

# **INFORMATION COLLECTION BUDGET**

**OF THE  
UNITED STATES  
GOVERNMENT**



**FISCAL YEAR 2006  
REVISED DECEMBER 2006**

**OFFICE OF MANAGEMENT AND BUDGET  
OFFICE OF INFORMATION AND REGULATORY AFFAIRS**

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The FY 2006 Information Collection Budget was revised December 2006.

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## Executive Summary

This report is the Information Collection Budget (ICB) of the Federal government for Fiscal Year 2006. This is OMB's annual report to Congress under the Paperwork Reduction Act (PRA) that describes the Federal government's information collection activities. As defined in the PRA, a "collection of information" includes far more than forms and other reporting requirements; it also includes recordkeeping requirements (such as the requirement to maintain personal income taxes records) as well as third-party disclosure requirements (such as the nutritional information found on packaged foods or the disclosure of closing costs that is provided to every homebuyer at closing).

As of the end of Fiscal Year 2005 (September 30, 2005), the Federal government imposed an annual paperwork burden on the public of about 8.2 billion hours. This represents an increase of 269 million hours, or 3.4 percent, over the FY 2004 year-end total of 7.9 billion hours. As in prior years, a number of factors contributed to the growth of Federal paperwork burden during FY 2005. As the ICB discusses, the major factors included the following:

- an increase in burden of approximately 247 million hours (representing 92% of the increase from FY 2004) that resulted from the implementation of recently-enacted statutes (the ICB classifies these burden changes as statutory "program changes");
- an increase in burden of approximately 19.1 million hours due to revised estimates of burden or due to factors that were independent of legislative or administrative action, such as increased reporting that results from increased economic activity (classified as "adjustments"); and
- a slight increase in burden of approximately 0.18 million hours resulting from discretionary actions taken by agencies (classified as agency discretionary "program changes").

Between FY 1999 and FY 2005, total Federal paperwork burden grew by 14 percent. The factors that resulted in the change in burden from FY 2004 to FY 2005 also explain this multi-year change. The 14 percent increase from FY 1999 to FY 2005 is attributed to a 9 percent increase in burden due to statutory program changes; a one percent increase in burden due to adjustments; a three percent increase through resolutions of PRA violations; and a 1 percent decrease in burden due to discretionary "program changes."

As noted above, the implementation of recently-enacted statutes resulted in burden increases that totaled approximately 247 million burden hours during FY 2005. Two statutes contributed to most of this increase: the statute that established the new Medicare Prescription Drug program (P.L. 108-173, with approximately 52 million hours); and the CAN-SPAM Act, the statute regulating unsolicited commercial e-mail (P.L. 108-187, with approximately 116 million hours). Since the PRA requires a balancing of a collection's estimated burden with its anticipated "practical utility," this new paperwork burden must be viewed in the context of the benefits that these statutory

programs provide for the American public and the need for the Federal government to provide required services efficiently.

During FY 2005, a number of agencies took significant action to reduce burden. For example, the Departments of Justice (DOJ) and Treasury each reduced burden by more than one million hours. DOJ issued new regulations to allow the use of its new electronic Controlled Substances Ordering System to detect the diversion of controlled substances and provide customer service support (a decrease of 1.1 million hours). Treasury redesigned the IRS Form 1041 to streamline the requirements and make it easier and quicker to understand and file (a decrease of 18.8 million hours). Additional initiatives to reduce burden are described in Chapter 4 of this report.

These burden decreases were partially offset by increases in other agencies, including the Department of Transportation (DOT), the Federal Communications Commission (FCC), and the Securities and Exchange Commission (SEC). These increases were associated with agency efforts to improve program performance, increase consumer protections, and enhance customer service. DOT issued regulations on Vehicle Identification Numbers to help prevent thefts and improve the efficiency of recall campaigns (an increase of 1.1 million hours). FCC issued new regulations on “Truth in Billing” to make telephone bills easier to understand for consumers (an increase of 2.6 million hours). Finally, SEC required broker/dealers to provide more information to purchasers of securities about the conditions of their purchases (an increase of 3.9 million hours).

Looking forward to FY 2006, Federal agencies estimate that reported burden will increase an additional 3.7 percent, or 303 million hours. Most of this anticipated increase will result from an “adjustment” (of approximately 250 million hours) due to the implementation by the IRS of a new methodology for estimating the paperwork burden of the individual income tax returns. As this increase relates to how the IRS estimates paperwork burden, and is not associated with any actual change to a taxpayer’s reporting obligations, this “adjustment” does not reflect any real increase in the paperwork burden on taxpayers.

The majority of the remaining expected burden increase in FY 2006 is due to four significant activities. FCC will implement the Junk Fax Prevention Act (P.L. 109-21), which requires that information be disclosed on commercial faxes and allows recipients to “opt-out” from future faxes (anticipated increase of 24.8 million hours); both the required disclosure and the “opt-out” option qualify as third-party disclosures that are covered by the PRA. The Food and Drug Administration (FDA) expects to impose new safety reporting requirements on manufacturers of drugs made for humans (anticipated increase of 5.8 million hours based on FDA’s proposed rule). Finally, the Department of Commerce will conduct the 2007 Economic Census, a once-every-five-year snapshot of the nation’s economy, which yields statistical information that is crucial to policy officials and researchers (anticipated increase of 4.9 million hours).

This report also documents the successful efforts of OMB and Federal agencies to sharply reduce agency violations of the PRA. In the late 1990s, many agencies



frequently violated the PRA by allowing OMB's approval for their ongoing collections of information to lapse. Under the leadership of John Graham, the former Administrator for the Office of Information and Regulatory Affairs (OIRA), OIRA staff and officials worked diligently with agencies across the government to address this issue. As a result of these collective efforts, the Executive Branch has completely eliminated the considerable backlog of unapproved collections and has dramatically reduced the incidence of new violations.

Finally, this report includes a chapter that describes the new methodology that the IRS has begun using to estimate the reporting burden imposed on individual taxpayers. Based on a statistical model (the Individual Taxpayer Burden Model), the new methodology seeks to estimate taxpayer paperwork burden more accurately, by taking into account the dramatic change in recent years in the way taxpayers prepare and file their tax returns by relying on computerized preparation and filing software. Today, less than 15 percent of all individual tax returns are prepared by hand. Similarly, over half of all tax returns are now filed electronically, over four times the 1993 percentage.



## **Chapter 1. Information Collection Budget for FY 2006**

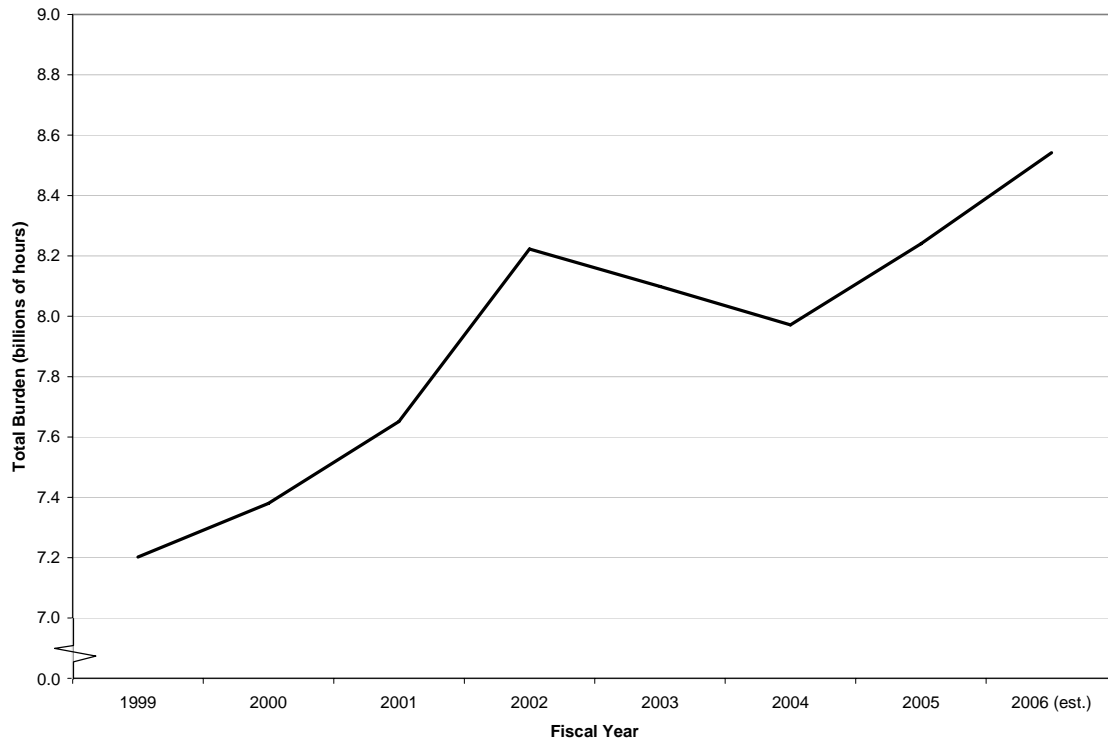
This report is the Information Collection Budget (ICB) of the Federal government for Fiscal Year 2006. This is an annual OMB report to Congress under the Paperwork Reduction Act (PRA) that describes the Federal government's information collection activities. As defined in the PRA, a "collection of information" includes far more than forms and other reporting requirements; it also includes recordkeeping requirements (such as the requirement to maintain personal income taxes records) as well as third-party disclosure requirements (such as the nutritional information found on all packaged foods or the disclosure of closing costs that is provided to every homebuyer at closing).

In FY 2005, the Federal government imposed approximately 8.2 billion hours of paperwork on the public. This represents a 3.4 percent increase over the 7.9 billion hours of FY 2004. Ninety-two percent of the increase is attributable to a significant expansion of Federal responsibilities under recently-enacted statutes (under the PRA, such changes are classified as statutory program changes). For the most part, in FY 2005, increases within the control of the agencies were balanced out by decreases also within the control of the agencies (under the PRA, such changes are classified as agency discretionary program changes).

In FY 2006, the Federal government anticipates a smaller overall increase in burden imposed on the public. As in FY 2005, the majority of new burden imposed on the public will be due to new statutory programs or requirements. In addition, there will be a significant increase in the reported burden (under the PRA, classified as a burden "adjustment") due to the implementation by the IRS of its new methodology for estimating paperwork burden. The new methodology produces a higher estimate of individual taxpayer reporting burden than using the IRS's prior burden-estimation methodology (see Chapter 3). Since the introduction of this new estimation methodology does not change a taxpayer's reporting obligations, the "adjustment" does not reflect any real increase in the paperwork burden on taxpayers.

### **Government-wide Totals**

Total burden imposed by the Federal government has grown over the last five years. The reported burden in FY 1999 was 7.18 billion hours, and the Federal government estimates that burden will be 8.54 billion hours in FY 2006, about a 19 percent increase over 7 years, or about 2.5 percent a year. Figure 1 illustrates the total burden reported for each fiscal year starting with FY 1999.

**Figure 1. Total Burden (FY 1999--FY 2006)**

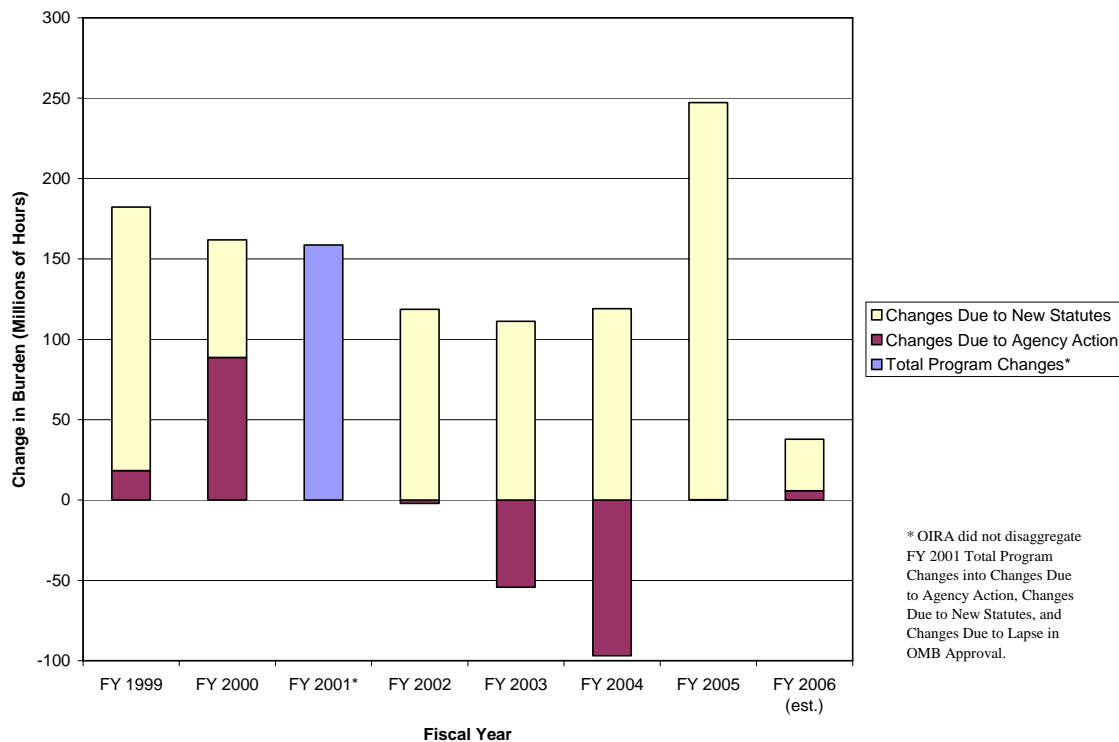
As in previous ICBs, changes in burden are divided into two categories: “program changes” and “adjustments.” By accounting for burden changes in this manner, OMB is able to explain their origins. “Program changes” are of three kinds; they can result from new statutory requirements, discretionary actions taken by agencies, or new and resolved violations of the PRA (with the sharp reduction in PRA violations since 2001, such burden changes have become a much less significant component of the overall burden-change picture). “Adjustments” can reflect either agency re-estimates of burden (in which case the actual burden imposed on the public does not change) or changes in the number of respondents. This latter type of adjustment is beyond the control of agencies, and does not indicate a change in the burden per respondent. For example, the level of required reporting can increase, or decrease, due to increases or decreases in economic activity or the relevant population. In this regard, since FY 1999, nominal Gross Domestic Product has grown by 35 percent, and the U.S. population has grown by 6 percent. In addition, in the near future (with the retirement of the Baby Boom generation), the population that is eligible to receive Social Security and Medicare benefits will increase significantly, which will result in an increase in overall (but not per-respondent) paperwork burden as more individuals are receiving Social Security and Medicare benefits.

Figure 2 shows the program changes in burden during the period from FY 1999 through FY 2005 due to discretionary agency actions and due to recently-enacted statutes. In each of these fiscal years (except for FY 2001, for which information is unavailable<sup>1</sup>),

<sup>1</sup> OIRA did not disaggregate FY 2001 Total Program Changes into Changes Due to Agency Action, Changes Due to New Statutes, and Changes Due to Lapse in OMB Approval.

burden increases that were due to recently-enacted statutes were a significant factor in the overall increase in burden. In each of the fiscal years from 2002 through 2004, such statutory burden increases were greater than those burden changes that were due to agency discretionary actions. This was also the case during FY 2005, in which changes due to recently-enacted statutes increased paperwork burden by 247 million hours (comprising 92% of the total FY 2005 increase of 269 million hours); this increase in itself exceeds the total paperwork-burden inventory of any agency except Treasury (Treasury accounts for just under 80% percent of all Federal paperwork burden; the IRS itself accounts for over 76% of Federal paperwork burden). In comparison, in FY 2005 Federal agencies took actions within their discretion that increased burden by less than 0.1% of the increase due to recently-enacted statutes.

**Figure 2. Change in Burden due to Program Changes (FY 1999--FY 2006)**



The remainder of the change in burden in each year was due to changes that did not affect the burden imposed on each respondent, such as increases in the size of the population, changes to agency estimates, or lapses in OMB approval. For example, the change to IRS's burden methodology discussed in Chapter 3 is an adjustment because it does not reflect an increase in the actual burden imposed on the public. Similarly, the transfer of programs between agencies, such as during the creation of the Department of Homeland Security, is reported as an adjustment decrease for the originating agency and an adjustment increase for the recipient agency. Adjustments by agency for FY 2005 and FY 2006 are shown in Appendix A. In FY 2005, total adjustments amounted to 19.1 million hours.

## Agency Discretionary Changes

Table 1 presents the total burden reported by the 27 agencies that participate in the ICB. This represents all Executive Branch agencies that imposed a paperwork burden over 2 million hours in FY 2004. Table 1 also indicates, by agency, the changes to paperwork burden during FY 2005 that were attributable to the two major types of program changes. (Table 4 in Appendix A provides additional agency-by-agency information regarding paperwork burden. In particular, Table 4 includes changes that are due to adjustments.)

As Table 1 indicates, a significant number of agencies took discretionary action to reduce burden during FY 2005. This is presented more distinctly in Figure 3, which indicates that 12 of the 15 Cabinet departments achieved net reductions in burden resulting from discretionary actions. (Figure 4 shows the burden increases and decreases for other agencies.) The Departments of Justice (DOJ) and Treasury each reduced burden by more than one million hours.<sup>2</sup> DOJ issued new regulations to allow the use of its new electronic Controlled Substances Ordering System to detect the diversion of controlled substances and provide customer service support (decreasing burden by 1.1 million hours). Treasury redesigned the IRS form 1041 to streamline the requirements and make it easier to read and file (decreasing burden by 18.8 million hours).

Other agencies during FY 2005 increased burden, by more than one million hours each, through actions within their discretion. The Department of Transportation issued regulations for Vehicle Identification Numbers to help prevent thefts and improve the efficiency of manufacturer recall campaigns (an increase of 1.1 million hours). The Federal Communications Commission issued new regulations on “Truth in Billing” for telephone bills, giving consumers more information about the variety of fees and charges imposed by their telephone service providers (an increase of 2.6 million hours). The Securities and Exchange Commission enhanced requirements on broker/dealers to inform purchasers of securities of important, and potentially costly, conditions of their purchases (an increase of 3.9 million hours). And the Social Security Administration (SSA) began verifying employee data using the SSNVS, a secure, rapid, Internet-based system that protects privacy by only providing employers with a “match” or “no match” response and not linking names and SSNs (an increase of 2.0 million hours). Using SSNVS, employers can receive immediate verification of up to 10 names/SSN requests or can upload a file containing more names for overnight processing.

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<sup>2</sup>Although the Department of State shows a large burden reduction for FY 2005, the reduction was due to a delay in a program that will take effect in the near future. On September 3, 2004, OMB approved a 2.7 million hour increase to implement a statutory requirement that all Visa Waiver Program countries produce biometric passports, which would have resulted in a large increase in the number of U.S. visitors requiring visas. The legislation was amended to extend the deadline 1 year (into FY 2006), thus the anticipated increase did not occur during FY 2005.

**Table 1. FY 2005 and FY 2006 Burden Changes (with a focus on program changes)<sup>3</sup>**

(Hours in millions)		FY 2005 Program Changes				FY 2006 Program Changes				
	FY 2004 ICB Total Hours Needed	FY 2005 Changes Due to Agency Action		FY 2005 Changes Due to New Statutes		FY 2005 ICB Total Hours Needed	FY 2006 Expected Changes Due to Agency Action		FY 2006 Expected Changes Due to New Statutes	
			% chge frm '04		% chge frm '04			% chge frm '05		% chge frm '05
<b>Total</b>	<b>7,971.18</b>	<b>0.18</b>	<b>0.00%</b>	<b>247.12</b>	<b>3.10%</b>	<b>8,240.51</b>	<b>5.86</b>	<b>0.07%</b>	<b>31.93</b>	<b>0.39%</b>
<b>Total w/o IRS</b>	<b>1,604.34</b>	<b>2.81</b>	<b>0.18%</b>	<b>237.62</b>	<b>14.81%</b>	<b>1,840.98</b>	<b>8.10</b>	<b>0.44%</b>	<b>31.93</b>	<b>1.73%</b>
Agriculture	89.12	-0.84	-0.94%	-1.45	-1.62%	84.47	0.60	0.71%	-1.80	-2.14%
Commerce	14.11	-0.11	-0.81%	0.01	0.07%	15.22	4.17	27.38%	0.00	0.03%
Defense	52.78	-0.81	-1.53%	0.01	0.02%	52.81	0.03	0.05%	0.00	0.00%
Education	41.48	-0.32	-0.78%	0.00	0.00%	41.53	-0.10	-0.23%	0.53	1.27%
Energy	3.39	-0.07	-1.95%	-	-	3.13	-0.06	-1.77%	-	-
HHS	277.49	0.60	0.22%	115.51	41.63%	368.14	8.34	2.27%	4.48	1.22%
DHS	80.51	-1.18	-1.46%	0.81	1.00%	84.87	-1.41	-1.66%	2.57	3.03%
HUD	27.21	-0.48	-1.78%	0.00	0.00%	25.68	-0.04	-0.16%	0.15	0.58%
Interior	7.43	0.40	5.39%	0.06	0.76%	7.91	1.18	14.87%	0.02	0.31%
Justice	14.58	-1.56	-10.70%	0.14	0.94%	13.12	0.06	0.47%	-	-
Labor	164.21	-0.99	-0.60%	0.02	0.01%	166.12	0.39	0.23%	-	-
State	32.51	-2.74	-8.43%	0.03	0.08%	35.57	-0.02	-0.05%	-	-
DOT	250.79	1.98	0.79%	0.10	0.04%	253.15	-7.72	-3.05%	0.08	0.03%
Treasury										
IRS	6,366.83	-2.63	-0.04%	9.50	0.15%	6,399.53	-2.24	-0.04%	-	-
Other	39.35	-1.02	-2.59%	0.07	0.17%	35.46	-0.05	-0.14%	-	-
VA	5.87	-0.07	-1.16%	-	-	5.88	0.47	8.01%	0.08	1.36%
EPA	142.36	0.04	0.03%	0.55	0.38%	143.94	1.16	0.80%	0.00	0.00%
FAR	29.65	0.02	0.05%	-	-	29.59	0.01	0.05%	-	-
FCC	26.05	3.49	13.41%	115.81	444.51%	144.68	0.48	0.33%	24.82	17.16%
FDIC	7.68	-0.13	-1.69%	-	-	6.28	-	-	-	-
FERC	5.67	0.05	0.87%	0.03	0.56%	5.75	-0.27	-4.67%	0.06	0.97%
FTC	71.43	-0.00	-0.00%	0.00	0.00%	72.55	0.03	0.04%	-	-
NASA	5.61	-0.37	-6.60%	-	-	5.24	-0.01	-0.12%	-	-
NSF	5.88	-0.01	-0.13%	-	-	5.80	-0.02	-0.30%	-	-
NRC	9.61	-0.27	-2.78%	-	-	9.32	0.05	0.54%	-	-
SEC	166.32	5.47	3.29%	-0.00	-0.00%	171.77	0.01	0.00%	-	-
SBA	2.52	-0.42	-16.84%	-	-	1.56	0.03	1.86%	-	-
SSA	30.73	2.15	6.98%	5.93	19.30%	38.88	0.78	2.00%	0.94	2.42%
EGOV	-	-	-	-	-	12.56	-	-	-	-
<b>(Hours in millions)</b>		<b>FY 2005 Program Changes</b>				<b>FY 2006 Program Changes</b>				

<sup>3</sup>This table includes a new entry representing interagency e-Gov initiatives with unified burden reporting. Much of the burden reported for this row has been transferred from other agencies during FY 2005 and is thus counted as an adjustment. OMB expects that the unified accounting will lead to a more accurate tally of the burden imposed because there will be a central count of the number of respondents and a consistent estimate of the burden per response. This is an improvement under the prior approach, under which the burden of the collections under each of these interagency initiatives was disaggregated amongst several agencies.

