

**MANAGEMENT DECISIONS ON AUDIT REPORTS
NOT IMPLEMENTED WITHIN ONE YEAR
AS OF SEPTEMBER 30, 2007**

Report Number/ Date Issued	Report Title	Management Improvements		Status of Final Action
		Recommended	Implemented	
<u>ATF</u> 05-15 03/24/05	Bureau of Alcohol, Tobacco, Firearms and Explosives Annual Financial Statement Fiscal Year 2004	6	3	The OIG will assess the status of the Bureau of Alcohol, Tobacco, Firearms and Explosives' (ATF) corrective actions during the annual financial statement audit testing.
05-30 06/28/05	The Bureau of Alcohol, Tobacco, Firearms and Explosives' National Integrated Ballistic Information Network	12	7	The ATF continues to implement measures to strengthen its management and implementation of the National Integrated Ballistic Network and enhance its promotional efforts to improve program participation.
06-15 03/09/06	Follow-up Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives Forensic Science Laboratories Workload Management	7	2	The ATF is developing plans for addressing its backlog of forensic cases, managing workloads from resource-intensive cases, and managing all incoming evidence submissions.
06-21 03/30/06	Bureau of Alcohol, Tobacco, Firearms and Explosives Annual Financial Statement Fiscal Year 2005	8	7	The OIG will evaluate the status of this audit report during the annual financial statement audit testing.
06-37 09/29/06	Management of Seized Assets by the Bureau of Alcohol, Tobacco, Firearms and Explosives	5	0	The ATF is implementing corrective actions to address the weaknesses identified by the OIG.

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<u>BOP</u> 03-25 07/15/03	Select Application Controls Review of the Federal Bureau of Prisons' Sentry Database System	7	6	The Bureau of Prisons (BOP) is configuring the SENTRY workstations to restrict authorized users' access to the areas they have been authorized to access.
04-16 03/09/04	The Federal Bureau of Prisons Inmate Release Preparation and Transitional Reentry Programs	13	7	The BOP is enhancing its inmate release preparation and reentry programs to provide inmates the appropriate programs and necessary skills to successfully reenter their communities.
06-19 03/23/06	Federal Bureau of Prisons Annual Financial Statement Fiscal Year 2005	7	6	The OIG will reassess the status of the remaining open recommendations during the annual financial statement audit testing.
06-28 04/10/06	Independent Evaluation Pursuant to the Federal Information Security Management Act Fiscal Year 2005 - The Department of Justice's Federal Bureau of Prisons' Inmate Telephone System	20	15	The Bureau of Prisons is implementing corrective measures to enhance the ITS II Windows NT network environment.

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<u>COPS</u> 06-16 03/21/06	The Office of Community Oriented Policing Services Methamphetamine Initiative	17	13	The Office of Community Oriented Policing Services (COPS) is developing an agency-wide plan with policies and procedures for implementing the Methamphetamine Initiative.
<u>CRM</u> GR-40-04-008 08/27/04	Use of Equitable Sharing Revenues by the Villa Rica, Georgia Police Department	10	9	The Criminal Division's (CRM) Asset Forfeiture and Money Laundering Section (AFMLS) is working with the grantee to address the audit recommendations and remedy the cost exceptions.
GR-50-04-009 09/24/04	Equitable Sharing Activities of the Marion County Justice Agency Marion County, Indiana	11	10	Prior to the end of the reporting period, AFMLS completed implementation of corrective action and provided the necessary documentation to the OIG with a request to close the audit report.
GR-70-05-007 01/12/05	Use of Equitable Sharing Assets by the Police Department of the City of Albany, New York	7	5	AFMLS is working with the grantee to address the remaining two open recommendations and to redress the cost exceptions noted in the audit report.

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<u>DEA</u> 03-35 09/30/03	The Drug Enforcement Administration's Implementation of the Government Performance and Results Act	7	5	The Drug Enforcement Administration (DEA) is implementing a system to collect, analyze and report performance data. In addition, the DEA is developing procedures and controls for verifying the data.
04-17 03/16/04	Follow-up Audit of the Drug Enforcement Administration's Laboratory Operations	15	14	The DEA is in the process of moving its Southeast Laboratory to a more suitable facility.
04-36 09/23/04	The Drug Enforcement Administration's Management of Enterprise Architecture and Information Technology Investments	7	5	The DEA is establishing a project management team to manage the Enterprise Architecture. The DEA plans to use the Government Accountability Office's Maturity Model criteria and the metrics within the model.
05-25 05/25/05	The Drug Enforcement Administration's Payments to Confidential Sources	15	12	The DEA is developing an automated mechanism for tracking payments made to confidential sources. In addition, the DEA is formalizing a process for reconciling all payments made to confidential sources.

