

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

- 1. Date of Submission: 8/24/2007
- 2. Agency: Department of Justice
- 3. Bureau: Justice Management Division
- 4. Name of this Capital Asset: JMD Unified Financial Management System (UFMS)
- 5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.) 011-03-01-01-01-1126-00
- 6. What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.) Mixed Life Cycle
- 7. What was the first budget year this investment was submitted to OMB? FY2004
- 8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

The Department of Justice (DOJ) has initiated an effort to implement a unified system that will improve the existing and future financial management and procurement operations across DOJ. The Department will address these needs via the implementation of the UFMS, which is planned to replace six (6) core financial management systems and multiple procurement systems currently operating across DOJ with an integrated Commercial Off The Shelf (COTS) solution. The UFMS will allow the DOJ to streamline and standardize business processes and procedures across all Components, providing accurate, timely, and useful financial data to financial and Program managers across the Department, and produce Component- and Department-level financial statements. In addition, the system will assist the DOJ by improving financial management performance and aid Department Components in addressing the material weaknesses and non-conformances in internal controls, accounting standards, and systems security identified by the DOJ Office of the Inspector General (OIG). Finally, the system will provide procurement functionality to streamline business processes, provide consolidated management information, and the capability to meet all mandatory requirements of the Federal Acquisition Regulation (FAR) and the Justice Acquisition Regulations (JAR).
- 9. Did the Agency's Executive/Investment Committee approve this request? Yes
 - a. If "yes," what was the date of this approval? 12/13/2001
- 10. Did the Project Manager review this Exhibit? Yes
- 11. Contact information of Project Manager?
 - Name: Clarey, Kay
 - Phone Number: 202-305-3651
 - Email: Kay.Clarey@usdoj.gov
 - a. What is the current FAC-P/PM certification level of the project/program manager? Senior/Expert-level
- 12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project? Yes
 - a. Will this investment include electronic assets (including computers)? Yes
 - b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only) No
 - 1. If "yes," is an ESPC or UESC being used to help fund this investment? No
 - 2. If "yes," will this investment meet sustainable design principles?
 - 3. If "yes," is it designed to be 30% more energy efficient than relevant code?

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13. Does this investment directly support one of the PMA initiatives? Yes
- If "yes," check all that apply: Expanded E-Government
- a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?) UFMS supports the PMA through the FMLoB workgroup by sharing the program's standards on processes/workflow, data, and interfaces to establish govt-wide standards. UFMS also supports the DOJ eTravel deployment in development of data, workflow, and interface standards that comply with FMLoB. DOJ continues to monitor the FMLoB SSP initiative to determine when this alternative is more cost effective.
14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.) No
- a. If "yes," does this investment address a weakness found during a PART review? No
- b. If "yes," what is the name of the PARTed program?
- c. If "yes," what rating did the PART receive?
15. Is this investment for information technology? Yes
- If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.
- For information technology investments only:
16. What is the level of the IT Project? (per CIO Council PM Guidance) Level 3
17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance) (1) Project manager has been validated as qualified for this investment
18. Is this investment or any project(s) within this investment identified as "high risk" on the Q4 - FY 2007 agency high risk report (per OMB Memorandum M-05-23) Yes
19. Is this a financial management system? Yes
- a. If "yes," does this investment address a FFMIA compliance area? Yes
1. If "yes," which compliance area: Compliance with federal accounting standards; federal financial management systems; and U.S. standard general ledger
2. If "no," what does it address?
- b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52
20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)
- | | |
|----------|-------|
| Hardware | 0.17 |
| Software | 6.58 |
| Services | 90.93 |
| Other | 2.32 |
21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities? Yes
22. Contact information of individual responsible for privacy related questions:
- | | |
|--------------|-----------------------|
| Name | Hitch, Van |
| Phone Number | 202-514-0507 |
| Title | OCIO |
| E-mail | vance.hitch@usdoj.gov |
23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval? Yes

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO High Risk Areas? No

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS)									
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total
Planning:	65.922682	11.288565	9.571614	9.118609					
Acquisition:	58.188522	42.08623	55.851150	55.500716					
Subtotal Planning & Acquisition:	124.111204	53.374795	65.422764	64.619325					
Operations & Maintenance:	131.440271	23.130732	35.101297	36.117509					
TOTAL:	255.551475	76.505527	100.524061	100.736834					
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	46.957147	7.170651	7.709212	8.326773					
Number of FTE represented by Costs:	66	49	53	44					

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's? Yes

a. If "yes," How many and in what year?

The PMO will hire an additional 4 authorized positions in FY08. There will be no additional FTE hires for the PMO after FY08.

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes:

The summary of spending has changed to include the new contract awarded to IBM to supply integration services of CGI's Momentum software.