	FY 2004 ICB Total Hours Needed	FY 2005 Changes Due to Agency Action		FY 2005 Changes Due to New Statutes		FY 2005 ICB Total Hours Needed	FY 2006 Expected Changes Due to Agency Action		FY 2006 Expected Changes Due to New Statutes	
			% chge frm '04		% chge frm '04			% chge frm '05		% chge frm '05
<b>Total</b>	<b>7,971.18</b>	<b>0.18</b>	<b>0.00%</b>	<b>247.12</b>	<b>3.10%</b>	<b>8,240.51</b>	<b>5.86</b>	<b>0.07%</b>	<b>31.93</b>	<b>0.39%</b>
<b>Total w/o IRS</b>	<b>1,604.34</b>	<b>2.81</b>	<b>0.18%</b>	<b>237.62</b>	<b>14.81%</b>	<b>1,840.98</b>	<b>8.10</b>	<b>0.44%</b>	<b>31.93</b>	<b>1.73%</b>
Agriculture	89.12	-0.84	-0.94%	-1.45	-1.62%	84.47	0.60	0.71%	-1.80	-2.14%
Commerce	14.11	-0.11	-0.81%	0.01	0.07%	15.22	4.17	27.38%	0.00	0.03%
Defense	52.78	-0.81	-1.53%	0.01	0.02%	52.81	0.03	0.05%	0.00	0.00%
Education	41.48	-0.32	-0.78%	0.00	0.00%	41.53	-0.10	-0.23%	0.53	1.27%
Energy	3.39	-0.07	-1.95%	-	-	3.13	-0.06	-1.77%	-	-
HHS	277.49	0.60	0.22%	115.51	41.63%	368.14	8.34	2.27%	4.48	1.22%
DHS	80.51	-1.18	-1.46%	0.81	1.00%	84.87	-1.41	-1.66%	2.57	3.03%
HUD	27.21	-0.48	-1.78%	0.00	0.00%	25.68	-0.04	-0.16%	0.15	0.58%
Interior	7.43	0.40	5.39%	0.06	0.76%	7.91	1.18	14.87%	0.02	0.31%
Justice	14.58	-1.56	-10.70%	0.14	0.94%	13.12	0.06	0.47%	-	-
Labor	164.21	-0.99	-0.60%	0.02	0.01%	166.12	0.39	0.23%	-	-
State	32.51	-2.74	-8.43%	0.03	0.08%	35.57	-0.02	-0.05%	-	-
DOT	250.79	1.98	0.79%	0.10	0.04%	253.15	-7.72	-3.05%	0.08	0.03%
Treasury										
IRS	6,366.83	-2.63	-0.04%	9.50	0.15%	6,399.53	-2.24	-0.04%	-	-
Other	39.35	-1.02	-2.59%	0.07	0.17%	35.46	-0.05	-0.14%	-	-
VA	5.87	-0.07	-1.16%	-	-	5.88	0.47	8.01%	0.08	1.36%
EPA	142.36	0.04	0.03%	0.55	0.38%	143.94	1.16	0.80%	0.00	0.00%
FAR	29.65	0.02	0.05%	-	-	29.59	0.01	0.05%	-	-
FCC	26.05	3.49	13.41%	115.81	444.51%	144.68	0.48	0.33%	24.82	17.16%
FDIC	7.68	-0.13	-1.69%	-	-	6.28	-	-	-	-
FERC	5.67	0.05	0.87%	0.03	0.56%	5.75	-0.27	-4.67%	0.06	0.97%
FTC	71.43	-0.00	-0.00%	0.00	0.00%	72.55	0.03	0.04%	-	-
NASA	5.61	-0.37	-6.60%	-	-	5.24	-0.01	-0.12%	-	-
NSF	5.88	-0.01	-0.13%	-	-	5.80	-0.02	-0.30%	-	-
NRC	9.61	-0.27	-2.78%	-	-	9.32	0.05	0.54%	-	-
SEC	166.32	5.47	3.29%	-0.00	-0.00%	171.77	0.01	0.00%	-	-
SBA	2.52	-0.42	-16.84%	-	-	1.56	0.03	1.86%	-	-
SSA	30.73	2.15	6.98%	5.93	19.30%	38.88	0.78	2.00%	0.94	2.42%
EGOV	-	-	-	-	-	12.56	-	-	-	-



Figure 3. FY 2005 Percentage Change in Burden due to Program Changes (Departments)

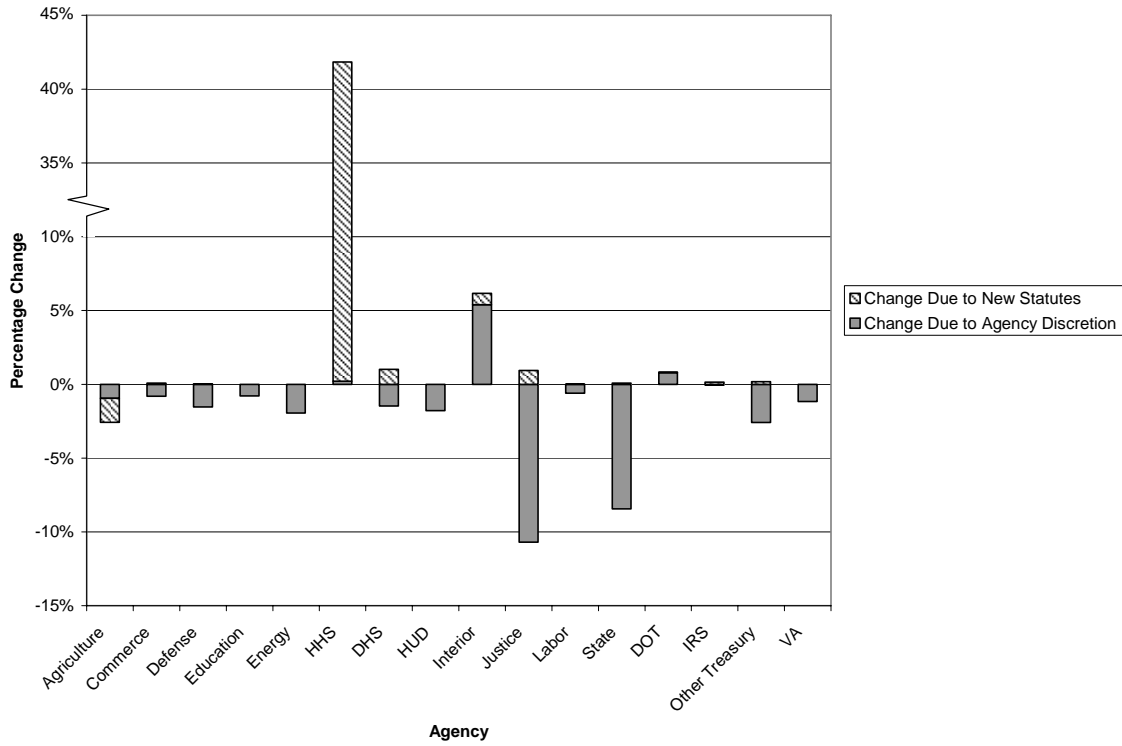
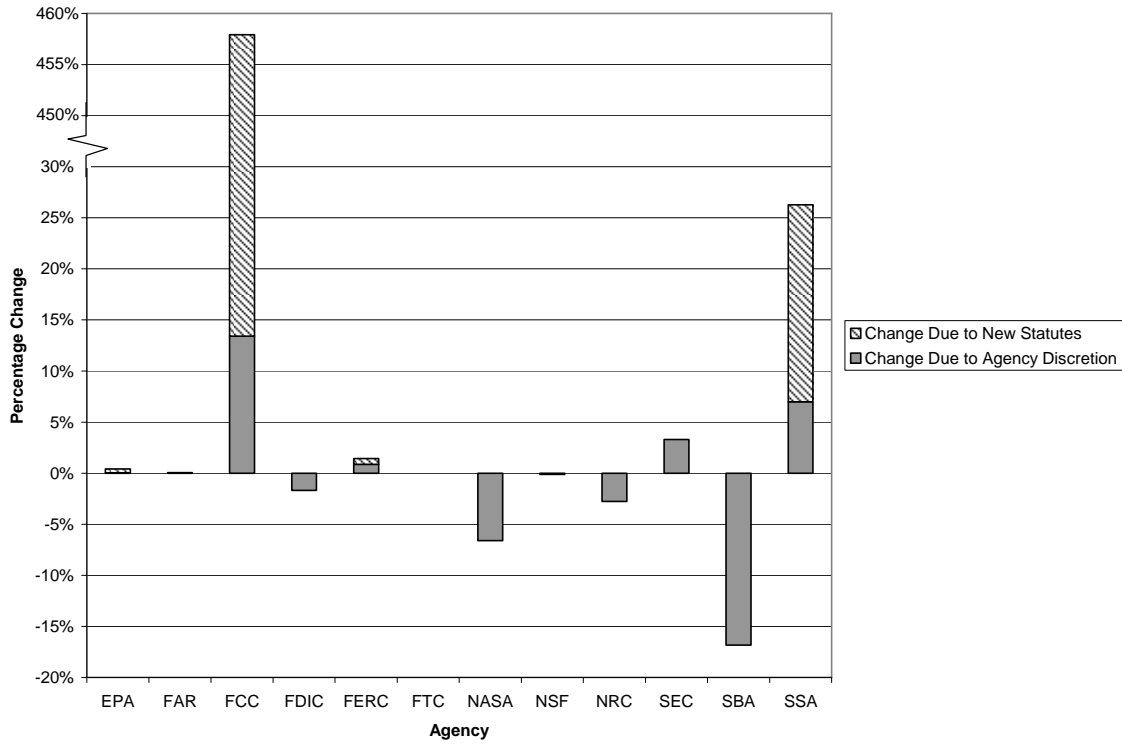


Figure 4. FY 2005 Percentage Change in Burden due to Program Changes (Other Agencies)



In FY 2006, Federal agencies anticipate more increases within their discretion that are designed to benefit the public. The largest increase will come from the Department of Health and Human Services (HHS), as the Food and Drug Administration (FDA) expects to roll-out new safety reporting requirements for human drugs, thereby increasing health and safety protections for the public (an anticipated increase of 5.8 million hours, based on FDA's proposed rule). The Department of Commerce will also be increasing burden by almost 5 million hours as part of a regular cycle of burden increases and decreases; in FY 2006, the Census Bureau will be conducting the 2007 Economic Census, a survey conducted every five years that provides valuable information for policy officials and researchers. And it should be noted that the Department of Transportation is making a significant cut in its burden (by 7.3 million hours) by eliminating a requirement on short-haul commercial drivers as part of its Hours of Service rules.

### **Recently Enacted Statutes**

As mentioned above, burden in FY 2005 increased by about 250 million hours due to the implementation of recently enacted statutes, and burden in FY 2006 is expected to increase by an additional 32 million hours for the same reason. The largest of the FY 2005 increases are shown in Table 2 below. The most significant of these changes involves the FCC's implementation of the CAN-SPAM Act (P.L. 108-187), the statute regulating unsolicited commercial e-mail. The statute requires every unsolicited commercial email to include information about how the recipient can have the sender remove the recipient's e-mail address from its mailing list; this qualifies as a third-party disclosure requirement under the PRA, and therefore a "collection of information" that imposes PRA burden.

The next largest statutory increase in burden involves the implementation of the Medicare Prescription Drug, Improvement, and Modernization Act (MMA) of 2003 (P.L. 108-173), which accounts for an increase in burden of 52 million hours in FY 2005 and an additional 4.7 million hours in FY 2006. The MMA established the most important new Medicare benefit in the program's 40-year history: new voluntary prescription drug coverage, which began on January 1, 2006. As of June 11, 2006, 38.2 million people are receiving comprehensive prescription drug coverage under the new Medicare program. The MMA also created the Medicare Advantage program to offer greater choices and higher quality care to beneficiaries through competition among private health plans.

In FY 2006, the largest anticipated increase is due to FCC's implementation of the Unsolicited Facsimile Advertisements, Junk Fax Prevention Act (P.L. 109-21) which permits the faxing of unsolicited advertisements to individuals and businesses with which the sender has an established business relationship and provides a process by which any sender must stop sending these faxes upon the request of the recipient. As is the case with the CAN-SPAM Act, the disclosure of this process to the fax recipient and the request to stop sending faxes are both collections that impose a small PRA burden on each person but apply to an extremely large number of persons.

**Table 2. Important Statutes Increasing Burden During FY 2005 and FY 2006**

<b>Statute</b>	<b>Agencies</b>	<b>FY 2005 Increase</b>	<b>FY 2006 Increase</b>
Controlling the Assault of Non-Solicited Pornography and Marketing Act (CAN-SPAM) of 2003 (P.L. 108-187)	FCC	115,645,100	-
Health Insurance Portability and Accountability Act of 1996 (P.L. 104-191) <sup>4</sup>	HHS	65,032,391	400,000
Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173).	HHS, Treasury, SSA	51,891,280	4,747,090
Unsolicited Facsimile Advertisements, Junk Fax Prevention Act (P.L. 109-21)	FCC	-	24,821,000
American Jobs Creation Act of 2004 (P.L. 108-357)	Treasury	14,149,894	-
Public Health Security and Bioterrorism Preparedness and Response Act of 2002 (P.L. 107-188)	HHS	5,359,000	-
Working Families Tax Relief Act of 2004 (P.L. 108-311)	Treasury	3,206,409	-
Aviation and Transportation Security Act (P.L. 107-71)	Homeland Security	-	1,863,607
Maritime Transportation Security Act of 2002 (P.L. 107-295)	Homeland Security	-	850,000
Individuals with Disabilities Education Improvement Act of 2004 (P.L. 108-446)	Education	40,105	511,706

<b>Statute</b>	<b>Agencies</b>	<b>FY 2005 Increase</b>	<b>FY 2006 Increase</b>
Controlling the Assault of Non-Solicited Pornography and Marketing Act (CAN-SPAM) of 2003 (P.L. 108-187)	FCC	115,645,100	-
Health Insurance Portability and Accountability Act of 1996 (P.L. 104-191) <sup>5</sup>	HHS	65,032,391	400,000
Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173).	HHS, Treasury, SSA	51,891,280	4,747,090
Unsolicited Facsimile Advertisements, Junk Fax	FCC	-	24,821,000

<sup>4</sup>HHS implemented this collection through a final rule issued on February 20, 2003 without obtaining prior OMB approval under the PRA. OMB approved the collection on March 16, 2005. As a result, this collection is reflected as a program change due to statute in this year's ICB and reported as a violation in Appendix C.

<sup>5</sup>HHS implemented this collection through a final rule issued on February 20, 2003 without obtaining prior OMB approval under the PRA. OMB approved the collection on March 16, 2005. As a result, this collection is reflected as a program change due to statute in this year's ICB and reported as a violation in Appendix C.

Prevention Act (P.L. 109-21)			
American Jobs Creation Act of 2004 (P.L. 108-357)	Treasury	14,149,894	-
Public Health Security and Bioterrorism Preparedness and Response Act of 2002 (P.L. 107-188)	HHS	5,359,000	-
Working Families Tax Relief Act of 2004 (P.L. 108-311)	Treasury	3,206,409	
Aviation and Transportation Security Act (P.L. 107-71)	Homeland Security	-	1,863,607
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Individuals with Disabilities Education Improvement Act of 2004 (P.L. 108-446)	Education	40,105	511,706

### **Balancing a Collection of Information’s Practical Utility with its Burden**

While an underlying goal of the PRA is to minimize Federal paperwork burden on the public, the PRA also affirms agencies’ need for information in order to perform their missions effectively. The PRA charges OMB with the responsibility of weighing the burdens of information collection on the public with the “practical utility” that the information will have for the performance of the agency’s mission. Accordingly, OMB seeks to ensure that the burdens of agency information collections are justified by their usefulness to agency programs and missions.

### **The Practical Utility of Information that the Federal Government Collects**

The Federal government provides the American people with a wide array of protections and services. These include homeland security, investing in education and training, strengthening health care, protecting the environment, ensuring a sound financial system, enforcing safety requirements, promoting useful research, and supporting the American armed forces. To carry out all of these responsibilities carefully and effectively, the Federal government collects information. For example, to implement statutes that provide education and child tax benefits to working families, IRS must collect tax-return information to ensure that the tax benefits are provided (and in the correct amount) to the people who are entitled to receive them.

**Why the Government Collects Information.** The full range of the Federal government’s responsibilities and public services are supported through the collection of information from the public. Consider the following examples:

- *Funding Government Programs and Services.* The Federal government collects information as part of its collection of individual and business income taxes, tariff duties, user fees, excise taxes, registration fees, license fees, and the other assessments that fund the Federal programs. The Federal tax system, in

- particular, involves a large amount of self-reporting and recordkeeping by individual and business taxpayers. As a whole, the Treasury Department is responsible for just under 80 percent of all information collection and recordkeeping conducted or required by the Federal government (and the IRS itself accounts for over 76 percent of the Federal paperwork burden).
- *Ensuring Compliance with Health, Safety, and Environmental Standards.* Federal agencies implement statutes that are aimed at ensuring that Americans have safe and healthy workplaces, a clean environment, and safe and efficient transportation systems. Accordingly, the Federal government collects information to verify compliance with Federal requirements by individuals, businesses, educational and nonprofit institutions, and State and local governments. The Federal government also requires individuals, firms, and other entities to retain information or to disclose information to demonstrate compliance. This information can be collected through reporting requirements, maintained by respondents through recordkeeping requirements, or distributed to third-parties through disclosure requirements.
  - *Providing Benefits to Americans.* This category of information collection includes the administration of social welfare programs, management of entitlement programs (e.g., the Federal disability and retirement systems), grants and loans, contract procurement, and the request for a license or permit from the Federal government. Applicants for a benefit must provide information to the Federal government in support of their applications, to demonstrate that they are eligible to receive the benefit under the program's eligibility criteria. It is important that Federal agencies provide benefits to people who are eligible to receive them, and in the correct amount.
  - *Evaluating Government Performance for Citizens.* The Federal government collects information to help manage, evaluate, and plan Federal programs. This involves activities such as developing new program strategies, making decisions about program operations, and assessing the efficiency or effectiveness of existing programs.
  - *Developing Statistical Information.* The most well-known and comprehensive Federal collection of statistical information is the Decennial Census. There are many other such data collections, including the Economic Census that the Department of Commerce conducts every five years and the monthly Current Population Survey jointly conducted by the Departments of Commerce and Labor.
  - *Finding Solutions through Research.* Collections of information involving research are those designed to test a hypothesis, permit conclusions to be drawn, and thereby develop or contribute to generalizable knowledge. These constitute substantially less than one percent of the information collection activity of the Federal government.

**Statutory Responsibilities.** As discussed above and as illustrated by Table 2, new statutes (and amendments to existing statutes) typically require the Federal government to collect more, not less, information. In this regard, many existing reporting, recordkeeping and third-party disclosure requirements are mandated by or necessary to implement existing statutes. Agencies make significant efforts to implement these programs with the least burden possible, thus minimizing the burden increase that is due to new statutes. Nonetheless, the extent to which an agency is able to minimize the paperwork burden that it imposes, when the agency implements a new statute or a statutory amendment, is often constrained by the statute itself, which can either mandate the collection of particular types of information or require the agency to take a specified action (such as the creation of the new Medicare prescription drug benefit) that will require the agency to collect particular information to implement the program successfully.

**Factors Outside the Control of Federal Agencies.** Even in the absence of statutory or agency administrative changes, the paperwork burden that is imposed by Federal collections can grow over time due to a number of factors beyond the agencies' direct control. These include economic growth, demographic trends, and natural disasters.

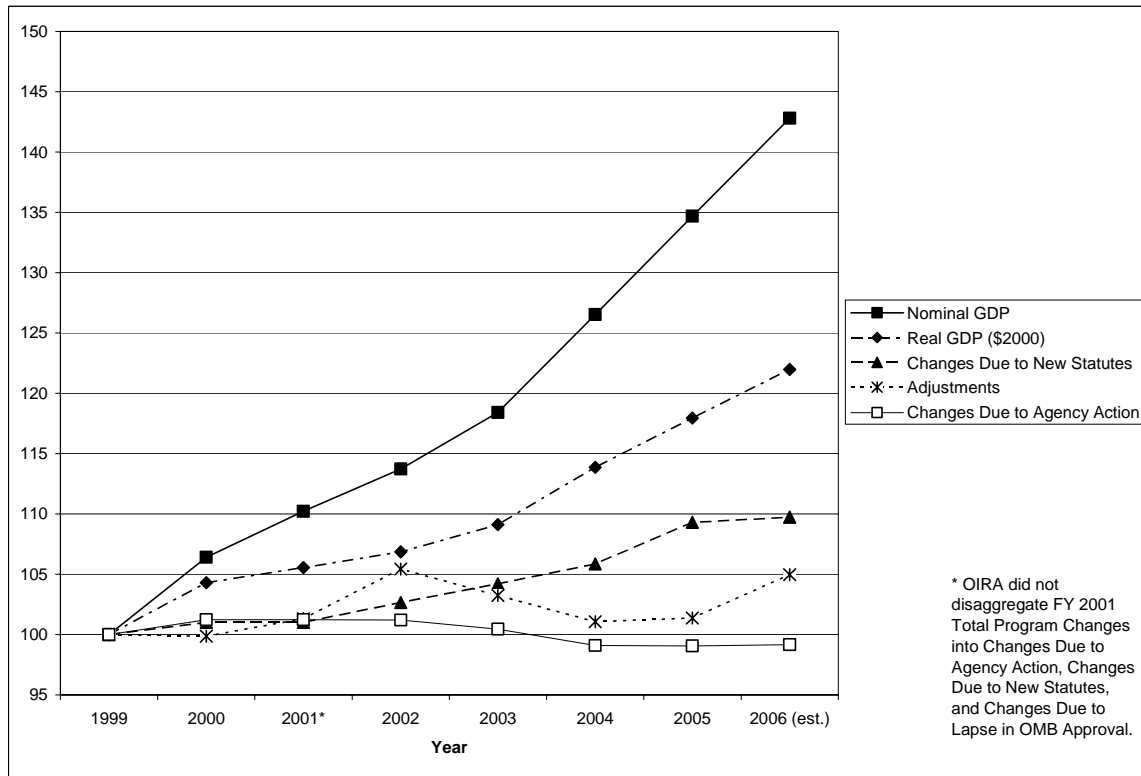
- *Economic Growth.* A notable source of increased paperwork burden that agencies cannot control is growth in the national economy (and from FY 1999 through FY 2005, the nominal Gross Domestic Product (GDP) grew by 35%). Many of the Federal government's program responsibilities are affected by transactions in the economy. During periods of economic prosperity, the number of employed people increases, which in turn increases the number of (1) IRS Forms W-2 that employers send to their employees, (2) people filing income tax returns, and (3) disclosures and disclaimers related to pension funds. When people invest additional earnings in an expanding economy, there is a corresponding increase in the number of disclosures, disclaimers, and IRS Forms 1099 that are provided to investors. There may also be an increase in the number of filings with the Securities and Exchange Commission as more companies offer securities on the market. As the number of businesses grows, there are increases in the number of loan applications to the Small Business Administration (SBA), the number of respondents to Occupation Safety and Health Administration (OSHA) collections, and the number of reports to the IRS of payments made to employees. If people travel abroad more frequently, there is an increase in the number of passport applications submitted to the State Department and an increase in the number of declarations made to the Bureau of Customs and Border Protection when they return to the United States.
- *Demographic Trends.* Demographic trends that affect the size and age of the population are a second factor that can increase burden. The population has been increasing at a rate of approximately 0.9 percent annually (for a growth of 6% from FY 1999 through FY 2005). As the number of people and households in the country rises, the burden imposed by the Decennial Census and income taxes

increases, simply because there are more people to count or who are required to file income taxes. Similarly, the aging of the Baby Boom generation will impact the government's information collection activity. Over the next few decades, as the percentage of the population over 65 years of age grows by 5 percent per year today, increasing to 7 percent per year by 2020, the burden of collections from the Social Security Administration and the Centers for Medicare and Medicaid Services will rise dramatically.

- *Natural Disasters.* Hurricane Katrina provided a dramatic reminder that natural disasters are another significant factor that can increase information collection burden. In the immediate aftermath of a major disaster, for example, the Department of Homeland Security offers assistance to residents and businesses to begin the rebuilding process. This often requires aid applicants to provide information when applying for benefits to demonstrate their eligibility. In the longer term, SBA and the Department of Agriculture have assistance programs specifically for businesses and farmers in devastated areas. The information collection burden of these assistance programs will therefore be higher during years in which several major natural disasters occur, even though these assistance programs (and the agency collections of information) themselves were not changed by the Federal Government.

Figure 5 compares, for the period from FY 1999 through FY 2005 (and the estimates for FY 2006), the level of increase in the nominal GDP and real GDP with the changes in paperwork burden attributable to recently-enacted statutes, agency discretionary action, and adjustments (for each element, the FY 1999 level is designated as 100, and the changes in later years are a percentage comparison to the FY 1999 level).

**Figure 5. Change in Paperwork Burden Relative to Nominal and Real GDP, FY 1999--FY 2006**





## **Chapter 2. Paperwork Reduction Act Compliance**

The Paperwork Reduction Act of 1995 (PRA) requires federal agencies and the Office of Management and Budget (OMB) to ensure that information collected from the public minimizes burden and maximizes practical utility. The Act assigns each agency's Chief Information Officer (CIO) the responsibility for ensuring that the agency complies with the PRA. OMB's Office of Information and Regulatory Affairs (OIRA) is responsible for approving information collection reviews under the PRA. OIRA desk officers review and approve over 3,200 information collections each year with an active inventory of over 8,400 collections.

To help the public and the agencies monitor compliance with the information collection provisions of the PRA, OMB annually publishes a list of violations (see Appendix C). During FY 2005, the agencies reported 60 information collections that expired and were reported as violations. In addition, the agencies reported 37 pre-existing collections that had expired before October 1, 2004 and were on-going violations until their reinstatement dates in FY 2005 (10/1/2004 to 9/30/2005).

### **Achieving Zero Violations**

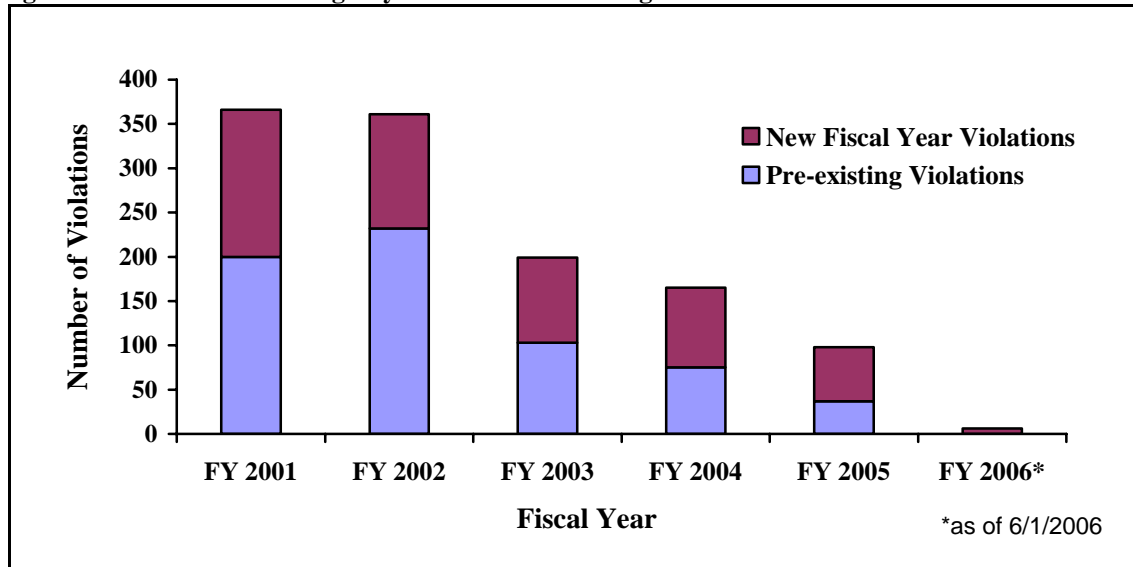
Agencies have made great progress in reducing the number of violations that occur and in resolving them more promptly, thereby working toward the OMB goal of achieving zero violations. However, it is still the case that a number of collections become violations each year because agencies do not submit to OMB, on a timely basis, requests for renewal of OMB approval under the PRA. Thus, even if these violations are resolved quickly, agencies are nevertheless out of compliance with the law for relatively brief periods of time.

OMB tracks the number of information collections that expire each month and determines whether the collections are violations. Each year, OMB lists in the ICB the total number of agency violations. Figure 3 represents the total number of agency violations that occurred during recent fiscal years and the total number of pre-existing violations carried over from the previous fiscal year.

OMB is committed to working with agencies to reduce the number of new violations and resolve all pre-existing violations. Table 3 lists the total number of information collections that expired in FY 2005 and were reported as new violations. OMB is pleased to report that 57 percent of agencies reported zero violations. Of the 27 agencies included in the ICB (plus E-Gov), three agencies represent 65 percent of the total number of violations reported in FY 2005. Moreover, there were no unresolved violations at the end of FY 2005 (and thus no carryover violations into FY 2006), and there have only been 6 violations during the first eight months of FY 2006.

Table 3 rates each of the 28 agencies for their compliance. For FY 2005, OMB rated three agencies as poor. This is significant improvement over FY 2004 in which eight agencies received a poor rating. Similarly, the number of agencies rated as good increased from 13 to 15 (plus an additional agency not rated last year, E-Gov).

