

SELECTED FCM FINANCIAL DATA
FROM REPORTS FILED BY
February 28, 2003

	B/D?	DSRO	A/O	Adjusted	Net Capital	Risk Based	Excess	Customers'	Customer
Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
					(4% of Seg, SEC	Capital		4d(2)	Pt. 30 Required
	(a)	(b)			or \$250,000)	(c)	(d)	(e)	(f)
1 ABN AMRO INCORPORATED	Y	CBOT	01/31/2003	1,324,609,856	113,456,074	86,204,773	1,211,153,782	1,413,934,834	108,971,663
2 ABN AMRO SAGE CORPORATION	Y	NFA	01/31/2003	49,123,983	2,955,954	2,955,955	46,168,028	53,211,686	0
3 ADM INVESTOR SERVICES INC	N	CBOT	01/31/2003	72,144,509	17,152,620	19,572,416	52,572,093	463,850,303	12,873,629
4 ADVEST INC	Y	NFA	01/31/2003	51,027,452	1,000,000	0	50,027,452	0	0
5 AG EDWARDS & SONS INC	Y	CBOT	01/31/2003	587,140,898	41,074,659	2,645,970	546,066,239	70,249,226	45,238
6 AGE COMMODITY CLEARING CORP	N	CBOT	01/31/2003	12,976,593	1,512,255	2,542,924	10,433,669	48,879,992	0
7 AIG CLEARING CORPORATION	N	NYME	01/31/2003	120,941,012	250,000	7,515,196	113,425,816	0	0
8 ALARON TRADING CORPORATION	N	CME	01/31/2003	3,676,338	2,107,421	1,167,589	1,568,917	58,735,311	206,960
9 ALCO COMMODITIES INC	N	NFA	01/31/2003	485,822	250,000	0	235,822	0	0
10 AMERICAN NATIONAL TRADING CORP	N	NFA	01/31/2003	899,516	507,280	355,236	392,236	15,714,151	207
11 BANC OF AMERICA FUTURES INCORPORATED	Y	CME	01/31/2003	62,771,773	6,710,594	26,189,610	36,582,163	167,510,252	254,591
12 BANC ONE CAPITAL MARKETS INC	Y	CBOT	01/31/2003	370,806,414	5,539,822	12,571,877	358,234,537	228,080,009	10,710,128
13 BARCLAYS CAPITAL INC	Y	CBOT	01/31/2003	282,024,393	45,444,631	86,701,275	195,323,118	1,147,366,231	56,138,095
14 BEAR STEARNS & CO INC	Y	CBOT	01/31/2003	1,710,547,650	40,135,875	0	1,670,411,775	0	0
15 BEAR STEARNS SECURITIES CORP	Y	CME	01/31/2003	2,442,453,194	684,167,811	80,926,232	1,758,285,383	1,558,473,888	175,456,338
16 BIELFELDT & COMPANY LLC	N	CBOT	01/31/2003	402,763	250,000	0	152,763	0	0
17 BNP PARIBAS BROKERAGE SERVICES INC	Y	CME	01/31/2003	18,177,691	5,395,056	9,628,924	8,548,767	134,876,405	0
18 BNP PARIBAS COMMODITY FUTURES INC	N	NYME	01/31/2003	50,153,377	12,910,008	17,525,491	32,627,886	370,538,424	21,245,883
19 BNP PARIBAS SECURITIES CORP	Y	CBOT	01/31/2003	360,484,986	928,916	0	359,556,070	0	0
20 BNY CLEARING SERVICES LLC	Y	NFA	12/31/2002	91,425,688	14,109,260	0	77,316,428	0	0
21 BOSTON CABOT LLC	Y	NFA	01/31/2003	588,788	250,000	0	338,788	0	0
22 C CZARNIKOW SUGAR FUTURES INC	N	NFA	01/31/2003	1,478,181	250,000	222,500	1,228,181	2,347,624	0
23 CANTOR FITZGERALD & CO	Y	CBOT	01/31/2003	36,191,646	1,255,471	24,966	34,936,175	154,671	0
24 CAPITAL MARKET SERVICES LLC	N	NFA	01/31/2003	514,632	250,000	0	264,632	0	0
