

SELECTED FCM FINANCIAL DATA  
FROM REPORTS FILED BY  
July 31, 2003

		B/D?	DSRO	A/O	Adjusted	Net Capital	Risk Based	Excess	Customers'	Customer
	Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
		(a)	(b)			(4% of Seg, SEC or \$250,000)	Capital (c)	(d)	4d(2) (e)	Pt. 30 Required (f)
1	ABN AMRO INCORPORATED	Y	CBOT	06/30/2003	1,140,713,008	142,038,259	92,952,682	998,674,749	1,559,229,890	106,022,575
2	ABN AMRO SAGE CORPORATION	Y	NFA	06/30/2003	26,719,508	3,020,319	3,020,920	23,698,588	54,834,160	0
3	ADM INVESTOR SERVICES INC	N	CBOT	06/30/2003	70,248,253	18,065,448	16,414,435	52,182,805	452,946,388	17,626,093
4	ADVANTAGE FUTURES LLC	N	NFA	06/30/2003	4,926,798	1,764,605	1,140,373	3,162,193	37,762,553	11,902,450
5	ADVEST INC	Y	NFA	06/30/2003	39,291,596	1,000,000	0	38,291,596	0	0
6	AG EDWARDS & SONS INC	Y	CBOT	06/30/2003	607,210,286	42,460,098	3,277,936	564,750,188	84,316,346	0
7	AGE COMMODITY CLEARING CORP	N	CBOT	06/30/2003	13,638,181	1,711,687	3,228,194	10,409,987	59,183,455	0
8	AIG CLEARING CORPORATION	N	NYME	06/30/2003	180,822,593	250,000	7,608,852	173,213,741	0	0
9	ALARON TRADING CORPORATION	N	CME	06/30/2003	4,390,640	2,195,612	1,113,232	2,195,028	59,540,416	147,960
10	ALCO COMMODITIES INC	N	NFA	06/30/2003	498,750	250,000	0	248,750	0	0
11	AMERICAN NATIONAL TRADING CORP	N	NFA	06/30/2003	1,244,917	460,280	274,721	784,637	13,742,762	0
12	BANC OF AMERICA FUTURES INCORPORATED	Y	CME	06/30/2003	62,523,894	7,704,636	32,484,207	30,039,687	192,615,893	0
13	BANC ONE CAPITAL MARKETS INC	Y	CBOT	06/30/2003	376,079,709	13,802,524	16,557,043	359,522,666	251,987,340	24,123,315
14	BARCLAYS CAPITAL INC	Y	CBOT	06/30/2003	322,791,695	68,783,445	109,966,859	212,824,836	1,520,701,734	64,879,835
15	BEAR STEARNS & CO INC	Y	CBOT	06/30/2003	1,581,753,807	34,831,227	0	1,546,922,580	0	0
16	BEAR STEARNS SECURITIES CORP	Y	CME	06/30/2003	2,962,825,343	889,451,150	86,029,791	2,073,374,193	1,420,494,258	251,758,267
17	BIELFELDT & COMPANY LLC	N	CBOT	06/30/2003	404,945	250,000	0	154,945	0	0
18	BLAYLOCK AND PARTNERS LP	Y	NFA	06/30/2003	23,602,528	313,977	0	23,288,551	0	0
19	BNP PARIBAS BROKERAGE SERVICES INC	Y	CME	06/30/2003	45,156,836	11,963,646	12,313,461	32,843,375	299,091,138	0
20	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	06/30/2003	50,680,212	11,292,063	29,321,167	21,359,045	289,432,711	30,520,842
21	BNP PARIBAS SECURITIES CORP	Y	CBOT	06/30/2003	283,019,170	3,386,302	0	279,632,868	0	0
22	BNY CLEARING SERVICES LLC	Y	NFA	06/30/2003	108,127,540	27,114,584	0	81,012,956	0	0
23	C CZARNIKOW SUGAR FUTURES INC	N	NFA	06/30/2003	1,579,849	250,000	85,873	1,329,849	1,097,543	0
24	CANTOR FITZGERALD & CO	Y	CBOT	06/30/2003	51,052,560	940,191	86,081	50,112,369	769,689	0
