

IV. Exemption 3 Statutes

- A. List of Exemption 3 statutes relied on by component during reporting fiscal year.
None

Please answer by listing the information in the following chart format.

Statute/Rule	Type of Information Withheld	Case Citation
(List Exemption 3 statutes relied on.)	(Provide brief description of type of information withheld.)	(Cite court case that has upheld the statute. If not upheld, write "None.")

V. Initial FOIA/PA Access Requests

A. Number of initial requests.

Total of the numbers in Lines 1 and 2, minus the number in Line 3, should equal the number in Line 4. This should include all access requests, whether first-party or third-party.

1. Number of requests pending as of end of preceding fiscal year _____ 1 _____
2. Number of requests received during current fiscal year _____ 179 _____
3. Number of requests processed during current fiscal year _____ 180 _____
4. Number of requests pending as of end of current fiscal year _____ 0 _____
(Enter this number also in Line VII.B.1.)

B. Disposition of initial requests.

1. Number of total grants _____ 3 _____
2. Number of partial grants _____ 34 _____
3. Number of denials _____ 27 _____
 - a. number of times each FOIA exemption used
(counting each exemption once per request)
 - (1) Exemption 1 _____ 0 _____
 - (2) Exemption 2 _____ 30 _____
 - (3) Exemption 3 _____ 0 _____
 - (4) Exemption 4 _____ 1 _____
 - (5) Exemption 5 _____ 5 _____
 - (6) Exemption 6 _____ 14 _____
 - (7) Exemption 7(A) _____ 13 _____
 - (8) Exemption 7(B) _____ 0 _____
 - (9) Exemption 7(C) _____ 47 _____
 - (10) Exemption 7(D) _____ 30 _____
 - (11) Exemption 7(E) _____ 10 _____
 - (12) Exemption 7(F) _____ 1 _____

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Attachment B

Component Name: **INTERPOL-USNCB**

(13) Exemption 8 _____ 0 _____

(14) Exemption 9 _____ 0 _____

4. Other reasons for nondisclosure (total) _____

a. No records _____ 86 _____

b. Referrals _____ 0 _____

c. Request withdrawn _____ 2 _____

d. Fee-related reason _____ 0 _____

e. Records not reasonably described _____ 2 _____

f. Not a proper FOIA request for some other reason _____ 6 _____

g. Not an agency record _____ 1 _____

h. Duplicate request _____ 3 _____

i. Other (specify) Failure to Reply - 16

VII. Compliance with Time Limits/Status of Pending Requests

Using "working days," count days from the time at which a request is "perfected."

Separately report each track of a multi-track system, as well as an "expedited processing" track. A component may report any other type of request at its option.

A. Median processing time for requests processed during the year.

1. Simple requests (if multiple tracks used)

a. Number of requests processed 166

b. Median number of days to process 10

2. Complex requests (specify for any and all tracks used)

a. Number of requests processed 13

b. Median number of days to process 20

3. Requests accorded expedited processing

a. Number of requests processed 1

b. Median number of days to process 3

B. Status of pending requests.

Components using multiple tracks should provide numbers for each track, as well as totals.

1. Simple Requests (if multiple tracks used)

a. Number of requests pending as of end of current fiscal year 0

b. Median number of days pending 0

2. Complex requests (specify for any and all tracks used)

a. Number of requests pending as of end of current fiscal year 0

b. Median number of days pending 0

3. Requests accorded expedited processing

a. Number of requests pending as of end of current fiscal year 0

b. Median number of days process 0

Examples for calculation of median:

Given 7 requests completed during the fiscal year, aged 10, 25, 35, 65, 75, 80, and 400 days from date of perfection to date of completion, the total number of requests completed during the fiscal year would be 7 and the median age of the completed requests would be 65 days.

If there were 6 pending cases aged 10, 20, 30, 50, 120, and 200 days from date of perfection to date of completion,

the total number of requests completed would be 6 and the median age would be 40 days (the average of the 2 middle numbers).

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Attachment D

Component Name: INTERPOL-USNCB

VIII. Comparisons with Previous Year(s)

- A. (Will be handled at Departmentwide level.)
- B. (Will be handled at Departmentwide level.)
- C. (Will be handled at Departmentwide level.)
- D. Other statistics significant to component _____

In all cases, this must include the following:

Number of requests for expedited processing received 9

Number of requests for expedited processing granted 1

- E. Other narrative statements describing component efforts to improve timeliness of FOIA performance and to make records available to the public (e.g., backlog-reduction efforts; specification of average number of hours per processed request; training activities; public availability of new categories of records) Optional.

IX. Costs/FOIA Staffing

Components such as EOUSA, Tax, Civil, and OIP should be sure to include attorneys who handle FOIA litigation in court.

A. Staffing levels.

1. Number of full-time FOIA personnel 1
2. Number of personnel with part-time or occasional FOIA duties (in total work-years)
 0
3. Total number of personnel (in work-years) 1

B. Total costs (including staff and all resources).

1. FOIA processing (including appeals) \$117,120
2. Litigation-related activities (estimated) 15,000
3. Total costs \$132,120

X. Fees

Includes charges for search, review, document duplication, and any other direct cost permitted under agency regulations.

