Table of Contents i

Table of Contents

Commerce Office Addresses

Legal Authority

Part	730	General Information		
	730.1	What these regulations cover		
	730.2	Statutory authority		
	730.3	Dual use exports		
	730.4	Other control agencies and departments		
	730.5	Coverage of more than exports		
	730.6	Control purposes		
	730.7	License requirements and exceptions		
	730.8	How to proceed and where to get help		
	730.9	Organization of the Bureau of Industry and Security		
	730.10	Advisory information		
	Supplem	Supplement No. 1 to Part 730; Information Collection Requirements Under the Paperwork Reduction Act: OMB Control Numbers		
	Supplem	ent No. 2 to Part 730; Technical Advisory Committees		
	Supplem	ent No. 3 to Part 730; Other U.S. Government Departments and Agencies with Export Control		
		Responsibilities		
Part	732	Steps For Using the EAR		
	732.1	Steps overview		
	732.2	Steps regarding scope of the EAR		
	732.3	Steps regarding the ten general prohibitions		
	732.4	Steps regarding License Exceptions		
	732.5	Steps regarding Shipper's Export Declaration or Automated Export System Record, Destination		
		Control Statements, and Recordkeeping		
	732.6	Steps for other requirements		
		ent No. 1 to Part 732; Decision Tree		
	Supplement No. 2 to Part 732; Am I subject to the EAR?			
	Supplem	ent No. 3 to Part 732; BIS's "Know Your Customer" Guidance and Red Flags		
Part	734	Scope of the Export Administration Regulations		
	734.1	Introduction		
	734.2	Important EAR terms and principles		
	734.3	Items subject to the EAR		
	734.4	De minimis U.S. content		
	734.5	Activities of U.S. and foreign persons subject to the EAR		
	734.6	Assistance available from BIS for determining licensing and other requirements		
	734.7	Published information and software		
	734.8	Information resulting from fundamental research		
	734.9	Educational information		
	734.10	Patent applications		
	734.11	Government-sponsored research covered by contract controls		
	734.12	Effect on foreign laws and regulations		

Table of Contents ii

Supplement No. 1 to Part 734; Questions and Answers - Technology and Software Subject to the EAR Supplement No. 2 to Part 734; Calculation of Values for *DeMinimis* Rules

Part 736 General Prohibitions

- 736.1 Introduction
- 736.2 General prohibitions and determination of applicability

Supplement No. 1 to Part 736; General Orders

Supplement No. 2 to Part 736; Administrative Orders

Part 738 Commerce Control List Overview and the Country Chart

- 738.1 Introduction
- 738.2 Commerce Control List (CCL) structure
- 738.3 Commerce Country Chart structure
- 738.4 Determining whether a license is required

Supplement No. 1 to Part 738; Commerce Country Chart

Part 740 License Exceptions

- 740.1 Introduction
- 740.2 Restrictions on all License Exceptions
- 740.3 Shipments of limited value (LVS)
- 740.4 Shipments to Country Group B countries (GBS)
- 740.5 Civil end-users (CIV)
- 740.6 Technology and software under restriction (TSR)
- 740.7 Computers (APP)
- 740.8 Key Management Infrastructure (KMI)
- 740.9 Temporary imports, exports, and reexports (TMP)
- 740.10 Servicing and replacement of parts and equipment (RPL)
- 740.11 Governments, international organizations, and international inspections under the Chemical Weapons Convention (GOV)
- 740.12 Gift parcels and humanitarian donations (GFT)
- 740.13 Technology and software unrestricted (TSU)
- 740.14 Baggage (BAG)
- 740.15 Aircraft and vessels (AVS)
- 740.16 Additional permissive reexports (APR)
- 740.17 Encryption commodities and software (ENC)
- 740.18 Agricultural commodities (AGR)
- 740.19 United States persons in Libya (USPL)

Supplement No. 1 to Section 740.11; Additional restrictions on use of License Exception GOV

Supplement No. 1 to Part 740; Country Groups

Supplement No. 2 to Part 740; Items that may be Donated to Meet Basic Human Needs Under the Humanitarian License Exception

