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EPA/310-R-95-004

**EPA Office of Compliance Sector Notebook Project**

**Profile of the Inorganic Chemical Industry**

**September 1995**

Office of Compliance  
Office of Enforcement and Compliance Assurance  
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**Sector Notebook Project****Inorganic Chemicals**

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**List of Acronyms**

AFS -	AIRS Facility Subsystem (CAA database)
AIRS -	Aerometric Information Retrieval System (CAA database)
BIFs -	Boilers and Industrial Furnaces (RCRA)
BOD -	Biochemical Oxygen Demand
CAA -	Clean Air Act
CAAA -	Clean Air Act Amendments of 1990
CERCLA -	Comprehensive Environmental Response, Compensation and Liability Act
CERCLIS -	CERCLA Information System
CFCs -	Chlorofluorocarbons
CO -	Carbon Monoxide
COD -	Chemical Oxygen Demand
CSI -	Common Sense Initiative
CWA -	Clean Water Act
D&B -	Dun and Bradstreet Marketing Index
DSA -	Dimensionally stable
ELP -	Environmental Leadership Program
EPA -	United States Environmental Protection Agency
EPCRA -	Emergency Planning and Community Right-to-Know Act
FIFRA -	Federal Insecticide, Fungicide, and Rodenticide Act
FINDS -	Facility Indexing System
HAPs -	Hazardous Air Pollutants (CAA)
HSDB -	Hazardous Substances Data Bank
IDEA -	Integrated Data for Enforcement Analysis
LDR -	Land Disposal Restrictions (RCRA)
LEPCs -	Local Emergency Planning Committees
MACT -	Maximum Achievable Control Technology (CAA)
MCLGs -	Maximum Contaminant Level Goals
MCLs -	Maximum Contaminant Levels
MEK -	Methyl Ethyl Ketone
MSDSs -	Material Safety Data Sheets
NAAQS -	National Ambient Air Quality Standards (CAA)
NAFTA -	North American Free Trade Agreement
NCDB -	National Compliance Database (for TSCA, FIFRA, EPCRA)
NCP -	National Oil and Hazardous Substances Pollution Contingency Plan
NEIC -	National Enforcement Investigation Center
NESHAP -	National Emission Standards for Hazardous Air Pollutants
NO <sub>2</sub> -	Nitrogen Dioxide
NOV -	Notice of Violation
NO <sub>x</sub> -	Nitrogen Oxide

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NPDES -	National Pollution Discharge Elimination System (CWA)
NPL -	National Priorities List
NRC -	National Response Center
NSPS -	New Source Performance Standards (CAA)
OAR -	Office of Air and Radiation
OECA -	Office of Enforcement and Compliance Assurance
OPA -	Oil Pollution Act
OPPTS -	Office of Prevention, Pesticides, and Toxic Substances
OSHA -	Occupational Safety and Health Administration
OSW -	Office of Solid Waste
OSWER -	Office of Solid Waste and Emergency Response
OW -	Office of Water
P2 -	Pollution Prevention
PCS -	Permit Compliance System (CWA Database)
POTW -	Publicly Owned Treatments Works
RCRA -	Resource Conservation and Recovery Act
RCRIS -	RCRA Information System
SARA -	Superfund Amendments and Reauthorization Act
SDWA -	Safe Drinking Water Act
SEPs -	Supplementary Environmental Projects
SERCs -	State Emergency Response Commissions
SIC -	Standard Industrial Classification
SO <sub>2</sub> -	Sulfur Dioxide
SO <sub>x</sub> -	Sulfur Oxides
TOC -	Total Organic Carbon
TRI -	Toxic Release Inventory
TRIS -	Toxic Release Inventory System
TCRIS -	Toxic Chemical Release Inventory System
TSCA -	Toxic Substances Control Act
TSS -	Total Suspended Solids
UIC -	Underground Injection Control (SDWA)
UST -	Underground Storage Tanks (RCRA)
VOCs -	Volatile Organic Compounds



## I. INTRODUCTION TO THE SECTOR NOTEBOOK PROJECT

### I.A. Summary of the Sector Notebook Project

Environmental policies based upon comprehensive analysis of air, water and land pollution are an inevitable and logical supplement to traditional single-media approaches to environmental protection. Environmental regulatory agencies are beginning to embrace comprehensive, multi-statute solutions to facility permitting, enforcement and compliance assurance, education/outreach, research, and regulatory development issues. The central concepts driving the new policy direction are that pollutant releases to each environmental medium (air, water and land) affect each other, and that environmental strategies must actively identify and address these inter-relationships by designing policies for the "whole" facility. One way to achieve a whole facility focus is to design environmental policies for similar industrial facilities. By doing so, environmental concerns that are common to the manufacturing of similar products can be addressed in a comprehensive manner. Recognition of the need to develop the industrial "sector-based" approach within the EPA Office of Compliance led to the creation of this document.

The Sector Notebook Project was initiated by the Office of Compliance within the Office of Enforcement and Compliance Assurance (OECA) to provide its staff and managers with summary information for eighteen specific industrial sectors. As other EPA offices, states, the regulated community, environmental groups, and the public became interested in this project, the scope of the original project was expanded. The ability to design comprehensive, common sense environmental protection measures for specific industries is dependent on knowledge of several inter-related topics. For the purposes of this project, the key elements chosen for inclusion are: general industry information (economic and geographic); a description of industrial processes; pollution outputs; pollution prevention opportunities; Federal statutory and regulatory framework; compliance history; and a description of partnerships that have been formed between regulatory agencies, the regulated community and the public.

For any given industry, each topic listed above could alone be the subject of a lengthy volume. However, in order to produce a manageable document, this project focuses on providing summary information for each topic. This format provides the reader with a synopsis of each issue, and references where more in-depth information is available. Text within each profile was researched from a variety of sources, and was usually condensed from more detailed sources pertaining to specific topics. This approach allows for a wide coverage of activities that can be further explored based upon the citations

and references listed at the end of this profile. As a check on the information included, each notebook went through an external review process. The Office of Compliance appreciates the efforts of all those that participated in this process and enabled us to develop more complete, accurate and up-to-date summaries.

## I.B. Additional Information

### Providing Comments

OECA's Office of Compliance plans to periodically review and update the notebooks and will make these updates available both in hard copy and electronically. If you have any comments on the existing notebook, or if you would like to provide additional information, please send a hard copy and computer disk to the EPA Office of Compliance, Sector Notebook Project, 401 M St., SW (2223-A), Washington, DC 20460. Comments can also be uploaded to the Enviro\$en\$e Bulletin Board or the Enviro\$en\$e World Wide Web for general access to all users of the system. Follow instructions in Appendix A for accessing these data systems. Once you have logged in, procedures for uploading text are available from the on-line Enviro\$en\$e Help System.

### Adapting Notebooks to Particular Needs

The scope of the existing notebooks reflect an approximation of the relative national occurrence of facility types that occur within each sector. In many instances, industries within specific geographic regions or states may have unique characteristics that are not fully captured in these profiles. For this reason, the Office of Compliance encourages state and local environmental agencies and other groups to supplement or re-package the information included in this notebook to include more specific industrial and regulatory information that may be available. Additionally, interested states may want to supplement the "Summary of Applicable Federal Statutes and Regulations" section with state and local requirements. Compliance or technical assistance providers may also want to develop the "Pollution Prevention" section in more detail. Please contact the appropriate specialist listed on the opening page of this notebook if your office is interested in assisting us in the further development of the information or policies addressed within this volume.

If you are interested in assisting in the development of new notebooks for sectors not covered in the original eighteen, please contact the Office of Compliance at 202-564-2395.

## II. INTRODUCTION TO THE INORGANIC CHEMICALS INDUSTRY

This section provides background information on the size, geographic distribution, employment, production, sales, and economic condition of the inorganic chemicals industry. The type of facilities described within the document are also described in terms of their Standard Industrial Classification (SIC) codes. Additionally, this section contains a list of the largest companies in terms of sales.

### II.A. Introduction, Background, and Scope of the Notebook

The inorganic chemical industry manufactures over 300 different chemicals accounting for about 10 percent of the total value of chemical shipments in the U.S.<sup>1</sup> This industry categorization corresponds to Standard Industrial Classification (SIC) code 281 Industrial Inorganic Chemicals established by the Bureau of Census to track the flow of goods and services within the economy. The 281 category includes alkalies and chlorine (SIC 2812), industrial gases (SIC 2813) (e.g., hydrogen, helium, oxygen, nitrogen, etc.), inorganic pigments (SIC 2816), and industrial inorganic chemicals, not elsewhere classified (SIC 2819). Approximately two-thirds of the value of shipments for the inorganic chemical industry, including over 200 different chemicals, are classified under industrial inorganic chemicals, not elsewhere classified (SIC 2819). The industry does not include those establishments primarily manufacturing organic chemicals, agricultural pesticides, drugs, soaps, or cosmetics. However, the 281 industry group does include a significant number of integrated firms that are engaged in the manufacture of other types of chemicals at the same site. Conversely, many manufacturing facilities not categorized under SIC 281, especially organic chemicals facilities (SIC 286), fertilizer plants (SIC 287), pulp and paper mills (SIC 26), and iron and steel mills (SIC 331), produce and use inorganic chemicals in their processes at the same facility.<sup>2</sup> For example, a significant number of inorganic chemical manufacturing processes are part of very large chemical manufacturing or pulp manufacturing facilities, making characterization strictly by SIC code difficult.

Whenever possible, this notebook describes the entire inorganic chemical industry. In many cases, however, specific details relating to some of the topics covered by the notebook (facility size, economic trends, geographic distribution, pollutant releases, pollution prevention issues, and applicable regulations) vary depending on the type of inorganic chemical manufacturing process. The large number of different industrial processes used in the inorganics industry could not all be covered in this notebook. As a result, most sections of this notebook describe the entire inorganic chemical industry as a whole. These sections are usually augmented with information specific

to the largest single industrial process within the industry: chlorine and caustic soda production (SIC 2812). Section III, Industrial Process Description, rather than attempting to describe every inorganic chemical manufacturing process, deals solely with the production of chlorine and caustic soda.

## II.B. Characterization of the Inorganic Chemical Industry

### II.B.1. Product Characterization

#### *Inorganic Chemicals Industry*

The inorganic chemical industry manufactures chemicals which are often of a mineral origin, but not of a basic carbon molecular. Inorganic chemicals are used at some stage in the manufacture of a great variety of other products. The industry's products are used as basic chemicals for industrial processes (i.e., acids, alkalies, salts, oxidizing agents, industrial gases, and halogens); chemical products to be used in manufacturing products (i.e., pigments, dry colors, and alkali metals); and finished products for ultimate consumption (i.e., mineral fertilizers, glass, and construction materials). The largest use of inorganic chemicals is as processing aids in the manufacture of chemical and nonchemical products. Consequently, inorganic chemicals often do not appear in the final products.<sup>3</sup>

#### *Chlor-alkali Sector*

The chlor-alkali industry produces mainly chlorine, caustic soda (sodium hydroxide), soda ash (sodium carbonate), sodium bicarbonate, potassium hydroxide, and potassium carbonate. In 1992, chlorine and caustic soda production accounted for about 80 percent of the chlor-alkali industry's value of shipments and, in terms of weight, were the eighth and ninth largest chemicals produced in the U.S., respectively. Chlorine and caustic soda are co-products produced in about equal amounts primarily through the electrolysis of salt (brine).<sup>4</sup>

The majority of domestic chlorine production (70 percent) is used in the manufacturing of organic chemicals including: vinyl chloride monomer, ethylene dichloride, glycerine, glycols, chlorinated solvents, and chlorinated methanes. Vinyl chloride, which is used in the production of polyvinyl chloride (PVC) and many other organic chemicals, accounts for about 38 percent of the total domestic chlorine production. The pulp and paper industry consumes approximately 15 percent of U.S. chlorine production, and about eight percent is used in the manufacturing of other inorganic chemicals. Other major uses are disinfection treatment of water, and the production of

hypochlorites. More than two-thirds of all chlorine is consumed in the same manufacturing plant in the production of chemical intermediates.<sup>5</sup>

The largest users of caustic soda are the organic chemicals industry (30 percent) and the inorganic chemicals industry (20 percent). The primary uses of caustic soda are in industrial processes, neutralization, and off-gas scrubbing; as a catalyst; and in the production of alumina, propylene oxide, polycarbonate resin, epoxies, synthetic fibers, soaps, detergents, rayon, and cellophane. The pulp and paper industry uses about 20 percent of total domestic caustic soda production for pulping wood chips, and other processes. Caustic soda is also used in the production of soaps and cleaning products, and in the petroleum and natural gas extraction industry as a drilling fluid.<sup>6</sup>

## II.B.2. Industry Size and Geographic Distribution

### *Inorganic Chemical Industry*

The inorganic chemical industry is characterized by a relatively large number of small facilities. The Bureau of the Census identified 665 companies operating 1,429 facilities within SIC 281 in 1992.<sup>a</sup> Most of these facilities were classified under SIC 2819 -- industrial inorganic chemicals, not elsewhere classified -- which are typically smaller facilities producing specialty inorganic chemicals. The Bureau of Census employment data for 1992 (Exhibit 1) indicated that about 63 percent of inorganic chemical facilities employed fewer than 20 people. A significant portion of inorganic chemicals are produced and used within the same plant in the manufacturing of organic chemicals. The number of these facilities and the number of people employed in the inorganic chemical production portion of the industrial processes is not included in this data.

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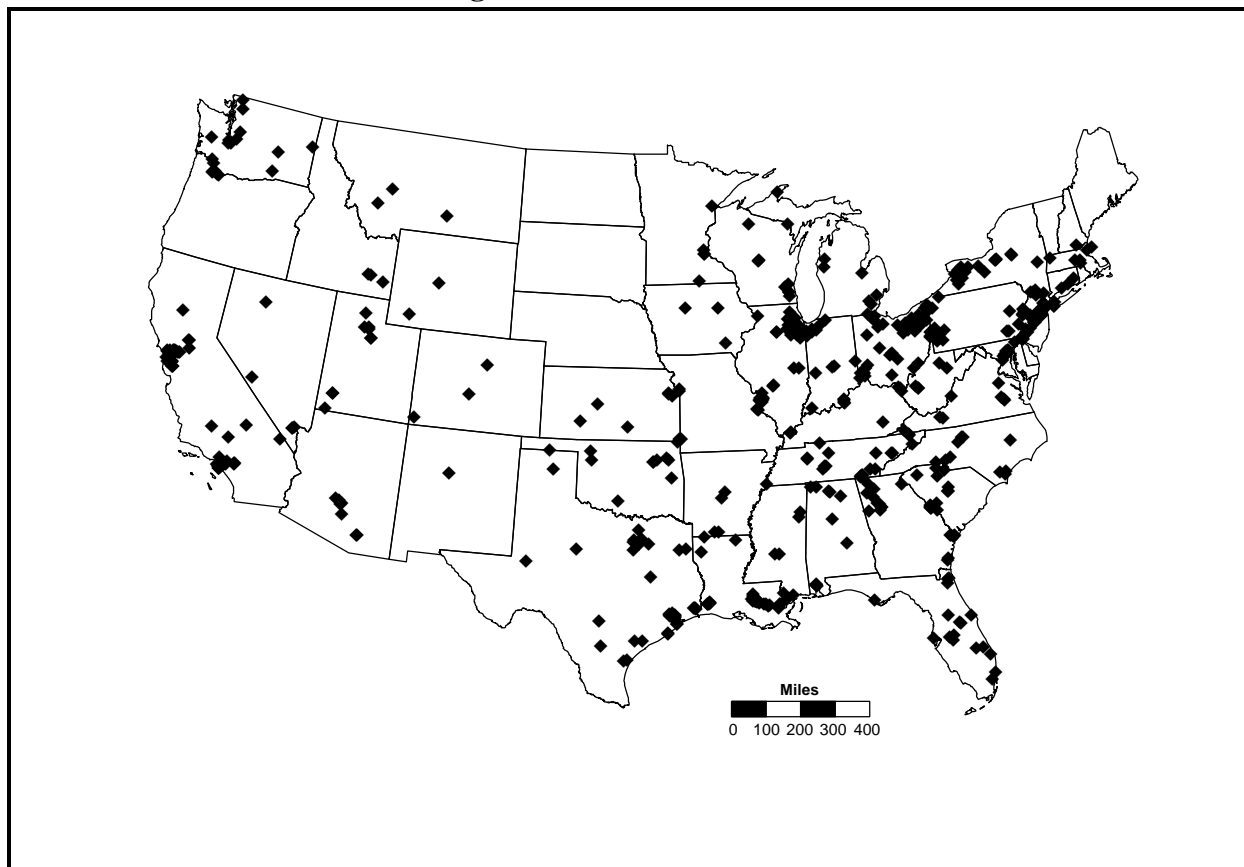
<sup>a</sup> Variation in facility counts occur across data sources due to many factors including, reporting and definition differences. This notebook does not attempt to reconcile these differences, but rather reports the data as they are maintained by each source.

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<b>Exhibit 1: Inorganic Chemicals Industry Dominated by a Large Number of Small Facilities</b>				
	<b>Inorganic Chemicals</b>		<b>Chlor-alkali</b>	
<b>Employees per Facility</b>	<b>Number of Facilities</b>	<b>Percentage of Facilities</b>	<b>Number of Facilities</b>	<b>Percentage of Facilities</b>
1-9	682	48%	12	24%
10-19	212	15%	6	12%
20-49	253	18%	3	6%
50-249	221	15%	23	44%
250-999	51	3%	6	12%
1,000->2,500	10	1%	1	2%
<b>Total</b>	<b>1,429</b>	<b>100%</b>	<b>51</b>	<b>100%</b>
Source: Bureau of the Census, 1992 Census of Manufacturers.				

Inorganic chemical facilities are typically located near consumers and to a lesser extent raw materials. The largest use of inorganic chemicals is in industrial processes for the manufacture of chemicals and nonchemical products; therefore, facilities are concentrated in the heavy industrial regions along the Gulf Coast, both east and west coasts, and the Great Lakes region. Since a large portion of inorganic chemicals produced are used by the organic chemicals manufacturing industry, the geographical distribution of inorganic facilities is very similar to that of organic chemicals facilities (Exhibit 2).

**Exhibit 2: Inorganic Chemicals Facilities Distribution**

(Source: U.S. EPA Toxic Release Inventory Database, 1993)

*Chlor-alkali Sector*

The alkali and chlorine industry, however, consists of a relatively small number of medium to large facilities. The Bureau of the Census identified 34 companies operating 51 facilities within the SIC 2812 in 1992. According to The Chlorine Institute (an industry trade group), there were 25 companies operating 52 chlorine production plants in 1989. The Bureau of Census employment data for 1992 indicated that about 60 percent of those employed in the chlor-alkali industry worked at facilities with over 50 employees (Exhibit 1).<sup>7,8</sup>

The distribution of the chlor-alkali sector differs from that of the inorganic chemicals industry as a whole. Since chlorine and caustic soda are co-products produced in almost equal amounts, the distribution of the caustic soda manufacturing industry is essentially the same as the chlorine manufacturing industry. Chlorine is difficult to store and transport economically; therefore, chlorine and caustic soda are produced near the

chlorine consumers which are primarily chemical manufacturers and pulping operations. Consequently, chlor-alkali facilities are concentrated near the chemical industries along the Gulf Coast, followed by the Great Lakes region as shown in the table below. Other important areas are in the vicinity of the pulp mills of the Southeast and Northwest (Exhibit 3). In 1989, almost half of the chlorine plants in the U.S. (72 percent of domestic chlorine production) were located along the Gulf Coast. Two states, Louisiana and Texas, accounted for two-thirds of the domestic chlorine production.<sup>9</sup>

<b>Exhibit 3: Chlorine Capacity Located Primarily Along Gulf Coast, Southeast, Northwest, and Great Lakes Region</b>			
State	Number of Chlorine Plants	Annual Capacity (thousand tons per year)	Percent of Total U.S. Operating Capacity
Louisiana	9	4,068	37%
Texas	5	3,314	30%
New York	4	652	6%
Alabama	5	592	5%
Washington	4	503	5%
West Virginia	2	392	3%
Georgia	3	246	2%
Tennessee	1	230	2%
Other States (14)	19	1,139	10%
U.S. Total	52	11,136	100%
Source: <i>Kirk-Othmer Encyclopedia of Chemical Technology, 4th ed. Vol. 1, 1993.</i>			

*Ward's Business Directory of U.S. Private Companies*, produced by Gale Research Inc., compiles financial data on U.S. companies including those operating within the inorganic chemicals manufacturing industry. Ward's ranks U.S. companies, whether they are a parent company, subsidiary or division, by sales volume within the 4-digit SIC codes that they have been assigned as their primary activity. Exhibit 4 lists the top ten inorganic chemical manufacturing companies in the U.S. Readers should note that: 1) Companies are assigned a 4-digit SIC that most closely resembles their principal industry; and 2) Sales figures include total company sales, including sales derived from subsidiaries and operations not related to the manufacture of inorganic chemicals. Additional sources of company specific financial information include Standard & Poor's *Stock Report Services*, Dunn & Bradstreet's *Million Dollar Directory*, Moody's Manuals, and annual reports.

<b>Exhibit 4: Top U.S. Companies with Inorganic Chemical Manufacturing Operations</b>		
<b>Rank<sup>a</sup></b>	<b>Company<sup>b</sup></b>	<b>1993 Sales (millions of dollars)</b>
1	Dow Chemical Co. - Midland, MI	18,800
2	Hanson Industries, Inc. - Iselin, NJ	6,092
3	WR Grace and Co. - Boca Raton, FL	6,049
4	Occidental Chemical Corp. - Dallas, TX	4,600
5	BOC Group, Inc. - Murray Hill, NJ	4,500
6	FMC Corp. - Chicago, IL	3,899
7	Eastman Kodak Co. - Kingsport, TN	3,740
8	Air Products and Chemicals, Inc. - Allentown, PA	2,931
9	ARCO Chemical Co. - Newtown Square, PA	2,837
10	Ethyl Corp. - Richmond, VA	2,575

Note: <sup>a</sup> When *Ward's Business Directory* listed both a parent and subsidiary in the top ten, only the parent company is presented above to avoid double counting sales volumes. Not all sales can be attributed to the companies' inorganic chemical manufacturing operations.  
<sup>b</sup> Companies shown listed SICs 2812, 2813, 2816 and 2819 as primary activities.

Source: *Ward's Business Directory of U.S. Private and Public Companies* - 1993.

### II.B.3. Economic Trends

#### *Inorganic Chemicals Industry*

The Bureau of the Census estimated that there were 1,429 facilities in the inorganic chemical industry in 1992. The industry employed 103,000 people and had a total value of shipments of \$27.4 billion. The total value of shipments for the inorganic chemicals industry increased about one percent per year between 1992 and 1994. These values do not include inorganic chemicals manufactured for captive use within a facility nor the value of other non-industrial inorganic chemical products manufactured by the same facility. It does, however, include intra-company transfers which are significant in this industry. The inorganic chemical industry's growth rate is expected to continue to increase with the growth of the economy. The U.S. is a net exporter of inorganic chemicals with most exports shipped to the European Community (EC) followed by Canada and Mexico. This positive trade balance increased significantly in 1993 to \$1.7 billion and is expected to continue as the European economy improves. By comparison, the 1992 Census of Manufactures for Industrial Organic Chemicals reports a 1992 value of shipments for organic chemicals of \$64.5 billion and a total employment of 125,100 people. The 1992 value of shipments for the entire chemical industry (SIC 28) totaled \$292.3 billion with an employment of 850,000 people.<sup>10</sup>

Because inorganic chemicals are used in the manufacturing of many products, the industry tends to grow at the same rate as overall industrial production. In the late 1980s, the industry experienced high growth rates and, in the early 1990s, the industry saw little real growth in output, as a reflection of the U.S. economy's recession. The industry has historically had low profit margins which, in recent years, have decreased further with increasing pollution abatement costs.<sup>11</sup>

#### *Chlor-alkali Sector*

The Bureau of the Census data for 1992 shows that there were 51 facilities within the inorganic chemicals industry that manufactured alkalies and chlorine. These chlor-alkali facilities employed 8,000 people and had a total value of shipments of \$2.8 billion. This was an increase of 1.7 percent from 1991. The chlor-alkali industry as a whole is expected to grow at its past rate of 1.5 times gross domestic product (GDP) growth through the 1990s. Because chlorine and caustic soda are electrolysis co-products, the production of one product can depend on the demand of the other product. The market pull has switched several times between caustic soda and chlorine in the past few decades. Presently, chlorine demand is controlling production;

consequently, there is a current excess availability of caustic soda in the U.S. This excess material is typically exported to fill a significant demand outside the U.S. The consumption of caustic soda is growing faster than the consumption of chlorine, however, and domestic caustic soda demand is expected to control production in the coming years.<sup>12</sup>

After reaching record high levels in the late 1970s, chlorine production declined in the early 1980s due in part to the economic recession between 1980 and 1982. Chlorine production increased slowly through the 1980s and, as of 1992, had not reached the record high levels and growth rates of the 1970s. This is due in part to the relative maturity of the chlorine usage industries and more recent environmental pressures aimed at curtailing chlorine use. Regulatory restrictions on the production or disposal of some products which require large amounts of chlorine to manufacture (i.e., chlorofluorocarbons, PVC, and chlorinated solvents) have adversely affected the market. Chlorine's commercial appeal has been further reduced by initiatives such as the International Joint Commission of Great Lakes Water Quality (a Canada-U.S. environmental oversight group) and a number of environmental groups which call for a gradual phaseout or an immediate ban of chlorine and chlorinated compounds as industrial feedstocks.<sup>13</sup>

The production of caustic soda is very dependent on the short term and long term chlorine demand and production because chlorine cannot be stored economically. Increased demand for chlorine must be met immediately by increased chlorine production via electrolysis of brine and, consequently, caustic soda production. Domestic and export demand for caustic soda was very strong in the 1980s with the pick up of the world economy and an increase in pulp and paper production. In the late 1980s, there was a worldwide shortage of caustic soda due to increased demand and lower U.S. chlorine production. The demand for caustic soda is expected to continue to grow in the coming years; however, there are a number of uncertainties that may limit the growth rate. Some industries have begun switching from caustic soda to soda ash where possible to avoid caustic soda shortages. Soda ash, which is extremely plentiful in the U.S., is obtained almost entirely from natural sources of trona ore. Demand for caustic soda may also decrease as pulp mills increase their reclamation of caustic soda from spent pulping liquor.<sup>14</sup>



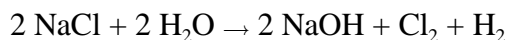
### III. INDUSTRIAL PROCESS DESCRIPTION

This section describes the major industrial processes within the inorganic chemical industry, including the materials and equipment used, and the processes employed. The section is designed for those interested in gaining a general understanding of the industry, and for those interested in the inter-relationship between the industrial process and the topics described in subsequent sections of this profile -- pollutant outputs, pollution prevention opportunities, and Federal regulations. This section does not attempt to replicate published engineering information that is available for this industry. Refer to Section IX for a list of reference documents that are available.

This section specifically contains a description of commonly used production processes, associated raw materials, the byproducts produced or released, and the materials either recycled or transferred off-site. This discussion, coupled with schematic drawings of the identified processes, provide a concise description of where wastes may be produced in the process. This section also describes the potential fate (via air, water, and soil pathways) of these waste products.

#### III.A. Industrial Processes in the Inorganic Chemical Industry

Chlorine and caustic soda are co-products of electrolysis of saturated aqueous solutions of sodium chloride, NaCl (salt water or brine). In addition, relatively small amounts (by weight) of hydrogen gas are produced in the process. The overall chemical reaction is as follows:



Energy, in the form of direct current (d-c) electricity, is supplied to drive the reaction. The amount of electrical energy required depends on the design of the electrolytic cell, the voltage used, and the concentration of brine used. For each ton of chlorine produced, 1.1 tons of sodium hydroxide and 28 kilograms of hydrogen are produced.

