Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission:	9/10/2007
2. Agency:	Department of State
3. Bureau:	Bureau Of Consular Affairs
4. Name of this Capital Asset:	Exhibit 300 - Consular Support & Visa Applications (CSVA)
5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.)	014-00-01-03-01-1155-00
6. What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.)	Mixed Life Cycle

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

The Consular Support and Visa Applications (CSVA) project provides technical and end-user support for the full range of consular business processes along with automated systems to support consular management and the visa function in support of Homeland Security. This support is provided through operations and maintenance of existing consular automated systems, modification of existing consular automated systems to support Homeland Security initiatives, the purchase of hardware and systems software to support consular operations, the installation of hardware, systems software, and consular application software at approximately 231 overseas posts and domestic facilities, and the training of consular personnel in the use of the systems/applications. This effort is expended to enhance the efficiency and effectiveness of consular personnel involved in the processing and adjudication of visa applications and to provide visa data to other agencies involved in border security.

This project has been underway since 1996 and will continue indefinitely. The FY09 submission addresses activities and budget estimates for the period 10/01/2008 through 09/30/2012. The project was initiated to replace the aging automated systems that supported consular business processes, to provide a consistent application and systems platform at all consular facilities, to improve system and process efficiency, and to enhance security. The project is reviewed annually by DoS/CA management to evaluate its performance, need, and applicability.

9. Did the Agency's Executive/Investment Committee approve this request?	Yes
a. If "yes," what was the date of this approval?	8/28/2007
10. Did the Project Manager review this Exhibit?	Yes
a. What is the current FAC-P/PM certification level of the project/program manager?	TBD
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?	No
a. Will this investment include electronic assets (including computers)?	Yes
b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	No
1. If "yes," is an ESPC or UESC being used to help fund this investment?	
2. If "yes," will this investment meet sustainable design principles?	
3. If "yes," is it designed to be 30% more energy efficient than relevant code?	
13. Does this investment directly support one of the PMA initiatives?	Yes
If "yes," check all that apply:	Expanded E-Government

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)   Yes

    a. If "yes," does this investment address a weakness found during a PART review?   No

    b. If "yes," what is the name of the PARTed program?   Border Security Program - Visa and Consular Services

    c. If "yes," what rating did the PART receive?   Effective

15. Is this investment for information technology?   Yes

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)   Level 2

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)   (1) Project manager has been validated as qualified for this investment

18. Is this investment or any project(s) within this investment identified as "high risk" on the Q4 - FY 2007 agency high risk report (per OMB Memorandum M-05-23)   No

19. Is this a financial management system?   No

    a. If "yes," does this investment address a FFMIA compliance area?   No

        1. If "yes," which compliance area:

        2. If "no," what does it address?

    b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?   Yes

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?   Yes

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO High Risk Areas?   No

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond FY 2009.

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2001	Strengthening Consular and Management	Technology	Information and Data	Internal Data Sharing	Number of queries per day against the	500 queries per day	2,000 queries per day	2,500 queries per day. Access to cases in the

Performance Information Table							
Fiscal Year	**Strategic Goal(s) Supported**	**Measurement Area**	**Measurement Category**	**Measurement Grouping**	**Measurement Indicator**	**Baseline**	**Target**	**Actual Results**
	Capabilities				Consular Consolidated Database.			Consular Consolidated Database has allowed consular officers to make timely decisions based on the most current data.
2002	Strengthening Consular and Management Capabilities	Processes and Activities	Productivity and Efficiency	Efficiency	Percentage of 221g refusal rate for immigrant visa applicants	29% INA section 221g refusal rate	20% 221g refusal rate	31% 221g refusal rate. The number of visa applicants subject to special clearance requirements increased significantly as a result of Border and Homeland Security initiatives after 9/11/2001, which negated benefit of expanded applicant pre-processing
2002	Achieving Peace and Security	Technology	Information and Data	External Data Sharing	Percentage of visa issuance data provided to border security agencies each day.	10% of all visa issuance data produced each day is provided to other border security agencies.	Increase to 100% visa issuance data provided per day.	100% visa issuance data provided per day. All visa issuance data provided to Interagency Border Information System (IBIS).
2003	Strengthening Consular and Management Capabilities	Customer Results	Service Accessibility	Availability	Percentage of fee based consular transactions paid using credit card.	Credit cards constitute 0% of payment transactions	10% of Consular fee transactions accepted via credit card Planned Performance Improvement Goal.	15.2% fee transactions conducted via credit card at credit card enabled posts.
2003	Achieving Peace and Security	Mission and Business Results	Homeland Security	Border and Transportation Security	Percentage of non-immigrant visas issued using new more secure Lincoln Visa.	5% of non-immigrant visas issued	Increase to 100% issuance of Lincoln Visa	100% of visas issued using new Lincoln Visa.
2003	Strengthening Consular and Management Capabilities	Processes and Activities	Cycle Time and Resource Time	Cycle Time	Percentage of non-immigrant visa applications imaged.	0% non-immigrant visa applications imaged	50% non-immigrant visa applications imaged	1%. Soon after initiation of this effort, the Visa Office revised its document scanning policy to limit scanning only to Category 1 Refusals (serious refusals) and Security Advisory Opinion cases.
2004	Strengthening Consular and Management Capabilities	Customer Results	Service Coverage	New Customers and Market Penetration	Number of languages supported on electronic visa application forms web site.	English and Spanish languages supported at start of FY04.	Add support for two additional languages: Polish and Korean.	Polish and Korean implemented in April 2004. Site visits increased 105% in May 2004, in part due to the additional of the two new languages.
2004	Achieving Peace and Security	Mission and Business Results	Homeland Security	Border and Transportation Security	Percentage of immigrant visa issuing sites producing Machine Readable Immigrant Visas	0% of immigrant visa issuing sites producing Machine Readable Immigrant Visa	Increase to 80% of immigrant visa issuing sites	96% of immigrant visa issuing sites issuing machine readable immigrant visas as of 09/30/2004.

Performance Information Table							
Fiscal Year	**Strategic Goal(s) Supported**	**Measurement Area**	**Measurement Category**	**Measurement Grouping**	**Measurement Indicator**	**Baseline**	**Target**	**Actual Results**
								Project exceeded planned target by combining this effort with biometrics collection expansion project on same trip to posts.
2004	Achieving Peace and Security	Mission and Business Results	Homeland Security	Border and Transportation Security	Percentage of non-BCC visa issuing sites collecting expanded biometrics as required by The Enhanced Border Security and Visa Entry Reform Act of 2002, Sec. 303.	0% of non-BCC visa issuing sites collecting expanded biometric identifiers	Increase to 80% of visa issuing sites	97.5% of non-BCC posts collecting expanded biometric identifiers as of 09/30/2004. Project has exceeded target by accelerating deployment of NIV version 04.02.02 at no increase in cost.
2004	Strengthening Consular and Management Capabilities	Mission and Business Results	Information and Technology Management	IT Infrastructure Maintenance	Percentage of consular sites receiving technology upgrades.	28% of consular sites have technology upgrades (hardware/system software) each year.	Maintain at least 28% technology refresh rate	97.5% of sites visited for technology upgrades as of 09/30/2004. Project exceeded planned 28% target due to requirement to upgrade all posts with biometric collection devices to comply with Enhanced Border Security and Visa Reform Act of 2002.
2004	Strengthening Consular and Management Capabilities	Mission and Business Results	Workforce Management	Training and Employment	Percentage of consular sites visited for refresh training.	66% of consular sites visited each year for refresh training on consular automated systems	Maintain at least 66% training refresh rate for consular site training	97.5% of sites visited for refresh training as of 09/30/2004. Project exceeded planned 66% target due to requirement to train all posts on use of biometric collection devices to comply with Enhanced Border Security and Visa Reform Act of 2002.
2004	Strengthening Consular and Management Capabilities	Processes and Activities	Productivity and Efficiency	Efficiency	Percentage of DV lottery applications submitted electronically.	0% of all DV lottery visa applications submitted electronically	100% of DV lottery visa application forms submitted electronically	100% of Diversity Visa lottery application forms submitted electronically via e-DV for the FY2005 lottery, which closed on 12/31/2003. Future year lotteries will use e-DV as the sole means of accepting visa lottery applications.
2004	Strengthening Consular and Management Capabilities	Processes and Activities	Productivity and Efficiency	Productivity	Percentage of non-immigrant visa application forms submitted in machine-readable format.	0% of all non-immigrant visa applications submitted in machine readable format	Increase to 5% of non-immigrant visa application forms submitted in machine readable format	11.6% of non-immigrant visa applications submitted in machine-readable format for FY2004 as of 09/30/2004. Planned target

Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
								has been exceeded due to greater than expected acceptance of Internet based forms processing by customers.
2004	Achieving Peace and Security	Technology	Information and Data	External Data Sharing	Percentage of visa issuance data provided to border security agencies each day.	100% of daily visa issuance data provided to border security agencies.	Maintain at 100%.	100% visa issuance data provided per day to Interagency Border Information System (IBIS).
2004	Strengthening Consular and Management Capabilities	Technology	Reliability and Availability	Availability	Percentage availability of Consular Consolidated Database	97% Availability	Increase to 99%	Availability at 99% as of 09/30/2004. Acquisition of additional hardware/software has improved load balancing and fail-over capabilities.
2005	Strengthening Consular and Management Capabilities	Customer Results	Service Coverage	New Customers and Market Penetration	Number of languages supported on electronic visa application forms web site.	Four languages supported at the start of FY05: English, Spanish, Korean and Polish supported at start of FY05.	Add support for five additional languages: French, German, Japanese, Portuguese and TBD.	Four languages added as of June 30, 20005. As of 09/30/2005, business owner initiated moratorium on adding new language support still in effect.
2005	Achieving Peace and Security	Mission and Business Results	Homeland Security	Border and Transportation Security	Percentage of immigrant visa issuing sites producing Machine Readable Immigrant Visa.	Percentage of immigrant visa issuing sites producing Machine Readable Immigrant Visa as of the end of FY2004	Increase to 100% of immigrant visa issuing sites	100% issuance of machine-readable immigrant visas achieved on 10/20/2004. All immigrant visas issued after 10/20/2004 will be machine-readable.
2005	Achieving Peace and Security	Mission and Business Results	Homeland Security	Border and Transportation Security	Percentage of non-BCC visa issuing sites collecting expanded biometric identifiers as required by The Enhanced Border Security and Visa Entry Reform Act of 2002, Sec. 303.	Percentage of visa issuing sites collecting expanded biometric identifiers as of the end of FY2004	Increase to 100% of visa issuing sites	100% collection of expanded biometrics at visa issuing sites achieved on 10/20/2004. All visa applications processed after 10/20/2004 will include expanded biometrics.
2005	Strengthening Consular and Management Capabilities	Mission and Business Results	Information and Technology Management	IT Infrastructure Maintenance	Percentage of consular sites receiving technology upgrades.	28% of consular sites have technology upgrades (hardware/system software) each year.	Maintain at least 28% technology refresh rate	40% of consular sites visited for hardware/system software upgrades as of 09/30/2005. Project technology upgrades are being combined with the refresh training on the same visit to post.
2005	Strengthening Consular and Management Capabilities	Mission and Business Results	Workforce Management	Training and Employment	Percentage of consular sites visited for refresh training.	66% of consular sites visited each year for refresh training on consular automated systems	Maintain at least 66% training refresh rate for consular site training	65.5% of consular sites visited for refresh training as of 09/30/2005.
2005	Strengthening Consular and Management	Processes and Activities	Productivity and Efficiency	Efficiency	Percentage of DV lottery applications	100% e-DV Applications submitted	Maintain 100% e-DV electronic submission rate.	100% of Diversity Visa lottery

Performance Information Table							
Fiscal Year	**Strategic Goal(s) Supported**	**Measurement Area**	**Measurement Category**	**Measurement Grouping**	**Measurement Indicator**	**Baseline**	**Target**	**Actual Results**
	Capabilities				submitted electronically.	electronically.		application forms submitted electronically via e-DV for the FY2006 lottery, which closed on 01/05/2005.
2005	Strengthening Consular and Management Capabilities	Processes and Activities	Productivity and Efficiency	Productivity	Percentage of non-immigrant visa application forms submitted in machine-readable format.	Percentage of all non-immigrant visa applications submitted in machine readable format as of the end of FY2004	Increase to 20% of non-immigrant visa application forms submitted in machine readable format	30.92% of non-immigrant visa applications submitted in machine-readable format for FY2005 through 09/30/2005. Planned target has been exceeded due to greater than expected acceptance of Internet based forms processing by customers.
2005	Achieving Peace and Security	Technology	Information and Data	External Data Sharing	Percentage of visa issuance data provided to border security agencies each day.	100% visa issuance data shared with border security agencies.	Maintain at 100%	100% of visa issuance data being shared with other agencies involved in border security as of 09/30/2005.
2005	Strengthening Consular and Management Capabilities	Technology	Reliability and Availability	Availability	Percentage availability of Consular Consolidated Database	99% Availability	Increase to 99.9%	Additional hardware to enhance CCD redundancy and fail-over capability delivered and installed in February 2005. As of 09/30/2005, insufficient metrics have been collected to determine if the availability goal has been achieved.
2006	Strengthening Consular and Management Capabilities	Customer Results	Service Coverage	New Customers and Market Penetration	Number of languages supported on electronic visa application forms web site.	Eight languages supported.	Maintain support for eight languages.	Support provided for eight languages on the EVAF site as of 09/30/2006. Moratorium still in effect on adding additional language support.
2006	Strengthening Consular and Management Capabilities	Customer Results	Service Coverage	New Customers and Market Penetration	Percentage of non-immigrant visa applicants scheduling interview appointment on-line.	0% non-immigrant visa applicants.	Increase to 5% of non-immigrant visa applicants	0% of non-immigrant visa applicants scheduling appointment on-line as of 06/30/2006. C&A completed. Pilot test expected to begin in July 2006.
2006	Achieving Peace and Security	Mission and Business Results	Homeland Security	Border and Transportation Security	Percentage of non-BCC visa issuing sites collecting expanded biometric identifiers as required by The Enhanced Border Security and	100% collection of biometric identifiers.	Maintain at 100%	100% of biometric identifiers collected as of 09/30/2006.

Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
					Visa Entry Reform Act of 2002, Sec. 303.			
2006	Achieving Peace and Security	Mission and Business Results	Homeland Security	Border and Transportation Security	Number of visa issuing sites pilot testing the collection ten fingerprints for US VISIT check.	0 visa issuing sites pilot testing collection ten fingerprints	Increase to 3 visa issuing site pilot testing collection of ten fingerprints	3 visa issuing sites collecting ten fingerprints as of 09/30/2006.
2006	Strengthening Consular and Management Capabilities	Mission and Business Results	Information and Technology Management	IT Infrastructure Maintenance	Percentage of consular sites receiving technology upgrades.	28% of consular sites have technology upgrades (hardware/system software) each year.	Maintain at least 28% technology refresh rate	24% of consular sites visited (54 out of 230) for technology upgrades as of 09/30/2006.
2006	Strengthening Consular and Management Capabilities	Mission and Business Results	Workforce Management	Training and Employment	Percentage of consular sites visited for refresh training.	66% of consular sites visited each year for refresh training on consular automated systems	Maintain at least 66% training refresh rate for consular site training	66% of consular sites visited (151 out of 230) for refresh training as of 09/30/2006.
2006	Strengthening Consular and Management Capabilities	Processes and Activities	Productivity and Efficiency	Efficiency	Percentage of DV lottery applications submitted electronically.	100% e-DV Applications submitted electronically.	Maintain at 100%	100% of Diversity Visa lottery application forms submitted electronically via e-DV for the FY2006 lottery, which closed on 12/04/2005. A total of 5,565,562 applicants successfully submitted their application on-line.
2006	Strengthening Consular and Management Capabilities	Processes and Activities	Productivity and Efficiency	Efficiency	Number of non-immigrant visa processing posts supported by central Internet based on-line appointment system.	0 posts supported	Increase to 20 posts supported.	0 posts supported as of 09/30/2006. Pilot test delayed until FY2007.
2006	Strengthening Consular and Management Capabilities	Processes and Activities	Productivity and Efficiency	Productivity	Percentage of non-immigrant visa application forms submitted in machine-readable format.	Percentage of all non-immigrant visa applications submitted in machine readable format as of the end of FY2005	Increase to 40% of non-immigrant visa application forms submitted in machine readable format	48.56% of non-immigrant visa applications submitted in machine-readable format for FY2006 through 06/30/2006. Results have exceeded expectations due to a substantial increase in web site usage this year. Out-year expectations adjusted accordingly.
2006	Achieving Peace and Security	Technology	Information and Data	External Data Sharing	Percentage of visa issuance data provided to border security agencies each day.	100% visa issuance data shared with border security agencies.	Maintain at 100%	100% of visa issuance data being shared with other agencies involved in border security as of 09/30/2006.
2006	Strengthening Consular and Management Capabilities	Technology	Reliability and Availability	Availability	Percentage availability of Consular Consolidated Database	99.9% availability	Maintain at 99.9%	99.9% availability achieved as of 09/30/2006.
2007	Strengthening Consular and Management	Customer Results	Service Coverage	New Customers and Market Penetration	Number of languages supported on	Eight languages supported.	Maintain support for eight languages.	Support provided for eight languages

Performance Information Table								
Fiscal Year	**Strategic Goal(s) Supported**	**Measurement Area**	**Measurement Category**	**Measurement Grouping**	**Measurement Indicator**	**Baseline**	**Target**	**Actual Results**
	Capabilities				electronic visa application forms web site.			on the EVAF site as of 09/30/2007. Moratorium still in effect on adding additional language support.
2007	Achieving Peace and Security	Mission and Business Results	Homeland Security	Border and Transportation Security	Percentage visa issuing sites collecting expanded biometric identifiers as required by The Enhanced Border Security and Visa Entry Reform Act of 2002, Sec. 303.	100% collection of biometric identifiers.	Maintain at 100%	100% of biometric identifiers collected as of 09/30/2007.
2007	Achieving Peace and Security	Mission and Business Results	Homeland Security	Border and Transportation Security	Percentage of visa issuing sites collecting ten fingerprints for US VISIT check.	0% of immigrant visa issuing sites collecting ten fingerprints	Increase to 75% of visa issuing sites	59% (131 of 221) of visa issuing posts collecting 10 fingerprints as of 09/30/2007. Remainder of posts will be converted by the end of calander year 2007.
2007	Strengthening Consular and Management Capabilities	Mission and Business Results	Information and Technology Management	IT Infrastructure Maintenance	Percentage of consular sites receiving technology upgrades.	28% of consular sites have technology upgrades (hardware/system software) each year.	Maintain at least 28% technology refresh rate	43% of consular sites visited (106 out of 241) for technology upgrades as of 09/30/2007.
2007	Strengthening Consular and Management Capabilities	Mission and Business Results	Workforce Management	Training and Employment	Percentage of consular sites visited for refresh training.	66% of consular sites visited each year for refresh training on consular automated systems	Maintain at least 66% training refresh rate for consular site training	69% of consular sites visited (167 out of 241) for refresh training as of 09/30/2007.
2007	Strengthening Consular and Management Capabilities	Processes and Activities	Productivity and Efficiency	Efficiency	Percentage of DV lottery applications submitted electronically.	100% DV Applications submitted electronically.	Maintain at 100%	100% of Diversity Visa lottery application forms submitted electronically via e-DV for the FY2008 lottery, which closed on 12/03/2006.
2007	Strengthening Consular and Management Capabilities	Processes and Activities	Productivity and Efficiency	Efficiency	Percentage of visa and American Citizen services fraud case tracked using integrated fraud case tracking services.	0% of fraud cases tracked using integrated fraud case tacking services	Increase to 100% of fraud cases tracked using integrated fraud case tacking services	0% of fraud cases tracked using integrated fraud case tracking service as of 09/30/2007. Integrated fraud case tracking service will not be available for use until FY2008.
2007	Strengthening Consular and Management Capabilities	Processes and Activities	Productivity and Efficiency	Productivity	Percentage of non-immigrant visa application forms submitted in machine-readable format.	Percentage of all non-immigrant visa applications submitted in machine readable format as of the end of FY2006	Increase to 55%	69% through 06/30/2007. Use of EVAF is now mandatory. 68-70% is maximum expected usage. Other 30% use Call Center, RDS or other electronic means. Performance measures for this item in future years will be adjusted

Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
								accordingly.
2007	Achieving Peace and Security	Technology	Information and Data	External Data Sharing	Percentage of visa issuance data provided to border security agencies each day.	100% visa issuance data shared with border security agencies.	Maintain at 100%	100% of visa issuance data being shared with other agencies involved in border security as of 09/30/2007.
2007	Strengthening Consular and Management Capabilities	Technology	Reliability and Availability	Availability	Percentage availability of Consular Consolidated Database	99.9% availability	Maintain at 99.9%	99.9% availability achieved as of 09/30/2007.
2008	Strengthening Consular and Management Capabilities	Customer Results	Service Coverage	New Customers and Market Penetration	Number of languages supported on electronic visa application forms web site.	Eight languages supported.	Maintain support for eight languages.	Support provided for eight languages on the EVAF site as of 12/31/2007. Moratorium still in effect on adding additional language support.
2008	Strengthening Consular and Management Capabilities	Customer Results	Service Coverage	Service Efficiency	Percent of visa applicants using integrated "one stop shop" e-government consular on-line services	0% as of beginning of FY 2008	Increase to 25%	0% as of 12/31/2007. One-stop-shop components will be made available to the public in the 2nd/3rd quarter FY2008.
2008	Achieving Peace and Security	Mission and Business Results	Homeland Security	Border and Transportation Security	Percentage of visa issuing sites collecting ten fingerprints for US VISIT check.	Percentage of immigrant visa issuing sites collecting ten fingerprints as of the end of FY2007	Increase to 100% of visa issuing sites	100% of visa issuing posts collecting 10 fingerprints as of 12/31/2007.
2008	Achieving Peace and Security	Mission and Business Results	Homeland Security	Border and Transportation Security	Percentage of non-BCC visa issuing sites collecting expanded biometric identifiers	100% collection of biometric identifiers.	Maintain at 100%	100% of biometric identifiers collected as of 12/31/2007.
2008	Strengthening Consular and Management Capabilities	Mission and Business Results	Information and Technology Management	IT Infrastructure Maintenance	Percentage of consular sites receiving technology upgrades.	28% of consular sites have technology upgrades (hardware/system software) each year.	Maintain at least 28% technology refresh rate	7% of consular sites visited (16 out of 241) for technology upgrades as of 12/31/2007.
2008	Strengthening Consular and Management Capabilities	Mission and Business Results	Workforce Management	Training and Employment	Percentage of consular sites visited for refresh training.	66% of consular sites visited each year for refresh training on consular automated systems	Maintain at least 66% training refresh rate for consular site training	17% of consular sites visited (42 out of 241) for technology upgrades as of 12/10/2007.
2008	Strengthening Consular and Management Capabilities	Processes and Activities	Productivity and Efficiency	Efficiency	Percentage of DV lottery applications submitted electronically.	100% DV applications submitted electronically.	Maintain at 100%	100% of Diversity Visa lottery application forms submitted electronically via e-DV for the FY2009 lottery, which closed on 12/02/2007.
2008	Strengthening Consular and Management Capabilities	Processes and Activities	Productivity and Efficiency	Efficiency	Percentage of visa and American Citizen services fraud case tracked using integrated fraud case tracking services.	0% of fraud cases tracked using integrated fraud case tacking services	Increase to 10% of fraud cases tracked using integrated fraud case tacking services	0% of fraud cases tracked using integrated fraud case tracking service as of 12/31/2007. Integrated fraud case tracking service will not be available for