**Figure 6. Total Number of Agency New and Pre-Existing Violations**



**Table 3. Paperwork Reduction Act Compliance Rating by Agency**

Total Number of Expirations Reported as New Violations in Fiscal Year '05		
Good	Need Improvement	Poor
0 Violations	1 to 4 Violations	5 or More Violations
DOC	FCC	SBA
DOD	NSF	Treasury
DOE	SEC	DHS
Interior	DOT	
DOL	DOJ	
State	HUD	
VA	Education	
EPA	HHS	
FAR		
FDIC		
FERC		
FTC		
NASA		
NRC		
SSA		
E-Gov		

## Steps to Improve Agency Compliance

As part of standard procedures to ensure PRA compliance, each month OMB sends an inventory to each agency which lists all of the collections that will expire in the next 150 days. This provides the agency with ample time to prepare a 60-day *Federal Register* Notice, incorporate any public comments from the Notice into the collection, submit the collection to OMB for a 30-day review period, and receive clearance for the collection. In addition to the routine efforts to inform agency staff, OMB has taken some additional measures to eliminate violations, including:

- **October 2004:** OMB created a new Form 83-D to record PRA collections that an agency plans to discontinue. This form helps to focus agency attention, as early as possible, on identifying those continuing collections for which a renewal of OMB's approval is required, thereby assisting agencies in reducing the number of violations. In addition, this form also helps the agencies and OMB to maintain a current record of discontinued collections, to ensure they will not be considered violations in the ICB. The form thereby reduces the amount of time and energy that the agencies and OMB must devote each year, in preparing the ICB, to distinguishing between those expirations of OMB approval that reflected discontinued collections versus those that reflected violations.
- **April 2005:** OMB met with the Chief Information Officers (CIO) and General Counsels (GC) of the eight agencies with the largest number of violations reported in FY 2004. OMB discussed with the agency CIOs and GCs methods for reducing the number of violations. OMB requested that the agency CIOs ensure all their violations in FY 2005 be resolved, with OMB approval, by the end of FY 2005. The agencies achieved this goal and all reported violations in FY 2005 were resolved by September 30, 2005. Thus, in contrast to prior years in which agencies began the fiscal year with carryover violations from the prior year, agencies began fiscal year 2006 with no carryover violations, and there have only been 6 violations involving expired approvals through the first eight months of FY 2006 (see Figure 6).
- **October 2005:** In the FY 2005 ICB Bulletin—which OMB sends to agencies requesting data and information that OMB uses to prepare the ICB—OMB not only asked agencies to identify all violations that occurred in the past fiscal year (as has been customary), but OMB also asked agencies to provide OMB with a monthly status report on all information collections that expire during the fiscal year (FY 2005).



## Chapter 3. The New IRS Methodology for Estimating Individual Taxpayer Burden

The IRS imposes a large share (over 76 percent) of the Federal government's reporting burden on the public. In light of this fact, the IRS has devoted considerable resources to measuring the burden it imposes on taxpayers so that policymakers and the public can better understand the cost to society of tax collection and compliance with the Internal Revenue Code. The IRS is in the process of improving the accuracy and transparency of taxpayer burden estimates. Starting in FY 2006, the IRS began using a new methodology based on a statistical model—the Individual Taxpayer Burden Model (ITBM)—to estimate the reporting burden imposed on individual taxpayers.<sup>6</sup>

This chapter provides an overview of the new methodology, which the IRS and OMB view as a significant and positive development in the Federal government's estimation of the information collection burden imposed by the Internal Revenue Code. Over the past several years, OMB has reported to Congress periodically on the progress that the IRS has made in developing this new approach.<sup>7</sup>

The IRS has sought to improve the way in which it measures taxpayer-compliance burden because of the dramatic change in recent years in the way taxpayers prepare and file their tax returns. No longer relying on paper forms, typewriters, envelopes, and postage stamps, about 85 percent of taxpayers (or their paid preparers) sit down at the computer and, prompted by software, enter tax information that the software then uses to prepare a tax return. For more than 50 percent of individual income tax payers, the return is transmitted electronically to the IRS and State revenue agencies. (In other cases, the

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<sup>6</sup>A detailed description of the new model and burden accounting change is provided in the two *Federal Register* notices that the Treasury Department published to obtain PRA approval. 70 Fed. Reg. 39,550 – 39,555 (July 8, 2005); 70 Fed. Reg. 54,974 – 54,981 (Sept. 19, 2005).

<sup>7</sup>See U.S. Office of Mgmt. & Budget, Office of Information and Regulatory Affairs, *Managing Information Collection and Dissemination: Fiscal Year 2003*, pp. 15-16; U.S. Office of Mgmt. & Budget, Office of Information and Regulatory Affairs, *Managing Information Collection: Information Collection Budget of the U.S. Government, Fiscal Year 2004*, p. 14; U.S. Office of Mgmt. & Budget, Office of Information and Regulatory Affairs, *Managing Information Collection: Information Collection Budget of the U.S. Government, Fiscal Year 2005*, pp. 2-3. See also *Less is More: The Increasing Burden of Taxpayer Paperwork: Hearing Before the House Comm. on Government Reform, Subcomm. on Regulatory Affairs*, 108th Cong. 9 (2005) (statement of John D. Graham, Administrator, U.S. Office of Management and Budget, Office of Information and Regulatory Affairs); and *What is the Bush Administration's Economic Growth Plan Component for Paperwork Reduction?: Hearing before the House Comm. on Government Reform, Subcomm. on Energy Policy, Natural Resources and Regulatory Affairs*, 108th Cong. 6 (2004) (statement of John D. Graham, Administrator, U.S. Office of Management and Budget, Office of Information and Regulatory Affairs).

electronically prepared paper return is still submitted by mail or hand delivery.) This transition to a 21<sup>st</sup> century information technology driven process has caused IRS to rethink the concept of “paperwork” burden and to develop a new way to estimate it.

Since the development of IRS’ previous burden estimation methodology in the mid-1980s, improved technology and modeling sophistication have enabled the IRS to improve the burden estimates. The old model was first used in 1988 and was based on survey data from 1984. It measured only “tax form” burden and it relied on questionable technical assumptions. For example, it assumed that adding lines to tax forms always increases burden when, in fact, new lines can make filling out forms easier for taxpayers. Because the old model was developed to measure the paperwork burden of completing and submitting paper forms, it also failed to measure the taxpayer burden of electronic filing methods (e.g., TeleFile and e-file). It also failed to reflect accurately the burden for those taxpayers who used paid tax preparers (as more than 60 percent of taxpayers do).

Perhaps more importantly, taxpayer interviews show that only a modest portion (under 16 percent) of the total time burden for individual taxpayers is due to tax form preparation and submission. The vast majority of time burden is from recordkeeping, gathering tax materials (including using IRS services), using paid-professional services, and tax planning. These activities generally are not closely associated with the additional tax schedules and forms that often are included with the basic Form 1040. As a result, it is conceptually difficult to associate this burden with specific forms, schedules, and lines, and such allocations of burden would necessarily be arbitrary. Thus, the ITBM includes these estimates in the overall burden figure (because they are an integral part of overall burden), without assigning the burden to specific forms.

The new model accounts for the major changes over the past two decades in the way that taxpayers prepare and file their returns, most notably the remarkable increase in the use of electronic preparation methods. Today, less than 15 percent of all individual tax returns are prepared by hand. Similarly, over half of all tax returns are now filed electronically, over four times the 1993 percentage.<sup>8</sup>

In this environment, in which many taxpayers’ activities are no longer as directly associated with particular forms (entering figures on lines, filling out worksheets, transferring line items from one form to another), estimating burden on a form-by-form basis is no longer an appropriate measurement of taxpayer burden. In addition, and as explained below, the new methodology takes into account broader and more comprehensive taxpayer characteristics and activities. As a result, IRS can generate a more accurate and useful estimate of taxpayer burden. A Joint Economic Committee

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<sup>8</sup>“The Effects of Tax Software and Paid Preparers on Compliance Costs,” John L. Guyton, Adam K. Korobow, Peter S. Lee, Eric J. Toder, 2005 National Tax Association Spring Symposium, p. 2. <http://www.urban.org/uploadedpdf/1000802.pdf>.

study issued while ITBM was being tested for reliability found that “its compliance estimates are consistent with other studies.”<sup>9</sup>

Moreover, the new ITBM also represents a substantial step forward in IRS’ ability to estimate the burden impact of administrative and legislative changes on individual taxpayers. IRS will now be able to analyze the burden impacts of proposals to revise the Tax Code or IRS administrative procedures. For the first time, the burden implications of achieving policy objectives through new legislative and administrative tax proposals can be estimated and considered *before* the changes are adopted and implemented.<sup>10</sup>

### **The New IRS Methodology for Estimating Individual Taxpayer Reporting Burden.**

The ITBM’s approach to measuring burden focuses on the characteristics and activities of individual taxpayers rather than the forms they ultimately use. The ITBM output is driven by results from a taxpayer survey conducted in 2000 and 2001. Key determinants of taxpayer burden in the model are the way the taxpayer prepares the return (e.g., with or without software or a paid preparer) and the taxpayer’s activities, such as gathering tax materials, form completion, recordkeeping and tax planning. In contrast, the previous estimates primarily focused on the length and complexity of each tax form. The changes between the old and new burden estimates are due to the improved ability of the new methodology to measure burden and the expanded scope of what is measured. These changes create a one-time shift (upward) in the estimate of burden levels that reflects the better measurement of the new model. The differences in estimates between the new and old models do not reflect any change in the actual burden experienced by taxpayers, but rather the ability to measure burden more accurately.

Under the ITBM, burden is defined as the time and out-of-pocket costs incurred by taxpayers to comply with the Federal tax system. For the first time, IRS is estimating the time expended and the out-of-pocket costs separately. The new methodology distinguishes among preparation methods, taxpayer activities, types of individual taxpayer, filing methods, and income levels. Indicators of complexity in tax laws, as reflected in tax forms and instructions, are incorporated in the model.

The new model follows IRS’ classification of taxpayer types as “individual” taxpayers and “self-employed” taxpayers. Individual taxpayers are taxpayers who file any type of Form 1040. Self-Employed taxpayers are individual taxpayers who file a Form 1040 and a Schedule C, C-EZ, E, or F, or Form 2106. All other individual taxpayers using a Form 1040 are “Wage and Investment” taxpayers. The taxpayer’s choice of preparation method is identified as a major factor influencing burden levels. The preparation methods are (1) self-prepared without software; (2) self-prepared with software; and (3)

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<sup>9</sup>“Individuals and the Compliance Costs of Taxation,” A Joint Economic Committee Study, Joint Economic Committee, United States Congress, November 2005, p. 4, <http://www.house.gov/jec/publications/109/11-07-05taxcompliance.pdf>.

<sup>10</sup>For an illustration of how ITBM can produce burden estimates for proposed tax provisions, see Allen H. Lerman and Peter S. Lee, “Evaluating the Ability of the Individual Taxpayer Burden Model to Measure Components of Taxpayer Burden: The Alternative Minimum Tax as a Case Study,” in *Recent IRS Research on Tax Administration and Compliance: Proceedings of the 2004 IRS Research Conference* (June 2004). <http://www.irs.gov/pub/irs-soi/04lerman.pdf>.

used a paid tax preparer. The separate types of taxpayer activities measured in the model are (1) recordkeeping; (2) form completion; (3) form submission (electronic and paper); (4) tax planning; (5) use of services (IRS and paid professional); and (6) gathering tax materials.

**Accounting for Individual Taxpayer Paperwork Burden with the New Methodology.**

In the same way that modern tax return preparation and filing methods required IRS to update its burden estimation methodology, IRS now accounts for the PRA burden of individual taxpayers differently. Previously, OMB Control Number 1545-0074 was assigned to Form 1040 and its associated schedules. Each of the other tax forms that can be attached to a 1040, 1040A, 1040NR, or 1040EZ were assigned separate control numbers, and each had a separate burden estimate, generally based on the length and complexity of the form and associated instructions. Under the new methodology, *all* forms used by individual taxpayers are assigned this single control number.

Aggregating the burden for all individual forms and schedules and using the new methodology results in a total of three billion hours assigned to OMB Control Number 1545-0074, which is 1.44 billion hours higher than was assigned to Control Number 1545-0074 for 2003. Approximately 1.19 billion hours of this increase, however, is due to the inclusion of the previously separate burden estimates from other control numbers which are now included in Control Number 1545-0074. Excluding this double-counting, as well as some small changes due to tax form changes and increases in the numbers of taxpayers between 2003 and 2004, results in an adjustment increase of roughly 250 million hours. This adjustment increase, however, reflects a new *estimate of burden*, not an actual *change in burden* imposed on taxpayers.

Under the PRA and OMB regulations, agencies have the discretion to seek separate OMB approvals for individual forms, recordkeeping requirements, and third-party reporting requirements or to combine any number of forms, recordkeeping requirements, and/or third-party disclosure requirements (usually related in subject matter) under one OMB control number. Agency decisions on whether to group individual requirements under a single OMB control number or to disaggregate them and request separate OMB control numbers are based largely on considerations of administrative practicality. Because the new methodology looks at the burden that is imposed on a category of taxpayers for satisfying their tax-compliance responsibilities (rather than looking at the burden that is imposed by filling out each individual form), IRS has sought and obtained OMB approval of all the individual tax forms—which it views as a single “collection of information”—to be grouped under one control number. This is consistent with how most taxpayers prepare their tax returns (using computer software or hiring a paid preparer).



## Chapter 4. Agency Initiatives to Reduce Burden

As part of this year's ICB report, OMB requested that agencies provide a status report on their previously proposed initiatives to reduce burden on the American public. These burden reduction initiatives vary across government agencies; however, they are designed to improve program performance, reduce the burden response, eliminate unnecessary requirements, or to encourage a comprehensive review of an entire regulation or program.

OMB received updates from 27 federal agencies, covering 39 separate initiatives. The majority of the 39 initiatives has been completed or is nearing completion. The remaining projects are on track to be finished within the next several years as agencies pursue the necessary changes to statutes or regulations to realize the reductions they identified. The completed initiatives accounted for over 46.7 million hours of burden on the public. When the ongoing initiatives are finished, burden is expected to drop by another 6 million hours.

<b>Department of Agriculture</b>	<b>Status: COMPLETED</b>
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<b>Office:</b>	Rural Housing Service
<b>Initiative Title:</b>	Reinvention of the Sections 514, 515, 516 and 521 Multi-Family Housing Programs
<b>Description:</b>	The Rural Housing Service (RHS) is streamlining and reengineering its regulations, processes, and techniques in the administration of the Multi-Family Housing (MFH) programs. RHS' interim final rule consolidates policies outlined in 14 separate regulations and combines them into one regulation. In addition, the regulation establishes handbooks that will provide respondents with administrative guidance on program requirements and procedures. The increased ease of finding information through the use of an electronic handbook will significantly reduce burden on respondents and eliminate inconsistencies in interpretation of Multi-Family Housing Programs.
<b>Estimated Reduction:</b>	-1,284,388 hours
<b>Collections Affected:</b>	0575-0033, 0575-0045, 0575-0046, 0575-0047, 0575-0064, 0575-0100, 0575-0104, 0575-0155, 0575-0181, 0575-0087, 0575-0110, 0575-0118, and 0575-0189
<b>Date of Completion:</b>	February 2005

<b>Department of Commerce</b>	<b>Status: IN PROGRESS</b>
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**Office:** Bureau of the Census  
**Initiative Title:** Automated Export System  
**Description:** The Automated Export System (AES) is a collaborative effort by the U.S. Census Bureau (Census) and the Bureau of Customs and Border Protection (CBP) at the Department of Homeland Security. The AES will eliminate the manual process for filing the Shipper's Export Declaration (SED) and Outbound Carrier Manifests by requiring the U.S. export trade community to file SED information electronically to the CBP mainframe computer system or through the Census Internet service, *AESDirect*. The AES initiative will reduce burden on respondents by reducing costs, removing the number of duplicative submissions reported monthly, making the filing more user-friendly, and improving the efficiency of the data collection process.

**Estimated Reduction:** -167,000 hours  
**Collection Affected:** 0607-0152  
**Expected Completion:** Summer 2006  
**Potential Hurdles to Completion:** The Bureau is dependent on successfully working with other Federal agencies to complete final regulations.  
**Status:** Finalizing regulations.

<b>Department of Defense</b>	<b>Status: IN PROGRESS</b>
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**Office:** Defense Procurement and Acquisition Policy / Defense Acquisition Regulations System  
**Initiative Title:** DFARS Transformation: Clarification of Statutory Restrictions on Acquisition of Foreign Ball and Roller Bearings  
**Description:** This initiative is a comprehensive review to streamline and assess the practical utility of information collections associated with the DoD acquisition process. This initiative eliminates the requirement for contractors to submit a written plan for transitioning from non-domestic to domestically manufactured bearings. The Restriction on Acquisition of Ball and Roller Bearings clause requires contractor retention of records showing compliance with the restriction until 3 years after final payment. This change implements a complex DFARS transformation proposal to delete unusable exceptions and waiver authorities in the regulations and establish a consistent exception for bearings.

**Estimated Reduction:** -301,600 hours  
**Collection Affected:** 0704-0229  
**Expected Completion:** June 2006  
**Potential Hurdles to Completion:** None.  
**Status:** OIRA approved the final rule for publication on January 12, 2006. As of February 10, 2006, DoD is providing congressional notification. DoD estimates that the final rule will publish in the Federal Register on or after March 10, 2006.

**Department of Defense**

**Status: IN PROGRESS**

**Office:** Office of Assistant Secretary of Defense (ASD) for Health Affairs  
**Initiative Title:** Burden Reduction for Health Care Collections  
**Description:** The Military Health System is promoting a greater use of electronic transactions and the elimination of inefficient paper forms. Electronic formats are expected to provide a significant savings and burden reduction to the health care industry. Health care providers will be able to use electronic formats to bill for their services and health plans will require standard electronic claims, referral authorizations, and other transactions.  
**Collections Affected:** 0720-0001, 0720-0006, 0720-0013  
**Estimated Reduction:** -95,756 hours  
**Expected Completion:** December 2006  
**Potential Hurdles to Completion:** Changes in legislation and regulatory process.  
**Status:** OASD(HA) is on target to finalize this initiative by December 2006.

**Department of Defense**

**Status: COMPLETED**

**Office:** Office of the Under Secretary of Defense (AT&L) / Defense Procurement and Acquisition Policy / Defense Acquisition Regulations System  
**Initiative Title:** Elimination of Requirement to Furnish Written Assurance of Technical Data Conformity  
**Description:** This initiative is being taken to reduce the amount of paperwork contractors have to complete while ensuring technical data quality is protected as part of the performance of contracts within the DoD acquisition process. Section 844 of the National Defense Authorization Act for FY 2004 eliminated the statutory requirement for certain data assurance,

and DoD technical experts determined that there was no continued need for such assurance as a matter of policy. In response, DoD published an interim rule in June, 2004 and a final rule in November 2004 that removed the clause requiring contractors to furnish written assurance that technical data is complete and accurate. This change reduces paperwork for contractors, but does not diminish the contractor's obligation to provide technical data that is complete and adequate.

**Estimated Reduction:** -126,886 hours  
**Collection Affected:** 0704-0369  
**Date of Completion:** June 2005

**Department of Education**

**Status: IN PROGRESS**

**Initiative Title:**

*EDFacts*

**Description:**

*EDFacts* will fundamentally transform the way that the Department accesses information and meets the requirements of the No Child Left Behind Act, while concurrently reducing respondent burden. The initiative continues the development of an Education Data Exchange Network (EDEN), providing State Education Agencies and the Federal government the capacity to transfer and analyze information about education programs. When fully implemented, EDEN will not only provide timely performance data to education decision makers and grant managers, but also streamline the data collection process and reduce the burden of grant reporting. Since summer 2005, the Department has committed additional leadership and financial resources to the initiative and is developing the detailed project plan needed to successfully complete it.

**Estimated Reduction:** -410,000 hours

**Collections Affected:** 1870-0500 (-370,571 hours), 1820-0644 (-18,312 hours), 1810-0614 (-21,117 hours)

**Expected Completion:** January 2007.

**Potential Hurdles to Completion:**

*EDFacts* and EDEN are dependent upon State Education Agencies to furnish mutually agreed upon information. Changes at the State level can impact the potential success of this initiative.

**Status:**

The Department expects to be able to collect directory data for the 2006-2007 school year by November of 2006, and demographic data for this school year by January 2007.

Department of Energy		Status: COMPLETED
<b>Office:</b>	Energy Information Administration (EIA)	
<b>Initiative Title:</b>	Electronic Reporting Option for Companies Reporting on Coal Surveys	
<b>Description:</b>	The Energy Information Administration (EIA) has implemented an Internet Data Collection system for Forms EIA-3 and EIA-5. The system, which has been in operation for 2005 quarters 1 through 3, has reduced respondent and Federal contractor processing burden. Electronic submission has reduced the time required to fill out the form and to correct edits. EIA estimates that respondent burden for Forms EIA-3 and EIA-5 has fallen on average by about 14%. EIA estimates that the new systems save about 2/3rds of the cost of a Federal contract employee or approximately \$90,000 per year.	
<b>Estimated Reduction:</b>	-1,289 hours; \$90,000 per year	
<b>Collections Affected:</b>	1905-0167	
<b>Date of Completion:</b>	Approved by OMB on March 10, 2005.	

Department Health and Human Services		Status: COMPLETED
<b>Office:</b>	Centers for Medicare/Medicaid Services; Food and Drug Administration; Administration for Children and Families	
<b>Initiative Title:</b>	Targeted Plan for Reduction among Highest Burden Collections	
<b>Description:</b>	At the beginning of FY 2005, HHS had 852 approved information collections that placed 277 million hours of burden on the public. Of these, 33 collections accounted for 73% of the total HHS burden. In light of this and in response to the OMB direction to achieve 1% reduction in burden, HHS implemented an initiative to achieve maximum possible burden reduction among these largest collections in three of the eleven HHS Operating Divisions: CMS, FDA, and ACF.	
<b>Estimated Reduction:</b>	-36,225,190 hours	
<b>Collections Affected:</b>	0938-0702 (-2,121,132 hours ), 0938-0753(-55,567 hours), 0938-0866 (-33,999,999 hours), 0980-0140 (-48,492 hours)	
<b>Date of Completion:</b>	Approved by OMB on 12/27/2004, 7/08/2005, 2/3/2005, 10/22/2004.	

<b>Department of Homeland Security</b>	<b>Status: IN PROGRESS</b>
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**Office:** Federal Emergency Management Agency (FEMA)  
**Initiative Title:** Web-Based (e-Grants) Application and Reporting  
**Description:** FEMA is working to integrate an agency-wide eGrants on-line application that will be available to the public via the Internet. The consolidation will carry a universal OMB control number thus eliminating the need for various information collection requests. FEMA will standardize the application and reporting requirements across all grant programs.

**Estimated Reduction:** 280,000+ hours  
**Collections Affected:** 1660-0071, 1660-0072, 1660-0025, 1660-0054, 1660-0058, 1660-0061, 1660-0073, 1660-0076.

**Expected Completion:** Spring 2007  
**Potential Hurdles to Completion:** Completion of the final phase.  
**Status:** Phase 3 (Closeout) remains incomplete. FEMA continues to utilize eGrants in its current form and hopes to move forward with the final phase for PDM and FMA soon.

<b>Department of Housing and Urban Development</b>	<b>Status: IN PROGRESS</b>
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**Office:** Single Family Housing  
**Initiative Title:** Paperless Single Family Mortgage Case Binder  
**Description:** The Office of Single Family Housing issued Mortgagee Letter 2005-36 announcing the Lender Insurance (LI) program. This program streamlines the process of filing FHA insurance-related data, allowing lenders to submit case binders in electronic form. HUD will consider broadening the use of the paperless case binder and may consider the electronic replacement mandatory for data collection purposes. This represents a substantial reduction to lender back-office workloads. In addition, FHA is reviewing all of its forms to see which may be eliminated or consolidated. It will also replace the mortgage credit analysis worksheet, a cumbersome calculation document, with a simple loan transmittal during the second quarter of FY 2006.

**Estimated Reduction:** -415,000 hours  
**Collections Affected:** 2502-0059, 2502-0494, 2502-0538, and 2502-0189  
**Date of Completion:** May 2006  
**Status:** On schedule.

<b>Department of Interior</b>	<b>Status: COMPLETE</b>
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<b>Office:</b>	Minerals Management Service (MMS)
<b>Initiative Title:</b>	E-Well Permit and Report System Pilot
<b>Description:</b>	MMS's Offshore Minerals Management (OMM) program has completed a pilot that focused on streamlining the information collections and operations associated with regulatory compliance and the conduct of well drilling/completion/workover operations on the Outer Continental Shelf (OCS). These efforts were one of several initial steps in OMM's E-Government Transformation Project. This project was designed to streamline OMM's processes, reduce data redundancy, and improve the quality, timeliness, completeness, and compliance of the information exchange between OMM and the OCS industry. The pilot has ended and the system is operational. Since E-Well has been operating, about 40-50% of the forms are now being submitted electronically. Electronic submission has reduced the time for submitting most of these four forms by half.
<b>Estimated Reduction:</b>	-7,952 hours.
<b>Collection Affected:</b>	1010-0141 (MMS-123S, 600 hours; MMS-124, 3,000 hours; MMS-125, 1,292 hours; MMS-133/133S, 3,060 hours)
<b>Date of Completion:</b>	June 1, 2004, and training completed in 2005.

<b>Department of Justice</b>	<b>Status: COMPLETED</b>
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<b>Initiative Title:</b>	Business Process Re-engineering
<b>Description:</b>	The COPS Office completed a business process re-engineering effort to increase standardization across forms and reduce burden on respondents by eliminating duplicative information collections associated with the COPS grant program application forms. It also permitted the creation of standard forms for use on Grants.gov, the government-wide E-Grants Initiative, enabling electronic submissions of grant applications.
<b>Estimated Reduction:</b>	-26,797 hours
<b>Collections Affected:</b>	1103-0019, 1103-0027, 1103-0072, 1103-0082, 1103-0083, 1103-0084, 1103-0085, 1103-0088, and 1103-0089 were all discontinued.
<b>Date of Completion:</b>	April 15, 2005

<b>Department of Justice</b>	<b>Status: IN PROGRESS</b>
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**Office:** Drug Enforcement Administration  
**Initiative Title:** Controlled Substances Ordering System (CSOS)  
**Description:** Pursuant to the Controlled Substances Act, the pharmaceutical industry must use form DEA-222 to transfer or purchase Schedule I and II controlled substances. On April 1, 2005, DEA published a Final Rule in the Federal Register to implement the electronic transmission of these controlled substances orders. DEA expects to see a significant decrease in the burden hours and costs for registrants who choose to adopt this electronic alternative.

**Estimated Reduction:** -1,127,464 hours  
**Collections Affected:** 1117-0010, 1117-0038  
**Date of Completion:** 2006 to 2013  
**Potential Hurdles to Completion:** Coordination of systems compatible with e-prescription technology from the Department of Health and Human Services.

**Status:** Ongoing project which will be completed incrementally between now and 2013. As the effects of the system are reviewed, burden estimates will be revised downward accordingly.

<b>Department of Labor</b>	<b>Status: IN PROGRESS</b>
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**Office:** Occupational Safety and Health Administration (OSHA)  
**Initiative Title:** OSHA Standards on Mechanical Power Presses  
**Description:** OSHA seeks to initiate a rulemaking to update some of its standards that are based on adopted National Consensus Standards. One standard that will be reviewed under this project is the Standard on Mechanical Power Presses. OSHA adopted that standard in 1971 based on a voluntary consensus standard. OSHA has decided to update this standard to address industry concerns that it is out-of-date and could be made safer and less burdensome. The Mechanical Power Press standards require employers to conduct inspection and prepare certification records of mechanical power presses. Any modification to the frequency of inspection or removal of the certification records will significantly reduce burden hours on respondents.

**Estimated Reduction:** -1,373,054 hours  
**Collection Affected:** 1218-0229



**Expected Completion:** Summer or Fall of 2006  
**Potential Hurdles to Completion:** Regulatory delays.  
**Status:** Because of adverse comments on the November 24<sup>th</sup>, 2004 direct final rule, OSHA withdrew the direct final and is now using the more lengthy “notice and comment” process.