Three types of electrolysis processes are used for the manufacture of chlorine, caustic soda, and hydrogen from brine:

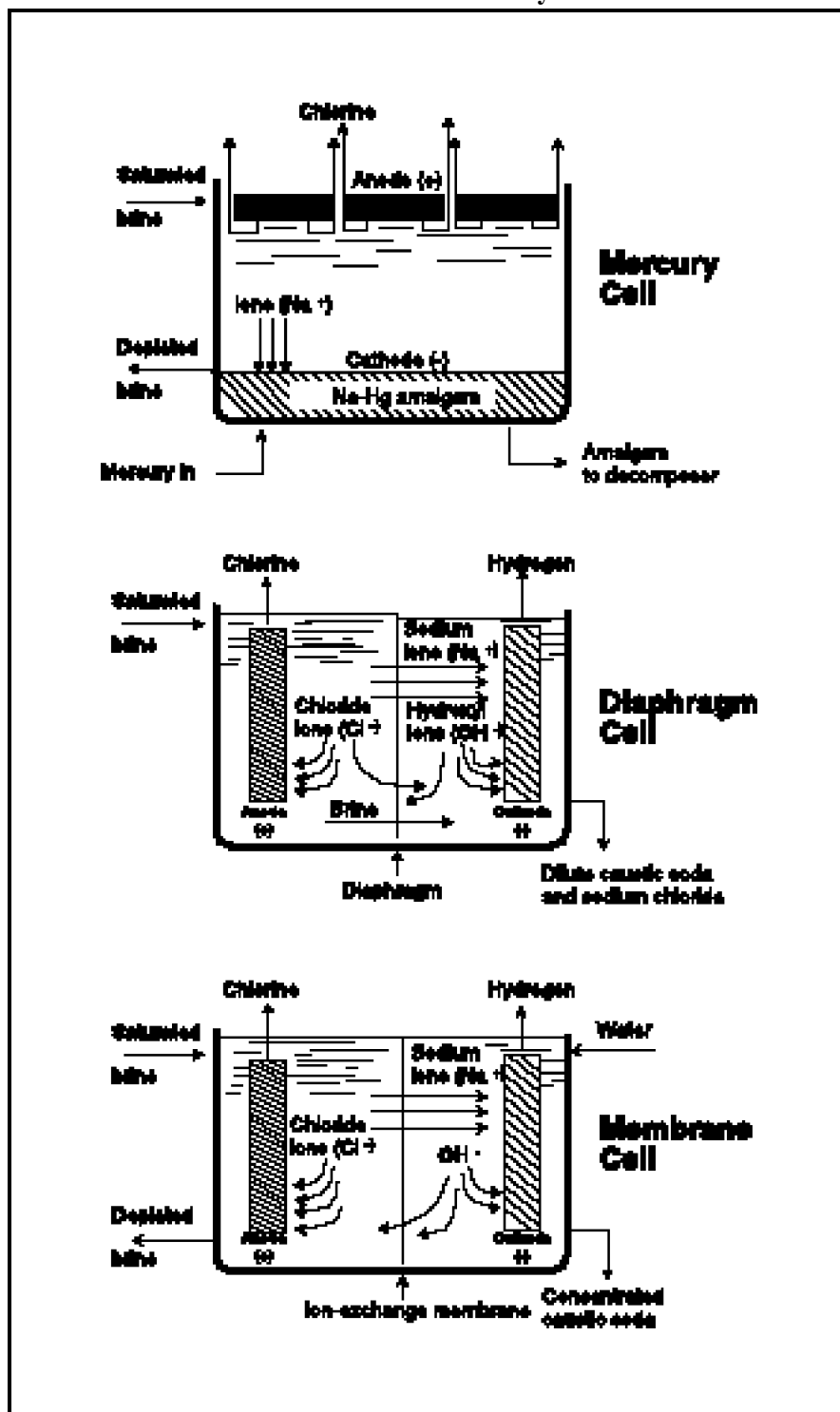
- Mercury Cell Process
- Diaphragm Cell Process
- Membrane Cell Process

Virtually all chlorine produced in the U.S. is manufactured by one of these three electrolysis processes. Each electrolytic cell consists of an anode and



cathode in contact with the brine solution. Exhibit 5 shows the basic elements, inputs and outputs of each type of electrolytic cell. The distinguishing feature of each cell type is the method employed to separate and prevent the mixing of the chlorine gas and sodium hydroxide. Consequently, each process produces a different purity of chlorine gas and a different concentration of caustic soda. Exhibit 6 is a summary of the major differences between each cell type. In 1988, diaphragm cells accounted for 76 percent of all domestic chlorine production, followed by mercury cells with 17 percent, and membrane cells with five percent. The industry is moving away from mercury and diaphragm cells and is moving towards the use of membrane cells. Membrane cells are a relatively recent development which have fewer adverse effects on the environment and produce a higher quality product at a lower cost than the other methods.<sup>15,16</sup>

Exhibit 5: Chlorine Electrolysis Cells



(Source: Kirk-Othmer Encyclopedia of Chemical Technology, 4th Edition, 1994.)

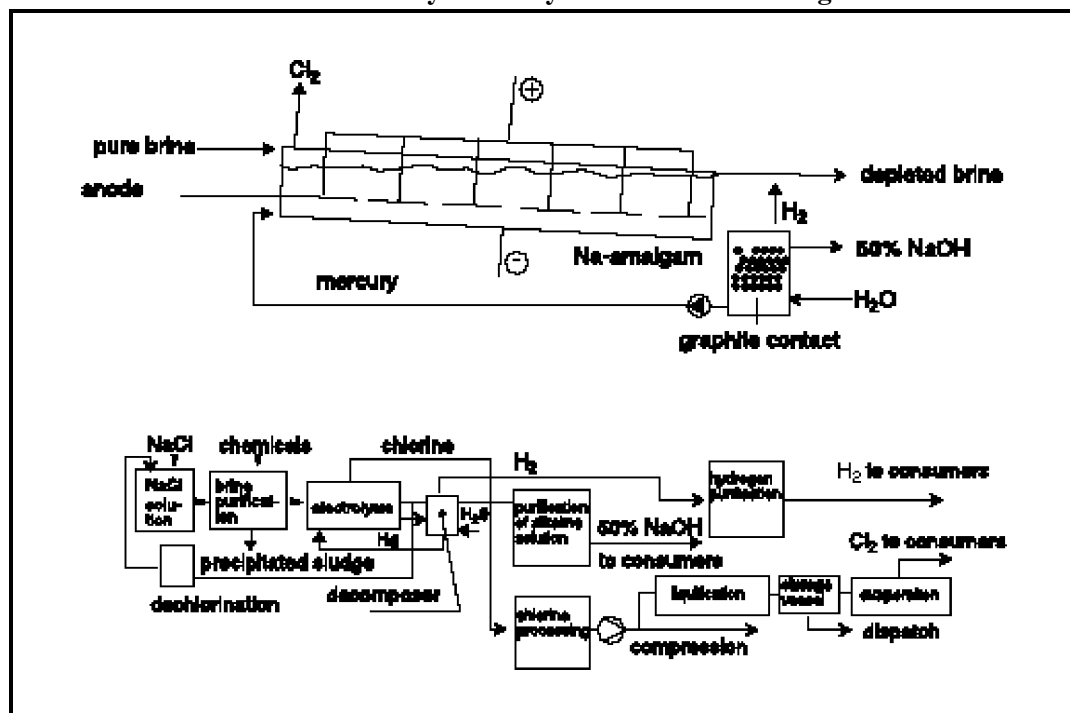
<b>Exhibit 6: Main Characteristics of the Different Electrolysis Processes</b>			
<b>Component</b>	<b>Mercury Cell</b>	<b>Diaphragm Cell</b>	<b>Membrane Cell</b>
Cathode	Mercury flowing over steel	Steel or steel coated with activated nickel	Steel or nickel with a nickel based catalytic coating
Diaphragm/ Membrane	None	Asbestos or polymer modified asbestos	Ion-exchange membrane
Anode	Titanium with RuO <sub>2</sub> or TiO <sub>2</sub> coating (DSA anode)	Titanium with RuO <sub>2</sub> or TiO <sub>2</sub> coating (DSA anode)	Titanium with RuO <sub>2</sub> or TiO <sub>2</sub> coating (DSA anode)
Cathode Product	Sodium amalgam	10-12% NaOH with 15-17% NaCl and H <sub>2</sub>	30-33% NaOH and H <sub>2</sub>
Decomposer/ Evaporator Product	50% NaOH and H <sub>2</sub> from decomposer	50% NaOH with 1% NaCl and solid salt from evaporator	50% NaOH with very little salt
Electricity Consumption	3,300 kWh per ton Cl <sub>2</sub>	2,750 kWh per ton Cl <sub>2</sub>	2,100-2,450 kWh per ton NaOH
Source: Kirk-Othmer Encyclopedia of Chemical Technology, 4th Edition, 1994.			

### III.A.1. Mercury Cell

The mercury cell process consists of slightly inclined steel troughs through which a thin layer of mercury (about three mm) flows over the bottom (Exhibit 7). The cells are operated at 75 to 85 °C and atmospheric pressure. The mercury layer serves as the cathode for the process and the saturated brine solution (25.5 percent NaCl by weight) flows through the troughs above the mercury. The anodes are usually incorporated into the cell covers and are suspended horizontally in the brine solution. The height of the anodes within the brine is adjusted to the optimal height either manually or through an automatic computer controlled system.<sup>17</sup>

Electrolytic cell anodes were made of graphite until the late 1960s when anodes of titanium coated with ruthenium oxide (RuO<sub>2</sub>) and titanium oxide (TiO<sub>2</sub>) were developed. The RuO<sub>2</sub> and TiO<sub>2</sub> anodes, termed DSA (dimensionally stable) anodes, are more stable than the graphite anodes (i.e., they do not need to be replaced as frequently) and are more energy efficient.<sup>18</sup>

Exhibit 7: Mercury Electrolysis Cell and Flow Diagram



(Source: Industrial Inorganic Chemistry, Büchner, et al., 1989.)

The chlorine gas is produced at the anodes where it moves upward through gas extraction slits in the cell covers. Sodium ions are absorbed by the mercury layer and the resulting sodium and mercury mixture, called the amalgam, is processed in "decomposer" cells to generate sodium hydroxide and reusable mercury. The amalgam entering the decomposer cell has a sodium concentration of approximately 0.2 to 0.5 percent by weight. The decomposer consists of a short-circuited electrical cell where graphite serves as the anode and the amalgam serves as the cathode. The amalgam and water flowing through the cell come into direct contact with the graphite. The hydrolysis of the water on the graphite in the presence of the amalgam results in a strong exothermic reaction generating mercury to be reused in the electrolytic cell, a 50 percent caustic soda solution, and hydrogen gas. Mercury cells are operated to maintain a 21 to 22 percent by weight NaCl concentration in the depleted brine leaving the cell. The dissolved chlorine is removed from the depleted brine solution, which is then resaturated with solid salt and purified for further use. Some facilities purge small amounts of brine solution and use new brine as make-up in order to prevent the build up of sulfate impurities in the brine.<sup>19,20</sup>

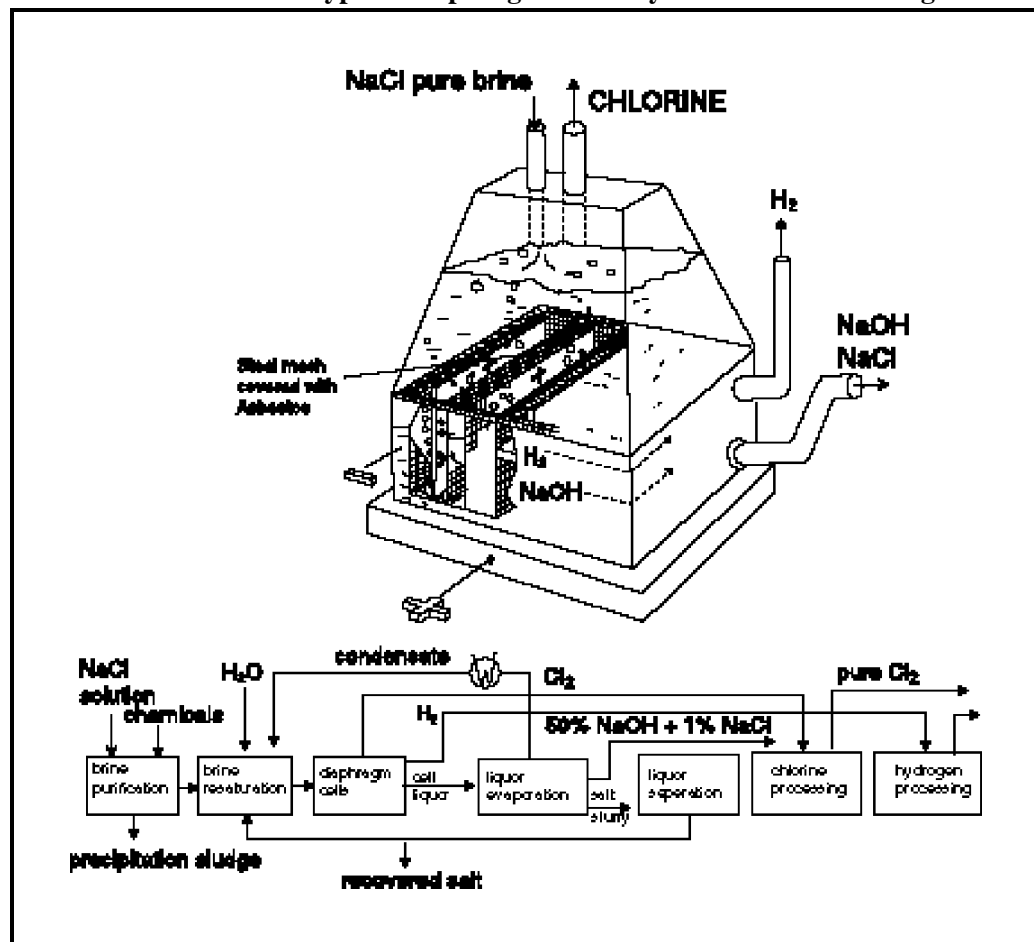
The mercury process has the advantage over diaphragm and membrane cells in that it produces a pure chlorine gas with no oxygen, and a pure 50 percent caustic soda solution without having to further concentrate a more dilute solution. However, mercury cells operate at a higher voltage than diaphragm and membrane cells and, therefore, use more energy. The process also requires a very pure brine solution with little or no metal contaminants. Furthermore, elaborate precautions must be taken to avoid releases of mercury to the environment.

### III.A.2. Diaphragm Cell

In the diaphragm cell process, multiple cells consisting of DSA anode plates and cathodes are mounted vertically and parallel to each other (Exhibit 8). Each cell consists of one anode and cathode pair. The cathodes are typically flat hollow steel mesh or perforated steel structures covered with asbestos fibers, which serve as the diaphragm. The asbestos fiber structure of the diaphragm prevents the mixing of hydrogen and chlorine by allowing liquid to pass through to the cathode, but not fine bubbles of chlorine gas formed at the anodes. The diaphragm also hinders the back-diffusion to the anode of hydroxide (OH<sup>-</sup>) ions formed at the cathode. The cells are operated at 90 to 95 °C and atmospheric pressure. Brine flows continuously into the anode chamber and, subsequently, through the diaphragm to the cathode. As in the mercury cell process, chlorine gas is formed at the anodes; however, in the diaphragm process, caustic soda solution and hydrogen gas are formed directly at the cathode. The chlorine gas is drawn off from above the anodes for further processing. The hydrogen gas is drawn off separately from the cathode chambers.<sup>21,22</sup>

Two basic types of diaphragm cells are in use today. The first, monopolar cells, have an electrode arrangement in which the anodes and cathodes are arranged in parallel. As a result of this configuration, all cells have the same voltage of about three to four volts; up to 200 cells can be constructed in one circuit. The second basic type of diaphragm cell is the bipolar cell, in which the anode of one cell is directly connected to the cathode of the next cell unit. This type of arrangement minimizes voltage loss between cells; however, since the total voltage across the entire set of cells is the sum of the individual cell voltages, the number of cells per unit is limited. To compensate for the reduced anode and cathode surface area in the bipolar configuration, bipolar units tend to be much larger than monopolar units. Production of chlorine and caustic soda by the diaphragm process is split approximately equally between monopolar and bipolar systems.<sup>23</sup>

Exhibit 8: Typical Diaphragm Electrolysis Cell and Flow Diagram



(Source: Industrial Inorganic Chemistry, Büchner, et al., 1989)

Diaphragm cells are operated such that about 50 percent of the input NaCl is decomposed resulting in an effluent mixture of brine and caustic soda solution containing eight to 12 percent NaOH and 12 to 18 percent NaCl by weight. This solution is evaporated to 50 percent NaOH by weight at which point all of the salt, except a residual 1.0 to 1.5 percent by weight, precipitates out. The salt generated is very pure and is typically used to make more brine. Because the brine and caustic soda solution are mixed in a single effluent, a fresh brine solution (no recycled brine) is constantly entering the system. The diaphragm cell process does not, therefore, require a brine purge to prevent sulfate build up, or treatment to remove entrained chlorine gas, as in the mercury cell process.<sup>24</sup>

Diaphragms are constructed of asbestos because of its chemical and physical stability and because it is a relatively inexpensive and abundant material. Beginning in the early 1970s, asbestos diaphragms began to be replaced by diaphragms containing 75 percent asbestos and 25 percent fibrous

polytetrafluoroethylene (PTFE). These diaphragms, trade named Modified Diaphragms, are more stable and operate more efficiently than the fully asbestos diaphragms. Modified Diaphragms are the most common diaphragms currently in use.<sup>25</sup>

Diaphragm cells have the advantage of operating at a lower voltage than mercury cells and, therefore, use less electricity. In addition, the brine entering a diaphragm cell can be less pure than that required by mercury and membrane cells. The chlorine gas produced by the diaphragm process, however, is not pure and must be processed to remove oxygen, water, salt, and sodium hydroxide. Another disadvantage of the process is that the caustic soda produced contains chlorides and requires evaporation to bring it to a usable concentration.<sup>26</sup>

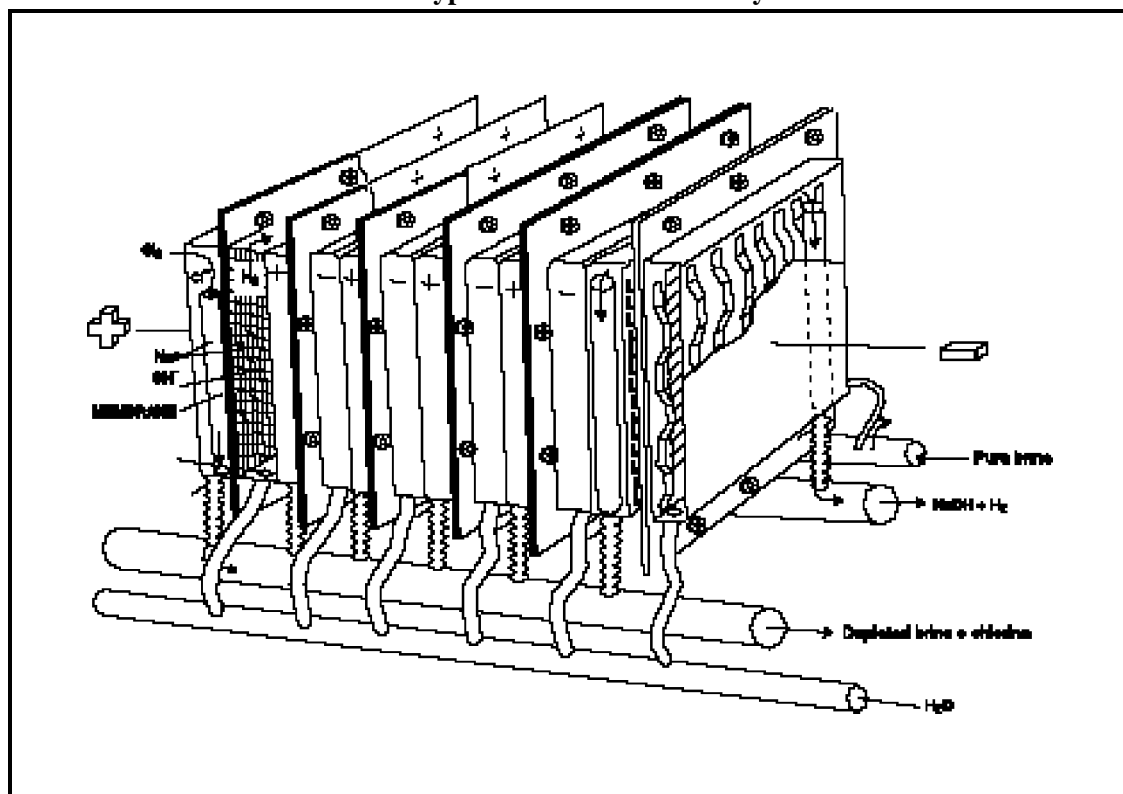
### III.A.3. Membrane Cell

In the membrane cell process, the anode and cathode are separated by a water-impermeable ion-conducting membrane (Exhibit 9). Brine solution flows through the anode compartment where chlorine gas is generated. The sodium ions migrate through the membrane to the cathode compartment which contains flowing caustic soda solution. Water is hydrolyzed at the cathode, releasing hydrogen gas and hydroxide ( $\text{OH}^-$ ) ions. The sodium and hydroxide ions combine to produce caustic soda which is typically brought to a concentration of 32 to 35 percent by recirculating the solution before it is discharged from the cell. The membrane prevents the migration of chloride ions from the anode compartment to the cathode compartment; therefore, the caustic soda solution produced does not contain salt as in the diaphragm cell process. Depleted brine is discharged from the anode compartment and resaturated with salt.<sup>27</sup>

The cathode material used in membrane cells is either stainless steel or nickel. The cathodes are often coated with a catalyst that is more stable than the substrate and that increases surface area and electrical conductivity. Coating materials include Ni-S, Ni-Al, and Ni-NiO mixtures, as well as mixtures of nickel and platinum group metals. Anodes are typically of the DSA type.<sup>28</sup>

The most critical components of the membrane cells are the membranes themselves. The membranes must remain stable while being exposed to chlorine on one side and a strong caustic solution on the other. Furthermore, the membranes must have low electrical resistance, and allow the transport of sodium ions and not chloride ions and reinforcing fabric, and a perfluorocarboxylate polymer all bonded together.

Exhibit 9: Typical Membrane Electrolysis Cell



(Source: Industrial Inorganic Chemistry, Büchner, et al., 1989.)

Membrane cells can be configured either as monopolar or bipolar. As in the case of the diaphragm cell process, the bipolar cells have less voltage loss between the cells than the monopolar cells; however, the number of cells connected together in the same circuit is limited.<sup>29</sup>

Membrane cells have the advantages of producing a very pure caustic soda solution and of using less electricity than the mercury and diaphragm processes. In addition, the membrane process does not use highly toxic materials such as mercury and asbestos. Disadvantages of the membrane process are that the chlorine gas produced must be processed to remove oxygen and water vapor, and the caustic soda produced must be evaporated to increase the concentration. Furthermore, the brine entering a membrane cell must be of a very high purity, which often requires costly additional purification steps prior to electrolysis.<sup>30</sup>



### III.A.4. Auxiliary Processes

#### *Brine Purification*

Approximately 70 percent of the salt used in chlorine gas production is extracted from natural salt deposits; the remainder is evaporated from seawater. Salt from natural deposits is either mined in solid form or is leached from the subsurface. Leaching involves the injection of freshwater into subterranean salt deposits and pumping out brine solution. Brine production from seawater typically occurs by solar evaporation in a series of ponds to concentrate the seawater, precipitate out impurities, and precipitate out solid sodium chloride. Regardless of the method used to obtain the salt, it will contain impurities that must be removed before being used in the electrolysis process. Impurities primarily consist of calcium, magnesium, barium, iron, aluminum, sulfates, and trace metals. Impurities can significantly reduce the efficiency of the electrolytic cells, by precipitating out and subsequently blocking a diaphragm or damaging a membrane depending on the process used. Certain trace metals, such as vanadium, reduce the efficiency of mercury cells and cause the production of potentially dangerous amounts of hydrogen gas. Removal of impurities accounts for a significant portion of the overall costs of chlor-alkali production, especially in the membrane process.<sup>31</sup>

In addition to the dissolved natural impurities, chlorine must be removed from the recycled brine solutions used in mercury and membrane processes. Dissolved chlorine gas entering the anode chamber in the brine solution will react with hydroxide ions formed at the cathode to form chlorate which reduces product yields. In addition, chlorine gas in the brine solution will cause corrosion of pipes, pumps, and containers during further processing of the brine. In a typical chlorine plant, HCl is added to the brine solution leaving the cells to liberate the chlorine gas. A vacuum is applied to the solution to collect the chlorine gas for further treatment. To further reduce the chlorine levels, sodium sulfite or another reducing agent is added to remove the final traces of chlorine. Dechlorinated brine is then resaturated with solid salt before further treating to remove impurities.<sup>32</sup>

Depending on the amount of impurities in the salt and the electrolysis process utilized, different purification steps will be required. Brine solution is typically heated before treatment to improve reaction times and precipitation of impurities. Calcium carbonate impurities are precipitated out through treatment with sodium carbonate; magnesium, iron, and aluminum are precipitated out through treatment with sodium hydroxide; and sulfates are precipitated out through the addition of calcium chloride or barium carbonate. Most trace metals are also precipitated out through these processes. Flocculants are sometimes added to the clarifying equipment to improve

settling. The sludges generated in this process are washed to recover entrained sodium chloride. Following the clarification steps, the brine solution is typically passed through sand filters followed by polishing filters. The brine passing through these steps will contain less than four parts per million (ppm) calcium and 0.5 ppm magnesium which is sufficient purification for the diaphragm and mercury cell processes. For brine to be used in the membrane process, however, requires a combined calcium and magnesium content of less than 20 parts per billion (ppb). Brine for the membrane process is, therefore, passed through ion exchange columns to further remove impurities.<sup>33</sup>

### *Chlorine Processing*

The chlorine gas produced by electrolytic processes is saturated with water vapor. Chlorine gas from the diaphragm process also contains liquid droplets of sodium hydroxide and salt solution. The first steps in processing the chlorine to a usable product consists of cooling the chlorine to less than ten degrees centigrade and then passing it through demisters or electrostatic precipitators to remove water and solids. Next the chlorine is passed through packed towers with concentrated sulfuric acid flowing countercurrently. The water vapor is absorbed by the sulfuric acid and the dry chlorine gas is then passed through demisters to remove sulfuric acid mist. If the chlorine is to be liquefied, liquid chlorine is then added to the gas to further purify the chlorine and to prechill it prior to compression. Prechilling is primarily carried out to prevent the temperature from reaching the chlorine-steel ignition point during compression.<sup>34</sup>

Chlorine gas is either used in gaseous form within the facility, transferred to customers via pipeline, or liquefied for storage or transport. Liquid chlorine is of a higher purity than gaseous chlorine and is either used within the facility or is transferred via rail tank car, tank truck, or tank barge. The demand for liquid chlorine has increased in recent years and, in 1987, accounted for about 81 percent of chlorine produced in the U.S.<sup>35</sup>

Chlorine liquefaction processes typically liquefy only about 90-95 percent of the chlorine. This gas and the chlorine gas left inside tank truck tanks, rail car tanks, or barges after removal of liquid chlorine is impure and must be recovered in a chlorine recovery unit. The gas is compressed and cooled using cold water followed by Freon. The chilled gas is fed up through a packed column in which carbon tetrachloride flows downward absorbing the chlorine. The chlorine-rich carbon tetrachloride is fed to a chlorine stripper in which the chlorine and carbon tetrachloride separate as they are heated. The chlorine gas is cooled and scrubbed of carbon tetrachloride using liquid chlorine and the resulting pure chlorine is sent to the chlorine liquefaction system.<sup>36</sup>

### *Caustic Soda Processing*

Caustic soda solution generated from chlor-alkali processes is typically processed to remove impurities and to concentrate it to either a 50 percent or 73 percent water-based solution or to anhydrous caustic soda. The caustic soda from the mercury and membrane processes is relatively pure. Product from the mercury process requires only filtration to remove mercury droplets. The evaporators used to concentrate the caustic soda solution in the diaphragm process are typically multi-stage forced circulation evaporators. The evaporators have salt settling systems to remove precipitated salt. Sodium borohydride is often added to reduce corrosion of the equipment. Evaporators for the membrane process are usually much simpler than those for the diaphragm process because the salt concentration in the membrane cell caustic solution is very low.<sup>37</sup>

### *Hydrogen Processing*

The hydrogen produced in all of the electrolytic processes contains small amounts of water vapor, sodium hydroxide, and salt which is removed through cooling. The hydrogen produced during the mercury cell process also contains small amounts of mercury which must be removed by cooling the hydrogen gas to condense the mercury and treating with activated carbon.<sup>38</sup>

## **III.B. Raw Material Inputs and Pollution Outputs in the Production Line**

Inputs and pollutant outputs of the chlor-alkali industry are relatively small both in number and volume in comparison to the chemical manufacturing industry as a whole. The inputs are primarily salt and water as feedstocks; acids and chemical precipitants used to remove impurities in the input brine or output chlorine and caustic soda; and freon used for liquefying and purifying the chlorine gas produced. The major pollutant outputs from all three electrolytic processes are chlorine gas emissions (both fugitive and point source); spent acids; freon (both fugitive and point source); impurities removed from the input salt or brine; and pollutants originating from electrolytic cell materials and other system parts.

Pollutant outputs have decreased in recent years as the industry moves away from the mercury and diaphragm cell processes to the more efficient (in terms of material and energy inputs and outputs) membrane cell process. In addition, improved cell part materials have been developed, such as DSA anodes and Modified Diaphragms, which are more stable and create less undesirable byproducts.

Inputs and pollutant outputs from the auxiliary processes such as brine purification, chlorine processing, caustic soda processing, and hydrogen processing are described in Section III.B.4.