Performance Information Table							
Fiscal Year	**Strategic Goal(s) Supported**	**Measurement Area**	**Measurement Category**	**Measurement Grouping**	**Measurement Indicator**	**Baseline**	**Target**	**Actual Results**
								use until late FY2008.
2008	Strengthening Consular and Management Capabilities	Processes and Activities	Productivity and Efficiency	Productivity	Percentage of non-immigrant visa application forms submitted in machine-readable format.	Percentage of all non-immigrant visa applications submitted in machine readable format as of the end of FY2007	Maintain at 68 - 70%	69% through 12/31/2007. Use of EVAF is now mandatory. 68-70% is maximum expected usage. Other 30% use Call Center, RDS or other electronic means. Performance measures for this item in future years will be adjusted accordingly.
2008	Achieving Peace and Security	Technology	Information and Data	External Data Sharing	Percentage of visa issuance data provided to border security agencies each day.	100% visa issuance data shared with border security agencies.	Maintain at 100%	100% of visa issuance data being shared with other agencies involved in border security as of 12/31/2007.
2008	Strengthening Consular and Management Capabilities	Technology	Reliability and Availability	Availability	Percentage availability of Consular Consolidated Database	99.9% availability	Maintain at 99.9%	99.9% availability achieved as of 12/31/2007.

Section E: Security and Privacy (IT Capital Assets only)

8. Planning & Operational Systems - Privacy Table:					
(a) Name of System	**(b) Is this a new system? (Y/N)**	**(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)**	**(d) Internet Link or Explanation**	**(e) Is a System of Records Notice (SORN) required for this system? (Y/N)**	**(f) Internet Link or Explanation**
Action Request System (Domestic) (ARS) - 555	No	Yes	http://foia.state.gov/SPIAS/20061.DOS.PIA.Summary.Consular.Support.Visa.Applications.pdf	Yes	http://foia.state.gov/issuances/STATE-39.pdf http://foia.state.gov/issuances/STATE-25.pdf http://foia.state.gov/issuances/STATE-05.pdf
Automated Biometric Identification System (ABIS) - 877	Yes	Yes	http://foia.state.gov/SPIAS/20061.DOS.PIA.Summary.Consular.Support.Visa.Applications.pdf	Yes	http://foia.state.gov/issuances/STATE-39.pdf
Automated Cash Register System (ACRS) - 554	No	Yes	http://www.state.gov/documents/organization/96130.pdf	Yes	http://foia.state.gov/issuances/STATE-25.pdf
CA Legislation Tracking Application (CA LTA) - 920	No	Yes	http://foia.state.gov/SPIAS/20061.DOS.PIA.Summary.Consular.Support.Visa.Applications.pdf	Yes	http://foia.state.gov/issuances/STATE-39.pdf http://foia.state.gov/issuances/STATE-25.pdf http://foia.state.gov/issuances/STATE-05.pdf
Consolidated Visa System (CVS) - 2728 (Projected Phase 1)	Yes	No	Planned System - PIA under development.	Yes	http://foia.state.gov/issuances/STATE-39.pdf http://foia.state.gov/issuances/STATE-25.pdf
Consular Affairs Domestic Support Suite (CADSS) - 919	No	Yes	http://foia.state.gov/SPIAS/20061.DOS.PIA.Summary.Consular.Support.Visa.Applications.pdf	Yes	http://foia.state.gov/issuances/STATE-39.pdf http://foia.state.gov/issuances/STATE-25.pdf
Consular Affairs Rational Tool Set (CRTS) - 942	No	Yes	http://foia.state.gov/SPIAS/20061.DOS.PIA.Summary.Consular.Support.Visa.Applications.pdf	No	This system contains no personal identifying or policy information.
Consular Consolidated Database (CCD) - 9	No	Yes	http://www.state.gov/documents/organization/93772.pdf	Yes	http://foia.state.gov/issuances/STATE-39.pdf http://foia.state.gov/issuances/STATE-25.pdf

8. Planning & Operational Systems - Privacy Table:					
(a) Name of System	**(b) Is this a new system? (Y/N)**	**(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)**	**(d) Internet Link or Explanation**	**(e) Is a System of Records Notice (SORN) required for this system? (Y/N)**	**(f) Internet Link or Explanation**
					http://foia.state.gov/issuances/STATE-05.pdf
Consular Data Transfer Information System (CDITS) - 964	No	Yes	http://foia.state.gov/SPIAS/20061.DOS.PIA.Summary.Consular.Support.Visa.Applications.pdf	Yes	http://foia.state.gov/issuances/STATE-39.pdf
Consular Shared Tables (CST) - 559	No	Yes	http://www.state.gov/documents/organization/93773.pdf	Yes	http://foia.state.gov/issuances/STATE-39.pdf http://foia.state.gov/issuances/STATE-25.pdf
Consular Systems Division Project Repository (CSDPR) - 940	Yes	No	This system contains no personal identifying information about members of the public.	No	This system contains no personal identifying about members of the public, case or policy information.
Consular Workload &amp;amp; Statistics System (CWSS) - 560	No	Yes	http://foia.state.gov/SPIAS/20061.DOS.PIA.Summary.Consular.Support.Visa.Applications.pdf	No	This system is a statistical reporting system and contains no personal identifying information about members of the public, case or policy information.
Datashare (DS) - 561	No	Yes	http://foia.state.gov/SPIAS/20061.DOS.PIA.Summary.Consular.Support.Visa.Applications.pdf	Yes	http://foia.state.gov/issuances/STATE-39.pdf
Diversity Immigrant Visa Information System (DVIS) - 17	No	Yes	http://foia.state.gov/SPIAS/20061.DOS.PIA.Summary.Consular.Support.Visa.Applications.pdf	Yes	http://foia.state.gov/issuances/STATE-39.pdf
Electronic Diversity Visa (EDV) - 722	No	Yes	http://foia.state.gov/SPIAS/20061.DOS.PIA.Summary.Consular.Support.Visa.Applications.pdf	Yes	http://foia.state.gov/issuances/STATE-39.pdf
Electronic Visa Application Form (EVAF) - 723	No	Yes	http://foia.state.gov/SPIAS/20061.DOS.PIA.Summary.Consular.Support.Visa.Applications.pdf	Yes	http://foia.state.gov/issuances/STATE-39.pdf
Immigrant Visa Allocation Management System (IVAMS) - 97	No	Yes	http://foia.state.gov/SPIAS/20061.DOS.PIA.Summary.Consular.Support.Visa.Applications.pdf	Yes	http://foia.state.gov/issuances/STATE-39.pdf
Immigrant Visa Allocation Management System Web (IVAMSWeb) - 753	No	Yes	http://foia.state.gov/SPIAS/20061.DOS.PIA.Summary.Consular.Support.Visa.Applications.pdf	Yes	http://foia.state.gov/issuances/STATE-39.pdf
Immigrant Visa Information System (IVIS) - 49	No	Yes	http://foia.state.gov/SPIAS/20061.DOS.PIA.Summary.Consular.Support.Visa.Applications.pdf	Yes	http://foia.state.gov/issuances/STATE-39.pdf
Immigrant Visa Overseas (IVO) - 817	No	Yes	http://www.state.gov/documents/organization/93788.pdf	Yes	http://foia.state.gov/issuances/STATE-39.pdf http://foia.state.gov/issuances/STATE-25.pdf
Independent Namecheck System (INK) - 29	No	Yes	http://www.state.gov/documents/organization/93787.pdf	Yes	http://foia.state.gov/issuances/STATE-39.pdf http://foia.state.gov/issuances/STATE-25.pdf
Knowledge Management for Remedy (KMR (CA)) - 881	No	No	This system contains no personal identifying information about members of the public.	No	This system contains no personal identifying about members of the public, case or policy information.
Non-Immigrant Visa System (NIV) - 65	No	Yes	http://www.state.gov/documents/organization/93783.pdf	Yes	http://foia.state.gov/issuances/STATE-39.pdf http://foia.state.gov/issuances/STATE-25.pdf
Remote Data Entry System (RDS) - 87	No	Yes	http://www.state.gov/documents/organization/93777.pdf	Yes	http://foia.state.gov/issuances/STATE-39.pdf http://foia.state.gov/issuances/STATE-25.pdf
Ten Print Live Scan System (TPLS) - 829	No	Yes	http://foia.state.gov/SPIAS/20061.DOS.PIA.Summary.Consular.Support.Visa.Applications.pdf	Yes	http://foia.state.gov/issuances/STATE-39.pdf http://foia.state.gov/issuances/STATE-25.pdf
Visa Opinion Information Service (VOIS) - 875	No	Yes	http://foia.state.gov/SPIAS/20061.DOS.PIA.Summary.Consular.Support.Vis	Yes	http://foia.state.gov/issuances/STATE-39.pdf

