6 essential issue. Also, the greenhouse gas is the same, for
- 7 emissions is one of the environmental issues. And so,
- 8 always, we have to think about the sustainability issue.
- 9 Therefore, personally, I would like to focus on the
- 10 safety. My background is safety, so it's easy to follow
- 11 the discussion about safety, but safety and the environment
- 12 are the most important things.
- 13 Robert Peter Denaro: Thank you. Bryan?
- 14 Bryan Mistele: I'm thinking about leading with the
- 15 safety mission. That's because Ken has said it's been the
- 16 most measurable and demonstrably impacted by ITS in the
- 17 past.
- 18 Having said that, to your point, the Secretary's
- 19 focus. In this recent memo there was a lot of talk about
- 20 congestion, so let's make sure when we list a focus on X,
- 21 Y, and Z, that congestion is also one of those three
- things.
- 23 Robert Peter Denaro: Okay.

- 1 Randell Iwasaki: Safety is our top priority at
- 2 Caltrans, but we do a lot of different research. I hear a
- 3 lot about deployment, and I don't think that really in your
- 4 bylaws to deploy, right Shelley?
- 5 Shelley Row: It's not a deployment program. It's
- 6 part of the research title.
- 7 Randell Iwasaki: So, JPO's job is to do the research?
- 8 What we like, at least from a Caltrans perspective, is
- 9 taking those things that work well, and trying to deploy
- 10 them in California. We're in partnership, with JPO being
- 11 the deployment arm, which is even better because then they
- 12 help pay for some of that.
- But, safety is essential. The problem using ITS is
- 14 that a lot of your causes of crashes are generally in the
- driver behavior realm. So, you can put all the signs you
- 16 want out there, but people that are intent on the cell
- phone, how do you get your way out of that?
- 18 Robert Peter Denaro: Some of it is ITS involved
- 19 attention.
- 20 Randell Iwasaki: You're right. But, about 90% of the
- 21 causes of crashes in California are driver behavior
- related, if you take a look at the Venn diagram. Safety is
- our top priority. We have to continue to do safety

- 1 research, which I like the idea of ITS.
- 2 I think where ITS really helps is in the area of the
- 3 environment. As Tomi was saying, the environment, safety,
- 4 and then one of the side benefits is mobility.
- 5 Joseph Averkamp: I liked Michael's articulation, when
- 6 he talked about focusing on safety, while simultaneously
- 7 achieving the other goals. I think it is a very appealing
- 8 position, and it should be what one of our major thrusts
- 9 is. But, I also think you include ancillary activities
- 10 around mobility and the environment. I do think those are
- also quite appealing to the legislators and the public, and
- 12 because they're needed.
- Randell Iwasaki: Can I add something? The other
- 14 benefit of safety is that it is not an urban or rural
- 15 issue. You start getting into more mobility research, and
- 16 the rural states or the rural counties start wondering,
- 17 "What's in it for us?"
- 18 Robert Peter Denaro: Good point. Alfred?
- 19 Alfred Foxx: I agree with what Michael said. It's
- 20 hard to say that safety is not the priority in any
- 21 jurisdiction, because then you're saying that you really
- 22 don't care. But safety is a priority, particularly at the
- 23 local level.

- 1 But as Michael indicated, you have safety as a focus,
- 2 and the are other thing you are concerned about, too, is
- 3 the mobility, and those issues that you have to deal with
- 4 on a day to day basis. So, I agree with the focus on
- 5 safety, but as a matter of degree, is safety 90% of the
- 6 effort, or is it 70%, or 60%?

## 7 Wrap-up

- 8 Robert Peter Denaro: I hear pretty good alignment
- 9 here. I think what I heard is, we're all saying look, one
- 10 of the reasons safety wants to be the focus, but maybe what
- 11 we want to do in our Advice Memo is, let's make sure we
- 12 find a convincing way to say, let's not lose these other
- areas. In fact, let's make sure we do a good job in these
- other areas, as well.
- 15 Dr. Adrian Lund: Let's not just be aware, but measure
- 16 it.
- 17 Robert Peter Denaro: Okay, that's what I wanted to be
- 18 sure of.
- 19 Tomiji Sugimoto: I have one question that we should
- discuss, about the business, itself, because even though we
- 21 focus on the 70%, sometimes on some technology, in order to
- deploy the technology, and to build into the market, we
- have to think about the business model, especially if the

