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1	KEVIN V. RYAN (CSBN 118321) United States Attorney		
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9	UNITED STATES DISTRICT COURT		
10	NORTHERN DISTRICT OF CALIFORNIA		
11	SAN FRANCISCO DIVISION		
12	UNITED STATES OF AMERICA. CK No.: 06 0687		
13	}		
14	Plaintiff, VIOLATIONS: 18 U.S.C. § 1623(a) – False Declaration		
15	18 U.S.C. § 1623(a) – False Declaration v. Before a Court; 18 U.S.C. § 1001(a)(2) – False Statement		
16	BRUCE BARKER,		
17 18	Defendant.		
19	I hereby attest and certify this is a printed copy of		
20	document which was electronically filed with the table to be a printed copy of the country that is a printed	Inited States	
21	The Grand Jury charges: Date Filed: LO/12/04	a.	
22	RICHARD W WIEKING, Clerk At all times relevant to this Indictment:		
23		outy Clerk	
24	1. Bruce Barker ("BARKER") was a physician employed by Kaiser Permanente		
25	Medical Center, 2238 Geary Boulevard, San Francisco, California.		
26	2. Marvin Washington ("Washington") was a resident of the Holly Courts		
27	Housing Development, San Francisco, California. Washington resided at 162 Appleton		
28	Street. Sometime before May 1, 2002, Washington had been convicted of a felony crime		
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punishable by a term of imprisonment exceeding one year.

3. BARKER knew Washington and sponsored Washington in an outpatient substance abuse rehabilitation program.

The Events of May 1, 2002

- 4. At approximately 5:00 p.m. on or about May 1, 2002, a security officer was on duty at the Holly Courts Housing Development security office, located at 100 Patton Street. The security officer heard gunshots in the vicinity. The security officer saw a man she recognized as Marvin Washington carrying a gun and running down Patton Street toward the security office. When Washington arrived at the security office, he knocked on the door. The security officer, who was inside the office, saw Washington through the window. She saw Washington place the gun in the waistband of his pants.
- 5. After the security officer placed a call to her patrol dispatcher for assistance, she went outside the office to speak to Washington. Shortly thereafter, Washington walked up Appleton Street to his residence. The security officer then used her radio to place another call to her patrol dispatcher.
- 6. San Francisco police officers arrived at 100 Patton Street at approximately 5:15 p.m. and met with the security officer. No later than 5:20 p.m., the police officers arrived at the residence at 162 Appleton Street. Once there, police officers detained Washington, searched the residence, and located a .380 semi-automatic pistol on a ledge outside the kitchen window of the residence. At approximately 6:25 p.m., police officers arrested Washington on suspicion of being a felon in possession of a firearm, among other charges. Residue samples taken from Washington's hands after he was arrested tested positive for gunshot residue.
- 7. Starting at approximately 1:00 p.m. on or about May 1, 2002, BARKER performed surgery on Patient A at Kaiser Permanente Medical Center at 2238 Geary Boulevard in San Francisco. At approximately 5:35 p.m. on or about May 1, 2002, BARKER was present in the Post-Anesthesia Care Unit at the Medical Center attending to Patient A.

The Criminal Proceedings Against Marvin Washington

- 8. On or about June 13, 2002, a federal grand jury in the Northern District of California, in the matter of <u>United States v. Marvin Washington</u>, CR 02-0169 SI, indicted Washington on one count of being a felon in possession of a firearm, in violation of Title 18, United States Code, Section 922(g)(1), and on one count of possessing a firearm with a removed, obliterated, or altered serial number, in violation of Title 18, United States Code, Section 922(k).
- 9. On or about November 14, 2002, BARKER met with, and was interviewed by, an investigator and federal government attorneys assigned to the investigation and prosecution of <u>United States v. Marvin Washington</u>, CR 02-0169 SI.
- 10. From on or about November 18, 2002 to November 20, 2002, the matter of United States v. Marvin Washington, CR 02-0169 SI was tried before a jury in United States District Court in San Francisco, California, before Judge Susan Illston. BARKER testified on behalf of Washington during the trial.
- 11. On or about November 21, 2002, Marvin Washington entered guilty pleas to both counts of the indictment. The trial thus concluded without the need for jury deliberations or verdict.
- COUNT ONE: (18 U.S.C. § 1623(a) False Declaration Before a Court)
- 12. Paragraphs 1 through 11 of this Indictment are re-alleged and incorporated as if fully set forth herein.
- 13. On or about November 20, 2002, in the Northern District of California, the defendant

BRUCE BARKER.

having taken an oath to testify truthfully in a proceeding before a court of the United States, did knowingly make a false material declaration in the trial of <u>United States v.</u>