25	CAPITAL MARKET SERVICES LLC	N	NFA	06/30/2003	1,092,213	250,000	0	842,213	0	0
26	CARGILL INVESTOR SERVICES INC	N	CBOT	06/30/2003	87,113,406	44,192,324	53,456,039	33,657,367	1,082,146,487	95,964,074
27	CARR FUTURES INC	Y	CME	06/30/2003	225,110,779	119,038,868	149,111,836	75,998,943	2,874,529,546	1,735,790,314
28	CDC SECURITIES	Y	NFA	06/30/2003	74,561,400	250,000	0	74,311,400	0	0
29	CIBC WORLD MARKETS CORP	Y	NYME	06/30/2003	826,169,899	23,137,104	10,401,388	803,032,795	0	0
30	CITIGROUP GLOBAL MARKETS INC.	Y	CBOT	06/30/2003	3,326,376,784	611,612,715	186,511,456	2,714,764,069	5,346,885,208	79,238,039
31	CLIFDEN FUTURES LLC	N	NFA	06/30/2003	339,684	250,000	3,205	89,684	579,594	0
32	CLIFF LARSON COMPANY THE	N	NFA	06/30/2003	327,831	250,000	0	77,831	1,284,928	0
33	CMC GROUP PLC	N	NFA	06/30/2003	12,611,133	250,000	0	12,361,133	0	0
34	COESfx CLEARING INC	N	NFA	06/30/2003	387,330	250,000	0	137,330	0	0
35	COMMERZ FUTURES LLC	N	CME	06/30/2003	6,035,928	2,354,667	3,493,984	2,541,944	79,646,312	4,140,041
36	COUNTRY HEDGING INC	N	NFA	06/30/2003	6,109,716	1,018,703	1,918,398	4,191,318	26,691,464	32,604
37	CREDIT LYONNAIS ROUSE USA LIMITED	N	NYME	06/30/2003	27,896,157	4,172,573	12,793,515	15,102,642	131,840,648	238,424
38	CREDIT SUISSE FIRST BOSTON LLC	Y	CBOT	06/30/2003	1,845,599,331	248,873,306	111,533,353	1,596,726,025	1,610,824,591	555,739,209
39	CRESSWELL CAPITAL LLC	N	NFA	06/30/2003	719,073	250,000	0	469,073	2,457,830	68,483
40	CROSSLAND LLC	N	CBOT	06/30/2003	3,406,313	257,395	83,036	3,148,918	6,668,871	0
41	CUNNINGHAM COMMODITIES INC	N	CBOT	06/30/2003	2,212,348	261,607	255,475	1,950,741	6,485,026	135,322
42	DAIWA SECURITIES AMERICA INC	Y	CME	06/30/2003	162,780,005	22,632,677	2,067,234	140,147,328	55,052,983	198,088
43	DAVID A NOYES & CO	Y	NFA	06/30/2003	1,391,710	250,000	0	1,141,710	0	0
44	DEUTSCHE BANK SECURITIES INC	Y	CBOT	06/30/2003	1,077,118,245	181,274,198	162,922,366	895,844,047	1,344,813,831	320,504,381
45	DORMAN TRADING LLC	N	CME	06/30/2003	4,592,344	598,461	209,871	3,993,883	14,998,264	0
46	DUNAVANT COMMODITY CORP	N	NYCE	06/30/2003	19,994,979	983,958	51,269	19,011,021	26,946,339	0
47	EAGLE MARKET MAKERS INC	N	CBOT	06/30/2003	1,837,651	250,000	449,875	1,387,776	1,642,768	36,137
48	ED & F MAN COMMODITY ADVISORS INC	N	NFA	06/30/2003	398,331	250,000	0	148,331	0	0

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49	EM COMBS & SON	N	CBOT	06/30/2003	1,958,609	250,000	37,077	1,708,609	760,378	0
50	FAHNESTOCK & CO INC	Y	NFA	06/30/2003	77,783,384	26,191,535	0	51,591,849	7,185,704	0
51	FARR FINANCIAL INC	N	NFA	06/30/2003	1,207,119	611,940	272,339	595,179	15,613,795	81,685