### III.B.1. Mercury Cell

Wastewater streams from mercury cell facilities arise from the chlorine drying process, brine purge, and miscellaneous sources. Small amounts of mercury are found in the brine purge and miscellaneous sources which include floor sumps and cell wash water. Before treatment, mercury concentrations (principally in the form of mercuric chloride,  $\text{HgCl}_4^{2-}$ ) typically range from 0 to 20 ppm. Thereby segregating most mercury bearing wastewater streams from non-mercury bearing wastewater streams. Prior to treatment, sodium hydrosulfide is used to precipitate mercuric sulfide. The mercuric sulfide is removed through filtration before the water is discharged.<sup>39</sup>

Air emissions consist of mercury vapor and chlorine gas released in relatively small amounts as fugitive emissions from the cells; and in the tail gases of the chlorine processing, caustic soda processing, and hydrogen processing. Process tail gases are wet scrubbed with caustic soda or soda ash solutions to remove chlorine and mercury vapor. Residual chlorine emissions in tail gases after treatment are less than one kg per 1,000 kg of chlorine produced and mercury emissions are negligible. The tail gas scrubber water is typically reused as brine make-up water.<sup>40</sup>

Solid wastes containing mercury include: solids generated during brine purification; spent graphite from decomposer cells; spent caustic filtration cartridges from the filtration of caustic soda solution; spilled mercury from facility sumps; and mercury cell "butters," which are semisolid amalgams of mercury with barium or iron formed when an excess of barium is used during salt purification. Most mercury bearing solid wastes are shipped off-site to outside reclaimers who recover the mercury. The remaining wastes are disposed of in secure landfills using either chemical or physical methods to recover maximum feasible amount of mercury.<sup>41</sup>

### III.B.2. Diaphragm Cell

Wastewater streams from the diaphragm cell process originate from the barometric condenser during caustic soda evaporation, chlorine drying, and from purification of salt recovered from the evaporators. These wastewaters and their treatment are described below in Section III.B.4. The use of lead and graphite anodes and asbestos diaphragms generates lead, asbestos, and chlorinated hydrocarbons in the caustic soda and chlorine processing waste streams. Lead salts and chlorinated hydrocarbons are generated from corrosion of the anodes, and asbestos particles are formed by the degradation of the diaphragm with use. Over the past twenty years, all but a few diaphragm cell facilities have switched from the use of lead and graphite anodes with asbestos diaphragms to DSA anodes and Modified Diaphragms which resist corrosion and degradation. The lead, asbestos, and chlorinated

hydrocarbon contaminants are, therefore, no longer discharged in significant amounts from most diaphragm cell chlor-alkali facilities. Those facilities that discharged caustic processing wastewater streams to on-site lagoons may, however, still have significant levels of these contaminants on-site.<sup>42</sup>

Chlorine is released in relatively small amounts as fugitive emissions from the cells and in the process tail gases. Process tail gases are wet scrubbed with soda ash or caustic soda solutions to remove chlorine. Residual chlorine emissions in tail gases after treatment are negligible. The spent caustic solution is neutralized prior to discharge.<sup>43</sup>

Solid wastes generated in the diaphragm process consist primarily of solids generated during brine purification and scrapped cell parts including, cell covers, piping and used diaphragms. Discarded cell parts are either landfilled on-site, as is typically the case for spent diaphragms, or shipped off-site for disposal. Used cathodes and DSA anodes are shipped off-site for recovery of their titanium content.<sup>44</sup>

### III.B.3. Membrane Cell

Wastewater from the diaphragm cell process originates from the barometric condenser during caustic soda evaporation, chlorine drying, and wash water from the ion exchange resin used to purify the brine solution. The ion exchange wash water consists of dilute hydrochloric acid with small amounts of dissolved calcium, magnesium, and aluminum chloride. The wastewater is combined with the other process wastewaters and treated by neutralization.<sup>45</sup>

Chlorine is released in relatively small amounts as fugitive emissions from the cells and in the process tail gases. Process tail gases are wet scrubbed with soda ash or caustic soda solutions to remove chlorine. Residual chlorine emissions in tail gases after treatment are negligible. The spent caustic solution is neutralized prior to discharge.<sup>46</sup>

Solid waste generated in the diaphragm process consists primarily of solids generated during brine purification and used cell parts which include membranes, cathodes and DSA anodes. The used membranes are typically returned to the supplier and the used cathodes and DSA anodes are shipped off-site for recovery of their titanium content.<sup>47</sup>

### III.B.4. Auxiliary Processes

#### *Brine Purification*

Brine solutions are typically treated with a number of chemicals to remove impurities prior to input to the electrolytic cells. In the case of mercury and

membrane cell systems, the brine is first acidified with HCl to remove dissolved chlorine. Next, sodium hydroxide and sodium carbonate are added to precipitate calcium and magnesium ions as calcium carbonate and magnesium hydroxide. Barium carbonate is then added to remove sulfates which precipitate out as barium sulfate. The precipitants are removed from the brine solution by settling and filtration. Pollutant outputs from this process include fugitive chlorine emissions and brine muds.<sup>48</sup>

Brine muds are one of the largest waste streams of the chlor-alkali industry. On average, about 30 kilograms (kg) of brine mud are generated for every 1,000 kg of chlorine produced. The volume of mud will vary, however, depending on the purity of the salt used. Some facilities use pre-purified (i.e., chemical grade) evaporated salts which will produce only 0.7 to 6.0 kg of brine mud per 1,000 kg of chlorine produced. Brine mud typically contains magnesium hydroxide, calcium carbonate, and, in most cases, barium sulfate. Mercury cell brine muds usually contain mercury either in the elemental form or as the complex ion, mercuric chloride ( $\text{HgCl}_4^{2-}$ ). Mercury-containing brine muds are typically disposed of in a RCRA Subtitle C landfill after treatment with sodium sulfide which converts the mercury to an insoluble sulfide.<sup>49</sup>

Brine muds are usually segregated from other process wastes and stored in lagoons on-site. When the lagoons become filled, the brine mud is either dredged and landfilled off-site, or drained and covered over. Some plants that use brine solution leached from subterranean deposits inject brine muds into the salt cavities that are no longer being used.<sup>50</sup>

### *Chlorine Processing*

The chlorine gas recovered from electrolytic cells is cooled to remove water vapor. The condensed water is usually recycled as brine make-up although some facilities combine this waste stream with other waterborne waste streams prior to treatment. The remaining water vapor is removed by scrubbing the chlorine gas with concentrated sulfuric acid. The chlorine gas is then compressed and cooled to form liquid chlorine. Between six kg and 35 kg of 79 percent sulfuric acid wastewater is generated per 1,000 kg of chlorine produced. The majority of the spent sulfuric acid waste is shipped off-site for refortification to concentrated sulfuric acid or for use in other processes. The remainder is used to control effluent pH and/or is discharged to water or land disposed.<sup>51</sup>

The process of purifying and liquefying impure chlorine gas involves the absorption of the chlorine in a stream of carbon tetrachloride. The chlorine is subsequently removed in a stripping process in which the carbon tetrachloride is either recovered and reused, or is vented to the atmosphere.<sup>52</sup>

*Caustic Soda Processing*

Caustic soda solution generated from chlor-alkali processes is typically processed to remove impurities and, in the case of the diaphragm and membrane processes, is concentrated to either a 50 percent or 73 percent water-based solution or to anhydrous caustic soda. About five tons of water must be evaporated per ton of 50 percent caustic soda solution produced. The water vapor from the evaporators is condensed in barometric condensers and, in the case of the diaphragm process, will primarily contain about 15 percent caustic soda solution and high concentrations of salt. If sodium sulfate is not removed during the brine purification process, salt recovered from the evaporators is often recrystallized to avoid sulfate buildup in the brine. If the salt is recrystallized, the wastewater from sodium hydroxide processing will also contain sodium sulfates. Significant levels of copper may also be present in the wastewater due to corrosion of pipes and other equipment. Wastewater from the membrane process contains caustic soda solution and virtually no salt or sodium sulfates.<sup>53</sup>

Caustic soda processing wastewater is typically neutralized with hydrochloric acid, lagooned, and then discharged directly to a receiving water or land disposed. The caustic soda generated from the mercury process only requires filtration to remove mercury droplets which are typically recovered for reuse.

*Hydrogen Processing*

The hydrogen produced in all of the electrolytic processes contains small amounts of water vapor, sodium hydroxide, and salt which is removed through cooling. Condensed salt water and sodium hydroxide solution is either recycled as brine make-up or treated with other waterborne waste streams. The hydrogen produced during the mercury cell process, however, also contains small amounts of mercury which must be removed prior to liquefaction. Most of the entrained mercury is extracted by cooling the gas. The condensed mercury is then returned to the electrolytic cells. Some facilities further purify the hydrogen gas of mercury using activated carbon treatment. Spent activated carbon is typically shipped off-site as a hazardous waste.<sup>54</sup>

**III.C. Management of Chemicals In Wastestream**

The Pollution Prevention Act of 1990 (PPA) requires facilities to report information about the management of TRI chemicals in waste and efforts made to eliminate or reduce those quantities. These data have been collected annually in Section 8 of the TRI reporting Form R beginning with the 1991 reporting year. The data summarized below cover the years 1992-1995 and is meant to provide a basic understanding of the quantities of waste handled

by the industry, the methods typically used to manage this waste, and recent trends in these methods. TRI waste management data can be used to assess trends in source reduction within individual industries and facilities, and for specific TRI chemicals. This information could then be used as a tool in identifying opportunities for pollution prevention compliance assistance activities.

From the yearly data presented below it is apparent that the portion of TRI wastes reported as recycled on-site has increased and the portions treated or managed through treatment on-site have decreased between 1992 and 1995 (projected). While the quantities reported for 1992 and 1993 are estimates of quantities already managed, the quantities reported for 1994 and 1995 are projections only. The PPA requires these projections to encourage facilities to consider future waste generation and source reduction of those quantities as well as movement up the waste management hierarchy. Future-year estimates are not commitments that facilities reporting under TRI are required to meet.

Exhibit 10 shows that the inorganic chemicals industry managed about 1.7 trillion pounds of production-related waste (total quantity of TRI chemicals in the waste from routine production operations) in 1993 (column B). Column C reveals that of this production-related waste, 15 percent was either transferred off-site or released to the environment. Column C is calculated by dividing the total TRI transfers and releases by the total quantity of production-related waste. In other words, about 85 percent of the industry's TRI wastes were managed on-site through recycling, energy recovery, or treatment as shown in columns E, F and G, respectively. The majority of waste that is released or transferred off-site can be divided into portions that are recycled off-site, recovered for energy off-site, or treated off-site as shown in columns H, I and J, respectively. The remaining portion of the production related wastes (11 percent), shown in column D, is either released to the environment through direct discharges to air, land, water, and underground injection, or it is disposed off-site.



<b>Exhibit 10: Source Reduction and Recycling Activity for Inorganic Chemicals Industry (SIC 281) as Reported within TRI</b>									
A	B	C	D	On-Site			Off-Site		
Year	Quantity of Production-Related Waste (10 <sup>6</sup> lbs.) <sup>a</sup>	% Released and Transferred <sup>b</sup>	% Released and <u>Disposed<sup>c</sup></u> <u>Off-site</u>	E	F	G	H	I	J
				% Recycled	% Energy Recovery	% Treated	% Recycled	% Energy Recovery	% Treated
1992	1,642	16%	12%	42%	0%	42%	<1%	<1%	3%
1993	1,712	15%	11%	45%	0%	40%	<1%	<1%	3%
1994	1,759	---	11%	47%	<1%	39%	<1%	<1%	3%
1995	1,732	---	10%	48%	0%	40%	<1%	<1%	3%

<sup>a</sup> Within this industry sector, non-production related waste is < 1% of production related wastes for 1993.

<sup>b</sup> Total TRI transfers and releases as reported in Section 5 and 6 of Form R as a percentage of production related wastes.

<sup>c</sup> Percentage of production related waste released to the environment and transferred off-site for disposal.

#### IV. CHEMICAL RELEASE AND TRANSFER PROFILE

This section is designed to provide background information on the pollutant releases that are reported by this industry. The best source of comparative pollutant release information is the Toxic Release Inventory System (TRI). Pursuant to the Emergency Planning and Community Right-to-Know Act, TRI includes self-reported facility release and transfer data for over 600 toxic chemicals. Facilities within SIC Codes 20-39 (manufacturing industries) that have more than 10 employees, and that are above weight-based reporting thresholds are required to report TRI on-site releases and off-site transfers. TRI is not specific to the chemical industry. The information presented within the sector notebooks is derived from the most recently available (1993) TRI reporting year (which then included 316 chemicals), and focuses primarily on the on-site releases reported by each sector. Because TRI requires consistent reporting regardless of sector, it is an excellent tool for drawing comparisons across industries.

Although this sector notebook does not present historical information regarding TRI chemical releases, please note that in general, toxic chemical releases across all industries have been declining. In fact, according to the 1993 Toxic Release Inventory Data Book, reported releases dropped by 42.7 percent between 1988 and 1993. Although on-site releases have decreased, the total amount of reported toxic waste has not declined because the amount of toxic chemicals transferred off-site has increased. Transfers have increased from 3.7 billion pounds in 1991 to 4.7 billion pounds in 1993. Better management practices have led to increases in off-site transfers of toxic chemicals for recycling. More detailed information can be obtained from EPA's annual Toxics Release Inventory Public Data Release book (which is available through the EPCRA Hotline at 800-535-0202), or directly from the Toxic Release Inventory System database (for user support call 202-260-1531).

Wherever possible, the sector notebooks present TRI data as the primary indicator of chemical release within each industrial category. TRI data provide the type, amount and media receptor of each chemical released or transferred. When other sources of pollutant release data have been obtained, these data have been included to augment the TRI information.

#### TRI Data Limitations

The reader should keep in mind the following limitations regarding TRI data. Within some sectors, the majority of facilities are not subject to TRI reporting because they are not considered manufacturing industries, or because they are below TRI reporting thresholds. Examples are the mining, dry cleaning,

printing, and transportation equipment cleaning sectors. For these sectors, release information from other sources has been included.

The reader should also be aware that TRI "pounds released" data presented within the notebooks is not equivalent to a "risk" ranking for each industry. Weighting each pound of release equally does not factor in the relative toxicity of each chemical that is released. The Agency is in the process of developing an approach to assign toxicological weightings to each chemical released so that one can differentiate between pollutants with significant differences in toxicity. As a preliminary indicator of the environmental impact of the industry's most commonly released chemicals, the notebook briefly summarizes the toxicological properties of the top chemicals (by weight) reported by each industry.

## Definitions Associated With Section IV Data Tables

### General Definitions

**SIC Code** -- is the Standard Industrial Classification (SIC) is a statistical classification standard used for all establishment-based Federal economic statistics. The SIC codes facilitate comparisons between facility and industry data.

**TRI Facilities** -- are manufacturing facilities that have 10 or more full-time employees and are above established chemical throughput thresholds. Manufacturing facilities are defined as facilities in Standard Industrial Classification primary codes 20-39. Facilities must submit estimates for all chemicals that are on the EPA's defined list and are above throughput thresholds.

### Data Table Column Heading Definitions

The following definitions are based upon standard definitions developed by EPA's Toxic Release Inventory Program. The categories below represent the possible pollutant destinations that can be reported.

**RELEASES** -- are an on-site discharge of a toxic chemical to the environment. This includes emissions to the air, discharges to bodies of water, releases at the facility to land, as well as contained disposal into underground injection wells.

**Releases to Air (Point and Fugitive Air Emissions)** -- include all air emissions from industry activity. Point emission occur through confined air streams as found in stacks, ducts, or pipes. Fugitive emissions include losses

from equipment leaks, or evaporative losses from impoundments, spills, or leaks.

**Releases to Water (Surface Water Discharges)** -- encompass any releases going directly to streams, rivers, lakes, oceans, or other bodies of water. Any estimates for stormwater runoff and non-point losses must also be included.

**Releases to Land** -- includes disposal of toxic chemicals in waste to on-site landfills, land treated or incorporation into soil, surface impoundments, spills, leaks, or waste piles. These activities must occur within the facility's boundaries for inclusion in this category.

**Underground Injection** -- is a contained release of a fluid into a subsurface well for the purpose of waste disposal.

**TRANSFERS** -- is a transfer of toxic chemicals in wastes to a facility that is geographically or physically separate from the facility reporting under TRI. The quantities reported represent a movement of the chemical away from the reporting facility. Except for off-site transfers for disposal, these quantities do not necessarily represent entry of the chemical into the environment.

**Transfers to POTWs** -- are wastewaters transferred through pipes or sewers to a publicly owned treatment works (POTW). Treatment and chemical removal depend on the chemical's nature and treatment methods used. Chemicals not treated or destroyed by the POTW are generally released to surface waters or landfilled within the sludge.

**Transfers to Recycling** -- are sent off-site for the purposes of regenerating or recovering still valuable materials. Once these chemicals have been recycled, they may be returned to the originating facility or sold commercially.

**Transfers to Energy Recovery** -- are wastes combusted off-site in industrial furnaces for energy recovery. Treatment of a chemical by incineration is not considered to be energy recovery.

**Transfers to Treatment** -- are wastes moved off-site for either neutralization, incineration, biological destruction, or physical separation. In some cases, the chemicals are not destroyed but prepared for further waste management.

**Transfers to Disposal** -- are wastes taken to another facility for disposal generally as a release to land or as an injection underground.

#### IV.A. EPA Toxic Release Inventory for the Inorganic Chemical Industry

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The 1993 TRI data presented in Exhibits 11 and 12 for inorganic chemicals manufacturing covers 555 facilities. These facilities listed SIC 281 (industrial inorganic chemicals) as a primary SIC code. The Bureau of Census identified 1,429 facilities manufacturing inorganic chemicals. More than half of these facilities, however, have fewer than 20 employees, many of which are likely to be below the TRI reporting thresholds of employment (TRI reporting threshold is greater than 10 employees) and/or chemical use and, therefore, are not required to report to TRI.

According to TRI data, in 1993 the inorganic chemical industry released (discharged to the air, water, or land without treatment) and transferred (shipped off-site) a total of 250 million pounds of 112 different chemical toxic chemicals. This represents about 10 percent of the TRI releases and transfers of the chemical manufacturing industry and about three percent of the total releases and transfers of all manufacturers that year. In comparison, the organic chemical industry (SIC 286) produced 438 million pounds that year, almost twice that of the inorganic chemical industry.<sup>55</sup>

The chemical industry's releases have been declining in recent years. Between 1988 and 1993 TRI emissions from chemical companies (all those categorized within SIC 28, not just inorganic chemical manufacturers) to air, land, and water were reduced 44 percent, which is slightly above the average for all manufacturing sectors reporting to TRI.<sup>56</sup>

Because the chemical industry (SIC 28) has historically released more TRI chemicals than any other industry, the EPA has worked to improve environmental performance within this sector. This has been done through a combination of enforcement actions, regulatory requirements, pollution prevention projects, and voluntary programs (e.g. 33/50). In addition, the chemical industry has focused on reducing pollutant releases. For example, the Chemical Manufacturers Association's (CMA's) Responsible Care initiative is intended to reduce or eximinate chemical manufacturers' waste. All 184 members of the CMA, firms that account for the majority of U.S. chemical industry sales and earnings, are required to participate in the program. Participation involves demonstrating a commitment to the program's mandate of continuous improvement in environment, health, and safety. In June of 1994, the CMA approved the use of a third-party verification of management plans to meet these objectives.

Exhibits 11 and 12 present the number and volumes of chemicals released and transferred by inorganic chemical facilities, respectively. The frequency with which chemicals are reported by facilities within a sector is one indication of the diversity of operations and processes. Many of the TRI chemicals are released or transferred by only a small number of facilities which indicates a wide diversity of production processes, particularly for specialty inorganics --

over 70 percent of the 110 chemicals reported are released or transferred by fewer than 10 facilities.

The inorganic chemical industry releases 69 percent of its total TRI poundage to the water (including 67 percent to underground injection and two percent to surface waters), 14 percent to the air, and 17 percent to the land. This release profile differs from other TRI industries which average approximately 30 percent to the water, 59 percent to air, and 10 percent to land. Examining the inorganic chemical industry's TRI reported toxic chemical releases highlights the likely origins of the large water releases for the industry (Exhibit 11).

As presented in Exhibit 11, on-site underground injection of essentially one chemical, hydrochloric acid, accounts for the largest portion, 55 percent, of the inorganic chemical industry's total releases and transfers as reported in TRI. Only five facilities of the 555 identified facilities reported releasing hydrochloric acid through underground injection. Two of these facilities accounted for over 85 percent of the total hydrochloric acid injected to the subsurface, or 42 percent of the inorganic chemical industry's total releases and transfers. Land disposal accounted for the next largest amount, 17 percent, of the industry's total releases. The largest single chemical released to the air by the inorganic chemical industry, carbonyl sulfide, is only emitted by eleven facilities manufacturing certain inorganic pigments.

Discharges to POTWs accounted for 43 percent of the industry's total transfers of TRI chemicals. Ammonia, hydrochloric acid, and sulfuric acid account for over 66 percent of the 70 million pounds transferred off-site. Finally, approximately 22 million pounds, accounting for 31 percent of the total, are transferred off-site for treatment (Exhibit 12).

**Exhibit 11: 1993 Releases for Inorganic Chemical Manufacturing Facilities (SIC 281) in TRI, by Number of Facilities Reporting  
(Releases reported in pounds/year)**

CHEMICAL NAME	# REPORTING CHEMICAL	FUGITIVE AIR	POINT AIR	WATER DISCHARGES	UNDERGROUND INJECTION	LAND DISPOSAL	TOTAL RELEASES	AVG. RELEASES PER FACILITY
SULFURIC ACID	311	47,743	538,584	7,482	5	29,520	623,334	2,004
HYDROCHLORIC ACID	167	29,428	1,420,262	3,748	120,745,708	213,351	122,677,352	734,595
AMMONIA	152	1,984,440	2,726,774	1,885,475	0	1,162,987	7,759,676	51,051
CHLORINE	121	52,954	3,017,393	6,105	0	0	3,076,452	25,425
PHOSPHORIC ACID	72	4,673	417,181	500	5	0	422,359	5,866
NITRIC ACID	71	13,211	56,538	250	5	70,004	126,998	986
ACETONE	54	40,304	19,261	0	0	0	59,565	1,103
ZINC COMPOUNDS	53	39,171	72,705	104,180	0	86,384	302,440	5,706
CHROMIUM COMPOUNDS	41	4,294	13,008	33,935	0	19,036,934	19,088,171	465,565
COPPER COMPOUNDS	33	2,317	15,238	1,827	0	428	19,810	600
NICKEL COMPOUNDS	29	1,253	20,000	8,872	5	34,289	64,419	2,221
METHANOL	28	105,224	878,239	77,887	0	0	1,061,350	37,905
BARIUM COMPOUNDS	27	2,279	8,176	5,629	0	353,000	369,084	13,670
DICHLORODIFLUOROMETHANE	26	741,761	54,302	0	0	0	796,063	30,618
LEAD COMPOUNDS	26	1,370	12,730	287	0	58,053	72,440	2,786
MANGANESE COMPOUNDS	26	124,003	146,393	87,548	0	7,672,768	8,030,712	308,874
HYDROGEN FLUORIDE	24	93,040	84,932	5	0	10	177,987	7,416
COBALT COMPOUNDS	21	1,001	2,018	996	0	33,460	37,475	1,785
ETHYLENE GLYCOL	20	543	765	505	0	702	2,515	126
TOLUENE	20	84,679	11,313	0	0	4	95,996	4,800
ANTIMONY COMPOUNDS	16	2,010	11,439	273	0	1	13,723	858
XYLENE (MIXED ISOMERS)	16	9,608	7,998	0	0	52	17,658	1,104
PHOSPHORUS (YELLOW OR RED)	14	1,200	4,137	5	0	323,749	329,091	23,507
PROPYLENE	14	14,451	2,215	0	0	0	16,666	1,190
AMMONIUM NITRATE	13	697	8,858	496,400	0	599,028	1,104,983	84,999
AMMONIUM SULFATE	11	1,613	4,935	0	0	0	6,548	595
CARBONYL SULFIDE	11	380	9,676,486	0	0	0	9,676,866	879,715
GLYCOL ETHERS	11	4,028	40,640	0	0	0	44,668	4,061
TITANIUM TETRACHLORIDE	11	7,900	4,492	0	0	0	12,392	1,127
ETHYLENE OXIDE	10	428	19,890	0	0	0	20,318	2,032
COPPER	9	523	1,250	83	0	0	1,856	206
MOLYBDENUM TRIOXIDE	9	1,155	12,291	3,749	5	500	17,700	1,967
FORMALDEHYDE	8	493	11,703	0	0	0	12,196	1,525
ZINC (FUME OR DUST)	8	255	6,476	0	0	0	6,731	841
ARSENIC COMPOUNDS	7	260	264	391	0	504	1,419	203
MERCURY	7	5,903	1,597	215	0	1,519	9,234	1,319
1,1,1-TRICHLOROETHANE	7	1,200	505	0	0	0	1,705	244
ASBESTOS (FRIABLE)	6	0	1	0	0	0	1	0
CARBON TETRACHLORIDE	6	25,632	259,791	0	0	2	285,425	47,571
CHROMIUM	6	260	520	86	0	267,786	268,652	44,775
DICHLOROTETRAFLUOROETHANE	6	709,950	5	0	0	0	709,955	118,326
ETHYLENE	6	527	5,287	0	0	0	5,814	969
METHYL ETHYL KETONE	6	10,205	2,601	0	0	41	12,847	2,141
NAPHTHALENE	6	819	33,652	741	0	5	35,217	5,870
CADMIUM COMPOUNDS	5	431	4,237	21	0	124	4,813	963
DICHLOROMETHANE	5	53,174	9,322	0	0	0	62,496	12,499
DIETHANOLAMINE	5	3,325	750	0	0	0	4,075	815
ACETONITRILE	4	2,085	1,696	0	0	0	3,781	945

**Exhibit 11 (cont.): 1993 Releases for Inorganic Chemical Manufacturing Facilities in TRI, by Number of Facilities Reporting  
(Releases reported in pounds/year)**

CHEMICAL NAME	# REPORTING CHEMICAL	FUGITIVE AIR	POINT AIR	WATER DISCHARGES	UNDERGROUND INJECTION	LAND DISPOSAL	TOTAL RELEASES	AVG. RELEASES PER FACILITY
CARBON DISULFIDE	4	70,006	4,334	250	0	0	74,590	18,648
CHLOROFORM	4	13,282	27,017	360	0	0	40,659	10,165
CYANIDE COMPOUNDS	4	5	5	0	0	0	10	3
NICKEL	4	38	880	5	0	250	1,173	293
PHthalic ANHYDRIDE	4	250	250	0	0	0	500	125
SELENIUM COMPOUNDS	4	10	270	87	0	0	367	92
TRICHLOROFLUOROMETHANE	4	307,250	1,205	0	0	0	308,455	77,114
1,2,4-TRIMETHYLBENZENE	4	1,110	1,290	250	0	5	2,655	664
ALUMINUM (FUME OR DUST)	3	42	660	0	0	0	702	234
BENZENE	3	505	5	0	0	0	510	170
ETHYLBENZENE	3	505	250	0	0	0	755	252
FREON 113	3	30,954	67	0	0	0	31,021	10,340
HYDRAZINE	3	330	0	33	0	0	363	121
MANGANESE	3	250	316	34	0	1,180,335	1,180,935	393,645
MONOCHLOROPENTAFLUOROETHANE	3	402,000	7,721	0	0	0	409,721	136,574
TRICHLOROETHYLENE	3	255	35,305	5	0	0	35,565	11,855
ACETALDEHYDE	2	6	3,100	0	0	0	3,106	1,553
ACRYLONITRILE	2	255	5	0	0	0	260	130
CHLORINE DIOXIDE	2	86	176	0	0	0	262	131
COBALT	2	13	251	0	0	5	269	135
CRESOL (MIXED ISOMERS)	2	276	510	0	0	0	786	393
CYCLOHEXANE	2	255	5	0	0	0	260	130
HYDROQUINONE	2	0	0	0	0	0	0	0
LEAD	2	76	0	9	0	8,500	8,585	4,293
METHYL ISOBUTYL KETONE	2	250	303	0	0	0	553	277
METHYL TERT-BUTYL ETHER	2	790	5	0	0	0	795	398
PHENOL	2	264	145	0	0	0	409	205
SILVER COMPOUNDS	2	0	5	0	0	0	5	3
TETRACHLOROETHYLENE	2	400	305	0	0	0	705	353
ACRYLIC ACID	1	250	5	0	0	0	255	255
ARSENIC	1	5	0	0	0	0	5	5
BARIUM	1	0	0	0	0	0	0	0
BROMOTRIFLUOROMETHANE	1	34,000	0	0	0	0	34,000	34,000
CAPTAN	1	5	0	0	0	0	5	5
CHLOROBENZENE	1	250	5	0	0	0	255	255
CHLOROETHANE	1	522	0	0	0	0	522	522
CHLOROMETHANE	1	501	0	0	0	0	501	501
CREOSOTE	1	250	5	0	0	0	255	255
CUMENE	1	750	750	0	0	0	1,500	1,500
DIC(2-ETHYLHEXYL) PHTHALATE	1	250	5	0	0	0	255	255
DIBUTYL PHTHALATE	1	250	5	0	0	0	255	255
DIMETHYL PHTHALATE	1	10	0	0	0	0	10	10
DIMETHYL SULFATE	1	0	0	0	0	0	0	0
ETHYL ACRYLATE	1	250	5	0	0	0	255	255
HYDROGEN CYANIDE	1	0	30	0	0	0	30	30
ISOPROPYL ALCOHOL	1	250	250	0	0	0	500	500
MALEIC ANHYDRIDE	1	250	5	0	0	0	255	255
MERCURY COMPOUNDS	1	250	250	0	0	0	500	500



**Exhibit 11 (cont.): 1993 Releases for Inorganic Chemical Manufacturing Facilities in TRI, by Number of Facilities Reporting**  
 (Releases reported in pounds/year)

CHEMICAL NAME	# REPORTING CHEMICAL	FUGITIVE AIR	POINT AIR	WATER DISCHARGES	UNDERGROUND INJECTION	LAND DISPOSAL	TOTAL RELEASES	AVG. RELEASES PER FACILITY
METHYL METHACRYLATE	1	250	5	0	0	0	255	255
N-BUTYL ALCOHOL	1	250	250	0	0	0	500	500
O-XYLENE	1	5	0	0	0	0	5	5
P-XYLENE	1	5	0	0	0	0	5	5
PERACETIC ACID	1	10	2,100	42	0	0	2,152	2,152
POLYCHLORINATED BIPHENYLS	1	0	0	0	0	0	0	0
SELENIUM	1	5	250	0	0	0	255	255
SILVER	1	750	250	0	0	0	1,000	1,000
STYRENE	1	250	250	0	0	0	500	500
THIOUREA	1	0	0	0	0	0	0	0
VINYL ACETATE	1	5	0	0	0	0	5	5
VINYL CHLORIDE	1	0	0	0	0	0	0	0
1,1,2-TRICHLOROETHANE	1	250	5	0	0	0	255	255
1,2-DICHLOROETHANE	1	5	0	0	0	0	5	5
1,2,4-TRICHLOROBENZENE	1	5	0	0	0	0	5	5
1,4-DIOXANE	1	5	0	0	0	0	5	5
TOTAL	555	5,366,356	19,737,660	2,728,270	120,745,733	31,064,296	179,642,315	323,680

**Exhibit 12: 1993 Transfers for Inorganic Chemical Manufacturing Facilities (SIC 281) in TRI, by Number of Facilities Reporting (Transfers reported in pounds/year)**