25 CARGILL INVESTOR SERVICES INC	N	CBOT	01/31/2003	90,678,076	43,852,773	60,975,139	29,702,937	1,080,612,847	98,416,887
26 CARR FUTURES INC	Y	CME	01/31/2003	186,994,704	101,622,003	150,053,251	36,941,453	2,633,515,559	1,447,140,594
27 CDC SECURITIES	Y	NFA	01/31/2003	76,028,738	250,000	0	75,778,738	0	0
28 CIBC WORLD MARKETS CORP	Y	NYME	01/31/2003	823,263,419	25,040,309	4,654,789	798,223,111	5,494,518	0
29 CLIFDEN FUTURES LLC	N	NFA	01/31/2003	541,481	250,000	0	291,481	1,563,224	0
30 CLIFF LARSON COMPANY THE	N	NFA	01/31/2003	353,386	250,000	44,863	103,386	1,353,062	0
31 CMC GROUP PLC	N	NFA	01/01/2003	20,928,999	250,000	0	20,678,999	0	0
32 COES FX CLEARING INC	N	NFA	01/31/2003	363,830	250,000	0	113,830	0	0
33 COMMERZ FUTURES LLC	Y	CME	01/31/2003	6,624,912	1,735,125	2,697,600	3,927,312	61,339,745	3,693,527
34 COUNTRY HEDGING INC	N	NFA	01/31/2003	5,691,373	1,020,662	2,445,261	3,246,112	31,997,242	22,629
35 CREDIT LYONNAIS ROUSE USA LIMITED	N	NYME	01/31/2003	26,083,338	3,997,272	22,346,706	3,736,632	128,223,025	764,451
36 CREDIT SUISSE FIRST BOSTON LLC	Y	CBOT	01/31/2003	2,783,084,661	86,439,653	87,692,354	2,695,392,307	1,096,628,638	363,060,215
37 CROSSLAND LLC	N	CBOT	01/31/2003	3,626,697	250,000	87,148	3,376,697	6,196,591	0
38 CUNNINGHAM COMMODITIES INC	N	CBOT	01/31/2003	1,977,123	250,000	132,986	1,727,123	5,519,424	86,986
39 DAIWA SECURITIES AMERICA INC	Y	CME	01/31/2003	206,573,355	4,659,139	5,413,809	201,159,546	93,085,729	178,390
40 DAVID A NOYES & CO	Y	NFA	01/31/2003	1,346,572	250,000	0	1,096,572	0	0
41 DEUTSCHE BANK SECURITIES INC	Y	CBOT	01/31/2003	1,401,213,048	87,888,437	131,377,125	1,269,835,923	1,132,417,270	306,842,765
42 DIRECT TRADING GROUP LLC	N	NFA	01/31/2003	1,250,168	250,000	39,569	1,000,168	4,787,894	0
43 DORMAN TRADING LLC	N	CME	01/31/2003	5,604,517	577,104	154,478	5,027,413	14,428,921	0
44 DUNAVANT COMMODITY CORP	N	NYCE	12/31/2002	19,819,323	1,038,479	46,437	18,780,844	30,136,249	0
45 EAGLE MARKET MAKERS INC	N	CBOT	01/31/2003	1,779,755	250,000	27,006	1,529,755	1,611,265	51,537
46 ED & F MAN COMMODITY ADVISORS INC	N	NFA	01/31/2003	390,601	250,000	0	140,601	0	0
47 EM COMBS & SON	N	CBOT	01/31/2003	1,585,913	250,000	0	1,335,913	2,555,585	0

SELECTED FCM FINANCIAL DATA
FROM REPORTS FILED BY
February 28, 2003

		B/D?	DSRO	A/O	Adjusted	Net Capital	Risk Based	Excess	Customers'	Customer
	Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
						(4% of Seg, SEC	Capital		4d(2)	Pt. 30 Required
		(a)	(b)			or \$250,000)	(c)	(d)	(e)	(f)
48	FAHNESTOCK & CO INC	Y	NFA	01/31/2003	146,365,032	8,831,460	0	137,533,572	741,660	0
49	FARR FINANCIAL INC	N	NFA	01/31/2003	1,112,118	647,097	283,559	465,021	16,604,252	50,571