52	FC STONE LLC	N	CME	06/30/2003	13,296,672	5,837,366	6,716,237	6,580,435	227,997,018	676,596
53	FCT GROUP LLC	N	CME	06/30/2003	3,989,600	250,000	506,989	3,482,611	12,925,045	0
54	FIMAT USA INC	Y	CME	06/30/2003	174,317,610	96,917,360	109,307,232	65,010,378	2,584,223,075	561,936,911
55	FIRST CAPITOL GROUP LLC	N	NFA	06/30/2003	1,812,408	819,626	675,464	992,782	28,781,867	26,637
56	FIRST OPTIONS OF CHICAGO INC	Y	CME	06/27/2003	238,119,664	11,422,536	22,903,469	215,216,195	505,926,620	15,179,834
57	FOREX CAPITAL MARKETS LLC	N	NFA	06/30/2003	4,535,795	250,000	0	4,285,795	0	0
58	FORTIS CLEARING CHICAGO LLC	N	CBOT	06/30/2003	6,866,436	2,198,806	863,496	4,667,630	44,960,973	11,616,041
59	FRIEDBERG MERCANTILE GROUP INC	Y	NFA	06/30/2003	1,828,053	250,000	92,505	1,578,053	4,448,643	85,158
60	FRONTIER FUTURES INC	N	NFA	06/30/2003	1,030,688	381,309	227,827	649,379	12,096,261	0
61	FUTURES TECH LLC	N	NFA	06/30/2003	401,784	250,000	0	151,784	0	0
62	FX FIRST INC	N	NFA	06/30/2003	306,075	250,000	0	56,075	1,248,132	0
63	FX SOLUTIONS LLC	N	NFA	06/30/2003	1,628,366	250,000	0	1,378,366	0	0
64	GAIN CAPITAL INC.	N	NFA	06/30/2003	3,544,741	250,000	0	3,294,741	0	0
65	GELBER GROUP LLC	N	CBOT	06/30/2003	17,395,233	527,492	155,918	16,867,741	14,198,904	341,413
66	GFS SECURITIES & FUTURES INC	N	NFA	06/30/2003	281,296	250,000	0	31,296	0	0
67	GILDER GAGNON HOWE AND CO LLC	Y	NFA	06/30/2003	23,784,735	250,000	0	23,534,735	0	0
68	GLOBAL FUTURES & FOREX LTD	N	NFA	06/30/2003	5,949,126	250,000	0	5,699,126	0	0
69	GOLDENBERG HEHMEYER & CO	Y	CBOT	06/30/2003	15,365,302	1,304,273	1,144,724	14,061,029	43,790,501	3,675,735
70	GOLDMAN SACHS & CO	Y	CBOT	06/27/2003	3,463,788,897	877,057,195	434,455,598	2,586,731,702	6,883,458,159	3,211,636,358
71	GREENWICH CAPITAL MARKETS INC	Y	CBOT	06/30/2003	886,782,000	153,869,000	23,389,440	732,913,000	325,703,000	3,450,000
72	HAGERTY GRAIN CO INC	N	CBOT	06/30/2003	1,682,466	265,822	58,783	1,416,644	6,880,567	0
73	HORNBLLOWER FISCHER & CO	Y	NFA	06/30/2003	1,492,525	330,565	187,361	1,161,960	5,108,621	0
74	HOTSPOT FX INC	N	NFA	06/30/2003	3,508,134	250,000	0	3,258,134	0	0
75	HSBC SECURITIES USA INC	Y	CME	06/30/2003	266,703,185	51,518,412	27,205,900	215,184,773	290,571,298	34,338,433
76	IFSCL USA INC	N	NFA	06/30/2003	415,744	250,000	0	165,744	13,495	0
77	INTEGRATED BROKERAGE SERVICES INC	N	NFA	06/30/2003	907,344	250,000	68,041	657,344	1,182,929	0
78	INTERACTIVE BROKERS LLC	Y	NFA	06/30/2003	105,906,843	3,195,465	1,298,080	102,711,378	16,083,759	5,652,948
79	INVESTEC ERNST & COMPANY	Y	NFA	06/27/2003	13,711,548	250,000	0	13,461,548	0	0
80	IOWA GRAIN CO	N	CBOT	06/30/2003	7,972,563	3,641,312	2,943,226	4,331,251	96,929,203	8,365