CHEMICAL NAME	# REPORTING CHEMICAL	POTW DISCHARGES	DISPOSAL	RECYCLING	TREATMENT	ENERGY RECOVERY	TOTAL TRANSFERS	AVG. TRANSFERS PER FACILITY
SULFURIC ACID	311	11,146	250,434	1,025,242	6,820,665	0	8,107,487	26,069
HYDROCHLORIC ACID	167	528	4,598,609	0	10,423,062	0	15,022,199	89,953
AMMONIA	152	22,101,429	371,669	528,230	65,300	0	23,066,628	151,754
CHLORINE	121	5	610	0	270	0	885	7
PHOSPHORIC ACID	72	3,913	2,130	23,218	132,065	0	161,326	2,241
NITRIC ACID	71	10	250	0	438,614	0	438,874	6,181
ACETONE	54	1,655	23	15,726	11,249	84,368	113,021	2,093
ZINC COMPOUNDS	53	7,382	509,395	159,713	30,265	0	706,755	13,335
CHROMIUM COMPOUNDS	41	4,078	121,569	47,843	51,452	0	224,942	5,486
COPPER COMPOUNDS	33	4,228	321,517	576,642	7,733	0	910,120	27,579
NICKEL COMPOUNDS	29	9,840	86,370	278,630	106,692	0	481,532	16,605
METHANOL	28	16,209	4,000	291,354	175	1,802,765	2,114,503	75,518
BARIUM COMPOUNDS	27	5,080	370,288	0	123,560	0	498,928	18,479
DICHLORODIFLUOROMETHANE	26	0	0	20,600	16	0	20,616	793
LEAD COMPOUNDS	26	1,301	89,660	1,153,211	1,087,669	0	2,331,841	89,686
MANGANESE COMPOUNDS	26	1,446	1,694,840	7,300	2,206,411	0	3,909,997	150,385
HYDROGEN FLUORIDE	24	185	230,250	77,587	38,700	0	346,722	14,447
COBALT COMPOUNDS	21	3,996	13,580	8,050	10,598	0	36,224	1,725
ETHYLENE GLYCOL	20	3,951	0	30,912	2,248	13,852	50,963	2,548
TOLUENE	20	1,375	203	25,347	32,384	217,979	277,288	13,864
ANTIMONY COMPOUNDS	16	3,735	52,815	16,000	7,171	0	79,721	4,983
XYLENE (MIXED ISOMERS)	16	0	73	250	5,127	188,093	193,543	12,096
PHOSPHORUS (YELLOW OR RED)	14	0	1	0	26,000	0	26,001	1,857
PROPYLENE	14	0	0	0	0	0	0	0
AMMONIUM NITRATE	13	1,923,495	160	603,440	0	0	2,527,095	194,392
AMMONIUM SULFATE	11	6,506,733	8,247	0	6,092	0	6,521,072	592,825
CARBONYL SULFIDE	11	0	0	0	0	0	0	0
GLYCOL ETHERS	11	628	506	0	37,387	13,405	51,926	4,721
TITANIUM TETRACHLORIDE	11	0	16	0	489	86	591	54
ETHYLENE OXIDE	10	0	0	0	0	0	0	0
COPPER	9	46	938,477	0	55,261	0	993,784	110,420
MOLYBDENUM TRIOXIDE	9	7,652	52,424	61,220	0	0	121,296	13,477
FORMALDEHYDE	8	255	250	0	362	0	867	108
ZINC (FUME OR DUST)	8	250	1,710	250	0	0	2,210	276
ARSENIC COMPOUNDS	7	5	59,900	250	904	0	61,059	8,723
MERCURY	7	0	3,486	5,222	1,010	0	9,718	1,388
1,1,1-TRICHLOROETHANE	7	0	0	250	5	0	255	36
ASBESTOS (FRIABLE)	6	0	33,070	0	0	0	33,070	5,512
CARBON TETRACHLORIDE	6	0	1,400	0	34,107	0	35,507	5,918
CHROMIUM	6	0	48,930	2,763	37,765	0	89,458	14,910
DICHLOROTETRAFLUOROETHANE	6	0	0	0	0	0	0	0
ETHYLENE	6	0	0	0	0	0	0	0
METHYL ETHYL KETONE	6	0	92	0	443	33,567	34,102	5,684
NAPHTHALENE	6	0	48	0	880	250	1,178	196
CADMIUM COMPOUNDS	5	35	585	5	4,061	0	4,686	937
DICHLOROMETHANE	5	0	0	5,147	5	0	5,152	1,030
DIETHANOLAMINE	5	450	0	0	0	0	450	90
ACETONITRILE	4	0	0	0	5	22,239	22,244	5,561

**Exhibit 12 (cont.): 1993 Transfers for Inorganic Chemical Manufacturing Facilities in TRI, by Number of Facilities Reporting**  
**(Transfers reported in pounds/year)**

CHEMICAL NAME	# REPORTING CHEMICAL	POTW DISCHARGES	DISPOSAL	RECYCLING	TREATMENT	ENERGY RECOVERY	TOTAL TRANSFERS	AVG. TRANSFERS PER FACILITY
CARBON DISULFIDE	4	250	10	0	0	500	760	190
CHLOROFORM	4	0	7,700	0	72,311	0	80,011	20,003
CYANIDE COMPOUNDS	4	1	0	0	4	0	5	1
NICKEL	4	97	505	23,670	0	0	24,272	6,068
PHTHALIC ANHYDRIDE	4	5	0	0	5	2,412	2,422	606
SELENIUM COMPOUNDS	4	2	27	0	295	0	324	81
TRICHLOROFLUOROMETHANE	4	0	0	3,100	1,705	0	4,805	1,201
1,2,4-TRIMETHYLBENZENE	4	0	0	0	1,854	3,188	5,042	1,261
ALUMINUM (FUME OR DUST)	3	0	0	0	0	0	0	0
BENZENE	3	0	0	0	5	0	5	2
ETHYLBENZENE	3	0	0	0	5	0	5	2
FREON 113	3	0	0	0	1,500	0	1,500	500
HYDRAZINE	3	0	0	0	0	0	0	0
MANGANESE	3	0	183,412	0	0	0	183,412	61,137
MONOCHLOROPENTAFLUOROE	3	0	0	0	0	0	0	0
TRICHLOROETHYLENE	3	0	0	0	1,305	0	1,305	435
ACETALDEHYDE	2	0	0	0	0	0	0	0
ACRYLONITRILE	2	0	0	0	5	0	5	3
CHLORINE DIOXIDE	2	130	0	0	0	0	130	65
COBALT	2	4	5	2,300	0	0	2,309	1,155
CRESOL (MIXED ISOMERS)	2	0	0	0	5	0	5	3
CYCLOHEXANE	2	0	0	0	5	0	5	3
HYDROQUINONE	2	500	0	0	0	0	500	250
LEAD	2	0	750	0	66	0	816	408
METHYL ISOBUTYL KETONE	2	0	0	0	0	820	825	413
METHYL TERT-BUTYL ETHER	2	0	0	0	5	0	5	3
PHENOL	2	0	0	0	5	0	5	3
SILVER COMPOUNDS	2	5	5	0	0	0	10	5
TETRACHLOROETHYLENE	2	0	0	0	0	0	0	0
ACRYLIC ACID	1	0	0	0	5	0	5	5
ARSENIC	1	0	0	0	70,761	0	70,761	70,761
BARIUM	1	0	26,217	0	0	0	26,217	26,217
BROMOTRIFLUOROMETHANE	1	0	0	0	0	0	0	0
CAPTAN	1	0	0	0	0	0	0	0
CHLOROBENZENE	1	0	0	0	5	0	5	5
CHLOROETHANE	1	0	0	0	0	0	0	0
CHLOROMETHANE	1	0	0	0	0	0	0	0
CREOSOTE	1	0	0	0	5	0	5	5
CUMENE	1	0	0	0	1,000	0	1,000	1,000
DI(2-ETHYLHEXYL)	1	0	0	0	5	0	5	5
DIBUTYL PHTHALATE	1	0	0	0	5	0	5	5
DIMETHYL PHTHALATE	1	0	0	0	0	0	0	0
DIMETHYL SULFATE	1	0	0	0	0	0	0	0
ETHYL ACRYLATE	1	0	0	0	5	0	5	5
HYDROGEN CYANIDE	1	0	0	0	0	0	0	0
ISOPROPYL ALCOHOL	1	0	0	0	5	0	5	5
MALEIC ANHYDRIDE	1	0	0	0	5	0	5	5
MERCURY COMPOUNDS	1	5	1,525	0	5	0	1,535	1,535

**Exhibit 12 (cont.): 1993 Transfers for Inorganic Chemical Manufacturing Facilities in TRI, by Number of Facilities Reporting  
(Transfers reported in pounds/year)**

CHEMICAL NAME	# REPORTING CHEMICAL	POTW DISCHARGES	DISPOSAL	RECYCLING	TREATMENT	ENERGY RECOVERY	TOTAL TRANSFERS	AVG. TRANSFERS PER FACILITY
METHYL METHACRYLATE	1	0	0	0	5	0	5	5
N-BUTYL ALCOHOL	1	0	0	0	5	0	5	5
O-XYLENE	1	0	0	0	0	0	0	0
P-XYLENE	1	0	0	0	0	0	0	0
PERACETIC ACID	1	0	0	0	110	0	110	110
POLYCHLORINATED	1	0	0	0	0	0	0	0
SELENIUM	1	0	0	0	1,450	0	1,450	1,450
SILVER	1	0	0	1,011	0	0	1,011	1,011
STYRENE	1	0	0	0	5	0	5	5
THIOUREA	1	0	0	0	0	0	0	0
VINYL ACETATE	1	0	0	0	0	0	0	0
VINYL CHLORIDE	1	0	0	0	0	0	0	0
1,1,2-TRICHLOROETHANE	1	0	0	0	5	0	5	5
1,2-DICHLOROETHANE	1	0	0	0	0	0	0	0
1,2,4-TRICHLOROBENZENE	1	0	0	0	0	0	0	0
1,4-DIOXANE	1	0	0	0	0	0	0	0
TOTAL	555	30,622,040	10,087,743	4,994,483	21,958,678	2,383,524	70,046,468	126,210

The TRI database contains a detailed compilation of self-reported, facility-specific chemical releases. The top reporting facilities for this sector are listed below. Facilities that have reported only the SIC codes covered under this notebook appear on the first list. The second list contains additional facilities that have reported the SIC code covered within this report, and one or more SIC codes that are not within the scope of this notebook. Therefore, the second list includes facilities that conduct multiple operations -- some that are under the scope of this notebook, and some that are not. Currently, the facility-level data do not allow pollutant releases to be broken apart by industrial process.

<b>Exhibit 13: Top 10 TRI Releasing Inorganic Chemicals Facilities<sup>b</sup></b>		
<b>Rank</b>	<b>Facility</b>	<b>Total TRI Releases in Pounds</b>
1	Du Pont Delisle Plant - Pass Christian, MS	58,875,734
2	Du Pont Johnsonville Plant - New Johnsonville, TN	51,215,700
3	Cabot Corp. Cab-O-Sil Div. - Tuscola, IL	13,926,440
4	American Chrome & Chemicals Inc. - Corpus Christi, TX	12,113,360
5	Occidental Chemical Corp. - Castle Hayne, NC	6,705,795
6	Chemetals Inc. - New Johnsonville, TN	5,684,893
7	Kaiser Aluminum & Chemical Corp. - Mulberry, FL	4,876,348
8	Kerr-McGee Chemical Corp. - Henderson, NV	2,333,175
9	SCM Chemicals Americas Plant II - Ashtabula, OH	2,238,400
10	Louisiana Pigment Co. L.P. - Westlake, LA	1,465,753
Source: U.S. EPA, Toxics Release Inventory Database, 1993.		

<sup>b</sup> Being included on this list does not mean that the release is associated with non-compliance with environmental laws.

<b>Exhibit 14: Top 10 TRI Releasing Facilities Reporting Inorganic Chemical SIC Codes to TRI<sup>c</sup></b>			
<b>Rank</b>	<b>SIC Codes Reported in TRI</b>	<b>Facility</b>	<b>Total TRI Releases in Pounds</b>
1	2819, 2873, 2874	IMC-Agrico Co., Faustina Plant - Saint James, LA	127,912,967
2	2819, 2869	Cytec Industries, Inc., Fortier Plant - Westwego, LA	120,149,724
3	2819, 2874	IMC-Agrico Co., Uncle Sam Plant - Uncle Sam, LA	61,807,180
4	2816	Du Pont Delisle Plant - Pass Christian, MS	58,875,734
5	2816	Du Pont Johnsonville Plant - New Johnsonville, TN	51,215,700
6	2819, 2823	Courtaulds Fibers, Inc. - Axis, AL	42,658,865
7	2819, 2869, 2841, 2879	Monsanto Co. - Alvin, TX	40,517,095
8	2819, 2869, 2865	Sterling Chemicals, Inc. - Texas City, TX	24,709,135
9	2819, 2873, 2874	Arcadian Fertilizer L.P. - Geismar, LA	22,672,961
10	2812, 2813, 2869	Vulcan Chemicals - Wichita, KS	17,406,218

Source: U.S. EPA, Toxics Release Inventory Database, 1993.

#### IV.B. Summary of Selected Chemicals Released

The brief descriptions provided below were taken from the *1993 Toxics Release Inventory Public Data Release* (EPA, 1994), and the Hazardous Substances Data Bank (HSDB), accessed via TOXNET. TOXNET is a computer system run by the National Library of Medicine. It includes a number of toxicological databases managed by EPA, the National Cancer Institute, and the National Institute for Occupational Safety and Health.<sup>d</sup> HSDB contains chemical-specific information on manufacturing and use, chemical and physical properties, safety and handling, toxicity and biomedical effects, pharmacology, environmental fate and exposure potential, exposure standards and regulations, monitoring and analysis methods, and additional

<sup>c</sup> Being included on this list does not mean that the release is associated with non-compliance with environmental laws.

<sup>d</sup> Databases included in TOXNET are: CCRIS (Chemical Carcinogenesis Research Information System), DART (Developmental and Reproductive Toxicity Database), DBIR (Directory of Biotechnology Information Resources), EMICBACK (Environmental Mutagen Information Center Backfile), GENE-TOX (Genetic Toxicology), HSDB (Hazardous Substances Data Bank), IRIS (Integrated Risk Information System), RTECS (Registry of Toxic Effects of Chemical Substances), and TRI (Toxic Chemical Release Inventory).

references. The information contained below is based upon exposure assumptions that have been conducted using standard scientific procedures. The effects listed below must be taken in context of these exposure assumptions that are more fully explained within the full chemical profiles in HSDB. For more information on TOXNET, contact the TOXNET help line at 800-231-3766.

*Hydrochloric Acid* (CAS: 7647-01-1)

**Sources.** Hydrochloric acid is one of the highest volume chemicals produced by the inorganic chemical industry. Some of its more common uses are as a pickling liquor and metal cleaner in the iron and steel industry, as an activator of petroleum wells, as a boiler scale remover, and as a neutralizer of caustic waste streams. The largest release of hydrochloric acid by the inorganic chemical industry is in the form of underground injection of spent hydrochloric acid used to manufacture chlorosulfonic acid and other products.<sup>57</sup>

**Toxicity.** Hydrochloric acid is primarily a concern in its aerosol form. Acid aerosols have been implicated in causing and exacerbating a variety of respiratory ailments. Dermal exposure and ingestion of highly concentrated hydrochloric acid can result in corrosivity.

Ecologically, accidental releases of solution forms of hydrochloric acid may adversely affect aquatic life by including a transient lowering of the pH (i.e., increasing the acidity) of surface waters.

**Carcinogenicity.** There is currently no evidence to suggest that this chemical is carcinogenic.

**Environmental Fate.** Releases of hydrochloric acid to surface waters and soils will be neutralized to an extent due to the buffering capacities of both systems. The extent of these reactions will depend on the characteristics of the specific environment.

**Physical Properties.** Concentrated hydrochloric acid is highly corrosive.

*Chromium and Chromium Compounds* (CAS: 7440-47-3; 20-06-4)

**Sources.** Chrome pigments, chromates, chromic acid, chromium salts, and other inorganic chromium compounds are some of the larger volume products of the inorganic chemicals industry. Chrome is used as a plating element for metal and plastics to prevent corrosion, and as a constituent of certain steels and inorganic pigments. Most chromium wastes released to the environment

by the inorganic chemicals industry are land disposed in the form of chromium containing sludges.

**Toxicity.** Although the naturally-occurring form of chromium metal has very low toxicity, chromium from industrial emissions is highly toxic due to strong oxidation characteristics and cell membrane permeability. The majority of the effects detailed below are based on Chromium VI (an isomer that is more toxic than Cr III). Exposure to chromium metal and insoluble chromium salts affects the respiratory system. Inhalation exposure to chromium and chromium salts may cause severe irritation of the upper respiratory tract and scarring of lung tissue. Dermal exposure to chromium and chromium salts can also cause sensitive dermatitis and skin ulcers.

Ecologically, although chromium is present in small quantities in all soils and plants, it is toxic to plants at higher soil concentrations (i.e., 0.2 to 0.4 percent in soil).

**Carcinogenicity.** Different sources disagree on the carcinogenicity of chromium. Although an increased incidence in lung cancer among workers in the chromate-producing industry has been reported, data are inadequate to confirm that chromium is a human carcinogen. Other sources consider chromium VI to be a known human carcinogen based on inhalation exposure.

**Environmental Fate.** Chromium is a non-volatile metal with very low solubility in water. If applied to land, most chromium remains in the upper five centimeters of soil. Most chromium in surface waters is present in particulate form as sediment. Airborne chromium particles are relatively unreactive and are removed from the air through wet and dry deposition. The precipitated chromium from the air enters surface water or soil. Chromium bioaccumulates in plants and animals, with an observed bioaccumulation factor of 1,000,000 in snails.

#### Carbonyl Sulfide (CAS: 463-58-1)

**Sources.** Carbonyl sulfide is the largest volume chemical released to the air by the inorganic chemicals industry. Carbonyl sulfide is primarily generated by a relatively small number of facilities hydrolyzing ammonium or potassium thiocyanate during the manufacturing of inorganic pigments and dyes.<sup>58</sup>

**Toxicity.** Exposure to low to moderate concentrations of carbonyl sulfide causes eye and skin irritation and adverse central nervous system effects such as giddiness, headache, vertigo, amnesia, confusion, and unconsciousness. If ingested, gastrointestinal effects include profuse salivation, nausea, vomiting and diarrhea. Moderate carbonyl sulfide poisoning also causes rapid breathing and heartbeat, sweating, weakness, and muscle cramps. Exposure to very



high concentrations of carbonyl sulfide causes sudden collapse, unconsciousness, and death from sudden respiratory paralysis. Recovery from sublethal exposure is slow, but generally complete. Degradation products of carbonyl sulfide (especially hydrogen sulfide) can result in toxic symptoms and death.

**Carcinogenicity.** There is currently no evidence to suggest that this chemical is carcinogenic.

**Environmental Fate.** If released to soil or surface waters, carbonyl sulfide will rapidly volatilize. It is not expected to adsorb to soil sediments or organic matter nor is it expected to bioconcentrate in fish and aquatic organisms. Carbonyl sulfide is hydrolyzed in water to carbon dioxide and hydrogen sulfide. Carbonyl sulfide is expected to have a long residence time in the atmosphere. Atmospheric removal of carbonyl sulfide may occur by slow reactions with other gases, and may also occur through adsorption by plants and soil microbes.

*Manganese and Manganese Compounds* (CAS: 7439-96-5; 20-12-2)

**Sources.** Manganese is both a product and chemical intermediate of the inorganic chemical industry. Manganese is used as a purifying and scavenging agent in metal production, as an intermediate in aluminum production and as a constituent of non-ferrous alloys to improve corrosion resistance and hardness.<sup>59</sup>

**Toxicity.** There is currently no evidence that human exposure to manganese at levels commonly observed in ambient atmosphere results in adverse health effects. However, recent EPA review of the fuel additive MMT (methylcyclopentadienyl manganese tricarbonyl) concluded that use of MMT in gasoline could lead to ambient exposures to manganese at a level sufficient to cause adverse neurological effects in humans.

Chronic manganese poisoning bears some similarity to chronic lead poisoning. Occurring via inhalation of manganese dust or fumes, it primarily involves the central nervous system. Early symptoms include languor, speech disturbances, sleepiness, and cramping and weakness in legs. A stolid mask-like appearance of face, emotional disturbances such as absolute detachment broken by uncontrollable laughter, euphoria, and a spastic gait with a tendency to fall while walking are seen in more advanced cases. Chronic manganese poisoning is reversible if treated early and exposure stopped. Populations at greatest risk of manganese toxicity are the very young and those with iron deficiencies.

Ecologically, although manganese is an essential nutrient for both plants and animals, in excessive concentrations manganese inhibits plant growth.

**Carcinogenicity.** There is currently no evidence to suggest that this chemical is carcinogenic.

**Environmental Fate.** Manganese is an essential nutrient for plants and animals. As such, manganese accumulates in the top layers of soil or surface water sediments and cycles between the soil and living organisms. It occurs mainly as a solid under environmental conditions, though may also be transported in the atmosphere as a vapor or dust

*Ammonia* (CAS: 7664-41-7)

**Sources.** Ammonia is used in many chemical manufacturing processes and is the building block for all synthetic nitrogen products. Its prevalence and its volatile and water soluble characteristics allow it to be readily released to the air and water. In the inorganic chemical manufacturing industry, ammonia can be either a feedstock or a by-product. Some of the more common inorganic chemical industry processes using or producing ammonia include the manufacturing of: ammonium chloride, ammonium hydroxide, ammonium thiosulfate, ammonium nitrate, hydrazine, and hydrogen cyanide.

**Toxicity.** Anhydrous ammonia is irritating to the skin, eyes, nose, throat, and upper respiratory system. Ecologically, ammonia is a source of nitrogen (an essential element for aquatic plant growth), and may therefore contribute to eutrophication of standing or slow-moving surface water, particularly in nitrogen-limited waters such as the Chesapeake Bay. In addition, aqueous ammonia is moderately toxic to aquatic organisms.

**Carcinogenicity.** There is currently no evidence to suggest that this chemical is carcinogenic.

**Environmental Fate.** Ammonia combines with sulfate ions in the atmosphere and is washed out by rainfall, resulting in rapid return of ammonia to the soil and surface waters. Ammonia is a central compound in the environmental cycling of nitrogen. Ammonia in lakes, rivers, and streams is converted to nitrate.

**Physical Properties.** Ammonia is a corrosive and severely irritating gas with a pungent odor.

#### IV.C. Other Data Sources

In addition to chemicals covered under TRI, many other chemicals are released. For example, the EPA Office of Air Quality Planning and Standards has compiled air pollutant emission factors for determining the total air emissions of priority pollutants (e.g., VOCs, SO<sub>x</sub>, NO<sub>x</sub>, CO, particulates) from many chemical industry sources.

The EPA Office of Air's Aerometric Information Retrieval System (AIRS) contains a wide range of information related to stationary sources of air pollution, including the emissions of a number of air pollutants which may be of concern within a particular industry. With the exception of volatile organic compounds (VOCs), there is little overlap with the TRI chemicals reported above. Exhibit 15 summarizes annual releases of carbon monoxide (CO), nitrogen dioxide (NO<sub>2</sub>), particulate matter of 10 microns or less (PM<sub>10</sub>), total particulate (PT), sulfur dioxide (SO<sub>2</sub>) and volatile organic compounds (VOCs).

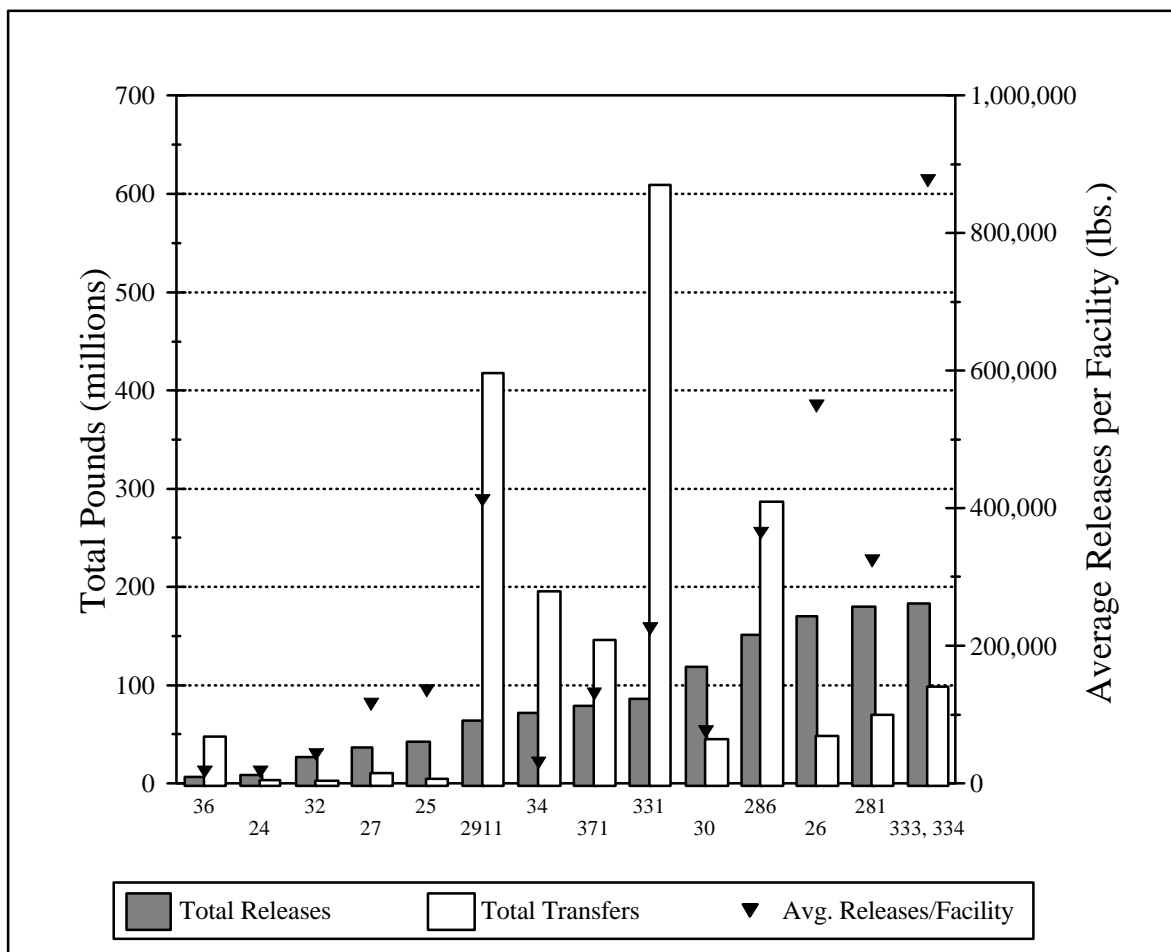
<b>Exhibit 15: Pollutant Releases (short tons/year)</b>						
<b>Industry Sector</b>	<b>CO</b>	<b>NO<sub>2</sub></b>	<b>PM<sub>10</sub></b>	<b>PT</b>	<b>SO<sub>2</sub></b>	<b>VOC</b>
Metal Mining	5,391	28,583	39,359	140,052	84,222	1,283
Nonmetal Mining	4,525	28,804	59,305	167,948	24,129	1,736
Lumber and Wood Production	123,756	42,658	14,135	63,761	9,419	41,423
Furniture and Fixtures	2,069	2,981	2,165	3,178	1,606	59,426
Pulp and Paper	624,291	394,448	35,579	113,571	541,002	96,875
Printing	8,463	4,915	399	1,031	1,728	101,537
<b>Inorganic Chemicals</b>	<b>166,147</b>	<b>103,575</b>	<b>4,107</b>	<b>39,062</b>	<b>182,189</b>	<b>52,091</b>
Organic Chemicals	146,947	236,826	26,493	44,860	132,459	201,888
Petroleum Refining	419,311	380,641	18,787	36,877	648,155	369,058
Rubber and Misc. Plastics	2,090	11,914	2,407	5,355	29,364	140,741
Stone, Clay and Concrete	58,043	338,482	74,623	171,853	339,216	30,262
Iron and Steel	1,518,642	138,985	42,368	83,017	238,268	82,292
Nonferrous Metals	448,758	55,658	20,074	22,490	373,007	27,375
Fabricated Metals	3,851	16,424	1,185	3,136	4,019	102,186
Computer and Office Equipment	24	0	0	0	0	0
Electronics and Other Electrical Equipment and Components	367	1,129	207	293	453	4,854
Motor Vehicles, Bodies, Parts and Accessories	35,303	23,725	2,406	12,853	25,462	101,275
Dry Cleaning	101	179	3	28	152	7,310
Source: U.S. EPA Office of Air and Radiation, AIRS Database, May 1995.						

#### IV.D. Comparison of Toxic Release Inventory Between Selected Industries

The following information is presented as a comparison of pollutant release and transfer data across industrial categories. It is provided to give a general sense as to the relative scale of releases and transfers within each sector profiled under this project. Please note that the following figure and table do not contain releases and transfers for industrial categories that are not included in this project, and thus cannot be used to draw conclusions regarding the total release and transfer amounts that are reported to TRI. Similar information is available within the annual TRI Public Data Release Book.

Exhibit 16 is a graphical representation of a summary of the 1993 TRI data for the inorganic chemicals industry and the other sectors profiled in separate notebooks. The bar graph presents the total TRI releases and total transfers on the left axis and the triangle points show the average releases per facility on the right axis. Industry sectors are presented in the order of increasing total TRI releases. The graph is based on the data shown in Exhibit 17 and is meant to facilitate comparisons between the relative amounts of releases, transfers, and releases per facility both within and between these sectors. The reader should note, however, that differences in the proportion of facilities captured by TRI exist between industry sectors. This can be a factor of poor SIC matching and relative differences in the number of facilities reporting to TRI from the various sectors. In the case of the inorganic chemicals, the 1993 TRI data presented here covers 555 facilities. These facilities listed SIC 2812-2819 (inorganic chemicals) as a primary SIC code.