50	FC STONE LLC	N	CME	01/31/2003	16,984,888	3,996,749	8,060,219	8,924,669	228,103,944	496,851
51	FCT GROUP LLC	N	CME	01/31/2003	3,782,392	250,000	281,325	3,501,067	5,319,497	0
52	FIMAT USA INC	Y	CME	01/31/2003	191,889,112	62,039,099	118,137,004	73,752,108	2,154,950,499	473,905,380
53	FIRST CAPITOL GROUP LLC	N	NFA	01/31/2003	1,696,198	668,451	546,482	1,027,747	21,689,314	8,056
54	FIRST OPTIONS OF CHICAGO INC	Y	CME	01/31/2003	288,568,259	11,056,809	24,635,313	263,932,946	511,377,471	13,311,591
55	FOREX CAPITAL MARKETS LLC	N	NFA	01/31/2003	5,557,483	250,000	0	5,307,483	0	0
56	FORTIS CLEARING CHICAGO LLC	N	CBOT	01/31/2003	5,886,280	1,316,705	513,733	4,569,575	30,591,952	2,374,252
57	FRIEDBERG MERCANTILE GROUP INC	Y	NFA	01/31/2003	1,521,265	270,762	70,465	1,250,503	6,836,900	0
58	FRONTIER FUTURES INC	N	NFA	01/31/2003	1,093,554	401,589	325,606	691,965	12,833,443	0
59	FUTURES TECH LLC	N	NFA	01/31/2003	402,487	250,000	0	152,487	0	0
60	FX SOLUTIONS LLC	N	NFA	01/31/2003	1,031,136	250,000	0	781,136	0	0
61	G7 CAPITAL MARKETS LP	N	NFA	01/31/2003	111,153	250,000	0	-138,847	0	0
62	GAIN CAPITAL	N	NFA	01/31/2003	2,582,886	250,000	0	2,332,886	0	0
63	GELBER GROUP LLC	N	CBOT	01/31/2003	11,615,622	513,229	222,625	11,102,393	14,712,881	97,407
64	GFS SECURITIES & FUTURES INC	N	NFA	01/31/2003	251,615	250,000	0	1,615	0	0
65	GILDER GAGNON HOWE AND CO LLC	Y	NFA	01/31/2003	23,193,835	250,000	0	22,943,835	0	0
66	GLOBAL FUTURES & FOREX LTD	N	NFA	01/31/2003	3,914,450	250,000	0	3,664,450	0	0
67	GNI INCORPORATED	N	CBOT	01/31/2003	12,701,319	4,828,876	6,072,400	6,628,919	111,045,808	11,764,847
68	GOLDENBERG HEHMEYER & CO	Y	CBOT	01/31/2003	14,059,821	1,618,910	1,207,380	12,440,911	50,339,799	3,236,767
69	GOLDMAN SACHS & CO	Y	CBOT	01/31/2003	4,018,504,073	602,839,575	408,103,499	3,415,664,498	7,402,072,926	3,281,385,408
70	GREENWICH CAPITAL MARKETS INC	Y	CBOT	01/31/2003	869,769,000	13,344,000	20,361,440	849,407,560	231,722,000	23,044,000
71	HAGERTY GRAIN CO INC	N	CBOT	01/31/2003	1,587,048	250,000	53,327	1,337,048	4,316,365	0
72	HORNBLOWER FISCHER & CO	Y	NFA	01/31/2003	1,910,808	261,459	149,387	1,649,349	6,182,793	0
73	HOTSPOT FX INC	N	NFA	01/31/2003	3,586,276	250,000	0	3,336,276	0	0
74	HSBC SECURITIES USA INC	Y	CME	01/31/2003	335,529,791	10,387,162	26,896,376	308,633,415	268,989,188	29,037,778
75	INTEGRATED BROKERAGE SERVICES INC	N	NFA	01/31/2003	1,067,319	250,000	0	817,319	752,234	0
76	INTERACTIVE BROKERS LLC	Y	NFA	01/31/2003	88,626,676	2,031,048	992,156	86,595,628	11,363,228	2,841,155
77	INVESTEC ERNST & COMPANY	Y	NFA	01/31/2003	34,075,997	331,115	0	33,744,882	0	0
78	IOWA GRAIN CO	N	CBOT	01/31/2003	7,905,557	3,574,286	3,311,163	4,331,271	94,174,267	7,044