81	ISB CLEARING CORPORATION	N	NFA	06/30/2003	422,013	250,000	0	172,013	0	0
82	JP MORGAN FUTURES INC	N	NYME	06/30/2003	531,584,645	198,747,782	301,901,861	229,682,784	4,979,759,382	501,421,814
83	JULIUS BAER SECURITIES INC	Y	NFA	06/30/2003	10,417,831	505,244	0	9,912,587	0	0
84	KOTTKE ASSOCIATES LLC	N	CBOT	06/30/2003	7,018,356	299,617	111,547	6,718,739	10,949,456	135
85	LADENBURG THALMANN & CO INC	Y	NFA	06/30/2003	7,424,334	250,000	0	7,174,334	0	0
86	LAWRENCE-BONFITTO TRADING COMPANY	N	CBOT	06/30/2003	2,840,716	250,000	39,626	2,590,716	3,751,984	0
87	LBS LIMITED PARTNERSHIP	N	CBOT	06/30/2003	390,513	250,000	0	140,513	0	0
88	LEADER INVESTMENTS INC	Y	NFA	06/30/2003	483,882	250,000	0	233,882	0	0
89	LEGG MASON WOOD WALKER INC	Y	NFA	06/30/2003	338,801,720	22,341,441	0	316,460,279	0	0
90	LEHMAN BROTHERS INC	Y	CBOT	06/30/2003	1,824,032,000	480,712,400	138,546,800	1,343,319,600	1,850,347,000	26,213,000
91	LINN GROUP ( THE )	N	NFA	06/30/2003	406,125	250,000	0	156,125	0	0
92	LINSKO/PRIVATE LEDGER CORP	Y	NFA	06/30/2003	90,942,711	3,818,417	0	87,124,294	0	0
93	LOEB PARTNERS CORPORATION	Y	NFA	06/30/2003	17,257,593	250,000	0	17,007,593	0	0
94	MAN FINANCIAL INC	N	CME	06/30/2003	183,906,595	104,430,628	116,113,604	67,792,991	2,528,798,939	690,400,994
95	MARQUETTE ELECTRONIC BROKERAGE LLC	N	NFA	06/30/2003	4,884,596	250,000	0	4,634,596	0	0
96	MAXCOR FINANCIAL INC	Y	NFA	06/30/2003	47,067,015	250,000	0	46,817,015	0	0
97	MBF CLEARING CORP	N	NYME	06/30/2003	6,750,176	2,353,071	761,477	4,397,105	59,009,266	630,519

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						(c)			(e)	(f)
98	MCVEAN TRADING AND INVESTMENTS LLC	N	NFA	06/30/2003	8,766,591	5,666,000	850,640	3,100,591	142,863,557	0
99	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	06/27/2003	2,581,848,795	277,146,509	208,851,449	2,304,702,286	4,626,638,209	402,005,962
100	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	06/27/2003	527,500,208	19,091,351	35,096,582	492,403,626	538,449,096	0
101	MID-CO COMMODITIES INC	N	NFA	06/30/2003	3,635,661	398,996	398,751	3,236,665	10,353,567	0
102	MITSUI & CO INVESTMENT PRODUITS CORP	N	NFA	06/30/2003	2,370,629	250,000	1,125	2,120,629	636,907	0
103	MIZUHO SECURITIES USA INC	Y	CME	06/30/2003	162,776,934	10,261,013	12,941,953	149,834,981	227,008,093	33,709,359
104	MONEY GARDEN CORPORATION, THE	N	NFA	06/30/2003	3,514,293	250,000	0	3,264,293	0	0
105	MORGAN KEEGAN & COMPANY INC	Y	NFA	06/30/2003	184,625,943	19,899,110	0	164,726,833	0	0
106	MORGAN STANLEY & CO INCORPORATED	Y	CME	06/30/2003	3,934,933,230	758,396,438	204,490,032	3,176,536,792	2,671,622,787	1,235,800,361