- 1 customer has to pay some money.
- 2 Basically, the customer has a right to feel the value
- of everything. But, for the safety, I don't know.
- 4 Robert Peter Denaro: What I think I hear you saying
- 5 is, this is—
- 6 Tomiji Sugimoto: This would be useful.
- 7 Robert Peter Denaro: So, the business model could be
- 8 one of our biggest barriers to go into research is
- 9 something that really returns some benefit? So, I guess
- 10 our question is, what is DOT's role, or JPO's role, in
- 11 worrying about the business model?
- 12 Dr. Kenneth Button: Can I ask a question? Being a
- 13 humble economist, I think safety is usually dealt with in
- 14 the economic system for the insurance market. There are
- 15 two problems, risk and uncertainty. Risk is something you
- 16 can attach a probability to, and therefore, the insurance
- market has to cope with it. Uncertainty is something which
- 18 you can't attach a probability to, and therefore, the
- 19 market can't cope with it. There's an intellectual
- 20 distinction.
- 21 And it may be worthwhile thinking in terms of safety,
- and trying to look for areas which are not really the risk
- 23 issue, but more the uncertainty issue, because that's where

- 1 you have the problem.
- 2 It's a bit like security in a sense. There are some
- 3 things we can do to protect ourselves. We don't walk down
- 4 dark streets and things. But there's a public role, as
- 5 well. We know that there's a good probability that if you
- 6 walk through Northeast Washington at 2:00 in the morning,
- 7 your survival is in doubt, so you don't do it. But, there
- 8 are a lot of things that we are uncertain about, and that
- 9 is where the government provides security.
- 10 And it's the same thing with safety, a distinction
- 11 between risk, which is a probability-based thing.
- 12 Insurance companies will pay to reduce that risk, because
- it reduces the difficulty, or it will increase the premium
- 14 to cover it the other way around.
- 15 But, on uncertainty, it's different, and I think it's
- 16 an intellectual distinction that might be worth thinking
- about, in terms of where the public sector role is.

## 18 Next Steps

- 19 Robert Peter Denaro: What I'd like to do now is turn
- it back over to Joe, and he can talk about our next steps.
- 21 And, we do have some administrative things to take care of.
- Joe, do you want to take over?
- 23 Dr. Joseph Sussman: Yes. First, let me thank

- 1 everybody for their diligence at this lengthy and intense
- 2 meeting. We learned a lot. I hope that in what we can
- 3 write up, we can make a contribution to JPO's future
- 4 programs. This has been a very interesting discussion.
- 5 The process that we used the last time is that Bob and
- 6 I, basically, wrote the Advice Memo and sent it out to the
- 7 Committee for their review. We came up with a date certain
- 8 by which we wanted responses, and we got responses from
- 9 over half of you. We took the assumption that if we hadn't
- 10 heard from you, you agreed. And since no one howled in
- anguish once it went out, I assumed you did.
- 12 And, if that process is one that is comfortable, I
- 13 think it can work well. In this instance, it can work
- 14 particularly well, because if we can keep both Bob and me
- on the reservation, on the same Advice Memo, I think we'll
- 16 have everybody else on that reservation, since he and I
- have some points of agreement, but also some points of
- different emphasis on some perspectives. So, if that
- 19 process is okay, we would continue with it.
- 20 Bob, I don't know how you wanted to did you want to
- 21 collect this material? I know I've been diligently writing
- down answers to the questions for each of the twelve or so
- 23 projects. I don't know if other people have been doing

- 1 that, or not, but we need to collate those.
- 2 Robert Peter Denaro: I'm not sure, if people hand
- 3 them to us, if we can read their writing.
- 4 Shelley Row: I'm happy to help. I'd love to see what
- 5 you wrote. If you're comfortable with that, I'd be happy
- 6 to collect them on behalf of the Committee, and see if we
- 7 can transcribe them, if we can read the handwriting, and to
- 8 send it back out to the Committee.
- 9 Robert Peter Denaro: I think that would be the best,
- if people wrote it down, I know I integrated my notes all
- in one place, and then send it back out and let people make
- 12 qualifications or modifications.
- 13 Shelley Row: We will just try to transcribe what you
- 14 wrote and send it back to you, and it will be yours to
- incorporate as you will. But in the meantime, we get to
- 16 see what you wrote.
- Dr. Joseph Sussman: What I did is, for each of the
- 18 three questions, I wrote a sentence or two for each of the
- 19 projects.
- 20 Shelley Row: It's your call, if you want to keep your
- 21 notes.
- 22 Robert Peter Denaro: I'm going to have to send you my
- 23 notes. I have other things on them.