Marvin Washington, CR 02-0169 SI, in that when he was asked about the events that occurred at the Holly Courts Housing Development security office on May 1, 2002, he gave the following testimony (underlined portions alleged as false):

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1	•	Q: And on May 1 st , 2002, did you go to the Holly Court Housing Complex?
2		A: Yes, I did.
3		Q: And was that at approximately 5:00 o'clock or later that afternoon?
4	(a)	A: It was.
5		••••
6 7		Q: When you arrived at the Housing [sic] Court Housing Complex did you see Marvin Washington?
8	(b)	A: Yes, I did.
·9		Q: Where did you first see Mr. Washington?
10	(c)	A: I saw him on Patton Street.
11		•••
12		Q: What drew your attention to Mr. Washington?
13		A: I saw Marvin running down the street.
14		Q: When you saw that what did you do?
15		A: I stopped the car.
16		***
17 18		Q: Now, what, if anything, did you see — first of all, did you get out of your car?
19		A: Yes, I did.
20		Q: What did you do when you got out of the car?
21		A: I got out of my car I walked around the car at approximately right here.
22		Q: And what happened? What happened next?
23	(d)	A: Okay. I saw Marvin running down the street and was running on the sidewalk coming towards me. When he approximately got
24		to me, he recognized me and came towards me. He stopped approximately right there, right by the grass. He raised his hand
25		and said, "Wait a minute, I have to get help."
26		Q: And where did you see Mr. Washington go?
27		A: He went to this –
28		Q: Let me draw your attention to the photograph above that one.

1	•	A: I think it's right here, the security door.
2		· ·
3		Q: From your vantage point, did you see Marvin go do [sic] that door?
4		A: Yes, I did.
5		•••
6		Q: And what, if anything, did you see?
7	(e)	A: I saw Marvin knock on the door, and the security guard opened the door. I couldn't hear what they were saying. She put up her hand to stop him
8 9		from coming in and shut the door. And then Marvin lingered here for a few seconds, at which point I thought something was wrong, so I figured I better park my car. I got back into my car and —
10		Q: Let me just ask you this question: When you said he lingered you pointed, and I just want the record to reflect –
11		A: Right here.
12		Q: So some distance in front of the door of that particular security office?
13		A: Yes.
14		Q: And he remained there for –
15		A: - seconds.
16		
17		Q: What did you do next?
18		A: I got back to my car and drove up – I can show you in this picture,
19		but I drove up the street to park.
20		
21		Q: All right. When you say you "parked up the street," what residence did you park close to?
22		A: To his residence, 162 Appleton.
23		Q: When you parked the car, when is the next time that you saw
24		Marvin Washington?
25	(f)	A: I saw him walking up the street, up the sidewalk.
26		Q: When he was walking up the sidewalk, was that towards you, or was -
27		A: Yes.
28		A. 105.

1	•	Q: Were you still in your car?		
2		A: Yes.		
3		•••		
4		Q: Okay. What did you see Marvin Washington do?		
5		A: While he was walking up the sidewalk?		
6		Q: If anything?		
7 8	(g)	A: I saw him walk up the sidewalk. He came up, and he stopped right here and told me what had happened through the window and then he walked into his apartment.		
9		Q: What did you do?		
10	(h)	A: I got up to my car and got the battery out of the back seat and followed him into the house.		
11		Q: Did you go into 162 Appleton?		
12	(i)	A: Yes, I did.		
13		•••		
14		Q: When you went into 162 Appleton, where was Mr. Washington?		
15		A: He was inside.		
16		•		
17 18		Q: At any time while you were located outside the security office area, did you see Marvin Washington in possession of a firearm?		
19	(j)	A: <u>No</u> .		
20		Q: At any time you saw Marvin Washington walk up Appleton Street, did you see Marvin Washington in possession of a firearm?		
21	(k)	A: No.		
22	(m)	Q: At any time he was in your presence inside 162 Appleton,		
23		did you see him in possession of a firearm?		
24	(1)	A: <u>No</u> .		
25		•••		
26	·	Q: Dr. Barker, why are you willing to pay for Marvin's lawyer?		
27	(m)	A: I'm willing to pay for his lawyer because I know he's innocent. I was there. I saw this, I know he's innocent.		
28				