<b>Department of State</b>	<b>Status: COMPLETED</b>
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**Office:** Bureau of Political-Military Affairs, Directorate of Defense Trade Controls  
**Initiative Title:** Munitions Licensing Program - Development of Electronic Submission Options  
**Description:** To ease the burden imposed on manufacturers and importers of controlled arms, DDTC has developed or is in the process of developing electronic submission options for virtually all of its information collections. This initiative provides respondents with the option to use the Internet to request munitions licensing approvals for defense trade export/imports. Electronic submission has significantly enhanced the efficiency of these collections. Not only do they save a significant amount of data input time for DDTC, but also the respondents are now able to submit their responses instantaneously and receive confirmation of receipt by DDTC.  
**Estimated Reduction:** -2,400 hours  
**Collections Affected:** 1405-0003, 1405-0013, 1405-0023, 1405-0092, 1405-0093, 1405-0002, 1405-0021, 1405-0002  
**Date of Completion:** September 2005

<b>Department of State</b>	<b>Status: IN PROGRESS</b>
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**Office:** Bureau of Diplomatic Security, Office of Foreign Missions  
**Initiative Title:** Driver’s License and Tax Exemption Development of Electronic Submission Options  
**Description:** This initiative will implement an electronic application for personnel and their dependents to file an exemption from sales taxes and the operation of a motor vehicle in the United States. Administering driver’s licenses at the national level helps the Federal Government identify operators who repeatedly receive citations. With this program, the Federal Government is able to provide consistency to the diplomatic community on a national level through a uniform program. An electronic application for the forms used by the Office of Foreign Missions has been

developed. However, the electronic submission option for the DS-1972 has not been implemented yet.

**Estimated Reduction:** -1,562 hours  
**Collections Affected:** 1405-0105  
**Expected Completion:** May 2006  
**Potential Hurdles to Completion:** None  
**Status:** On schedule.

**Department of Transportation**

**Status: IN PROGRESS**

**Office:** Federal Motor Carriers Safety Administration (FMCSA)  
**Initiative Title:** Unified Registration System  
**Description:** FMCSA proposed the Unified Registration System (URS) that would apply to almost every motor carrier, freight forwarder, and broker required to register with DOT operating along the U.S.-Mexico international border. Section 13908 of the Interstate Commerce Commission Termination Act of 1995 (ICCTA) directed the Secretary of Transportation to create a single, on-line federal system to replace the systems for issuing DOT numbers, licensing and registration, and financial responsibility.

**Estimated Reduction:** -137,584 hours.  
**Collections Affected:** 2126-0013, 2126-0016, 2126-0018 and 2126-0019.  
**Expected Completion:** Fall 2006.  
**Potential Hurdles to Completion:**  
**Status:** The NPRM for the URS was published on May 19, 2005. FMCSA will publish a supplemental rulemaking to provide an opportunity for public notice and comment on the new Safe, Accountable, Flexible, Efficient Transportation Equity Act. FMCSA will consider the public comments received and resubmit the requests to revise the affected information collections to OMB for approval at the final rule stage.

**Department of Treasury**

**Status: IN PROGRESS**

**Office:** Internal Revenue Service (IRS), Office of Taxpayer Burden Reduction  
**Initiative Title:** Employers Annual Federal Tax Program (Form 944)  
**Description:** The purpose of this Taxpayer Burden Reduction project is to reduce burden on the smallest of small business taxpayers by

establishing new rules and processes that will allow certain employers to file their Employment Tax (ET) returns, as well as pay the ET tax due, on an annual rather than quarterly basis. Currently, Form 941 must be filed quarterly, regardless of the amount the employer owes. There are approximately 6 million entities that file Form 941 each year, for a total of 24 million returns. This program will allow businesses with less than \$1,000 in tax liability to pay what they owe in an annual return, reducing burden on the very smallest business taxpayers.

**Burden Reduction:** To be determined.  
**Collections Affected:** 1545-0066, 1545-0148, 1545-0188, 1545-0233, 1545-1054, and 1545-1057  
**Expected Completion:** 2007  
**Potential Hurdles to Completion:** None  
**Status:** On schedule.

<b>Department of Treasury</b>	<b>Status: COMPLETED</b>
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**Office:** Internal Revenue Service (IRS), Office of Taxpayer Burden Reduction

**Initiative Title:** Simplification of the Extension Application Process

**Description:** The purpose of this project is to reduce the burden associated with applying for an extension to file an income tax return. The project will create efficiency in processing extension applications, which will reduce the number of IRS forms and facilitate e-filing. Currently, taxpayers are allowed a maximum of 6-months to file a tax return. The regulations require that taxpayers request such extension by the due date of the return to be filed. In addition, where corporate taxpayers are allowed a single 6-month extension, the regulations provide that all other taxpayers make duplicate requests to obtain the maximum 6-months. This initiative proposes to create a single 6-month extension period. This will eliminate the duplication in making multiple requests. In addition, the initiative will encourage e-filing by creating an automatic feature to extension requests by removing the requirements for signature and explanation.

**Burden Reduction:** -8,000,000 hours

**Collections Affected:** 1545-0066, 1545-0148, 1545-0188, 1545-0233, 1545-1054, and 1545-1057

**Date of Completion:** January 2006

<b>Department of Veteran Affairs</b>	<b>Status: COMPLETED</b>
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**Initiative Title:** Veteran Claim Partnerships with Social Security Administration

**Description:** The Veterans Benefit Administration's (VBA) Compensation and Pension Service partnered with the Social Security Administration (SSA) to use an application allowing VA regional offices to verify SSA data when processing a claim. This partnership reduces the need to contact claimants for additional information that was not provided with the application, such as the SSN of a spouse or a copy of the SSA award letter showing the amount of benefits received. VBA is also in the process of working with SSA to allow the Veterans Health Administration (VHA) employees to use its application to verify SSA data. This would reduce the need for VHA to contact claimants to obtain SSA income when determining health benefit eligibility. This initiative provides a crosscutting approach that will enhance the verification process needed for processing claims and reduce duplicative information burden on veterans and their families.

**Estimated Reduction:** -1,750 hours

**Collection Affected:** 2900-0101

**Date of Completion:** July 29<sup>th</sup>, 2005

<b>Environmental Protection Agency</b>	<b>Status: COMPLETED</b>
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**Initiative Title:** RCRA Burden Reduction Initiative

**Description:** The Environmental Protection Agency, through rulemaking, will reduce the paperwork burden imposed by regulations under the Resource Conservation and Recovery Act (RCRA). The RCRA Burden Reduction Rule will streamline information collection requirements to help ensure that only the information that is needed to effectively implement our hazardous waste program is collected. In last year's ICB, we estimated a burden reduction of approximately 350,000 hours; however, during the rulemaking process it was discovered that our estimates did not take into consideration *de facto* industry practices that were previously incorporated in a separate information collection. As a result, the revised burden reduction estimates are significantly lower compared to last year's estimates.

**Collections Affected:** 2050-0033, 2050-0053, 2050-0073, 2050-0120, 2050-0050, 2050-0085, and 2050-0035

**Estimated Reduction:** -7,700 hours; -\$3,000,000  
**Expected Completion:** April 2006

<b>Environmental Protection Agency</b>	<b>Status: IN PROGRESS</b>
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**Initiative Title:** Central Data Exchange  
**Description:** The Central Data Exchange is an e-government initiative that is designed to enable fast, efficient, and more accurate environmental data submissions and exchange from state and local governments, industry and tribes. EPA is conducting a study to provide better burden reduction estimates for CDX by characterizing the impact it has had on a cross section of six EPA information collections. The first phase of this study, completed in 2004, indicated significant potential benefits resulting in electronic reporting procedures for the Toxic Release Inventory (TRI) forms.  
**Estimated Reduction:** -166,000 hours  
**Collections Affected:** 2070-0093  
**Expected Completion:** Winter 2008  
**Potential Hurdles to Completion:** None  
**Status:** On schedule.

<b>Environmental Protection Agency</b>	<b>Status: IN PROGRESS</b>
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**Initiative Title:** Toxics Release Inventory (TRI) Streamlining Initiative  
**Description:** In 2002, EPA initiated a stakeholder dialogue, soliciting comments on options for reducing burden associated with facility reporting to the Toxics Release Inventory (TRI). The objective is to reduce the amount of information, and therefore, the amount of time, required of facilities to comply with TRI and, if warranted, to relieve certain facilities (e.g., certain small businesses) of the reporting requirements altogether.  
**Estimated Reduction:** -214,000 hours; \$7.4 million annually  
**Collections Affected:** 2070-0093, 2070-0143  
**Expected Completion:** December 2006  
**Potential Hurdles to Completion:** None.  
**Status:** EPA is currently receiving comments on the TRI Burden Reduction Proposed Rule (Phase II) and may need to adjust the burden estimates before the rule is finalized.

<b>Federal Acquisition Regulation</b>	<b>Status: IN PROGRESS</b>
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**Initiative Title:** Simplify the Management and Disposition of Government Property

**Description:** The Federal Acquisition Regulation proposes an initiative to promote a performance-based approach to preserving government property. The FAR proposed a rulemaking to simplify procedures, clarify language, and eliminate obsolete requirements related to the management and disposition of government property in the possession of contractors. The proposed regulation will eliminate a number of obsolete clauses and consolidate information collections to reduce the burden on respondents. In addition, the proposed changes will provide agencies and contractors with greater flexibility to apply industry-leading practices and standards.

**Estimated Reduction:** -349,455 hours

**Collection Affected:** 9000-0075

**Expected Completion:** September 2006

**Potential Hurdles to Completion:** Requires industry to adopt its own best practices.

**Status:** Proposed rule was published in the Federal Register for public comment on September 19, 2005. The public comment period closed on November 18, 2006. Comments are currently being analyzed.

<b>Federal Acquisition Regulation</b>	<b>Status: IN PROGRESS</b>
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**Initiative Title:** Electronic Subcontract Reporting System (eSRS)

**Description:** The FAR is proposing an e-Government Integrated Acquisition Environment (IAE) initiative. The Small Business Act requires contractors receiving a contract for more than \$100,000 to agree to have small business interests participate in the performance of the contract as far as practicable. The subcontracting plans and report are both currently paper information collections. This initiative will transition the collections to electronic submissions.

**Estimated Reduction:** -237,041 hours

**Collections Affected:** 9000-0006, 9000-0007

**Expected Completion:** September, 2006

**Potential Hurdles to Completion:** Requires implementing a new computer system. The Electronic Subcontracting Reporting System (eSRS) became operational on October 28, 2005, for civilian agencies.

**Status:** A FAR rule has been initiated to amend the FAR appropriately.

<b>Federal Communications Commission</b>	<b>Status: COMPLETED</b>
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**Office:** Wireless Telecommunications Bureau  
**Initiative Title:** Consolidation of Coastal and Ship-Board Radio Communications Collections  
**Description:** The FCC has reviewed this collection and consolidated data gathered on public coast radiotelegraph, public coast radiotelephone stations, Alaska-public fixed stations, ship radiotelegraph, ship radiotelephone, and radiotelephone logging of distress and safety calls. The revised collection reduces burden because it requires fewer log entries by licensees, and some licensees will no longer be required to keep these logs.  
**Estimated Reduction:** -547,955 hours  
**Collections Affected:** 3060-0364, 3060-0360  
**Date of Completion:** November 29, 2004

<b>Federal Deposit Insurance Corporation</b>	<b>Status: COMPLETED</b>
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**Office:** FDIC, jointly with Department of the Treasury Office of the Comptroller of the Currency and the Federal Reserve Board.  
**Initiative Title:** Central Data Repository (CDR) for bank regulatory reports.  
**Description:** CDR shortens the time needed to receive, process, and publish bank institution data, and enables participating agencies to respond with greater agility to changes in the banking environment. The project also establishes standards that facilitate the exchange and sharing of data and increases integration of systems that support the deposit insurance and bank supervisory functions. CDR has significantly reduced Call Report processing time while enhancing the quality of incoming data. Future CDR projects will strengthen the FDIC's ability to provide coherent, consistent, complete and timely information about the status of the industry in a more cost effective manner.  
**Expected Reduction:** To be determined. The full effects of the program are not yet known.  
**Collections Affected:** 3064-0052.  
**Date of Completion:** December 2005.

<b>Federal Energy Regulatory Commission</b>	<b>Status: IN PROGRESS</b>
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**Initiative Title:** FERC Information Assessment Team (FIAT)  
**Description:** In January 2004, FERC established the FERC Information Assessment Team (FIAT). The FIAT will evaluate the agencies information collections and filing requirements, assess the practical utility of each information collection, and propose ways to reduce the reporting burden on industry through the elimination, reduction, streamlining and/or reformatting of collections. Of the Commission's 61 information collections, the Team will choose 20 information collections to study and develop recommendations for fifteen collections.

**Estimated Reductions:** -11,182 hours  
**Collections Affected:** 1902-0024, 1902-0096, 1902-0043, 1902-0099, 1902-0114, 1902-0137, 1902 0138, 1902-0140, 1902-0171, 1902-0168, 1902-0086, 1902-0004, 1902-0144, 1902-0019, and 1902-0073

**Expected Completion:** 2005-2007

**Potential Hurdles to Completion:** Several information collections identified cannot be eliminated unless legislation is introduced to change the statute.

**Status:** On March 2, 2005, FIAT presented its Final Recommendation Report to the Commission. On May 25, 2005, FERC issued eight draft orders to implement the findings of FIAT. Of the eight draft orders, six were issued as rulemakings and two were issued as notices of inquiry.

<b>Federal Energy Regulatory Commission</b>	<b>Status: IN PROGRESS</b>
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**Initiative Title:** Electronic Filing of Documents  
**Description:** On July 7, 2004, FERC proposed that future tariff filings be made over the Internet with software developed by the Commission. These changes will make it easier for regulated entities to file and view tariffs and rate schedules as well as facilitate tariff research. The proposed tariffs will also change the format from the tariff-sheet configuration to a section-based layout, that is better suited to electronic filing.

**Estimated Reductions:** -26,923 hours  
**Collections Affected:** 1902-0096, 1902-0154, 1902-0086, and 1902-0089

**Expected Completion:** Spring 2006

**Potential Hurdles to Completion:** Application problems with the prototype software.



**Status:** The software is currently undergoing testing and refinements to reflect industry comments that were given in several technical conferences held in the summer of 2005 and during testing periods.

**Federal Trade Commission**

**Status: IN PROGRESS**

**Initiative Title:** Electronic Pre-Merger Filing  
**Description:** As part of the overall program to make government more accessible electronically, the FTC is working with the Department of Justice to develop an electronic system for filing Hart-Scott-Rodino Act (HSR) pre-merger notifications. E-filing will reduce burden for businesses and government and create a valuable database of information on merger transactions to inform future policy deliberations.  
**Estimated Reduction:** -10,000 hours  
**Collections Affected:** 3084-0005  
**Expected Completion:** 2006  
**Potential Hurdles to Completion:** Implementation of the e-filing system is currently delayed because there are additional steps required of the contractor in order to ensure the security of the system.  
**Status:** The FTC has overcome a number of technical obstacles and the project is in its final testing phases.

**National Aeronautics and Space Administration**

**Status: IN PROGRESS**

**Initiative Title:** Review of Agency Forms-Based Collections  
**Description:** This initiative will streamline the format and content of the information required by NASA, clarifying who must respond and under what circumstances, and consolidating collections where appropriate.  
**Estimated Reduction:** -12,000 hours  
**Collections Affected:** 2700-0007, 2700-0017, 2700-0037, 2700-0047, 2700-0049, 2700-0052, 2700-0054, 2700-0092, 2700-0093, 2700-0094, 2700-0095, 2700-0097, 2700-0099, 2700-0101, 2700-0102, 2700-0112, 2700-0113, 2700-0114, 2700-0117, 2700-0118, 2700-0119.  
**Expected Completion:** September 30, 2006  
**Potential Hurdles to Completion:** A review of this complexity will be resource- and time-intensive, and will involve coordination among several different NASA offices.

**Status:** On schedule.

<b>Nuclear Regulatory Commission</b>	<b>Status: IN PROGRESS</b>
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**Initiative Title:** Project Safesource (Web-Based Licensing Safesource Phase I)  
**Description:** The NRC is developing an initiative to provide materials licensees with the option of using an electronic system to apply for licenses, amend licenses, and conduct other license-related transactions. The on-line system will validate the input to ensure quality and avoid delays due to application deficiencies. Routine administrative data updates may be accomplished on-line, eliminating the need for some types of correspondence and consequent delays.

**Estimated Reduction:** -7,032 hours  
**Collections Affected:** 3150-0120, 3150-0017, 3150-0016, 3150-0001, 3150-0007, 3150-0010, 3150-0158, and 3150-0130

**Expected Completion:** Winter 2006

**Potential Hurdles to Completion:** None

**Status:** Release 1A of the Web-based Licensing is expected to be on-line by January 31, 2006. Currently, a security review of the system is being completed.

<b>National Science Foundation</b>	<b>Status: IN PROGRESS</b>
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**Office:** Division of Science Resources Statistics  
**Initiative Title:** Information Collection (Survey) Assessment  
**Description:** The National Science Foundation manages a central clearinghouse for the collection, interpretation, and analysis of scientific and engineering data for the federal government. The NSF utilizes an information collection survey designed to provide feedback on the quality and timeliness of government survey data and analyses. This information collection survey is most successful when respondents are presented with plain, coherent and unambiguous questionnaires that are compatible with their reporting and record keeping practices. NSF seeks to continuously improve its information collection surveys using laboratory techniques, field studies, focus groups, behavior coding and pilot tests to assess the practical utility of the government's information collections and reduce overall costs and burden on the public.

**Estimated Reduction:** -15,000 hours  
**Collections Affected:** 3145-0174

**Expected Completion:** Completion is dependent on each new survey evaluated by this information collection.

**Potential Hurdles**

**to Completion:**

None

**Status:**

On going.

**Small Business Administration**

**Status: IN PROGRESS**

**Office:**

Office of Government Contracting and Business Development

**Initiative Title:**

8(a) Business Development Automation System

**Description:**

This multi-year initiative provides for the continued development and deployment of an Internet-based system called the Business Development Automation System. This system will automate all of the business processes of the 8(a) Business Development (BD) Program. The BD Program provides assistance to economically disadvantaged individuals who own small businesses. This initiative will simplify the application process for submitting annual reviews of program participants. The new Internet-based system will reduce burden on respondents and enable certification of a greater number of businesses each year.

**Estimated Reduction:**

To be determined.

**Collection Affected:**

3245-0331

**Expected Completion:**

2006

**Potential Hurdles**

**to Completion:**

None

**Status:**

On going.

**Small Business Administration**

**Status: IN PROGRESS**

**Office:**

Office of Disaster Assistance

**Initiative Title:**

Disaster Assistance Credit Modernization System (DCMS)

**Description:**

This initiative proposes to create a fully integrated “Electronic Loan Process” that provides the ability to share data and information throughout the agency. By processing loan applications electronically, ODA will eliminate most disaster loan paper work until loan closing. Electronic files will enable all users to have access to critical key data, allowing various processes to occur simultaneously, without having to wait on physical files. The initiative will enhance the agency’s ability to process 85 percent of home loans within 18 days and 85 percent of business and EIDL loans within 20 days. In addition,

the electronic system will eliminate burdensome and duplicative paperwork for respondents.

**Collections Affected:** 3245-0017, 3245-0018, 3245-0326, 3245-0330, 3245-0084, 3245-0136.

**Estimated Reduction:** To be determined.

**Expected Completion:** Summer 2006

**Potential Hurdles to Completion:** Implementing the system and maintaining the schedule

**Status:** As a result of the DCMS initiative, ODA has already taken steps to make changes to two of its information collections: 3245-0017 and 3245-0330. These changes resulted in the elimination of one collection and the cancellation of one form.

**Securities and Exchange Commission**

**Status: COMPLETED**

**Initiative Title:** Increased Incorporation by Form S-1 Filers

**Description:** As part of the Securities Act Reform proposals, an eligible issuer would be able to incorporate by reference information from its previously filed Exchange Act reports and documents into its Form S-1 Securities Act registration statement. To be eligible, an issuer must have previously filed at least one annual report, be current in its reporting obligations and meet other specified conditions. This proposal is designed to further integrate the Securities Act and Exchange Act disclosure requirements. Currently, all Form S-1 information must be included directly in the prospectus that is part of the Form S-1 registration statement.

**Estimated Reduction:** -374,227 hours

**Collections Affected:** 3235-0065

**Date of Completion:** July 2005

**Securities and Exchange Commission**

**Status: COMPLETED**

**Initiative Title:** Increased Incorporation by Reference by Form F-1 Filers

**Description:** As part of the Securities Act Reform proposals described in Release No. 33-8501 issued on 11/03/04, an eligible issuer would be able to incorporate by reference information from its previously filed Exchange Act reports and documents into Form F-1 Securities Act registration statement. This proposal is designed to further integrate the Securities Act and Exchange Act disclosure requirements. Currently, all Form F-1 information must be included directly in the prospectus that is part of the Form F-1 registration statement.

**Estimated Reduction:** -15,680 hours

**Collections Affected:** Form F-1; OMB Control No. 3235-0258  
**Date of Completion:** July 2005

**Securities and Exchange Commission**

**Status: COMPLETED**

**Initiative Title:** Automatic Shelf Registration for Form S-3 and F-3 Filers  
**Description:** As part of the Securities Act Reform proposals described in Release No. 33-8501 issued on 11/03/04, eligible “well-known seasoned issuers” could register unspecified amounts of different specified types of securities on automatically effective Form S-3 or Form F-3 registration statements. Thus, these issuers would have significant latitude in determining the types and amounts of their securities or those of their eligible subsidiaries that could be offered without any potential time delay or other obstacles imposed by the registration process. Issuers using an automatic shelf registration statement also would be permitted to pay filing fees in advance or on a “pay-as-you-go” basis.

**Estimated Reduction:** -48,826 hours  
**Collections Affected:** 3235-0073, 3235-0256  
**Expected Completion:** July 2005

**Social Security Administration**

**Status: IN PROGRESS**

**Initiative Title:** Signature Proxy  
**Description:** SSA is implementing a Signature Proxy initiative as an alternative to the traditional pen and ink or “wet” signature to eliminate the need to retain paper applications. The Signature Proxy initiative allows technicians to process the application without a signature. Additionally, it permits SSA to store and process the entire benefit application electronically, thus reducing costs associated with mailing and storing physical files.

**Estimated Reduction:** -54,259 hours in FY 2005. SSA projects further burden reductions of -87,615 hours during the remaining 4 years of the initiative, for a total of -404,719 hours.

**Collections Affected:** 0960-0618, 0960-0003, 0960-0010, 0960-0012, 0960-0013, 0960-0014, 0960-0004, 0960-0229, 0960-0444, and 0960-0416

**Expected Completion:** September 2009

**Potential Hurdles to Completion:** None.

**Update:** The Signature Proxy initiative is ongoing and SSA has added collections not cited in last year's discussion of the initiative.

<b>Social Security Administration</b>	<b>Status: IN PROGRESS</b>
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**Initiative Title:** Social Security Number Verification Service (SSNVS)  
**Description:** Employers must frequently verify employee names and Social Security Numbers (SSNs) to ensure accurate wage reporting and tax data. To do this, employers currently contact the Social Security Administration via phone, mail, or by sending in diskettes and magnetic tape for verification. This process is time-consuming and delays transmission of the information to employers. However, beginning in FY 2005, SSA began verifying employee data using the SSNVS, a secure, rapid, Internet-based system that protects privacy by only providing employers with a "match" or "no match" response and not linking names and SSNs. Using SSNVS, employers can receive immediate verification of up to 10 names/SSN requests or can upload a file containing more names for overnight processing.

**Burden Reduction:** -223,750 hours  
**Collections Affected:** 0960-0669, 0960-0508  
**Expected Completion:** 2010  
**Potential Hurdles to Completion:** None.  
**Update:** SSA projects that in the first full FY of implementation, 10 percent of the 1.1 million requests will migrate to SSNVS, and that this percentage will increase each year following the SSNVS rollout. In addition, because of the late SSNVS rollout in FY 2005 the associated decrease in the forms under OMB 0960-0508 will not be realized until FY 2007.

