

APPENDICES

DEPARTMENT OF COMMERCE



UNITED STATES OF AMERICA

Appendix A: NOAA Performance Goal Crosswalk

The following is a crosswalk between the NOAA performance goals as they appear in the FY 2004 Annual Performance Plan (APP) and the FY 2005 Department of Commerce and NOAA Strategic Plans. If an old performance goal is not broken down to subsections, that entire performance goal appears within one of the new performance goals. When an old performance goal is divided among more than one new performance goal, the old performance goal is shown by the performance measures that appear in the new respective performance goals.

FY 2004 APP Performance Goals	FY 2005 Performance Goals
PERFORMANCE GOAL 1: Build sustainable fisheries	Improve protection, restoration, and management of coastal and ocean resources through ecosystem-based management
PERFORMANCE GOAL 2: Sustain healthy coasts	Improve protection, restoration, and management of coastal and ocean resources through ecosystem-based management
Number of acres of coastal habitat benefited Introductions and effects of invasive species	Improve protection, restoration, and management of coastal and ocean resources through ecosystem-based management
Percentage of U.S. shoreline and inland areas that have improved ability to reduce hazard impacts	Improve accuracy and timeliness of weather and water information
PERFORMANCE GOAL 3: Recover protected species	Improve protection, restoration, and management of coastal and ocean resources through ecosystem-based management
PERFORMANCE GOAL 4: Advance short-term warnings and forecasts	Improve accuracy and timeliness of weather and water information
Tornado lead time, accuracy, and FAR Flash flood lead time and accuracy Hurricane forecast track error Precipitation 1-day forecasts	Improve accuracy and timeliness of weather and water information
Aviation accuracy and FAR forecasts Marine accuracy and FAR	Support the nation's commerce with information for safe, efficient, and environmentally sound transportation
PERFORMANCE GOAL 5: Implement seasonal to interannual climate forecasts	Increase understanding of climate variability and change
PERFORMANCE GOAL 6: Predict and assess decadal to centennial change	Increase understanding of climate variability and change
PERFORMANCE GOAL 7: Promote safe navigation	Support the nation's commerce with information for safe, efficient, and environmentally sound transportation

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Appendix B: Department Goals, Objectives, Outcomes, and Performance Measures

Strategic Goal 1: Provide the information and tools to maximize U.S. competitiveness and enable economic growth for American industries, workers, and consumers

General Goal/Objective 1.1: Enhance economic growth for all Americans by developing partnerships with private sector and nongovernmental organizations

Bureau	Outcome	Performance Measure
EDA		
	Increase private enterprise and job creation in economically distressed communities	<ul style="list-style-type: none"> Private sector dollars invested in distressed communities as a result of EDA investments Jobs created or retained in distressed communities as a result of EDA investments State and local dollars committed per EDA dollar Percentage of investments to areas of highest distress Percentage of EDA dollars invested in technology-related projects in distressed areas
	Improve community capacity to achieve and sustain economic growth	<ul style="list-style-type: none"> Percentage of economic development districts and Indian tribes implementing economic development projects from the comprehensive economic development strategy process that lead to private investments and jobs Percentage of sub-state jurisdiction members actively participating in the economic development district program Percentage of University Center clients taking action as a result of the assistance facilitated by the University Center Percentage of those actions taken by University Center clients that achieved the expected results Percentage of Trade Adjustment Assistance Center (TACC) clients taking action as a result of the assistance facilitated by TACC Percentage of those actions taken by TACC clients that achieved the expected results Percentage of local technical assistance and economic adjustment strategy investments awarded in areas of highest distress
ITA		
	Increase trade opportunities for U.S. firms to advance the United States' international commercial and strategic interests	<ul style="list-style-type: none"> Number of new or enhanced ITA partnerships with public and private sector entities to promote U.S. exports Placeholder for new measure dealing with international trade negotiations Number of new-to-market firms Dollar exports in targeted products and markets
	Expand U.S. exporter base	<ul style="list-style-type: none"> Percentage of undertaken advocacy actions completed successfully Dollar value of completed advocacies (U.S. export content) Number of U.S. exporters entering new market Number of U.S. firms exporting for the first time Number of export transactions made as a result of ITA involvement

(continued)

General Goal/Objective 1.1: Enhance economic growth for all Americans by developing partnerships with private sector and nongovernmental organizations (cont.)