8. Planning & Operational Systems - Privacy Table:					
(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
			a.Applications.pdf		
Waiver Review System (WRS) - 415	No	Yes	http://www.state.gov/doc uments/organization/961 07.pdf	Yes	http://foia.state.gov/issu ances/STATE-39.pdf

Details for Text Options:
Column (d): If yes to (c), provide the link(s) to the publicly posted PIA(s) with which this system is associated. If no to (c), provide an explanation why the PIA has not been publicly posted or why the PIA has not been conducted.

Column (f): If yes to (e), provide the link(s) to where the current and up to date SORN(s) is published in the federal register. If no to (e), provide an explanation why the SORN has not been published or why there isn't a current and up to date SORN.

Note: Working links must be provided to specific documents not general privacy websites. Non-working links will be considered as a blank field.

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture? Yes

    a. If "no," please explain why?

2. Is this investment included in the agency's EA Transition Strategy? Yes

    a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. Consular Support and Visa Applications (CSVA)

    b. If "no," please explain why?

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture? No

    a. If "yes," provide the name of the segment architecture as provided in the agency's most recent annual EA Assessment.

4. Service Component Reference Model (SRM) Table:								
Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov.								
Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
Property / Asset Management	Support the identification, planning and allocation of an organization's physical capital and resources	Back Office Services	Asset / Materials Management	Property / Asset Management			No Reuse	1
Data Classification	Allow the classification of data	Back Office Services	Data Management	Data Classification			No Reuse	1
Data Cleansing	Support the removal of incorrect or unnecessary characters and data from a data source	Back Office Services	Data Management	Data Cleansing			No Reuse	1
Data Exchange	Support the interchange of information between multiple systems or applications; includes verification that transmitted data	Back Office Services	Data Management	Data Exchange			External	3

4. Service Component Reference Model (SRM) Table:

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	was received unaltered							
Data Mart	Support a subset of a data warehouse for a single department or function within an organization	Back Office Services	Data Management	Data Mart			No Reuse	3
Data Recovery	Support the restoration and stabilization of data sets to a consistent, desired state	Back Office Services	Data Management	Data Recovery			No Reuse	1
Data Warehouse	Support the archiving and storage of large volumes of data	Back Office Services	Data Management	Data Warehouse			No Reuse	3
Extraction and Transformation	Support the manipulation and change of data	Back Office Services	Data Management	Extraction and Transformation			No Reuse	1
Loading and Archiving	Support the population of a data source with external data	Back Office Services	Data Management	Loading and Archiving			No Reuse	1
Data Integration	Support the organization of data from separate data sources into a single source using middleware or application integration as well as the modification of system data models to capture new information within a single system	Back Office Services	Development and Integration	Data Integration			No Reuse	1
Enterprise Application Integration	Support the redesigning of disparate information systems into one system that uses a common set of data structures and rules	Back Office Services	Development and Integration	Enterprise Application Integration			No Reuse	2
Instrumentation and Testing	Support the validation of application or system capabilities and requirements	Back Office Services	Development and Integration	Instrumentation and Testing			No Reuse	2
Legacy Integration	Support the communication between newer generation hardware/software applications and the previous, major generation of hardware/software applications	Back Office Services	Development and Integration	Legacy Integration			No Reuse	2
Software Development	Support the creation of both graphical and process application or system software	Back Office Services	Development and Integration	Software Development			No Reuse	10

4. Service Component Reference Model (SRM) Table:
Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
Education / Training	Support the active building of employee competencies, to include the range of training from professional development to general awareness training	Back Office Services	Human Resources	Education / Training			No Reuse	10
Data Mining	Provide for the efficient discovery of non-obvious, valuable patterns and relationships within a large collection of data	Business Analytical Services	Knowledge Discovery	Data Mining			No Reuse	2
Modeling	Develop descriptions to adequately explaim relevant data for the purpose of predictio, pattern detection, exploration or general organization of data	Business Analytical Services	Knowledge Discovery	Modeling			No Reuse	1
Ad Hoc	Support the use of dynamic reports on an as needed basis	Business Analytical Services	Reporting	Ad Hoc			No Reuse	1
OLAP	Support the analysis of information that has been summarized into multidimensional views and hierarchies	Business Analytical Services	Reporting	OLAP			No Reuse	1
Standardized / Canned	Support the use of pre-conceived or pre-written reports	Business Analytical Services	Reporting	Standardized / Canned			No Reuse	1
Change Management	Control the process for updates or modifications to the existing documents, software or business processes of an organization	Business Management Services	Management of Processes	Change Management			No Reuse	1
Configuration Management	Control the hardware and software environments, as well as documents of an organization	Business Management Services	Management of Processes	Configuration Management			No Reuse	1
Program / Project Management	Manage and control a particular effort of an organization	Business Management Services	Management of Processes	Program / Project Management			Internal	2
Quality Management	Help determine the level that a product or service satisfies certain requirements	Business Management Services	Management of Processes	Quality Management			No Reuse	2