Supplement No. 3 to Part 740; Countries eligible for the provisions of §740.17(a)

Part 742 Control Policy - CCL Based Controls

- 742.1 Introduction
- 742.2 Proliferation of chemical and biological weapons
- 742.3 Nuclear nonproliferation
- 742.4 National security
- 742.5 Missile technology
- 742.6 Regional stability
- 742.7 Crime control

Table of Contents

742.8	Anti-Terrorism: Iran
742.9	Anti-Terrorism: Syria
742.10	Anti-Terrorism: Sudan
742.11	Specially designed implements of torture, thumbscrews, and thumbcuffs; and parts and accessories
	n.e.s.
742.12	High performance computers
742.13	Communications intercepting devices
742.14	Significant items: Hot section technology for the development, production or overhaul of
	commercial aircraft engines, components, and systems
742.15	Encryption items
742.16	[RESERVED]
742.17	Exports of firearms to OAS member countries
742.18	Chemical Weapons Convention (CWC or Convention)
742.19	Anti-terrorism: North Korea
742.20	Anti-terrorism: Libya
Supplement No	o. 1 to Part 742; Nonproliferation of Chemical and Biological Weapons
Supplement No	o. 2 to Part 742; Anti-terrorism Controls: Iran, North Korea, Syria and Sudan Contract Sanctity
	Dates and Related Policies
Supplement No	o. 3 to Part 742; High Performance Computers; Safeguard Conditions and Related Information
Supplement No	o. 4 to Part 742; Key Escrow or Key Recovery Products Criteria
Supplement No	o. 5 to Part 742; Checklist on Encryption and Other "Information Security" Functions
Supplement No	o. 6 to Part 742; Guidelines for Submitting Review Requests for Encryption Items
Supplement No	o. 7 to Part 742; Description of Major Weapons Systems

Part 743 Special Reporting

- 743.1 Wassenaar Arrangement
- 743.2 [RESERVED]

Supplement No. 1 to Part 743; Wassenaar Arrangement Participating States

Part 744 Control Policy: End-user and End-use Based 744.1 General provisions 744.2 Restrictions on certain nuclear end-uses 744.3 Restrictions on certain rocket systems (including ballistic missile systems and space launch vehicles and sounding rockets) and unmanned air vehicles (including cruise missile systems, target drones and reconnaissance drones) end-uses 744.4 Restrictions on certain chemical and biological weapons end-uses 744.5 Restrictions on certain maritime nuclear propulsion end-uses 744.6 Restrictions on certain activities of U.S. persons 744.7 Restrictions on certain exports to and for the use of certain foreign vessels or aircraft 744.8 [RESERVED] 744.9 Restrictions on technical assistance by U.S. persons with respect to encryption items 744.10 Restrictions on certain entities in Russia 744.11 [RESERVED] 744.12 Restrictions on exports and reexports to persons designated in or pursuant to Executive Order 13224 (Specially Designated Global Terrorist) (SDGT) 744.13 Restrictions on exports and reexports to persons designated pursuant to Executive Order 12947 (Specially Designated Terrorists) (SDT) 744.14 Restrictions on exports and reexports to designated Foreign Terrorist Organizations (FTOs) 744.15 [RESERVED] 744.16 [RESERVED]