- 1 Dr. Joseph Sussman: I'm happy, in my case, to pass it
- on. So, if people will do whatever they feel they want to
- do, in terms of giving this to Shelley, she and her staff
- 4 will take the responsibility for passing it on.
- 5 The other thing that I had left over from last time
- 6 was the question of meeting during the World Congress,
- 7 itself. One of the reasons we met now was to have a
- 8 meeting before the World Congress, to give some comfort
- 9 with what was going to be presented at the World Congress.
- 10 I think we all now have a good sense of that.
- 11 The notion of meeting at the World Congress, itself,
- 12 was something that had been discussed. Is that something,
- 13 Shelley, from your point of view, is sensible, or something
- that is workable, I guess?
- 15 Shelley Row: Let me start with the workable part, and
- 16 then we'll go to the sensible part. We have been working
- 17 with ITS America, and I'm sorry Scott had to leave. We
- 18 have been working with ITS America on the logistics.
- 19 According to Scott, he believes he can find us space in
- 20 some of the local hotels.
- 21 The problem we're having right now is finding a time
- 22 to have a day-long meeting, either in one day, or two half
- 23 days, that either doesn't conflict with an ITS America

- 1 board meeting, which is immediately after the Congress, or
- that doesn't substantially overlap with the program. We
- 3 really hate taking people away from the program.
- 4 So right now, it is a time availability issue. We've
- 5 been looking for a day block, again, to split some way.
- 6 Dr. Joseph Sussman: It's nowhere written in stone
- 7 that it has to be a full day.
- 8 Shelley Row: It is not, and that's one of the
- 9 questions I have back to the Committee.
- 10 Dr. Joseph Sussman: We ought to ask how many people
- 11 are planning to be there? If it's not a substantial cost,
- 12 I know I am. I know Bob is.
- 13 Shelley Row: Well, one of the motives here was that
- 14 if you were planning to be there, we would be paying for
- 15 you to get there, to come for this. So, it was a way to
- 16 get some of the members to be able to attend World
- 17 Congress.
- We can't pay for the registration if it was a half day
- 19 meeting. I'm not sure, given the cost of hotels, I'm not
- 20 sure that we could really cover the hotel rooms. But, that
- 21 was one of our thoughts.
- 22 Dr. Joseph Sussman: How many people are planning to
- 23 be at the World Congress? How about a show of hands?

- 1 So, there is a reasonable number.
- 2 Robert Peter Denaro: Assuming this is a reasonable
- 3 representation of the Committee. We're missing a lot of
- 4 members here.
- 5 Shelley Row: The other question that you pose, Joe,
- 6 was is it sensible? And I think it gets to, what is the
- 7 mission of the Committee for that meeting, and is it doable
- 8 in half a day, instead of a full day? And, what would you
- 9 like to accomplish?
- 10 Dr. Adrian Lund: I think it would be better to meet,
- and this is partly self serving because I'm currently not
- 12 planning to go, but there's an advantage to meeting not at
- 13 the conference, because it's a huge distraction for you
- 14 guys, for one thing. And so, if you meet around it, I have
- 15 a feeling you're going to be talking more about what you
- saw around the Congress and things like that.
- 17 Maybe that's the right thing. I don't know, but it
- doesn't necessarily get us, as I was trying to say before,
- 19 what I think is the thing that we can offer to JPO in
- 20 advice is to ask the question, "Are there technologies that
- 21 are glaring in the fact that they have been left out of the
- 22 research program, or are there applications of the
- 23 technology that are glaring by their omission from the

- 1 current plan?"
- 2 Shelley Row: Adrian, that's a very good articulation.
- 3 I would add to that, "and is there a clear federal role?"
- 4 That is the part that always makes me squeamish, is to make
- 5 sure that there is a clear federal role, that we're
- 6 serving, and we're not stepping on somebody else's world.
- 7 Robert Peter Denaro: Another alternative for meeting
- 8 at the Congress, along the lines of what you said, would
- 9 maybe be, because I agree with you, carving out two days,
- or even one day, would be difficult.
- 11 Maybe a better purpose is to sign us up for a
- 12 dedicated period, to look at some of these demos, and
- experience some of the results and so forth, to get a real
- 14 first hand view, so that we become better informed when we
- do have that meeting.
- 16 Shelley Row: So, sort of like a tour of ITS America
- for the Committee? And, whomever was there, you could get
- 18 together?
- 19 Robert Peter Denaro: We could go in there and, boom,
- get done.
- 21 Dr. Adrian Lund: A VIP pass.
- 22 Dr. Kenneth Button: There's a British literature
- writer who was asked to review seven or eight books a week.