## **Appendix A. Information Collection Burden by Agency**

**Table 4. FY 2005 Burden Changes by Agency**

	<b>(Hours in millions)</b>														
	<b>FY 2005 Program Changes</b>														<b>FY 2005 Total Dollars Needed (\$millions)</b>
	<b>FY 2004 ICB Total Hours Needed</b>	<b>FY 2005 Changes Due to Agency Action</b>		<b>FY 2005 Changes Due to New Statutes</b>		<b>FY 2005 Changes Due to Lapses in OMB Approval</b>		<b>Total FY 2005 Program Changes</b>		<b>FY 2005 Adjustments</b>		<b>FY 2005 Total Hours Needed</b>			
		<b>% chge frm '04</b>		<b>% chge frm '04</b>		<b>% chge frm '04</b>		<b>% chge frm '04</b>		<b>% chge frm '04</b>		<b>% chge frm '04</b>		<b>% chge frm '04</b>	
<b>Total</b>	<b>7,971.18</b>	<b>0.18</b>	<b>0.00%</b>	<b>247.12</b>	<b>3.10%</b>	<b>2.80</b>	<b>0.04%</b>	<b>250.23</b>	<b>3.14%</b>	<b>19.14</b>	<b>0.24%</b>	<b>8,240.51</b>	<b>3.38%</b>	<b>\$ 94,990.71</b>	
<b>Total w/o IRS</b>	<b>1,604.34</b>	<b>2.81</b>	<b>0.18%</b>	<b>237.62</b>	<b>14.81%</b>	<b>2.80</b>	<b>0.17%</b>	<b>243.48</b>	<b>15.18%</b>	<b>-6.81</b>	<b>-0.42%</b>	<b>1,840.98</b>	<b>14.75%</b>	<b>94,966.46</b>	
Agriculture	89.12	-0.84	-0.94%	-1.45	-1.62%	0.20	0.22%	-2.09	-2.34%	-2.56	-2.87%	84.47	-5.21%	\$ 136.74	
Commerce	14.11	-0.11	-0.81%	0.01	0.07%	-0.01	-0.06%	-0.11	-0.79%	1.22	8.67%	15.22	7.87%	\$ 1,686.76	
Defense	52.78	-0.81	-1.53%	0.01	0.02%	0.09	0.18%	-0.70	-1.33%	0.73	1.38%	52.81	0.04%	\$ 3.21	
Education	41.48	-0.32	-0.78%	0.00	0.00%	-0.01	-0.02%	-0.33	-0.80%	0.38	0.92%	41.53	0.12%	\$ 6.74	
Energy	3.39	-0.07	-1.95%	-	-	-	-	-0.07	-1.95%	-0.20	-5.77%	3.13	-7.72%	\$ 0.56	
HHS	277.49	0.60	0.22%	115.51	41.63%	0.62	0.22%	116.73	42.07%	-26.09	-9.40%	368.14	32.67%	\$ 1,114.12	
DHS	80.51	-1.18	-1.46%	0.81	1.00%	2.45	3.04%	2.08	2.58%	2.28	2.83%	84.87	5.42%	\$ 57,419.02	
HUD	27.21	-0.48	-1.78%	0.00	0.00%	0.30	1.09%	-0.19	-0.68%	-1.34	-4.93%	25.68	-5.62%	\$ 12.96	
Interior	7.43	0.40	5.39%	0.06	0.76%	-	-	0.46	6.16%	0.02	0.30%	7.91	6.46%	\$ 20.55	
Justice	14.58	-1.56	-10.70%	0.14	0.94%	0.00	0.01%	-1.42	-9.75%	-0.01	-0.04%	13.12	-10.02%	\$ 248.38	
Labor	164.21	-0.99	-0.60%	0.02	0.01%	-	-	-0.97	-0.59%	2.88	1.75%	166.12	1.16%	\$ 2,177.64	
State	32.51	-2.74	-8.43%	0.03	0.08%	0.00	0.00%	-2.72	-8.35%	5.77	17.76%	35.57	9.41%	\$ 2,123.57	
DOT	250.79	1.98	0.79%	0.10	0.04%	-0.28	-0.11%	1.80	0.72%	0.56	0.22%	253.15	0.94%	\$ 2,226.88	
Treasury															
IRS	6,366.83	-2.63	-0.04%	9.50	0.15%	0.00	0.00%	6.75	0.11%	25.94	0.41%	6,399.53	0.51%	\$ 24.25	
Other Treas.	39.35	-1.02	-2.59%	0.07	0.17%	-0.00	-0.01%	-0.96	-2.43%	-2.94	-7.46%	35.46	-9.89%	\$ 218.08	
VA	5.87	-0.07	-1.16%	-	-	-0.01	-0.11%	-0.07	-1.27%	0.09	1.50%	5.88	0.24%	\$ -	
EPA	142.36	0.04	0.03%	0.55	0.38%	-	-	0.59	0.41%	1.00	0.70%	143.94	1.12%	\$ 1,920.83	
FAR	29.65	0.02	0.05%	-	-	-0.00	-0.00%	0.02	0.05%	-0.07	-0.23%	29.59	-0.18%	\$ 13,066.89	
FCC	26.05	3.49	13.41%	115.81	444.51%	-0.52	-1.99%	118.78	455.93%	-0.16	-0.60%	144.68	455.33%	\$ 1,577.10	
FDIC	7.68	-0.13	-1.69%	-	-	-	-	-0.13	-1.69%	-1.27	-16.55%	6.28	-18.25%	\$ -	
FERC	5.67	0.05	0.87%	0.03	0.56%	-	-	0.08	1.43%	0.00	0.02%	5.75	1.46%	\$ 89.44	
FTC	71.43	-0.00	-0.00%	0.00	0.00%	-	-	0.00	0.00%	1.12	1.57%	72.55	1.57%	\$ 38.89	
NASA	5.61	-0.37	-6.60%	-	-	-	-	-0.10	-1.86%	-0.27	-4.74%	5.24	-6.60%	\$ 1,075.50	
NSF	5.88	-0.01	-0.13%	-	-	-0.09	-1.47%	-0.11	-1.82%	0.03	0.55%	5.80	-1.27%	\$ 0.01	
NRC	9.61	-0.27	-2.78%	-	-	-	-	-0.27	-2.78%	-0.02	-0.19%	9.32	-2.97%	\$ 0.37	
SEC	166.32	5.47	3.29%	-0.00	-0.00%	-0.12	-0.07%	5.35	3.22%	0.09	0.06%	171.77	3.28%	\$ 9,751.11	
SBA	2.52	-0.42	-16.84%	-	-	0.18	7.05%	-0.25	-9.79%	-0.71	-28.31%	1.56	-38.09%	\$ 13.85	
SSA	30.73	2.15	6.98%	5.93	19.30%	0.00	0.00%	8.08	26.28%	0.07	0.22%	38.88	26.50%	\$ 37.26	
EGOV	-	-	-	-	-	-	-	-	-	12.56	-	12.56	-	\$ -	



**Table 5. FY 2006 Expected Burden Changes by Agency**

(Hours in millions)		FY 2006 Expected Program Changes								FY 2006 Expected Adjustments		FY 2006 Expected Total Hours Needed	
	FY 2005 ICB Total Hours Needed	FY 2006 Expected Changes Due to Agency Action		FY 2006 Expected Changes Due to New Statutes		FY 2006 Expected Changes Due to Lapses in OMB Approval		Total FY 2006 Program Changes			% chge frm '05		% chge frm '05
			% chge frm '05		% chge frm '05		% chge frm '05		% chge frm '05				
<b>Total</b>	<b>8,240.51</b>	<b>5.86</b>	<b>0.07%</b>	<b>31.93</b>	<b>0.39%</b>	<b>4.88</b>	<b>0.06%</b>	<b>42.79</b>	<b>0.52%</b>	<b>258.58</b>	<b>3.14%</b>	<b>8,541.88</b>	<b>3.66%</b>
<b>Total w/o IRS</b>	<b>1,840.98</b>	<b>8.10</b>	<b>0.44%</b>	<b>31.93</b>	<b>1.73%</b>	<b>4.88</b>	<b>0.27%</b>	<b>45.03</b>	<b>2.45%</b>	<b>5.54</b>	<b>0.30%</b>	<b>1,891.55</b>	<b>2.75%</b>
Agriculture	84.47	0.60	0.71%	-1.80	-2.14%	-	-	-1.21	-1.43%	3.68	4.35%	86.94	2.92%
Commerce	15.22	4.17	27.38%	0.00	0.03%	-	-	4.17	27.41%	0.16	1.08%	19.56	28.49%
Defense	52.81	0.03	0.05%	0.00	0.00%	-	-	0.03	0.05%	-	-	52.83	0.05%
Education	41.53	-0.10	-0.23%	0.53	1.27%	-	-	0.43	1.04%	-0.00	-0.01%	41.96	1.03%
Energy	3.13	-0.06	-1.77%	-	-	-	-	-0.06	-1.77%	-0.00	-0.01%	3.07	-1.78%
HHS	368.14	8.34	2.27%	4.48	1.22%	-	-	12.83	3.48%	0.58	0.16%	381.54	3.64%
DHS	84.87	-1.41	-1.66%	2.57	3.03%	4.46	5.26%	5.62	6.63%	11.39	13.42%	101.88	20.05%
HUD	25.68	-0.04	-0.16%	0.15	0.58%	0.01	0.05%	0.12	0.46%	0.03	0.10%	25.83	0.56%
Interior	7.91	1.18	14.87%	0.02	0.31%	0.10	1.30%	1.30	16.49%	-1.43	-18.08%	7.78	-1.59%
Justice	13.12	0.06	0.47%	-	-	-	-	0.06	0.47%	-0.03	-0.25%	13.15	0.22%
Labor	166.12	0.39	0.23%	-	-	-	-	0.39	0.23%	-4.76	-2.87%	161.74	-2.63%
State	35.57	-0.02	-0.05%	-	-	-	-	-0.02	-0.05%	-	-	35.55	-0.05%
DOT	253.15	-7.72	-3.05%	0.08	0.03%	-	-	-7.64	-3.02%	-0.11	-0.04%	245.40	-3.06%
Treasury													
IRS	6,399.53	-2.24	-0.04%	-	-	-	-	-2.24	-0.04%	253.04	3.95%	6,650.32	3.92%
Other Treas.	35.46	-0.05	-0.14%	-	-	-	-	-0.05	-0.14%	0.29	0.83%	35.70	0.69%
VA	5.88	0.47	8.01%	0.08	1.36%	0.11	1.95%	0.67	11.32%	-0.05	-0.81%	6.50	10.51%
EPA	143.94	1.16	0.80%	0.00	0.00%	-	-	1.16	0.80%	0.72	0.50%	145.82	1.31%
FAR	29.59	0.01	0.05%	-	-	-	-	0.01	0.05%	0.01	0.05%	29.62	0.09%
FCC	144.68	0.48	0.33%	24.82	17.16%	0.00	0.00%	25.30	17.49%	1.30	0.90%	171.28	18.39%
FDIC	6.28	-	-	-	-	-	-	-	-	-0.06	-0.89%	6.23	-0.89%
FERC	5.75	-0.27	-4.67%	0.06	0.97%	-	-	-0.21	-3.70%	0.00	0.00%	5.54	-3.69%
FTC	72.55	0.03	0.04%	-	-	-	-	0.03	0.04%	-3.49	-4.82%	69.09	-4.77%
NASA	5.24	-0.01	-0.12%	-	-	-	-	-0.01	-0.12%	0.00	0.01%	5.24	-0.11%
NSF	5.80	-0.02	-0.30%	-	-	-	-	-0.02	-0.30%	-0.01	-0.24%	5.77	-0.54%
NRC	9.32	0.05	0.54%	-	-	-	-	0.05	0.54%	-0.08	-0.86%	9.29	-0.32%
SEC	171.77	0.01	0.00%	-	-	-	-	0.01	0.00%	-0.22	-0.13%	171.56	-0.12%
SBA	1.56	0.03	1.86%	-	-	-	-	0.03	1.86%	-	-	1.59	1.86%
SSA	38.88	0.78	2.00%	0.94	2.42%	0.19	0.49%	1.91	4.90%	-2.34	-6.03%	38.44	-1.13%
EGOV	12.56	-	-	-	-	-	-	0.12	0.93%	-0.03	-0.24%	12.64	0.69%



## **Appendix B. Significant Paperwork Reductions and Increases**

### **— FY 2005 & FY 2006**

The Paperwork Reduction Act of 1995 (PRA) requires the head of each agency, supported by its Chief Information Officer (CIO), to be responsible for the agency's information collection activities. Agencies are expected to develop and coordinate initiatives that will produce meaningful improvements for the public. This includes reducing the amount of paperwork required of the public.

This appendix highlights significant burden changes agencies made in the last year, as well as significant burden changes expected in FY 2006. To identify the source of the burden reduction or increase, the appendix is divided into five sub-categories for reductions (cutting redundancy, using information technology, changing regulations, changing forms, and miscellaneous actions), and three categories for increases (caused by statute, changing regulations, miscellaneous actions). When legislation or Administration policies result in an increase in burden to achieve the benefits of the law or new program, the appendix includes the statute title and public law number when available.

## FY 2005 CHANGES IN BURDEN

### FY 2005 Burden Reduction from Changing Regulations

<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0575-0189
<b>Title:</b>	Rural Rental Housing Program
<b>Purpose of the Collection:</b>	To ensure Multi-Family Housing (MFH) applicants meet program requirements and repay loans.
<b>Why Increase Occurred:</b>	USDA consolidated thirteen regulations into a single regulation to reduce burden, assure quality housing for residents, improve customer service, and improve the Agency's ability to manage the MFH portfolio. USDA merged 0575-0033, 0045, 0046, 0047, 0064, 0100, 0104, 0155, and 0181 into this collection and moved burden from 0575-0087, 0110, and 0118.
<b>Change in Burden:</b>	- 1,284,388 hours
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0579-0135
<b>Title:</b>	Wood Packing Material from China
<b>Purpose of the Collection:</b>	To confirm that wood packing material from China has been treated to prevent pest infestations.
<b>How Reduction Achieved:</b>	Collection activity has been discontinued because the requirements were removed in a final rule that became effective 9/30/2005.
<b>Change in Burden:</b>	-73,950 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0990-0256
<b>Title:</b>	Survey of SCHIP Enrollees and Disenrollees for the Congressionally Mandated Evaluation of the State Children's Health Insurance Program
<b>Purpose of the collection:</b>	This information collection, part of the congressionally mandated Evaluation of the SCHIP program, will collect data about children currently and previously covered by the program by conducting surveys in 10 states.
<b>How Reduction Achieved:</b>	Change in requirements
<b>Change in Burden:</b>	-12,744 hours
<b>Agency:</b>	Department of Housing and Urban Development
<b>OMB Control Number:</b>	2502-0306
<b>Title:</b>	Single Family Housing Property Disposition and Acquisition (Conveyance) Of Mortgaged Properties
<b>Purpose of the Collection:</b>	This information is necessary to the process of acquisition and disposition of mortgaged single-family properties. The information indicates the condition of property upon conveyance, the results of repair contracts, and contractor performance in maintaining properties.
<b>How Reduction Achieved:</b>	The requirement for bidders to provide qualification data has been eliminated.
<b>Change in Burden:</b>	-12,500 hours
<b>Agency:</b>	Department of Transportation
<b>OMB Control Number:</b>	2137-0612
<b>Title:</b>	Hazardous Materials Security Plans
<b>Purpose of the Collection:</b>	Security plans will enable shippers and carriers to reduce the possibility that a hazardous materials shipment will be used as a weapon of opportunity by terrorists.
<b>Why Decreases Occurred:</b>	Completion of first-year start up burden.

<b>Change in Burden:</b>	-960,250 hours
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1535-0005
<b>Title:</b>	Exchange Application for U.S. Savings Bonds of Series HH
<b>Purpose of the Collection:</b>	Bond owners use this form to request that Series HH bonds be issued in exchange for Series E/EE bonds.
<b>How Reduction Achieved:</b>	Treasury revised its regulations to no longer provide for Series HH bonds to be issued in exchange for Series E/EE bonds.
<b>Change in Burden:</b>	-39,960 hours
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1535-0089
<b>Title:</b>	Implementing Regulations: Government Securities Act of 1986, as amended.
<b>Purpose of the Collection:</b>	GSA requires depository institutions to keep certain records of holdings of government securities as fiduciary, custodian, or otherwise for the account of a customer.
<b>How Reduction Achieved:</b>	The Department of the Treasury modified its regulations to make savings associations regulated and examined by the Office of Thrift Supervision (OTS) eligible for an exemption from the GSA requirements. The OTS estimated that 132 savings associations would qualify for the exemption.
<b>Change in Burden:</b>	-13,200 hours
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2040-0009
<b>Title:</b>	National Pretreatment Program: Pretreatment Streamlining
<b>Purpose of the Collection:</b>	Data are collected for program development and implementation, including ensuring compliance with the regulations.
<b>How Reduction Achieved:</b>	Information collection revised to reflect burden reductions due to the Pretreatment Streamlining rule.
<b>Change in Burden:</b>	-242,645 hours
<b>Agency:</b>	Federal Deposit Insurance Corporation
<b>OMB Control Number:</b>	3064-0092
<b>Title:</b>	Community Reinvestment Act (CRA)
<b>Purpose of the collection:</b>	To require state non-member banks that are not "small" to collect and report data on the following types of loans that they originate or purchase: small business, small farm, community development, and in certain circumstances, home mortgage loans.
<b>How Reduction Achieved:</b>	Rather than adopting a more stringent proposed rule, the FDIC issued a new proposal that would reduce CRA burden by increasing the small bank asset threshold to \$1 billion and eliminating any consideration of holding-company size.
<b>Change in burden:</b>	-163,833 hours
<b>Agency:</b>	Federal Energy Regulatory Commission
<b>OMB Control Number:</b>	1902-0096
<b>Title:</b>	Electric Rate Schedule Filings, RM01-12 Standard Market Design (SMD)
<b>Purpose of the Collection:</b>	Since the issuance of the SMD proposed rule, industry has made significant progress in the voluntary formation of Regional Transmission Organizations (RTOs) and Independent System Operators (ISOs).
<b>How Reduction Achieved:</b>	Elimination of the regulations
<b>Change in Burden:</b>	-215,104 hours
<b>Agency:</b>	Nuclear Regulatory Commission
<b>OMB Control Number:</b>	3150-0014

**Title:** 10 CFR 20, Standards for Protection against Radiation  
**Purpose of the Collection:** To ensure the safety of the public by maintaining adequate radiation safety programs and policies.  
**How Reduction Achieved:** Completion of a one-time requirement for a major update to radiation safety programs and policies which reduced the burden per licensee for policy and procedures updates from 40 to 4 hours annually.  
**Change in Burden:** -195,248 hours

### **FY 2005 Burden Reduction from Cutting Redundancy**

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0938-0679  
**Title:** Durable Medicare Equipment Regional Carrier, Certificate of Medical Necessity  
**Purpose of the Collection:** For Medicare to consider item for coverage and payment.  
**How Reduction Achieved:** The Centers for Medicare & Medicaid Services (CMS) consolidated Forms 843 (OMB Control Number 0938-0875), 844 and 850 into CMS Form 10116, reducing the burden of processing and reviewing the certificates.  
**Change in Burden:** -315,000 hours

**Agency:** Department of Housing and Urban Development  
**OMB Control Number:** 2502-0557  
**Title:** Late Request for Endorsement Procedures  
**Purpose of the Collection:** To ensure compliance with the Federal Housing Administration (FHA) requirements for endorsing loans submitted more than 60 days after closing.  
**How Reduction Achieved:** FHA eliminated the requirement to submit a payment history or ledger with the submission for endorsement. Rather, FHA will rely on the lender's certification.  
**Change in Burden:** -30,000 hours

**Agency:** Department of Housing and Urban Development  
**OMB Control Number:** 2577-0220  
**Title:** Public Housing Admissions/Occupancy Policies  
**Purpose of the Collection:** To monitor compliance with agency requirements to ensure the low-income character of the project involved and establish sound management procedures used in the day-to-day operation of Public Housing Agencies (PHA) programs.  
**How Reduction Achieved:** PHA now only requires updates of this information when new regulations and/or policies are implemented and when tenant admission, selections, and notifications are needed.  
**Change in Burden:** -64,000 hours  
**Effect on Small Entities:** These efforts were made to minimize the burden placed on all applicants.

**Agency:** Department of Justice  
**OMB Control Number:** 1103-0019  
**Title:** Community Oriented Policing Services (COPS) Making Officer Redeployment Effective (MORE) Grant Program Application Kit  
**Purpose of the Collection:** To evaluate each applicant's request for funding.  
**How Reduction Achieved:** The COPS Office developed four standardized documents to be used in conjunction with all COPS Office applications for funding.  
**Change in Burden:** -62,500 hours

**Agency:** Department of Justice  
**OMB Control Number:** 1103-0027  
**Title:** COPS Universal Hiring Program (UHP), COPS in Schools (CIS) & Homeland Security Overtime Program (HSOP) Grant Application.  
**Purpose of the Collection:** To evaluate each applicant's request for funding.

**How Reduction Achieved:** The COPS Office developed four standardized documents to be used in conjunction with all COPS Office applications for funding.

**Change in Burden:** -31,500 hours

**Agency:** Department of Justice

**OMB Control Number:** 1110-0016

**Title:** Federal Bureau of Investigation (FBI) Application for Employment

**Purpose of the Collection:** To collect background information on all persons applying for FBI positions.

**How Reduction Achieved:** The FBI will discontinue using the FBI forms and will use the Questionnaire for National Security Positions (SF-86) to consider applicants for FBI positions.

**Change in Burden:** -500,000 hours

### **FY 2005 Burden Reduction from Changing Forms**

**Agency:** Department of Education

**OMB Control Number:** 1850-0652

**Title:** Educational Longitudinal Study of 2002 (ELS:2002), Second Follow-up

**Purpose of the Collection:** The ELS:2002, Second Follow-up is the third time this “10th grade in 2002” student cohort will be interviewed and assessed. Data is collected from students, dropouts, and school administrators. This longitudinal study is intended to measure school effectiveness and impact on postsecondary and labor market outcomes.

**How Reduction Achieved:** Data elements and the study design were revised.

**Change in Burden:** -10,165 hours

**Agency:** Department of Housing and Urban Development

**OMB Control Number:** 2506-0112

**Title:** Continuum of Care Homeless Assistance Grant Application

**Purpose of the Collection:** The information is used to rate applications, determine eligibility for Continuum of Care Homeless Assistance, establish grant amounts, and ensure that technical requirements are met prior to execution of a grant agreement. HUD uses this detailed information to determine if a project is financially feasible and whether all proposed activities are eligible.

**How Reduction Achieved:** Streamlining the application forms reduced the burden hours. In addition, the requirements for renewal application have been modified to eliminate much of the information previously collected for the technical submission.

**Change in Burden:** -11,517 hours

**Agency:** Department of the Treasury

**OMB Control Number:** 1545-0092

**Title:** Form 1041, U.S. Income Tax Return for Estates and Trusts

**Purpose of the Collection:** To allow a fiduciary of a domestic decedent’s estate, trust, or bankruptcy estate to report income, deductions, tax liabilities, and employment taxes on wages paid to household employees.

**How Reduction Achieved:** The 2004 Form 1041 was simplified and streamlined making it easier to read and file.

**Change in Burden:** -18,770,485 hours

**Agency:** Department of the Treasury

**OMB Control Number:** 1545-1758

**Title:** Form 8879, IRS e-file Signature Authorization

**Purpose of the Collection:** To be completed when the Practitioner PIN method is used.

**How Reduction Achieved:** The 2005 Form 8879 was simplified and streamlined by editorial changes made to the form.

**Change in Burden:** -560,000 hours

<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2050-0039
<b>Title:</b>	Hazardous Waste Manifest
<b>Purpose of the Collection:</b>	To track each shipment of hazardous waste and to ensure that shipments of hazardous waste are managed properly and delivered to their designated facilities.
<b>How Reduction Achieved:</b>	EPA switched to a uniform manifest form.
<b>Change in Burden:</b>	-34,057 hours
<b>Agency:</b>	Securities and Exchange Commission
<b>OMB Control Number:</b>	3235-0307
<b>Title:</b>	Form N-1A under the Investment Company Act of 1940 and Securities Act of 1933, Registration Statement of Open-End Management Investment Company
<b>Purpose of the Collection:</b>	To enable funds to provide investors with information necessary to evaluate an investment in the fund.
<b>How Reduction Achieved:</b>	The Commission modified the amendments to Form N-1A that require better disclosure about fund portfolio managers. These modifications include eliminating the requirement to describe policies and procedures related to conflicts and limiting the disclosure of the portfolio managers' ownership of securities to securities of the fund itself.
<b>Change in Burden:</b>	-15,016 burden hours
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-0068
<b>Title:</b>	Representative Payee Report
<b>Purpose of the Collection:</b>	Forms SSA-623/6230 are used to determine: 1) whether payments have been used for the beneficiary's current maintenance and personal needs, and 2) whether the representative payee is still responsible for the beneficiary's welfare.
<b>How Reduction Achieved:</b>	The new form, SSA-6234, Representative Payee Report, will be used by organizations instead of forms SSA-623/6230.
<b>Change in Burden:</b>	-69,439 hours

### **FY 2005 Burden Reduction from Using Information Technology**

<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0584-0009
<b>Title:</b>	Food Coupon Accountability Report (FNS-250)
<b>Purpose of the Collection:</b>	To provide accountability for federal obligations of the Food Stamp Program.
<b>How Reduction Achieved:</b>	Full implementation of Electronic Benefits Transfer (EBT) systems has been accomplished.
<b>Change in Burden:</b>	-12,852 hours
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0584-0080
<b>Title:</b>	Issuance Reconciliation Report (FNS-46)
<b>Purpose of the Collection:</b>	To ensure that benefits authorized by State agencies can be reconciled with benefits actually issued.
<b>How Reduction Achieved:</b>	Full implementation of Electronic Benefits Transfer (EBT) systems has been accomplished.
<b>Change in Burden:</b>	-13,200 hours



<b>Agency:</b>	Department of Commerce
<b>OMB Control Number:</b>	0607-0152
<b>Title:</b>	Bureau of the Census Shippers Export Declaration/Automated Export System (AES)
<b>Purpose of the collection:</b>	To collect official export statistics and to detect and prevent the export of high technology items or military goods to unauthorized destinations or end users.
<b>How Reduction Achieved:</b>	Increased adoption of the AES.
<b>Change in Burden:</b>	-130,017 hours
<b>Agency:</b>	Department of Education
<b>OMB Control Number:</b>	1845-0001
<b>Title:</b>	Free Application for Federal Student Aid (FAFSA)
<b>Purpose of the Collection:</b>	FAFSA collects identifying and financial information from students applying for Federal student aid for postsecondary education. The form is used to calculate Expected Family Contribution and determine eligibility for grants and loans, under Title IV of the HEA.
<b>How Reduction Achieved:</b>	More people made use of electronic (web) opportunities to apply and file. Although application volumes increased, paper application decreased.
<b>Change in Burden:</b>	-61,040 hours
<b>Agency:</b>	Department of Homeland Security
<b>OMB Control Numbers:</b>	1660-0002
<b>Title:</b>	Disaster Assistance Registration
<b>Purpose of the Collection:</b>	This collection provides the mechanism through which disaster victims register to qualify for and obtain benefits from the Federal Emergency Management Agency (FEMA) and other Federal agencies.
<b>How Reduction Achieved:</b>	FEMA added electronic and web-based capabilities
<b>Change in Burden:</b>	-10,656 hours
<b>Agency:</b>	Department of Homeland Security
<b>OMB Control Number:</b>	1651-0052
<b>Title:</b>	User Fees
<b>Purpose of the Collection:</b>	To track and account for the user fees collected by Customs and Border Protection (CBP) under the Miscellaneous Trade and Technical Corrections Act of 1999.
<b>How Reduction Achieved:</b>	CBP created a web portal that reduced the length of reporting time.
<b>Change in Burden:</b>	-11,934 hours
<b>Agency:</b>	Department of Justice
<b>OMB Control Number:</b>	1117-0010
<b>Title:</b>	U.S. Official Order Forms for Schedule I and II Controlled Substances (Accountable Forms), Order Form Requisition
<b>Purpose of the Collection:</b>	To provide an audit of the transfer or purchase Schedule I and II controlled substances.
<b>How Reduction Achieved:</b>	New regulation for using the electronic Controlled Substances Ordering System.
<b>Change in Burden:</b>	-1,127,464 hours
<b>Agency:</b>	Department of Transportation
<b>OMB Control Number:</b>	2130-0004
<b>Title:</b>	Railroad Locomotive Safety Standards and Event Recorders
<b>Purpose of the Collection:</b>	To improve train handling and to promote the safe and efficient operation of trains throughout the nation.
<b>How Reduction Achieved:</b>	Expanded use of electronic recordkeeping by railroads for inspection records required under 49 CFR 229.21.
<b>Change in Burden:</b>	-76,757 hours

**Agency:** Department of Veterans Affairs  
**OMB Control Number:** 2900-0188  
**Title:** Prescription, Authorization, Application, Procurement, Repair and Loan of Prosthetic Items, Form Letters 10-90 and 10-426, VA Forms 10-0103, 10-1394, 10-2421, 10-2520 and 10-2914  
**Purpose of the Collection:** To obtain pricing information on prosthetic devices, determine eligibility, prescribe, and authorize prosthetic devices, and to obtain follow-up information on loaned prosthetic items.  
**How Reduction Achieved:** The burden hour decrease is a result of implementation of the credit card-like purchase card issued to veterans by the Prosthetics Clerks. This significantly decreases the use of the VA Forms 10-2421 and 10-2520.  
**Change in Burden:** -15,512 hours

**Agency:** Department of Veterans Affairs  
**OMB Control Number:** 2900-0619  
**Title:** Inquiry Routing and Information System (IRIS)  
**Purpose of the Collection:** This is an on-line system available to users of the VA Web site to submit questions, complaints, compliments and suggestions on-line.  
**How Reduction Achieved:** The current IRIS application includes a host of automated features that are useful to veterans and other customers such as the FAQ Knowledge Base. Although internet use is growing significantly, adding more data and improving answers this FAQ Knowledge Base is responsible for the burden hour decrease.  
**Change in Burden:** -18,200 hours

**Agency:** National Aeronautics and Space Administration  
**OMB Control No:** 2700-0047  
**Title:** Property Management and Controls -- Grants  
**Purpose of the Collection:** To ensure proper accounting of Federal property provided under grants and cooperative agreements with institutions of higher education and other non-profit organizations and to satisfy external requirements for internal control of property provided by NASA or acquired with NASA funds.  
**How Reduction Achieved:** NASA improved the accounting process through more extensive use of information management technology.  
**Change in Burden:** -112,952 hours