Bureau	Outcome	Performance Measure
	Improve customer and stakeholder satisfaction	Customer satisfaction with the quality of ITA's products and services Customer perception of ease of access to export and trade information and data Customer value: level of awareness of ITA products and services Employee job satisfaction Number of customers acquired through proactive ITA efforts Number of U.S. exporter activities undertaken per customer surveyed
	Improve the U.S. competitive advantage through global e-commerce	Number of new subscribers using BuyUSA.com e-services Customer perception of portal ease of use Percentage of ITA's significant products and services provided electronically to external customers
MBDA	Increase opportunities and access of minority-owned businesses to the marketplace and financing	Total number of all clients receiving services Number of contract awards obtained Dollar value of contract awards obtained Number of financial awards obtained Dollar value of financial awards obtained Number of employee training hours Number of new job opportunities created Percent increase in client gross receipts Percent increase in American Customer Satisfaction Index (ACSI) Number of national and regional strategic partnerships

General Goal/Objective 1.2: Advance responsible economic growth and trade while protecting American security

Bureau	Outcome	Performance Measure
ITA		
	Ensure fair competition in international trade	<p>Percentage of AD/CVD cases completed on time</p> <p>Number of market access and compliance cases initiated</p> <p>Number of market access and compliance cases concluded</p>
BIS		
	Protect the U.S. national security and economic interests by enhancing the efficiency of the export control system	<p>Median processing time for referral of export licenses to other agencies (days)</p> <p>Median processing time for export licenses not referred to other agencies (days)</p> <p>Median processing time for issuing draft regulations (months)</p> <p>Level of exporter understanding of BIS export control requirements (Note: This measure will include international outreach data after baseline is established in FY 2005)</p> <p>Number of industry and export control assessments</p> <p>Number of internal control programs that contribute to compliance with license conditions</p> <p>Develop an internal control program (ICP) for the Transshipment Country Export Control Initiative (TECI) (Note: After pilot is completed in FY 2005, BIS will visit other transshipment hubs and develop tailored ICPs)</p>
	Ensure U.S. industry compliance with the Chemical Weapons Convention (CWC) Agreement	Number of site assistance visits conducted to assist companies prepare for international inspections
	Prevent illegal exports, and identify violators of export prohibitions and restrictions for prosecution	<p>Number of cases opened that result in the prevention of a criminal violation or the prosecution of a criminal or administrative case</p> <p>Number of post-shipment verifications completed</p>
	Enhance the export and transit control systems of nations that lack effective control arrangements	Number of targeted deficiencies remedied in the export control systems of program nations

General General Goal/Objective 1.3: Enhance the supply of key economic and demographic data to support effective decision-making of policymakers, businesses, and the American public

Bureau	Outcome	Performance Measure
Census	Meet the needs of policymakers, businesses and non-profit organizations, and the public for current measures of the U.S. population, economy, and governments	Household response rate for the Current Population Survey, the National Crime Victimization Survey, and the American Housing Survey; response rate for the National Health Interview Survey; and household response rate for the Survey of Income and Program Participation (SIPP) Release data products from the SIPP and release data products from the Survey of Program Dynamics Release principal economic indicators Response rates for Annual Economic Surveys used to update benchmark data during intercensal years [Includes Annual Survey of Manufacturers (ASM), the Annual Trade Survey (ATS), the Annual Retail Trade Survey (ARTS), and the Service Annual Survey (SAS)]
	Support the economic and political foundations of the United States by producing benchmark measures of the economy and population for the administration and equitable funding of federal, state, and local programs	Conduct the Economic Census and Census of Governments Release Decennial Census data products, release Census of Governments data products, and release Economic Census data products Release population estimates and survey controls for all subgroups and geographies Introduce new Census 2000-based samples for the consumer expenditures survey-quarterly (CE-Q) Introduce new Census 2000-based samples for the consumer expenditures survey-diary (CE-D) Introduce new Census 2000-based samples for the National Crime Victimization Survey (NCVS) Introduce new Census 2000-based samples for the American Housing Survey-National (AHS-N)
	Meet constitutional and legislative mandates by implementing a re-engineered 2010 Census that is cost-effective, provides more timely data, improves coverage accuracy, and reduces operational risk	Implement the American Community Survey (ACS) Implement MAF/TIGER Modernization Conduct early 2010 Census planning, development, and testing
	Support innovation, promote data use, minimize respondent burden, respect individual privacy, and ensure confidentiality	Response to the Annual Boundary and Annexation Survey (ABAS) Meet milestone dates for evaluating and expanding Web-based technology solutions to include more functionality/business processes Segment score for overall customer satisfaction on the American Customer Satisfaction Index (ACSI)
BEA	Promote a better understanding of the U.S. economy by providing the most timely, relevant, and accurate economic data in an objective and cost-effective manner	Reliability of delivery—economic data (number of scheduled release issued on time) Customer satisfaction with quality of products and services (mean rating on a 5-point scale) Percentage of GDP estimates correct Improving GDP and the economic accounts Accelerating economic estimates Meeting U.S. international obligations Upgrading information technology systems