107	MORGAN STANLEY DW INC	Y	CBOT	06/30/2003	1,115,380,510	96,177,733	2,972,303	1,019,202,777	713,896,424	1,329,663,405
108	NATIONAL COMMODITIES CORPORATION INC	N	NFA	06/30/2003	1,478,086	250,000	65,690	1,228,086	11,085,121	0
109	NEUBERGER BERMAN LLC	Y	NFA	06/30/2003	284,945,514	18,579,726	693,172	266,365,788	18,243,300	0
110	NIKKO SECURITIES CO INTERNATIONAL INC	Y	NFA	06/30/2003	12,584,816	250,000	0	12,334,816	0	0
111	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	06/30/2003	355,890,718	21,740,319	900,675	334,150,399	87,137,735	0
112	OANDA CORPORATION	N	NFA	06/30/2003	2,706,216	250,000	0	2,456,216	0	0
113	OCONNOR & COMPANY LLC	Y	CBOT	06/30/2003	39,355,084	2,148,504	2,166,568	37,188,516	61,959,321	279,978
114	PACIFIC CAPITAL MARKETS	N	NFA	06/30/2003	518,521	250,000	0	268,521	0	0
115	PATTERSON CAPITAL MARKETS LTD	N	CBOT	06/30/2003	466,415	250,000	0	216,415	0	0
116	PAX CLEARING CORPORATION	Y	CME	06/30/2003	41,258,746	2,416,574	3,205,586	38,053,160	71,663,263	0
117	PENSON FINANCIAL FUTURES INC	N	NFA	06/30/2003	507,776	250,000	19,376	257,776	554,920	0
118	PEREGRINE FINANCIAL GROUP INC	N	NFA	06/30/2003	22,260,129	3,200,346	0	19,059,783	89,963,811	2,759,583
119	PERSHING LLC	Y	CBOT	06/30/2003	692,472,000	130,585,180	0	561,886,820	0	0
120	PIONEER FUTURES INC	N	NYME	06/30/2003	6,790,751	3,637,099	1,649,662	3,153,652	102,543,582	177,905
121	PREFERRED TRADE INC	Y	NFA	06/30/2003	8,725,547	645,801	0	8,079,746	976,114	0
122	PRUDENTIAL SECURITIES INCORPORATED	Y	CBOT	06/30/2003	688,144,000	85,960,760	53,842,480	602,183,240	1,072,845,000	109,969,000
123	RAND FINANCIAL SERVICES INC	N	CME	06/30/2003	14,361,350	6,107,288	9,202,349	5,159,001	134,292,400	29,141,600
124	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	06/27/2003	319,691,775	22,629,056	25,204	297,062,719	0	0
125	RB&H FINANCIAL SERVICES LP	N	CME	06/30/2003	4,193,759	1,598,945	799,835	2,594,814	42,777,647	7,354
126	RBC DAIN RAUSCHER INC	Y	NFA	06/30/2003	272,992,010	32,619,136	0	240,372,874	0	0
127	RBC DOMINION SECURITIES CORPORATION	Y	CME	06/30/2003	160,203,365	1,000,000	30,392,581	129,810,784	0	0
128	REDSKY SECURITIES LLC	Y	NFA	06/30/2003	891,931	250,000	0	641,931	0	0
129	REFCO LLC	N	CME	06/30/2003	204,730,772	114,735,843	108,153,638	89,994,929	3,172,273,359	49,056,023
130	RJ OBRIEN ASSOCIATES INC	N	CME	06/30/2003	35,725,433	19,007,127	17,615,445	16,718,306	511,899,072	2,212,540
131	ROBBINS FUTURES INC	N	NFA	06/30/2003	414,009	250,000	47,295	164,009	5,299,549	0
132	ROBECO USA LLC	Y	NFA	06/27/2003	73,752,619	4,510,762	0	69,241,857	0	0
133	ROSENTHAL COLLINS GROUP LLC	N	CME	06/30/2003	36,554,074	10,388,337	6,494,925	26,165,737	289,748,878	673,999