4. Service Component Reference Model (SRM) Table:

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
Requirements Management	Gather, analyze and fulfill the needs and prerequisites of an organization's efforts	Business Management Services	Management of Processes	Requirements Management			No Reuse	2
Reservations / Registration	Allow electronic enrollment and confirmations for services	Customer Services	Customer Initiated Assistance	Reservations / Registration			No Reuse	1
Self-Service	Allow an organization's customers to sign up for a particular service at their own initiative	Customer Services	Customer Initiated Assistance	Self-Service			No Reuse	1
Subscriptions	Allow a customer to join a forum, listserv, or mailing list	Customer Services	Customer Preferences	Subscriptions			No Reuse	1
Contact and Profile Management	Provide a comprehensive view of all customer interactions, including calls, email, correspondence and meetings; also provides for the maintenance of a customer's account, business and personal information	Customer Services	Customer Relationship Management	Contact and Profile Management			No Reuse	1
Content Authoring	Allow for the creation of tutorials, CBT courseware, web sites, CD-ROMs and other interactive programs	Digital Asset Services	Content Management	Content Authoring			No Reuse	1
Content Publishing and Delivery	Allow for the propogation of interactive programs	Digital Asset Services	Content Management	Content Publishing and Delivery			No Reuse	1
Content Review and Approval	Allow for the approval of interactive programs	Digital Asset Services	Content Management	Content Review and Approval			No Reuse	1
Tagging and Aggregation	Support the identification of specific content within a larger set of content for collection and summarization	Digital Asset Services	Content Management	Tagging and Aggregation			No Reuse	1
Document Imaging and OCR	Support the scanning of documents	Digital Asset Services	Document Management	Document Imaging and OCR			No Reuse	1
Document Referencing	Support the redirection to other documents and information for related content	Digital Asset Services	Document Management	Document Referencing			No Reuse	1
Document Review and Approval	Support the editing and commendation of documents before releasing them	Digital Asset Services	Document Management	Document Review and Approval			No Reuse	1
Library / Storage	Support document and	Digital Asset Services	Document Management	Library / Storage			No Reuse	1

4. Service Component Reference Model (SRM) Table:
Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	data warehousing and archiving							
Categorization	Allow classification of data and information into specific layers or types to support an organization	Digital Asset Services	Knowledge Management	Categorization			No Reuse	1
Information Mapping / Taxonomy	Support the creation and maintenance of relationships between data entities, naming standards and categorization	Digital Asset Services	Knowledge Management	Information Mapping / Taxonomy			No Reuse	1
Information Retrieval	Allow access to data and information for use by an organization and its stakeholders	Digital Asset Services	Knowledge Management	Information Retrieval			No Reuse	1
Information Sharing	Support the use of documents and data in a multi-user environment for use by an organization and its stakeholders	Digital Asset Services	Knowledge Management	Information Sharing			No Reuse	1
Knowledge Capture	Facilitate collection of data and information	Digital Asset Services	Knowledge Management	Knowledge Capture			No Reuse	1
Knowledge Distribution and Delivery	Support the transfer of knowledge to the end customer.	Digital Asset Services	Knowledge Management	Knowledge Distribution and Delivery			No Reuse	1
Knowledge Engineering	Support the translation of knowledge from an expert into the knowledge base of an expert system	Digital Asset Services	Knowledge Management	Knowledge Engineering			No Reuse	1
Document Classification	Support the categorization of documents and artifacts, both electronic and physical	Digital Asset Services	Records Management	Document Classification			No Reuse	1
Document Retirement	Support the termination or cancellation of documents and artifacts used by an organization and its stakeholders	Digital Asset Services	Records Management	Document Retirement			No Reuse	1
Record Linking / Association	Support the correlation between logical data and information sets	Digital Asset Services	Records Management	Record Linking / Association			No Reuse	1
Case Management	Manage the life cycle of a particular claim or investigation within an organization to include creating, routing, tracing, assignment and closing of a case as	Process Automation Services	Tracking and Workflow	Case Management			No Reuse	2

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	4. Service Component Reference Model (SRM) Table: Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov.							
	well as collaboration among case handlers							
Process Tracking	Allow the monitoring of activities within the business cycle	Process Automation Services	Tracking and Workflow	Process Tracking			No Reuse	2
Email Services	Support the transmission of memos and messages over a network.	Support Services	Collaboration	Email			No Reuse	1
Threaded Discussions	Support the running log of remarks or opinions about a given topic or subject.	Support Services	Collaboration	Threaded Discussions			No Reuse	1
Video Conferencing	Support video communications sessions among people who are geographically dispersed.	Support Services	Communication	Video Conferencing			No Reuse	1
Forms Modification	Support the maintenance of electronic or physical forms, templates and their respective elements and fields	Support Services	Forms Management	Forms Modification			Internal	1
Classification	Support selection and retrieval of records organized by shared characteristics in content or context	Support Services	Search	Classification			No Reuse	1
Pattern Matching	Support retrieval of records generated from a data source by imputing characteristics based on patterns in the content or context	Support Services	Search	Pattern Matching			No Reuse	1
Query	Support retrieval of records that satisfy specific query selection criteria	Support Services	Search	Query			No Reuse	1
Access Control	Support the management of permissions for signing onto a computer, application, service, or network; includes user management and role/privilege mangement	Support Services	Security Management	Access Control			No Reuse	1
Audit Trail Capture and Analysis	Support the identification and monitoring of activities within an application, system or network	Support Services	Security Management	Audit Trail Capture and Analysis			No Reuse	1
Certification and Accreditation	A process by which agencies	Support Services	Security Management	Certification and Accreditation			Internal	1

4. Service Component Reference Model (SRM) Table: Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov.								
Agency Component Name	**Agency Component Description**	**FEA SRM Service Domain**	**FEA SRM Service Type**	**FEA SRM Component (a)**	**Service Component Reused Name (b)**	**Service Component Reused UPI (b)**	**Internal or External Reuse? (c)**	**BY Funding Percentage (d)**
	periodically: (i) assess the risk resulting from the operation of its systems; (ii) test and evaluate the security controls in those systems to determine control effectiveness and system vulnerabilities; and (iii) assess the information security programs supporting those systems.							
Cryptography	Support the use and management of ciphers, including encryption and decryption processes, to ensure confidentiality and integrity of data.	Support Services	Security Management	Cryptography			No Reuse	1
FISMA Management and Reporting	A process to collect, record, analyze and evaluate relevant security information, in order to inform managers and executives about the organization's security risks, position and compliance.	Support Services	Security Management	FISMA Management and Reporting			Internal	1
Identification and Authentication	Support obtaining information about those parties attempting to log on to a system or application for security purposes and the validation of those users	Support Services	Security Management	Identification and Authentication			No Reuse	1
Information Security Training	A process created to inform and educate end users and IT professionals of the organization's security policies.	Support Services	Security Management	NEW			Internal	1
Continuity of Operations	The execution of contingency plans for operations during crisis, unforeseen circumstances, or disruptions in normal day-to-day operations.	Support Services	Security Management	NEW			No Reuse	1
License Management	Support the purchase, upgrade and tracking of legal usage contracts	Support Services	Systems Management	License Management			Internal	1

4. Service Component Reference Model (SRM) Table:

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	for system software and applications							
Remote Systems Control	Support the monitoring, administration and usage of applications and enterprise systems from locations outside of the immediate system environment	Support Services	Systems Management	Remote Systems Control			No Reuse	1
Software Distribution	Support the propagation, installation and upgrade of written computer programs, applications and components	Support Services	Systems Management	Software Distribution			Internal	1

a. Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the percentage of the BY requested funding amount transferred to another agency to pay for the service. The percentages in the column can, but are not required to, add up to 100%.