- 1 He said, "Read them? That would impair my objectivity."
- 2 (A bit of laughter)
- 3 Dr. Joseph Sussman: That might well be a good idea.
- 4 The "agenda" could be getting us some shared understanding
- 5 of what was going on at the World Congress, as opposed to
- 6 deliberating, if you will.
- 7 Shelley Row: Here's the thing I have to go back and
- 8 check on. You guys are a federal advisory committee, and
- 9 anytime you officially meet, it has to be published, with
- 10 an agenda, and we'll have to have a transcriber and minutes
- 11 provided, since it's a public meeting. So, I need to check
- on that. I don't think you could meet I mean, we could
- say we're going to take this group of people. I just need
- 14 to check and make sure that it is not a problem.
- 15 Robert Peter Denaro: A tour doesn't sound like a
- 16 meeting.
- 17 Shelley Row: But, to just have you there, you're
- anywhere and we would just help you. I will just verify
- 19 that.
- 20 Dr. Joseph Sussman: I guess, following on, it does
- 21 make sense to schedule another meeting of this Advisory
- Committee, maybe a month after the ITS World Congress, so
- that we can reflect on what we have picked up from that,

- 1 and this whole set of strategic questions.
- 2 Robert Peter Denaro: So, we could meet in early
- 3 December?
- 4 Shelley Row: At that point, we will have published
- 5 the Program Plan and the Strategic Plan Document, and maybe
- 6 we could have you guys help us go to the next level of
- 7 depth?
- 8 Dr. Joseph Sussman: The kind of rule of thumb that
- 9 we've been working toward is something on the order of
- 10 three, at most four, meetings a year. So that would put us
- on a four month center, and that would work out.
- 12 Shelley Row: So, I'm hearing early December?
- 13 Dr. Kenneth Button: This is after the election.
- 14 Dr. Joseph Sussman: The World Congress will be after
- 15 the election, as well.
- 16 Shelley Row: Let us look at, we'll send out emails
- and start looking at dates in early December, we will
- 18 explore the tour thing and make sure we're not crossing any
- lines there, and we'll work with Joe on follow up.
- 20 Dr. Joseph Sussman: Thank you all for your
- 21 participation.
- 22 Robert Peter Denaro: Before we depart, Charlie did
- 23 have some administrative things.

- 1 Carlos Velez: We have some written instructions
- 2 specific to this meeting, including specifics of the
- 3 deductions for the meals provided. Paragraph 1B also
- 4 includes the URL.
- 5 Shelley Row: For those of you who didn't get lunch
- 6 yesterday, don't feel you have to take it off your per
- 7 diem.
- 8 Carlos Velez: These instructions will also be on the
- 9 Committee web page and the ITS/JPO website. That URL is at
- 10 Paragraph 1B. If you have any questions about filling out
- 11 the expense sheet, at the bottom, on the last line on Page
- 12 4, it has a number you can call for assistance.
- 13 Shelley Row: We need them by the end of the fiscal
- 14 year.
- 15 Robert Peter Denaro: So, the first and last day, you
- get 25% of the per diem, if you were here both days? Okay.
- 17 Dr. Joseph Sussman: I hope I pass this course.
- 18 (Laughter)
- 19 Robert Peter Denaro: This is bringing back bad
- 20 memories for me.
- 21 (Laughter)
- 22 Shelley Row: May I make a quick remark? I just
- 23 wanted you to know, we had all the staff come in and do

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those briefings. I should have mentioned it while they
 1
 2
      were all here. I hope that the implicit message you got
      was that they are extremely talented people, and they do a
 3
      really good job. They are articulate, they care about
 4
 5
      their programs, and they love their program.
 6
           So, any nice words you have to say about them when you
 7
      see them the next time would be much appreciated.
 8
           Dr. Kenneth Button: They kept to time, as well.
 9
           Dr. Joseph Sussman: Thank you all.
    Adjournment
10
11
           (Adjourned at 1:05)
12
13
14
15
16
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