

U.S. DEPARTMENT OF COMMERCE Economics and Statistics Administration
U.S. CENSUS BUREAU

FI-52360

2002 ECONOMIC CENSUS

SECURITIES AND COMMODITY CONTRACTS INTERMEDIATION AND BROKERAGE AND OTHER FINANCIAL INVESTMENTS
OMB No. 0607-0882: Approval Expires 07/31/2004

DUE DATE FEBRUARY 12, 2003

Mail your completed form to: **U.S. CENSUS BUREAU** 1201 East 10th Street Jeffersonville, IN 47134-0001

Please read the accompanying information sheet(s) before answering the questions.

Need help or have questions about filling out this form?

Visit our Web site at www.census.gov/econhelp

Call 1-800-233-6136, between 8:00 a.m. and 8:00 p.m., Eastern time, Monday through Friday.

- OR -

Write to the address above. Include your 11-digit Census File Number (CFN) printed in the mailing addrage

FI-52360

INFORMATION COPY ONOT USE TO REPORT ONOT USE TO

	(Please correct any	errors in this mailin	g address.)						
that receive this questions law, YOUR CENSUS RE	QUIRED BY LAW. Title 13, United States On the desire to answer the questions and return the PORT IS CONFIDENTIAL. It may be seen on the desired may be used only for statistical pural process.	report to the U.S. only by persons sw	Census Burea orn to upholo	iu. By the same If the confidentiality					
Use blue or black ink.	• Please center numbers in their respective by	oxes. Examples:							
• Do not use pencil.	 Do not put slashes through 0 or 7. 		0 4 0 3	4 5 6 7 0 6					
• Place an "X" inside the box.	·	X	0 1 2 3	4 5 6 7 8 9					
The reporting unit for this form is an establishment. An establishment is generally a single physical location where business is conducted or where services or industrial operations are performed. For further clarification, see information sheet(s).									
1 MONTHS IN OPERATION			Mark "X"	2002					
			if None	Number of months					
Number of months in ope	eration during 2002 (If none, mark "X" and go	to 2 .)	. 0002						
Is the Employer Identification	2 EMPLOYER IDENTIFICATION NUMBER Is the Employer Identification Number (EIN) shown in the mailing address the same as the one used for this establishment on its latest 2002 Internal Revenue Service Form 941, Employer's Quarterly Federal Tax Return?								
₀₀₂₁	□ No - Enter current EIN (9 digits) ——	→ 0025	-						
3 PHYSICAL LOCATION A. Is this establishment's (P.O. box and rural roll)	3 PHYSICAL LOCATION A. Is this establishment's physical location the same as shown in the mailing address? (P.O. box and rural route addresses are not physical locations.)								
₀₀₃₁	0035 Number and street								
0032 No - Enter physi	cal 0036 City, town, village, etc.	0037 State	0038 ZIP Code						
location			1 1 1 1	-					
B. Is this establishment p	hysically located inside the legal boundaries	of the city, town, v	village, etc.?						
₀₀₄₁	□ No legal boundar	ies 0044	☐ Do not	know					
C. Type of municipality v	where this establishment is physically located								
0046 U City, village, or b	porough 0047 U Town or township	0048	□ Other or	r do not know					