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06-27 04/05/06	Independent Evaluation Pursuant to the Federal Information Security Management Act Fiscal Year 2005 - The Department of Justice's Drug Enforcement Administration Information Security Program	15	12	The DEA is developing guidelines for updating solicitation documents so that system threats or vulnerabilities are addressed when system modifications occur; establishing procedures to ensure that interconnected system agreements are developed, documented, approved and signed, and developing procedures to ensure that integrity verification programs are used on the DEA's systems to look for evidence of data tampering, errors, and omissions.
06-30 04/10/06	Independent Evaluation Pursuant to the Federal Information Security Management Act Fiscal Year 2005 - The Drug Enforcement Administration's El Paso Intelligence Center Information System	13	12	DEA developed an offsite IT contingency plan in accordance with Department policy and provided the necessary documentation to the OIG prior to the end of the reporting period.
06-31 04/10/06	Independent Evaluation Pursuant to the Federal Information Security Management Act Fiscal Year 2005 - The Drug Enforcement Administration's El Paso Intelligence Center Seizure System	12	11	DEA developed an offsite IT contingency plan in accordance with Department policy and provided the necessary documentation to the OIG prior to the end of the reporting period.

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<u>EOUSA</u> 02-11 03/29/02	Independent Evaluation Pursuant to the GISRA FY 2001 - The Executive Office for United States Attorneys Justice Consolidated Office Network II	10	9	This audit can be closed when the Executive Office for United States Attorneys completes its implementation of a centralized audit log review system.
<u>FBI</u> 02-38 02/13/03	Review of the Federal Bureau of Investigation's Counterterrorism Program	14	12	One of the open recommendations will be closed when the Federal Bureau of Investigation (FBI) completes its implementation of the Unified Financial Management System (UFMS). The remaining recommendation will be closed when the FBI integrates training into its performance measures and standards and implements a system that holds managers accountable for achieving the proficiency goal.
03-05 04/02/03	The Federal Bureau of Investigation's DRUGX Interactive Trusted Guard System	12	11	The FBI is completing the implementation of a new offsite backup tape facility and the duplicate DRUGX system.

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03-37 09/30/03	Federal Bureau of Investigation Casework and Human Resource Allocation	7	5	The FBI is implementing a virtual case file system to replace its automated case support system.
04-18 03/31/04	Federal Bureau of Investigation Legal Attache Program	6	4	The FBI is implementing corrective measures to enhance the Legal Attache Program.
05-07 02/01/05	The Federal Bureau of Investigation's Management of the Trilogy Information Technology Modernization Project	9	8	The Trilogy system has been replaced by the Sentinel system. Therefore, the OIG is monitoring this report through its follow-up on OIG Audit Report 07-03, Sentinel Audit II: Status of FBI's Case Management System.
05-20 05/03/05	The Federal Bureau of Investigation's Efforts to Hire, Train and Retain Intelligence Analysts	15	5	The OIG is conducting a follow-up audit that will specifically address the recommendations in this audit report. Consequently, the OIG has suspended follow-up related to this audit report.
05-34 08/01/05	Review of the Terrorist Screening Center's Efforts to Support the Secure Flight Program	5	3	The FBI is coordinating with the Transportation Security Administration to address the remaining two recommendations.
05-35 09/16/05	Review of the Federal Bureau of Investigation Headquarters' Information Systems Control Environment	8	7	The FBI is implementing the corrective actions recommended by the OIG.