Table of Contents iv

	744.17	Restrictions on c uses' and to 'mi	ertain exports and reexports of general purpose microprocessors for 'military end-	
	744.18		exports, reexports, and transfers to persons designated in or pursuant to Executive	
	744.19		regarding persons sanctioned pursuant to specified statutes	
	744.20		nents that apply to certain sanctioned entities	
	744.21	•	certain military end-uses in the People's Republic of China (PRC).	
			Military End-use Examples for §744.17	
	Supplement Supplement	nt No. 2 to Part 744; I	List of Items Subject to the Military End-use License Requirement of §744.21 Countries Not Subject to Certain Nuclear End-use Restrictions in §744.2(a)	
Part	745 Chemical Weapons Convention Requirements			
	745.1	Advance notifica	ation and annual report of all exports of Schedule 1 chemicals to other States Parties	
	745.2	End-Use Certific	cate reporting requirements under the Chemical Weapons Convention	
	Supplemen	nt No. 1 to Part 745; \$	Schedules of Chemicals	
	Supplemen	nt No. 2 to Part 745; S	States Parties to the Convention on the Prohibition of the Development, Production,	
		S	Stockpiling, and Use of Chemical Weapons and on Their Destruction	
	Supplemen	nt No. 3 to Part 745; I	Foreign Authorized Agencies Responsible for Issuing End-Use Certificates Pursuan	
		t	to §745.2	
Part	746	Embargoes and Oth	ner Special Controls	
	746.1	Introduction		
	746.2	Cuba		
	746.3	Iraq		
	746.4	North Korea		
	746.5	[RESERVED]		
	746.6	[RESERVED]		
	746.7	Iran		
	746.8	Rwanda		
	746.9	Syria		
		Supplement No. 1 to Part 746; Examples of luxury Goods		
	Supplement No. 2 to Part 746; [RESERVED]			
	Supplemen	nt No. 3 to Part 746; [RESERVED]	
Part	747	Special Iraq Recons	struction License	
	747.1	Scope		
	747.2	Eligibility requir	rements	
	747.3	Eligible Items		
	747.4		follow to apply for a SIRL	
	747.5	SIRL application	1 review process	
Part	748	Applications (Class	ification, Advisory, and License) and Documentation	
	748.1	General provision		
	748.2	•	; mailing addresses	
	748.3		equests, Advisory Opinions, and Encryption Review Requests	
	748.4	_	related to applying for a license	
	748.5	Parties to the tra		
	748.6		ions for license applications	
	748.7		onically for a license or Classification request	
	748.8	Unique license a	application requirements	

Table of Contents v

	748.9	Support documents for license applications
	748.10	Import Certificates and End-user Statements
	748.11	Statement by Ultimate Consignee and Purchaser
	748.12	Special provisions for support documents
	748.13	Delivery Verification (DV)
	748.14	Import Certificate for firearms destined for Organization of American States member countries
	748.15	Authorization Validated End-user (VEU)
	Supplement 1	No. 1 to Part 748; BIS-748P; BIS-748P-A, Item Appendix; and BIS-748P-B, End-User Appendix
		Multipurpose Application Instructions
	Supplement 1	No. 2 to Part 748; Unique Application and Submission Requirements
		No. 3 to Part 748; BIS-711, Statement by Ultimate Consignee and Purchaser Instructions
		No. 4 to Part 748; Authorities Administering Import Certificate/ Delivery Verification (IC/DV) and
	Supprement	End-User Statement Systems in Foreign Countries
	Supplement	No. 5 to Part 748; U.S. Import Certificate and Delivery Verification Procedure
		No. 6 to Part 748; Authorities issuing Import Certificates under the Firearms Convention
	Supplement	No. 7 to Part 748; Authorization Validated End-user (VEU): List of Validated End-users, Respective
	G 1 (1	Eligible Items and Eligible Destinations
		No. 8 to Part 748; Information Required in Requests for Validated End-user (VEU) Authorization
	Supplement	No. 9 to Part 748; End-user Review Committee Procedures
Part	750 A	pplication Processing, Issuance, and/or Denial
	750.1	Scope
	750.2	Processing of Classification Requests and Advisory Opinions
	750.3	Review of license applications by BIS and other government agencies and departments
	750.4	Procedures for processing license applications
	750.4	Status on pending applications and other requests
	750.6	Denial of license applications
	750.7	Issuance of licenses
	750.8	Revocation or suspension of licenses
	750.9	Duplicate licenses
	750.10	Transfer of licenses for exports
	750.11	Shipping tolerances
Part	752 S	pecial Comprehensive License
	752.1	Scope
	752.2	Eligible activities
	752.3	Eligible items
	752.4	Eligible countries
	752.5	Steps you must follow to apply for an SCL
	752.6	Reexports
	752.7	Direct shipment to customers
		SCL application review process
	752.8	
	752.9	Action on SCL applications
	752.10	Changes to the SCL
	752.11	Internal Control Programs
	752.12	Recordkeeping requirements
	752.13	Inspection of records
	752.14	System reviews
	752.15	Export clearance
	752.16	Administrative actions
	752.17	BIS mailing addresses

