



DHS Exhibit 300 Public Release BY08 (Form) / PREP - Information Systems Security Line of Business (LoB) (2008)

(Item)

Form Report, printed by: Administrator, System, Feb 12, 2007

OVERVIEW

General Information

1. Date of Submission:	Jan 12, 2007
2. Agency:	Department of Homeland Security
3. Bureau:	Preparedness (PREP)
4. Name of this Capital Asset:	PREP - Information Systems Security Line of Business (LoB) (2008)
Investment Portfolio:	PREP C&T Home 2008
5. Unique ID:	024-65-02-00-01-2100-24

(For IT investments only, see section 53. For all other, use agency ID system.)

All investments

6. What kind of investment will this be in FY2008?

(Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

E-Gov LoB Oversight

7. What was the first budget year this investment was submitted to OMB?

FY2007

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.

The ISS LOB is in the Planning stage of establishing common solutions for information systems security that will reduce cost through shared products and services; improving the level of information system security across government agencies, particularly small agencies; and improve security decision-making through a government-wide governance structure.

As Managing Partner, DHS has established a Program Management Office to develop and facilitate the day-to-day operations of the ISS LOB, a cross-agency Federal System Security Guidance Board to provide oversight and direction, and a working group of agency representatives for input and review. Agencies may be selected as service/product providers for common products and services that support the customer agencies in one or more of the security areas identified under the ISS LOB. Providers will be selected through a competitive process and must demonstrate that they are qualified to provide security products and services within a particular security area. To be considered as a provider, agencies must meet certain due diligence criteria, including having a business process to support cross-agency servicing and a record of compliance with FISMA requirements. Agencies that are not selected to be providers will be customers. Customer agencies will develop plans for migration to selected service product providers. The plans will address the impact on their programs of moving to provider and will contain transition schedules. Customers may receive services from multiple providers.

9. Did the Agency's Executive/Investment Committee approve this request?

No

9.a. If "yes," what was the date of this approval?

10. Did the Project Manager review this Exhibit?

Yes

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

No

12.a. Will this investment include electronic assets (including computers)?

No

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

No

12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?

12.b.2. If "yes," will this investment meet sustainable design principles?

12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," select the initiatives that apply:

Human Capital	Yes
Budget Performance Integration	Yes
Financial Performance	No
Expanded E-Government	Yes
Competitive Sourcing	Yes
Faith Based and Community	No
Real Property Asset Management	No
Eliminating Improper Payments	No
Privatization of Military Housing	No
R and D Investment Criteria	No
Housing and Urban Development Management and Performance	No
Broadening Health Insurance Coverage through State Initiatives	No
Right Sized Overseas Presence	No
Coordination of VA and DoD Programs and Systems	No

13.a. Briefly describe how this asset directly supports the identified initiative(s)?

Human Capital-ISS LOB will leverage resources and allow agencies to re-allocate security resources. Competitive Sourcing-Best practices are made available and substantially strengthen competitive procurement practices. Expanded Electronic Gov - ISS LOB lays groundwork for ensuring that e-Gov resources are secure. Budget and Performance Integration -ISS LOB improved accountability for solutions to be evaluated rigorously and resources awarded to those that demonstrate effective ISS performance.

14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?

No

14.a. If "yes," does this investment address a weakness found during the PART review?

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

14.c. If "yes," what PART rating did it receive?

15. Is this investment for information technology (See section 53 for definition)?

Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 1

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(3) Project manager assigned to investment, but does not meet requirements

18. Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)?

Yes

19. Is this a financial management system?

No

19.a. If "yes," does this investment address a FFMA compliance area?

19.a.1. If "yes," which compliance area:


Not Applicable

19.a.2. If "no," what does it address?

Information system security products/services to be provided by selected Department/Agencies.

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52.

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Area	Percentage	
Hardware	0.00	
Software	0.00	
Services	98.00	
Other	2.00	
Total	100.00	

21. *If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?*

N/A

23. *Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?*

Yes

SUMMARY OF FUNDING

SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY	BY
	-2005	2006	2007	2008
Planning:				
Budgetary Resources	0.000	0.150	13.623	27.651
Acquisition:				
Budgetary Resources	0.000	0.000	0.000	22.762
Subtotal:				
Budgetary Resources	0.000	0.150	13.623	50.413
Maintenance:				
Budgetary Resources	0.000	0.000	0.000	0.000
TOTAL, All Stages				
Budgetary Resources	0.000	0.150	13.623	50.413
Government FTE Cost	0.000	0.050	3.838	13.526
# of FTEs	0.00	1.00	27.00	97.00
Total, BR + FTE Cost	0.000	0.200	17.461	63.939

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

Yes

2.a. If "yes," how many and in what year?

Unfunded FTE request for 3 additional FTEs for the PMO has been submitted beginning in FY08.

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.

Costs reflect the PMO, planning/acquisition by SSC's, planning/migration by customer agencies. There has been a small decrease in the total for the Summary of Spending: \$424.1M+119.5M(FTE)=\$543.6M, versus \$427.6+120.5M(FTE)=\$548.1M in the FY2007 Business Case. The change reflects starting the implementation of the PMO and planning for initial Shared Service Centers in 4QFY06 versus 1QFY06; and earlier phasing out of the PMO in FY2011 versus FY2013 in the FY2007 Business Case.