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05-37 09/30/05	The External Effects of the Federal Bureau of Investigation's Reprioritization Efforts	7	4	To utilize its resources more effectively, the FBI is implementing the Strategic Management System (SMS), a system designed to integrate strategic planning across operational and administrative objectives while maintaining attention on key initiatives that require immediate attention.
06-13 03/06/06	The Implementation of the Communications Assistance for Law Enforcement Act	6	2	As recommended by the OIG, the FBI is taking corrective action to enhance its implementation of the Communications Assistance for Law Enforcement Act.
06-14 03/09/06	The Federal Bureau of Investigation's Pre-Acquisition Planning For and Controls Over the Sentinel Case Management System	7	4	The OIG is conducting follow-up audits that will address the remaining three open recommendations. Therefore, the OIG has suspended follow-up related to this report. The OIG, however, will continue to monitor the status of the three recommendations.
06-32 05/22/06	Combined DNA Index System Operational and Laboratory Vulnerabilities	22	16	The FBI is implementing various initiatives to rectify vulnerabilities identified by the OIG.
06-35 08/21/06	Review of the Federal Bureau of Investigation Headquarters' Information Systems Control Environment	13	11	The FBI is implementing corrective measures to remedy the weaknesses noted by the OIG.

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GR-40-04-006 06/09/04	Compliance with Standards Governing Combined DNA Index System Activities at the Georgia Bureau of Investigation, Division of Forensic Sciences Laboratory, Decatur, Georgia	4	3	The FBI is determining whether DNA profiles uploaded to the National DNA Index System include additional results obtained during supplementary testing.
GR-40-06-006 05/26/06	Compliance with Standards Governing Combined DNA Index System Activities at the Tennessee Bureau of Investigation Forensic Services Division Nashville Laboratory, Nashville, Tennessee	3	2	In coordination with the Tennessee Bureau of Investigation Forensic Services Division Nashville Laboratory, the FBI is reviewing all forensic profiles to ensure that all profiles in NDIS are allowable and all specimen identification numbers are complete and accurate.
GR-50-05-011 06/02/05	Compliance with Standards Governing Combined DNA Index System Activities at the State of Michigan, Department of State Police Lansing Forensic Science Laboratory	6	2	The FBI is coordinating with the Michigan State Police Forensic Science Laboratory in Lansing, Michigan to implement the recommended corrective actions.

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GR-70-06-012 09/26/06	Compliance with Standards Governing Combined DNA Index System Activities at the Massachusetts State Police Crime Laboratory, Sudbury, Massachusetts	3	1	The FBI is working with the Massachusetts State Police Crime Laboratory to implement internal control procedures related to NDIS participation requirements and the accuracy, completeness and allowability of data uploaded to NDIS.
<u>JMD</u> 01-01 11/06/00	Departmental Critical Infrastructure Protection	4	1	The Justice Management Division (JMD) is compiling documentation on the development if the Department's mission essential inventory and the completion of the related vulnerability assessments and remediation plans.
02-21 06/10/02	Summary of the Independent Evaluation Pursuant to the Government Information Security Reform Act Fiscal Year 2001	7	0	JMD/ Office of the Chief Information Officer (OCIO) is implementing various corrective measures to enhance the Department's IT security management and oversight activities.
02-31 08/01/02	The Department of Justice's Control Over Weapons and Laptop Computers	13	10	JMD is collecting documentation regarding thr Departmental components completion of physical inventory requirements. In addition, JMD is implementing procedures for the review and follow-up on the semiannual loss report.

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04-05 11/13/03	Departmental Critical Infrastructure Protection Implementing Plans to Protect Cyber-based Infrastructure	26	20	JMD/OCIO is coordinating with the Departmental components to implement the corrective actions necessary to remedy the weaknesses identified by the OIG.
05-22 05/03/05	The Joint Automated Booking System	6	2	JMD/OCIO must provide the OIG supporting documentation for the actions completed and a copy of its plans to revise or complete the goal of establishing an offender tracking system.
06-02 11/02/05	The Status of Enterprise Architecture and Information Technology Investment Management in the Department of Justice	7	6	JMD/OCIO is compiling documentation pertaining to the Department's efforts to track and review the planning, development, completion and updating of component-level Enterprise Architecture.
06-12 02/27/06	Working Capital Fund Annual Financial Statement Fiscal Year 2005	4	3	The OIG will reassess the status of the remaining open recommendation during the annual financial statement audit testing.
06-24 03/30/06	U.S. Department of Justice Review of the Consolidated Information System General Controls Environment Fiscal Year 2005	14	12	JMD is implementing corrective actions related to the timely completion of employee clearance forms and the proper disabling or deletion of JCON user accounts upon an employee's departure.