1	when in truth and fact, as BARKER well knew when he gave this testimony, it was			
2	materially false in that BARKER was not present in the vicinity of the Holly Courts			
3	Security Office on May 1, 2002 when Marvin Washington ran down Patton Street,			
4	knocked on the security office door, and then went to 162 Appleton Street.			
5	All in violation of Title 18, United States Code, Section 1623(a).			
6	COUNT TWO: (18 U.S.C. § 1623(a) – False Declaration Before a Court)			
7	14. Paragraphs 1 through 11 of this Indictment are re-alleged and incorporated as			
8	if fully set forth herein.			
9	15. On or about November 20, 2002, in the Northern District of California, the			
10	defendant			
11	BRUCE BARKER,			
12	having taken an oath to testify truthfully in a proceeding before a court of the United			
13	States, did knowingly make a false material declaration in the trial of United States v.			
14	Marvin Washington, CR 02-0169 SI, in that when he was asked what Marvin Washington			
15	was carrying in his hand in the vicinity of the Holly Courts Housing Development			
L6	security office on May 1, 2002, he gave the following testimony (underlined portions			
L7	alleged as false):			
18	Q: Let me just ask you this question: when you said he [Washington] lingered you pointed, and I just want the record to reflect —			
L9	A: Right here.			
20	Q: So some distance in front of the door of that particular security office?			
21	A: Yes.			
22	Q: He remained there for –			
3	A: - Seconds.			
5	Q: Okay. And up to that point, had you ever seen Marvin Washington with a gun?			
6	(a) A: <u>No</u> .			
7	Q: Did you see Marvin Washington at that time in possession of a cell phone?			

1	(b)	A: <u>Yes</u> .
2		Q: Where did you see him in possession of a cell phone?
3	(c)	A: It was in his hand.
· 4		***
5		Q: When you were watching Marvin Washington in front of the security office was there anything obstructing your view?
6		A: No.
7		•
8		Q: And when you were inside 162 Appleton was there anything obstructing your view when Marvin Washington was in your presence?
9		A: No.
10		Q: Do you think you could be confused about maybe it wasn't the cell phone, but it was a firearm?
11		A: No.
12		
13		Q: And you say that he [Washington] was running down Patton Street
14		there near the security office; is that right?
15		A: Yes.
16		Q: And you say he had a cell phone?
17	(d)	A: He had a cell phone in his hand.
18		Q: In his right hand?
19	(e)	A: Correct statement.
20		•••
21		Q: You had conversations with the defendant before about simulating a gun by using a cell phone?
22		A: No.
23		
24		Q: You haven't?
25		A: I haven't had a conversation. I saw him doing it.
26		Q: You've just seen him doing it?
27		A: Yeah.
28		Q: Okay. Could you show to the jury how he would do that?

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A: How he did it? O: Yep. A: Okay. He was going like this. (Indicating) And he has a thick jacket, so it was like this. It was coming down far enough. And you go like that, and then it really looks like a gun. Q: So just the tip of the phone is pointed out? A: Correct. Q: And I notice there that you have the blue part of the phone is facing your palm. A: Yes. Q: Is that the way you saw the defendant running down Patton Street? A: When he came up to me and lifted his hand and tell me to wait a minute, his hand came up. Q: So the blue part of the phone was facing the palm? A: <u>Yes</u>. when in truth and fact, as BARKER well knew when he gave this testimony, it was materially false that Marvin Washington was carrying a cell phone when Washington ran down Patton Street and knocked on the security office door at the Holly Courts Housing Development on May 1, 2002. All in violation of Title 18, United States Code, Section 1623(a). <u>COUNT THREE</u>: (18 U.S.C. § 1623(a) – False Declaration Before a Court) 16. Paragraphs 1 through 11 of this Indictment are re-alleged and incorporated as if fully set forth herein. 17. On or about November 20, 2002, in the Northern District of California, the BRUCE BARKER.

having taken an oath to testify truthfully in a proceeding before a court of the United States, did knowingly make a false material declaration in the trial of United States v. Marvin Washington, CR 02-0169 SI, in that when he was asked whether he attended a patient in the Post Anesthesia Care Unit at the Kaiser Permanente Medical Center at

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	approximately 5:35 p.m. on May 1, 2002, he gave the following testimony (underlined				
1					
. 2	portions alleged as false):				
3		Q: Just to make clear where you were on this day, May 1 st , you went to Holly Courts around 5:00 o'clock, a little after, and you spent time there; is that right?			
4	(a)	A: <u>Yes</u> .			
5					
6		Q: Okay. And you got concerned about your children?			
7		A: Yes.			
8		Q: So you went to go pick them up at Sloat and Junipero Serra; is that right? That's why you left?			
9		A: Yes.			
10		Q: Okay. And you drove out to Sloat and Junipero Serra?			
11		A: Yes.			
12		Q: And if you stopped anywhere on the way to Sloat and Junipero Serra,			
13		it was just to buy groceries?			
14		A: Correct.			
15		Q: Okay. So at 5:30, or around that time, you were either at Holly Courts or en route to go pick up your children?			
16	(b)	A: <u>Yes</u> .			
17		Q: Okay. Same thing for 5:35?			
18		A: Are you asking me where I was at 5:35?			
19		Q: At 5:35 you were either at Holly Courts or en route to pick up			
20		your children?			
21		A: You know I want to be exact, and I can't tell you where I was at 5:35.			
22		Q: You weren't at work, were you?			
23	(c)	A: No. I wasn't.			
24		••• · · · · · · · · · · · · · · · · · ·			
25		Q: All right. Sir, in fact, at 5:35 p.m. on May 1, 2002, you were in the Post-Anesthesia Care Unit with a patient, weren't you?			
26	4 D	• • • • • • • • • • • • • • • • • • • •			
27	(d)	A: <u>No</u> .			
28		•••			
l					

