

00021072975

Amendment
Filed For
Senator STEVENS
For Calendar Year '01



US-C-00102

02 AUG - 1 PM 4: 14
SECRETARY OF THE SENATE

23

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets At close of reporting period. If none, or less than \$1,001, check the 1st column.						BLOCK C Type and Amount of Income If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.									
Report the name, address (city, state), and description of each interest held by you, your spouse, or your dependent child (see page 3, Part B of the Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in income during the reporting period. Include the above report for each underlying asset which is not incidental to the trade or business. Publicly traded assets held by a non-public entity may be listed on Part IIIA.		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	Over \$1,000,000***	Type of Income				Amount of Income			Actual Amount Only if "Other" Specified		
								Rent	Capital Gains	Excepted Trust	Other (Specify Type)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000		\$5,001 - \$15,000	\$15,001 - \$50,000
B, DC or J	Example: JP Computers, Wash., D.C. (Computer Sales) Undeveloped land in Dubuque, Iowa				EXAMPLE			KAMPLE				x		EXAMPLE			
1	National Bank of Vermont Montpelier, VT (Life Insurance)	x															
2	Oil Interests (ind. wells on Bellman Farm - Oklahoma City, OK)			x													4,634
3	Stevens Trust W/T/A																
4	JLS Properties, LLC (real estate Anchorage, AK)				x												34,652
5	Chamer Co. Inc., Anchorage, AK (holds promissory note)											x					
6	Grantsville Development Co. Anchorage, AK (Subdivision development in Grantsville, UT)																\$58,477
7	Jillian Square Apts. (Apt. building in Fairbanks, AK)																7,266

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check here.
 *** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Handwritten signature/initials

US-C-00103

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets At close of reporting period. If none, or less than \$1,001, check the 1st column.						BLOCK C Type and Amount of Income If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.										
Report the name, address (city, state), and description of each interest held by you, your spouse, or your dependent child (see page 3, Part B of the Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in income during the reporting period. Include the above report for each underlying asset which is not incidental to the trade or business. Publicly traded assets held by a non-public entity may be listed on Part IIIA.		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	Over \$500,000	Type of Income	Amount of Income						Actual Amount			
8. DC or J	Example:							Rent	Capital Gains	Excepted Trust	Other (Specify Type)	\$201 - \$1,000	\$1,001 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$500,000	Only if "Other" Specified
	JP Computers, Wash., D.C. (Computer Sales) Undeveloped land in Dubuque, Iowa							EXAMPLE	EXAMPLE	EXAMPLE	x	EXAMPLE	EXAMPLE	EXAMPLE	EXAMPLE	EXAMPLE		
1	Sacks Restaurant, LLC Anchorage, AK	x									Ltd. Liability Co.						\$155	
2	State of Alaska Permanent Fund	x																
3	State of Alaska Permanent Fund	x																
4	Key Trust Co. National Asso. Invested in McKinley Capital Mgt. Anchorage, AK																	
5	Pointe Bank (real estate) Aventura, FL Florida							x										
6	Centerpoint I, LLC (real estate- Anchorage, AK					x					Ltd. Liability Co.						0	
7	Centerpoint II, LLC (real estate) Anchorage, AK Anchorage)										Ltd. Liability Co.						-2,652	

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check here.
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US-C-00104

00021070273

Amendment
Filed For
Senator STEVENS
For Calendar Year '01

SECRETARY OF THE SENATE
02 JUN 10 PM 2:28

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US-C-00105

Reporting Individual's Name Theodore F. Stevens	PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES	Page Number 3
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BLOCK A		BLOCK B					BLOCK C									
Identity of Publicly Traded Assets and Unearned Income Sources		Valuation of Assets					Type and Amount of Income									
Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child (see page 3, Part B of the instructions), for production of income or investment which:		At close of reporting period. If none, or less than \$1,001, check the 1st column.					If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.									
(1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period.		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	Over \$250,000***	\$5,000,001 - \$25,000,000	Over \$25,000,000	Type of Income			Amount of Income				Actual Amount
E, DC or J	1	Rent	Capital Gains	Excepted Trust	Other (Specify Type)	\$201 - \$1,000	\$1,001 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$100,000	\$100,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Required if "Other" Specified		
Example: IBM Corp. (stock) NYSE Keystone Equity Fund (widely diversified)					EXAMPLE											
J	1	U.S. Senate Credit Union Washington, D.C.														
J	2	Salomon Smith Barney Money Market Washington, D.C.														
S	3	Salomon Smith Barney -IRA TRAKEM Washington, D.C.														
S	4	First American Title(payment on Phoenix, AZ contract of sale)														
J	5	Riggs Bank Money Market Washington, D.C.														
J	6	Wells Fargo (formerly National Anchorage, AK. Bank of Alaska)														
S	7	Donaldson, Lufkin & Jenrette San Francisco, CA (money market)														
S	8	Monterey Murphy Biotechnology Fund Hauppauge, NY														
S	9	Mayer, Brown, Rowe & Maw Chicago, IL (401K)														
	10															

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check here.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

US-C-00106

UNITED STATES SENATE PUBLIC FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION REPORTS

Last Name Stevens	First Name and Middle Initial Theodore F.	Annual Report Calendar Year Covered by Report: 2001	Senate Office / Agency in Which Employed U. S. Senate
Senate Office Address (Number, Street, City, State, and ZIP Code) 522 Hart Senate Office Bld. Washington, D.C.	Senate Office Telephone No. (Include Area Code) 202-224-3004	Termination Report Termination Date (Mo., Day, Yr.):	Prior Office / Agency in Which Employed

AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, Complete and Attach PART I. YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$250 from one source)? If yes, Complete and Attach PART VI. YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If yes, Complete and Attach PART II. YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, Complete and Attach PART VII. YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive unearned or investment income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, Complete and Attach PART IIIA and/or IIIB. YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, Complete and Attach PART VIII. YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If yes, Complete and Attach PART IV. YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If yes, Complete and Attach PART IX. YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$250 and not otherwise exempt)? If yes, Complete and Attach PART V. YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>	If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, Complete and attach Part X. YES <input type="checkbox"/> NO <input type="checkbox"/>

File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, D.C. 20510. \$200 Penalty for filing more than 30 days after due date.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 6, 104, and 18 U.S.C. 1001.)

For Official Use Only - Do Not Write Below This Line

Certification <i>I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.</i>	Signature of Reporting Individual 	Date (Month, Day, Year) 5/15/02
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SECRETARY OF THE SENATE
02 MAY 15 PM 2:24

For Official Use Only - Do Not Write Below This Line		
It is the opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act.	Signature of Reviewing Official	Date (Month, Day, Year)

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A

Reporting Individual's Name
Theodore F. Stevens

PART II. EARNED AND NON-INVESTMENT INCOME

Page Number
2

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse (see page 3, Part B of the Instructions). Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:

For you and/or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

Name of Income Source		Address (City, State)	Type of Income	Amount
Example:	JP Computers MCI (Spouse)	EXAMPLE Wash., D.C. Arlington, VA	EXAMPLE Salary Salary	\$15,000 Over \$1,000
1	Chamer Co. Inc. (spouse family investment company)	Anchorage, Alaska	Legal Fees Directors Fees	over \$1,000
2	Mayer, Brown, Rowe & Maw	Chicago, IL	salary	over \$1,000
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US-C-00108

BLOCK A Identity of Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets At close of reporting period. If none, or less than \$1,001, check the 1st column.							BLOCK C Type and Amount of Income If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.										
Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child (see page 3, Part B of the Instructions), for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this Part IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$5,000	\$5,001 - \$25,000	\$25,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
		Type of Income	Amount of Income																
5	Example: IBM Corp. (stock) NYSE					EXAMPLE			EXAMPLE	x			EXAMPLE						
6	Example: Keystone Equity Fund (widely diversified)				x	EXAMPLE							x	EXAMPLE					
1	J U.S. Senate Credit Union Washington, D.C.				x														
2	J Salomon Smith Barney Money Washington, D.C. Market																	Net Capital Loss	
3	S Salomon Smith Barney -IRA Washington, D.C. TRAKEM																		
4	S First American Title(payment on Phoenix, AZ contract of sale)				x														
5	J Riggs Bank Money Washington, D.C. Market				x														
6	J Wells Fargo (formerly National Anchorage, AK Bank of Alaska)				x														
7	S Donaldson, Lufkin & Jenrette San Francisco, CA (money market)					x													
8	Monterey Murphy Biotechnology Fund Hauppauge, NY				x														
9	S Mayer, Brown, Rowe & Maw Chicago, IL (401K)				x														
10																			

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check here.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets At close of reporting period. If none, or less than \$1,001, check the 1st column.							BLOCK C Type and Amount of Income If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																
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									Rent	Capital Gains	Dividend Income	Interest	Other (Specify Type)												
3 DC or J	Example:	JP Computers, Wash., D.C. (Computer Sales)							EXAMPLE																
		Undeveloped land in Dubuque, Iowa							EXAMPLE																
1		Sacks Restaurant, LLC Anchorage, AK							x														\$155		
2		State of Alaska Permanent Fund							x																
3		State of Alaska Permanent Fund							x																
4		Key Trust Co. National Asso. Invested in McKinley Capital Mgt. Anchorage, AK																							
5		Pointe Bank (real estate) Aventura, FL Florida								x															
6		Centerpoint I, LLC (real estate-Anchorage, AK) Estimated figures final to be provided when available											x												0
7		Centerpoint II, LLC (real estate Anchorage) Anchorage, AK (Estimated figures; final to be provided when available)																							-222

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the Instructions, please check here.

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US-C-00111

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets At close of reporting period. If none, or less than \$1,001; check the 1st column.						BLOCK C Type and Amount of Income If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.									
Report the name, address (city, state), and description of each interest held by you, your spouse, or your dependent child (see page 3, Part B of the Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in income during the reporting period. Include the above report for each underlying asset which is not incidental to the trade or business. Publicly traded assets held by a non-public entity may be listed on Part IIIA.								Type of Income					Amount of Income				
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000	Actual Amount Only if "Other" Specified	
S. DC or J	Example:							Rent	Capital Gains	Excaped Investment Income	Excaped Trust	Other (Specify Type)					
	JP Computers, Wash., D.C. (Computer Sales)						EXAMPLE		EXAMPLE				x	EXAMPLE			
	Undeveloped land in Dubuque, Iowa						EXAMPLE		EXAMPLE					EXAMPLE			
1	Northern TV (telecomm corp.) Anchorage, AK								x					x			
2																	
3																	
4																	
5																	
6																	
7																	

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the Instructions, please check here.
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US-C-00112

Reporting Individual's Name
Theodore F. Stevens

PART IV. TRANSACTIONS

Page Number

7

Report any purchase, sale, or exchange by you, your spouse, or dependent child (see page 3, Part B of the Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

S DC or J	Identification of Assets		Transaction Type (x)	Date (Mo., Day, Yr.)	Amount of Transaction (x)													
	\$	OTHER			\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	Over \$500,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000					
	Example:	IBM Corp. (stock) NYSE	EXAMPLE		2/10X	x												
1		Dissolve Stevens Trust U/T/A	x		12/18/01													
2		Centerpoint I, LLC (real estate) Anchorage, AK			10/23/01			x										
3		Centerpoint II, LLC (real estate) Anchorage, AK			10/23/01	x												
4																		
5																		
6																		
7																		
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EXEMPTION TEST (see instructions before marking box): If you omitted any transaction because it meets the three-part test for exemption described in the Instructions, please check here.
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US-C-00113

Reporting Individual's Name
Theodore F. Stevens

PART V. GIFTS

Page Number
8

Report the source, brief description and value of all gifts aggregating more than \$260 in value received by you, your spouse, or your dependent child (see page 3, Part B of the Instructions), from each source. Gifts with a value of \$104 or less need not be aggregated towards the disclosure threshold. "Gift" is defined in the Instructions.

Exclude: (1) Bequests and other forms of inheritance; (2) Political campaign contributions; (3) Communications to your offices including subscriptions to newspapers and periodicals; (4) Consumable products provided by home state businesses to your offices, if those products are intended for consumption by persons other than yourself; (5) Gifts received prior to your Federal employment; (6) Gifts to your spouse or dependent child totally independent of his or her relationship to you; (7) Gifts from relatives; (8) Personal hospitality of any individual (see Instructions); (9) Meals and beverages unless consumed in connection with a gift of overnight lodging; and (10) Food, lodging, transportation, and entertainment provided by a foreign government within a foreign country, or by federal, state, D.C., or local governments.

	Name of Source	Address of Source	Date and Brief Description	Value of gift
Example:	Mr. John Q. Smith	Anytown, VA	August 12, 200X, Silver platter—Ethics Committee waiver granted	\$400
	EXAMPLE	EXAMPLE		EXAMPLE
1	Alaska Mint/Special Olympics	Anchorage, AK	3/6/01 Special commemorative gold Olympic coin struck by Senator Stevens as	\$1,100
2			Honorary Chairman of the 2001 World Special Olympics	
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Note: Most gifts in excess of \$49.99 are prohibited by the Senate Gifts Rule.

US-C-00114

Reporting Individual's Name
Theodore F. Stevens

PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

Page Number
9

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

	Name of Organization	Address of Organization	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Example:	Nat'l Assn. of Rock Collectors	NY, NY EXAMPLE	Non-profit education EXAMPLE	President EXAMPLE	8/90	Present
	Jones & Smith	Hometown, USA EXAMPLE	Law firm EXAMPLE	Partner EXAMPLE	7/85	11/00
1	The John F. Kennedy Center for Performing Arts	Washington, D.C.	Non-profit Performing Arts	Congressional Rep. member Ed. of Trustees	1/97	present
2	Dwight D. Eisenhower Memorial Commission	Washington, D.C.	Presidential Appointment	Member of Board	4/01	present
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Compensation in excess of \$200 from any position must be reported in Part II.

US-C-00115