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<u>OFDT</u> 05-04 12/17/04	The Department of Justice Office of the Federal Detention Trustee	11	9	The Office of the Federal Detention Trustee (OFDT) is implementing various initiatives to clearly define its role, mission and functions and address detention issues.
<u>OJP</u> 05-36 09/29/05	Office of Justice Programs Annual Financial Statement Fiscal Year 2003 As Restated	13	12	The OIG will close this audit report when subsequent financial statement audit testing verifies that OJP has utilized the grant closeout process to facilitate a more timely review of grants that are beyond their end date or have a final SF-269.
06-17 03/21/06	Office of Justice Programs Annual Financial Statement Fiscal Year 2005	26	23	The OIG will reevaluate the status of OJP's corrective actions during the annual financial statement audit testing.
<u>OVW</u> GR-80-05-008 08/24/05	Stop Violence Against Women Formula Grant Awarded to the State of Texas, Office of the Governor, Criminal Justice Division	6	4	The Office of Violence Against Women (OVW) is working with the grantee to compile the documentation necessary to close the remaining two recommendations.

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USMS 03-26 07/30/03	United States Marshals Service Annual Financial Statement Fiscal Year 2002	15	10	The OIG will evaluate the United States Marshals Service's (USMS) progress in implementing corrective actions during the annual financial statement audit testing.
04-14 02/18/04	United States Marshals Service Prisoner Medical Care	17	16	The USMS is coordinating with the OFDT to obtain the necessary funding for the implementation and oversight of the USMS National Managed Care Contract.
05-10 03/11/05	United States Marshals Service Administration of the Witness Security Program	21	16	The USMS is implementing various corrective measures that will enable the USMS to manage the Witness Security Program more effectively.
05-13 03/22/05	United States Marshals Service Annual Financial Statement Fiscal Year 2004	12	11	The OIG will assess the USMS's progress in implementing corrective actions during the annual financial statement audit testing.
05-28 06/17/05	The United States Marshals Service's Cooperative Agreement Program	3	1	The OIG will close the audit report when the USMS provides a copy of the procedures the USMS established for tracking Cooperative Agreement Program funds.
06-09 02/15/06	United States Marshals Service Annual Financial Statement Fiscal Year 2005	24	23	The OIG will reassess the status of the open recommendation during the annual financial statement audit testing.

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GR-30-96-013 09/30/06	United States Marshals Service Intergovernmental Service Agreement for Detention Facilities with Union County, New Jersey	4	0	The USMS, OFDT and JMD are coordinating with the Civil Division and the OIG to evaluate potential actions regarding the cost issues in the different jurisdictions.
GR-80-97-017 09/04/97	United States Marshals Service Intergovernmental Service Agreement for Detention Service with Torrance County, New Mexico	2	0	The USMS, OFDT and JMD are coordinating with the Civil Division and the OIG to evaluate potential actions regarding the cost issues in the different jurisdictions.
GR-90-98-001 10/10/97	United States Marshals Intergovernmental Service Agreement for Detention Service with Pinal County, Arizona at the Corrections's Corporation Central Arizona Detention Facility.	2	1	The USMS, OFDT and JMD are coordinating with the Civil Division and the OIG to evaluate potential actions regarding the cost issues in the different jurisdictions.
<u>Multi-Component</u> 01-12 03/21/01	Federal Cost Recovery and Program Monitoring in the Equitable Sharing Program	3	2	The Department is clarifying its policy regarding the recovery of expenses prior to making equitable sharing disbursements. Once completed, the Department will provide the OIG the documentation necessary to close the report.

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05-19 04/04/05	Department of Justice Process for Identifying, Preventing and Recovering Improper and Erroneous Payments	22	18	The FBI and USMS need to develop and implement written policies and procedures for their recovery audit program in accordance with the Department-wide recovery audit policy developed by JMD.
<u>COPS</u> Various	27 Grant Audit Reports 12 Single Audit Act Reports	284	124	COPS is working with the grantees to remedy the actual cost exceptions and compile the required documentation related to redeployment, retention, planning and supplanting.
<u>OJP</u> Various	36 Grant Audit Reports 23 Single Audit Act Reports	490	238	OJP is coordinating with the grantees to remedy the deficiencies and cost exceptions identified by the OIG.