- A. Total amount of fees collected for processing requests \$848.03

**In addition to personnel costs, this should include estimates for such items as photocopying, postage, data-processing services, and any items of overhead that are reasonably allocable to FOIA operations.*

***Provide best estimate in accordance with supplemental annual report guidance (Attachment F, second item, page 6). Also include such litigation-related activities as writing declarations, Vaughn Indices, briefs, and letters, as well as meetings, supervisory review sessions, depositions, court appearances, conferences, telephone calls, etc.*

Attachment F

Supplemental Guidance on Annual FOIA Reports.
<http://www.usdoj.gov/oip/foiapost/2001foiapost13.htm>

XII. Report on FOIA Executive Order Implementation

A. Description of supplementation/modification of agency improvement plan (if applicable)

N/A

B. Report on agency implementation of its plan, including its performance in meeting milestones, with respect to each improvement area

The INTERPOL-USNCB has met all goals and milestones proposed in its plans in response to E.O. 13,392. The USNCB has continually achieved positive results in the areas of customer relations/communications and in multi-track processing. The USNCB has successfully utilized telephone contact with requesters to clarify requests and to request additional information. This practice has had a positive impact in response time and in clarifying any misunderstanding as to what the requester was seeking to obtain from their request.

Many callers have used the FOIA Service Center as a general contact point to direct their inquiries pertaining to various subject matters other than FOIA matters. Even though these calls did not pertain to FOIA, they were assisted and directed to the offices/agencies which could answer their questions or concerns appropriately.

It has made a considerable difference in using the multi-track process to delegate the more simple requests to the additional component personnel. This procedure has allowed the FOIA Specialist to concentrate on processing the more complex and expedited requests, thus creating a shorter response time.

C. Identification and discussion of any deficiency in meeting plan milestones (if applicable)

Although an area relating to the redesign of the USNCB Web site was included in the USNCB's FOIA improvement plan, the redesign of the Web site, in fact, does not relate to the USNCB's FOIA practice. However, the content of the FOIA portion of the USNCB's Web site was reviewed to ensure its accuracy and to explore any possible areas of improvement. This review was completed on October 30, 2006. The Web site has continually been reviewed quarterly thereafter to ensure that the posted information is current.

D. Additional narrative statement regarding other executive order-related activities (optional)

N/A.

E. Concise descriptions of FOIA exemptions

The nine exemptions to the FOIA authorize federal agencies to withhold information covering: (1) classified national defense and foreign relations information; (2) internal agency rules and practices; (3) information that is prohibited from disclosure by another federal law; (4) trade secrets and other confidential business information; (5) inter-agency or intra-agency communications that are protected by legal privileges; (6) information involving matters of personal privacy; (7) records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual; (8) information relating to the supervision of financial institutions; and (9) geological information on wells.

F. Additional Statistics:

1. Time range of requests pending, by date of request (or, where applicable, by date of referral from another agency) N/A
2. Time range of consultations pending with other agencies, by date of initial interagency communication. N/A

G. Attachment: Agency improvement plan (in current form)

See <http://www.usdoj.gov/usncb/foia/FY06.htm>

INTERPOL-U.S. NATIONAL CENTRAL BUREAU (USNCB)

A. Description of supplementation/modification of agency improvement plan (if applicable)

Not Applicable

B. Report on agency implementation of its plan, including its performance in meeting milestones, with respect to each improvement area

The INTERPOL-USNCB has met all of the goals and milestones established in the report it submitted on June 14, 2006, in response to Executive Order 13,392, that were to be completed for this reporting period. In particular, The USNCB has continually achieved positive results in the areas of customer relations/communications and in multi-track processing. The USNCB has successfully utilized telephone contact with requesters to clarify requests and to request additional information. This practice has had a positive impact in response time and in clarifying any misunderstanding as to what the requester was seeking to obtain from their request.

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C. Identification and discussion of any deficiency in meeting plan milestones (if applicable)

Not Applicable.

D. Additional narrative statement regarding other executive order-related activities (optional)

Not Applicable.

E. Concise descriptions of FOIA exemptions

See section E of the OIP portion of this report, above.

F. Additional statistics

1. Ten Oldest Pending FOIA Requests

Using the template provided below, please list in the appropriate column labeled by year, each of your ten oldest pending requests as of January 1, 2008. Please list the requests by date. (An illustrative example is provided in the template.)

The USNCB had only one request pending as of January 1, 2008.

Fiscal Year	2000	2001	2002	2003	2004	2005	2006	2007
Requests								Dec 11

2. Consultations

Note: If your agency tracking system is unable at this time to compute any of these numbers regarding consultations, please indicate that in response to this part of element F. For any statistic that you cannot provide for Fiscal Year 2007, start preparing now to collect this consultation data so that you can report it for Fiscal Year 2008.

The USNCB did not track consultation requests in FY 2007. It plans to track consultation requests for FY 2008.

i) Number of Consultations Received, Processed, and Pending

Please provide the number of consultations received, processed, and currently pending, in the appropriate column below (an example is provided in the template).

Consultations Received From Other Agencies During FY07	Consultations Received From Other Agencies That Were Processed by Your Agency During FY07 (includes those received prior to FY07)	Consultations Received From Other Agencies That Were Pending at Your Agency as of October 1, 2007 (includes those received prior to FY07)

ii) Ten Oldest Pending Consultations Received From Other Agencies

Using the template provided below, please list in the appropriate column labeled by year, each of your ten oldest pending consultations received from other agencies as of January 1, 2008. Please list the consultations by the date it was received by your agency (an example is provided in the template).

Fiscal Year	1999	2000	2001	2002	2003	2004	2005	2006	2007
Consults Received									

G. Attachment: Agency Improvement Plan (in current form)

The FOIA Improvement Plan for the Department of Justice is attached.