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

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Contracts/Task Orders Table:																* Costs in millions
Contract or Task Order Number	Type of Contract/ Task Order	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (\$M)	Is this an Interagency Acquisition ? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)	Name of CO	CO Contact information (phone/email)	Contracting Officer Certification Level (Level 1,2,3,N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition ? (Y/N)
DJJ04099T03	BPA	Yes	9/23/2004	9/27/2004	9/30/2007	7.196835	Yes	No	Yes	NA	No	Yes	Tom Naccarato, Tom	/ tom.naccarato@usdoj.gov	Level 1	Yes
GS-35F-0306J	T&M/02-F0591	Yes	4/12/2002	4/12/2002	3/31/2008	27.29886	No	No	Yes	NA	No	Yes	Tom Naccarato, Tom	/ tom.naccarato@usdoj.gov	Level 1	Yes
DJJ04-F-0954DO0002	GSA Schedule/Delivery Order	Yes	3/28/2006	4/1/2006	9/30/2006	4.648293	No	No	Yes	NA	No	Yes	Tom Naccarato, Tom	/ tom.naccarato@usdoj.gov	Level 1	Yes
DJJ07-F-1589	T&M	Yes	9/11/2007	9/11/2007	4/10/2008	0.200598	No	No	No	NA	No	Yes	Tom Naccarato, Tom	/ tom.naccarato@usdoj.gov	Level 1	

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

All development work is being conducted by IBM (and its sub-contractors) under Contract Number DJJ-06-F-1338. This contract requires IBM to use an ANSI 748-A compliant Earned Value Management System (EVMS) to manage the performance of all UFMS Task Orders. Earned Value is not required of the remaining contractors; their support is level of effort for program management and advisory services. Earned Value calculations for these level of effort contracts are completed by the UFMS Program Management Office and captured in the program management budget.

3. Do the contracts ensure Section 508 compliance? Yes

a. Explain why:

DOJ will ensure Section 508 compliance of the core FM system product by purchasing software that is not only FSIO-compliant but also complies with the software application standards required by Section 508 of the Rehabilitation Act. Compliance with these standards is a component of FSIO testing. Compliance with Section 508 will be a requirement for all third-party software products purchased as well. UFMS will ensure that any configurations and/or modifications will maintain compliance.

4. Is there an acquisition plan which has been approved in accordance with agency requirements? Yes

a. If "yes," what is the date?

7/28/2005

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond FY 2009.

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2008	Ensure the Fair and Efficient Administration of Justice	Customer Results	Customer Benefit	Customer Satisfaction	Meeting all principal criteria of the OMB high risk report. (Baseline with Clear Goals, Cost and Schedule Variance within 10%, Qualified Project Manager, and Avoiding Duplication)	Answering, Yes, to all principal criteria.	Continuing to answer, Yes, to all principal criteria.	We continue to meet this criteria.
2009	Ensure the Fair and Efficient Administration of Justice	Customer Results	Customer Benefit	Customer Training	Number of students accomplishing UFMS training successfully.	Zero employees at DEA are trained on UFMS.	6,500 users at DEA trained on UFMS	Results will be determined in Nov 08 for FY2009 Goals. These metrics will be tracked annually.
2009	Ensure the Fair and Efficient Administration of Justice	Mission and Business Results	Administrative Management	Facilities, Fleet, And Equipment Management	Resource productivity gains	Accounting support at DEA requires 494K labor hours, level of effort, per year.	Annual cost savings or reallocation of resources of 74K labor hours at DEA.	Results will be determined in Nov 08 for FY2009 Goals. These metrics will be tracked annually.
2009	Ensure the Fair and Efficient Administration of Justice	Mission and Business Results	Information and Technology Management	Information Systems Security	% of laptops with encryption loaded and configured	Comply with federal and Department security standards	Ensure the confidentiality, integrity, and availability of the Department's	Results will be determined in Oct 09 for FY2009 Goals. These metrics