**Exhibit 16: Summary of 1993 TRI Data:  
Releases and Transfers by Industry**



SIC Range	Industry Sector	SIC Range	Industry Sector	SIC Range	Industry Sector
36	Electronic Equipment and Components	2911	Petroleum Refining	286	Organic Chemical Mfg.
24	Lumber and Wood Products	34	Fabricated Metals	26	Pulp and Paper
32	Stone, Clay, and Concrete	371	Motor Vehicles, Bodies, Parts, and Accessories	281	Inorganic Chemical Mfg.
27	Printing	331	Iron and Steel	333,334	Nonferrous Metals
25	Wood Furniture and Fixtures	30	Rubber and Misc. Plastics		

Exhibit 17: Toxics Release Inventory Data for Selected Industries

Industry Sector	SIC Range	# TRI Facilities	1993 TRI Releases		1993 TRI Transfers		Total Releases + Transfers (million lbs.)	Average Releases + Transfers per Facility (pounds)	
			Total Releases (million lbs.)	Average Releases per Facility (pounds)	Total Transfers (million lbs.)	Average Transfers per Facility (pounds)			
Stone, Clay, and Concrete	32	634	26.6	42,000	2.2	4,000	28.8	46,000	
Lumber and Wood Products	24	491	8.4	17,000	3.5	7,000	11.9	24,000	
Furniture and Fixtures	25	313	42.2	135,000	4.2	13,000	46.4	148,000	
Printing	2711-2789	318	36.5	115,000	10.2	32,000	46.7	147,000	
Electronic Equip. and Components	36	406	6.7	17,000	47.1	116,000	53.7	133,000	
Rubber and Misc. Plastics	30	1,579	118.4	75,000	45	29,000	163.4	104,000	
Motor Vehicles, Bodies, Parts, and Accessories	371	609	79.3	130,000	145.5	239,000	224.8	369,000	
Pulp and Paper	2611-2631	309	169.7	549,000	48.4	157,000	218.1	706,000	
<b>Inorganic Chem. Mfg.</b>	<b>2812-2819</b>	<b>555</b>	<b>179.6</b>	<b>324,000</b>	<b>70</b>	<b>126,000</b>	<b>249.7</b>	<b>450,000</b>	
Petroleum Refining	2911	156	64.3	412,000	417.5	2,676,000	481.9	3,088,000	
Fabricated Metals	34	2,363	72	30,000	195.7	83,000	267.7	123,000	
Iron and Steel	331	381	85.8	225,000	609.5	1,600,000	695.3	1,825,000	
Nonferrous Metals	333, 334	208	182.5	877,000	98.2	472,000	280.7	1,349,000	
Organic Chemical Mfg.	2861-2869	417	151.6	364,000	286.7	688,000	438.4	1,052,000	
Metal Mining	10		Industry sector not subject to TRI reporting.						
Nonmetal Mining	14		Industry sector not subject to TRI reporting.						
Dry Cleaning	7216		Industry sector not subject to TRI reporting.						

Source: U.S. EPA, Toxics Release Inventory Database, 1993.

## V. POLLUTION PREVENTION OPPORTUNITIES

The best way to reduce pollution is to prevent it in the first place. Some companies have creatively implemented pollution prevention techniques that improve efficiency and increase profits while at the same time minimize environmental impacts. This can be done in many ways such as reducing material inputs, re-engineering processes to reuse by-products, improving management practices, and employing substitute toxic chemicals. Some smaller facilities are able to actually get below regulatory thresholds just by reducing pollutant releases through aggressive pollution prevention policies.

In order to encourage these approaches, this section provides both general and company-specific descriptions of some pollution prevention advances that have been implemented within the inorganic chemical manufacturing industry. While the list is not exhaustive, it does provide core information that can be used as the starting point for facilities interested in beginning their own pollution prevention projects. When possible, this section provides information from real activities that can, or are being implemented by this sector -- including a discussion of associated costs, time frames, and expected rates of return. This section also provides the context (in terms of type of industry and/or type of process affected) in which the pollution prevention technique can effectively be used.

There have been numerous cases where the chemical industry has simultaneously reduced pollutant outputs and operating costs through pollution prevention techniques. In the inorganic chemicals manufacturing sector, however, economically viable pollution prevention opportunities are not as easily identified as in other sectors. The relatively small size and limited resources of a typical inorganic chemical facility limits the number of feasible pollution prevention options. The limited resources available to the industry eliminates many pollution prevention options that require significant capital expenditures such as process modifications and process redesign. In addition, the inorganic chemicals industry's products are primarily commodity chemicals for which the manufacturing processes have been developed over many years. Commodity chemical manufacturers redesign their processes infrequently so that redesign of the reaction process or equipment is unlikely in the short term. In addition, the industry's process equipment has been amortized over long periods of time making cost-effective process equipment improvements scarce. As a result, pollution prevention in the inorganic chemicals industry is somewhat restricted to the less costly options, such as minor process modifications, operational changes, raw material substitutions, and recycling.

Pollution prevention in the chemical industry is process specific. As such it is difficult to generalize about the relative merits of different pollution prevention strategies. The age and size of the facility, and the type and



number of its processes will determine the most effective pollution prevention strategy. Brief descriptions of some of the more widespread, general pollution prevention techniques found to be effective at inorganic chemicals facilities are provided below. Many of these pollution prevention opportunities can be applied to the petrochemical industry as a whole due to the many similar processes found throughout the industry. It should be noted that many of the ideas identified below as pollution prevention opportunities, aimed at reducing wastes and reducing materials use, have been carried out by the chemicals manufacturing industry for many years as the primary means of improving process efficiencies and increasing profits.

In chlor-alkali production, pollution prevention options have been demonstrated for both the mercury cell and diaphragm cell processes; however, the best opportunity to reduce pollutant outputs, conserve energy, and reduce costs in the chlor-alkali industry are in the conversion to the membrane cell process. In terms of energy consumption, the membrane cell process uses only about 77 percent of that of the mercury cell process and about 90 percent of that of the diaphragm cell process. The membrane cell process also generates significantly less airborne and waterborne pollutants and solid wastes (see Section III.B. - Raw Material Inputs and Pollution Outputs).

**Substitute raw materials.** The substitution or elimination of some of the raw materials used in the manufacturing of inorganic chemicals can result in substantial waste reductions and cost savings. Because impurities in the feed stream can be a major contributor to waste generation, one of the most common substitutions is to use a higher purity feedstock. This can be accomplished either by working with suppliers to get a higher quality feed or by installing purification equipment. Raw materials can also be substituted with less toxic and less water soluble materials to reduce water contamination, and with less volatile materials to reduce fugitive emissions. Sometimes certain raw materials can be eliminated all together. The need for raw materials that end up as wastes should be reexamined to determine if raw materials can be eliminated by modifying the process and improving control.

**Improve reactor efficiencies.** Since the chemical products are primarily created inside the process reactor, it can be the primary source for waste (off-spec) materials. One of the most important parameters dictating the reactor efficiency is the quality of mixing. A number of techniques can be used to improve mixing, such as installing baffles in the reactor, a higher rpm motor for the agitator, a different mixing blade design, multiple impellers, and pump recirculation. The method used to introduce feed to the reactor can also have an effect on the quality of mixing. A feed distributor can be added to equalize residence time through the reactor, and feed streams can be added at a point

in time closer to the ideal reactant concentration. This will avoid secondary reactions which form unwanted by-products.

**Improve catalyst.** The catalyst plays a critical role in the effectiveness of chemical conversion in the reactor. Alternative chemical makeups and physical characteristics can lead to substantial improvements in the effectiveness and life of a catalyst. Different catalysts can also eliminate by-product formation. Noble metal catalysts can replace heavy metal catalysts to eliminate wastewater contaminated with heavy metals. The consumption of catalysts can be reduced by using a more active form and emissions and effluents generated during catalyst activation can be eliminated by obtaining the catalyst in the active form.

**Optimize processes.** Process changes that optimize reactions and raw materials use can reduce waste generation and releases. Many larger facilities are using computer controlled systems which analyze the process continuously and respond more quickly and accurately than manual control systems. These systems are often capable of automatic startups, shutdowns, and product changeover which can bring the process to stable conditions quickly, minimizing the generation of off-spec wastes. Other process optimization techniques include: equalizing the reactor and storage tank vent lines during batch filling to minimize vent gas losses; sequencing the addition of reactants and reagents to optimize yields and lower emissions; and optimizing sequences to minimize washing operations and cross-contamination of subsequent batches.

**Reduce heat exchanger wastes and inefficiencies.** Heat exchangers are often the source of significant off-spec product wastes generated by overheating the product closest to the tube walls. The best way to reduce off-spec product from overheating is by reducing the heat exchanger tube wall temperature. This can be accomplished through a number of techniques which do not reduce the overall heat transferred such as: reducing the tube wall temperature and increasing the effective surface area of the heat exchanger; using staged heating by first heating with waste heat, then low pressure steam, followed by superheated high pressure steam; monitor and prevent fouling of the heat exchanger tubes so that lower temperature heat sources can be used; using noncorroding tubes which will foul less quickly than tubes that corrode.

**Improve wastewater treatment and recycling.** A large portion of the inorganic chemical industry's pollutants leave the facilities as wastewater or wastewater treatment system sludge. Improved treatment and minimization of wastewater are effective pollution prevention opportunities that often do not require significant changes to the industrial processes. Modern wastewater treatment technologies such as ion exchange, electrolytic cells,

reverse osmosis, and improved distillation, evaporation, and dewatering can often be added to existing treatment systems. Wastewater streams containing acids or metals can be concentrated enough to be sold commercially as a product by slightly altering the manufacturing process, adding processing steps, and segregating wastewater streams. Furthermore, many wastewater streams can be reused within the same or different processes, significantly reducing discharges to the wastewater treatment system. An ion exchange system installed in a mercury cell chlor-alkali plant reduced mercury by 99 percent in the facility's effluent. An inorganic chemicals plant making photochemistry solution generated a wastewater containing silver. Electrolytic cells were installed that recovered 98 percent of the silver and an evaporator was added that concentrated the remaining liquid for disposal resulting in a 90 percent reduction in waste volume.

**Prevent leaks and spills.** The elimination of sources of leaks and spills can be a very cost effective pollution prevention opportunity. Leaks and spills can be prevented by installing seamless pumps and other "leakless" components, maintaining a preventative maintenance program, and maintaining a leak detection program.

**Improve inventory management and storage.** Good inventory management can reduce the generation of wastes by preventing materials from exceeding their shelf life, preventing materials from being left over or not needed, and reducing the likelihood of accidental releases of stored material. Designating a materials storage area, limiting traffic through the area, and giving one person the responsibility to maintain and distribute materials can reduce materials use, and the contamination and dispersal of materials.

Exhibit 18 summarizes the above pollution prevention opportunities and provides additional examples provided by the Chemical Manufacturers Association.

<b>Exhibit 18: Process/Product Modifications Create Pollution Prevention Opportunities</b>		
<b>Area</b>	<b>Potential Problem</b>	<b>Possible Approach</b>
<p><b>Byproducts Coproducts</b></p> <p><i>Quantity and Quality</i></p> <p><i>Uses and Outlets</i></p>	<p><b>P</b> Process inefficiencies result in the generation of undesired by-products and co-products. Inefficiencies will require larger volumes of raw materials and result in additional secondary products. Inefficiencies can also increase fugitive emissions and wastes generated through material handling.</p> <p><b>P</b> By-products and co-products are not fully utilized, generating material or waste that must be managed.</p>	<p><b>P</b> Increase product yield to reduce by-product and co-product generation and raw material requirements.</p> <p><b>P</b> Identify uses and develop a sales outlet. Collect information necessary to firm up a purchase commitment such as minimum quality criteria, maximum impurity levels that can be tolerated, and performance criteria.</p>
<p><b>Catalysts</b></p> <p><i>Composition</i></p> <p><i>Preparation and Handling</i></p>	<p><b>P</b> The presence of heavy metals in catalysts can result in contaminated process wastewater from catalyst handling and separation. These wastes may require special treatment and disposal procedures or facilities. Heavy metals can be inhibitory or toxic to biological wastewater treatment units. Sludge from wastewater treatment units may be classified as hazardous due to heavy metals content. Heavy metals generally exhibit low toxicity thresholds in aquatic environments and may bioaccumulate.</p> <p><b>P</b> Emissions or effluents are generated with catalyst activation or regeneration.</p> <p><b>P</b> Catalyst attrition and carry over into product requires de-ashing facilities which are a likely source of wastewater and solid waste.</p>	<p><b>P</b> Catalysts comprised of noble metals, because of their cost, are generally recycled by both onsite and offsite reclaimers.</p> <p><b>P</b> Obtain catalyst in the active form.</p> <p><b>P</b> Provide in situ activation with appropriate processing/activation facilities.</p> <p><b>P</b> Develop a more robust catalyst or support.</p>

<b>Exhibit 18 (cont.): Process/Product Modifications Create Pollution Prevention Ops.</b>		
<b>Area</b>	<b>Potential Problem</b>	<b>Possible Approach</b>
<p><b>Catalysts (cont'd)</b></p> <p><i>Preparation and Handling (cont')</i></p> <p><i>Effectiveness</i></p>	<p>P Catalyst is spent and needs to be replaced.</p> <p>P Pyrophoric catalyst needs to be kept wet, resulting in liquid contaminated with metals.</p> <p>P Short catalyst life.</p> <p>P Catalyzed reaction has by-product formation, incomplete conversion and less-than-perfect yield.</p> <p>P Catalyzed reaction has by-product formation, incomplete conversion and less-than perfect yield.</p>	<p>P In situ regeneration eliminates unloading/loading emissions and effluents versus offsite regeneration or disposal.</p> <p>P Use a nonpyrophoric catalyst. Minimize amount of water required to handle and store safely.</p> <p>P Study and identify catalyst deactivation mechanisms. Avoid conditions which promote thermal or chemical deactivation. By extending catalyst life, emissions and effluents associated with catalyst handling and regeneration can be reduced.</p> <p>P Reduce catalyst consumption with a more active form. A higher concentration of active ingredient or increased surface area can reduce catalyst loadings.</p> <p>P Use a more selective catalyst which will reduce the yield of undesired by-products.</p> <p>P Improve reactor mixing/contacting to increase catalyst effectiveness.</p> <p>P Develop a thorough understanding of reaction to allow optimization of reactor design. Include in the optimization, catalyst consumption and by-product yield.</p>
<p><b>Intermediate Products</b></p> <p><i>Quantity and Quality</i></p>	<p>P Intermediate reaction products or chemical species, including trace levels of toxic constituents, may contribute to process waste under both normal and upset conditions.</p> <p>P Intermediates may contain toxic constituents or have characteristics that are harmful to the environment.</p>	<p>P Modify reaction sequence to reduce amount or change composition of intermediates.</p> <p>P Modify reaction sequence to change intermediate properties.</p> <p>P Use equipment design and process control to reduce releases.</p>

<b>Exhibit 18 (cont.): Process/Product Modifications Create Pollution Prevention Ops.</b>		
<b>Area</b>	<b>Potential Problem</b>	<b>Possible Approach</b>
<b>Process Conditions/ Configuration</b>  <i>Temperature</i>	<p>P High heat exchange tube temperatures cause thermal cracking/decomposition of many chemicals. These lower molecular weight by-products are a source of "light ends" and fugitive emissions. High localized temperature gives rise to polymerization of reactive monomers, resulting in "heavies" or "tars." such materials can foul heat exchange equipment or plug fixed-bed reactors, thereby requiring costly equipment cleaning and production outage.</p> <p>P Higher operating temperatures imply "heat input" usually via combustion which generates emissions.</p> <p>P Heat sources such as furnaces and boilers are a source of combustion emissions.</p> <p>P Vapor pressure increases with increasing temperature. Loading/unloading, tankage and fugitive emissions generally increase with increasing vapor pressure.</p>	<p>P Select operating temperatures at or near ambient temperature whenever possible.</p> <p>P Use lower pressure steam to lower temperatures.</p> <p>P Use intermediate exchangers to avoid contact with furnace tubes and walls.</p> <p>P Use staged heating to minimize product degradation and unwanted side reactions.</p> <p>P Use a super heat of high-pressure steam in place of furnace.</p> <p>P Monitor exchanger fouling to correlate process conditions which increase fouling, avoid conditions which rapidly foul exchangers.</p> <p>P Use online tube cleaning technologies to keep tube surfaces clean to increase heat transfer.</p> <p>P Use scraped wall exchangers in viscous service.</p> <p>P Use falling film reboiler, pumped recirculation reboiler or high-flux tubes.</p> <p>P Explore heat integration opportunities (e.g., use waste heat to preheat materials and reduce the amount of combustion required.)</p> <p>P Use thermocompressor to upgrade low-pressure steam to avoid the need for additional boilers and furnaces.</p> <p>P If possible, cool materials before sending to storage.</p> <p>P Use hot process streams to reheat feeds.</p>

<b>Exhibit 18 (cont.): Process/Product Modifications Create Pollution Prevention Ops.</b>		
<b>Area</b>	<b>Potential Problem</b>	<b>Possible Approach</b>
<b>Process Conditions/ Configuration (cont'd)</b>		
<i>Temperature (cont'd)</i>		<p>P Add vent condensers to recover vapors in storage tanks or process.</p> <p>P Add closed dome loading with vapor recovery condensers.</p>
<i>Pressure</i>	<p>P Water solubility of most chemicals increases with increasing temperature.</p> <p>P Fugitive emissions from equipment.</p> <p>P Seal leakage potential due to pressure differential.</p> <p>P Gas solubility increases with higher pressures.</p>	<p>P Use lower temperature (vacuum processing).</p> <p>P Equipment operating in vacuum service is not a source of fugitives; however, leaks into the process require control when system is degassed.</p> <p>P Minimize operating pressure.</p> <p>P Determine whether gases can be recovered, compressed, and reused or require controls.</p>
<i>Corrosive Environment</i>	<p>P Material contamination occurs from corrosion products. Equipment failures result in spills, leaks, and increased maintenance costs.</p> <p>P Increased waste generation due to addition of corrosion inhibitors or neutralization.</p>	<p>P Improve metallurgy or provide coating or lining.</p> <p>P Neutralize corrosivity of materials contacting equipment.</p> <p>P Use corrosion inhibitors.</p> <p>P Improve metallurgy or provide coating or lining.</p> <p>P Improve metallurgy or provide coating or lining or operate in a less corrosive environment.</p>
<i>Batch vs. Continuous Operations</i>	<p>P Vent gas lost during batch fill.</p> <p>P Waste generated by cleaning/purging of process equipment between production batches.</p>	<p>P Equalize reactor and storage tank vent lines.</p> <p>P Recover vapors through condenser, adsorber, etc.</p> <p>P Use materials with low viscosity. Minimize equipment roughness.</p>
<b>Exhibit 18 (cont.): Process/Product Modifications Create Pollution Prevention Ops.</b>		





<p><b>Process Conditions/ Configuration (cont'd)</b></p> <p><i>Process Operation/Design</i></p>	<p>P Non-regenerative treatment systems result in increased waste versus regenerative systems.</p>	<p>P Regenerative fixed bed treating or desiccant operation (e.g., aluminum oxide, silica, activated carbon, molecular sieves, etc.) will generate less quantities of solid or liquid waste than nonregenerative units (e.g., calcium chloride or activated clay). With regenerative units though, emissions during bed activation and regeneration can be significant. Further, side reactions during activation/regeneration can give rise to problematic pollutants.</p>
<p><b>Product</b></p> <p><i>Process Chemistry</i></p> <p><i>Product Formulation</i></p>	<p>P Insufficient R&amp;D into alternative reaction pathways may miss pollution opportunities such as reducing waste or eliminating a hazardous constituent.</p> <p>P Product based on end-use performance may have undesirable environmental impacts or use raw materials or components that generate excessive or hazardous wastes.</p>	<p>P R&amp;D during process conception and laboratory studies should thoroughly investigate alternatives in process chemistry that affect pollution prevention.</p> <p>P Reformulate products by substituting different material or using a mixture of individual chemicals that meet end-use performance specifications.</p>
<p><b>Raw Materials</b></p> <p><i>Purity</i></p>	<p>P Impurities may produce unwanted by-products and waste. Toxic impurities, even in trace amounts, can make a waste hazardous and therefore subject to strict and costly regulation.</p> <p>P Excessive impurities may require more processing and equipment to meet product specifications, increasing costs and potential for fugitive emissions, leaks, and spills.</p>	<p>P Use higher purity materials.</p> <p>P Purify materials before use and reuse if practical.</p> <p>P Use inhibitors to prevent side reactions.</p> <p>P Achieve balance between feed purity, processing steps, product quality, and waste generation.</p>
<b>Exhibit 18 (cont.): Process/Product Modifications Create Pollution Prevention Ops.</b>		
<b>Area</b>	<b>Potential Problem</b>	<b>Possible Approach</b>

Raw Materials (cont'd)		
<i>Purity (cont'd)</i>	<p>P Specifying a purity greater than needed by the process increases costs and can generate more waste generation by the supplier.</p> <p>P Impurities in clean air can increase inert purges.</p> <p>P Impurities may poison catalyst prematurely resulting in increased wastes due to yield loss and more frequent catalyst replacement.</p>	<p>P Specify a purity no greater than what the process needs.</p> <p>P Use pure oxygen.</p> <p>P Install guard beds to protect catalysts.</p>
<i>Vapor Pressure</i>	<p>P Higher vapor pressures increase fugitive emissions in material handling and storage.</p> <p>P High vapor pressure with low odor threshold materials can cause nuisance odors.</p>	<p>P Use material with lower vapor pressure.</p> <p>P Use materials with lower vapor pressure and higher odor threshold.</p>
<i>Water Solubility</i>	<p>P Toxic or nonbiodegradable materials that are water soluble may affect wastewater treatment operation, efficiency, and cost.</p> <p>P Higher solubility may increase potential for surface and groundwater contamination and may require more careful spill prevention, containment, and cleanup (SPCC) plans.</p> <p>P Higher solubility may increase potential for storm water contamination in open areas.</p> <p>P Process wastewater associated with water washing or hydrocarbon/water phase separation will be impacted by containment solubility in water. Appropriate wastewater treatment will be impacted.</p>	<p>P Use less toxic or more biodegradable materials.</p> <p>P Use less soluble materials.</p> <p>P Use less soluble materials.</p> <p>P Prevent direct contact with storm water by diking or covering areas.</p> <p>P Minimize water usage.</p> <p>P Reuse wash water.</p> <p>P Determine optimum process conditions for phase separation.</p> <p>P Evaluate alternative separation technologies (coalescers, membranes, distillation, etc.)</p>

<b>Exhibit 18 (cont.): Process/Product Modifications Create Pollution Prevention Ops.</b>		
<b>Area</b>	<b>Potential Problem</b>	<b>Possible Approach</b>
<b>Raw Materials (cont'd)</b>		
<i>Toxicity</i>	<p>P Community and worker safety and health concerns result from routine and nonroutine emissions. Emissions sources include vents, equipment leaks, wastewater emissions, emergency pressure relief, etc.</p> <p>P Surges or higher than normal continuous levels of toxic materials can shock or miss wastewater biological treatment systems resulting in possible fines and possible toxicity in the receiving water.</p>	<p>P Use less toxic materials.</p> <p>P Reduce exposure through equipment design and process control. Use systems which are passive for emergency containment of toxic releases.</p> <p>P Use less toxic material.</p> <p>P Reduce spills, leaks, and upset conditions through equipment and process control.</p> <p>P Consider effect of chemicals on biological treatment; provide unit pretreatment or diversion capacity to remove toxicity.</p> <p>P Install surge capacity for flow and concentration equalization.</p>
<i>Regulatory</i>	<p>P Hazardous or toxic materials are stringently regulated. They may require enhanced control and monitoring; increased compliance issues and paperwork for permits and record keeping; stricter control for handling, shipping, and disposal; higher sampling and analytical costs; and increased health and safety costs.</p>	<p>P Use materials which are less toxic or hazardous.</p> <p>P Use better equipment and process design to minimize or control releases; in some cases, meeting certain regulatory criteria will exempt a system from permitting or other regulatory requirements.</p>
<i>Form of Supply</i>	<p>P Small containers increase shipping frequency which increases chances of material releases and waste residues from shipping containers (including wash waters).</p> <p>P Nonreturnable containers may increase waste.</p>	<p>P Use bulk supply, ship by pipeline, or use "jumbo" drums or sacks.</p> <p>P In some cases, product may be shipped out in the same containers the material supply was shipped in without washing.</p> <p>P Use returnable shipping containers or drums.</p>
<i>Handling and Storage</i>	<p>P Physical state (solid, liquid, gaseous) may raise unique environmental, safety, and health issues with unloading operations and transfer to process equipment.</p>	<p>P Use equipment and controls appropriate to the type of materials to control releases.</p>

<b>Exhibit 18 (cont.): Process/Product Modifications Create Pollution Prevention Ops.</b>		
<b>Area</b>	<b>Potential Problem</b>	<b>Possible Approach</b>
<b>Raw Materials (cont'd)</b>  <i>Handling and Storage (cont'd)</i>	<p>P Large inventories can lead to spills, inherent safety issues and material expiration.</p>	<p>P Minimize inventory by utilizing just-in-time delivery.</p>
<b>Waste Streams</b>  <i>Quantity and Quality</i>          <i>Composition</i>          <i>Properties</i>          <i>Disposal</i>	<p>P Characteristics and sources of waste streams are unknown.</p> <p>P Wastes are generated as part of the process.</p> <p>P Hazardous or toxic constituents are found in waste streams. Examples are: sulfides, heavy metals, halogenated hydrocarbons, and polynuclear aromatics.</p> <p>P Environmental fate and waste properties are not known or understood.</p> <p>P Ability to treat and manage hazardous and toxic waste unknown or limited.</p>	<p>P Document sources and quantities of waste streams prior to pollution prevention assessment.</p> <p>P Determine what changes in process conditions would lower waste generation of toxicity.</p> <p>P Determine if wastes can be recycled back into the process.</p> <p>P Evaluate whether different process conditions, routes, or reagent chemicals (e.g., solvent catalysts) can be substituted or changed to reduce or eliminate hazardous or toxic compounds.</p> <p>P Evaluate waste characteristics using the following type properties: corrosivity, ignitability, reactivity, BTU content (energy recovery), biodegradability, aquatic toxicity, and bioaccumulation potential of the waste and of its degradable products, and whether it is a solid, liquid, or gas.</p> <p>P Consider and evaluate all onsite and offsite recycle, reuse, treatment, and disposal options available. Determine availability of facilities to treat or manage wastes generated.</p>
<p>Source: Chemical Manufacturers Association. <i>Designing Pollution Prevention into the Process, Research, Development and Engineering.</i></p>		

<b>Exhibit 19: Modifications to Equipment Can Also Prevent Pollution</b>			
<b>Equipment</b>	<b>Potential Environment Problem</b>	<b>Possible Approach</b>	
		<b>Design Related</b>	<b>Operational Related</b>
Compressors, blowers, fans	P Shaft seal leaks Piston rod seal leaks Vent streams	P Seal-less designs (diaphragmatic, hermetic or magnetics)  P Design for low emissions (internal balancing, double inlet, gland eductors)  P Shaft seal designs (carbon rings, double mechanical seals, buffered seals)  P Double seal with barrier fluid vented to control device	P Preventive maintenance program
Concrete pads, floors, sumps	P Leaks to groundwater	P Water stops  P Embedded metal plates  P Epoxy sealing  P Other impervious sealing	P Reduce unnecessary purges, transfers, and sampling  P Use drip pans where necessary
Controls	P Shutdowns and Start-ups generate waste and releases	P Improve on-line controls  P On-line instrumentation  P Automatic start-up and shutdown  P On-line vibration analysis  P Use "consensus" systems (e.g., shutdown trip requires two out of three affirmative responses)	P Continuous versus batch  P Optimize on-line run time  P Optimize shutdown interlock inspection frequency  P Identify safety and environment critical instruments and equipment
Distillation	P Impurities remain in process streams	P Increase reflux ratio  P Add section to column  P Column intervals  P Change feed tray	P Change column operating conditions - reflux ratio - feed tray - temperature - pressure - etc.

