CFTC Form 5 Exempt Board of Trade ("EBOT") Annual Certification

NOTICE: Public reporting burden for this collection of information is estimated to average one hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this, to Agency Clearance Officer, Office of General Counsel, Commodity Futures Trading Commission, 1155 21st Street, NW, Washington, DC 20581; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

Instructions: The Commodity Exchange Act (CEA) and CFTC regulatory requirements are shown under column one. Please provide your responses under column two, and sign and date at the bottom of the form, confirming that all of the information provided in the certification is correct.

provided in the certification is correct.			
CFTC Regulatory Provisions (§36.2)	Update of Information		
	Name of EBOT:		
	Address:		
§36.2(b) Notification. Boards of trade operating under section 5d of the Act as exempt boards of trade shall			
so notify the Commission. This notification shall include (1) the name and address of the exempt board of trade; and (2) the name and telephone number of a contact person.			
	Web URL:		
	Contact Person:		
,	Phone #:		
	Email Address:		
	Any trading on the	Yes No No	
	EBOT during this calendar year?		
CEA Section 5d/Regulatory Provisions §36.2		mation of Compliance	
5d(b)(1)—See 36.2(a) below			
§36.2(a) Eligible commodities. Commodities eligible	Identify the commodities traded on the EBOT.		
under section 5d(b)(1) of the Act to be traded by an	,		
exempt board of trade are:			
(1) Commodities having—			
(i) A nearly inexhaustible deliverable supply;			
(ii) A deliverable supply that is sufficiently			
large, and a cash market sufficiently liquid, to			
render any contract traded on the commodity highly unlikely to be susceptible to the threat			
of manipulation; or			
(iii) No cook moonlyst			
(iii) No cash market.			
(2) The commodities that meet the criteria of			
paragraph (a)(1) of this section are:			
(i) The commodities defined in section 1a(13)	Are the commodities unde	erlying the contracts being traded excluded	
of the Act as "excluded commodities" (other	commodities?		
than a security, including any group or index thereof or any interest in, or based on the	.,,		
value of, any security or group or index of	Yes	No L	
securities); and			
	And the company of the contract of	substant the courtments below two ded and all the	
(ii) Such other commodity or commodities as		erlying the contracts being traded ones that do not be eligible for trading on an EBOT by	
the Commission may determine by rule,		on, or order (e.g., weather indices)?	
regulation or order.			
	Yes	No L	

	,	
	If answer is "no" to both questions listed above, do the commodities currently being traded meet the definition of eligible commodities in §36.2(a)?	
	Yes No Not applicable	
5d(b) Criteria for exemption. To qualify for an exemption under subsection (a) (Election to register with the Commission), a board of trade shall limit trading on or through the facilities of the board of trade to contracts of sale of a commodity for future delivery (or options on such contracts or on a commodity)—	Trading is limited to eligible contract participants. Provide an explanation for any "no" answers.	
(2) that are entered into only between persons that are eligible contract participants at the time at which the persons enter into the contract; and	Yes No No	
(3) that are not contracts of sale (or options on such a contract or on a commodity) for future delivery of any security, including any group or index of securities or any interest in, or based on the value of, any security or any group of index of securities.	Please confirm that the trading is not for contracts of sale for future delivery of any security.	
§36.2(c)(2) Market data dissemination.	Identify any products that serve as a significant source of	
(i) Criteria for price discovery information	price discovery for transactions in the cash market for the commodity underlying any contract.	
(ii) Notification. An exempt board of trade operating a market in reliance on the exemption in section 5d of the Act shall notify the Commission when:		
(A) It has reason to believe that the cash market bids, offers, or transactions are directly based on, or quoted at a differential to, the prices generated on the market on a more than occasional basis;		
(B) It has reason to believe that the market's prices are routinely disseminated in a widely distributed industry publication and are routinely consulted by industry participants in pricing cash market transactions; or		
(C) The exempt board of trade holds out the market to the public as performing a price discovery function for the cash market for the commodity.		
I certify that continues to operate as an EBOT in		
I certify that continues to operate as an EBOT in reliance upon section 5d of the Act and all of the information contained in the Notification of		
Operation as an Exempt Board of Trade is correct or has been corrected as a result of this certification.		
Signature		
Position		
Date		