### **FY 2005 Burden Reduction from Statutory Requirements**

**Agency:** Department of Agriculture  
**OMB Control Number:** 0560-0004.  
**Title:** Report of Acreage  
**Purpose of the Collection:** The non-insured crop disaster assistance program requires producers to certify crop, commodity, and acreage in order to determine eligibility, level of assistance, and compliance with program provisions.  
**How Reduction Achieved:** Enactment of The Fair and Equitable Tobacco Reform Act of 2004 on October 22, 2004 ended the tobacco marketing quota and price support loan program effective with the 2005 and subsequent tobacco crops.  
**Change in Burden:** -42,900 hours  
**Statute Title and Public Law:** The Fair and Equitable Tobacco Reform Act of 2004 (P.L. 108-357)

**Agency:** Department of Agriculture  
**OMB Control Number:** 0560-0058.  
**Title:** Tobacco Marketing Quota and Price Support Programs

<b>Purpose of the Collection:</b>	The collection of information from tobacco producers and others and the recordkeeping requirements of 7 CFR Parts 723 and 1464 are needed to enable the FSA to administer the tobacco marketing quota regulations.
<b>How Reduction Achieved:</b>	Discontinued.
<b>Change in Burden:</b>	-1,541,420 hours
<b>Statute Title and Public Law:</b>	The Fair and Equitable Tobacco Reform Act of 2004 (P.L. 108-357)
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0584-0085
<b>Title:</b>	Food Stamp Redemption Certificate
<b>Purpose of the Collection:</b>	To redeem paper coupons from retailers.
<b>How Reduction Achieved:</b>	Full implementation of Electronic Benefits Transfer (EBT) systems has been accomplished.
<b>Change in Burden:</b>	-135,877 hours
<b>Statute Title and Public Law:</b>	Personal Responsibility and Work Opportunity Reform Act (P.L. 104-193)
<b>Agency:</b>	Department of Education
<b>OMB Control Number:</b>	1820-0622
<b>Title:</b>	Special Education – Personnel Preparation to Improve Services and Results for Children with Disabilities
<b>Purpose of the Collection:</b>	These proposed regulations would implement requirements governing the service obligation for scholars, oversight by grantees, repayment of scholarships, and procedures for obtaining deferrals or exemptions from service or repayment obligations.
<b>How Reduction Achieved:</b>	The requirement that grantees track the progress of scholars has been eliminated.
<b>Change in Burden:</b>	-114,500 hours
<b>Statute Title and Public Law:</b>	Individuals with Disabilities Education Improvement Act of 2004, P.L. 108-446
<b>Agency:</b>	Department of Homeland Security
<b>OMB Control Numbers:</b>	1660-0048
<b>Title:</b>	Supplemental Property Acquisition and Elevation Assistance.
<b>Purpose of the Collection:</b>	The Consolidated Appropriations Act of 2000 required that FEMA provide assistance for the acquisition and relocation or elevation of properties affected by Hurricane Floyd or surrounding events for hazard mitigation purposes. This collection is used to review the State's quarterly financial reports to ensure that programs are progressing on schedule and that projects meet the program's intent.
<b>How Reduction Achieved:</b>	The program discontinued the use of all application forms, but retained the remaining reporting requirements for the quarterly Financial Status Reports and the Performance Progress Report which account for the burden hours in the current inventory.
<b>Change in Burden:</b>	-47,349 hours
<b>Statute Title and Public Law:</b>	The Consolidated Appropriations Act of 2000 (P.L. 106-113)
<b>Agency:</b>	Department of Homeland Security
<b>OMB Control Numbers:</b>	1660-0049
<b>Title:</b>	Notice of Loss -- Cerro Grande Fire Assistance Act
<b>Purpose of the Collection:</b>	To collect information for claims against the government for compensation of losses, injuries, and damages caused by the Cerro Grande fire.
<b>How Reduction Achieved:</b>	Period allowed by law for filing claims expired in August 28, 2003. The collection was discontinued in October 31, 2004.
<b>Change in Burden:</b>	-17,000 hours
<b>Statute Title and Public Law:</b>	Cerro Grande Fire Assistance Act (P.L. 106-246)
<b>Agency:</b>	Department of State
<b>OMB Control Number:</b>	1405-0124

<b>Title:</b>	Irish Peace Process Cultural and Training Program (IPPCTP) Employer Information Collection
<b>Purpose of the Collection:</b>	Implementation of the Irish Peace Process Cultural and Training Program Act.
<b>How Reduction Achieved:</b>	Because this program has been in sunset, the numbers of respondents and annual burden hours have decreased significantly.
<b>Change in Burden:</b>	-12,301 hours
<b>Statute Title and Public Law:</b>	Irish Peace Process Cultural and Training Program Act of 1998 (P. L. 105-319, as amended by P.L. 107-234)
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1545-0068
<b>Title:</b>	Form 2441, Child and Dependent Care Expenses
<b>Purpose of the Collection:</b>	To be used by taxpayers to claim a credit for having someone care for their child or other qualifying person.
<b>How Reduction Achieved:</b>	PL 108-311, section 312, extended the continued use of nonrefundable credits against regular tax and the alternative minimum tax. Also, this provision allows nontaxable combat pay to be includable when computing the Earned Income Credit.
<b>Change in Burden:</b>	-2,347,149 hours
<b>Statute Title and Public Law:</b>	Working Families Tax Relief Act of 2004 (P.L. 108-311)
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1545-0085
<b>Title:</b>	Form 1040A, U.S. Individual Income Tax Return
<b>Purpose of the Collection:</b>	To be used by taxpayers to file their individual income tax return.
<b>How Reduction Achieved:</b>	Working Families Tax Relief Act of 2004, section 406(d) revised the Alternative Minimum Tax Worksheet.
<b>Change in Burden:</b>	-3,244,320 hours
<b>Statute Title and Public Law:</b>	Working Families Tax Relief Act of 2004 (P.L. 108-311)
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1545-0130
<b>Title:</b>	Form 1120-S, U.S. Income Tax Return for an S Corporation
<b>Purpose of the Collection:</b>	Form 1120-S is used to report income and taxes of an S Corporation
<b>How Reduction Achieved:</b>	The form was changed based on changes mandated in tax law: Jobs and Growth Tax Relief Reconciliation Act (JGTRRA), section 301, IRC 59(e)(2); PL 108-357, sections 87, 322, 339, and 902.
<b>Change in Burden:</b>	-7,109,860 hours
<b>Statute Title and Public Law:</b>	Jobs and Growth Tax Relief Reconciliation Act of 2003 (P.L. 108-27); American Jobs Creation Act of 2004 (P.L. 108-357)
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1545-0143
<b>Title:</b>	Form 2290, Heavy Highway Vehicle Use Tax Return
<b>Purpose of the Collection:</b>	To pay excise tax on certain highway motor vehicles.
<b>How Reduction Achieved:</b>	Section 867 of the the American Jobs Creation Act simplified the form by reducing required information.
<b>Change in Burden:</b>	-622,400 hours
<b>Statute Title and Public Law:</b>	American Jobs Creation Act of 2004 (P.L. 108-357)
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1545-0184
<b>Title:</b>	Form 4797, Sales of Business Property
<b>Purpose of the Collection:</b>	Form 4797 is used to report sales of business property.
<b>How Reduction Achieved:</b>	The form was changed based on changes mandated by JGTRRA, section 301.
<b>Change in Burden:</b>	-3,109,095 hours

**Statute Title and Public Law:** Jobs and Growth Tax Relief Reconciliation Act of 2003 (P.L. 108-27)

**Agency:** Department of the Treasury  
**OMB Control Number:** 1545-1618  
**Title:** Form 8863, Education Credits (Hope and Lifetime Learning Credits)  
**Purpose of the Collection:** Form 8863 is used by taxpayers to calculate and claim their education credits.  
**How Reduction Achieved:** The form was changed based on changes mandated by the Working Families Tax Relief Act of 2004, section 312.

**Change in Burden:** -1,237,487 hours  
**Statute Title and Public Law:** Working Families Tax Relief Act of 2004 (P.L. 108-311)

### **FY 2005 Burden Reduction from Miscellaneous Actions**

**Agency:** Department of Agriculture  
**OMB Control Number:** 0535-0020  
**Title:** Milk and Milk Products  
**Purpose of the Collection:** Provides data to estimate U.S. milk production, milk cows, amounts and value of feed fed to milk cows, and production of manufactured dairy products in all States.  
**How Reduction Achieved:** Due to lower sample sizes afforded by more extensive use of administrative data for milk production and the change in frequency of some States from monthly to annual surveys.  
**Change in Burden:** -11,801

**Agency:** Department of Agriculture  
**OMB Control Number:** 0560-0087  
**Title:** General Regulations Governing Commodity Loan for 1996 and Subsequent Crops  
**Purpose of the Collection:** To ensure producers are eligible to receive loans and to ensure the integrity of the program.  
**How Reduction Achieved:** Removed from the inventory because the program is exempt from the Paperwork Reduction Act under the Farm Security and Rural Investment Act of 2002.  
**Change in Burden:** -552,324 hours

**Agency:** Department of Commerce  
**OMB Control Number:** 0607-0782  
**Title:** Bureau of the Census Annual Capital Expenditures Survey  
**Purpose of the collection:** To collect data on business investment in buildings and other structures, machinery, and equipment from private non-farm businesses. To evaluate productivity growth, the ability of U.S. business to compete with foreign business, changes in industrial capacity, and measures of overall economic performance.  
**How Reduction Achieved:** Elimination of detailed capital expenditure data.  
**Change in Burden:** -70,100 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0910-0376  
**Title:** Transmittal of Advertisements and Promotional Labeling for Drugs and Biologics for Human Use  
**Purpose of the Collection:** Manufacturers, packers, and distributors (“sponsors”) who advertise prescription human drugs, including biological products for humans, must disclose in advertisements and promotional labeling certain information about the advertised product’s uses and risks.

<b>How Reduction Achieved:</b>	Information collection discontinued.
<b>Change in Burden:</b>	-33,280 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0910-0483
<b>Title:</b>	Foreign Establishment Registration and Listing
<b>Purpose of the Collection:</b>	FDA used the information to identify foreign establishments that import or offer to import drugs and devices into the United States. This information helped FDA schedule inspections of the foreign establishment
<b>How Reduction Achieved:</b>	Information collection discontinued
<b>Change in Burden:</b>	-52,333 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0910-0392
<b>Title:</b>	Regulations Requiring Manufacturers to Assess the Safety and Effectiveness of New Drugs and Biological Products in Pediatric Patients
<b>Purpose of the Collection:</b>	The purpose of these reporting requirements is to address the lack of adequate pediatric labeling of drugs and biological products by requiring the submission of evidence on pediatric safety and effectiveness for products with clinically significant use in children.
<b>How Reduction Achieved:</b>	Information collection discontinued
<b>Change in Burden:</b>	-18,557 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0915-0254
<b>Title:</b>	U.S. Component of the 2001/2002 World Health Organization Study of Health Behavior in School Children (WHO-HPSC)
<b>Purpose of the collection:</b>	To collect data on health behavior of school children for the U.S. survey component of the WHO study
<b>How Reduction Achieved:</b>	Data collection and analysis were completed and the survey activity was discontinued
<b>Change in Burden:</b>	-13,440 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0915-0276
<b>Title:</b>	The National Survey of Registered Nurses, 2004
<b>Purpose of the collection:</b>	To obtain data on the population of registered nurses in the U.S. for evaluating the availability and supply of nursing resources at the national level.
<b>How Reduction Achieved:</b>	The survey was completed and the data collection activity was discontinued
<b>Change in Burden:</b>	-13,063 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0935-0108
<b>Title:</b>	Medical Expenditures Panel Survey – Medical Provider Component (MEPS-MPC) for 2001 and 2002
<b>Purpose of the collection:</b>	The MEPS-MPC is the collection of data from medical providers that served as an imputation source for medical utilization and expenditure data report by household respondents. This data supplements information provided by household respondents about the charges, payments, and sources of payment associated with specific health care encounters and supplements the missing expenditure data.
<b>How Reduction Achieved:</b>	The study was completed.
<b>Change in Burden:</b>	-23,216 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-0843

<b>Title:</b>	Healthy Aging Smoking Cessation Demonstration
<b>Purpose of the Collection:</b>	The goals of the Healthy Aging Project were to test the effectiveness of possible Medicare smoking cessation benefits and to make inferences that may be generalized to the Medicare program. Using a comparison trial with restricted randomization of study locales, this study compared three variations on smoking cessation and abstinence rates.
<b>How Reduction Achieved:</b>	Information collection discontinued
<b>Change in Burden:</b>	-58,000 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0970-0202
<b>Title:</b>	National Survey of Child and Adolescent Well-Being
<b>Purpose of the Collection:</b>	Longitudinal survey that provides national estimates on the characteristics related to children and families who enter the child welfare system.
<b>How Reduction Achieved:</b>	Reduced sample size due to cost relative to the utility of larger sample size.
<b>Change in Burden:</b>	-17,215 hours
<b>Agency:</b>	Department of Homeland Security
<b>OMB Control Number:</b>	1615-0011
<b>Title:</b>	H-1B Data Collection Filing Fee Exemption
<b>Purpose of the Collection:</b>	This form is used to determine if a petitioner is exempt from additional fees.
<b>How Reduction Achieved:</b>	Citizenship and Immigration Services determined that this data is no longer needed.
<b>Change in Burden:</b>	-74,046 hours and -\$74,046
<b>Agency:</b>	Department of Justice
<b>OMB Control Number:</b>	1121-0137
<b>Title:</b>	Firearms Addendum to the Arrestee Drug Abuse Monitoring (ADAM)
<b>Purpose of the Collection:</b>	The ADAM Program monitors the extent and type of drug use among arrestees in 35 sites across the U.S. The Firearms Addendum interview is intended to collect information from this sample of arrestees on their participation in legal and illegal firearms markets.
<b>How Reduction Achieved:</b>	The Agency will not conduct this survey in 2005.
<b>Change in Burden:</b>	-43,750 hours
<b>Agency:</b>	Department of Justice
<b>OMB Control Number:</b>	1121-0247
<b>Title:</b>	National Evaluation of the Safe Schools/Healthy Students
<b>Purpose of the Collection:</b>	To determine the overall effectiveness for the initiative by determining if a coalition-based approach linking schools and other community organizations can change the health and safety of students.
<b>How Reduction Achieved:</b>	The Agency will not conduct this survey in 2005.
<b>Change in Burden:</b>	-17,796 hours
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1545-1769
<b>Title:</b>	Revenue Procedure 2002-10, Procedures for IRAs, SEPs, and SIMPLEs IRA Plans
<b>Purpose of the Collection:</b>	Revenue Procedure 2002-10 provides guidance on new law affecting IRAs, SEPs, and SIMPLEs IRA plans.
<b>How Reduction Achieved:</b>	The revenue procedure was discontinued because the program of general applicability expired.
<b>Change in Burden:</b>	-7,371,000 hours
<b>Agency:</b>	National Science Foundation
<b>OMB Control Number:</b>	3145-0136

<b>Title:</b>	EHR Generic Clearance
<b>Purpose of the Collection:</b>	The EHR Generic Clearance primarily is used for program and project planning, monitoring and management. Its component surveys or tasks typically collect data on inputs and outputs in order to generate outcome indicators that EHR needs to comply with the Government Performance and Results Act (GPRA). The data collected under this clearance also serve as baseline data for program evaluations.
<b>How Reduction Achieved:</b>	NSF dropped several completed tasks from this information collection.
<b>Change in Burden:</b>	-10,545 hours
<b>Agency:</b>	National Science Foundation
<b>OMB Control Number:</b>	3145-0199
<b>Title:</b>	NSF Math and Science Partnership (MSP) Monitoring System
<b>Purpose of the Collection:</b>	The MSP Monitoring System is designed to collect both quantitative and qualitative data on an annual basis and will allow for comparisons both within and among projects over time.
<b>How Reduction Achieved:</b>	NSF deleted questions from the survey.
<b>Change in Burden:</b>	-10,962 hours
<b>Agency:</b>	Small Business Administration
<b>OMB Control Number:</b>	3245-0076
<b>Title:</b>	SBA Form 793, Notice to New SBA Borrowers
<b>Purpose of the Collection:</b>	Informed recipients of SBA financing of minimum actions were required to show that they were complying with SBA nondiscriminatory regulations.
<b>How Reduction Achieved:</b>	SBA has determined that it does not have legal authority to require the use of the SBA Form 793.
<b>Change in Burden:</b>	-487,600 hours

### **FY 2005 Burden Increases from Statutory Requirements**

<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0563-0053
<b>Title:</b>	Multiple Peril Crop Insurance
<b>Purpose of the Collection:</b>	To determine insurance coverage premiums, subsidies, payments and indemnities.
<b>Why Increase Occurred:</b>	The new requirements in ARPA required the Risk Management Agency to make changes to meet expanding goals for existing crop programs to be improved and expanded, new crops developed, and new insurance concepts studied for possible implementation.
<b>Change in Burden:</b>	198,548 hours
<b>Statute Title and Public Law:</b>	Federal Crop Insurance Act (P.L. 106-224)
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0570-0050
<b>Title:</b>	Renewable Energy Systems and Energy Efficiency Improvements Grant, Guaranteed Loans, and Direct Loan Program.
<b>Purpose of the Collection:</b>	To determine applicant eligibility, ensure compliance with agency regulations, monitor activities to protect the Government's financial interest, and ensure that the funds are used appropriately.
<b>Why Increase Occurred:</b>	New statutory program.
<b>Change in Burden:</b>	30,052 hours
<b>Statute Title and Public Law:</b>	Farm Security and Rural Investment Act of 2002 (P.L. 107-171)
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0584-0006



<b>Title:</b>	7 CFR Part 215, National School Lunch Program FNS-640
<b>Purpose of the Collection:</b>	To determine program eligibility and to ensure compliance with program requirements.
<b>Why Increase Occurred:</b>	Incorporated the requirements of Sec. 111 of the Child Nutrition and WIC Reauthorization Act of 2004.
<b>Change in Burden:</b>	32,284 hours
<b>Statute Title and Public Law:</b>	Child Nutrition and WIC Reauthorization Act of 2004 (P.L. 108-265)
<b>Agency:</b>	Department of Commerce
<b>OMB Control Number:</b>	0651-0009
<b>Title:</b>	Applications for Trademark Registration (Formerly Trademark Registration)
<b>Purpose of the Collection:</b>	Allows individuals and businesses to register their trademarks, service marks, collective trademarks and service marks, collective membership marks, and certification marks with the Patent and Trademark Office (PTO).
<b>Why Increase Occurred:</b>	PTO added two new fees: a reduced filing fee for applications filed through the Trademark Electronic Application System (TEAS), which meet certain requirements (TEAS Plus applications) and a fee to recover the additional processing costs for TEAS Plus applications that fail to meet the requirements.
<b>Change in Burden:</b>	\$16,027,800 in annual costs.
<b>Statute Title and Public Law:</b>	The Consolidated Appropriations Act, 2005 (P.L. 108-447).
<b>Agency:</b>	Department of Commerce
<b>OMB Control Number:</b>	0651-0032
<b>Title:</b>	Initial Patent Applications
<b>Purpose of the Collection:</b>	Allows applicants to file utility, design, plant, continuation, continued prosecution, continuation-in-part, and provisional patent applications.
<b>Why Increase Occurred:</b>	Revised fee structure for patent applications to provide for separate national, search, and examination fees.
<b>Change in Burden:</b>	\$81,957,375 in annual costs.
<b>Statute Title and Public Law:</b>	The Consolidated Appropriations Act, 2005 (P.L. 108-447).
<b>Agency:</b>	Department of Defense
<b>OMB Control Number:</b>	0720-0032
<b>Title:</b>	Federal Agency Retail Pharmacy Program
<b>Purpose of the Collection:</b>	To extend Federal pricing of pharmaceuticals to prescriptions filled for TRICARE beneficiaries by retail pharmacies in the TRICARE network.
<b>Why Increase Occurred:</b>	New collection.
<b>Change in Burden:</b>	9,600 hours
<b>Statute Title and Public Law:</b>	Fiscal Year 2000 National Defense Authorization Act (P.L. 106-65)
<b>Agency:</b>	Department of Education
<b>OMB Control Number:</b>	1820-0509
<b>Title:</b>	Lists of Hearing Officers and Mediators
<b>Purpose of the Collection:</b>	To maintain a list of individuals who are qualified mediators and knowledgeable in laws and regulations relating to the provisions of special education and related services.
<b>Why Increase Occurred:</b>	Statutory and regulatory requirements were required burden under Part C.
<b>Change in Burden:</b>	27,815 hours
<b>Statute Title and Public Law:</b>	Individuals with Disabilities Education Improvement Act of 2004 (P.L. 108-446)
<b>Agency:</b>	Department of Education
<b>OMB Control Number:</b>	1820-0578
<b>Title:</b>	IDEA Part C State Performance Plan (SPP) and Annual Performance Report (APR)

<b>Purpose of the Collection:</b>	To satisfy the requirement to report annually to the public on the performance of each Part C program in the agency's performance plan.
<b>Why Increase Occurred:</b>	New statutory requirement.
<b>Change in Burden:</b>	12,290 hours
<b>Statute Title and Public Law:</b>	Individuals with Disabilities Education Improvement Act of 2004 (P.L. 108-446)
<b>Agency:</b>	Department of Education
<b>OMB Control Number:</b>	1850-0797
<b>Title:</b>	Reading First Impact Study
<b>Purpose of the Collection:</b>	Students, teachers, parents, principals, and reading coaches provide data to estimate how the "Reading First" program impacts student achievement by comparing participating schools with non-participating schools.
<b>Why Increase Occurred:</b>	Compliance with the Reading First statute for mandated national evaluations.
<b>Change in Burden:</b>	74,020 hours
<b>Statute Title and Public Law:</b>	No Child Left Behind Act of 2001 (P.L. 107-110)
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0910-0560
<b>Title:</b>	Recordkeeping and Records Access Requirements for Food Facilities
<b>Purpose of the Collection:</b>	Data collected as a result of the recordkeeping and records access requirements will be used to aid FDA in their investigative and enforcement activities and to inform the appropriate individuals and food facilities of specific terrorist threats.
<b>Why Increase Occurred:</b>	New Collection.
<b>Change in Burden:</b>	5,359,000 hours
<b>Statute Title and Public Law:</b>	Public Health Security and Bioterrorism Preparedness and Response Act of 2002 (P.L. 107-188)
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0925-0361
<b>Title:</b>	National Institutes of Health Loan Repayment Programs
<b>Purpose of the Collection:</b>	To provide repayment of existing loans of up to \$35,000 per year to qualified health professionals performing research in fields required by the NIH to support its mission.
<b>Why Increase Occurred:</b>	Changes are due to statutory expansion of the program.
<b>Change in Burden:</b>	16,427 hours
<b>Statute Title and Public Law:</b>	Minority Health and Health Disparities Research and Education Act of 2000 (P.L. 106-525).
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-0050
<b>Title:</b>	Hospital/Healthcare Complex Cost Report
<b>Purpose of the Collection:</b>	The form is used by hospitals participating in the Medicare program. This form reports the health care costs to determine the amount of reimbursable costs for services rendered to Medicare beneficiaries
<b>Why the increase occurred:</b>	Revision of section 1814(i) of the Social Security Act.
<b>Change in Burden:</b>	66,200 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-0214
<b>Title:</b>	Questions on Other Insurance Available to Medicare Beneficiaries, MSP
<b>Purpose of the Collection:</b>	Medicare Secondary Payer (MSP) refers to those situations where Medicare does not have primary responsibility for paying the medical expenses of a Medicare beneficiary. Medicare Fiscal Intermediaries, Carrier, and now Part D Plans, need information about primary payers in order to perform various tasks to detect and process MSP cases and make recoveries.