Strategic Goal 2: Foster science and technological leadership by protecting intellectual property, enhancing technical standards, and advancing measurement science

General Goal/Objective 2.1: Develop tools and capabilities that improve the productivity, quality, dissemination, and efficiency of research

Bureau	Outcome	Performance Measure
TA/OTP		
	Provide leadership in promoting national technology policies that facilitate U.S. preeminence in key areas of science and technology	Support/Improve American Innovating System Advance role of technology in U.S. economic growth and homeland security Strengthen competitive position of American technology industries Strengthen US/OTP's organization, capabilities, and resources to maximize the effectiveness of its activities and services
TA/NIST		
	Provide technical leadership for the nation's measurement and standards infrastructure	Qualitative assessment and review of technical quality and merit using peer review Citation impact of NIST-authored publications Peer-reviewed technical publications
	Assure the availability and efficient transfer of measurement and standards capabilities essential to established industries	Number of Standard Reference Materials (SRMs) sold Number of NIST-maintained datasets downloaded Number of items calibrated Economic impact studies
	Catalyze, reward, and recognize quality and performance improvement practices in U.S. business and other organizations	Percentage of applicants indicating satisfaction with the relevance and importance of the feedback report Number of Baldrige Criteria disseminated
TA/NTIS		
	Enhance public access to worldwide scientific and technical information through improved acquisition and dissemination activities	Number of new items available (annual) Number of information products disseminated (annual) Customer satisfaction

General Goal/Objective 2.2: Protect intellectual property and improve the patent and trademark system

Bureau	Outcome	Performance Measure
USPTO		
	Create a more flexible organization through transitioning patent and trademark applications to e-government operations and participating in intellectual property development worldwide	<ul style="list-style-type: none"> Patent applications filed electronically Patent applications managed electronically Trademark applications filed electronically Trademark applications managed electronically
	Improve the quality of patent products and services, and optimize patent processing time	<ul style="list-style-type: none"> Improve patent quality by reducing the error rate Patent-in-process reviews Patent examiner certification Patent examiner re-certification Reduce average patent first action pendency (months) Reduce average patent total pendency (monthly) Patent efficiency Patent productivity
	Improve the quality of trademark products and services, and optimize trademark processing time	<ul style="list-style-type: none"> Improve trademark quality by reducing the error rate Trademark-in-process reviews Reduce average trademark first action pendency (months) Reduce average trademark total pendency (monthly) Trademark efficiency Trademark productivity

General Goal/Objective 2.3: Advance the development of global e-commerce and enhanced telecommunications and information services

Bureau	Outcome	Performance Measure
NTIA		
	Increase competition within the telecommunications sector and universal access to telecommunication services for all Americans	<ul style="list-style-type: none"> Provide the policy framework for introduction of new technologies Policy customer survey
	Efficient and effective allocation of radio spectrum	<ul style="list-style-type: none"> Timeliness of processing Percentage of requests accomplished online Completeness and accuracy of agency assignment requests Customer satisfaction survey on training course
	Ensure broader availability, and support new sources, of advanced telecommunications and information services	<ul style="list-style-type: none"> Digital broadcasting conversion Quality of basic research as reflected in peer-reviewed publications Level of technology transfer activities conducted with the private sector through CRADAs

Strategic Goal 3: Observe, protect, and manage the Earth's resources to promote environmental stewardship

General Goal/Objective 3.1: Advance understanding and predict changes in the Earth's environment to meet America's economic, social, and environmental needs

Bureau	Outcome	Performance Measure
NOAA	Improve accuracy and timeliness of weather and water information	Lead time (minutes), accuracy (%), and false alarm rate (FAR,%) for severe weather warnings for tornadoes Lead time (minutes) and accuracy (%) for severe weather warnings for flash floods Hurricane forecast track error (48-hour) Accuracy (%) of 1-day threat score forecast for precipitation Lead time (hours) and accuracy (%) for winter storm warnings Cumulative percentage of U.S. shoreline and inland areas that have improved ability to reduce coastal hazard impacts
	Increase understanding of climate variability and change	U.S. temperature forecasts New climate observations introduced Assess and model carbon sources throughout the United States Assess and model carbon sources and sinks globally Determine actual long-term changes in temperature and precipitation throughout the United States