134	ROSENTHAL GLOBAL SECURITIES LLC	Y	CBOT	06/30/2003	14,479,510	1,139,610	0	13,339,900	0	0
135	ROTHSCHILD INC	Y	NFA	06/30/2003	17,035,170	250,000	0	16,785,170	0	0
136	SANFORD C BERNSTEIN & CO LLC	Y	NFA	06/30/2003	140,625,520	11,111,660	0	129,513,860	0	0
137	SENTINEL MANAGEMENT GROUP INC	N	NFA	06/30/2003	1,273,551	250,000	0	1,023,555	443,697,837	0

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138	SG COWEN SECURITIES CORPORATION	Y	NFA	06/30/2003	698,572,997	6,851,415	0	691,721,582	0	0
139	SHATKIN ARBOR KARLOV & CO	N	CBOT	06/30/2003	6,150,720	358,009	101,932	5,792,711	8,950,275	146,270
140	SHAY GRAIN CLEARING COMPANY	N	KCBT	06/30/2003	782,280	250,000	6,186	532,280	2,102,604	0
141	SHEPARD INTERNATIONAL INC	N	NFA	06/30/2003	2,262,803	250,000	0	2,012,803	0	0
142	SHERWOOD FUTURES GROUP LLC	N	NFA	06/30/2003	1,722,279	250,000	0	1,472,279	28,766,720	0
143	SIEGEL TRADING CO INC THE	N	NFA	06/30/2003	522,575	250,000	504	272,575	1,472,800	0
144	SMW TRADING COMPANY INC	N	CME	06/30/2003	6,356,638	488,024	433,048	5,868,614	12,308,969	0
145	SNC INVESTMENTS INC	N	NFA	06/30/2003	438,515	250,000	0	188,515	0	0
146	SOLID GOLD FINANCIAL SERVICES INC	N	NFA	06/30/2003	350,979	250,000	0	100,979	0	0
147	SPEAR LEEDS & KELLOG	Y	NYME	06/27/2003	1,168,105,746	50,820,456	4,463,330	1,117,285,290	215,479,804	3,195,782
148	STEPHENS INC	Y	NFA	06/27/2003	77,899,737	10,286,181	0	67,613,556	0	0
149	STERLING COMMODITIES CORP	N	NYME	06/30/2003	5,383,056	752,581	179,945	4,630,475	18,321,168	684,493
150	SWISS AMERICAN SECURITIES INC	Y	NFA	06/30/2003	68,503,120	3,319,174	0	65,183,946	0	0
151	TCA FUTURES LLC	N	NFA	06/30/2003	410,351	250,000	0	160,351	0	0
152	TENCO INC	N	CBOT	06/30/2003	28,048,672	1,941,985	1,933,750	26,106,687	63,609,838	0
153	TIMBER HILL LLC	Y	CME	06/30/2003	360,286,741	1,000,000	1,345,431	358,941,310	19,016,894	0
154	TOKYO-MITSUBISHI FUTURES USA INC	N	CME	06/30/2003	17,882,165	250,000	4,050,402	13,831,763	6,052,533	0
155	TRADELINK LLC	Y	CBOT	06/30/2003	45,343,340	250,000	1,141,504	44,201,836	0	0
156	TRADITION SECURITIES AND FUTURES INC	N	NFA	06/30/2003	392,536	250,000	0	142,536	0	0
157	TRANSMARKET GROUP LLC	Y	CBOT	06/30/2003	7,007,775	250,000	0	6,757,775	0	0
158	TRILAND USA INC	N	NYME	06/30/2003	17,780,767	1,002,829	775,349	16,777,938	25,070,724	0
159	UBS FINANCIAL SERVICES INC.	Y	CBOT	06/30/2003	1,397,254,824	229,833,072	14,813,965	1,167,421,752	1,045,713,479	18,549,159
160	UBS SECURITIES LLC	Y	CBOT	06/30/2003	3,196,569,376	257,767,626	174,008,033	2,938,801,750	3,511,348,942	749,618,120
161	UFJ FUTURES LLC	N	CME	06/30/2003	20,948,532	250,000	1,629,307	19,319,225	0	0
162	UNIVERSAL FINANCIAL HOLDING CORP	N	NFA	06/30/2003	788,801	250,000	100,202	538,801	3,062,836	0