Table of Contents vi

	Supplemen	nt No. 1 to Part 752; Instructions for Completing Form BIS-748P, "Multipurpose Application", for
		Requests for Special Comprehensive Licenses
		nt No. 2 to Part 752; Instructions for Completing Form BIS-748P-A, "Item Appendix"
	Supplemen	nt No. 3 to Part 752; Instructions for Completing Form BIS-752, "Statement by Consignee in Support of
	G 1	Special Comprehensive License"
		nt No. 4 to Part 752; Instructions for Completing Form BIS-752-A, "Reexport Territories"
	Supplemen	nt No. 5 to Part 752; Instructions for Completing Form BIS-748P-B, "End-User Appendix"
Part	754	Short Supply Controls
	754.1	Introduction
	754.2	Crude oil
	754.3	Petroleum products not including crude oil
	754.4	Unprocessed western red cedar
	754.5	Horses for export by sea
	754.6	Registration of U.S. agricultural commodities for exemption from short supply limitations on export
	754.7	Petitions for the imposition of monitoring or controls on recyclable metallic materials; Public
		hearings
	Supplemen	nt No. 1 to Part 754; Petroleum and Petroleum Products
	Supplemen	nt No. 2 to Part 754; Unprocessed Western Red Cedar
	Supplemen	nt No. 3 to Part 754; Statutory Provisions Dealing with Exports of Crude Oil
D 4	55	
Part		Appeals
	756.1	Introduction
	756.2	Appeal from an administrative action
Part	758	Export Clearance Requirements
	758.1	The Shipper's Export Declaration (SED) or Automated Export System (AES) record
	758.2	Automated Export System (AES)
	758.3	Responsibilities of parties to the transaction
	758.4	Use of export license
	758.5	Conformity of documents and unloading of items
	758.6	Destination control statements
	758.7	Authority of the Office of Export Enforcement, the Bureau of Industry and Security, Customs offices
		and Postmasters in clearing shipments
	758.8	Return or unloading of cargo at direction of BIS the Office of Export Enforcement or Customs
	750.0	Service
	758.9	Other applicable laws and regulations
Part	760	Restrictive Trade Practices or Boycotts
	760.1	Definitions
	760.2	Prohibitions
	760.3	Exception to prohibitions
	760.4	Evasion
	760.5	Reporting requirements
	Supplemen	nt Nos. 1-16; Interpretations
Part	762	Recordkeeping
1 alt	7 62 762.1	Scope
	762.1	Records to be retained
	762.2	Records exempt from recordkeeping requirements
	762.4	Original records required
	/ V 🗕 . T	CITETION I DOUTED TOURITOR