	Dellas finance about diba seconde dita		Mark "X"	,	200	02	
HOW TO	Dollar figures should be rounded to thousands of dollars.		if None	\$ Bil.	Mil.	Thou.	Do
REPORT DOLLAR	If a figure is \$1,025,628.79:	Report	. 🗆		1	0 2 6	
FIGURES	If a value is "0" (or less than \$500.00):	Report	. 🛛				
SALES. SHIPMENT	S, RECEIPTS, OR REVENUE						
			Mark "X" if None	\$ Bil.	200 Mil.	02 Thou.	Do
5				V 5		Tilou.	
		0100					
E-COMMERCE SAL	ES, SHIPMENTS, RECEIPTS, OR REVENUE						
commissions, r Interchange (EL and sellers to to or may not be	shment have any e-commerce sales, receipts ents, or fees collected from any transaction DI) network, electronic mail, or other online s ransfer ownership of, or rights to use, goods made online. Please see the information sh	completed over an system. Transactio s or services. Payn	Internet ons are a nent for	r, Extrar greeme these g	net, Electro ents betwe	onic Data een buyers	;
0181	Go to line B				200	02	
₀₁₈₂ No - 0	Go to 🙃			Es		e acceptable	9
D		broomt (Inglise)		\$ Bil.	Mil.	Thou.	D
	les, receipts, and/or revenue of this establisles, receipts, and/or revenue in 4 . Exclude s		0185				
Service Form	-time employees working at this establishm 941, Employer's Quarterly Federal Tax Retu	ent whose payroll v rn, and filed under	vas repo the Emp	rted on	Internal i dentificati	Revenue	
	n the mailing address or corrected in 2.				Jonan	on Numbe	er
Exclude:	_	lad under en emple	waa laaa	•			er
• Full- or part-ti	ime leased employees whose payroll was fil	ed under an emplo	yee leas	•			er
• Full- or part-ti • Temporary st	ime leased employees whose payroll was fil affing obtained from a staffing service.	ed under an emplo	yee leas	ing con Ma		IN. 2002	er
 Full- or part-ti Temporary st For further clarifical 	ime leased employees whose payroll was fil affing obtained from a staffing service. ation, see information sheet(s).	·		ing con Ma if I	npany's E	IN.	er
 Full- or part-ti Temporary st For further clarifical 	ime leased employees whose payroll was fil affing obtained from a staffing service.	·		ing con Ma if I	npany's E	IN. 2002	er
 Full- or part-ti Temporary st For further clarifica A. Number of emp 	ime leased employees whose payroll was fil affing obtained from a staffing service. ation, see information sheet(s). ployees for pay period including March 12.			ing con Ma if I 0320	npany's E rk "X" None	2002 Number 2002	
 Full- or part-ti Temporary st For further clarifica A. Number of emp 	ime leased employees whose payroll was fil affing obtained from a staffing service. ation, see information sheet(s).			ing con Ma if I ₀₃₂₀ Mark "X"	npany's E	2002 Number	
 Full- or part-ti Temporary st For further clarifica A. Number of emp B. Payroll before of 	ime leased employees whose payroll was fil affing obtained from a staffing service. ation, see information sheet(s). ployees for pay period including March 12.	ge benefits.)		ing con Ma if I 0320	npany's E rk "X" None	2002 Number 2002	
 Full- or part-ti Temporary st For further clarification A. Number of emp B. Payroll before of 1. Annual payron 	ime leased employees whose payroll was file affing obtained from a staffing service. Stion, see information sheet(s). Soloyees for pay period including March 12.	ge benefits.)		ing con Ma if I 0320 Mark "X" f None	npany's E rk "X" None	2002 Number 2002	
 Full- or part-ti Temporary st For further clarifica A. Number of emp B. Payroll before of 1. Annual payroll 	ime leased employees whose payroll was fil affing obtained from a staffing service. ation, see information sheet(s). ployees for pay period including March 12.	ge benefits.)		ing con Ma if I ₀₃₂₀ Mark "X"	npany's E rk "X" None	2002 Number 2002	
 Full- or part-ti Temporary st For further clarifica A. Number of emp B. Payroll before of 1. Annual payroll 	ime leased employees whose payroll was file affing obtained from a staffing service. Stion, see information sheet(s). Soloyees for pay period including March 12.	ge benefits.)		ing con Ma if I 0320 Mark "X" f None	npany's E rk "X" None	2002 Number 2002	De
 Full- or part-ti Temporary statement For further clarification A. Number of empty B. Payroll before of the control of the cont	ime leased employees whose payroll was file affing obtained from a staffing service. Stion, see information sheet(s). Soloyees for pay period including March 12.	ge benefits.)		ing con Ma if I 0320 Mark "X" f None	npany's E rk "X" None	2002 Number 2002	