163	US BANCORP PIPER JAFFRAY INC	Y	NFA	06/30/2003	176,576,267	10,385,995	0	166,190,272	0	0
164	UTS WORLD INC	N	NFA	06/30/2003	492,193	250,000	0	242,193	0	0
165	VELOCITY FUTURES LP	N	NFA	06/30/2003	566,102	250,000	0	316,102	626,559	0
166	VISION LIMITED PARTNERSHIP	N	NFA	06/30/2003	12,439,418	5,651,540	3,435,874	6,787,878	146,341,013	14,278
167	WACHOVIA SECURITIES FINANCIAL NETWORK LLC	Y	NFA	06/30/2003	18,648,063	715,021	0	17,933,042	0	0
168	WACHOVIA SECURITIES LLC	Y	NFA	06/30/2003	391,561,525	4,042,046	0	387,519,479	0	0
169	WALL STREET DERIVATIVES INC	N	NFA	06/30/2003	415,726	250,000	0	165,726	0	0
170	WHITE COMMERCIAL CORPORATION	N	NFA	06/30/2003	1,552,756	250,000	0	1,302,756	0	0
171	XPRESSTRADE LLC	N	NFA	06/30/2003	2,293,668	250,000	0	2,043,668	0	0
172	YORK BUSINESS ASSOCIATES LLC	N	CBOT	06/30/2003	1,388,944	250,000	0	1,138,944	240,396	0
	Total				43,811,889,265				59,630,860,043	12,334,104,200

SELECTED FCM FINANCIAL DATA  
FROM REPORTS FILED BY  
July 31, 2003

Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement (4% of Seg, SEC or \$250,000)	Risk Based Requirement Capital (c)	Excess Net Capital (d)	Customers' Seg Required 4d(2) (e)	Customer Amount Pt. 30 Required (f)
Reconciliation from May Web Page Update	171								
Name Change From UBS Warburg LLC to UBS Securities LLC									
Additions	2								
SNC Investments Inc									
FX First Inc									
Deletions	1								
Direct Trading Group LLC									
June Web Page Update Total	172								
(a): B/D? : A 'Y' means the FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.				(d): Excess net capital is adjusted net capital, less the greater of the net capital requirement or risk-based requirement.					
(b): DSRO: Designated Self-Regulatory Organization.		(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on commodity exchanges located in the United States. This is the sum of all accounts that contain a net liquidating equity.							
(c): Risk-based net capital requirement is the sum total of 8% of customer, and 4% of non-customer risk margin for domestic and foreign future accounts (excluding proprietary accounts). The risk based minimum requirement applies to all FCMs which are members of the National Futures Association Effective date 10/31/00.				(f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States. The amount to be set aside for a customer's foreign commodity account may be less than the net liquidating equity in the					
				Note: Any errors in this table should be brought to the attention of the CFTC's Division of Clearing and and Intermediary Oversight via e-mail: sgreska@cftc.gov. or fzimmerle@cftc.gov					