<b>Exhibit 19 (cont.): Modifications to Equipment Can Also Prevent Pollution</b>			
<b>Equipment</b>	<b>Potential Environment Problem</b>	<b>Possible Approach</b>	
		<b>Design Related</b>	<b>Operational Related</b>
Distillation (cont'd)	<p>P Impurities remain in process streams (cont'd)</p> <p>P Large amounts of contaminated water condensate from stream stripping</p>	<p>P Insulate to prevent heat loss</p> <p>P Preheat column feed</p> <p>P Increase vapor line size to lower pressure drop</p> <p>P Use reboilers or inert gas stripping agents</p>	<p>P Clean column to reduce fouling</p> <p>P Use higher temperature steam</p>
General manufacturing equipment areas	<p>P Contaminated rainwater</p> <p>P Contaminated sprinkler and fire water</p> <p>P Leaks and emissions during cleaning</p>	<p>P Provide roof over process facilities</p> <p>P Segregate process sewer from storm sewer (diking)</p> <p>P Hard-pipe process streams to process sewer</p> <p>P Seal floors</p> <p>P Drain to sump</p> <p>P Route to waste treatment</p> <p>P Design for cleaning</p> <p>P Design for minimum rinsing</p> <p>P Design for minimum sludge</p> <p>P Provide vapor enclosure</p> <p>P Drain to process</p>	<p>P Return samples to process</p> <p>P Monitor stormwater discharge</p> <p>P Use drip pans for maintenance activities</p> <p>P Rinse to sump</p> <p>P Reuse cleaning solutions</p>

<b>Exhibit 19 (cont.): Modifications to Equipment Can Also Prevent Pollution</b>			
<b>Equipment</b>	<b>Potential Environment Problem</b>	<b>Possible Approach</b>	
		<b>Design Related</b>	<b>Operational Related</b>
Heat exchangers	<p>P Increased waste due to high localized temperatures</p> <p>P Contaminated materials due to tubes leaking at tube sheets</p> <p>P Furnace emissions</p>	<p>P Use intermediate exchangers to avoid contact with furnace tubes and walls</p> <p>P Use staged heating to minimize product degradation and unwanted side reactions. (waste heat &gt;&gt;low pressure steam &gt;&gt;high pressure steam)</p> <p>P Use scraped wall exchangers in viscous service</p> <p>P Using falling film reboiler, piped recirculation reboiler or high-flux tubes</p> <p>P Use lowest pressure steam possible</p> <p>P Use welded tubes or double tube sheets with inert purge. Mount vertically</p> <p>P Use super heat of high-pressure steam in place of a furnace</p>	<p>P Select operating temperatures at or near ambient temperature whenever possible. These are generally most desirable from a pollution prevention standpoint</p> <p>P Use lower pressure steam to lower temperatures</p> <p>P Monitor exchanger fouling to correlate process conditions which increase fouling, avoid conditions which rapidly foul exchangers</p> <p>P Use on-line tube cleaning techniques to keep tube surfaces clean</p> <p>P Monitor for leaks</p>
Piping	<p>P Leaks to groundwater Fugitive emissions</p>	<p>P Design equipment layout so as to minimize pipe run length</p> <p>P Eliminate underground piping or design for cathodic protection if necessary to install piping underground</p> <p>P Use welded fittings</p> <p>P Reduce number of flanges and valves</p>	<p>P Monitor for corrosion and erosion</p> <p>P Paint to prevent external corrosion</p>

<b>Exhibit 19 (cont.): Modifications to Equipment Can Also Prevent Pollution</b>			
<b>Equipment</b>	<b>Potential Environment Problem</b>	<b>Possible Approach</b>	
		<b>Design Related</b>	<b>Operational Related</b>
Piping (cont'd)	<p>P Leaks to groundwater Fugitive emissions (cont'd)</p> <p>P Releases when cleaning or purging lines</p>	<p>P Use all welded pipe</p> <p>P Use secondary containment</p> <p>P Use spiral-wound gaskets</p> <p>P Use plugs and double valves for open end lines</p> <p>P Change metallurgy</p> <p>P Use lined pipe</p> <p>P Use "pigs" for cleaning</p> <p>P Slope to low point drain</p> <p>P Use heat tracing and insulation to prevent freezing</p> <p>P Install equalizer lines</p>	<p>P Flush to product storage tank</p>
Pumps	<p>P Fugitive emissions from shaft seal leaks</p> <p>P Fugitive emissions from shaft seal leaks</p> <p>P Residual "heel" of liquid during pump maintenance</p>	<p>P Mechanical seal in lieu of packing</p> <p>P Double mechanical seal with inert barrier fluid</p> <p>P Double machined seal with barrier fluid vented to control device</p> <p>P Seal-less pump (canned motor magnetic drive)</p> <p>P Vertical pump</p> <p>P Use pressure transfer to eliminate pump</p> <p>P Low point drain on pump casing</p>	<p>P Seal installation practices</p> <p>P Monitor for leaks</p> <p>P Flush casing to process sewer for treatment</p>



<b>Exhibit 19 (cont.): Modifications to Equipment Can Also Prevent Pollution</b>			
<b>Equipment</b>	<b>Potential Environment Problem</b>	<b>Possible Approach</b>	
		<b>Design Related</b>	<b>Operational Related</b>
Pumps (cont'd)	P Injection of seal flush fluid into process stream	P Use double mechanical seal with inert barrier fluid where practical	P Increase the mean time between pump failures by: <ul style="list-style-type: none"> <li>- selecting proper seal material;</li> <li>- aligning well;</li> <li>- reducing pipe-induced stress;</li> <li>- maintaining seal lubrication</li> </ul>
Reactors	P Poor conversion or performance due to inadequate mixing	P Static mixing P Add baffles P Change impellers P Add horsepower P Add distributor	P Add ingredients with optimum sequence  P Allow proper head space in reactor to enhance vortex effect
	P Waste by-product formation	P Provide separate reactor for converting recycle streams to usable products	P Optimize reaction conditions (temperature, pressure, etc.)
Relief Valve	P Leaks	P Provide upstream rupture disc	
	P Fugitive emissions	P Vent to control or recovery device	P Monitor for leaks and for control efficiency
	P Discharge to environment from over pressure	P Pump discharges to suction of pump P Thermal relief to tanks P Avoid discharge to roof areas to prevent contamination of rainwater	P Monitor for leaks
	P Frequent relief	P Use pilot operated relief valve P Increase margin between design and operating pressure	P Reduce operating pressure P Review system performance
<b>Exhibit 19 (cont.): Modifications to Equipment Can Also Prevent Pollution</b>			

Equipment	Potential Environment Problem	Possible Approach	
		Design Related	Operational Related
Sampling	P Waste generation due to sampling (disposal, containers, leaks, fugitives, etc.)	<p>P On line in situ analyzers</p> <p>P System for return to process</p> <p>P Closed loop</p> <p>P Drain to sump</p>	<p>P Reduce number and size of samples required</p> <p>P Sample at the lowest possible temperature</p> <p>P Cool before sampling</p>
Tanks	<p>P Tank breathing and working losses</p> <p>P Leak to groundwater</p> <p>P Large waste heel</p>	<p>P Cool materials before storage</p> <p>P Insulate tanks</p> <p>P Vent to control device (flare, condenser, etc.)</p> <p>P Vapor balancing</p> <p>P Floating roof</p> <p>P Floating roof</p> <p>P Higher design pressure</p> <p>P All aboveground (situated so bottom can routinely be checked for leads)</p> <p>P Secondary containment</p> <p>P Improve corrosion resistance</p> <p>P Design for 100 percent de-inventory</p>	<p>P Optimize storage conditions to reduce losses</p> <p>P Monitor for leaks and corrosion</p> <p>P Recycle to process if practical</p>
Vacuum Systems	P Waste discharge from jets	<p>P Substitute mechanical vacuum pump</p> <p>P Evaluate using process fluid for powering jet</p>	<p>P Monitor for air leaks</p> <p>P Recycle condensate to process</p>

<b>Exhibit 19 (cont.): Modifications to Equipment Can Also Prevent Pollution</b>			
<b>Equipment</b>	<b>Potential Environment Problem</b>	<b>Possible Approach</b>	
		<b>Design Related</b>	<b>Operational Related</b>
Valves	P Fugitive emissions from leaks	P Bellow seals P Reduce number where practical P Special packing sets	P Stringent adherence to packing procedures
Vents	P Release to environment	P Route to control or recovery device	P Monitor performance

Source: Chemical Manufacturers Association. *Designing Pollution Prevention into the Process, Research, Development and Engineering.*

It is critical to emphasize that pollution prevention in the chemical industry is process specific and oftentimes constrained by site-specific considerations. As such, it is difficult to generalize about the relative merits of different pollution prevention strategies. The age, size, and purpose of the plant will influence the most effective pollution prevention strategy. Commodity chemical manufacturers redesign their processes infrequently so that redesign of the reaction process or equipment is unlikely in the short term. Here, operational changes are the most feasible response. Specialty chemical manufacturers are making a greater variety of chemicals and have more process and design flexibility. Incorporating changes at the earlier research and development phases may be possible for them.

## VI. SUMMARY OF APPLICABLE FEDERAL STATUTES AND REGULATIONS

This section discusses the Federal regulations that may apply to this sector. The purpose of this section is to highlight, and briefly describe the applicable Federal requirements, and to provide citations for more detailed information. The three following sections are included.

- Section VI.A. contains a general overview of major statutes
- Section VI.B. contains a list of regulations specific to this industry
- Section VI.C. contains a list of pending and proposed regulations

The descriptions within Section VI are intended solely for general information. Depending upon the nature or scope of the activities at a particular facility, these summaries may or may not necessarily describe all applicable environmental requirements. Moreover, they do not constitute formal interpretations or clarifications of the statutes and regulations. For further information, readers should consult the Code of Federal Regulations and other state or local agencies. EPA Hotline contacts are also provided for each major statute.

### VI.A. General Description of Major Statutes

#### *Resource Conservation And Recovery Act*

The Resource Conservation And Recovery Act (RCRA) of 1976 which amended the Solid Waste Disposal Act, addresses solid (Subtitle D) and hazardous (Subtitle C) waste management activities. The Hazardous and Solid Waste Amendments (HSWA) of 1984 strengthened RCRA's waste management provisions and added Subtitle I, which governs underground storage tanks (USTs).

Regulations promulgated pursuant to Subtitle C of RCRA (40 CFR Parts 260-299) establish a "cradle-to-grave" system governing hazardous waste from the point of generation to disposal. RCRA hazardous wastes include the specific materials listed in the regulations (commercial chemical products, designated with the code "P" or "U"; hazardous wastes from specific industries/sources, designated with the code "K"; or hazardous wastes from non-specific sources, designated with the code "F") or materials which exhibit a hazardous waste characteristic (ignitability, corrosivity, reactivity, or toxicity and designated with the code "D").

Regulated entities that generate hazardous waste are subject to waste accumulation, manifesting, and record keeping standards. Facilities that treat, store, or dispose of hazardous waste must obtain a permit, either from EPA or from a State agency which EPA has authorized to implement the permitting program. Subtitle C permits contain general facility standards such as

contingency plans, emergency procedures, record keeping and reporting requirements, financial assurance mechanisms, and unit-specific standards. RCRA also contains provisions (40 CFR Part 264 Subpart S and §264.10) for conducting corrective actions which govern the cleanup of releases of hazardous waste or constituents from solid waste management units at RCRA-regulated facilities.

Although RCRA is a Federal statute, many States implement the RCRA program. Currently, EPA has delegated its authority to implement various provisions of RCRA to 46 of the 50 States.

Most RCRA requirements are not industry specific but apply to any company that transports, treats, stores, or disposes of hazardous waste. Here are some important RCRA regulatory requirements:

- **Identification of Solid and Hazardous Wastes** (40 CFR Part 261) lays out the procedure every generator should follow to determine whether the material created is considered a hazardous waste, solid waste, or is exempted from regulation.
- **Standards for Generators of Hazardous Waste** (40 CFR Part 262) establishes the responsibilities of hazardous waste generators including obtaining an ID number, preparing a manifest, ensuring proper packaging and labeling, meeting standards for waste accumulation units, and record keeping and reporting requirements. Generators can accumulate hazardous waste for up to 90 days (or 180 days depending on the amount of waste generated) without obtaining a permit.
- **Land Disposal Restrictions** (LDRs) are regulations prohibiting the disposal of hazardous waste on land without prior treatment. Under the LDRs (40 CFR 268), materials must meet land disposal restriction (LDR) treatment standards prior to placement in a RCRA land disposal unit (landfill, land treatment unit, waste pile, or surface impoundment). Wastes subject to the LDRs include solvents, electroplating wastes, heavy metals, and acids. Generators of waste subject to the LDRs must provide notification of such to the designated TSD facility to ensure proper treatment prior to disposal.
- **Used Oil** storage and disposal regulations (40 CFR Part 279) do not define **Used Oil Management Standards** impose management requirements affecting the storage, transportation, burning, processing, and re-refining of the used oil. For parties that merely generate used oil, regulations establish storage standards. For a party considered a used oil marketer (one who generates and sells

off-specification used oil directly to a used oil burner), additional tracking and paperwork requirements must be satisfied.

- **Tanks and Containers** used to store hazardous waste with a high volatile organic concentration must meet emission standards under RCRA. Regulations (40 CFR Part 264-265, Subpart CC) require generators to test the waste to determine the concentration of the waste, to satisfy tank and container emissions standards, and to inspect and monitor regulated units. These regulations apply to all facilities who store such waste, including generators operating under the 90-day accumulation rule.
- **Underground Storage Tanks (USTs)** containing petroleum and hazardous substance are regulated under Subtitle I of RCRA. Subtitle I regulations (40 CFR Part 280) contain tank design and release detection requirements, as well as financial responsibility and corrective action standards for USTs. The UST program also establishes increasingly stringent standards, including upgrade requirements for existing tanks, that must be met by 1998.
- **Boilers and Industrial Furnaces (BIFs)** that use or burn fuel containing hazardous waste must comply with strict design and operating standards. BIF regulations (40 CFR Part 266, Subpart H) address unit design, provide performance standards, require emissions monitoring, and restrict the type of waste that may be burned.

*EPA's RCRA/Superfund/UST Hotline, at (800) 424-9346, responds to questions and distributes guidance regarding all RCRA regulations. The RCRA Hotline operates weekdays from 8:30 a.m. to 7:30 p.m., ET, excluding Federal holidays.*

#### *Comprehensive Environmental Response, Compensation, And Liability Act*

The Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), a 1980 law commonly known as Superfund, authorizes EPA to respond to releases, or threatened releases, of hazardous substances that may endanger public health, welfare, or the environment. CERCLA also enables EPA to force parties responsible for environmental contamination to clean it up or to reimburse the Superfund for response costs incurred by EPA. The Superfund Amendments and Reauthorization Act (SARA) of 1986 revised various sections of CERCLA, extended the taxing authority for the Superfund, and created a free-standing law, SARA Title III, also known as the Emergency Planning and Community Right-to-Know Act (EPCRA).

The CERCLA **hazardous substance release reporting regulations** (40 CFR Part 302) direct the person in charge of a facility to report to the National

Response Center (NRC) any environmental release of a hazardous substance which exceeds a reportable quantity. Reportable quantities are defined and listed in 40 CFR §302.4. A release report may trigger a response by EPA, or by one or more Federal or State emergency response authorities.

EPA implements **hazardous substance responses** according to procedures outlined in the National Oil and Hazardous Substances Pollution Contingency Plan (NCP) (40 CFR Part 300). The NCP includes provisions for permanent cleanups, known as remedial actions, and other cleanups referred to as "removals." EPA generally takes remedial actions only at sites on the National Priorities List (NPL), which currently includes approximately 1300 sites. Both EPA and states can act at other sites; however, EPA provides responsible parties the opportunity to conduct removal and remedial actions and encourages community involvement throughout the Superfund response process.

*EPA's RCRA/Superfund/UST Hotline, at (800) 424-9346, answers questions and references guidance pertaining to the Superfund program. The CERCLA Hotline operates weekdays from 8:30 a.m. to 7:30 p.m., ET, excluding Federal holidays.*

### *Emergency Planning And Community Right-To-Know Act*

The Superfund Amendments and Reauthorization Act (SARA) of 1986 created the Emergency Planning and Community Right-to-Know Act (EPCRA, also known as SARA Title III), a statute designed to improve community access to information about chemical hazards and to facilitate the development of chemical emergency response plans by State and local governments. EPCRA required the establishment of State emergency response commissions (SERCs), responsible for coordinating certain emergency response activities and for appointing local emergency planning committees (LEPCs).

EPCRA and the EPCRA regulations (40 CFR Parts 350-372) establish four types of reporting obligations for facilities which store or manage specified chemicals:

- **EPCRA §302** requires facilities to notify the SERC and LEPC of the presence of any "extremely hazardous substance" (the list of such substances is in 40 CFR Part 355, Appendices A and B) if it has such substance in excess of the substance's threshold planning quantity, and directs the facility to appoint an emergency response coordinator.
- **EPCRA §304** requires the facility to notify the SERC and the LEPC in the event of a release exceeding the reportable quantity of a

CERCLA hazardous substance or an EPCRA extremely hazardous substance.

- **EPCRA §311 and §312** require a facility at which a hazardous chemical, as defined by the Occupational Safety and Health Act, is present in an amount exceeding a specified threshold to submit to the SERC, LEPC and local fire department material safety data sheets (MSDSs) or lists of MSDS's and hazardous chemical inventory forms (also known as Tier I and II forms). This information helps the local government respond in the event of a spill or release of the chemical.
- **EPCRA §313** requires manufacturing facilities included in SIC codes 20 through 39, which have ten or more employees, and which manufacture, process, or use specified chemicals in amounts greater than threshold quantities, to submit an annual toxic chemical release report. This report, commonly known as the Form R, covers releases and transfers of toxic chemicals to various facilities and environmental media, and allows EPA to compile the national Toxic Release Inventory (TRI) database.

All information submitted pursuant to EPCRA regulations is publicly accessible, unless protected by a trade secret claim.

*EPA's EPCRA Hotline, at (800) 535-0202, answers questions and distributes guidance regarding the emergency planning and community right-to-know regulations. The EPCRA Hotline operates weekdays from 8:30 a.m. to 7:30 p.m., ET, excluding Federal holidays.*

### *Clean Water Act*

The primary objective of the Federal Water Pollution Control Act, commonly referred to as the Clean Water Act (CWA), is to restore and maintain the chemical, physical, and biological integrity of the nation's surface waters. Pollutants regulated under the CWA include "priority" pollutants, including various toxic pollutants; "conventional" pollutants, such as biochemical oxygen demand (BOD), total suspended solids (TSS), fecal coliform, oil and grease, and pH; and "non-conventional" pollutants, including any pollutant not identified as either conventional or priority.

The CWA regulates both direct and indirect discharges. The **National Pollutant Discharge Elimination System (NPDES)** program (CWA §402) controls direct discharges into navigable waters. Direct discharges or "point source" discharges are from sources such as pipes and sewers. NPDES permits, issued by either EPA or an authorized State (EPA has authorized approximately forty States to administer the NPDES program), contain industry-specific, technology-based and/or water quality-based limits, and



establish pollutant monitoring requirements. A facility that intends to discharge into the nation's waters must obtain a permit prior to initiating its discharge. A permit applicant must provide quantitative analytical data identifying the types of pollutants present in the facility's effluent. The permit will then set forth the conditions and effluent limitations under which a facility may make a discharge.

A NPDES permit may also include discharge limits based on Federal or State water quality criteria or standards, that were designed to protect designated uses of surface waters, such as supporting aquatic life or recreation. These standards, unlike the technological standards, generally do not take into account technological feasibility or costs. Water quality criteria and standards vary from State to State, and site to site, depending on the use classification of the receiving body of water. Most States follow EPA guidelines which propose aquatic life and human health criteria for many of the 126 priority pollutants.

#### Storm Water Discharges

In 1987 the CWA was amended to require EPA to establish a program to address **storm water discharges**. In response, EPA promulgated the NPDES storm water permit application regulations. Storm water discharge associated with industrial activity means the discharge from any conveyance which is used for collecting and conveying storm water and which is directly related to manufacturing, processing, or raw materials storage areas at an industrial plant (40 CFR 122.26(b)(14)). These regulations require that facilities with the following storm water discharges apply for an NPDES permit: (1) a discharge associated with industrial activity; (2) a discharge from a large or medium municipal storm sewer system; or (3) a discharge which EPA or the State determines to contribute to a violation of a water quality standard or is a significant contributor of pollutants to waters of the United States.

The term "storm water discharge associated with industrial activity" means a storm water discharge from one of 11 categories of industrial activity defined at 40 CFR 122.26. Six of the categories are defined by SIC codes while the other five are identified through narrative descriptions of the regulated industrial activity. If the primary SIC code of the facility is one of those identified in the regulations, the facility is subject to the storm water permit application requirements. If any activity at a facility is covered by one of the five narrative categories, storm water discharges from those areas where the activities occur are subject to storm water discharge permit application requirements.

Those facilities/activities that are subject to storm water discharge permit application requirements are identified below. To determine whether a particular facility falls within one of these categories, the regulation should be consulted.

**Category i:** Facilities subject to storm water effluent guidelines, new source performance standards, or toxic pollutant effluent standards.

**Category ii:** Facilities classified as SIC 24-lumber and wood products (except wood kitchen cabinets); SIC 26-paper and allied products (except paperboard containers and products); SIC 28-chemicals and allied products (except drugs and paints); SIC 291-petroleum refining; and SIC 311-leather tanning and finishing.

**Category iii:** Facilities classified as SIC 10-metal mining; SIC 12-coal mining; SIC 13-oil and gas extraction; and SIC 14-nonmetallic mineral mining.

**Category iv:** Hazardous waste treatment, storage, or disposal facilities.

**Category v:** Landfills, land application sites, and open dumps that receive or have received industrial wastes.

**Category vi:** Facilities classified as SIC 5015-used motor vehicle parts; and SIC 5093-automotive scrap and waste material recycling facilities.

**Category vii:** Steam electric power generating facilities.

**Category viii:** Facilities classified as SIC 40-railroad transportation; SIC 41-local passenger transportation; SIC 42-trucking and warehousing (except public warehousing and storage); SIC 43-U.S. Postal Service; SIC 44-water transportation; SIC 45-transportation by air; and SIC 5171-petroleum bulk storage stations and terminals.

**Category ix:** Sewage treatment works.

**Category x:** Construction activities except operations that result in the disturbance of less than five acres of total land area.

**Category xi:** Facilities classified as SIC 20-food and kindred products; SIC 21-tobacco products; SIC 22-textile mill products; SIC 23-apparel related products; SIC 2434-wood kitchen cabinets manufacturing; SIC 25-furniture and fixtures; SIC 265-paperboard containers and boxes; SIC 267-converted paper and paperboard products; SIC 27-printing, publishing, and allied industries; SIC 283-drugs; SIC 285-paints, varnishes, lacquer, enamels, and allied products; SIC 30-rubber and plastics; SIC 31-leather and leather products (except leather and tanning and finishing); SIC 323-glass products; SIC 34-fabricated metal products (except fabricated structural metal); SIC 35-industrial and commercial machinery and computer equipment; SIC 36-electronic and other electrical equipment and components; SIC 37-

transportation equipment (except ship and boat building and repairing); SIC 38-measuring, analyzing, and controlling instruments; SIC 39-miscellaneous manufacturing industries; and SIC 4221-4225-public warehousing and storage.

#### Pretreatment Program

Another type of discharge that is regulated by the CWA is one that goes to a publicly-owned treatment works (POTWs). The national **pretreatment program** (CWA §307(b)) controls the indirect discharge of pollutants to POTWs by "industrial users." Facilities regulated under §307(b) must meet certain pretreatment standards. The goal of the pretreatment program is to protect municipal wastewater treatment plants from damage that may occur when hazardous, toxic, or other wastes are discharged into a sewer system and to protect the quality of sludge generated by these plants. Discharges to a POTW are regulated primarily by the POTW itself, rather than the State or EPA.

EPA has developed technology-based standards for industrial users of POTWs. Different standards apply to existing and new sources within each category. "Categorical" pretreatment standards applicable to an industry on a nationwide basis are developed by EPA. In addition, another kind of pretreatment standard, "local limits," are developed by the POTW in order to assist the POTW in achieving the effluent limitations in its NPDES permit.

Regardless of whether a State is authorized to implement either the NPDES or the pretreatment program, if it develops its own program, it may enforce requirements more stringent than Federal standards.

*EPA's Office of Water, at (202) 260-5700, will direct callers with questions about the CWA to the appropriate EPA office. EPA also maintains a bibliographic database of Office of Water publications which can be accessed through the Ground Water and Drinking Water resource center, at (202) 260-7786.*

#### *Safe Drinking Water Act*

The Safe Drinking Water Act (SDWA) mandates that EPA establish regulations to protect human health from contaminants in drinking water. The law authorizes EPA to develop national drinking water standards and to create a joint Federal-State system to ensure compliance with these standards. The SDWA also directs EPA to protect underground sources of drinking water through the control of underground injection of liquid wastes.

EPA has developed primary and secondary drinking water standards under its SDWA authority. EPA and authorized States enforce the primary drinking

water standards, which are, contaminant-specific concentration limits that apply to certain public drinking water supplies. Primary drinking water standards consist of maximum contaminant level goals (MCLGs), which are non-enforceable health-based goals, and maximum contaminant levels (MCLs), which are enforceable limits set as close to MCLGs as possible, considering cost and feasibility of attainment.

The SDWA **Underground Injection Control** (UIC) program (40 CFR Parts 144-148) is a permit program which protects underground sources of drinking water by regulating five classes of injection wells. UIC permits include design, operating, inspection, and monitoring requirements. Wells used to inject hazardous wastes must also comply with RCRA corrective action standards in order to be granted a RCRA permit, and must meet applicable RCRA land disposal restrictions standards. The UIC permit program is primarily State-enforced, since EPA has authorized all but a few States to administer the program.

The SDWA also provides for a Federally-implemented Sole Source Aquifer program, which prohibits Federal funds from being expended on projects that may contaminate the sole or principal source of drinking water for a given area, and for a State-implemented Wellhead Protection program, designed to protect drinking water wells and drinking water recharge areas.

*EPA's Safe Drinking Water Hotline, at (800) 426-4791, answers questions and distributes guidance pertaining to SDWA standards. The Hotline operates from 9:00 a.m. through 5:30 p.m., ET, excluding Federal holidays.*

### *Toxic Substances Control Act*

The Toxic Substances Control Act (TSCA) granted EPA authority to create a regulatory framework to collect data on chemicals in order to evaluate, assess, mitigate, and control risks which may be posed by their manufacture, processing, and use. TSCA provides a variety of control methods to prevent chemicals from posing unreasonable risk.

TSCA standards may apply at any point during a chemical's life cycle. Under TSCA §5, EPA has established an inventory of chemical substances. If a chemical is not already on the inventory, and has not been excluded by TSCA, a premanufacture notice (PMN) must be submitted to EPA prior to manufacture or import. The PMN must identify the chemical and provide available information on health and environmental effects. If available data are not sufficient to evaluate the chemicals effects, EPA can impose restrictions pending the development of information on its health and environmental effects. EPA can also restrict significant new uses of chemicals based upon factors such as the projected volume and use of the chemical.

Under TSCA §6, EPA can ban the manufacture or distribution in commerce, limit the use, require labeling, or place other restrictions on chemicals that pose unreasonable risks. Among the chemicals EPA regulates under §6 authority are asbestos, chlorofluorocarbons (CFCs), and polychlorinated biphenyls (PCBs).

*EPA's TSCA Assistance Information Service, at (202) 554-1404, answers questions and distributes guidance pertaining to Toxic Substances Control Act standards. The Service operates from 8:30 a.m. through 4:30 p.m., ET, excluding Federal holidays.*

### *Clean Air Act*

The Clean Air Act (CAA) and its amendments, including the Clean Air Act Amendments (CAAA) of 1990, are designed to “protect and enhance the nation's air resources so as to promote the public health and welfare and the productive capacity of the population.” The CAA consists of six sections, known as Titles, which direct EPA to establish national standards for ambient air quality and for EPA and the States to implement, maintain, and enforce these standards through a variety of mechanisms. Under the CAAA, many facilities will be required to obtain permits for the first time. State and local governments oversee, manage, and enforce many of the requirements of the CAAA. CAA regulations appear at 40 CFR Parts 50 through 99.

Pursuant to Title I of the CAA, EPA has established national ambient air quality standards (NAAQSs) to limit levels of "criteria pollutants," including carbon monoxide, lead, nitrogen dioxide, particulate matter, ozone, and sulfur dioxide. Geographic areas that meet NAAQSs for a given pollutant are classified as attainment areas; those that do not meet NAAQSs are classified as non-attainment areas. Under §110 of the CAA, each State must develop a State Implementation Plan (SIP) to identify sources of air pollution and to determine what reductions are required to meet Federal air quality standards.

Title I also authorizes EPA to establish New Source Performance Standards (NSPSs), which are nationally uniform emission standards for new stationary sources falling within particular industrial categories. NSPSs are based on the pollution control technology available to that category of industrial source but allow the affected industries the flexibility to devise a cost-effective means of reducing emissions.

Under Title I, EPA establishes and enforces National Emission Standards for Hazardous Air Pollutants (NESHAPs), nationally uniform standards oriented towards controlling particular hazardous air pollutants (HAPs). Title III of the CAAA further directed EPA to develop a list of sources that emit any of 189 HAPs, and to develop regulations for these categories of sources. To date EPA has listed 174 categories and developed a schedule for the

establishment of emission standards. The emission standards will be developed for both new and existing sources based on "maximum achievable control technology" (MACT). The MACT is defined as the control technology achieving the maximum degree of reduction in the emission of the HAPs, taking into account cost and other factors.

Title II of the CAA pertains to mobile sources, such as cars, trucks, buses, and planes. Reformulated gasoline, automobile pollution control devices, and vapor recovery nozzles on gas pumps are a few of the mechanisms EPA uses to regulate mobile air emission sources.

Title IV establishes a sulfur dioxide emissions program designed to reduce the formation of acid rain. Reduction of sulfur dioxide releases will be obtained by granting to certain sources limited emissions allowances, which, beginning in 1995, will be set below previous levels of sulfur dioxide releases.

Title V of the CAAA of 1990 created a permit program for all "major sources" (and certain other sources) regulated under the CAA. One purpose of the operating permit is to include in a single document all air emissions requirements that apply to a given facility. States are developing the permit programs in accordance with guidance and regulations from EPA. Once a State program is approved by EPA, permits will be issued and monitored by that State.

Title VI is intended to protect stratospheric ozone by phasing out the manufacture of ozone-depleting chemicals and restrict their use and distribution. Production of Class I substances, including 15 kinds of chlorofluorocarbons (CFCs), will be phased out entirely by the year 2000, while certain hydrochlorofluorocarbons (HCFCs) will be phased out by 2030.

*EPA's Control Technology Center, at (919) 541-0800, provides general assistance and information on CAA standards. The Stratospheric Ozone Information Hotline, at (800) 296-1996, provides general information about regulations promulgated under Title VI of the CAA, and EPA's EPCRA Hotline, at (800) 535-0202, answers questions about accidental release prevention under CAA §112(r). In addition, the Technology Transfer Network Bulletin Board System (modem access (919) 541-5742)) includes recent CAA rules, EPA guidance documents, and updates of EPA activities.*

## VI.B. Industry Specific Requirements

The inorganic chemical industry is affected by nearly all federal environmental statutes. In addition, the industry is subject to numerous laws and regulations from state and local governments designed to protect and improve health, safety, and the environment. A summary of the major federal regulations affecting the chemical industry follows.