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If not shown, please en Number (CFN) from the	ter your 11-digit Census File mailing address.
LEASED EMPLOYME	NT AND PAYROLL
A. Did this establish leasing company'	ment have any full- or part-time leased employees whose payroll was filed under an employee s EIN?
Exclude:	
• Temporary sta	offing obtained from a staffing service.
	ubcontractors, or independent contractors.
	managed services, such as janitorial, guard, or landscape services.
 Professional of programming, 	r technical services purchased from another firm, such as software consulting, computer engineering, or accounting services.
• Employees alı	eady reported in 6 .
For further clarific	cation, see information sheet(s).
₀₂₄₁	o to line B
₀₂₄₂	to 1 Mark "X" 2002 if None Number
B. Number of leased	l employees for pay period including March 12
C Payroll for leased	employees before deductions (Exclude employer's cost for 2002
fringe benefits.)	\$ Mil. Thou. Dol.
1. Annual payrol	I for leased employees
	Mark "X" 2002
	if None \$ Mil. Thou. Dol.
2. First quarter p	ayroll for leased employees (January-March, 2002)
8-17 Not Applicable.	
KIND OF BUSINESS Principal kind of busi (Mark "X" only ONE	
Investment banki	ng, dealing, and brokerage
⁰⁷⁰⁰ 523 110 00 16	Investment banking and securities dealing
523 120 00 14	Full service securities brokerage
523 120 00 22	Discount securities brokerage
523 999 20 18	Gas and oil lease and royalties brokerage
524 210 00 16	Independent insurance agent or agency
523 110 00 24	Securities floor trading
523 130 20 18	Commodity dealing
523 140 00 10	Commodity brokerage
523 130 20 26	Commodity floor trading
	CONTINUE WITH ® ON PAGE 4

52360038

18 KIND OF BUSINE	SS -	Continued						
	ankin	g, dealing, and brokerage - Continued						
⁰⁷⁰⁰ 523 140 00 28		Commodity introducing brokerage						
523 130 20 34		Commodity futures commission merchant						
523 910 10 16		Trading/dealing, except securities and commodities - Specify						
0701								
	_	related activities						
523 920 10 14	Ш	Asset/portfolio manager, including investment advisory, with authority to make investment decisions for clients						
523 930 00 14		Investment advice, without portfolio management authority						
523 920 10 22		Pension fund investment manager						
523 920 10 30		Mutual fund manager						
523 920 10 48		Mutual fund adviser						
523 920 10 55		Mutual fund administrator						
523 991 30 22		Mutual fund transfer agent						
523 920 90 17		Commodity pool operator						
523 991 30 14		Securities custodian						
523 991 30 30		Securities transfer agent						
523 991 10 18		Trust office of bank						
523 991 10 26		Trust company, nondepository						
525 910 00 13		Management investment company office, open-end						
525 990 00 16		Management investment company office, closed-end						
525 910 00 21		Money market mutual fund office						
523 210 00 15		Securities exchange						
523 210 00 23		Commodities exchange						
523 999 90 13		Securities/commodities exchange clearinghouse						
523 130 10 10		Foreign currency exchange						
523 991 20 16		Escrow, except real estate						
523 999 10 10		Deposit broker						
522 310 00 16		Loan broker or agent						
522 310 00 24		Mortgage broker						
	522 310 00 24							

											
If not s Numbe	If not shown, please enter your 11-digit Census File Number (CFN) from the mailing address.										
18 KIN	18 KIND OF BUSINESS - Continued										
т	Trusts/funds/plans										
0700	523 991 90 11 Administrator of private estate										
	523 991 90 29 Trustee in bankruptcy										
	525 120 00 92										
Personal trust, estate or agency account											
	525 920 00 29		Private trust/foundation prin	marily holding investments a	nd othe	er assets c	of individua	als			
	525 120 00 27		Health or welfare fund								
	525 110 00 11		Pension fund								
	525 120 00 19		Other employee benefit plan	n							
	777 520 00 79		Trust/foundation primarily e	engaged in day-to-day operat	tions of	f a busines	ss - Specif	y kind	d of		
0701											
o	ther business	act	ivities								
	525 910 30 17		Venture capital company								
	523 130 90 13		Commodity trading company								
	523 910 20 14		Oil royalty trader, investing on own account								
	533 110 10 13		Oil royalty company								
	775 000 00 15		Other kind of business or ac	ctivity - Specify							
0701											
19-21	Not Applicab	lo.									
6 -3	Not Applicab	16.					2002				
но	W TO						es are accep				
RE	PORT RCENTS				\$ Bil.	Report d	ollars OR pe	Dol.	s. Percent		
FEI	NCENTS		If figure is 38.76% of total sales:	Report whole percents					3 9		
22 DE	TAIL OF SALES	, SH	IPMENTS, RECEIPTS, OR REV	VENUE							
(Re	eport sources of ported in 4). S	f revi See F	enue for this establishment, d HOW TO REPORT DOLLAR FI	either as a dollar figure or as	a who	le percent PORT PER	of total re	evenu ove.	e Do not		
	combine data for two or more lines.)										
act pul an Off	Line 1 - Securities origination products revenue is generated from services of advising, purchasing, underwriting, or acting as an agent in the marketing of securities. Line 1a - Offering and sale of equity securities by an agent to the public, this includes secondary offering of previously issued equities. Line 1b -Offering and sale of debt securities by an agent; this includes secondary offering of previously issued debt securities and asset-backed securities. Line 1c - Offering and sale of entire issue of equity securities to private groups of investors. Line 1d - Offering and sale of entire issue of debt securities to private groups of investors and may include asset-backed securities.										
rec Exc	eived; and deal	ling o	ed to trades in securities incl or market making services, w vn account. Includes commo ibution certificates.	here a spread is earned fron	n dealir	ng in equit	ty instrum	ents.			
	CONTINUE WITH ② ON PAGE 6										