5. Technical Reference Model (TRM) Table:

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Software Development	Component Framework	Business Logic	Platform Dependent	C-Sharp (C#)
Process Tracking	Component Framework	Business Logic	Platform Dependent	Microsoft BizTalk
Software Development	Component Framework	Business Logic	Platform Dependent	VB Script
Software Development	Component Framework	Business Logic	Platform Dependent	Visual Basic
Software Development	Component Framework	Business Logic	Platform Dependent	Visual Basic .Net (VB.Net)
Software Development	Component Framework	Business Logic	Platform Independent	Business Process Execution Language (BPEL)
Software Development	Component Framework	Business Logic	Platform Independent	C, C++
Software Development	Component Framework	Business Logic	Platform Independent	Java Portlet API
Software Development	Component Framework	Business Logic	Platform Independent	Java Servlet
Software Development	Component Framework	Business Logic	Platform Independent	JavaScript
Software Development	Component Framework	Business Logic	Platform Independent	Web Services for Remote Web Portlets (WSRP)
Data Exchange	Component Framework	Data Interchange	Data Exchange	SOAP
Data Exchange	Component Framework	Data Interchange	Data Exchange	Web Services User Interface (WSUI)
Data Exchange	Component Framework	Data Interchange	Data Exchange	XMI
Data Exchange	Component Framework	Data Interchange	Data Exchange	XML
Data Exchange	Component Framework	Data Management	Database Connectivity	Active Data Objects (ADO)
Data Exchange	Component Framework	Data Management	Database Connectivity	Active Data Objects .Net (ADO.Net)
Data Exchange	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Record Linking / Association	Component Framework	Data Management	Database Connectivity	JDBC
Data Exchange	Component Framework	Data Management	Database Connectivity	Open Database Connectivity (ODBC)
Data Mining	Component Framework	Data Management	Reporting and Analysis	Business Objects Enterprise XI

5. Technical Reference Model (TRM) Table:

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
				R2
Ad Hoc	Component Framework	Data Management	Reporting and Analysis	Business Objects Enterprise XI R2
Query	Component Framework	Data Management	Reporting and Analysis	Business Objects Enterprise XI R2
Audit Trail Capture and Analysis	Component Framework	Data Management	Reporting and Analysis	CISCO Threat Analysis v2.0
Property / Asset Management	Component Framework	Data Management	Reporting and Analysis	Core IMS v2.0.03
Audit Trail Capture and Analysis	Component Framework	Data Management	Reporting and Analysis	Harris STAT Scanner v5.39
Knowledge Capture	Component Framework	Data Management	Reporting and Analysis	Knowledge Management for Remedy v6.0
Knowledge Distribution and Delivery	Component Framework	Data Management	Reporting and Analysis	Knowledge Management for Remedy v6.3
Knowledge Engineering	Component Framework	Data Management	Reporting and Analysis	Knowledge Management for Remedy v6.3
OLAP	Component Framework	Data Management	Reporting and Analysis	Online Analytical Processing (OLAP)
Ad Hoc	Component Framework	Data Management	Reporting and Analysis	Oracle 9i
FISMA Management and Reporting	Component Framework	Data Management	Reporting and Analysis	RDBMS
Contact and Profile Management	Component Framework	Data Management	Reporting and Analysis	Remedy Action Request System v6.3
Standardized / Canned	Component Framework	Data Management	Reporting and Analysis	XBRL
Standardized / Canned	Component Framework	Data Management	Reporting and Analysis	XML for Analysis
Document Review and Approval	Component Framework	Presentation / Interface	Content Rendering	Adobe FileMaker Professional v8.5
Content Publishing and Delivery	Component Framework	Presentation / Interface	Content Rendering	Cascading Style Sheet
Tagging and Aggregation	Component Framework	Presentation / Interface	Content Rendering	Coveo Search Engine v4.0
Categorization	Component Framework	Presentation / Interface	Content Rendering	Coveo Search Engine v4.0
Information Mapping / Taxonomy	Component Framework	Presentation / Interface	Content Rendering	Coveo Search Engine v4.0
Content Publishing and Delivery	Component Framework	Presentation / Interface	Content Rendering	Dynamic HTML (DHTML)
Content Publishing and Delivery	Component Framework	Presentation / Interface	Content Rendering	Extensible HTML (XHTML)
Content Authoring	Component Framework	Presentation / Interface	Content Rendering	Macromedia Flash Pro v8
Content Authoring	Component Framework	Presentation / Interface	Content Rendering	Rhythmyx Content Manager v4.5
Content Review and Approval	Component Framework	Presentation / Interface	Content Rendering	Rhythmyx Content Manager v4.5
Content Publishing and Delivery	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Active Server Pages .Net (ASP.Net)
Education / Training	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Camtasia Studio v2.1
Content Publishing and Delivery	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Java Server Pages (JSP)
Education / Training	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Macromedia Authorware v6
Forms Modification	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Microsoft Active Server Pages (ASP)
Content Publishing and Delivery	Component Framework	Presentation / Interface	Static Display	Hyper Text Markup Language (HTML)
Access Control	Component Framework	Security	Certificates / Digital Signatures	Digital Certificate Authentication
Access Control	Component Framework	Security	Certificates / Digital Signatures	FIPS 186
Access Control	Component Framework	Security	Certificates / Digital Signatures	Secure Sockets Layer (SSL)
Cryptography	Component Framework	Security	Supporting Security Services	Advanced Encryption Standard (AES)
Enterprise Application Integration	Component Framework	Security	Supporting Security Services	MS Active Directory
Cryptography	Component Framework	Security	Supporting Security Services	Secure Multipurpose Internet Mail Extension (S/MIME)
Cryptography	Component Framework	Security	Supporting Security Services	Secure Shell (SSH)
Cryptography	Component Framework	Security	Supporting Security Services	Security Assertion Markup Language (SAML)
Cryptography	Component Framework	Security	Supporting Security Services	Simple Key Management Protocol (SKIP)
Cryptography	Component Framework	Security	Supporting Security Services	Transport Layer Security (TLS)
Cryptography	Component Framework	Security	Supporting Security Services	Web Services Security (WSS)
Email	Service Access and Delivery	Access Channels	Collaboration /	Electronic Mail (E-mail)

5. Technical Reference Model (TRM) Table:
To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
			Communications	
Threaded Discussions	Service Access and Delivery	Access Channels	Collaboration / Communications	Facsimile (Fax)
Data Exchange	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Enterprise Application Integration	Service Access and Delivery	Access Channels	Other Electronic Channels	Uniform Resource Locator (URL)
Content Publishing and Delivery	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer
Access Control	Service Access and Delivery	Access Channels	Wireless / PDA	Blackberry
Access Control	Service Access and Delivery	Access Channels	Wireless / PDA	Java OS/J2ME
Access Control	Service Access and Delivery	Access Channels	Wireless / PDA	Palm Operating System
Access Control	Service Access and Delivery	Access Channels	Wireless / PDA	Pocket PC
Access Control	Service Access and Delivery	Access Channels	Wireless / PDA	Windows CE
Reservations / Registration	Service Access and Delivery	Delivery Channels	Internet	Web Browser
Self-Service	Service Access and Delivery	Delivery Channels	Internet	Web Browser
Subscriptions	Service Access and Delivery	Delivery Channels	Internet	Web Browser
Information Sharing	Service Access and Delivery	Service Requirements	Hosting	External (ISP/ASP/FirstGov)
Information Sharing	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Content Publishing and Delivery	Service Access and Delivery	Service Requirements	Legislative / Compliance	Section 508
Access Control	Service Access and Delivery	Service Requirements	Legislative / Compliance	Security
Identification and Authentication	Service Access and Delivery	Service Requirements	Legislative / Compliance	Web Content Accessibility
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	Hyper Text Transfer Protocol (HTTP)
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	Hyper Text Transfer Protocol Secure (HTTPS)
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	Internet Protocol (IP)
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	IP Security (IPSEC)
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	Transport Control Protocol (TCP)
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	User Datagram Protocol
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	Wireless Application Protocol (WAP)
Enterprise Application Integration	Service Access and Delivery	Service Transport	Supporting Network Services	Directory Services (X.500)
Enterprise Application Integration	Service Access and Delivery	Service Transport	Supporting Network Services	Domain Name System (DNS)
Enterprise Application Integration	Service Access and Delivery	Service Transport	Supporting Network Services	Dynamic Host Configuration Protocol (DHCP)
Data Exchange	Service Access and Delivery	Service Transport	Supporting Network Services	File Transfer Protocol (FTP)
Video Conferencing	Service Access and Delivery	Service Transport	Supporting Network Services	H.323
Email	Service Access and Delivery	Service Transport	Supporting Network Services	Internet Message Access Protocol/Post Office Protocol (IMAP/POP3)
Data Exchange	Service Access and Delivery	Service Transport	Supporting Network Services	LDAP
Email	Service Access and Delivery	Service Transport	Supporting Network Services	Simple Mail Transfer Protocol (SMTP)
Data Exchange	Service Access and Delivery	Service Transport	Supporting Network Services	Simple Network Management Protocol (SNMP)
Enterprise Application Integration	Service Interface and Integration	Integration	Enterprise Application Integration	Business Process Management/Message Queuing
Enterprise Application Integration	Service Interface and Integration	Integration	Enterprise Application Integration	Data Transformation
Legacy Integration	Service Interface and Integration	Integration	Enterprise Application Integration	Microsoft BizTalk
Data Integration	Service Interface and Integration	Integration	Middleware	Database Access: ISQL/w
Data Integration	Service Interface and Integration	Integration	Middleware	Database Access: Oracle NET
Data Integration	Service Interface and Integration	Integration	Middleware	Database Access: PL/SQL
Enterprise Application Integration	Service Interface and Integration	Integration	Middleware	Load Balancing
Data Integration	Service Interface and Integration	Integration	Middleware	Message Queuing
Data Integration	Service Interface and Integration	Integration	Middleware	Object Request Broker (ORB): Common Object Model (COM)
Data Integration	Service Interface and	Integration	Middleware	Object Request Broker (ORB):