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
							financial information	will be tracked annually.
2009	Ensure the Fair and Efficient Administration of Justice	Processes and Activities	Cycle Time and Resource Time	Cycle Time	Requisition Cycle Time	Current average cycle time for the Requisition process at DEA is 143 days.	Decrease average cycle time for Requisition process at DEA to 129 days.	Results will be determined in Nov 08 for FY2009 Goals. These metrics will be tracked annually.
2009	Ensure the Fair and Efficient Administration of Justice	Processes and Activities	Cycle Time and Resource Time	Cycle Time	Cycle time from the submittal of an invoice to the receipt of payment	Current minimum average cycle time from the submittal of an invoice to the receipt of payment at DEA is 79 days.	Decrease minimum average cycle time from the submittal of an invoice to the receipt of payment at DEA to 71 days.	Results will be determined in Nov 08 for FY2009 Goals. These metrics will be tracked annually.
2009	Ensure the Fair and Efficient Administration of Justice	Technology	Reliability and Availability	Availability	Requisition Manual processes	Requisition process at DEA requires 6 manual forms.	Decrease the number of manual forms for DEA's Requisition process to 3.	Results will be determined in Nov 08 for FY2009 Goals. These metrics will be tracked annually.
2011	Ensure the Fair and Efficient Administration of Justice	Customer Results	Service Accessibility	Automation	# of total legacy systems retired and replaced by UFMS	Consistently comply with federal, accounting and system standards		Results will be determined in Oct 11 for FY2011 Goals. These metrics will be tracked annually.
2011	Ensure the Fair and Efficient Administration of Justice	Processes and Activities	Productivity and Efficiency	Productivity	Average time to close books across components	Improve financial performance	Consolidate cross-component financial and procurement information	Results will be determined in Oct 11 for FY2011 Goals. These metrics will be tracked annually.
2011	Ensure the Fair and Efficient Administration of Justice	Technology	Effectiveness	User Satisfaction	Length of time to close help desk tickets	Develop a reputation for excellent program management and customer service	Establish mechanisms to facilitate open communication and collaboration to achieve program goals	Results will be determined in Oct 11 for FY2011 Goals. These metrics will be tracked annually.

Section E: Security and Privacy (IT Capital Assets only)

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

For existing Mixed-Life Cycle investments where enhancement, development, and/or modernization is planned, include the investment in both the "Systems in Planning" table (Table 3) and the "Operational Systems" table (Table 4). Systems which are already operational, but have enhancement, development, and/or modernization activity, should be included in both Table 3 and Table 4. Table 3 should reflect the planned date for the system changes to be complete and operational, and the planned date for the associated C&A update. Table 4 should reflect the current status of the requirements listed. In this context, information contained within Table 3 should characterize what updates to testing and documentation will occur before implementing the enhancements; and Table 4 should characterize the current state of the materials associated with the existing system.

All systems listed in the two security tables should be identified in the privacy table. The list of systems in the "Name of System" column of the privacy table (Table 8) should match the systems listed in columns titled "Name of System" in the security tables (Tables 3 and 4). For the Privacy table, it is possible that there may not be a one-to-one ratio between the list of systems and the related privacy documents. For example, one PIA could cover multiple systems. If this is the case, a working link to the PIA may be listed in column (d) of the privacy table more than once (for each system covered by the PIA).

The questions asking whether there is a PIA which covers the system and whether a SORN is required for the system are discrete from the narrative fields. The narrative column provides an opportunity for free text explanation why a working link is not provided. For example, a SORN may be required for the system, but the system is not yet operational. In this circumstance, answer "yes" for column (e) and in the narrative in column (f), explain that because the system is not operational the SORN is not yet required to be published.

Please respond to the questions below and verify the system owner took the following actions:

1. Have the IT security costs for the system(s) been identified and integrated into the overall costs of the investment:

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a. If "yes," provide the "Percentage IT Security" for the budget year:

2. Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment. Yes

3. Systems in Planning and Undergoing Enhancement(s), Development, and/or Modernization - Security Table(s):			
Name of System	Agency/ or Contractor Operated System?	Planned Operational Date	Date of Planned C&A update (for existing mixed life cycle systems) or Planned Completion Date (for new systems)
Unified Financial Management System. The UFMS obtained an Authority to Operate in March 2007, while the UFMS was still under development, to allow for the introduction of production data into UFMS environments for the purposes of learning, testing, development and data conversion. It is still planned for the UFMS to go operational in November 2007. The UFMS PMO undergoing a recertification of the UFMS to account for modifications to the UFMS since March 2007 with a planned completion of 10/2007	Government Only	11/1/2007	10/1/2007

4. Operational Systems - Security Table:							
Name of System	Agency/ or Contractor Operated System?	NIST FIPS 199 Risk Impact level (High, Moderate, Low)	Has C&A been Completed, using NIST 800-37? (Y/N)	Date Completed: C&A	What standards were used for the Security Controls tests? (FIPS 200/NIST 800-53, NIST 800-26, Other, N/A)	Date Complete(d): Security Control Testing	Date the contingency plan tested
Federal Financial System (FFS)	Government Only		Yes	8/1/2005	FIPS 200 / NIST 800-53	2/28/2007	3/20/2007
Financial Management Information System (FMIS)	Government Only		Yes	1/22/2007	FIPS 200 / NIST 800-53	1/11/2007	3/20/2007
Financial Management System	Government Only		Yes	5/25/2007	FIPS 200 / NIST 800-53	6/27/2007	5/19/2007
Financial Resources Desktop System (FRED)	Government Only		Yes	2/27/2007	FIPS 200 / NIST 800-53	2/27/2007	3/20/2007
Integrated Financial Management Information System (IFMIS)	Government Only		Yes	2/24/2006	FIPS 200 / NIST 800-53	1/18/2007	3/22/2007
Standardized Tracking Accounting and Reporting System (STARS-FMS)	Government Only		Yes	7/31/2006	FIPS 200 / NIST 800-53	7/31/2007	3/20/2007
Unified Financial Management System (UFMS)	Government Only		Yes	3/7/2007	FIPS 200 / NIST 800-53	2/21/2007	10/26/2007

5. Have any weaknesses, not yet remediated, related to any of the systems part of or supporting this investment been identified by the agency or IG?

a. If "yes," have those weaknesses been incorporated into the agency's plan of action and milestone process?