<b>Why the increase occurred:</b>	HHS implemented this collection as part of the Medicare Prescription Drug benefit.
<b>Change in Burden:</b>	490,576 hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173).
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-0953
<b>Title:</b>	Expedited Review Notices (CMS-4004-FC)
<b>Purpose of the Collection:</b>	Provide for the establishment of expedited determination procedures for providers
<b>Why Increase Occurred:</b>	HHS implementing a requirement in statute.
<b>Change in Burden:</b>	379,400 hours
<b>Statute Title and Public Law:</b>	Medicare, Medicaid, and SCHIP Benefits Improvement and Protection Act (BIPA) of 2000 (P.L. 106-554)
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-0964
<b>Title:</b>	Medicare Prescription Drug Benefit (CMS-4068-P)
<b>Purpose of the Collection:</b>	To implement the Medicare Part D – Voluntary Prescription Drug Benefit Program.
<b>Why Increase Occurred:</b>	New statutory program
<b>Change in Burden:</b>	40,278,247 hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173).
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-0931
<b>Title:</b>	National Provider Identifier (NPI) Application Update Form
<b>Purpose of the Collection:</b>	The form is used by health care providers to apply for NPIs and to update the information collected from them whenever it changes.
<b>Why Increase Occurred:</b>	HHS implemented a provision of the Health Insurance Portability and Accountability Act
<b>Change in Burden:</b>	448,128 hours
<b>Statute Title and Public Law:</b>	Health Insurance Portability and Accountability Act of 1996 (P.L. 104-191)
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-0935
<b>Title:</b>	Medicare Advantage Applications
<b>Purpose of the Collection:</b>	Health plans must meet certain regulatory requirements to enter into a contract with CMS to provide health benefits to Medicare beneficiaries. These applications are the collection forms to obtain the information from a health plan that will allow CMS staff to determine compliance with the regulations.
<b>Why the increase occurred:</b>	HHS created this new application to comply with Title II of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003.
<b>Change in Burden:</b>	20,100 hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173).
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-0936
<b>Title:</b>	Medicare Advantage Applications for Part D
<b>Purpose of the Collection:</b>	The Application for Prescription Drug Plans (PDP) , the Application Medicare Advantage Prescription Drug (MA-PD), and the Application for Cost Plans to Offer a Qualified Prescription Drug Coverage are completed by entities seeking

	approval to offer Part D Benefits under the Medicare Prescription Drug Benefit program.
<b>Why the increase occurred:</b>	HHS created this new application to comply with Title I of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003.
<b>Change in Burden:</b>	20,081 hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173).
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-0941
<b>Title:</b>	Medicare Care Management Performance Demonstration
<b>Purpose of the Collection:</b>	The Centers for Medicare & Medicaid Services intends to use these forms to collect information needed to implement Congressionally-mandated and high priority Medicare demonstrations. These forms in will be used to reward physician groups for improvements in the quality of care and services delivered to Medicare fee-for-service beneficiaries.
<b>Why the increase occurred:</b>	HHS imposed this new requirements in compliance with Section 649 of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003
<b>Change in Burden:</b>	15,200 hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173).
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-0944
<b>Title:</b>	Bid Pricing Tool for Medicare Advantage Plans and Prescription Drug Plans
<b>Purpose of the Collection:</b>	Under the Medicare Modernization Act, Medicare Advantage Organizations (MAO) and Prescription Drug Plans (PDP) are required to submit an actuarial pricing bid to CMS for approval. This software is used by MAOs and PDPs to price their plan benefit package. This software is used by CMS to review and approve the plan pricing proposed by each organization.
<b>Why the increase occurred:</b>	This is a new requirement imposed as part of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003
<b>Change in Burden:</b>	12,050 hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173).
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-0949
<b>Title:</b>	Health Insurance Reform: Security Standards; Final Rule
<b>Purpose of the Collection:</b>	This final rule adopts standards for the security of electronic protected health information to be implemented by health plans, health care clearinghouses and certain health care providers. The use of the security standards will improve the Medicare and Medicaid programs, and other Federal health programs, and private health programs.
<b>Why the increase occurred:</b>	HHS imposed this new requirement in compliance with HIPAA.
<b>Change in Burden:</b>	64,539,263 hours
<b>Statute Title and Public Law:</b>	Health Insurance Portability and Accountability Act of 1996 (P.L. 104-191)
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-0951
<b>Title:</b>	Collection of Drug Pricing and Network Pharmacy Data from Medicare Prescription Drug Plans
<b>Purpose of the Collection:</b>	Both stand-alone prescription drug plans (PDPs) and Medicare Advantage Prescription Drug (MA-PDs) plans are required to submit drug pricing and pharmacy network data to CMS. These data are publicly available to people with Medicare through the new Medicare prescription drug plan finder tool at

	<a href="http://www.medicare.gov">www.medicare.gov</a> . The purpose of the data is to enable people with Medicare to compare, learn, select and enroll in a plan that best meets their needs.
<b>Why the increase occurred:</b>	HHS imposed this new requirements in compliance with Title I and Title II of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003.
<b>Change in Burden:</b>	36,400 hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173).
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-0952
<b>Title:</b>	Federal Funding of Emergency Health Services (Section 1011): Provider Payment Determination and On-Call Cost Forms
<b>Purpose of the Collection:</b>	Section 1011 of MMA provides that the Secretary will establish a process for eligible providers to request payment. The Secretary must directly pay hospitals, physicians, and ambulance providers (including Indian Health Service, Indian tribe and tribal organizations) for their otherwise un-reimbursed costs of providing services required by Section 1867 of the Social Security Act (EMTALA) and related hospital inpatient, outpatient and ambulance services.
<b>Why the increase occurred:</b>	HHS imposed this new requirement in compliance with Section 1011 of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003.
<b>Change in Burden:</b>	634,000 hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173).
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-0957
<b>Title:</b>	Retiree Drug Subsidy Program Application
<b>Purpose of the Collection:</b>	Under the Medicare Prescription Drug, Improvement, Improvement, and Modernization Act (MMA) of 2003, sponsors who offer prescription drug coverage to their qualified covered retirees are eligible to receive a 28% tax-free subsidy for allowable drug costs. In order to qualify, plan sponsors must submit a complete application to CMS with a list of retirees for whom it intends to collect the subsidy.
<b>Why the increase occurred:</b>	HHS imposed this new requirement in compliance with Subpart R of the MMA
<b>Change in Burden:</b>	2,025,000 hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173).
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-0958
<b>Title:</b>	Monthly State File of Medicaid/Medicare Dual Eligible Enrollees
<b>Purpose of the Collection:</b>	The monthly file of dual eligible enrollees will be used to determine those duals with drug benefits for the phased down State contribution process.
<b>Why the increase occurred:</b>	HHS imposed this new requirement in compliance with Section 103 of the Medicare Modernization Act.
<b>Change in Burden:</b>	10,710 hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173).
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-0960
<b>Title:</b>	CMS Real-time Eligibility Agreement and MDCN Access Request
<b>Purpose of the Collection:</b>	Federal law requires that CMS take precautions to minimize the security risk to federal information systems. The information will be used to assure that those entities that access the Medicare database are aware of applicable provisions and penalties.

<b>Why the increase occurred:</b>	HHS imposed this new requirement in compliance with HIPAA Section 164.312(a)(2)(i)
<b>Change in Burden:</b>	45,000 hours
<b>Statute Title and Public Law:</b>	Health Insurance Portability and Accountability Act of 1996 (P.L. 104-191)
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0970-0289
<b>Title:</b>	Annual Report; on Progress – University Centers for Excellence in Developmental Disabilities Education, Research, and Service
<b>Purpose of the Collection:</b>	The Act requires that grantees be monitored through an accountability system in addition to an annual report.
<b>Why Increase Occurred:</b>	Compliance with the Act.
<b>Change in Burden:</b>	61,000 hours
<b>Statute Title and Public Law:</b>	Development Disabilities Assistance and Bill of Rights Act of 2000 (P.L. 106-402)
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0970-0287
<b>Title:</b>	Fourth National Incidence Study of Child Abuse and Neglect (NIS-4) 2nd submission
<b>Purpose of the Collection:</b>	Provide national estimates of child maltreatment in the United States
<b>Why Increase Occurred:</b>	Compliance with the Act
<b>Change in Burden:</b>	13,845 hours
<b>Statute Title and Public Law:</b>	Keeping Child and Families Safe Act of 2003 (P.L. 108-36)
<b>Agency:</b>	Department of Homeland Security
<b>OMB Control Number:</b>	1651-0088
<b>Title:</b>	Passenger and Crew Manifest for Passenger Flights
<b>Purpose of the Collection:</b>	To ensure aviation safety as well as safety for sea carriers.
<b>Why Increase Occurred:</b>	New statutes.
<b>Change in Burden:</b>	304,209 hours
<b>Statute:</b>	Enhanced Border Security and Visa Reform Act 2002 (P.L. 107-173); and the Aviation and Transportation Security Act of 2001 (P.L. 107-71)
<b>Agency:</b>	Department of Homeland Security
<b>OMB Control Number:</b>	1652-0019
<b>Title:</b>	Registered Traveler (RT) Pilot Program
<b>Purpose of the Collection:</b>	To collect information from individuals who agreed to participate in the program, and to verify identity, complete a name-based security threat assessment and issue an identification token.
<b>Why Increase Occurred:</b>	New program.
<b>Change in Burden:</b>	38,384 hours
<b>Statute Title and Public Law:</b>	Aviation and Transportation Security Act of 2001 (P.L. 107-71).
<b>Agency:</b>	Department of Homeland Security
<b>OMB Control Number:</b>	1652-0023
<b>Title:</b>	Transportation Worker Identification Credential (TWIC) Prototype
<b>Purpose of the Collection:</b>	To evaluate certain technologies and business processes in development of the TWIC Program Concept, which, if approved, will provide for a single, uniform credential nationwide for transportation workers who require access to secure transportation areas.
<b>Why Increase Occurred:</b>	New program.
<b>Change in Burden:</b>	73,633 hours
<b>Statute Title and Public Law:</b>	USA PATRIOT Act (P.L. 107-56); Aviation and Transportation Security Act of 2001 (P.L. 107-71).

<b>Agency</b>	Department of Homeland Security
<b>OMB Control Number:</b>	1652-0027
<b>Title:</b>	Security Threat Assessment for Individuals Applying for a Hazardous Materials Endorsement for a Commercial Drivers' License
<b>Purpose of the Collection:</b>	To collect information on individuals who hold, apply for, transfer or renew a hazardous material (hazmat) endorsement on their commercial drivers' license.
<b>Why Increase Occurred:</b>	Statutory requirement of the USA Patriot Act.
<b>Change in Burden:</b>	411,800 hours and \$14,750,000
<b>Statute Title and Public Law:</b>	USA PATRIOT Act (P.L. 107-56).
<b>Agency:</b>	Department of Homeland Security
<b>OMB Control Number:</b>	1652-0032
<b>Title:</b>	Transportation Security Screener Medical Questionnaire
<b>Purpose of the Collection:</b>	Obtain medical information from applications for employment as screeners to evaluate their qualifications for the position.
<b>Why Increase Occurred:</b>	Legislative requirement implementing minimum physical abilities standards for persons who work as airport security screeners.
<b>Change in Burden:</b>	11,430 hours
<b>Statute Title and Public Law:</b>	Aviation and Transportation Security Act of 2001 (P.L. 107-71).
<b>Agency:</b>	Department of Homeland Security
<b>OMB Control Number:</b>	1652-0033
<b>Title:</b>	Airspace Waiver Applications
<b>Purpose of the Collection:</b>	Enables TSA to conduct security threat assessments on individuals and aircraft operators who apply for a waiver seeking permission to fly in restricted airspace.
<b>Why Increase Occurred:</b>	The burden increase stems from a security need to ensure those individuals who are on board or piloting flights that will be entering restricted airspace do not pose a known threat to transportation or national security or have a criminal record.
<b>Change in Burden:</b>	42,000 hours
<b>Statute Title and Public Law:</b>	Aviation and Transportation Security Act of 2001 (P.L. 107-71)
<b>Agency:</b>	Department of Homeland Security
<b>OMB Control Number:</b>	1652-0035
<b>Title:</b>	Enhanced Security Procedures at Ronald Reagan Washington National Airport (DCA)
<b>Purpose of the Collection:</b>	To collect security information for all general aviation operations out of DCA, to conduct background checks/security threat assessments.
<b>Why Increase Occurred:</b>	New requirements to allow for and ensure the security of general aviation operations at DCA.
<b>Change in Burden:</b>	13,297 hours and \$1,034,000
<b>Statute Title and Public Law:</b>	Vision 100-Century of Aviation Reauthorization Act (P.L. 108-176)
<b>Agency:</b>	Department of the Interior
<b>OMB Control Number:</b>	1076-0165
<b>Title:</b>	Application to Share in the Western Shoshone Funds as a Lineal Descendent of the Western Shoshone Identifiable Group, 25 CFR Part 61
<b>Purpose of the Collection:</b>	To provide lineal descendents opportunity to apply for enrollment to receive judgment funds.
<b>Why Increase Occurred:</b>	Responding to court order to develop roll of eligible recipients.
<b>Change In Burden:</b>	56,000 hours
<b>Statute Title and Public Law:</b>	Western Shoshone Claims Distribution Act of 2004 (P.L. 108-270).
<b>Agency:</b>	Department of Labor
<b>OMB Control Number:</b>	1215-0197
<b>Title:</b>	Energy Employees Occupational Illness Compensation Program Act Forms

<b>Purpose of the Collection:</b>	The forms are required to determine a claimant's eligibility for benefits under the Energy Employee Occupational Illness Compensation Program Act of 2000.
<b>Why Increase Occurred:</b>	Congress amended EEOICPA to extend benefit coverage to Department of Energy (DOE) contractor employees and Radiation Exposure Compensation Act (RECA) section 5 workers who contracted illnesses due to their exposures to toxic substances as a result of employment at a DOE facility or a RECA section 5 facility.
<b>Change in Burden:</b>	17,883 hours
<b>Statute Title and Public Law:</b>	The Ronald W. Reagan National Defense Authorization Act for Fiscal Year 2005 (P.L. 108-375)
<b>Agency:</b>	Department of State
<b>OMB Control Number:</b>	1405-0160
<b>Title:</b>	U.S. Passport Re-Application Form
<b>Purpose of the Collection:</b>	To permit application for a replacement full-validity passport within 1 year of passport issuance based on a change of name, incorrect data, or the emergency issuance abroad of a 1-year full-fee passport.
<b>Why Increase Occurred:</b>	New statute.
<b>Change in Burden:</b>	25,000 hours
<b>Statute Title and Public Law:</b>	Enhanced Border Security Act of 2002 (P.L. 107-173).
<b>Agency:</b>	Department of Transportation
<b>OMB Control Number:</b>	2120-0691
<b>Title:</b>	Aircraft Assembly Placard Requirements
<b>Purpose of the Collection:</b>	Aircraft operators that are required to provide emergency evacuation procedures in the form of a seat-back pocket card must have a statement on the card stating the country of origin of final assembly of the aircraft.
<b>Why Increase Occurred:</b>	This information disclosure is required by a new statute.
<b>Change in Burden:</b>	13,313 hours
<b>Statute Title and Public Law:</b>	FAA Reauthorization Act (P.L. 108-176).
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1545-0028
<b>Title:</b>	Form 940, Employer's Annual Federal Unemployment (FUTA) Tax Return
<b>Purpose of the Collection:</b>	Form 940 is used by employers to report and pay their annual FUTA tax.
<b>Why Increase Occurred:</b>	The form was changed to account for a reduction in an allowable credit.
<b>Change in Burden:</b>	2,530,800 hours
<b>Statute Title and Public Law:</b>	Emergency Unemployment Compensation Program (P.L. 102-318)
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1545-0074
<b>Title:</b>	Form 1040, U.S. Individual Income Tax Return
<b>Purpose of the Collection:</b>	To be used by taxpayers to file their individual income tax return
<b>Why Increase Occurred:</b>	The form was changed to account for deduction for educator expenses and to account for nonrefundable credits.
<b>Change in Burden:</b>	1,577,261 hours
<b>Statute Title and Public Law:</b>	Working Families Tax Relief Act of 2004 (P.L. 108-311)
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1545-0085
<b>Title:</b>	Form 1040A, U.S. Individual Income Tax Return
<b>Purpose of the Collection:</b>	To be used by taxpayers to file their individual income tax return
<b>Why Increase Occurred:</b>	The form was changed to account for the deduction for educator expenses and to account for nonrefundable credits.
<b>Change in Burden:</b>	444,152 hours
<b>Statute Title and Public Law:</b>	Working Families Tax Relief Act of 2004 (P.L. 108-311)



<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1545-0085
<b>Title:</b>	Form 1040A, U.S. Individual Income Tax Return
<b>Purpose of the Collection:</b>	To be used by taxpayers to file their individual income tax return.
<b>Why Increase Occurred:</b>	The form was changed to account for deduction for the nontaxable combat pay election of the Earned Income Credit.
<b>Change in Burden:</b>	528,044 hours
<b>Statute Title and Public Law:</b>	Working Families Tax Relief Act of 2004 (P.L. 108-311)
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1545-0099
<b>Title:</b>	Form 1065, U.S. Return of Partnership Income
<b>Purpose of the Collection:</b>	Form 1065 is used by partnerships to report income from the partnership.
<b>Why Increase Occurred:</b>	The form was changed based on changes mandated in tax law.
<b>Change in Burden:</b>	6,302,039 hours
<b>Statute Title and Public Law:</b>	American Jobs Creation Act of 2004 (P.L. 108-357)
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1545-0115
<b>Title:</b>	Form 1099-MISC, Certain Government Payments
<b>Purpose of the Collection:</b>	Form 1099-MISC is used to report government payment to taxpayers.
<b>Why Increase Occurred:</b>	The form was changed based on changes mandated in tax law.
<b>Change in Burden:</b>	2,384,425 hours
<b>Statute Title and Public Law:</b>	American Jobs Creation Act of 2004 (P.L. 108-357)
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1545-0120
<b>Title:</b>	Form 1099-G, Certain Government Payments
<b>Purpose of the Collection:</b>	Form 1099-G is used to report government payment to taxpayers.
<b>Why Increase Occurred:</b>	The form was changed based on changes mandated in tax law.
<b>Change in Burden:</b>	610,000 hours
<b>Statute Title and Public Law:</b>	Trade Act of 2002 (P.L. 107-210)
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1545-0142
<b>Title:</b>	Form 2220, Underpayment of Estimated Tax by Corporations
<b>Purpose of the Collection:</b>	Form 2220 is used to report underpayment of estimated tax by Corporations.
<b>Why Increase Occurred:</b>	The form was changed based on changes mandated in tax law.
<b>Change in Burden:</b>	412,074 hours
<b>Statute Title and Public Law:</b>	Jobs and Growth Tax Relief Reconciliation Act of 2003 (P.L. 108-27)
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1545-0227
<b>Title:</b>	Form 6251, Alternative Minimum Tax - Individuals
<b>Purpose of the Collection:</b>	Form 6251 is used by taxpayers to figure the amount of alternative minimum tax.
<b>Why Increase Occurred:</b>	The form was changed based on changes mandated in tax law.
<b>Change in Burden:</b>	463,430 hours
<b>Statute Title and Public Law:</b>	Jobs and Growth Tax Relief Reconciliation Act of 2003 (P.L. 108-27); American Jobs Creation Act of 2004 (P.L. 108-357)
<b>Agency:</b>	Department of the Treasury

**OMB Control Number:** 1545-0675  
**Title:** Form 1040-EZ, Income tax Return for Single and Joint Filers With No Dependents  
**Purpose of the Collection:** To be filed by those with no dependents who otherwise qualify to use this form.  
**Why Increase Occurred:** Form 1040-EZ was changed by adding new line for nontaxable combat pay election as mandated by Working Families Tax Relief Act of 2004, section 104.  
**Change in Burden:** 656,952 hours  
**Statute Title and Public Law:** Working Families Tax Relief Act of 2004 (P.L 108-311)

**Agency:** Department of the Treasury  
**OMB Control Number:** 1545-1911  
**Title:** Form 8889, Health Savings Account (HSAs)  
**Purpose of the Collection:** To report health savings account contributions.  
**Why Increase Occurred:** This is a new information collection mandated by PL 108-173 which added new IRC section 223.  
**Change in Burden:** 3,234,000 hours  
**Statute Title and Public Law:** Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173).

**Agency:** Department of the Treasury  
**OMB Control Number:** 1545-1926  
**Title:** Notice 102132-05, Domestic Reinvestment Plans and Other Guidance under Section 965  
**Purpose of the Collection:** For a U.S. shareholder of a controlled foreign corporation to elect an 85% dividend received deduction.  
**Why Increase Occurred:** This is a new information collection that provides guidance under Section 965 of the Internal Revenue Code.  
**Change in Burden:** 3,750,000 hours  
**Statute Title and Public Law:** American Jobs Creation Act of 2004 (P.L. 108-357)

**Agency:** Department of the Treasury  
**OMB Control Number:** 1545-1927  
**Title:** Form 8878-A, IRS e-file Electronic Funds Withdrawal Authorization for Form 7004  
**Purpose of the Collection:** To allow a corporate officer or agent and an electronic return originator use to use a personal identification number (PIN) to authorize an electronic funds withdrawal of any corporate balance due on Form 7004.  
**Why Increase Occurred:** This is a new information collection that was authorized by PL 105-206, title II, sections 2001 – 2005.  
**Change in Burden:** 505,400 hours  
**Statute Title and Public Law:** Internal Revenue Service Restructuring and Reform Act of 1998 (P.L. 105-206)

**Agency:** Department of the Treasury  
**OMB Control Number:** 1545-1943  
**Title:** Notice 123059-05, Section 965 – Limitation on Dividends Received Deduction and Other Guidance  
**Purpose of the Collection:** To provide guidance for a corporation that is a U.S. shareholder of a controlled foreign corporation (CFC) to elect an 85% dividend received deduction for one year.  
**Why Increase Occurred:** This is a new information collection.  
**Change in Burden:** 1,250,000 hours  
**Statute Title and Public Law:** American Jobs Creation Act of 2004 (P.L. 108-357)

**Agency:** Environmental Protection Agency  
**OMB Control Number:** 2050-0197  
**Title:** Standards and Practices for All Appropriate Inquiries

<b>Purpose of the Collection:</b>	Application for benefits/Regulatory compliance
<b>Why Increase Occurred:</b>	This collection responds to the 2002 amendments to CERCLA.
<b>Change in Burden:</b>	546,179 hours
<b>Statute Title and Public Law:</b>	Small Business Liability Relief and Brownfields Revitalization Act of 2002 (P.L. 107-118)
<b>Agency:</b>	Federal Communications Commission
<b>OMB Control Number:</b>	3060-0519
<b>Title:</b>	Rules and Regulation Implementing the Telephone Consumer Protection Act (TCPA) of 1991, CG Docket No. 02-278.
<b>Purpose of the Collection:</b>	The Do-Not-Call Implementation Act required the Federal Communications Commission to consult and coordinate with the Federal Trade Commission (FTC) to “maximize consistency” between implementation of the TCPA and the FTC’s 2002 Telemarketing Sales Rule. The information collection includes a national-do-not-call registry of for consumers who wish to avoid unwanted telemarketing calls. The consumer do-not-call registry supplements company specific do-not-call rules. The Commission also adopted a provision to permit consumers, through an express written agreement, to provide permission for specific companies to call them.
<b>Why Increase Occurred:</b>	Amended recordkeeping requirements.
<b>Change in Burden:</b>	123,000 hours
<b>Statute Title and Public Law:</b>	Do-Not-Call Implementation Act of 2003 (P.L. 108-10)
<b>OMB Control Number:</b>	3060-1078
<b>Title:</b>	Rule and Regulations Implementing the Controlling the Assault of Non-Solicited Pornography and Marketing Act (CAN-SPAM) of 2003, CG Docket No. 04-53
<b>Purpose of the Collection:</b>	To address the growing number of unwanted commercial electronic mail messages, which Congress determined to be costly, inconvenient, and often fraudulent or deceptive. The Federal Communications Commission is authorized to enforce its provisions of the Communications Act of 1934, as amended, and to promulgate rules to protect consumers from unwanted mobile service commercial messages.
<b>Why Increase Occurred:</b>	New regulations.
<b>Change in Burden:</b>	115,645,100 hours, \$37,105,000
<b>Statute Title and Public Law:</b>	Controlling the Assault of Non-Solicited Pornography and Marketing Act (CAN-SPAM) of 2003 (P.L. 108-187)
<b>Agency:</b>	Federal Energy Regulatory Commission
<b>OMB Control Number:</b>	1902-0128
<b>Title:</b>	Gas Pipeline Certificates: Environmental Impact Statement, RM05-31 NOPR, Regulations Implementing EPCAct 2005, Pre-Filing Procedures
<b>Purpose of the Collection:</b>	To require prospective applicants for authorization to construct LNG terminals to comply with FERC’s pre-filing review process.
<b>Why Increase Occurred:</b>	New statutory requirement.
<b>Change in Burden:</b>	28,040 hours
<b>Statute Title and Public Law:</b>	Energy Policy Act of 2005 (P.L. 109-58)
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-0229
<b>Title:</b>	Application for Supplemental Security Income
<b>Purpose of the Collection:</b>	To determine eligibility for Supplemental Security Income payments and the amount of benefits payable.
<b>Why Increase Occurred:</b>	The annual reporting burden per form has increased by 1 minute because SSA added one question this statutory change.
<b>Change in Burden:</b>	18,807 hours

<b>Statute Title and Public Law:</b>	The Foster Care Independence Act of 1999 (P.L. 106-169)
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-0644
<b>Title:</b>	Ticket to Work and Self-Sufficiency Program
<b>Purpose of the Collection:</b>	The Ticket to Work Program provides expanded opportunities to Title II/Title XVI disability beneficiaries and recipients to obtain services necessary to find, begin, and retain employment.
<b>Why Increase Occurred:</b>	The increase occurred because of the expansion of this program to additional states, providers and beneficiaries.
<b>Change in Burden:</b>	791,704 hours
<b>Statute Title and Public Law:</b>	Ticket to Work and Work Incentives Improvement Act of 1999 (P.L. 106-170)
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-0695
<b>Title:</b>	Appeal of Determination for Help with Medicare Prescription Drug Plan Costs
<b>Purpose of the Collection:</b>	Form SSA-1021 is used by Medicare recipients to appeal SSA's determination decisions about their application for assistance with the new Medicare Part D costs.
<b>Why Increase Occurred:</b>	This is a new form which implements the subsidy determination provisions of the Medicare Modernization Act of 2003.
<b>Change in Burden:</b>	12,500 hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173).
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-0696
<b>Title:</b>	Application for Help with Medicare Prescription Drug Plan Costs
<b>Purpose of the Collection:</b>	Medicare beneficiaries will use form SSA-1020 to apply for subsidization of the new Medicare Prescription Drug Plan.
<b>Why Increase Occurred:</b>	This is a new form which implements the subsidy determination provisions of the Medicare Modernization Act of 2003.
<b>Change in Burden:</b>	2,916,667 hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173).
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-0703
<b>Title:</b>	Application for Help with Medicare Prescription Drug Plan Costs (subsidy change redeterminations)
<b>Purpose of the Collection:</b>	Current recipients of the Medicare Part D subsidy will use Form SSA-1020-SC to re-apply for benefits when a potentially subsidy-changing life event occurs.
<b>Why Increase Occurred:</b>	This is a new form required by the new Medicare Part D benefits.
<b>Change in Burden:</b>	44,333 hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173).
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-0705
<b>Title:</b>	Application for Help with Medicare Prescription Drug Plan Costs (Internet/Intranet versions)
<b>Purpose of the Collection:</b>	To allow Medicare beneficiaries to apply for the Medicare Part D subsidy via the Internet and electronically in field offices, respectively.
<b>Why Increase Occurred:</b>	This is the electronic version of new form SSA-1020, which implements the subsidy determination provisions of the Medicare Modernization Act of 2003.
<b>Change in Burden:</b>	1,500,000 hours

<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173).
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-0707
<b>Title:</b>	Medicare Quality Review System
<b>Purpose of the Collection:</b>	To conduct phone interviews with selected applicants for the Medicare Part D Subsidy to confirm information from their applications.
<b>Why Increase Occurred:</b>	These forms are used to implement the quality review requirement of the Medicare Modernization Act of 2003.
<b>Change in Burden:</b>	16,416 hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173).
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-0708
<b>Title:</b>	Medicare Modernization Act Subsidy Application Mailing Follow-Up Survey
<b>Purpose of the Collection:</b>	To increase awareness about the subsidy and its application form.
<b>Why Increase Occurred:</b>	These calls were used to increase awareness of the Medicare Part D subsidy.
<b>Change in Burden:</b>	625,000 hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173).