79	JP MORGAN FUTURES INC	N	NYME	01/31/2003	422,993,181	187,705,549	305,149,052	117,844,129	4,761,068,050	492,976,172
80	JULIUS BAER SECURITIES INC	Y	NFA	01/31/2003	13,699,167	1,028,917	0	12,670,250	0	0
81	KOTTKE ASSOCIATES LLC	N	CBOT	01/31/2003	9,109,844	334,212	149,342	8,775,632	12,397,289	3,543
82	LADENBURG THALMANN & CO INC	Y	NFA	01/31/2003	5,028,401	1,000,000	0	4,028,401	0	0
83	LAWRENCE-BONFITTO TRADING COMPANY	N	CBOT	01/31/2003	2,622,681	250,000	44,261	2,372,681	3,784,884	0
84	LBS LIMITED PARTNERSHIP	N	CBOT	01/31/2003	439,533	250,000	0	189,533	0	0
85	LEADER INVESTMENTS INC	Y	NFA	01/31/2003	713,240	250,000	0	463,240	0	0
86	LEGG MASON WOOD WALKER INC	Y	NFA	01/31/2003	340,298,902	18,812,413	0	321,486,489	0	0
87	LEHMAN BROTHERS INC	Y	CBOT	01/31/2003	1,631,688,000	107,341,020	147,650,760	1,484,037,240	1,725,592,000	38,295,000
88	LINN GROUP (THE)	N	NFA	01/31/2003	486,859	250,000	0	236,859	0	0
89	LINSCO/PRIVATE LEDGER CORP	Y	NFA	01/31/2003	86,894,589	3,543,572	0	83,351,017	0	0
90	LOEB PARTNERS CORPORATION	Y	NFA	01/31/2003	23,060,750	250,000	0	22,810,750	0	0
91	MAN FINANCIAL INC	N	CME	01/31/2003	172,853,873	105,958,039	133,435,730	39,418,143	2,621,405,369	569,607,063
92	MARQUETTE ELECTRONIC BROKERAGE LLC	N	NFA	01/31/2003	3,670,731	250,000	0	3,420,731	0	0
93	MAXCOR FINANCIAL INC	Y	NFA	01/31/2003	19,190,984	250,000	0	18,940,984	0	0
94	MBF CLEARING CORP	N	NYME	01/31/2003	6,358,311	2,339,291	691,665	4,019,020	58,971,279	870,153

SELECTED FCM FINANCIAL DATA
FROM REPORTS FILED BY
February 28, 2003

		B/D?	DSRO	A/O	Adjusted	Net Capital	Risk Based	Excess	Customers'	Customer
	Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
						(4% of Seg, SEC	Capital		4d(2)	Pt. 30 Required
		(a)	(b)			or \$250,000)	(c)	(d)	(e)	(f)
95	MCVEAN TRADING AND INVESTMENTS LLC	N	NFA	01/31/2003	8,398,805	5,379,377	883,217	3,019,428	135,970,661	0
96	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	01/31/2003	3,350,116,133	285,828,858	208,190,049	3,064,287,275	4,502,305,151	358,127,395
97	MERRILL LYNCH PROFESSIONAL CLEARING CO	Y	NFA	01/31/2003	1,349,428,058	12,917,248	36,314,880	1,313,113,178	374,847,797	0
98	MID-CO COMMODITIES INC	N	NFA	01/31/2003	3,497,659	353,520	698,898	2,798,761	10,039,339	0
99	MIITSUI & CO INVESTMENT PRODUTS CORP	N	NFA	01/31/2003	2,369,794	250,000	30,921	2,119,794	887,415	0
100	MIZUHO SECURITIES USA INC	Y	CME	01/31/2003	187,750,776	10,499,257	18,604,587	169,146,189	298,344,245	25,060,308
101	MONEY GARDEN CORP	N	NFA	01/31/2003	3,068,594	250,000	0	2,818,594	0	0
102	MORGAN KEEGAN & COMPANY INC	Y	NFA	01/31/2003	166,376,392	8,961,038	0	157,415,354	0	0
103	MORGAN STANLEY & CO INCORPORATED	Y	CME	01/31/2003	5,086,604,864	552,933,443	202,208,729	4,533,671,421	2,961,243,609	995,270,449