6. Indicate whether an increase in IT security funding is requested to remediate IT security weaknesses?

a. If "yes," specify the amount, provide a general description of the weakness, and explain how the funding request will remediate the weakness.

7. How are contractor security procedures monitored, verified, and validated by the agency for the contractor systems above?

8. Planning & Operational Systems - Privacy Table:					
(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
Federal Financial System (FFS)	No	No	No PIA is required for this system under the E-Government Act at this time.	Yes	http://www.usdoj.gov/jmd/privacyact.html#DOJ
Financial Management System (FMIS)	No	No	No PIA is required for this system under the E-Government Act at this time.	Yes	http://www.usdoj.gov/jmd/privacyact.html#DOJ
Financial Management System (FMS)	No	Yes	The PIA was completed on 6/16/2006 however it is a NSI System therefore it is not published.	Yes	http://www.usdoj.gov/jmd/privacyact.html#DOJ
Financial Resources Desktop System (FRED)	No	No	No PIA is required for this system under the E-Government Act, however ATF is currently drafting one for this internal system.	Yes	http://www.usdoj.gov/jmd/privacyact.html#DOJ
Integrated Financial Management Information System (IFMIS)	No	No	No PIA is required for this system under the E-Government Act at this time.	Yes	http://www.usdoj.gov/jmd/privacyact.html#DOJ
Standardized Tracking Accounting and Reporting System (STARS-FMS)	No	No	A PIA is currently being drafted, thus no PIA is currently available to the public. This PIA is expected to be completed by September 28th.	Yes	http://www.usdoj.gov/jmd/privacyact.html#DOJ
Unified Financial Management System (UFMS)	Yes	No	No PIA is required at this time, but one is being drafted and will be made available to the public before the system becomes operational.	Yes	http://www.usdoj.gov/jmd/privacyact.html#DOJ

Details for Text Options:
 Column (d): If yes to (c), provide the link(s) to the publicly posted PIA(s) with which this system is associated. If no to (c), provide an explanation why the PIA has not been publicly posted or why the PIA has not been conducted.
 Column (f): If yes to (e), provide the link(s) to where the current and up to date SORN(s) is published in the federal register. If no to (e), provide an explanation why the SORN has not been published or why there isn't a current and up to date SORN.
 Note: Working links must be provided to specific documents not general privacy websites. Non-working links will be considered as a blank field.

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture? Yes

a. If "no," please explain why?

2. Is this investment included in the agency's EA Transition Strategy? Yes

a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. Unified Financial Management System

b. If "no," please explain why?

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture? No

a. If "yes," provide the name of the segment architecture as provided in the agency's most recent annual EA Assessment.

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4. Service Component Reference Model (SRM) Table:								
Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov .								
Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
JMD	Provides a comprehensive view of all customer interactions, including calls, email, correspondence and meetings; also provide for the maintenance of a customer's account, business and personal information.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities to allow the monitoring of activities within the business cycle.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities that support the use of pre-conceived or pre-written reports.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities for the management and control of a particular effort of an organization.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities for measuring the effectiveness of an organization's financial assets and capital.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities that support the determination of long-term goals and the identification of the best approach for achieving those goals.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities that support the correlation between logical data and information sets.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities that support the redirection to other documents and information for related content.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities that support document and data warehousing and archiving.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities that support the rapid retrieval of	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0

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4. Service Component Reference Model (SRM) Table: Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov .								
Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	documents through a structured numbering construct.							
JMD	Defines the set of capabilities that allow access to data and information for use by an organization and its stakeholders.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities that support the termination or cancellation of documents and artifacts used by an organization and its stakeholders.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities that support the interchange of information between multiple systems or applications.	Back Office Services	Data Management	Data Exchange			Internal	0
JMD	Provide for the efficient discovery of non-obvious, valuable patterns and relationships within a large collection of data.	Back Office Services	Financial Management	Activity-Based Management			Internal	0
JMD	Defines the set of capabilities that support the use of dynamic reports on an as needed basis.	Back Office Services	Financial Management	Activity-Based Management			Internal	0
JMD	Defines the set of capabilities that support the listing and analyzing of both positive and negative impacts associated with a decision.	Back Office Services	Financial Management	Activity-Based Management			Internal	0
JMD	Defines the set of capabilities that support the analyze information and predict the impact of decisions before they are made.	Back Office Services	Financial Management	Activity-Based Management			Internal	0
JMD	Defines the set of capabilities that support the analysis of information that has been summarized into multidimensional views and hierarchies.	Back Office Services	Financial Management	Activity-Based Management			Internal	0
JMD	Defines the set of capabilities that support the examination and verification of	Back Office Services	Financial Management	Auditing			Internal	0