General Goal/Objective 3.2: Enhance the conservation and management of coastal and marine resources to meet America's economic, social, and environmental needs

Bureau	Outcome	Performance Measure
NOAA	Improve protection, restoration, and management of coastal and ocean resources through ecosystem-based management	Number of overfished major stocks of fish Number of major stocks with an "unknown" stock status Percentage of plans to rebuild overfished major stocks to sustainable levels Increase in number of threatened species with lower risk of extinction Number of commercial fisheries that have insignificant marine mammal mortality Increase in number of endangered species with lower risk of extinction Number of habitat acres restored (cumulative)
	Support the nation's commerce with information for safe, efficient, and environmentally sound transportation	Reduce the Hydrographic Survey backlog within navigationally significant areas (square nautical miles surveyed per year) Percentage of National Spatial Reference System (NSRS) completed (Cumulative %) Accuracy (%) and FAR of forecasts of ceiling and visibility (3 miles/1000 ft.) (aviation forecasts) Accuracy (%) and FAR of forecasts for winds and waves (marine forecasts) wind speed and wave height

Management Integration Goal: Achieve organizational and management excellence

Management Integration Goal: Achieve Organizational and Management Excellence

Bureau	Outcome	Performance Measure
DM		
	Ensure effective resource stewardship in support of the Department's programs	<ul style="list-style-type: none"> Clean audit opinion on Department consolidated financial statements Deploy Commerce-wide integrated financial management system Consolidate Commerce-wide integrated financial system platforms Implement competitive sourcing Funds obligated through performance-based contracting Small purchases made using credit cards Use of online procurement to publish synopses and solicitations for proposals to contract with the Department Increase percentage of total obligations awarded as contracts to small businesses Reduce energy consumption per square foot from 1985 baseline Ensure a secure workplace for all Department of Commerce employees Ensure a safe workplace for all Department of Commerce employees
	Ensure retention of highly qualified staff in mission-critical positions	<ul style="list-style-type: none"> Strategic competencies—ensure competency in leadership and in mission-critical occupations Strategic competencies—ensure comprehensive training and development strategies Strategic competencies—ensure diverse candidate recruitment Efficiency and effectiveness of hiring systems using the Commerce Opportunities Online (COOL) system Increase the alignment of performance management with mission accomplishment Implement a telecommuting program
	Acquire and manage the technology resources to support program goals	<ul style="list-style-type: none"> Transactions converted to electronic format IT planning and investment review program maturity (on a scale of 0-5) IT architecture program maturity (on a scale of 0-5) IT security program maturity (on a scale of 0-5) Percentage of IT system security plans completed Percentage of IT system security plans certified and accredited Percentage of unsuccessful intrusion attempts
OIG		
	Promote improvements to Commerce programs and operations by identifying and completing work that (1) promotes integrity, efficiency, and effectiveness; and (2) prevents and detects fraud, waste, and abuse	<ul style="list-style-type: none"> Percentage of Commerce's management challenges, stakeholder concerns, and other critical issues addressed by OIG work products Percentage of OIG recommendations accepted by departmental and bureau management Dollar value of financial benefits identified by OIG Percentage of criminal and civil matters that are accepted for prosecution

Appendix C: Glossary of Acronyms

A	ACS	American Community Survey
	ACSI	American Customer Satisfaction Index
	AD	Antidumping
	AHCRQ	Agency for Health Care Research and Quality
	APP	Annual Performance Plan
	ARC	Appalachian Regional Commission
	ARTS	Annual Retail Trade Survey
	ASM	Annual Survey of Manufacturers
	ATS	Annual Trade Survey
B	BEA	Bureau of Economic Analysis
	BIS	Bureau of Industry and Security
	BJS	Bureau of Justice Statistics
	BLS	Bureau of Labor Statistics
	BNQP	Baldrige National Quality Program
C	CAPs	Corrective Action Plans
	CFO	Chief Financial Officer
	CIO	Chief Information Officer
	COE	Corps of Engineers
	COOL	Commerce Opportunities Online System
	COOP	Continuity of Operations Plan
	CRADA	Cooperative Research and Development Agreements
	CVD	Countervailing Duty
	CWC	Chemical Weapons Convention
D	DM	Departmental Management
	DOD	Department of Defense
	DOE	Department of Energy
	DOJ	Department of Justice
	DOL	Department of Labor
	DOS	Department of State
	DOT	Department of Transportation