Table of Contents vii

	762.5	Reproduction of original records
	762.6	Period of retention
	762.7	Producing and inspecting records
Part	764	Enforcement and Protective Measures
	764.1	Introduction
	764.2	Violations
	764.3	Sanctions
	764.4	Reporting of violations
	764.5	Voluntary self-disclosure
	764.6	Protective administrative measures
	764.7	Activities involving items that may have been illegally exported or reexported to Libya
•	764.8	Voluntary self-disclosure for boycott provisions
	Supplem	ent No. 1 to Part 764; Standard Terms of Orders Denying Export Privileges
Part	766	Administrative Enforcement Proceedings
	766.1	Scope
	766.2	Definitions
	766.3	Institution of administrative enforcement proceedings
	766.4	Representation
	766.5	Filing and service of papers other than charging letter
	766.6	Answer and demand for hearing
	766.7	Default
	766.8	Summary decision
	766.9	Discovery
	766.10	Subpoenas
	766.11	Matter protected against disclosure
	766.12	Prehearing conference
	766.13	Hearings
	766.14	Interlocutory review of rulings
	766.15	Proceeding without a hearing
	766.16	Procedural stipulations; extension of time
	766.17	Decision of the administrative law judge
	766.18	Settlement
	766.19	Reopening
	766.20	Record for decision and availability of documents
	766.21	Appeals
	766.22	Review by Under Secretary
	766.23	Related persons
	766.24	Temporary denials
	766.25	Administrative action denying export privileges
	Supplem	ent No. 1 to part 766; Guidance on Charging and Penalty Determinations in Settlement of Administrative Enforcement Cases
•	Suppleme	ent No. 2 to part 766; Guidance on Charging and Penalty Determinations in Settlement of Administrative
		Enforcement Cases Involving Antiboycott Matters
Part	768	Foreign Availability Determination Procedures and Criteria
	768.1	Introduction
	768.2	Foreign availability described
	768.3	Foreign availability assessment
	768.4	Initiation of an assessment

Table of Contents viii

768.5	Contents of foreign availability submissions and Technical Advisory Committee certifications	
768.6	Criteria	
768.7	Procedures	
768.8	Eligibility of expedited licensing procedures for non-controlled countries	
768.9	Appeals of negative foreign availability determinations	
768.10	Removal of controls on less sophisticated items	
Supplement No. 1 to Part 768; Evidence of Foreign Availability		
Supplement No. 2 to Part 768; Items Eligible for Expedited Licensing Procedures [RESERVED]		

Part 770 Interpretations

- 770.1 Introduction
- 770.2 Commodity interpretations
- 770.3 Interpretations related to exports of technology and software to destinations in Country Group D:1

Part 772 Definitions of Terms

772.1 Definitions of terms as used in the Export Administration Regulations (EAR)

Part 774 The Commerce Control List

- 774.1 Introduction
- 774.2 [RESERVED]

Supplement No. 1 to Part 774; The Commerce Control List

Category 0 - Nuclear Materials, Facilities & Equipment (and Miscellaneous

Items)

Category 1 - Materials, Chemicals, "Microorganisms", and Toxins

Category 2 - Materials Processing

Category 3 - Electronics

Category 4 - Computers

Category 5 (Part 1) - Telecommunications

Category 5 (Part 2) - Information Security

Category 6 - Sensors and Lasers

Category 7 - Navigation and Avionics

Category 8 - Marine

Category 9 - Propulsion Systems, Space Vehicles and Related Equipment

Supplement No. 2 to Part 774; General Technology and Software Notes

Supplement No. 3 to Part 774; Statements of Understanding

Forms Supplement

Form BIS-621P, Report of Request for Restrictive Trade Practice or Boycott Single Transaction

Form BIS-645P/ATF-4522/DSP-53, International Import Certificate

Form BIS-647P, Delivery Verification Certificate

Form BIS-648P, Notification of Delivery Verification Requirement

Form BIS-648P, Instructions for Fulfilling DV Requirement

Form BIS-711, Statement by Ultimate consignee and Purchaser

Form BIS-748P, Multipurpose Application Form

Form BIS-748P, Instructions for filling out the Multipurpose Application Form page 1

Form BIS-748P, Instructions for filling out the Multipurpose Application Form page 2

Export License (sample)

Form BIS-748P-A, Item Appendix

Form BIS-748P-B, End-User Appendix

Table of Contents ix

Form BIS-752, Statement by Consignee in Support of Special Comprehensive License

Form BIS-752-A, Reexport Territories

Form BIS-6051P, Report of Request for Restrictive Trade Practice or Boycott Multiple Transactions

Form BIS-6051P, Continuation sheet for: Report of Request for Restrictive Trade Practice or Boycott Multiple Transactions

ENG Form 7513, Shipper's Export Declaration for In-Transit Goods

Form 7525-V, Shipper's Export Declaration

Form 7525-V, Continuation form for: Shipper's Export Declaration

Power-of-Attorney Forms