104	MORGAN STANLEY DW INC	Y	CBOT	01/31/2003	1,347,283,814	97,952,659	3,094,092	1,249,331,155	654,600,375	1,074,263,511
105	NATIONAL COMMODITIES CORPORATION INC	N	NFA	01/31/2003	1,732,775	250,000	172,509	1,482,775	16,039,289	0
106	NEUBERGER BERMAN LLC	Y	NFA	01/31/2003	238,432,827	17,622,657	464,811	220,810,170	14,673,451	0
107	NIKKO SECURITIES CO INTERNATIONAL INC	Y	NFA	01/31/2003	8,142,527	250,000	0	7,892,527	0	0
108	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	01/31/2003	683,708,827	6,257,227	870,597	677,451,600	11,310,607	0
109	OCONNOR & COMPANY LLC	Y	CBOT	01/31/2003	39,018,489	1,948,985	1,485,625	37,069,504	55,706,813	0
110	PACIFIC CAPITAL MARKETS	N	NFA	01/31/2003	375,840	250,000	0	125,840	0	0
111	PATTERSON CAPITAL MARKETS LTD	N	CBOT	01/31/2003	461,840	250,000	0	211,840	0	0
112	PAX CLEARING CORPORATION	Y	CME	01/31/2003	48,337,348	2,000,069	2,333,054	46,004,294	54,876,774	0
113	PENSON FINANCIAL FUTURES INC	N	NFA	01/31/2003	484,869	250,000	11,868	234,869	524,401	0
114	PEREGRINE FINANCIAL GROUP INC	N	NFA	01/31/2003	10,643,383	4,297,951	1,760,715	6,345,432	110,116,461	1,098,057
115	PERSHING LLC	Y	CBOT	01/31/2003	1,238,290,000	87,811,200	0	1,150,478,800	0	0
116	PIONEER FUTURES INC	N	NYME	01/31/2003	5,807,046	2,781,587	1,681,560	3,025,459	86,180,076	359,990
117	PREFERRED TRADE INC	Y	NFA	01/31/2003	9,870,950	648,791	0	9,222,159	0	0
118	PRUDENTIAL SECURITIES INCORPORATED	Y	CBOT	01/31/2003	569,509,000	64,121,480	62,443,560	505,387,520	1,022,321,000	132,936,000
119	RAND FINANCIAL SERVICES INC	N	CME	01/31/2003	13,134,403	6,973,724	12,620,846	513,557	170,302,900	23,889,000
120	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	01/31/2003	308,574,081	20,472,133	0	288,101,948	0	0
121	RB&H FINANCIAL SERVICES LP	N	CME	01/31/2003	3,035,833	1,738,744	1,025,133	1,297,089	46,517,868	28,439
122	RBC DAIN RAUSCHER INC	Y	NFA	01/31/2003	273,375,640	25,590,502	0	247,785,138	0	0
123	RBC DOMINION SECURITIES CORPORATION	Y	CME	01/31/2003	82,434,718	1,000,000	2,890,861	79,543,857	0	0
124	REDSKY SECURITIES INC	N	NFA	01/31/2003	586,600	250,000	0	336,600	0	0
125	REFCO LLC	N	CME	01/31/2003	173,564,083	111,484,981	112,280,053	61,284,030	3,024,727,800	66,006,307
126	RJ OBRIEN ASSOCIATES INC	N	CME	01/31/2003	33,296,720	17,228,288	17,390,544	15,906,176	466,676,803	5,913,369
127	ROBBINS FUTURES INC	N	NFA	01/31/2003	596,970	250,000	104,902	346,970	5,995,147	0
128	ROBECO USA LLC	Y	NFA	01/31/2003	68,176,554	2,346,001	0	65,830,553	0	0
129	ROSENTHAL COLLINS GROUP LLC	N	CME	01/31/2003	43,053,255	10,159,940	6,276,651	32,893,315	278,004,194	1,097,870