E	EAR	Export Administration Regulations
	ECASS	Export Control Automated Support System
	ED	Department of Education
	EDA	Economic Development Administration
	ENUM	Telephone Number Mapping
	EPA	Environmental Protection Agency
	EPO	European Patent Office
	ERL	Environmental Research Laboratories
	ESA	Economics and Statistics Administration
F	FAA	Federal Aviation Administration
	FBI	Federal Bureau of Investigation
	FCC	Federal Communications Commission
	FDA	Food and Drug Administration
	FEMA	Federal Emergency Management Agency
	FRB	Federal Reserve Board
	FTC	Federal Trade Commission
	FY	Fiscal Year
	G	GAO
GDP		Gross Domestic Product
GIS		Geographic Information System
GPS		Global Positioning Satellite System
GSS		Geographic Support System
H	HHS	Department of Health and Human Services
I	IAEA	International Atomic Energy Agency
	ICANN	Internet Corporation of Assigned Names and Numbers
	ICP	Internal Control Program
	IRS	Internal Revenue Service
	IT	Information Technology
	ITA	International Trade Administration
	ITS	Institute for Telecommunications Sciences
	ITU	International Telecommunication Union

M	MAF	Master Address File
	MBDA	Minority Business Development Agency
	MIS	Management Information System
	MTS	Marine Transportation System
N	NAS	National Academy of Sciences
	NASA	National Aeronautics and Space Administration
	NCEP	National Centers for Environmental Protection
	NCES	National Center for Education Statistics
	NEC	National Education Council
	NESDIS	National Environmental Satellite, Data, and Information Service
	NIH	National Institutes of Health
	NIST	National Institute of Standards and Technology
	NMIs	National Metrology Institutes
	NOAA	National Oceanic and Atmospheric Administration
	NRC	National Research Council
	NSF	National Science Foundation
	NSRS	National Spatial Reference System
	NTIA	National Telecommunications and Information Administration
	NTIS	National Technical Information Service
	NWS	National Weather Service
	O	OAR
OGC		Office of General Counsel
OGP		Office of Global Programs
OIG		Office of the Inspector General
OMB		Office of Management and Budget
OPCW		Organization for the Prohibition of Chemical Weapons
OPEM		Office of Planning, Evaluation and Management
OPM		Office of Personnel Management
OSTP		Office of Science and Technology Policy
OTP		Office of Technology Policy
P	PART	Program Assessment Rating Tool
	PCS	Personal Communication Service
R	RLF	Revolving Loan Fund

S	S&T	Science and Technology
	SAB	Science Advisory Board
	SAS	Service Annual Survey
	SBA	Small Business Administration
	SIPP	Survey of Income and Program Participation
	SMEs	small and medium-sized enterprises
	SRMs	standard reference materials
T	3G	Third Generation
	TA	Technology Administration
	TAAC	Trade Adjustment Assistance Center
	TECI	Transshipment Country Export Control Initiative
	TCC	Trade Compliance Center
	TIGER	Topologically Integrated Geographic Encoding and Reference System
	TPCC	Trade Promotion Coordination Committee
	Treasury	Department of Treasury
U	USFCS	U.S. and Foreign Commercial Service
	USAID	U.S. Agency for International Development
	USDA	U.S. Department of Agriculture
	USPTO	U.S. Patent and Trademark Office
	USTR	U.S. Trade Representative
	UWB	Ultra Wideband
V	VCAT	Visiting Committee on Advanced Technology
W	WTO	World Trade Organization



AMERICAN JOBS, AMERICAN VALUES
STRATEGIC GOALS

GOAL 1

PROVIDE THE INFORMATION AND TOOLS TO MAXIMIZE U.S. COMPETITIVENESS AND ENABLE ECONOMIC GROWTH FOR AMERICAN INDUSTRIES, WORKERS, AND CONSUMERS.

GOAL 2

FOSTER SCIENCE AND TECHNOLOGICAL LEADERSHIP BY PROTECTING INTELLECTUAL PROPERTY, ENHANCING TECHNICAL STANDARDS, AND ADVANCING MEASUREMENT SCIENCE.

GOAL 3

OBSERVE, PROTECT, AND MANAGE THE EARTH'S RESOURCES TO PROMOTE ENVIRONMENTAL STEWARDSHIP.

MANAGEMENT INTEGRATION GOAL

ACHIEVE ORGANIZATIONAL AND MANAGEMENT EXCELLENCE.