5. Technical Reference Model (TRM) Table:

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
	Integration			Component Object Model + (COM+)
Data Integration	Service Interface and Integration	Integration	Middleware	Object Request Broker (ORB): Distributed Component Object Model (DCOM)
Data Integration	Service Interface and Integration	Integration	Middleware	Remote Procedure Call (RPC)
Data Integration	Service Interface and Integration	Integration	Middleware	SQL
Data Integration	Service Interface and Integration	Integration	Middleware	Transaction Processing Monitor
Software Development	Service Interface and Integration	Interface	Service Description / Interface	Application Program Interface (API) / Protocol
Enterprise Application Integration	Service Interface and Integration	Interface	Service Description / Interface	Web Services
Software Development	Service Interface and Integration	Interface	Service Description / Interface	Web Services Description Language (WSDL)
Enterprise Application Integration	Service Interface and Integration	Interface	Service Discovery	Universal Description Discovery and Integration (UDDI)
Classification	Service Interface and Integration	Interoperability	Data Format / Classification	Coveo Search Engine v4.0
Data Classification	Service Interface and Integration	Interoperability	Data Format / Classification	Extensible Markup Language (XML)
Data Classification	Service Interface and Integration	Interoperability	Data Format / Classification	XML Linking Language (XLINK)
Data Exchange	Service Interface and Integration	Interoperability	Data Transformation	Extensible Style Sheet Language Transformation (XSLT)
Extraction and Transformation	Service Interface and Integration	Interoperability	Data Transformation	Microsoft SharePoint 2007
Pattern Matching	Service Interface and Integration	Interoperability	Data Types / Validation	Document Type Definition (DTD)
Pattern Matching	Service Interface and Integration	Interoperability	Data Types / Validation	XML Schema
Case Management	Service Platform and Infrastructure	Database / Storage	Database	MS SQL Server
Case Management	Service Platform and Infrastructure	Database / Storage	Database	Oracle
Data Cleansing	Service Platform and Infrastructure	Database / Storage	Database	Oracle 9i
Data Mart	Service Platform and Infrastructure	Database / Storage	Database	Oracle 9i
Data Recovery	Service Platform and Infrastructure	Database / Storage	Database	Oracle 9i
Loading and Archiving	Service Platform and Infrastructure	Database / Storage	Database	Oracle 9i
Audit Trail Capture and Analysis	Service Platform and Infrastructure	Database / Storage	Database	Oracle 9i
Case Management	Service Platform and Infrastructure	Database / Storage	Storage	Network-Attached Storage (NAS)
Data Warehouse	Service Platform and Infrastructure	Database / Storage	Storage	SAN
Library / Storage	Service Platform and Infrastructure	Database / Storage	Storage	SAN
Case Management	Service Platform and Infrastructure	Database / Storage	Storage	Storage Area Network (SAN)
Information Retrieval	Service Platform and Infrastructure	Delivery Servers	Application Servers	.Net Framework Server
Document Referencing	Service Platform and Infrastructure	Delivery Servers	Portal Servers	Microsift ShaePoint 2007
Content Publishing and Delivery	Service Platform and Infrastructure	Delivery Servers	Web Servers	Apache
Content Publishing and Delivery	Service Platform and Infrastructure	Delivery Servers	Web Servers	Internet Information Server (IIS)
Configuration Management	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	Ethernet
Configuration Management	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	Virtual LAN (VLAN)
Configuration Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	IP Load Balancer
Configuration Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Network Interface Card (NIC)

5. Technical Reference Model (TRM) Table:

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Document Imaging and OCR	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Bar Code Scanner/Reader
Document Imaging and OCR	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Digital Camera
Document Imaging and OCR	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Fingerprint Scanner
Content Publishing and Delivery	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Printer
Document Imaging and OCR	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Scanner
Configuration Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Enterprise Server
Software Development	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	IBM Websphere Developer
Software Development	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	Visual Studio
Software Development	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	Visual Studio.Net
Modeling	Service Platform and Infrastructure	Software Engineering	Modeling	Business Process Modeling Notation (BPMN)
Modeling	Service Platform and Infrastructure	Software Engineering	Modeling	IBM Rational Suite
Modeling	Service Platform and Infrastructure	Software Engineering	Modeling	Telelogic System Architect
Modeling	Service Platform and Infrastructure	Software Engineering	Modeling	Unified Modeling Language (UML)
Modeling	Service Platform and Infrastructure	Software Engineering	Modeling	Workflow Modeler
Configuration Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	IBM Rational ClearCase v2002.05.01
Document Classification	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	IBM Rational ClearCase v2002.05.01
Document Retirement	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	IBM Rational ClearCase v2002.05.01
Requirements Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	IBM Rational RequisitePro v2002.05.01
Software Development	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	IBM Rational Suite
Program / Project Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Microsoft Enterprise Project Management 2003
Configuration Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	MS Software Update Services (SUS)
License Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	NumaraSoftware Track-It Asset Manager Standard Edition v7.0
Software Development	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	SMS
Remote Systems Control	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	SMS
Configuration Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	SMS
Software Distribution	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	SMS
Certification and Accreditation	Service Platform and Infrastructure	Software Engineering	Test Management	Baseline Toolkit (BTK) v3.0
Quality Management	Service Platform and Infrastructure	Software Engineering	Test Management	IBM Rational Robot v2002.05.01
Instrumentation and Testing	Service Platform and Infrastructure	Software Engineering	Test Management	IBM Rational Suite
Software Development	Service Platform and Infrastructure	Support Platforms	Platform Dependent	MS .Net Framework
Enterprise Application Integration	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Windows 2003
Enterprise Application Integration	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Windows XP
Enterprise Application Integration	Service Platform and Infrastructure	Support Platforms	Wireless / Mobile	Java 2 Platform Micro Edition (J2ME)
Enterprise Application Integration	Service Platform and Infrastructure	Support Platforms	Wireless / Mobile	Windows CE Platform

a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?	Yes

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Exhibit 300: Part II: Planning, Acquisition and Performance Information

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

1. Does the investment have a Risk Management Plan?	Yes
a. If "yes," what is the date of the plan?	1/22/2008
b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?	Yes

c. If "yes," describe any significant changes:

Risk list updated.

2. If there currently is no plan, will a plan be developed?

   a. If "yes," what is the planned completion date?

   b. If "no," what is the strategy for managing the risks?

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: