

UNITED STATES SENATE PUBLIC DISCLOSURE REPORT FOR ANNUAL AND TERMINATION REPORTS



Last Name Stevens	First Name and Middle Initial Ted F.	Annual Report Calendar Year Covered by Report: 1999	Senate Office / Agency in Which Employed U. S. Senate
Senate Office Address (Number, Street, City, State, and Zip Code) 522 Hart Senate Office Bid Washington, D.C. 20510		Senate Office Telephone No. (Include Area Code) 202-224-3004	Termination Report Termination Date (Mo., Day, Yr.):
Senate Office / Agency in Which Employed U. S. Senate			

AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

<p>Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, Complete and Attach PART I.</p> <p>YES <input type="checkbox"/> NO <input checked="" type="checkbox"/></p>	<p>Did you, your spouse, or dependent child receive any reportable travel or reimbursement for travel in the reporting period (i.e., worth more than \$250 from one source)? If yes, Complete and Attach PART VI.</p> <p>YES <input type="checkbox"/> NO <input checked="" type="checkbox"/></p>
<p>Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If yes, Complete and Attach PART II.</p> <p>YES <input checked="" type="checkbox"/> NO <input type="checkbox"/></p>	<p>Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, Complete and Attach PART VIII.</p> <p>YES <input type="checkbox"/> NO <input checked="" type="checkbox"/></p>
<p>Did you, your spouse, or dependent child receive unearned or investment income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, Complete and Attach PART IIIA and/or IIIB.</p> <p>YES <input checked="" type="checkbox"/> NO <input type="checkbox"/></p>	<p>Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, Complete and Attach PART VIII.</p> <p>YES <input checked="" type="checkbox"/> NO <input type="checkbox"/></p>
<p>Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If yes, Complete and Attach PART IV.</p> <p>YES <input checked="" type="checkbox"/> NO <input type="checkbox"/></p>	<p>Do you have any reportable agreement or arrangement with an outside entity? If yes, Complete and Attach PART IX.</p> <p>YES <input type="checkbox"/> NO <input checked="" type="checkbox"/></p>
<p>Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$250 and not otherwise exempt)? If yes, Complete and Attach PART V.</p> <p>YES <input type="checkbox"/> NO <input checked="" type="checkbox"/></p>	<p>If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, Complete and attach Part X.</p> <p>YES <input type="checkbox"/> NO <input checked="" type="checkbox"/></p>

File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, D.C. 20510. \$200 Penalty for filing more than 30 days after due date.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 6, 104, and 18 U.S.C. 1001.)

I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.

Signature of Reporting Individual: *Ted F. Stevens*

Date (Month, Day, Year): *5/19/00*

For Official Use Only - Do Not Write Below This Line

Signature of Reviewing Official: _____

Date (Month, Day, Year): _____

OFFICE OF THE SECRETARY OF THE SENATE
WASHINGTON, D.C. 20510

Red Stevens

PART II. EARNED AND NON-INVESTMENT INCOME

2

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse (see page 3, Part B of the Instructions). Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honorary Bar:

For you and/or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

	Name of Income Source	Address (City, State)	Type of Income	Amount
	Example: JP Computers MCI (Spouse)	EXAMPLE Wash., D.C. Arlington, VA	EXAMPLE Salary	\$15,000 Over \$1,000
	Shamer Company, Inc. (Spouse family investment company)	Anchorage, AK	Director's Fees	over \$1,000
2	Terra Foundation for the Arts	Chicago, IL	Salary	over \$1,000
3				
4				
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14				

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A
Identity of Publicly Traded Assets and Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child (see page 3, Part B of the instructions), for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this Part IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B
Valuation of Assets
At close of reporting period. If none, or less than \$1,001, check the 1st column.

		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
1	Examples: IBM Corp. (stock), NYSE Keystone Equity Fund (widely diversified)			X									
2	U. S. Senate Credit Union						X						
3	Washington, D.C.												
4	Smith Barney Shearson - Money Mkt.				X								
5	Smith Barney Shearson - IRA												
6	Washington, D.C. TRAKKY												
7	First American Title (payment on Phoenix, AZ contract of sale)					X							
8	Chevy Chase Financial Services												
9	Chevy Chase, MD - money mkt.												
10	Riggs Bank												
11	Washington, D.C. - money mkt.												

BLOCK C
Type and Amount of Income
If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income	Amount of Income													Actual Amount Required if "Other" Specified	
	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	Over \$5,000,000				
Dividends															
Rent															
Interest															
Capital Gains															
Excepted Investment Fund															
Excepted Trust															
Qualified Blind Trust															
Other (Specify Type)															

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it made the three-part test for exemption described in the instructions, please check here.

*** This category applies only if the asset leaves held independently by the spouse or dependent child. If the asset leaves either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name
Ted Stevens

PART IIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

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BLOCK A
Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state), and description of each interest held by you, your spouse, or your dependent child (see page 3, Part B of the instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding \$1,000 at the close of the reporting period; and/or
(2) generated over \$200 in income during the reporting period.
Include the above report for each underlying asset which is not incidental to the trade or business. Publicly traded assets held by a non-public entity may be listed on Part IIIA.

2, DC or J	Example:	JP Computers, Wash., D.C. (Computer Sales) Underdeveloped land in Dubuque, Iowa	BLOCK B						BLOCK C						Actual Amount Only if "Other" Specified								
			Valuation of Assets						Type and Amount of Income														
			At close of reporting period. If none, or less than \$1,001, check the 1st column.						If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.														
			\$1,001 - \$15,000	\$50,001 - \$100,000	\$250,001 - \$500,000	Over \$1,000,000***	\$5,000,001 - \$25,000,000	Over \$50,000,000	Type of Income														
								Rent	Capital Gains	Excepted Trust	Other (Specify Type)	Amount of Income											
												\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	Over \$100,000	Over \$1,000,000	Over \$5,000,000			
1	Northern TV (telecomm corp.) Anchorage, AK		X																				
2	National Bank of Vermont Montpelier, VT (Life Insurance)			X																			
3	Oil Interests (3rd wells on Bellman Farm - Oklahoma City, OK)				X																		\$3,412
4	Stevens Trust W/Y/A				X																		
5	JIS Properties, LLC Anchorage, AK (real estate - two pieces of property in Alaska)																						17,654
6	1135 H St., Anchorage, AK 1/3 interest in rental house																						
7	Chamer Co., Inc., Anchorage, AK (holds promissory note)																						

EXEMPTION TEST (see instructions before marking box) If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check here.
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Previous Edition: Cannot Be Used

Reporting Individual's Name
Ted Stevens

PART IIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number
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BLOCK A
Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state), and description of each interest held by you, your spouse, or your dependent child (see page 3, Part B of the Instructions) for the production of income or investment in a non-public trade or business which:
(1) had a value exceeding \$1,000 at the close of the reporting period; and/or
(2) generated over \$200 in income during the reporting period.
Include the above report for each underlying asset which is not incidental to the trade or business. Publicly traded assets held by a non-public entity may be listed on Part IIIA.

BLOCK B
Valuation of Assets
At close of reporting period.
If none, or less than \$1,001, check the 1st column.

BLOCK C
Type and Amount of Income
If "None (or less than \$201)" is checked, no other entry is needed in Block C for this item. This includes income received or accrued to the benefit of the individual.

B, D, E, or J	Description	Valuation of Assets						Type and Amount of Income						Actual Amount Only if "Other" Specified		
		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	Over \$500,000	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000		\$50,001 - \$100,000	\$100,001 - \$1,000,000
1	Example: JP Computers, Wash., D.C. (Computer Sales)															
2	Example: Undeveloped land in Dubuque, Iowa															
1	Grantsville Development Co. Anchorage, AK (Subdivision development in Grantsville UT)		X													
2	Jillian Square Apts. (Apartment Anchorage, AK bldg. Fairbanks, AK)			X												
3	Sacks Restaurant, LLC (Restaurant Anchorage, AK)		X													
4	State of Alaska Permanent Fund															
5	State of Alaska Permanent Fund			X												
6	State of Alaska Permanent Fund			X												
7	Pointe Bank Aventura, FL (real estate-Florida)		X													

EXEMPTION TEST (see Instructions before marking box) If you omitted any asset because it meets the three-part test for exemption described in the Instructions, please check here.

... This category applies only if the asset is held independently by the spouse or dependent child. If the asset is held jointly, use the other categories of value, as appropriate.

Previous Editions Cannot Be Used

Revised 3/00

Reporting Individual's Name
 Ted Stevens

PART IIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

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BLOCK A
 Identity of Non-Publicly Traded Assets
 and
 Unearned Income Sources

Report the name, address (city, state), and description of each interest held by you, your spouse, or your dependent child (see page 3, Part B of the Instructions) for the production of income or investment in a non-public trade or business which:
 (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
 (2) generated over \$200 in income during the reporting period.
 Include the above report for each underlying asset which is not incidental to the trade or business. Publicly traded assets held by a non-public entity may be listed on Part IIIA.

Example: JP Computers, Wash., D.C. (Computer Sales)
 Undeveloped land in Dubuque, Iowa

1 Key Trust Co. National Asso. -
 Invested in McKinley Capital Mgt.,
 Anchorage, AK

BLOCK B
 Valuation of Assets
 At close of reporting period.
 If none, or less than \$1,001,
 check the 1st column.

\$1,001 - \$15,000	
\$50,001 - \$100,000	
\$250,001 - \$500,000	X
Over \$1,000,000***	
\$5,000,001 - \$25,000,000	
Over \$50,000,000	

BLOCK C
 Type and Amount of Income
 If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income	Amount of Income						Actual Amount Only if "Other" Specified
	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	
Rent							
Capital Gains							
Excepted Trust							
Other (Specify Type)							
None (or less than \$201)	X						
\$201 - \$1,000							
\$1,001 - \$5,000							
\$5,001 - \$15,000							
\$15,001 - \$50,000							
\$50,001 - \$100,000							
\$100,001 - \$1,000,000							
Over \$1,000,000							
\$1,000,001 - \$5,000,000							
Over \$5,000,000							

EXEMPTION TEST (see instructions before marking box). If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check here.
 *** This category applies only if the asset leaves held independently by the spouse or dependent child. If the asset leaves either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name
Ted Stevens

PART IV. TRANSACTIONS

Page Number
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Report any purchase, sale, or exchange by you, your spouse, or dependent child (see page 3, Part B of the Instructions), during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15	Identification of Assets	Transaction Type (x)	Date (Mo., Day, Yr.)	Amount of Transaction (x)																	
				\$1 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000								
1	Key Trust Co. National Asso. - Invested in McKinley Capital Mgt. Anchorage, AK	x	9/16/99																		
2	Jillian Square Apartments, LLC - Apartment building in Fairbanks Anchorage, AK	x	8/30/99																		
3	Sacks Restaurant, LLC Anchorage, AK Restaurant in Anchorage	x	7/12/99																		
4	Pointe Bank Aventura Harbor Manor Development Ltd. D/B/A Carroll Walk, Ltd. Aventura, Florida	x	5/20/99																		
5																					
6																					
7																					
8																					
9																					
10																					
11																					
12																					
13																					
14																					
15																					

EXEMPTION TEST (see Instructions before marking box): If you omitted any transaction because it meets the three-part test for exemption described in the Instructions, please check here.
 *** This category applies only if the asset always held independently by the spouse or dependent child. If the asset always either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name
Ted Stevens

PART V. GIFTS

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Report the source, brief description and value of all gifts aggregating more than \$260 in value received by you, your spouse, or your dependent child (see page 3, Part B of the instructions), from each source. Gifts with a value of \$100 or less need not be aggregated towards the disclosure threshold. "Gift" is defined in the instructions.

Exclude: (1) Bequests and other forms of inheritance; (2) Suitable mementos of a function honoring the reporting individual; (3) Political campaign contributions; (4) Communications to your offices including subscriptions to newspapers and periodicals; (5) Consumable products provided by home state businesses to your offices, if those products are intended for consumption by persons other than yourself; (6) Gifts received prior to your Federal employment; (7) Gifts to your spouse or dependent child totally independent of his or her relationship to you; (8) Gifts from relatives; (9) Personal hospitality of any individual (see instructions); (10) Meals and beverages unless consumed in connection with a gift of overnight lodging; and (11) Food, lodging, transportation, and entertainment provided by a foreign government within a foreign country, or by federal, state, D.C., or local governments.

	Name of Source	Address of Source	Date and Brief Description	Value of gift
Example:	Mr. John Q. Smith	Anytown, VA	August 12, 1998 Silver plate—Ethics Committee waiver granted	EXAMPLE \$400
1	Nippon Suisan Kaisha, Ltd	Chiyoda-ku, Tokyo, Japan	For display - Commemorative Japanese sword to honor Japanese and American fishing relationship under Magnuson-Stevens Act. November 3, 1999	EXAMPLE antique est. \$3,800
2				
3	The Eisenhower World Affairs Institute	Washington, D.C.	August 16, 1999 1999 Eisenhower Leadership Award Ethics Comm. waiver granted August 6, 1999	\$25,000
4	The Eisenhower World Affairs Institute	Washington, D.C.	May 18, 1999 Commemorative bust of Eisenhower	\$1,000
5				
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9				
10				
11				

Note: Most gifts in excess of \$49.99 are prohibited by the Senate Gifts Rule.

Reporting Individual's Name
 Ted Stevens

PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

Page Number
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Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.
 Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

Line Number	Name of Organization	Address of Organization	Type of Organization	Position Held	From (Mo., Yr.)		To (Mo., Yr.)	
					Start	End	Start	End
1	The John F. Kennedy Center for Performing Arts	Washington, D.C.	Non-profit - performing arts	Partner member - Board of Trustees		1/97		Present
2	UCLA School of Public Policy & Social Research	Los Angeles, CA	Non-profit - Education	member - Advisory Board		4/99		present
3								
4								
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12								
13								
14								
15								

Compensation in excess of \$200 from any position must be reported in Part II.

Previous Editions Cannot Be Used

BOB ECHE, NEW HAMPSHIRE, CHAIRMAN
HARRY REID, NEVADA, VICE CHAIRMAN
PAT ROBERTS, KANSAS
GEORGE VONNOVICH, OHIO
KENT CONRAD, NORTH DAKOTA
RICHARD DURBIN, ILLINOIS
VICTOR BARR, STAFF DIRECTOR AND CHIEF COUNSEL
ANNETTE OLLAH, CHIEF CLERK

United States Senate

SELECT COMMITTEE ON ETHICS

HART SENATE OFFICE BUILDING, ROOM 220
SECOND AND CONSTITUTION AVENUE, NE.
WASHINGTON, DC 20510-6425
TELEPHONE (202) 224-2981

August 6, 1999

The Honorable Ted Stevens
United States Senate
Washington, D.C. 20510

Dear Senator Stevens:

This responds to your recent letter to the Select Committee on Ethics regarding your receipt of the Dwight D. Eisenhower Leadership Prize (the "Prize"). The Prize includes a one-time cash grant of \$25,000. You have asked the Committee if, pursuant to the Senate Gifts Rule (Rule 35), you may accept this cash grant.

According to your letter and to information provided to the Committee by the Eisenhower Institute (the "Institute"), the Institute sponsors the Prize in honor of the memory of the late President Dwight D. Eisenhower. The Institute is a nonpartisan, not-for-profit entity which does not lobby and which takes no positions on legislation. The Prize was first awarded in 1991. The prior recipients of the Prize are: Secretary of Defense Cohen (1998), Nobel Laureate Elie Wiesel (1997), Former President Bush (1996), Former Senator Dole (1995), Former Senator Bentsen (1994), General Colin Powell (1993), and Lieutenant General Brent Scowcroft (1992).