DETAIL OF SALES, SHIPMENTS, RECEIPTS, OR REVENUE - Continued

- **Line 3** Services related to trades in securities includes both brokerage services, where fees or commissions are received; and dealing or market making services, where a spread is earned from dealing in derivatives contracts. Excludes trading on own account.
- **Line 4** Services related to trades in securities includes both brokerage services, where fees or commissions are received; and dealing or market making services, where a spread is earned from dealing in debt instruments by category. Excludes trading on own account. Includes asset-backed securities and securities issued by government sponsored enterprises, also collateralized mortgage obligations (CMO) and real estate mortgage income conduits (REMICs).
- Line 5 Brokering and dealing foreign currencies, on a wholesale basis for a fee, excludes the retail buying and selling of currencies.
- **Line 6** Brokering and dealing investment company securities, includes mutual funds or open-end funds, closed-end funds, unit investment trusts, fixed-income funds, equity funds, and balanced funds.
- Line 7 Brokering and dealing other investment company funds, not elsewhere specified, includes commodity pools, face-amount certificates, real estate investment trusts (REITs), and individual loans.
- **Line 8** Services performed by a broker/dealer that has access to a particular securities exchange for another brokerage that does not.
- **Line 9** Revenue resulting from various acts where specific securities are transferred by financial entities, includes interest and fees.
- Line 10 Revenue, including interest, from the sale of securities in repurchase agreements.
- Line 11 Includes interest and fees from margin lending.
- Line 12 Gains (losses) from buying and selling debt securities on own account.
- Line 13 Gains (losses) from buying and selling equity securities on own account.
- Line 14 Gains (losses) from buying and selling derivative contracts on own account.
- Line 15 Gains (losses) from buying and selling foreign currencies (wholesale) on own account.
- Line 16 Gains (losses) from buying and selling financial instruments, not elsewhere classified, on own account.
- **Line 17** Revenue resulting from the creation of a fiduciary relationship; this includes trust products, also encompassing business and government entities; administration and management of corporate funds, intended for employee benefits and profit sharing plans (such as corporate agency services and 401 k plans); and services of transfer agents, paying agents, fiscal agents and estate administration.
- **Line 18** Providing financial planning and investment management services. **Line 18a** Services related to advice concerned with conducting mergers and acquisitions, including the issuing of fairness opinions to businesses and governments. **Line 18b** Includes services in the process of assessing a client's financial situation and counseling him or her on how to achieve their financial objectives; this may include legal steps, tax reduction strategies, use of bank products and services, and designing and managing a portfolio of investments for a client (manager has a fiduciary responsibility to the client).
- **Line 19** Loan income includes interest received, origination and other fees received, and revenue from sales of loans. **Line 19a** Includes interest earned on federal funds loaned to other financial institutions, **Line 19b** Includes government guaranteed loans, commercial and industrial mortgages, construction mortgages, secured by accounts receivables, and inventory loans. **Line 19c** Includes unsecured lines of credit, working capital loans, insurance financing, loan participation, syndicated loans, and banker's acceptances. **Line 19d** Includes loans to governments and their agencies and foreign governments.
- Line 20 Services that provide an infrastructure for conducting trades in securities and commodity contracts; and clearing and settlement services for securities, commodities contracts, and payments. This includes services that allow trade execution to take place. Line 20a Services that clear and settle payment for financial transactions other than trades of securities and commodity contracts. Line 20b Services that execute, clear, and settle trades of securities and commodity contracts and other financial instruments; this includes preliminary reports, compare and clear services, and final clearance of securities and commodity contracts. Line 20c Services that provide broker/dealers with access to securities and commodity contract trading systems; this includes membership dues paid by broker/dealers or others, to be members of an exchange; provision of space, equipment, communication lines, and software to traders with installation services both on and off the trading floor. Line 20d Services that list securities and other financial instruments on trading, clearing, and settlement systems; this includes all other services not elsewhere classified for conducting trades, clearing and settlement services, and coding.
- **Line 21** Other products supporting financial services not elsewhere classified.