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4. Service Component Reference Model (SRM) Table:								
Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov .								
Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	records for accuracy.							
JMD	Defines the set of capabilities that support the charging, collection and reporting of an organization's accounts.	Back Office Services	Financial Management	Billing and Accounting			Internal	0
JMD	Defines the set of capabilities that support the use of credit cards or electronic funds transfers for payment and collection of products or services.	Back Office Services	Financial Management	Credit / Charge			Internal	0
JMD	Defines the set of capabilities that support the management and reimbursement of costs paid by employees or an organization.	Back Office Services	Financial Management	Expense Management			Internal	0
JMD	Support the methods and procedures used by the organization to safeguard its assets, produce accurate accounting data and reports, contribute to efficient operations, and encourage staff to adhere to management policies and mission requirements.	Back Office Services	Financial Management	Internal Controls			Internal	0
JMD	Defines the set of capabilities that support the process of accounts payable.	Back Office Services	Financial Management	Payment / Settlement			Internal	0
JMD	Defines the set of capabilities that involve the administration and determination of employees compensation.	Back Office Services	Financial Management	Payroll			Internal	0
JMD	Defines the set of capabilities that support the management of permissions for logging onto a computer or network.	Support Services	Collaboration	Document Library			Internal	0
JMD	Defines the set of capabilities that support the administration of computer, application and network accounts within an organization.	Support Services	Security Management	Access Control			Internal	0
JMD	Defines the set	Support Services	Security	Audit Trail			Internal	0

Exhibit 300: JMD Unified Financial Management System (UFMS) (Revision 6)

4. Service Component Reference Model (SRM) Table:
 Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.egov.gov>.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	of capabilities that support the identification and monitoring of activities within an application or system.		Management	Capture and Analysis				

- a. Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.
- b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.
- c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.
- d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the percentage of the BY requested funding amount transferred to another agency to pay for the service. The percentages in the column can, but are not required to, add up to 100%.

5. Technical Reference Model (TRM) Table:
 To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Auditing	Component Framework	Business Logic	Platform Dependent	CGI Federal Momentum
Internal Controls	Component Framework	Business Logic	Platform Dependent	CGI Federal Momentum
Asset Cataloging / Identification	Component Framework	Business Logic	Platform Independent	CGI Federal Momentum
Data Exchange	Component Framework	Business Logic	Platform Independent	EJB, C++
Billing and Accounting	Component Framework	Data Interchange	Data Exchange	CGI Federal Momentum
Credit / Charge	Component Framework	Data Interchange	Data Exchange	CGI Federal Momentum
Expense Management	Component Framework	Data Interchange	Data Exchange	CGI Federal Momentum
Payment / Settlement	Component Framework	Data Interchange	Data Exchange	CGI Federal Momentum
Information Retrieval	Component Framework	Data Management	Database Connectivity	(ODBC) Oracle Client
Information Retrieval	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Information Retrieval	Component Framework	Data Management	Database Connectivity	Object Linking and Embedding/Database (OLE/DB)
OLAP	Component Framework	Data Management	Reporting and Analysis	(OLAP) Business Objects
Payroll	Component Framework	Data Management	Reporting and Analysis	Business Objects
Asset Cataloging / Identification	Component Framework	Data Management	Reporting and Analysis	Business Objects
Auditing	Component Framework	Data Management	Reporting and Analysis	CGI Federal Momentum
Expense Management	Component Framework	Data Management	Reporting and Analysis	CGI Federal Momentum
Asset Cataloging / Identification	Component Framework	Data Management	Reporting and Analysis	CGI Federal Performance Budgeting
Information Retrieval	Component Framework	Presentation / Interface	Content Rendering	Cascading Style Sheets (CSS)
Information Retrieval	Component Framework	Presentation / Interface	Content Rendering	Dynamic HTML (DHTML)
Information Retrieval	Component Framework	Presentation / Interface	Content Rendering	eXtensible HTML (XHTML)
Information Retrieval	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Active Server Pages (ASP)
Auditing	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Business Objects
Internal Controls	Component Framework	Presentation / Interface	Dynamic Server-Side Display	CGI Federal Momentum
Information Retrieval	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Java Server Pages (JSP)
Information Retrieval	Component Framework	Presentation / Interface	Static Display	Hyper Text Markup Language (HTML)
Identification and Authentication	Component Framework	Security	Certificates / Digital Signatures	Secure Sockets Layer (SSL)
Internal Controls	Component Framework	Security	Supporting Security Services	CGI Federal Momentum
Document Library	Component Framework	Security	Supporting Security Services	CGI Federal Momentum
Access Control	Component Framework	Security	Supporting Security Services	CGI Federal Momentum
Data Exchange	Service Access and Delivery	Access Channels	Collaboration / Communications	MS Exchange Server
Data Exchange	Service Access and Delivery	Access Channels	Other Electronic Channels	Uniform Resource Locator

Exhibit 300: JMD Unified Financial Management System (UFMS) (Revision 6)

5. Technical Reference Model (TRM) Table:				
To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.				
FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
				(URL)
Data Exchange	Service Access and Delivery	Access Channels	Other Electronic Channels	WebLogic
Data Exchange	Service Access and Delivery	Access Channels	Other Electronic Channels	WebMethods
Data Exchange	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer
Data Exchange	Service Access and Delivery	Access Channels	Web Browser	Netscape Communicator
Data Exchange	Service Access and Delivery	Delivery Channels	Extranet	No spec exists
Billing and Accounting	Service Access and Delivery	Delivery Channels	Internet	CGI Federal Momentum
Billing and Accounting	Service Access and Delivery	Delivery Channels	Intranet	CGI Federal Momentum
Data Exchange	Service Access and Delivery	Delivery Channels	Intranet	No spec exists
Data Exchange	Service Access and Delivery	Delivery Channels	Virtual Private Network (VPN)	No spec exists
Audit Trail Capture and Analysis	Service Access and Delivery	Service Requirements	Authentication / Single Sign-on	CGI Federal Momentum
Identification and Authentication	Service Access and Delivery	Service Requirements	Authentication / Single Sign-on	No spec exists
Billing and Accounting	Service Access and Delivery	Service Requirements	Legislative / Compliance	CGI Federal Momentum
Activity-Based Management	Service Access and Delivery	Service Requirements	Legislative / Compliance	Section 508
Identification and Authentication	Service Access and Delivery	Service Requirements	Legislative / Compliance	Security
Information Retrieval	Service Access and Delivery	Service Requirements	Legislative / Compliance	Web Content Accessibility
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	File Transfer Protocol (FTP)
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	Hyper Text Transfer Protocol (HTTP)
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	Hyper Text Transfer Protocol Secure (HTTPS)
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	Internet Protocol (IP)
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	Transport Control Protocol (TCP)
Network Management	Service Access and Delivery	Service Transport	Supporting Network Services	Directory Services (X.500)
Network Management	Service Access and Delivery	Service Transport	Supporting Network Services	Domain Name System (DNS)
Data Exchange	Service Access and Delivery	Service Transport	Supporting Network Services	Dynamic Host Configuration Protocol (DHCP)
Email	Service Access and Delivery	Service Transport	Supporting Network Services	Simple Mail Transfer Protocol (SMTP)
Enterprise Application Integration	Service Interface and Integration	Integration	Enterprise Application Integration	Business Objects Data Interpreter
Payment / Settlement	Service Interface and Integration	Integration	Enterprise Application Integration	CGI Federal Momentum
Enterprise Application Integration	Service Interface and Integration	Integration	Enterprise Application Integration	iGraphix
Enterprise Application Integration	Service Interface and Integration	Integration	Enterprise Application Integration	WebMethods
Payment / Settlement	Service Interface and Integration	Integration	Enterprise Application Integration	webMethods
Payroll	Service Interface and Integration	Integration	Enterprise Application Integration	webMethods
Enterprise Application Integration	Service Interface and Integration	Integration	Middleware	Database Access: NET8
Enterprise Application Integration	Service Interface and Integration	Integration	Middleware	Database Access: PL/SQL
Enterprise Application Integration	Service Interface and Integration	Integration	Middleware	Tuxedo
Data Integration	Service Interface and Integration	Interface	Service Description / Interface	Application Program Interface (API) / Protocol
Data Integration	Service Interface and Integration	Interoperability	Data Format / Classification	Electronic Data Interchange (EDI)
Data Integration	Service Interface and Integration	Interoperability	Data Format / Classification	eXtensible Markup Language (XML)
Data Integration	Service Interface and Integration	Interoperability	Data Types / Validation	XML Schema
Data Exchange	Service Platform and Infrastructure	Database / Storage	Database	Oracle 9i
Data Exchange	Service Platform and Infrastructure	Database / Storage	Storage	(SAN) Hitachi
Data Exchange	Service Platform and Infrastructure	Delivery Servers	Application Servers	WebLogic, IBM P630
Data Exchange	Service Platform and Infrastructure	Delivery Servers	Portal Servers	IBM P630
Data Exchange	Service Platform and Infrastructure	Delivery Servers	Web Servers	Apache