Award recipients are chosen annually by the Chair of the Institute, the President of Gettysburg College and the Executive Committee of the Institute. In selecting the Prize recipient the Institute looks to its published guiding principles to select someone whose lifetime accomplishments reflect President Eisenhower's legacy of (i) integrity and leadership, (ii) promoting broader understanding of America's role and responsibilities in world affairs, and (iii) fostering leadership development and public service in America and its youth.

In material provided to the Committee, Ms. Mary Eisenhower Atwater, grand-daughter of President Eisenhower, discussed the basis for your selection as the Prize recipient:

"(You have been) a pillar in American political culture for over 30 years. (Your) intuition, dedication, and experience have also served as a bridge, a bridge that brought the great territory of Alaska closer to her family in the United States, and also a bridge that has brought the integrity, brilliance, devotion, and hope of the '50's carefully and gently through the quagmires of the recent events."

The Committee understands that you did not solicit the Prize nor did you participate in anyway in the process through which you were selected. The Committee further understands that neither you nor any member of your family is affiliated with either the Prize or the Institute.

Senate Rule 35 prohibits a Member, officer or employee of the Senate from receiving any gift of a value of \$50 or more, or gifts (of \$10 or more) from one source aggregating \$100 or more in one

US-B-00010

calendar year; there are a number of exceptions to Rule 35 pursuant to which certain gifts exceeding these dollar value limits may be accepted. One such exception, set forth at paragraph 1(c)(11) of Rule 35, provides that a Member, officer or employee may accept

Honorary degrees (and associated travel, food, refreshments, and entertainment) and other bona fide, *nonmonetary* awards presented in recognition of public service (and associated food, refreshments, and entertainment provided in the presentation of such degrees and awards). [Emphasis added.]

In elaborating upon this provision the Committee has stated--in the Senate Ethics Manual, 1999 ed. at page 43--that "Members, officers, and employees who are the intended recipient of a cash award that is bestowed in connection with an event not open to the public may accept the honor of the award, but the proposed cash award should be given directly to a designated charity."

The Prize is not "open to the public" as that term has been construed previously by the Committee for purposes of the Gifts Rule. Thus, absent a decision by the Committee to grant a waiver you could not accept the cash component of the Prize in conformity with the requirements of Rule 35. The Committee has authority to grant a waiver of Senate Rule 35 relating to acceptance of gifts pursuant to Rule 14(a)(3) of its Rules of Procedure. Paragraph 1(c)(21) of Rule 35 provides an exception to the limits of the Rule for "[a]nything for which, in an unusual case, a waiver is granted by the" Committee.

Based on the specific facts before it in this matter, as set forth above and as summarized below, the Committee has determined that it will grant a waiver of Senate Rule 35, the Gifts Rule, to allow you to personally accept and retain the \$25,000 cash grant which accompanies the 1999 Dwight D. Eisenhower Leadership Prize. In granting this waiver the Committee notes its consideration of the following factors presented by this particular matter: neither the Prize nor the Institute has a direct interest in or takes positions on legislation; the Prize is an established program of recognition under which the Prize has been awarded on a regular basis in the past; the Institute looks to its published guiding principles to select the Prize recipient; the selection process for the Prize appears to be designed to minimize the potential for arbitrary or preferential decision making; the Prize has not "targeted" elected officials as recipients; the Institute, which sponsors the Prize, is a not-for-profit, nonpartisan entity and the Prize is bestowed on a nonpartisan basis; the Prize is being bestowed upon you based on your sustained accomplishments over the course of your long career; you did not solicit the Prize or participate in any way in the selection process; and neither you nor any member of your family is affiliated with the Institute or the Prize.

Note that, should you decide to accept the Prize's cash grant, the value of that grant should be reported on Part V, relating to gifts, on your annual financial disclosure form.

If you have any further questions about this matter, please do not hesitate to contact the Committee.

Sincerely,



Bob Smith
Chairman



Harry Reid
Vice Chairman