If not shown, please enter your 11-digit Census File Number (CFN) from the mailing address.	
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If not shown,	please enter v	your 11-digit Census F	ile
Number (CFN)	from the ma	iling address.	

	_	
22	DETAIL OF SALES, SHIPMENTS, RECEIPTS, OR RE	EVENUE - Continue

						2002				
			Cen- sus				s are acceptable. llars OR percents.			
		Description of sales, shipments, receipts, or revenue	use	\$ Bil.	Mil.	Thou.	Dol.	Percent		
0723			0720	0721				0722		
1.	Securiti	ies origination products								
	a. Pub	lic offering products - equity securities	55310							
		lic offering products - debt securities	55320							
	c. Priv	ate placement - equity securities	55330							
	d. Priv	ate placement - debt securities	55340							
2.	Brokeri	ng and dealing products - equities								
	a. Fees	s and commissions	55611							
	h Inte	rest income from trading accounts	55613	İ	1 1					
		•								
	c. Net	gains (losses) in trading accounts, excluding interest income	55612							
	d. Mar	gin interest	55614							
	e. Sun	n lines 2a through 2d	55610							
3.	Brokeri	ng and dealing products - derivative contracts					·			
	a. Futu	ıres contracts, exchange-traded								
	(1)	Fees and commissions	55711	i i						
	(2)	Interest income from trading accounts	55713							
	(3)	Net gains (losses) in trading accounts, excluding interest								
	(3)	income	55712							
	(4)	Margin interest	55714	İ						
	(5)	Sum lines 3a(1) through 3a(4)	55710							
	b. Opti	ion contracts, exchange-traded								
	(1)	Fees and commissions	55721	_						
			55721							
	(2)	Interest income from trading accounts	55723							
	(3)	Net gains (losses) in trading accounts, excluding interest income	55722							
	(4)	Margin interest	55724							
	(5)	Sum lines 3b(1) through 3b(4)	55720							

CONTINUE WITH **②** ON PAGE 8



0723

3.

22 DETAIL OF SALES, SHIPMENTS, RECEIPTS, OR REVENUE - Continued

Description of sales, shipments, receipts, or revenue

Brokering and dealing products - derivative contracts - Continued

(2) Interest income from trading accounts

(3) Net gains (losses) in trading accounts, excluding interest

c. Forward contracts, traded over-the-counter

(4) Margin interest

d. Swaps, traded over-the-counter

Page 8

Percent

0722

2002

Estimates are acceptable.

Report dollars OR percents.

Thou.

Dol.

Cen-

sus

0720

55733

55730

\$ Bil.

0721

Mil.

	(1)	Fees and commissions	55741					<u> </u>	
	(2)	Interest income from trading accounts	55743						
	(3)	Net gains (losses) in trading accounts, excluding interest income	55742						
	(4)	Margin interest	55744						
	(5)	Sum lines 3d(1) through 3d(4)	55740						
	e. Opt	on contacts, traded over-the-counter							
	(1)	Fees and commissions	55751	-				 	+
	(2)	Interest income from trading accounts	55753						
	(3)	Net gains (losses) in trading accounts, excluding interest income	55752		1				
	(4)	Margin interest	55754						
	(5)	Sum lines 3e(1) through 3e(4)	55750						
_	f. Oth	er derivative contracts, traded over-the-counter							
	(1)	Fees and commissions	55761			·	·	· 	
	(2)	Interest income from trading accounts	55763						
	(3)	Net gains (losses) in trading accounts, excluding interest income	55762						
	(4)	Margin interest	55764						
	(5)	Sum lines 25/1) through 25//)	FF700						

CONTINUE WITH 2 ON PAGE 9



) D		OF SALES, SHIPMENTS, RECEIPTS, OR REVENUE - Continued				2002		
			Cen-		Estimat	2002 es are acce	ntable.	
		Description of sales, shipments, receipts, or revenue	sus use			ollars OR p	•	
_				\$ Bil.	Mil.	Thou.	Dol.	Perce
			0720	0721				0722
В	rokerii	ng and dealing products - debt instruments						
a	. Neg	otiable certificates of deposit						
	(1)	Fees and commissions	55411	1				
			55411					
	(2)	Interest income from trading accounts	55413					
	(3)	Net gains (losses) in trading accounts, excluding interest						
		income	55412					
	(4)	Margin interest	55414					
	(5)	Sum lines 4a(1) through 4a(4)	55410			1 1		
h	Com	nmercial paper issued by financial institutions						
	. 0011			1				
	(1)	Fees and commissions	55421					
	(2)	Interest income from trading accounts	55423					
	(3)	Net gains (losses) in trading accounts, excluding interest						
		income	55422					
	(4)	Margin interest	55424					
	(5)	Sum lines 4b(1) through 4b(4)	55420					
	0							
C.	. Com	nmercial paper issued by nonfinancial institutions						
	(1)	Fees and commissions	55431					
	(2)	Interest income from trading accounts	55433			1 1		
	(3)	Net gains (losses) in trading accounts, excluding interest						
	(3)	income	55432					
	(4)	Margin interest	55434					
	(5)	Sum lines 4c(1) through 4c(4)	55430					
d	. Banl	kers acceptance						
	(1)	Fees and commissions	55441					
	(2)	Interest in some from trading associate						
	(2)	Interest income from trading accounts	55443					
	(3)	Net gains (losses) in trading accounts, excluding interest income	55442					
			55442					
	(4)	Margin interest	55444					
	(5)	Sum lines 4d(1) through 4d(4)	55440					

Page 10

(4)	Margin interest
(5)	Sum lines 4g(1) through 4g(4)
h. Nat	ional government notes and bonds
(1)	Fees and commissions
(2)	Interest income from trading accounts .
(3)	Net gains (losses) in trading accounts, excincome
(4)	Margin interest
(5)	Sum lines 4h(1) through 4h(4)

22	DET	AIL OF SALES, SHIPMENTS, RECEIPTS, OR REVENUE - Continued						
						2002		
			Cen- sus			es are acce ollars OR p		
		Description of sales, shipments, receipts, or revenue	use	\$ Bil.	Mil.	Thou.	Dol.	Percent
0723			0720	0721	IVIII.	Tilou.	Doi.	0722
4.	Brok	ering and dealing products - debt instruments - Continued						
	e. T	reasury bills						
	,	4) Francisco						
	,	1) Fees and commissions	55451					
	(:	2) Interest income from trading accounts	55453					
	t	3) Net gains (losses) in trading accounts, excluding interest						
	,	income	55452					
	(4) Margin interest	55454					
	•	·						
	(5) Sum lines 4e(1) through 4e(4)	55450					
	f . (Other money market instruments						
	,	4) Face and commissions						
	(1) Fees and commissions	55461					
	(:	2) Interest income from trading accounts	55463					
	(:	3) Net gains (losses) in trading accounts, excluding interest						
	•	income	55462					
	(-	4) Margin interest	55464					1 1
	•							
	(5) Sum lines 4f(1) through 4f(4)	55460					
	g. (Corporate and trust notes and bonds						
	,	1) Fees and commissions						
	,	1) Fees and commissions	55471					
	(:	2) Interest income from trading accounts	55473					
	(:	3) Net gains (losses) in trading accounts, excluding interest						
	•	income	55472					
	(-	4) Margin interest	55474					
		·						
	(5) Sum lines 4g(1) through 4g(4)	55470					
	h. N	lational government notes and bonds						
	ı	1) Fees and commissions	55481		1 1			
	'		33401					
	(:	2) Interest income from trading accounts	55483					
	(:	3) Net gains (losses) in trading accounts, excluding interest						
		income	55482					
	(4	4) Margin interest	55484	ı	1 1			
		-1 0 11 41/41/41 1 51/51						
		5) Sum lines 4h(1) through 4h(4)	55480		1 1	1 1		1 1

CONTINUE WITH 29 ON PAGE 11



Page 11

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C)
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0	1
5)

					2002		
		Cen- sus			tes are acce		
	Description of sales, shipments, receipts, or revenue	use	\$ Bil.	Mil.	dollars OR p	Dol.	1
23		0720	0721				0722
•	Brokering and dealing products - debt instruments - Continued						
	i. State provincial and local government notes and bonds						
	(1) Fees and commissions	55491					
	(2) Interest income from trading accounts	55493					
	(3) Net gains (losses) in trading accounts, excluding interest income	55492					
	(4) Margin interest	55494					
	(5) Sum lines 4i(1) through 4i(4)	55490					
5.	Brokering and dealing foreign currency fees - wholesale	55810					
		000.0					
) .	Brokering and dealing investment company securities, including mutual funds, closed-end funds, and unit investment trusts	55910					
	Brokering and dealing other financial instruments, including commodity pools and face-amount certificates	56010					
3.	Brokerage correspondent products - fees	56110					
).	Securities lending fees, including securities borrowed from a broker's inventory and margin accounts	56210					
0.	Repurchase agreements - net gains (losses)	56310	·				
11.	Financing related to securities, excluding securities lending and repurchase agreements - net	56410					
12.	Trading debt instruments on own account - net gains (losses)	56510					
3.	Trading equities on own account - net gains (losses)	56610					
4.	Trading derivatives contracts on own account - net gains (losses)	56710					
5.	Trading foreign currency on own account - net gains (losses)	56810					
16.	Trading other securities and commodity contracts on own account - net gains (losses)	56910					
7 .	Trust products for business and governments - fiduciary fees	57410		' '			
8.	Financial planning and investment management products						
	a. Financial planning and investment management services for businesses and governments	57710					
	b. Financial planning and investment management services for individuals	57720					

22	DETAIL OF SALES, SHIPMENTS, RECEIPTS, OR REVENUE - Continued								
		Cen-		F.dim.d	2002	-4-6-1-			
	Description of sales, shipments, receipts, or revenue	sus	Report dollars OR percents						
0723		0720	\$ Bil.	Mil.	Thou.	Dol.	Percent 0722		
19.	Loan income	0720	0721				0722		
	a. Loans to financial businesses								
	(1) Interest income	55011							
	(2) Origination fees	55012							
	(3) Other fees	55013							
	(4) Sum lines 19a(1) through 19a(3)	55010							
	b. Loans to nonfinancial businesses - secured								
	(1) Interest income	55021							
	(2) Origination fees	55022							
	(3) Other fees	55023							
	(4) Sum lines 19b(1) through 19b(3)	55020							
	c. Loans to nonfinancial businesses - unsecured								
	(1) Interest income	55031							
	(2) Origination fees	55032							
	(3) Other fees	55033							
	(4) Sum lines 19c(1) through 19c(3)	55030							
	d. Loans to governments								
	(1) Interest income	55041							
	(2) Origination fees	55042							
	(3) Other fees	55043							
	(4) Sum lines 19d(1) through 19d(2)	55040							

CONTINUE WITH 20 ON PAGE 13

Page 12

Form FI-52360 Page 13

If no	ot shown, please enter your 11-digit Census File nber (CFN) from the mailing address.							
8	DETAIL OF SALES, SHIPMENTS, RECEIPTS, OR REVENUE - Continued							
		C			2002			
	Description of sales, shipments, receipts, or revenue	Cen- sus use			es are acce ollars OR p	•		
0723		0720	\$ Bil.	Mil.	Thou.	Dol.	Per 0722	cent
20.	Management of financial market and clearing products							
	a. Payment clearing and settlement fees	57510			' '			<u> </u>
	b. Security and commodity contract trade execution, clearing and settlement fees	57520						
	c. Access to security and commodity contract trade execution and clearing system fees	57530						
	d. Security and commodity contract exchange and clearinghouse listing fees	57540						
21.	Other products supporting financial services - fees - Specify							
		57810						
22.	TOTAL (Should equal 4) if reporting in dollars.)	59990					1 (0
3	Not Applicable.							
25	EXPORTED SERVICES NOTE - An exported service is a service performed for a customer or clied establishment, etc.) located outside the United States (i.e., outside the 50 Commonwealth Territories, or U.S. possessions). Services performed for (i.e., foreign parent firms, subsidiaries, branches, etc.) are included. Service foreign firms are excluded.	State unaffi ices pi	es, Disti iliated a rovided	rict of Colu and affiliat I to domes	ımbia, U.S ed foreigi	S. n firm:	s of	
	A. Did the receipts or revenue (reported in 4) include any amounts for ex	kporte	d servi	ces?				
						2002		
	₀₉₁₂				\$ Mil.	Th	ou.	Dol.
	B. Amount of receipts or revenue for exported services			0914				
6	Not Applicable							



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