Exhibit 300: JMD Unified Financial Management System (UFMS) (Revision 6)

5. Technical Reference Model (TRM) Table:				
To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.				
FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Computers / Automation Management	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	(RAM) IBM
Computers / Automation Management	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	IBM Hard Disk Drive
Computers / Automation Management	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	IBM Microprocessor
Computers / Automation Management	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	Redundant Array of Independent Disks (RAID)
Access Control	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	DOJ JCON
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	Ethernet
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Cisco Hub
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Firewall
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Gateway
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Network Interface Card (NIC)
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Router
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Switch
Computers / Automation Management	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	HP LaserJet Printers
Computers / Automation Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	IBM P630, P690
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Wide Area Network (WAN)	Asynchronous Transfer Mode (ATM)
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Wide Area Network (WAN)	Frame Relay
Modeling	Service Platform and Infrastructure	Software Engineering	Modeling	All Fusion
Modeling	Service Platform and Infrastructure	Software Engineering	Modeling	Unified Modeling Language (UML)
Activity-Based Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	MS Project
Configuration Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	RequisitPro
Configuration Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Serena TeamTracker
Instrumentation and Testing	Service Platform and Infrastructure	Software Engineering	Test Management	Business Cycle Testing
Instrumentation and Testing	Service Platform and Infrastructure	Software Engineering	Test Management	Configuration Testing
Instrumentation and Testing	Service Platform and Infrastructure	Software Engineering	Test Management	Functional Testing
Instrumentation and Testing	Service Platform and Infrastructure	Software Engineering	Test Management	Installation Testing
Instrumentation and Testing	Service Platform and Infrastructure	Software Engineering	Test Management	LoadRunner
Instrumentation and Testing	Service Platform and Infrastructure	Software Engineering	Test Management	Performance Profiling
Instrumentation and Testing	Service Platform and Infrastructure	Software Engineering	Test Management	Reliability Testing
Instrumentation and Testing	Service Platform and Infrastructure	Software Engineering	Test Management	Security and Access Control Testing
Instrumentation and Testing	Service Platform and Infrastructure	Software Engineering	Test Management	Usability Testing (508 Testing)
Computers / Automation Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent	UNIX - IBM AIX
Data Exchange	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Windows 2000, IBM AIX
Data Exchange	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)

a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

Exhibit 300: JMD Unified Financial Management System (UFMS) (Revision 6)

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)? Yes

a. If "yes," please describe.

Yes. The UFMS investment will leverage the eTravel, Federal Procurement Data System repository (FPDS)-NG, FedBizOps, Central Contractor Registration (CCR), and the DOJ Hyperion Unified Reporting (HUR) initiative.

Exhibit 300: Part II: Planning, Acquisition and Performance Information

Section A: Alternatives Analysis (All Capital Assets)

Part II should be completed only for investments identified as "Planning" or "Full Acquisition," or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project? Yes
 - a. If "yes," provide the date the analysis was completed? 5/30/2003
 - b. If "no," what is the anticipated date this analysis will be completed?
 - c. If no analysis is planned, please briefly explain why:

2. Alternative Analysis Results:			
Use the results of your alternatives analysis to complete the following table:			* Costs in millions
Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate
Alternative 2: COTS Implementation (current UFMS). UFMS is replacing five core FM systems and multiple procurement systems currently operating across DOJ with an integrated Commercial Off-The-Shelf (COTS) solution, Momentum, supported by CGI Federal.	UFMS is integrating financial and procurement functionality into a single system with SECRET and SBU domains. UFMS will streamline business processes, improve performance, produce Component- and Department-level financial statements, and address material weaknesses and non-conformances in internal controls, accounting standards, and systems security. UFMS adheres to FMLoB standards and processes.		

3. Which alternative was selected by the Agency's Executive/Investment Committee and why was it chosen?

The UFMS investment, alternative 2, is forecasted to realize the greatest value to the Department, providing significantly improved financial management processes and procedures to DOJ Components and Justice Management Division (JMD) decision makers. Quantitative benefits (measured by direct cost savings) indicate reduced O&M for financial management across the Department. Qualitative benefits indicate significant performance improvements as measured by benefit reach (dispersion across DOJ) and impact (degree of improvement) measured across each of the UFMS goals.

4. What specific qualitative benefits will be realized?

UFMS will result in multiple qualitative benefits that directly support the President's Management Agenda (as described above) and the performance measures listed in Section D.