130	ROSENTHAL GLOBAL SECURITIES LLC	Y	CBOT	01/31/2003	15,367,312	834,726	0	14,532,586	0	0
131	ROTHSCHILD INC	N	NYME	01/31/2001	26,924,575	250,000	0	26,674,574	0	0
132	SALOMON SMITH BARNEY INC	Y	CBOT	01/31/2003	4,461,305,703	365,024,157	203,648,117	4,096,281,546	5,213,519,626	113,637,016
133	SANFORD C BERNSTEIN & CO LLC	Y	NFA	01/31/2003	157,343,520	10,249,617	0	147,093,903	0	0
134	SENTINEL MANAGEMENT GROUP INC	N	NFA	01/31/2003	1,150,297	250,000	0	900,297	339,424,552	0
135	SG COWEN SECURITIES CORPORATION	Y	NFA	01/31/2003	705,219,807	1,500,000	0	703,719,807	0	0
136	SHATKIN ARBOR KARLOV & CO	N	CBOT	01/31/2003	6,446,214	591,183	92,606	5,855,031	14,690,323	243,863
137	SHAY GRAIN CLEARING COMPANY	N	KCBT	12/31/2002	726,467	250,000	17,952	476,467	3,807,181	0
138	SHEPARD INTERNATIONAL INC	N	NFA	01/31/2003	1,927,187	250,000	0	1,677,187	0	0
139	SHERWOOD FUTURES GROUP LLC	N	NFA	01/31/2003	872,873	250,000	0	622,873	18,409,972	0
140	SIEGEL TRADING CO INC THE	N	NFA	01/31/2003	461,055	250,000	0	211,055	1,501,916	0
141	SMW TRADING COMPANY INC	N	CME	01/31/2003	6,843,887	441,280	332,058	6,402,607	11,069,178	0

SELECTED FCM FINANCIAL DATA
FROM REPORTS FILED BY
February 28, 2003

Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement (4% of Seg, SEC or \$250,000)	Risk Based Requirement Capital (c)	Excess Net Capital (d)	Customers' Seg Required 4d(2) (e)	Customer Amount Pt. 30 Required (f)
	(a)	(b)							
Deletions		2							
1. Nexus Trading LLC									
2. Packers Trading Co Inc									
January Web Page Update		167							
(a): B/D? : A 'Y' means the FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.	(d): Excess net capital is adjusted net capital, less the greater of the net capital requirement or risk-based requirement.			(f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States. The amount to be set aside for a customer's foreign commodity account may be less than the net liquidating equity in the customer's account.					
(b): DSRO: Designated Self-Regulatory Organization.									
(c): Risk-based net capital requirement is the sum total of 8% of customer, and 4% of non-customer risk margin for domestic and foreign future accounts (excluding proprietary accounts). The risk based minimum requirement applies to all FCMs which are a member of the National Futures Association, was effective 10/31/00.	(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on commodity exchanges located in the United States. This is the sum of all accounts that contain a net liquidating equity.			Note: Any errors in this table should be brought to the attention of the CFTC's Division of Clearing and Intermediary Oversight via e-mail: fzimmerle@cftc.gov or sgreska@cftc.gov.					