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Federal Statutes*Toxic Substances Control Act*

The Toxic Substances Control Act (TSCA), passed in 1976, gives the Environmental Protection Agency comprehensive authority to regulate any chemical substance whose manufacture, processing, distribution in commerce, use, or disposal may present an unreasonable risk of injury to health or the environment. Three sections are of primary importance to the inorganic chemical industry. Section 5 mandates that chemical companies submit to EPA pre-manufacture notices that provide information on health and environmental effects for each new product and test existing products for these effects. To date, over 20,000 premanufacturing notices have been filed. Section 4 authorizes the EPA to require testing of certain substances. Section 6 gives the EPA authority to prohibit, limit, or ban the manufacture, process, and use of chemicals. Under Section 6 of TSCA, EPA has banned most uses of asbestos. In 1990, however, the chlor-alkali industry was able to show that it did not have difficulty meeting the required exposure limits for asbestos fibers, and the use of asbestos as a diaphragm material was exempted from the TSCA ban.

*Clean Air Act*

The Clean Air Act Amendments of 1990 set National Emission Standards for Hazardous Air Pollutants (NESHAP) from industrial sources for 41 pollutants to be met by 1995 and for 148 other pollutants to be reached by 2003. Several provisions affect the inorganic chemical industry. The EPA will promulgate maximum achievable control technology (MACT) standards and Lowest Achievable Emission Rates will be required in NAAQS non-attainment areas (Iliam Rosario, U.S. EPA, OAQPS, WAM for Chlorine Production NESHAP (919)-541-5308). An information collection request survey was sent out to the chlor-alkali industry in 1992. The data obtained from the survey will be analyzed and, based on the results, EPA will propose MACT standards (or EPA may propose that no new standards are necessary) for the chlor-alkali industry by 1997. For any subject facility, a six year extension of MACT requirements is available if they can demonstrate early emission reductions.

The Clean Air Act Amendments of 1990 contain provisions to phase out the use of ozone depleting chemicals such as chlorofluorocarbons, halons, carbon tetrachloride, and methyl chloroform, as required by the Montreal Protocol on Substances that Deplete the Ozone Layer. The chlor-alkali industry has been and will continue to be significantly affected by these provisions due to decreases in the demand for chlorine as a feedstock in manufacturing these chemicals. In addition, many of these chemicals are used extensively by the industry to process chlorine.

*Clean Water Act*

The Clean Water Act, first passed in 1972 and amended in 1977 and 1987, gives EPA the authority to regulate effluents from sewage treatment works, chemical plants, and other industrial sources into waters. The act sets "best available technology" standards for treatment of wastes for both direct and indirect (to a Publicly Owned Treatment Works) discharges. Effluent guidelines for the chlor-alkali industry were last updated in 1984 (40 CFR Section 415). EPA is currently conducting a study to assess the need for new effluent guidelines. (Contact: George Zipf, U.S. EPA, Office of Water, 202-260-2275)

Restrictions on dioxin emissions in the wastewater from pulp mills are having significant effects on the chlor-alkali industry. Dioxins are formed during the chlorine bleaching process and are subsequently released to rivers and streams. Many mills are switching from chlorine to alternative bleaching agents in response to the effluent restrictions. Pulp mills accounted for about 15 percent of the chlorine demand in the U.S. in 1982 and 11 percent in 1992. The demand for chlorine for pulp bleaching is expected to continue to decrease through the 1990s.

*Resource Conservation and Recovery Act*

The Resource Conservation and Recovery Act (RCRA) of 1976 gives the EPA authority to establish a list of solid and hazardous wastes, and to establish standards and regulations for handling and disposing of these wastes. New wastes specific to the inorganic chemical industry have not been added to the RCRA list since the original waste listings in 1980. EPA is currently under a consent order, however, to propose new hazardous waste listings for the industry by March 1997, and to finalize by March 1998. (Contact: Rick Brandes, U.S. EPA, Office of Solid Waste, 202-260-4770) The Act also requires companies to establish programs to reduce the volume and toxicity of hazardous wastes. It was last amended in 1984 when Congress mandated some 70 new programs for the hazardous waste (Subtitle C) program. Included were tighter standards for handling and disposing of hazardous wastes, land disposal prohibitions, corrective action (or remediation) regulations, and regulations for underground storage tanks. The inorganic chemical industry is strongly affected by the RCRA regulations because of the disposal costs for hazardous waste and the record keeping requirements.

*Occupational Safety and Health Act*

The Occupational Safety and Health Act gave the Department of Labor the authority to set comprehensive workplace safety and health standards including permissible exposures to chemical in the workplace and authority to conduct inspections and issue citations for violations of safety and health



regulations. The chemical industry is subject to hazard identification standards established by OSHA, which require extensive documentation of chemicals in trade and in the workplace and mandate warning labels on containers. The industry is also subject to OSHA's Hazard Communication Standard and various state and local laws, which give workers the right to know about hazardous chemicals in the workplace.

#### *Hazardous Materials Transportation Act*

The Hazardous Materials Transportation Act (HMTA) gives the Department of Transportation authority to regulate the movement of hazardous materials. Chemical manufacturers must comply with regulations governing shipment preparation, including packaging, labeling and shipping papers; handling, loading and unloading; routing emergency and security planning; incident notifications; and liability insurance. The chemical manufacturers must also comply with operating requirements for vehicle, vessel, and carrier transportation of hazardous materials by road, rail, air, and sea. The chemicals covered by the HMTA span a broad list of substances, including hazardous wastes normally regulated by RCRA and hazardous materials that DOT designates as hazardous for the purposes of transportation that may not be considered hazardous under RCRA. These regulations especially apply to chlorine gas which can cause significant risk during transport.

#### *Pollution Prevention Act*

The Pollution Prevention Act makes it a national policy of the United States to reduce or eliminate the generation of waste at the source whenever feasible. The EPA is directed to undertake a multi-media program of information collection, technology transfer, and financial assistance to enable the states to implement this policy and to promote the use of source reduction techniques. The reorganization of the Office of Compliance by industry sector is part of EPA's response to this act.

#### State Statutes

##### *Toxics Use Reduction Act, Massachusetts*

The Massachusetts Toxics Use Reduction Act affects those facilities that use, manufacture, or process more than a specified amount of substances that are on the Massachusetts toxic or hazardous substances list. Facilities must submit annual reports on the amounts of substances used, manufactured, or processed and must pay annual fees based on these amounts. In addition, facilities must prepare toxics use reduction plans which show in-plant changes in production processes or raw materials that would reduce, avoid, or eliminate the use or generation of toxic or hazardous substances. The Massachusetts toxic or hazardous substance list initially consists of those

substances listed under §313 of EPCRA and will eventually include those substances listed under CERCLA. New Jersey has recently passed a similar act.

### VI.C. Pending and Proposed Regulatory Requirements

#### *Resource Conservation and Recovery Act (RCRA)*

The Resource Conservation and Recovery Act (RCRA) listed waste streams specific to the inorganic chemical industry have not been updated since the original RCRA hazardous wastes list developed in 1980. EPA is under a court-ordered deadline to propose and finalize additional waste listings for the industry by March 1997 and March 1988, respectively. The Office of Solid Waste will begin assessing the need for new listings by early 1996. (Contact: Rick Brandes, U.S. EPA, Office of Solid Waste, 202-260-4770)

#### *Clean Air Act*

The new NESHAP standards for the inorganic chemical industry are scheduled to be promulgated by EPA by 1997. (Contact: Iliam Rosario, U.S. EPA, OAQPS, WAM for Chlorine Production NESHAP, 919-541-5308) The standards required will, in most cases, be in the form of MACT standards. Lowest Achievable Emission Rates will be required in NAAQS non-attainment areas. An information collection request survey was sent out to the chlor-alkali industry in 1992. The data obtained will be analyzed and used to assess the need for NESHAP standards in the chlor-alkali industry.

The chlor-alkali industry will continue to be affected by the provisions to phase out the use of ozone depleting chemicals as required by the Montreal Protocol on Substances that Deplete the Ozone Layer. The demand for chlorine as a feedstock in manufacturing these chemicals, which accounted for about 15 percent of total domestic demand in 1990, will continue to decline through the 1990s. In addition, costs of purifying and liquefying chlorine gas may increase as the cost of carbon tetrachloride and refrigerants increases, and as alternative processes are introduced.

## VII. COMPLIANCE AND ENFORCEMENT HISTORY

### *Background*

To date, EPA has focused much of its attention on measuring compliance with specific environmental statutes. This approach allows the Agency to track compliance with the Clean Air Act, the Resource Conservation and Recovery Act, the Clean Water Act, and other environmental statutes. Within the last several years, the Agency has begun to supplement single-media compliance indicators with facility-specific, multimedia indicators of compliance. In doing so, EPA is in a better position to track compliance with all statutes at the facility level, and within specific industrial sectors.

A major step in building the capacity to compile multimedia data for industrial sectors was the creation of EPA's Integrated Data for Enforcement Analysis (IDEA) system. IDEA has the capacity to "read into" the Agency's single-media databases, extract compliance records, and match the records to individual facilities. The IDEA system can match Air, Water, Waste, Toxics/Pesticides/EPCRA, TRI, and Enforcement Docket records for a given facility, and generate a list of historical permit, inspection, and enforcement activity. IDEA also has the capability to analyze data by geographic area and corporate holder.

### *Compliance and Enforcement Profile Description*

Using inspection, violation, and enforcement data from the IDEA system, this section provides information regarding the historical compliance and enforcement activity of this sector. In order to mirror the facility universe reported in the Toxic Chemical Profile, the data reported within this section consists of records only from the TRI reporting universe. With this decision, the selection criteria are consistent across sectors with certain exceptions. For the sectors that do not normally report to the TRI program, data have been provided from EPA's Facility Indexing System (FINDS) which tracks facilities in all media databases. Please note, in this section, EPA does not attempt to define the actual number of facilities that fall within each sector. Instead, the section portrays the records of a subset of facilities within the sector that are well defined within EPA databases.

As a check on the relative size of the full sector universe, most notebooks contain an estimated number of facilities within the sector according to the Bureau of Census (See Section II). With sectors dominated by small businesses, such as metal finishers and printers, the reporting universe within the EPA databases may be small in comparison to Census data. However, the group selected for inclusion in this data analysis section should be consistent with this sector's general make-up.

Following this introduction is a list defining each data column presented within this section. These values represent a retrospective summary of inspections or enforcement actions, and solely reflect EPA, state, and local compliance assurance activity that have been entered into EPA databases. To identify any changes in trends, the EPA ran two data queries, one for the past five calendar years (August 10, 1990 to August 9, 1995) and the other for the most recent twelve-month period (August 10, 1994 to August 9, 1995). The five-year analysis gives an average level of activity for that period for comparison to the more recent activity.

Because most inspections focus on single-media requirements, the data queries presented in this section are taken from single media databases. These databases do not provide data on whether inspections are state/local or EPA-led. However, the table breaking down the universe of violations does give the reader a crude measurement of the EPA's and states' efforts within each media program. The presented data illustrate the variations across regions for certain sectors.<sup>°</sup> This variation may be attributable to state/local data entry variations, specific geographic concentrations, proximity to population centers, sensitive ecosystems, highly toxic chemicals used in production, or historical noncompliance. Hence, the exhibited data do not rank regional performance or necessarily reflect which regions may have the most compliance problems.

## Compliance and Enforcement Data Definitions

### General Definitions

**Facility Indexing System (FINDS)** -- this system assigns a common facility number to EPA single-media permit records. The FINDS identification number allows EPA to compile and review all permit, compliance, enforcement and pollutant release data for any given regulated facility.

**Integrated Data for Enforcement Analysis (IDEA)** -- is a data integration system that can retrieve information from the major EPA program office databases. IDEA uses the FINDS identification number to "glue together" separate data records from EPA's databases. This is done to create a "master list" of data records for any given facility. Some of the data systems accessible through IDEA are: AIRS (Air Facility Indexing and Retrieval System, Office of Air and Radiation), PCS (Permit Compliance System, Office of Water), RCRIS (Resource Conservation and Recovery Information System, Office of Solid Waste), NCDB (National Compliance Data Base,

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<sup>°</sup> EPA Regions include the following states: I (CT, MA, ME, RI, NH, VT); II (NJ, NY, PR, VI); III (DC, DE, MD, PA, VA, WV); IV (AL, FL, GA, KY, MS, NC, SC, TN); V (IL, IN, MI, MN, OH, WI); VI (AR, LA, NM, OK, TX); VII (IA, KS, MO, NE); VIII (CO, MT, ND, SD, UT, WY); IX (AZ, CA, HI, NV, Pacific Trust Territories); X (AK, ID, OR, WA).

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Office of Prevention, Pesticides, and Toxic Substances), CERCLIS (Comprehensive Environmental and Liability Information System, Superfund), and TRIS (Toxic Release Inventory System). IDEA also contains information from outside sources such as Dun and Bradstreet and the Occupational Safety and Health Administration (OSHA). Most data queries displayed in notebook sections IV and VII were conducted using IDEA.

### Data Table Column Heading Definitions

**Facilities in Search** -- are based on the universe of TRI reporters within the listed SIC code range. For industries not covered under TRI reporting requirements, the notebook uses the FINDS universe for executing data queries. The SIC code range selected for each search is defined by each notebook's selected SIC code coverage described in Section II.

**Facilities Inspected** -- indicates the level of EPA and state agency facility inspections for the facilities in this data search. These values show what percentage of the facility universe is inspected in a 12 or 60 month period. This column does not count non-inspectional compliance activities such as the review of facility-reported discharge reports.

**Number of Inspections** -- measures the total number of inspections conducted in this sector. An inspection event is counted each time it is entered into a single media database.

**Average Time Between Inspections** -- provides an average length of time, expressed in months, that a compliance inspection occurs at a facility within the defined universe.

**Facilities with One or More Enforcement Actions** -- expresses the number of facilities that were party to at least one enforcement action within the defined time period. This category is broken down further into federal and state actions. Data are obtained for administrative, civil/judicial, and criminal enforcement actions. Administrative actions include Notices of Violation (NOVs). A facility with multiple enforcement actions is only counted once in this column (facility with three enforcement actions counts as one). All percentages that appear are referenced to the number of facilities inspected.

**Total Enforcement Actions** -- describes the total number of enforcement actions identified for an industrial sector across all environmental statutes. A facility with multiple enforcement actions is counted multiple times (a facility with three enforcement actions counts as three).

**State Lead Actions** -- shows what percentage of the total enforcement actions are taken by state and local environmental agencies. Varying levels of use by states of EPA data systems may limit the volume of actions

accorded state enforcement activity. Some states extensively report enforcement activities into EPA data systems, while other states may use their own data systems.

**Federal Lead Actions** -- shows what percentage of the total enforcement actions are taken by the United States Environmental Protection Agency. This value includes referrals from state agencies. Many of these actions result from coordinated or joint state/federal efforts.

**Enforcement to Inspection Rate** -- expresses how often enforcement actions result from inspections. This value is a ratio of enforcement actions to inspections, and is presented for comparative purposes only. This measure is a rough indicator of the relationship between inspections and enforcement. Reported inspections and enforcement actions under the Clean Water Act (PCS), the Clean Air Act (AFS) and the Resource Conservation and Recovery Act (RCRA) are included in this ratio. Inspections and actions from the TSCA/FIFRA/EPCRA database are not factored into this ratio because most of the actions taken under these programs are not the result of facility inspections. This ratio does not account for enforcement actions arising from non-inspection compliance monitoring activities (e.g., self-reported water discharges) that can result in enforcement action within the CAA, CWA and RCRA.

**Facilities with One or More Violations Identified** -- indicates the number and percentage of inspected facilities having a violation identified in one of the following data categories: In Violation or Significant Violation Status (CAA); Reportable Noncompliance, Current Year Noncompliance, Significant Noncompliance (CWA); Noncompliance and Significant Noncompliance (FIFRA, TSCA, and EPCRA); Unresolved Violation and Unresolved High Priority Violation (RCRA). The values presented for this column reflect the extent of noncompliance within the measured time frame, but do not distinguish between the severity of the noncompliance. Percentages within this column may exceed 100 percent because facilities can be in violation status without being inspected. Violation status may be a precursor to an enforcement action, but does not necessarily indicate that an enforcement action will occur.

**Media Breakdown of Enforcement Actions and Inspections** -- four columns identify the proportion of total inspections and enforcement actions within EPA Air, Water, Waste, and TSCA/FIFRA/EPCRA databases. Each column is a percentage of either the "Total Inspections," or the "Total Actions" column.

**VII.A. Inorganic Chemical Industry Compliance History**

Exhibit 20 provides an overview of the reported compliance and enforcement data for the inorganic chemical industry over the past five years (August 1990 to August 1995). These data are also broken out by EPA Region thereby permitting geographical comparisons. A few points evident from the data are listed below.

- Slightly more than half of the TRI reporting inorganic chemical facilities in the EPA databases were inspected over the five year period resulting in an average of 11 months between inspections of these facilities.
- On average, the states carried out three times the number of inspections as the Regions; however, the percentage of state led actions varied across the Regions from 44 percent to 96 percent.
- The enforcement to inspection rate varied significantly from Region to Region. Region IX had the highest enforcement to inspection rate as well as the highest percentage of state led actions.

<b>Exhibit 20: Five-Year Enforcement and Compliance Summary for Inorganic Chemicals Manufacturing</b>										
<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>	<b>F</b>	<b>G</b>	<b>H</b>	<b>I</b>	<b>J</b>	
<b>Region</b>	<b>Facilities in Search</b>	<b>Facilities Inspected</b>	<b>Number of Inspections</b>	<b>Average Months Between Inspections</b>	<b>Facilities with 1 or More Enforcement Actions</b>	<b>Total Enforcement Actions</b>	<b>Percent State Lead Actions</b>	<b>Percent Federal Lead Actions</b>	<b>Enforcement to Inspection Rate</b>	
I	10	5	16	38	0	0	--	--	--	
II	46	29	354	8	12	29	72%	28%	0.08	
III	60	41	544	7	12	38	89%	11%	0.07	
IV	105	54	916	7	21	113	89%	11%	0.12	
V	108	62	469	14	11	42	45%	55%	0.09	
VI	93	40	401	14	24	106	61%	39%	0.26	
VII	19	12	62	18	2	9	44%	56%	0.15	
VIII	17	9	38	27	4	5	80%	20%	0.13	
IX	70	35	171	25	9	53	96%	4%	0.31	
X	20	11	63	19	4	7	86%	14%	0.11	
<b>TOTAL</b>	<b>548</b>	<b>298</b>	<b>3,034</b>	<b>11</b>	<b>99</b>	<b>402</b>	<b>76%</b>	<b>24%</b>	<b>0.13</b>	



**VII.B. Comparison of Enforcement Activity Between Selected Industries**

Exhibits 21 and 22 allow the compliance history of the inorganic chemical manufacturing sector to be compared to the other industries covered by the industry sector notebooks. Comparisons between Exhibits 21 and 22 permit the identification of trends in compliance and enforcement records of the industry by comparing data covering the last five years to that of the past year. Some points evident from the data are listed below.

- The inorganic chemicals industry has a relatively low frequency of inspections compared to most of the other sectors shown. On average, the number of months between inspections at inorganic chemicals facilities has been only about twice that of organic chemicals facilities.
- Over the past five years the inorganic chemical industry has had a ratio of enforcement actions to inspections lower than most of the other sectors listed including the organic chemicals sector. This difference has continued over the past year.
- Enforcement actions are brought against only about 10 percent of the facilities with violations; lower than most other sectors listed.

Exhibits 23 and 24 provide a more in-depth comparison between the inorganic chemicals industry and other sectors by breaking out the compliance and enforcement data by environmental statute. As in the previous Exhibits (21 and 22), the data cover the last five years (Exhibit 23) and the last one year (Exhibit 24) to facilitate the identification of recent trends. A few points evident from the data are listed below.

- Inspections of inorganic chemical facilities are split relatively evenly between Clean Air Act, Clean Water Act, and RCRA, although RCRA accounts for a significantly larger portion of the total actions brought against the inorganic chemicals industry over the past five years.
- Significantly more Clean Water Act inspections are carried out at inorganic chemicals facilities in comparison to the organic chemicals industry, although the Clean Water Act accounts for a smaller portion of the total actions brought against inorganic chemicals facilities.
- Over the past year RCRA inspections have accounted for a significantly smaller portion of the enforcement actions brought against the industry and the Clean Air Act has taken a far greater share.

<b>Exhibit 21: Five-Year Enforcement and Compliance Summary for Selected Industries</b>									
<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>	<b>F</b>	<b>G</b>	<b>H</b>	<b>I</b>	<b>J</b>
<b>Industry Sector</b>	<b>Facilities in Search</b>	<b>Facilities Inspected</b>	<b>Number of Inspections</b>	<b>Average Months Between Inspections</b>	<b>Facilities with 1 or More Enforcement Actions</b>	<b>Total Enforcement Actions</b>	<b>Percent State Lead Actions</b>	<b>Percent Federal Lead Actions</b>	<b>Enforcement to Inspection Rate</b>
Pulp and Paper	306	265	3,766	5	115	502	78%	22%	0.13
Printing	4,106	1,035	4,723	52	176	514	85%	15%	0.11
<b>Inorganic Chemicals</b>	<b>548</b>	<b>298</b>	<b>3,034</b>	<b>11</b>	<b>99</b>	<b>402</b>	<b>76%</b>	<b>24%</b>	<b>0.13</b>
Organic Chemicals	412	316	3,864	6	152	726	66%	34%	0.19
Petroleum Refining	156	145	3,257	3	110	797	66%	34%	0.25
Iron and Steel	374	275	3,555	6	115	499	72%	28%	0.14
Dry Cleaning	933	245	633	88	29	103	99%	1%	0.16
Metal Mining	873	339	1,519	34	67	155	47%	53%	0.10
Non-Metallic Mineral Mining	1,143	631	3,422	20	84	192	76%	24%	0.06
Lumber and Wood	464	301	1,891	15	78	232	79%	21%	0.12
Furniture	293	213	1,534	11	34	91	91%	9%	0.06
Rubber and Plastic	1,665	739	3,386	30	146	391	78%	22%	0.12
Stone, Clay, and Glass	468	268	2,475	11	73	301	70%	30%	0.12
Fabricated Metal	2,346	1,340	5,509	26	280	840	80%	20%	0.15
Nonferrous Metal	844	474	3,097	16	145	470	76%	24%	0.15
Electronics	405	222	777	31	68	212	79%	21%	0.27
Automobiles	598	390	2,216	16	81	240	80%	20%	0.11

<b>Exhibit 22: One-Year Inspection and Enforcement Summary for Selected Industries</b>									
<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>		<b>F</b>		<b>G</b>	<b>H</b>
				Facilities with 1 or More Violations	Facilities with 1 or more Enforcement Actions	Number	Percent*		
<b>Industry Sector</b>	<b>Facilities in Search</b>	<b>Facilities Inspected</b>	<b>Number of Inspections</b>	<b>Number</b>	<b>Percent*</b>	<b>Number</b>	<b>Percent*</b>	<b>Total Enforcement Actions</b>	<b>Enforcement to Inspection Rate</b>
Pulp and Paper	306	189	576	162	86%	28	15%	88	0.15
Printing	4,106	397	676	251	63%	25	6%	72	0.11
<b>Inorganic Chemicals</b>	<b>548</b>	<b>158</b>	<b>427</b>	<b>167</b>	<b>106%</b>	<b>19</b>	<b>12%</b>	<b>49</b>	<b>0.12</b>
Organic Chemicals	412	195	545	197	101%	39	20%	118	0.22
Petroleum Refining	156	109	437	109	100%	39	36%	114	0.26
Iron and Steel	374	167	488	165	99%	20	12%	46	0.09
Dry Cleaning	933	80	111	21	26%	5	6%	11	0.10
Metal Mining	873	114	194	82	72%	16	14%	24	0.13
Non-metallic Mineral Mining	1,143	253	425	75	30%	28	11%	54	0.13
Lumber and Wood	464	142	268	109	77%	18	13%	42	0.58
Furniture	293	160	113	66	41%	3	2%	5	0.55
Rubber and Plastic	1,665	271	435	289	107%	19	7%	59	0.14
Stone, Clay, and Glass	468	146	330	116	79%	20	14%	66	0.20
Nonferrous Metals	844	202	402	282	104%	22	11%	72	0.18
Fabricated Metal	2,346	477	746	525	110%	46	10%	114	0.15
Electronics	405	60	87	80	133%	8	13%	21	0.24
Automobiles	598	169	284	162	96%	14	8%	28	0.10

\* Percentages in Columns E and F are based on the number of facilities inspected (Column C). Percentages can exceed 100% because violations and actions can occur without a facility inspection.

<b>Exhibit 23: Five-Year Inspection and Enforcement Summary by Statute for Selected Industries</b>											
<b>Industry Sector</b>	<b>Facilities Inspected</b>	<b>Total Inspections</b>	<b>Total Enforcement Actions</b>	<b>Clean Air Act</b>		<b>Clean Water Act</b>		<b>Resource Conservation and Recovery Act</b>		<b>FIFRA/TSCA/EPCRA/Other</b>	
				<b>% of Total Inspections</b>	<b>% of Total Actions</b>	<b>% of Total Inspections</b>	<b>% of Total Actions</b>	<b>% of Total Inspections</b>	<b>% of Total Actions</b>	<b>% of Total Inspections</b>	<b>% of Total Actions</b>
Pulp and Paper	265	3,766	502	51%	48%	38%	30%	9%	18%	2%	3%
Printing	1,035	4,723	514	49%	31%	6%	3%	43%	62%	2%	4%
<b>Inorganic Chemicals</b>	<b>298</b>	<b>3,034</b>	<b>402</b>	<b>29%</b>	<b>26%</b>	<b>29%</b>	<b>17%</b>	<b>39%</b>	<b>53%</b>	<b>3%</b>	<b>4%</b>
Organic Chemicals	316	3,864	726	33%	30%	16%	21%	46%	44%	5%	5%
Petroleum Refining	145	3,237	797	44%	32%	19%	12%	35%	52%	2%	5%
Iron and Steel	275	3,555	499	32%	20%	30%	18%	37%	58%	2%	5%
Dry Cleaning	245	633	103	15%	1%	3%	4%	83%	93%	0%	1%
Metal Mining	339	1,519	155	35%	17%	57%	60%	6%	14%	1%	9%
Non-metallic Mineral Mining	631	3,422	192	65%	46%	31%	24%	3%	27%	0%	4%
Lumber and Wood	301	1,891	232	31%	21%	8%	7%	59%	67%	2%	5%
Furniture	293	1,534	91	52%	27%	1%	1%	45%	64%	1%	8%
Rubber and Plastic	739	3,386	391	39%	15%	13%	7%	44%	68%	3%	10%
Stone, Clay, and Glass	268	2,475	301	45%	39%	15%	5%	39%	51%	2%	5%
Nonferrous Metals	474	3,097	470	36%	22%	22%	13%	38%	54%	4%	10%
Fabricated Metal	1,340	5,509	840	25%	11%	15%	6%	56%	76%	4%	7%
Electronics	222	777	212	16%	2%	14%	3%	66%	90%	3%	5%
Automobiles	390	2,216	240	35%	15%	9%	4%	54%	75%	2%	6%

<b>Exhibit 24: One-Year Inspection and Enforcement Summary by Statute for Selected Industries</b>												
<b>Industry Sector</b>	<b>Facilities Inspected</b>	<b>Total Inspections</b>	<b>Total Enforcement Actions</b>	<b>Clean Air Act</b>		<b>Clean Water Act</b>		<b>Resource Conservation and Recovery Act</b>		<b>FIFRA/TSCA/EPCRA/Other</b>		
				<b>% of Total Inspections</b>	<b>% of Total Actions</b>	<b>% of Total Inspections</b>	<b>% of Total Actions</b>	<b>% of Total Inspections</b>	<b>% of Total Actions</b>	<b>% of Total Inspections</b>	<b>% of Total Actions</b>	
Pulp and Paper	189	576	88	56%	69%	35%	21%	10%	7%	0%	3%	
Printing	397	676	72	50%	27%	5%	3%	44%	66%	0%	4%	
<b>Inorganic Chemicals</b>	<b>158</b>	<b>427</b>	<b>49</b>	<b>26%</b>	<b>38%</b>	<b>29%</b>	<b>21%</b>	<b>45%</b>	<b>36%</b>	<b>0%</b>	<b>6%</b>	
Organic Chemicals	195	545	118	36%	34%	13%	16%	50%	49%	1%	1%	
Petroleum Refining	109	437	114	50%	31%	19%	16%	30%	47%	1%	6%	
Iron and Steel	167	488	46	29%	18%	35%	26%	36%	50%	0%	6%	
Dry Cleaning	80	111	11	21%	4%	1%	22%	78%	67%	0%	7%	
Metal Mining	114	194	24	47%	42%	43%	34%	10%	6%	0%	19%	
Non-metallic Mineral Mining	253	425	54	69%	58%	26%	16%	5%	16%	0%	11%	
Lumber and Wood	142	268	42	29%	20%	8%	13%	63%	61%	0%	6%	
Furniture	293	160	5	58%	67%	1%	10%	41%	10%	0%	13%	
Rubber and Plastic	271	435	59	39%	14%	14%	4%	46%	71%	1%	11%	
Stone, Clay, and Glass	146	330	66	45%	52%	18%	8%	38%	37%	0%	3%	
Nonferrous Metals	202	402	72	33%	24%	21%	3%	44%	69%	1%	4%	
Fabricated Metal	477	746	114	25%	14%	14%	8%	61%	77%	0%	2%	
Electronics	60	87	21	17%	2%	14%	7%	69%	87%	0%	4%	
Automobiles	169	284	28	34%	16%	10%	9%	56%	69%	1%	6%	

## VII.C. Review of Major Legal Actions

### *Major Cases/Supplemental Environmental Projects*

This section provides summary information about major cases that have affected this sector, and a list of Supplementary Environmental Projects (SEPs). SEPs are compliance agreements that reduce a facility's stipulated penalty in return for an environmental project that exceeds the value of the reduction. Often, these projects fund pollution prevention activities that can significantly reduce the future pollutant loadings of a facility.