- UFMS will support DOJ Mission and Business Results by improving resource productivity. Standardization of data elements, for example, eliminates translation or data conversion by managers in order to get a consistent view of financial performance across Components. Eliminating extra steps in analysis process decreases manpower requirements and improves quality and accuracy. The capability of UFMS to present information in a consistent, predictable manner reduces the need for data comprehension, mapping, and reformatting and directly impacts the time required to prepare reports based on Component information.
- UFMS will support Mission and Business Results with increased system security. UFMS offers improved system integration and more modern security tools and practices.
- UFMS will also support Mission and Business Results by providing a more centralized organizational structure to manage risk, communications, system configuration, security, and governance. Each of these centralized organizational structures will benefit the DOJ by implementing a collaborative approach to dealing with major areas that impact financial and procurement system implementation and operations. This approach will decrease the redundancies in manpower and other resources necessary to carry out the functions while achieving similar or better results. While this will generate cost savings, it is also expected to enable a more efficient, nimble, and responsive management structure.
- UFMS will provide benefits in Processes and Activities with improved timeliness and accuracy of financial and performance information. The Components and DOJ as a whole will be more capable of meeting financial reporting deadlines. The functional enhancements provided by Momentum will also decrease internal control weaknesses identified by DOJ auditors. Finally, senior managers will be equipped with the near real-time information necessary to identify financial variances and implement corrections or controls necessary to bring variances back within acceptable levels.
- UFMS will also provide qualitative benefits in support of Customer Results by leveraging a FISO compliant system while consistently complying with federal, accounting and system standards.

5. Will the selected alternative replace a legacy system in-part or in-whole? Yes
 - a. If "yes," are the migration costs associated with the migration to the selected alternative included in this This Investment

investment, the legacy investment, or in a separate migration investment.

b. If "yes," please provide the following information:

List of Legacy Investment or Systems		
Name of the Legacy Investment of Systems	UPI if available	Date of the System Retirement

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

- 1. Does the investment have a Risk Management Plan? Yes
 - a. If "yes," what is the date of the plan? 3/28/2007
 - b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? No
- c. If "yes," describe any significant changes:

- 2. If there currently is no plan, will a plan be developed?
 - a. If "yes," what is the planned completion date?
 - b. If "no," what is the strategy for managing the risks?

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:
 To account for uncertainty and risk, all cost estimates are based on high and low hour estimates reflecting pessimistic and optimistic forecasts. To address and partially resolve the common challenge of cost estimation uncertainty and to develop a point estimate within an acceptable level of statistical confidence, a Monte Carlo uncertainty analysis was performed. Crystal Ball® is a simulation tool used to account for this uncertainty in the estimates and, using point estimates as inputs, provides the average expected results within a range of expected values.

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

- 1. Does the earned value management system meet the criteria in ANSI/EIA Standard-748? Yes
- 2. Is the CV% or SV% greater than +/- 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100) Yes
 - a. If "yes," was it the CV or SV or both? CV
 - b. If "yes," explain the causes of the variance:

There are six primary categories driving the cost variance:

- 1) The Justice Data Center did not charge the UFMS Program Management Office (PMO) for Momentum hosting in FY07.
- 2) The UFMS PMO planned on contributing funds to help offset the costs of standing up Component PMOs at the FBI and DEA. The FBI implementation was delayed and the DEA PMO spent less than planned.
- 3) Government resource hiring has been slower than anticipated. The FY07 plan forecasted more Government FTEs than are currently on staff.
- 4) On a month to month basis, PMO Support contractors have been spending less than planned.
- 5) Due to security clearance requirements and constraints, fewer resources were available during the DEA Planning task order. As a result, the project was completed with fewer resources and at a lower cost.
- 6) There has been a higher than expected involvement by DEA Government FTE resulting in greater cost efficiencies.

- c. If "yes," describe the corrective actions:
 No corrective actions are necessary at this time.
- 3. Has the investment re-baselined during the past fiscal year? Yes
 - a. If "yes," when was it approved by the agency head? 3/1/2007

4. Comparison of Initial Baseline and Current Approved Baseline

Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

Milestone Number	Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
		Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy)		Total Cost (\$M)		Schedule (# days)	Cost (\$M)	
				Planned	Actual	Planned	Actual			
1										
1.1										
1.2										
1.3										
1.4										
1.5										
1.6										
1.7										
2										
2.1										
2.2										
2.3										
2.4										
2.5										
2.6										
2.7	AFP Implementation	11/28/2007	\$4.091159	11/28/2007	11/28/2007	\$4.091159	\$2.37577	0	\$0.200024	62.96%
2.8										
2.9										
2.10										
2.11										
2.12										
2.13										
2.14										
2.15										
2.16										

4. Comparison of Initial Baseline and Current Approved Baseline

Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

Milestone Number	Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
		Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy)		Total Cost (\$M)		Schedule (# days)	Cost (\$M)	
				Planned	Actual	Planned	Actual			
2.17										
2.18										
2.19										
2.20										
3										
3.1										
3.2										
3.3										
3.4										
3.5										
3.6										
3.7										
3.8										
3.9										
Project Totals										