1	•	Q: You were in the hospital at 5:35, weren't you?	
2	(e)	A: <u>No</u> .	
3		Q: I'd like you to read, sir - what kind of operation does this document reflect?	
4		A: This is a dialysis access graphic.	
5		•••	
6		Q: And the next thing it says is, after the 17:35 notation, it says, "Dr. Barker here to check," doesn't it?	
7 8		A: Yes, yes.	
9		Q: Does it?	
10	(f)	A: Yes. I wasn't there.	
11		Q: You weren't there?	
12		A: Nope.	
13		Q: Your testimony – you left work at what time?	
14		A: I don't remember.	
15		Q: And at 5:35 you say, if you were anywhere -	
16	(g)	A: I was on the way to get my children.	
17		Q: You were between Holly Courts and where you were going to pick up your children?	
18	(h)	A: That's correct.	
19		Q: Sir, you weren't at Holly Courts at all -	
20		A: That is not true –	
21		Q: - when you say that you saw Marvin Washington with a cell phone, were you?	
22	(i)	A: Yes, I was.	
23		,	
24		Q: You were in post-op, in the Post-Anesthesia Care Unit with Nurse Mia Singer, weren't you?	
25	(j)	A: <u>No</u> .	
26	when in truth and fact, as BARKER well knew when he gave this testimony, it was		
27	materially fal	se in that BARKER was at the Post-Anesthesia Care Unit at the Kaiser	
28			
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Medical Center, 2238 Geary Boulevard, San Francisco, at approximately 5:35 p.m. on May 1, 2002, caring for Patient A.

All in violation of Title 18, United States Code, Section 1623(a).

COUNT FOUR: (18 U.S.C. § 1001(a)(2) - False Statement)

- 18. Paragraphs 1 through 11 of this Indictment are re-alleged and incorporated as if fully set forth herein.
- 19. In the criminal investigation conducted by the United States Attorney's Office of the United States Justice Department and the Bureau of Alcohol, Tobacco, and Firearms of the United States Treasury Department the following matters, among others, were material to that investigation:
- a. Whether there were any additional witnesses to the events that took place in the vicinity of the Holly Courts Security Office between approximately 5:00 p.m. and 5:15 p.m. on May 1, 2002;
- b. Whether Marvin Washington possessed a gun when he ran down Patton Street toward the security office and when he knocked on the door of the security office.
 - 20. On or about November 14, 2002, in the Northern District of California,

BRUCE BARKER

did knowingly and willfully make a materially false, fictitious, and fraudulent statement and representation in a matter within the jurisdiction of the Bureau of Alcohol, Tobacco, and Firearms and the United States Attorney's Office, agencies within the executive branch of the United States, in that the defendant, during an interview with an investigator working for the Bureau of Alcohol, Tobacco, and Firearms and attorneys with the United States Attorney's Office, stated that:

Barker arrived at the Holly Courts housing complex at approximately 5:00 p.m. on May 1, 2002. He saw Marvin Washington running slowly down Patton Street. Washington stopped in the vicinity of Barker's car and held up his right hand. Washington was holding a cell phone in his hand. Barker saw Washington knock on the security office door. He then saw Washington walk up Appleton to his residence. During the time that Barker saw Washington, Washington was not in possession of a gun.

- 21. As defendant well knew when he made it, this statement was materially false in that:
- a. BARKER was not present in the vicinity of the Holly Courts security office on May 1, 2002, when Washington ran down Patton Street and knocked on the security office door; and
- b. BARKER did not see Washington holding a cell phone in his hand after Washington ran down Patton Street and just before he approached the Holly Courts security office.

All in violation of Title 18, United States Code, Section 1001(a)(2).

DATED:	Oct	12,	2006
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A TRUE BILL.

FOREPERSON

KEVIN V. RYAN United States Attorney

MARK KROTOSKI Chief, Criminal Division

(Approved as to form:

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