#### **VII.C.1. Review of Major Cases**

Historically, OECA's Enforcement Capacity and Outreach Office does not regularly compile information related to major cases and pending litigation within an industry sector. The staff are willing to pass along such information to Agency staff as requests are made. In addition, summaries of completed enforcement actions are published each fiscal year in the Enforcement Accomplishments Report. To date, these summaries are not organized by industry sector. (Contact: Office of Enforcement Capacity and Outreach 202-260-4140)

#### **VII.C.2. Supplementary Environmental Projects**

Supplemental environmental projects (SEPs) are an enforcement option that requires the non-compliant facility to complete specific projects. Regional summaries of SEPs undertaken in the 1993 and 1994 federal fiscal years were reviewed. Five SEPs were undertaken that involved inorganic chemical manufacturing facilities, as shown in Exhibit 25.

CERCLA violations engendered three out of the five SEPs identified; the fourth and fifth were due to a CAA violation and a TSCA violation. Due to regional reporting methods, the specifics of the original violations are not known and, for one SEP, details of the actual project were not available.

One of the five projects was conducted at a facility that manufactures both inorganic and organic chemicals. This project has been included in both industry sector project summaries. The FY 1993 and 1994 SEPs for inorganic chemical manufacturers fall into four categories: process related projects; control and recovery technology improvement or installation; leak prevention; and donations to the community.

- Process related projects

A Region IV project carried out in 1993 entailed specific process changes intended to reduce chlorinated wastes at the facility. In conjunction with other non-process components of the project, the implementation cost was \$93,000.

- Control and recovery technology improvement/installation

A Louisiana facility, the combined organic and inorganic chemical manufacturer, implemented a SEP to reduce emissions from returned gas canisters. The SEP involved the installation of recovery technologies to reduce emissions of residual CFC and HCFC from the used canisters. The cost to the company was \$158,400.

- Leak prevention

A Region IV facility constructed retaining walls around underground storage tanks to prevent hazardous leachate from reaching groundwater. The cost to the company was \$46,200.

- Donations to Community

Following a CERCLA violation, a facility in Texas donated emergency and computer equipment to the Local Emergency Planning Commission (LEPC) which could be used in the planning and responding to potential chemical emergencies. The facility also agreed to participate in LEPC activities and to provide technical assistance.

<b>Exhibit 25: FY-1993-1994 Supplemental Environmental Projects Overview: Inorganic Chemical Manufacture</b>											
General Information			Violation Information				Pollutant Reduction			Supplemental Environmental Projects Description	
FY	Docket #	Company Name	State/Region	Type	Initial Penalty	Final Penalty	SEP Credit	SEP Cost to Company	Pollutant of Concern	Pollutant Reduction	Description
93	---	LaRoche Chemicals, Inc.	LA *	CAA	\$88,360	\$25,000	---	\$158,400	CFC/HCFC	---	Company purchased, installed, and operated equipment for recovery of residual CFCs and HCFCs in used gas cylinders returned by customers
93	---	Coastal Chemicals	Reg. 4	CERCLA 103/EPCRA 304	\$90,000	\$2,000	---	\$93,000	chlorinated waste	---	Altered process to reduce chlorinated wastes, provided computer and Cameo training for county EMA, donated funds to LEPC for hazards analysis, and held response exercises at plant
93	---	Scholle Corp.	Reg. 4	CERCLA, EPCRA	\$40,000	\$10,000	---	\$46,200	---	---	Constructed retaining walls around underground storage tanks to prevent hazardous material leachate from reaching groundwater
93	6-93-16	ALCOA	TX	CERCLA 103(a)	\$25,000	\$3,000	---	\$10,000	---	---	Donated emergency and/or computer equipment to LEPC for response/planning for chemical emergencies, agreed to participate in LEPC activities and to provide technical assistance
94	---	Anzon, Inc.	Reg.III	TSCA	N/A	N/A	N/A	\$198,000	---	---	N/A

\*Facilities identified as combined inorganic and organic chemical manufacturers

**Violation Information Terms**  
 Initial penalty: Initial proposed cash penalty for violation  
 Final penalty: Total penalty after SEP negotiation  
 SEP credit: Cash credit given for SEP so that, Final penalty - SEP credit = Final cash penalty  
 SEP cost to company: Actual cost to company of SEP implementation

NOTE: Due to differences in terminology and level of detail between regional SEP information, in some cases the figure listed as Final penalty may be the Final cash penalty after deduction for SEP credit



## VIII. COMPLIANCE ASSURANCE ACTIVITIES AND INITIATIVES

This section highlights the activities undertaken by this industry sector and public agencies to voluntarily improve the sector's environmental performance. These activities include those independently initiated by industrial trade associations. In this section, the notebook also contains a listing and description of national and regional trade associations.

### VIII.A. Sector-related Environmental Programs and Activities

None identified.

### VIII.B. EPA Voluntary Programs

#### *33/50 Program*

The "33/50 Program" is EPA's voluntary program to reduce toxic chemical releases and transfers of seventeen chemicals from manufacturing facilities. Participating companies pledge to reduce their toxic chemical releases and transfers by 33 percent as of 1992 and by 50 percent as of 1995 from the 1988 baseline year. Certificates of Appreciation have been given out to participants meeting their 1992 goals. The list of chemicals includes seventeen high-use chemicals reported in the Toxics Release Inventory. Exhibit 26 lists those companies participating in the 33/50 program that reported the SIC code 281 to TRI. Many of the companies shown listed multiple SIC codes and, therefore, are likely to carry out operations in addition to inorganic chemicals manufacturing. The SIC codes reported by each company are listed in no particular order. In addition, the number of facilities within each company that are participating in the 33/50 program and that report SIC 281 to TRI is shown. Finally, each company's total 1993 releases and transfers of 33/50 chemicals and the percent reduction in these chemicals since 1988 are presented.

The inorganic chemicals industry as a whole used, generated or processed almost all of the seventeen target TRI chemicals. Of the target chemicals, chromium and chromium compounds, lead and lead compounds, and nickel and nickel compounds are released and transferred most frequently and in similar quantities. These three toxic chemicals account for about nine percent of TRI releases and transfers from inorganic chemical facilities. Seventy-five companies, representing 168 facilities, listed under SIC 281 (inorganic chemicals) are currently participating in the 33/50 program. This accounts for 30 percent of the facilities reporting to SIC code 281 to TRI which is significantly higher than the average for all industries of 14 percent participation. (Contact: Mike Burns, 202-260-6394 or the 33/50 Program, 202-260-6907)

**Exhibit 26: 33/50 Program Participants Reporting SIC 281 (Inorganic Chemicals)**

Parent Company	City, State	SIC Codes Reported	# of Participating Facilities	1993 Releases and Transfers (lbs.)	% Reduction 1988 to 1993
3M MINNESOTA MINING & MFG CO.	ST. PAUL, MN	2821, 2816, 2899	1	16,481,098	70
AIR PRODUCTS AND CHEMICALS	ALLENTOWN, PA	2819, 2869	5	144,876	50
AKZO NOBEL INC.	CHICAGO, IL	2819, 2869	1	930,189	13
ALBEMARLE CORP.	RICHMOND, VA	2869, 2819	1	1,005,108	51
ALLIED-SIGNAL INC.	MORRISTOWN, NJ	2819, 2869	4	2,080,501	50
ASHLAND OIL INC.	RUSSELL, KY	2819	1	723,562	50
B F GOODRICH COMPANY	AKRON, OH	2812, 2821, 2869	1	621,207	50
BASF CORP.	PARSIPPANY, NJ	2869, 2865, 2819	1	1,157,548	50
BENJAMIN MOORE & CO.	MONTVALE, NJ	2851, 2812,	7	20,635	*
BORDEN CHEM & PLAS LTD PARTNR	COLUMBUS, OH	2813, 2821, 2869	1	12,662	***
CABOT CORP.	BOSTON, MA	3339, 2819	2	2,407,581	50
CALGON CARBON CORP.	PITTSBURGH, PA	2819	1	14,845	50
CIBA-GEIGY CORP.	ARDSLEY, NY	2819, 2865, 2869	2	1,875,028	50
CITGO PETROLEUM CORP.	TULSA, OK	2911, 2819, 2869	1	1,164,354	20
CONKLIN COMPANY INC.	SHAKOPEE, MN	2819, 2952, 2992	1	2,977	*
CORNING INC.	CORNING, NY	3339, 2819	1	1,521,528	14
CRITERION CATALYST LTD PARTNR	HOUSTON, TX	28190	3	3,716	*
CYTEC INDUSTRIES	WEST PATERSON, NJ	2819, 2869	2	1,074,646	50
DEGUSSA CORP.	RIDGEFIELD PARK, NJ	2819, 2869, 2879	1	676,418	***
DOW CHEMICAL COMPANY	MIDLAND, MI	2800, 2819, 2821	4	2,769,363	50
E. I. DU PONT DE NEMOURS & CO.	WILMINGTON, DE	2816	9	11,740,853	50
EAGLE CHEMICALS INC.	HAMILTON, OH	2899, 2819, 2841	1	500	33
EAGLE-PICHER INDUSTRIES INC.	CINCINNATI, OH	2816	1	227,242	50
ELF AQUITAINE INC.	NEW YORK, NY	2812	7	273,274	43
ENGELHARD CORP.	ISELIN, NJ	3714, 2819	6	236,302	50
ETHYL CORP.	RICHMOND, VA	2869, 2819,	1	251,519	46
FERRO CORP.	CLEVELAND, OH	2819, 2869	5	165,529	50
FMC CORP.	CHICAGO, IL	2812, 2819	4	502,318	50
GENERAL ELECTRIC COMPANY	FAIRFIELD, CT	2821, 2812, 2869	2	5,010,856	50
GEORGIA GULF CORP.	ATLANTA, GA	2865, 2812, 2819	1	39,480	80
GEORGIA-PACIFIC CORP.	ATLANTA, GA	2611, 2621, 2812	1	2,722,182	50
HANLIN GROUP INC.	EDISON, NJ	2812, 2819	3	6,174	75
HM ANGLO-AMERICAN LTD.	NEW YORK, NY	2816	4	1,265,741	2
HOECHST CELANESE CORP.	SOMERVILLE, NJ	2819, 2869, 2873	1	2,603,661	50
INTERNATIONAL PAPER COMPANY	PURCHASE, NY	28190	1	2,784,831	50
ISK AMERICAS INC.	SAN FRANCISCO, CA	2879, 2819	2	300,088	50
KEMIRA HOLDINGS INC.	SAVANNAH, GA	2816, 2819	1	394,070	*
KERR-MCGEE CORP.	OKLAHOMA CITY, OK	2819	3	374,098	35
LAILAW ENVIRONMENTAL SERVICES	COLUMBIA, SC	2819, 2869	1	8,167	***
LAROCHE HOLDINGS INC.	ATLANTA, GA	2812, 2869	1	81,470	*
MALLINCKRODT GROUP INC.	SAINT LOUIS, MO	2869, 2833, 2819	3	775,206	50

Parent Company	City, State	SIC Codes Reported	# of Participating Facilities	1993 Releases and Transfers (lbs.)	% Reduction 1988 to 1993
MAYO CHEMICAL CO. INC.	SMYRNA, GA	2819	2	15	67
MILES INC.	PITTSBURGH, PA	2819	3	1,095,504	40
MOBIL CORP.	FAIRFAX, VA	2869, 2819, 2821	1	4,263,284	50
MONSANTO COMPANY	SAINT LOUIS, MO	2865, 2869, 2819	3	1,683,580	23
MORTON INTERNATIONAL INC.	CHICAGO, IL	2819, 2869	1	721,216	20
NALCO CHEMICAL COMPANY	NAPERVILLE, IL	2899, 2819, 2843	2	107,651	50
OCCIDENTAL PETROLEUM CORP.	LOS ANGELES, CA	2812, 2819	8	8,896,126	19
OLIN CORP.	STAMFORD, CT	2819	4	574,673	70
PHILLIPS PETROLEUM COMPANY	BARTLESVILLE, OK	2911, 2819	2	2,367,877	50
PPG INDUSTRIES INC.	PITTSBURGH, PA	2812, 2816, 2869	3	2,772,331	50
PQ CORP.	VALLEY FORGE, PA	2819	3	19	50
PROCTER & GAMBLE COMPANY	CINCINNATI, OH	28190	1	612,520	*
RHONE-POULENC INC.	MONMOUTH JUNCTION, NJ	2821, 2819, 2841	6	1,437,778	50
ROHM AND HAAS COMPANY	PHILADELPHIA, PA	2819, 2869	1	1,210,244	50
SHELL PETROLEUM INC.	HOUSTON, TX	2869, 2819	1	3,240,716	55
SHEPHERD CHEMICAL CO.	CINCINNATI, OH	2819, 2869	1	828	72
SHERWIN-WILLIAMS COMPANY	CLEVELAND, OH	2816, 2851	1	1,352,412	50
STANDARD CHLORINE CHEM. CO.	KEARNY, NJ	2865, 2819	1	48,246	***
STAR ENTERPRISE	HOUSTON, TX	2911, 2819, 4463	1	601,640	50
STERLING CHEMICALS INC.	HOUSTON, TX	2869, 2865, 2819	1	182,216	65
SUD-CHEMIE NORTH AMERICA DE	LOUISVILLE, KY	2819	2	196,438	16
TEXACO INC.	WHITE PLAINS, NY	2869, 2865, 2819	1	514,803	50
TEXAS INSTRUMENTS INC.	DALLAS, TX	3674, 3812, 2819	2	344,225	25
UNILEVER UNITED STATES INC.	NEW YORK, NY	2819	1	164,034	50
UNIROYAL CHEMICAL CORP.	MIDDLEBURY, CT	2821, 2879, 2813	1	1,970,357	20
UNOCAL CORP.	LOS ANGELES, CA	2819	1	238,520	50
UOP	DES PLAINES, IL	2819, 2869	2	14,169	50
US DEPARTMENT OF ENERGY	WASHINGTON, DC	2819	4	148,198	50
VELSICOL CHEMICAL CORP.	ROSEMONT, IL	2865, 2819, 2869	1	224,664	50
VISTA CHEMICAL COMPANY	HOUSTON, TX	2869, 2865, 2819	2	106,497	50
VULCAN MATERIALS COMPANY	BIRMINGHAM, AL	2869, 2812	2	679,566	85
W R GRACE & CO INC.	BOCA RATON, FL	2819	2	615,509	50
WEYERHAEUSER COMPANY	TACOMA, WA	2621, 2611, 2812	1	1,006,356	*
WITCO CORP.	NEW YORK, NY	2819, 2869	1	327,611	50
* = not quantifiable against 1988 data.					
** = use reduction goal only.					
*** = no numerical goal.					
Source: U.S. EPA, Toxic Release Inventory, 1993.					

*Environmental Leadership Program*

The Environmental Leadership Program (ELP) is a national initiative piloted by EPA and state agencies in which facilities have volunteered to demonstrate innovative approaches to environmental management and compliance. EPA has selected 12 pilot projects at industrial facilities and federal installations which will demonstrate the principles of the ELP program. These principles include: environmental management systems, multimedia compliance assurance, third-party verification of compliance, public measures of accountability, community involvement, and mentoring programs. In return for participating, pilot participants receive public recognition and are given a period of time to correct violations discovered during these experimental projects. Forty proposals were received from companies, trade associations, and federal facilities representing many manufacturing and service sectors. Two chemical companies (Ciba Geigy of St. Gabriel, LA and Akzo Chemicals of Edison, NJ), one pharmaceutical manufacturer (Schering Plough of Kenilworth, NJ), and one manufacturer of agricultural chemicals (Gowan Milling of Yuma, AZ) submitted proposals. (Contact: Tai-ming Chang, ELP Director, 202-564-5081 or Robert Fentress 202-564-7023)

*Project XL*

Project XL was initiated in March 1995 as a part of President Clinton's *Reinventing Environmental Regulation* initiative. The projects seek to achieve cost effective environmental benefits by allowing participants to replace or modify existing regulatory requirements on the condition that they produce greater environmental benefits. EPA and program participants will negotiate and sign a final Project Agreement, detailing specific objectives that the regulated entity shall satisfy. In exchange, EPA will allow the participant a certain degree of regulatory flexibility and may seek changes in underlying regulations or statutes. Participants are encouraged to seek stakeholder support from local governments, businesses, and environmental groups. EPA hopes to implement fifty pilot projects in four categories including facilities, sectors, communities, and government agencies regulated by EPA. Applications will be accepted on a rolling basis and projects will move to implementation within six months of their selection. For additional information regarding XL Projects, including application procedures and criteria, see the May 23, 1995 Federal Register Notice. (Contact: Jon Kessler, Office of Policy Analysis, 202-260-4034)

*Green Lights Program*

EPA's Green Lights program was initiated in 1991 and has the goal of preventing pollution by encouraging U.S. institutions to use energy-efficient lighting technologies. The program has over 1,500 participants which include major corporations; small and medium sized businesses; federal, state and

local governments; non-profit groups; schools; universities; and health care facilities. Each participant is required to survey their facilities and upgrade lighting wherever it is profitable. EPA provides technical assistance to the participants through a decision support software package, workshops and manuals, and a financing registry. EPA's Office of Air and Radiation is responsible for operating the Green Lights Program. (Contact: Maria Tikoff 202-233-9178 or the Green Light/Energy Star Hotline, 202-775-6650)

#### *WasteWi\$e Program*

The WasteWi\$e Program was started in 1994 by EPA's Office of Solid Waste and Emergency Response. The program is aimed at reducing municipal solid wastes by promoting waste minimization, recycling collection, and the manufacturing and purchase of recycled products. As of 1994, the program had about 300 companies as members, including a number of major corporations. Members agree to identify and implement actions to reduce their solid wastes and must provide EPA with their waste reduction goals along with yearly progress reports. EPA, in turn, provides technical assistance to member companies and allows the use of the WasteWi\$e logo for promotional purposes. (Contact: Lynda Wynn 202-260-0700 or the WasteWi\$e Hotline, 800-372-9473)

#### *Climate Wise Recognition Program*

The Climate Change Action Plan was initiated in response to the U.S. commitment to reduce greenhouse gas emissions in accordance with the Climate Change Convention of the 1990 Earth Summit. As part of the Climate Change Action Plan, the Climate Wise Recognition Program is a partnership initiative run jointly by EPA and the Department of Energy. The program is designed to reduce greenhouse gas emissions by encouraging reductions across all sectors of the economy, encouraging participation in the full range of Climate Change Action Plan initiatives, and fostering innovation. Participants in the program are required to identify and commit to actions that reduce greenhouse gas emissions. The program, in turn, gives organizations early recognition for their reduction commitments; provides technical assistance through consulting services, workshops, and guides; and provides access to the program's centralized information system. At EPA, the program is operated by the Air and Energy Policy Division within the Office of Policy Planning and Evaluation. (Contact: Pamela Herman 202-260-4407)

*NICE<sup>3</sup>*

The U.S. Department of Energy and EPA's Office of Pollution Prevention are jointly administering a grant program called The National Industrial Competitiveness through Energy, Environment, and Economics (NICE<sup>3</sup>). By providing grants of up to 50 percent of the total project cost, the program encourages industry to reduce industrial waste at its source and become more energy-efficient and cost-competitive through waste minimization efforts. Grants are used by industry to design, test, demonstrate, and assess the feasibility of new processes and/or equipment with the potential to reduce pollution and increase energy efficiency. The program is open to all industries; however, priority is given to proposals from participants in the pulp and paper, chemicals, primary metals, and petroleum and coal products sectors. (Contact: DOE's Golden Field Office, 303-275-4729)

**VIII.C. Trade Association/Industry Sponsored Activity****VIII.C.1. Environmental Programs***Global Environmental Management Initiative*

The Global Environmental Management Initiative (GEMI) is made up of a group of leading companies dedicated to fostering environmental excellence by business. GEMI promotes a worldwide business ethic for environmental management and sustainable development to improve the environmental performance of business through example and leadership. In 1994, GEMI's membership consisted of about 30 major corporations including Amoco Corporation.

*National Pollution Prevention Roundtable*

The National Pollution Prevention Roundtable published *The Pollution Prevention Yellow Pages* in September 1994. It is a compilation of information collected from mail and telephone surveys of state and local government pollution prevention programs. (Contact: Natalie Roy 202-543-7272) The following state programs listed themselves as having expertise in pollution prevention related to inorganic chemical manufacture and use. The contacts listed below (Exhibit 27) are also likely to be aware of various state- and local-level initiatives affecting the inorganic chemical industry.

<b>Exhibit 27: Contacts for State and Local Pollution Prevention Programs</b>			
State	Program	Contact	Telephone
Alabama	AL Dept. of Env. Protection, Ombudsman and Small Business Assistance Program	Blake Roper, Michael Sherman	(800) 533-2336 (205) 271-7861
	AL WRATT Foundation	Roy Nicholson	(205) 386-3633
California	CA State Dept. of Toxic Substances Control	David Harley, Kim Wilhelm, Kathy Barwick	(916) 322-3670
	County Sanitation Districts of LA	Michelle Mische	(310) 699-7411
Colorado	Region VIII HW Minimization Program	Marie Zanowich	(303) 294-1065
Illinois	IL HW Research and Information Center	David Thomas	(217) 333-8940
Indiana	IN Dept. of Env. Mgmt.	Tom Neltner	(317) 232-8172
Iowa	IA Dept. of Natural Resources	Larry Gibson	(515) 281-8941
Kentucky	KY Partners, State Waste Reduction Center	Joyce St. Clair	(502) 852-7260
Massachusetts	Toxics Use Reduction Institute	Janet Clark	(508) 934-3346
Michigan	University of Detroit Mercy	Daniel Klempner	(313) 993-3385
New Mexico	Waste Management Education and Research Consortium	Ron Bhada	(505) 646-1510
North Dakota	Energy and Env. Research Center	Gerald Groenewold	(701) 777-5000
Ohio	Institute of Advanced Manufacturing Sciences	Harry Stone, Sally Clement	(513) 948-2050
Pennsylvania	Center for Hazardous Materials Research	Roger Price, Steven Ostheim	(412) 826-5320
Rhode Island	RI Center for P2, URI	Stanley Barnett	(401) 792-2443
South Carolina	Clemson University	Eric Snider	(803) 656-0985
Texas	TX Natural Resource Conservation Commission	Andrew Neblett	(512) 239-3100
Vermont	Retired Engineers and Professionals Program	Muriel Durgin	(802) 879-4703
Washington	WA State Dept. of Ecology	Peggy Morgan	(206) 407-6705
Wisconsin	WI Dept. of Natural Resources, Small Business Assistance Program	Robert Baggot	(608) 267-3136
Wyoming	WY Dept. of Env. Quality	Charles Raffelson	(307) 777-7391

*Center for Waste Reduction Technologies*

**Center for Waste Reduction Technologies**, under the aegis of the American Institute of Chemical Engineers, sponsors research on innovative technologies to reduce waste in the chemical processing industries. The primary mechanism is through funding of academic research.

*National Science Foundation and the Office of Pollution Prevention and Toxics*

The National Science Foundation and EPA's Office of Pollution Prevention and Toxics signed an agreement in January of 1994 to coordinate the two agencies' programs of **basic research related to pollution prevention**. The collaboration will stress research in the use of less toxic chemical and synthetic feedstocks, use of photochemical processes instead of traditional ones that employ toxic reagents, use of recyclable catalysts to reduce metal contamination, and use of natural feedstocks when synthesizing chemicals in large quantities.

*Chemical Manufacturers Association*

The **Chemical Manufacturers Association** funds research on issues of interest to their members particularly in support of their positions on proposed or possible legislation. They recently funded a study to characterize the environmental fate of organochlorine compounds.

*Responsible Care Program*

The **Responsible Care Program** of the Chemical Manufacturers Association requires members to pledge commitment to six codes that identify 106 management practices that companies must carry out in the areas of community awareness and emergency response, pollution prevention, process safety, distribution, employee health and safety, and product stewardship.

*ISO 9000*

**ISO 9000** is a series of international total quality management guidelines. After a successful independent audit of their management plans, firms are qualified to be ISO 9000 registered. In June of 1993, the International Standards Organization created a technical committee to begin work on new standards for environmental management systems. The new standards are called ISO 14000 and are expected to be issued in 1996.



## VII.C.2. Summary of Trade Associations

Chemical Industry

American Chemical Society  
1155 16th Street, NW  
Washington, D.C. 20036  
Phone: (202) 872-8724  
Fax: (202) 872-6206

Members: 145,000  
Staff: 1700  
Budget: \$192,000,000

The American Chemical Society (ACS) has an educational and research focus. The ACS produces approximately thirty different industry periodicals and research journals, including *Environmental Science and Technology* and *Chemical Research in Toxicology*. In addition to publishing, the ACS presently conducts studies and surveys; legislation monitoring, analysis, and reporting; and operates a variety of educational programs. The ACS library and on-line information services are extensive. Some available on-line services are *Chemical Journals Online*, containing the full text of 18 ACS journals, 10 Royal Society of Chemistry journals, and five polymer journals, and the Chemical Abstracts Service (CAS), which provides a variety of information on chemical compounds. Founded in 1876, the ACS is presently comprised of 184 local groups and 843 student groups nationwide.

Chemical Manufacturers Association  
2501 M St., NW  
Washington, D.C. 20037  
Phone: (202) 887-1164  
Fax: (202) 887-1237

Members: 185  
Staff: 246  
Budget: \$36,000,000  
Contact: Joseph Mayhew

Presently, the principle focus of the Chemical Manufacturers Association (CMA) is on regulatory issues facing chemical manufacturers at the local, state, and federal level. At its inception in 1872, the focus of the CMA was on serving chemical manufacturers through research. Research is still ongoing at the CMA, however, as the CHEMSTAR program illustrates. CHEMSTAR consists of a variety of self-funded panels working on single-chemical research agendas. This research fits in with the overall regulatory focus of the CMA; CHEMSTAR study results are provided to both CMA membership and regulatory agencies. Other initiatives include the "responsible care" program. Membership in the CMA is contingent upon enrollment in the "responsible care" program, which includes six codes of management practice (including pollution prevention) that attempt to "go beyond simple regulatory compliance." The CMA also conducts workshops and technical symposia, promotes in-plant safety, operates a chemical emergency center (CHEMTREC) which offers guidance in chemical emergency situations, and operates the Chemical Referral Center which provides chemical health and safety information to the public. Publications include: *ChemEcology*, a 10-

issue-per-year newsletter covering environmental, pollution-control, worker-safety, and federal and state regulatory actions, and the *CMA Directory*, a listing of the CMA membership. The CMA holds an annual meeting in White Sulphur Springs, WV.

### Chlor-alkali Industry

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The Chlorine Institute, Inc.  
2001 L Street, N.W.  
Suite 506  
Washington, D.C. 20036  
Phone: (202) 223-2790  
Fax: (202) 223-7225

Members: 200  
Budget: \$1,500,000  
Contact: Gary Trojak

The Chlorine Institute, Inc. was established in 1924 and represents companies in the U.S., Canada, and other countries that produce, distribute, and use chlorine, sodium and potassium hydroxides, and sodium hypochlorite; and that distribute and use hydrogen chloride. The Institute is a non-profit scientific and technical organization which serves as a safety, health, and environmental protection center for the industry.

Chlorine Chemistry Council  
2501 M Street, N.W.  
Washington, D.C. 20037  
Phone: (202) 887-1100  
Fax: (202) 887-6925

Members: 30  
Staff: 24  
Budget: \$14,000,000  
Contact: Kip Howlett Jr.

The Chlorine Chemistry Council (CCC), established in 1993, is a business council of the Chemical Manufacturers Association (CMA) and is made up of producers and users of chlorine and chlorine-related products. With involvement from all stakeholders, the CCC works to promote science-based public policy regarding chlorine chemistry and is committed to develop and produce only those chemicals that can be manufactured, transported, used, and disposed of safely. CCC facilitates risk-benefit analyses and product stewardship through the collection, development, and use of scientific data on health, safety, and environmental issues. CCC hopes to heighten public awareness of chlorine chemistry and its many societal benefits by collaborating with the public health and scientific community in assessing and communicating chlorine-related human health and environmental issues.

## IX. CONTACTS/ACKNOWLEDGMENTS/RESOURCE MATERIALS/BIBLIOGRAPHY

For further information on selected topics within the inorganic chemicals industry a list of contacts and publications are provided below:

**Contacts<sup>f</sup>**

Name	Organization	Telephone	Subject
Walter DeRieux	EPA/OECA	(202) 564-7067	Regulatory requirements and compliance assistance
Sergio Siao	EPA/NEIC	(303) 236-3636	Industrial processes and regulatory requirements
Iliam Rosario	EPA/OAQPS	(919) 541-5308	Regulatory requirements (Air), Chlorine NESHAPs
George Zipf	EPA/OW	(202) 260-2275	Regulatory requirements (Water)
Rick Brandes	EPA/OSWER	(202) 260-4770	Regulatory requirements (Solid waste)
Ed Burks	EPA/Region IV	(404) 347-5205	Inspections, regulatory requirements (RCRA)
Jim Gold	EPA/Region VI	(713) 983-2153	Inspections and regulatory requirements (Water, AIR and TSCA)

OECA: Office of Enforcement and Compliance Assistance

NEIC: National Enforcement Investigations Center

OAQPS: Office of Air Quality Planning and Standards

OW: Office of Water

OSWER: Office of Solid Waste and Emergency Response

**General Profile**

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<sup>f</sup> Many of the contacts listed above have provided valuable background information and comments during the development of this document. EPA appreciates this support and acknowledges that the individuals listed do not necessarily endorse all statements made within this notebook.

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