### **FY 2005 Burden Increases from Miscellaneous Actions**

<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0560-0246
<b>Title:</b>	Ewe Lamb Replacement and Retention Payment Program (ELRRPP)
<b>Purpose of the Collection:</b>	To gather information from producers on their sheep and lamb operations to establish eligibility and to determine payment amounts.
<b>Why Increased Occurred:</b>	New program to reestablish farmers' purchasing power.
<b>Change in Burden:</b>	27,540 hours
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0560-0247
<b>Title:</b>	Florida Citrus Disaster Program, Florida Vegetable and Tropical Fruit Disaster Program; Florida Nursery Disaster Program
<b>Purpose of the Collection:</b>	Florida producers who suffered losses as a result of Hurricanes Charley, Frances and/or Jeanne applied for assistance by certifying such losses on forms.
<b>Why Increased Occurred:</b>	New program to reestablish producers' purchasing power.
<b>Change in Burden:</b>	11,500 hours
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0560-0253
<b>Title:</b>	Transfer of Farm Records Between Counties
<b>Purpose of the Collection:</b>	The Transfer of Farm Records Between Counties is required when a producer wishes to transfer a farm from one county to another.
<b>Why Increase Occurred:</b>	The new collection of information is used to assist county offices in documenting which farm is being transferred, which county it is being transferred to, and why is it being transferred.
<b>Change in Burden:</b>	29,175 hours
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0575-0184

<b>Title:</b>	Forms RD 3550-28, "Authorization Agreement for Preauthorization Payments", RD 1951-65, "Customer Initiated Payments (CIP)" and RD 1951-66, "Fedwire Worksheet"
<b>Purpose of the Collection:</b>	These forms are used to obtain bank routing information from the borrower's financial institution to establish an electronic loan payment plan.
<b>Why Increase Occurred:</b>	The agency continues to expand its electronic payment collection capability.
<b>Change in Burden:</b>	10,532 hours
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0579-0225
<b>Title:</b>	Importation of Solid Wood Packing Material
<b>Purpose of the Collection:</b>	To verify proper treatment of Solid Wood Packing Material (SWPM) for the International Plant Protection Convention international mark required by the regulations.
<b>Why Increase Occurred:</b>	New program required to address evolving pest threat.
<b>Change in Burden:</b>	40,590 hours
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0579-0234
<b>Title:</b>	Bovine Spongiform Encephalopathy (BSE); Minimal Risk Regions
<b>Purpose of the Collection:</b>	Allowing the importation of certain live ruminants and ruminant products from BSE Minimal Risk Regions requires signing of certification statements, permits, ear-tagging and branding, and placing of seals on certain conveyances.
<b>Why Increase Occurred:</b>	New program required because of BSE findings in Canada.
<b>Change in Burden:</b>	270,000 hours
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0579-0259
<b>Title:</b>	National Animal Identification System
<b>Purpose of the Collection:</b>	To identify individual animals or groups, the premises where they are located, and the date of entry to each premise.
<b>Why Increase Occurred:</b>	This is a new program needed to achieve timely animal trace-backs and trace-forwards when responding to an animal disease concern.
<b>Change in Burden:</b>	32,168 hours
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0596-0178
<b>Title:</b>	State Petitions for Roadless Management
<b>Purpose of the Collection:</b>	To collect specific information on the existing and proposed management requirements for inventoried roadless areas within a specific state.
<b>Why Increase Occurred:</b>	New regulatory requirement to provide a petitioning process that would provide Governors an opportunity to seek establishment of management requirements for National Forest System inventoried roadless areas within their States.
<b>Change in Burden:</b>	39,000 hours
<b>Agency:</b>	Department of Commerce
<b>OMB Control Number:</b>	0607-0422
<b>Title:</b>	Census Bureau Service Annual Survey
<b>Purpose of the collection:</b>	Measures the total output of most service industries annually. Survey data are critical to the accurate measurement of total economic activity.
<b>Why Increase Occurred:</b>	Census expanded coverage of the survey.
<b>Change in Burden:</b>	33,782 hours
<b>Agency:</b>	Department of Commerce
<b>OMB Control Number:</b>	0607-0914
<b>Title:</b>	2005 Census Survey of Maricopa County, AZ

<b>Purpose of the collection:</b>	To provide Maricopa County, AZ updated population and housing unit information from the Census 2000.
<b>Why Increase Occurred:</b>	This is a new collection.
<b>Change in Burden:</b>	12,042 hours
<b>Agency:</b>	Department of Commerce
<b>OMB Control Number:</b>	0607-0915
<b>Title:</b>	2005 National Census Test
<b>Purpose of the collection:</b>	Test of forms and procedures for the 2010 Census.
<b>Why Increase Occurred:</b>	This new collection is part of the preparations for the upcoming decennial Census.
<b>Change in Burden:</b>	70,000 hours
<b>Agency:</b>	Department of Commerce
<b>OMB Control Number:</b>	0607-0916
<b>Title:</b>	2005 National Census Test Coverage Followup
<b>Purpose of the collection:</b>	Test of forms and procedures for the 2010 Census.
<b>Why Increase Occurred:</b>	This new collection is part of the preparations for the upcoming decennial Census.
<b>Change in Burden:</b>	10,000 hours
<b>Agency:</b>	Department of Commerce
<b>OMB Control Number:</b>	0607-0917
<b>Title:</b>	American Community Survey (ACS) Content Test
<b>Purpose of the collection:</b>	Test of questions and format to help determine final content of 2008 and beyond ACS questionnaire.
<b>Why Increase Occurred:</b>	This new collection is part of the preparations for the upcoming ACS.
<b>Change in Burden:</b>	56,933 hours
<b>Agency:</b>	Department of Commerce
<b>OMB Control Number:</b>	0610-0105
<b>Title:</b>	Trade Adjustment Assistance Plans
<b>Purpose of the Collection:</b>	A firm certified for Trade Adjustment Assistance works with one of eleven EDA-funded (through a cooperative agreement) Trade Adjustment Assistance Centers (“TAACs”) to develop an adjustment proposal.
<b>Why Increase Occurred:</b>	EDA’s recent interim final rule includes a formal content review and approval by EDA for Trade Adjustment Assistance proposals. EDA estimates that it takes approximately 120 hours per response to prepare.
<b>Change in Burden:</b>	21,600 hours
<b>Agency:</b>	Department of Commerce
<b>OMB Control Number:</b>	0651-0012
<b>Title:</b>	Admittance to Practice and Roster of Registered Patent Attorneys and Agents Admitted to Practice Before the United States Patent and Trademark Office
<b>Purpose of the Collection:</b>	Applicants use this collection to apply for the examination for registration and to demonstrate their eligibility as a registered attorney or agent before the United States Patent and Trademark Office (USPTO).
<b>Why Increase Occurred:</b>	A final rule that added new requirements to the rules on the enrollment and recognition of individuals to practice as attorneys and agents before the USPTO in patent, trademark and other non-patent matters, and improvements in the handling of applications for registration and petitions.
<b>Change in Burden:</b>	42,149 hours
<b>Agency:</b>	Department of Commerce
<b>OMB Control Number:</b>	0651-0027
<b>Title:</b>	Recording Assignments

<b>Purpose of the Collection:</b>	To allow for the transfer of ownership of applications, patents and trademark registrations from one entity to another.
<b>Why Increase Occurred:</b>	New electronic filing initiatives allow customers to submit assignment documents online through the USPTO web site. The USPTO estimates that in FY 2005 it received 29,627 patent and trademark assignment submissions using these new electronic forms. The increase in annual costs consists of associated filing fees and recordkeeping costs.
<b>Change in Burden:</b>	14,814 hours
<b>Agency:</b>	Department of Defense
<b>OMB Control Number:</b>	0704-0434
<b>Title:</b>	Defense Federal Acquisition Regulation Supplement (DFARS) Radio Frequency Identification Advance Shipment Notice.
<b>Purpose of the Collection:</b>	Requirement for DoD contractors to submit an additional data element in the advance shipment notice to DoD for shipments requiring passive Radio Frequency Identification (RFID). DoD needs the data element in the advance shipment notice to permit association of RFID tag data with the corresponding shipment.
<b>Why Increase Occurred:</b>	This is a new requirement.
<b>Change in Burden:</b>	21,038 hours
<b>Agency:</b>	Department of Education
<b>OMB Control Number:</b>	1810-0668
<b>Title:</b>	21st Century Community Learning Centers Annual Performance Report
<b>Purpose of the Collection:</b>	Program and site coordinators provide information about the number of applicants and awards along with performance measures, and State administrative and technical assistance funds allocated to States to support the program.
<b>Why Increase Occurred:</b>	This collection combines all necessary report sections. The number of submissions was reduced and the need for narrative reporting through the use of checkboxes and drop-down menus was minimized.
<b>Change in Burden:</b>	27,400 hours
<b>Agency:</b>	Department of Education
<b>OMB Control Number:</b>	1850-0768
<b>Title:</b>	2005 National Household Education Surveys Program (NHES: 2005)
<b>Purpose of the Collection:</b>	To provide current measures of participation in early childhood education, after school programs, other forms of non-parental care, as well as in home and out-of-home activities, and provide in-depth information on adult participation in a wide range of training and education activities.
<b>Why Increase Occurred:</b>	The number of respondents increased as the study went from a field test to a full-scale study.
<b>Change in Burden:</b>	11,361 hours
<b>Agency:</b>	Department of Education
<b>OMB Control Number:</b>	1890-0004
<b>Title:</b>	U.S. Department of Education Budget Information – Non-Construction Programs Form, Grant Performance Report Form and Education Department General Administrative Regulations (EDGAR) Administrative Requirements
<b>Purpose of the Collection:</b>	Grantees provide information for this generic grant collection necessary for the award and administration of discretionary and some formula grants.
<b>Why Increase Occurred:</b>	An increase incurred for two reasons: (1) the number of grant programs using this collection increased; and, (2) recordkeeping and reporting requirements increased as questions were added to capture GPRA performance measures.
<b>Change in Burden:</b>	200,000 hours



**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0910-0555  
**Title:** Presubmission Conference  
**Purpose of the Collection:** The regulation establishes the procedures for requesting, conducting and documenting presubmission conferences. Presubmission conferences give FDA and a potential applicant a means to identify the least burdensome appropriate requirements that have a reasonable likelihood of resulting in approval.  
**Why Increase Occurred:** New Collection  
**Change in Burden:** 27,740 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0938-0930  
**Title:** Medicare Authorization to Disclose Health Information  
**Purpose of the Collection:** Medicare beneficiaries will use the “Medicare Authorization to Disclose Personal Health Information” to authorize Medicare to disclose their protected health information to a third party.  
**Why Increase Occurred:** New regulation.  
**Change in Burden:** 250,000 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0938-0969  
**Title:** Medicare Program: Complex Medical Review  
**Purpose of the Collection:** Medicare contractors conduct complex medical review to determine whether items or services billed are covered, correctly coded, and are reasonable and necessary for the condition of the patient. Under complex medical review the provider or supplier must submit a copy of the medical records  
**Why Increase Occurred:** New Collection. (CMS-6022-P)  
**Change in Burden:** 483,333 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0938-0846  
**Title:** Physician's Referrals to Health Care Entities With Which They Have Financial Relationships  
**Purpose of the Collection:** Under section 1877, if a physician or a member of a physician's immediate family has a financial relationship with a healthy care entity, the physician may not refer Medicare patients to that entity for the furnishing of 11 designated health services, unless an exception applies.  
**Why Increase Occurred:** Requirements in CMS-1810-IFC were revised which increased burden.  
**Change in Burden:** 422,007 hours

**Agency:** Department of Health and Human Services  
**OMB Control Number:** 0910-0553  
**Title:** Recommended Glossary and Educational Outreach to Support Use of Symbols on Labels and in Labeling of In Vitro Diagnostic (IVD) Devices Intended for Professional Use  
**Purpose of the Collection:** The purpose of this guidance is to help IVD manufacturers to create uniform labels and labeling for the United States and European Union (and any other countries that may permit use of symbols from these international standards), instead of needing designated labels for each marketplace. Because symbols take up less space than the text for which they may substitute, the use of symbols promotes less crowded and more legible IVD labels.  
**Why Increase Occurred:** New collection.  
**Change in Burden:** 34,840

**Agency:** Department of Health and Human Services

<b>OMB Control Number:</b>	0910-0564
<b>Title:</b>	Performance Standard for Diagnostic X-ray Systems and their Major Components
<b>Purpose of the Collection:</b>	Users of fluoroscopic x-ray systems will use the information provided to select appropriate modes of operation of the equipment and to understand the patient radiation dose implications of selected operational parameters.
<b>Why Increase Occurred:</b>	This is a new collection.
<b>Change in Burden:</b>	54,000 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0910-0559
<b>Title:</b>	Current Good Tissue Practice (CGTP) for Human Cell, Tissue, and Cellular and Tissue-Based Product Establishments; Inspection and Enforcement
<b>Purpose of the Collection:</b>	The CGTP information collection provisions are intended to provide: (1) additional measures for preventing the introduction, transmission, or spread of communicable disease; (2) step-by-step consistency in the manufacturing of the product; (3) necessary information to FDA for the purpose of protecting public health and safety; (4) accountability in the manufacturing of cellular and tissue-based products; and (5) information facilitating the tracking of a product back to its original source.
<b>Why Increase Occurred:</b>	New program.
<b>Change in Burden:</b>	283,400 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0915-0140
<b>Title:</b>	Nursing Education Loan Repayment Agreements
<b>Purpose of the collection:</b>	To provide loan repayments to registered nurses for educational loans
<b>Why Increase Occurred:</b>	The Nursing Program substantially increased funding levels for the loan program.
<b>Change in Burden:</b>	52,950 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0915-0275
<b>Title:</b>	Ryan White Comprehensive AIDS Resources Emergency Act of 1990, Title III-IV Client-Level Data Project (CDP) Reporting System
<b>Purpose of the collection:</b>	To collect client level data from Ryan White CARE Act Title III and IV grantees.
<b>Why Increase Occurred:</b>	The project expanded to full operation.
<b>Change in Burden:</b>	25,199 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0920-0666
<b>Title:</b>	National Healthcare Safety Network (NHSN)
<b>Purpose of the collection:</b>	This data will be used to determine the magnitude of various healthcare-associated adverse events and trends in the rates of these events among patients and healthcare personnel with similar risks or exposures. Data will be gathered on antibiotic resistance to describe the epidemiology of antibiotic resistance and to understand the role of antimicrobial therapy to this growing problem.
<b>Why Increase Occurred:</b>	Three surveillance systems (0920-0012, 0920-0442, 0920-0417) were expanded and will be merged into one system over time.
<b>Change in the Burden:</b>	65,817 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0920-0664
<b>Title:</b>	Passenger Locator Forms

<b>Purpose of the Collection:</b>	The Airline Passenger Locator Form will allow the Centers for Disease Control (CDC) to carry out its quarantine activities by providing CDC quarantine stations with quick, accurate, up-to-date contact information for passengers exposed to a communicable disease en route, as well as passengers returning from areas in which an active outbreak is taking place.
<b>Why Increase Occurred:</b>	New submission
<b>Change in the Burden:</b>	225,067 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-0939
<b>Title:</b>	Medicare Advantage Applications for Part D
<b>Purpose of the Collection:</b>	The information collected will be used to validate the Medicare payment amounts for those products/services and institute revisions of payment amounts where necessary. The respondents will be the companies that have provided the product/service under review to Medicare beneficiaries.
<b>Why the increase occurred:</b>	New Collection.
<b>Change in Burden:</b>	16,000 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0970-0139
<b>Title:</b>	Administration for Children and Families (ACF) Uniform Project Description
<b>Purpose of the Collection:</b>	Generic clearance for discretionary program announcements
<b>Why Increase Occurred:</b>	ACF expanded use of this clearance.
<b>Change in Burden:</b>	10,000 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0970-0273
<b>Title:</b>	Building Strong Families
<b>Purpose of the Collection:</b>	Research study to determine the effect of intervention on unwed parents
<b>Why Increase Occurred:</b>	This is a new study.
<b>Change in Burden:</b>	29,520 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0990-0287
<b>Title:</b>	HHS Research Misconduct Final Rule
<b>Purpose of the Collection:</b>	The information is needed so HHS can carry out its statutory responsibilities under the Public Health Service Act to: (1) ensure that institutions applying funding for research or research training have procedures for responding to allegations of research misconduct; and (2) oversee the implementation of those procedures and the response of those institutions to such allegations.
<b>Why Increase Occurred:</b>	HHS issued a final rule.
<b>Change in Burden:</b>	19,728 hours.
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0990-0291
<b>Title:</b>	Adolescent Family Life Pregnancy Prevention Core Evaluation
<b>Purpose of the Collection:</b>	Adolescent Family Life Pregnancy Prevention Programs provide service to promote abstinence for adolescents. The instruments developed for this evaluation provide core measures of teen attitudes, beliefs, and behavioral intentions.
<b>Why Increase Occurred:</b>	This is a new collection.
<b>Change in Burden:</b>	19,728 hours
<b>Agency:</b>	Department of Homeland Security
<b>Title:</b>	Transportation Security Administration (TSA) Customer Comment Card
<b>OMB Control Number:</b>	1652-0030

<b>Purpose of the Collection:</b>	To allow airport passengers to provide voluntary feedback regarding their experiences with TSA security procedures.
<b>Why Increase Occurred:</b>	TSA creates a new comment card.
<b>Change in Burden:</b>	150,880 hours
<b>Agency:</b>	Department of Homeland Security
<b>Title:</b>	Vulnerability Identification Self-Assessment Tool – Transportation (DHS-VISAT-T)
<b>OMB Control Number:</b>	1652-0037
<b>Purpose of the Collection:</b>	To assist all modes of transportation asset owners/operators in developing a security plan and in performing a vulnerability assessment of their assets.
<b>Why Increase Occurred:</b>	TSA created this new tool.
<b>Change in Burden:</b>	2,401,960 hours
<b>Agency:</b>	Department of Housing and Urban Development
<b>OMB Control Number:</b>	2506-0121
<b>Title:</b>	Relocation and Real Property Acquisition, Recordkeeping Requirements under Uniform Relocation Assistance (URA).
<b>Purpose of the Collection:</b>	Agencies are required to document their compliance with the requirements of URA.
<b>Why Increase Occurred:</b>	A new regulation was published.
<b>Change in Burden:</b>	20,000 hours
<b>Agency:</b>	Department of the Interior
<b>OMB Control Number:</b>	1010-0164
<b>Title:</b>	Damage Caused by Hurricanes–Notice to Lessees and Operators
<b>Purpose of the Collection:</b>	To provide information needed to determine corrective action on Outer Continental Shelf facilities damaged by hurricanes.
<b>Why the Increase Occurred:</b>	The Minerals Management Service created a new collection to cover current and future hurricanes.
<b>Change in Burden:</b>	202,320 hours
<b>Agency:</b>	Department of Labor
<b>OMB Control Number:</b>	1205-0451
<b>Title:</b>	Labor Certification of the Permanent Employment of Aliens in the United States; Implementation of New System
<b>Purpose of the Collection:</b>	To determine whether the Secretary should certify employers' applications under section 212(a)(5)(A) of the Immigration and Nationality Act.
<b>Why Increase Occurred:</b>	This new collection was created as part of a new information system.
<b>Change in Burden:</b>	264,243 hours
<b>Agency:</b>	Department of Justice
<b>OMB Control Number:</b>	1103-0097
<b>Title:</b>	Community Oriented Policing Services (COPS) Budget Detail Worksheets
<b>Purpose of the Collection:</b>	To evaluate each COPS Office applicant's request for funding.
<b>Why Increase Occurred:</b>	The COPS Office developed four standardized documents to be used in conjunction with all COPS Office applications for funding, including the Budget Detail Worksheets.
<b>Change in Burden:</b>	12,400 hours
<b>Agency:</b>	Department of Justice
<b>OMB Control Number:</b>	1103-0098
<b>Title:</b>	Application Attachment to SF-424
<b>Purpose of the Collection:</b>	To determine eligibility for funding under COPS Office grants and cooperative agreements.

<b>Why Increase Occurred:</b>	The COPS Office developed four standardized documents to be used in conjunction with all COPS Office applications for funding.
<b>Change in Burden:</b>	62,000 hours
<b>Agency:</b>	Department of Justice
<b>OMB Control Number:</b>	1117-0010
<b>Title:</b>	U.S. Official Order Forms for Schedule I and II Controlled Substances (Accountable Forms), Order Form Requisition
<b>Purpose of the Collection:</b>	To transfer or purchase Schedule I and II controlled substances. The data is needed to provide an audit of the transfer and purchase.
<b>Why Increase Occurred:</b>	A new system will permit electronic transmission of Schedule I and II controlled substance orders. Capital, operation, and maintenance costs increase as the electronic system phases in with the paper-based system.
<b>Change in Burden:</b>	\$3,412,000
<b>Agency:</b>	Department of Justice
<b>OMB Control Number:</b>	1117-0038
<b>Title:</b>	Reporting and Record Keeping for Digital Certificates
<b>Purpose of the Collection:</b>	These forms are used to apply for DEA-issued digital certificates to order Schedule I and II controlled substances.
<b>Why Increase Occurred:</b>	This is a new collection required by the creation of digital certificates.
<b>Change in Burden:</b>	48,523 hours and \$4,256,000
<b>Agency:</b>	Department of Justice
<b>OMB Control Number:</b>	1121-0301
<b>Title:</b>	National Computer Security
<b>Purpose of the Collection:</b>	NCS collects information from businesses on the nature and prevalence of computer crime, resulting losses, types of computer security measures used, system access, reporting crime to authorities, and the types of offenders.
<b>Why Increase Occurred:</b>	New collection.
<b>Change in Burden:</b>	57,775 hours
<b>Agency:</b>	Department of Justice
<b>OMB Control Number:</b>	1140-0020
<b>Title:</b>	Firearms Transaction Record, Part 1, Over-the-Counter
<b>Purpose of the Collection:</b>	To determine the eligibility of a person receiving a firearm from a Federal firearms licensee and to establish the identity of the buyer.
<b>Why Increase Occurred:</b>	The form was revised to reflect recommended format and substantive changes requested by a variety of stakeholders including licensees and Federal and State law enforcement agencies.
<b>Change in Burden:</b>	852,084 hours
<b>Agency:</b>	Department of Labor
<b>OMB Control Number:</b>	1220-0039
<b>Title:</b>	Consumer Price Index (CPI) Commodities and Services Survey
<b>Purpose of the Collection:</b>	The CPI is used most widely as a measure of inflation, and serves as an indicator of the effectiveness of government economic policy.
<b>Why Increase Occurred:</b>	Revisions enhanced the outlet sample.
<b>Change in Burden:</b>	10,670 hours
<b>Agency:</b>	Department of Labor
<b>OMB Control Number:</b>	1220-0177
<b>Title:</b>	Survey of Workplace Violence Prevention
<b>Purpose of the Collection:</b>	To identify by industry sector (the North American Industry Classification System) and establishment size: 1) workplace violence prevention polices and programs, 2) training regarding workplace violence prevention, 3) risk factors

	associated with workplace violence, and 4) workplace violence prevention strategies.
<b>Why Increase Occurred:</b>	This is a new survey.
<b>Change in Burden:</b>	13,334 hours
<b>Agency:</b>	Department of State
<b>OMB Control Number:</b>	1405-0004
<b>Title:</b>	Application for a U.S. Passport
<b>Purpose of the Collection:</b>	The Application for a U.S. Passport (Form DS-11) is used by citizens and non-citizen nationals of the United States who are applying for a U.S. passport and are required to apply in person.
<b>Why Increase Occurred:</b>	The Department of State increased the fees associated with the program.
<b>Change in Burden:</b>	\$50,628,000
<b>Agency:</b>	Department of State
<b>OMB Control Number:</b>	1405-0020
<b>Title:</b>	Application for a U.S. Passport by Mail
<b>Purpose of the Collection:</b>	The Application for a U.S. Passport by Mail (Form DS-82) is used by eligible citizens and non-citizen nationals of the United States who wish to renew their current or recently expired U.S. passport.
<b>Why Increase Occurred:</b>	The Department of State increased the fees associated with the program.
<b>Change in Burden:</b>	\$25,853,000
<b>Agency:</b>	Department of State
<b>OMB Control Number:</b>	1405-0159
<b>Title:</b>	Application for Additional Visa Pages
<b>Purpose of the Collection:</b>	The Application for Additional Visa Pages (Form DS-4085) has been developed because the Department of State will soon issue final regulations that no longer permit the amendment of passports except for the convenience of the U.S. Government. In the past, Form DS-19 (Amendment and Validation Application) was used to amend a U.S. passport or obtain additional visa pages.
<b>Why Increase Occurred:</b>	The DS-4085 has been developed to serve one of the functions of Form DS-19 by providing applicants an avenue to add additional visa pages to their valid U.S. passports.
<b>Change in Burden:</b>	10,000 hours
<b>Agency:</b>	Department of Transportation
<b>OMB Control Number:</b>	2132-0558
<b>Title:</b>	Rail Fixed Guideway Systems-State Safety Oversight
<b>Purpose of the Collection:</b>	To monitor safety and security.
<b>Why Increase Occurred:</b>	New regulation.
<b>Change in Burden:</b>	22,589 hours
<b>Agency:</b>	Department of Transportation
<b>OMB Control Number:</b>	2137-0618
<b>Title:</b>	Pipeline Safety: Periodic Underwater Inspection
<b>Purpose of the Collection:</b>	To require operators of gas and hazardous liquid pipelines to prepare and follow procedures for periodic inspections of pipeline facilities located in the Gulf of Mexico and its inlets in water less than 15 feet deep.
<b>Why Increase Occurred:</b>	New collection.
<b>Change in Burden:</b>	62,500 hours
<b>Agency:</b>	Department of Transportation
<b>OMB Control Number:</b>	2127-0510
<b>Title:</b>	Consolidated Vehicle Identification Number Requirements and Motor Vehicle Theft Prevention Standards

<b>Purpose of the Collection:</b>	These standards specify physical requirements for the VIN and its installation format and content to simplify information retrieval and increase the efficiency of defect recall campaigns and identify likely high theft vehicles.
<b>Why the increased occurred:</b>	Federal Motor Vehicle Theft Prevention Standard will be extended to include more cars.
<b>Change in Burden:</b>	1,079,037 hours
<b>Agency:</b>	Department of Transportation
<b>OMB Control Number:</b>	2120-0702
<b>Title:</b>	Use of Certain Personal Oxygen Concentrator (POC) Devices on Board Aircraft
<b>Purpose of the Collection:</b>	The rule requires passengers who intend to use an approved POC to present a physician statement before boarding. The flight crew must then inform the pilot-in-command that a POC is on board.
<b>Why Increase Occurred:</b>	The agency issued a new rule
<b>Change in Burden:</b>	172,694 hours
<b>Agency:</b>	Department of Transportation
<b>OMB Control Number:</b>	2130-0566
<b>Title:</b>	Reflectorization of Freight Rolling Stock
<b>Purpose of the Collection:</b>	To ensure that railroad car owners follow an established schedule for placing retroreflective material on the sides of freight rolling stock (freight cars) in order to enhance the visibility of trains.
<b>Why Increase Occurred:</b>	The agency expanded the regulatory requirements.
<b>Change in Burden:</b>	54,787 hours
<b>Agency:</b>	Department of Transportation
<b>OMB Control Number:</b>	2130-0564
<b>Title:</b>	Locomotive Crashworthiness
<b>Purpose of the Collection:</b>	To ensure that locomotive manufacturers and railroads meet minimum performance standards.
<b>Why Increase Occurred:</b>	The agency expanded the regulatory requirements.
<b>Change in Burden:</b>	30,766 hours
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1506-0030
<b>Title:</b>	Anti-Money Laundering Programs for Dealers in Precious Metal, Stones, or Jewels
<b>Purpose of the Collection:</b>	Optional guidelines for dealers in precious metal, stones, or jewels to develop an anti-money laundering program in their business.
<b>Why Increase Occurred:</b>	This is a new program.
<b>Change in Burden:</b>	19,999 hours
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1550-0023
<b>Title:</b>	Thrift Financial Report
<b>Purpose of the Collection:</b>	To ensure the safety and soundness of insured savings associations, their subsidiaries, and their holding companies as depositories of the personal monies of the general public.
<b>Why Increase Occurred:</b>	The agency added new lines to two of the schedules.
<b>Change in Burden:</b>	81,475 hours
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1545-0123
<b>Title:</b>	Form 1120, Form 1120, U.S. Corporation Income Tax Return
<b>Purpose of the Collection:</b>	To compute a corporation's income and tax.

<b>Why increase occurred:</b>	IRS created the Schedule M-3 to improve its ability to monitor corporate compliance.
<b>Change in Burden:</b>	3,217,884 hours
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1545-0056
<b>Title:</b>	Form 1023, Application for Recognition of Exemption
<b>Purpose of the Collection:</b>	Form 1023 is used by organizations seeking tax exempt status.
<b>Why Increase Occurred:</b>	IRS redesigned the Form 1023 to ensure its retrieves more information for more accurate determinations.
<b>Change in Burden:</b>	1,062,225 hours
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1545-0065
<b>Title:</b>	Form 4070, Employee's Report of Tips to Employer
<b>Purpose of the Collection:</b>	Form 4070 is used by employees to report tips to employers
<b>Why Increase Occurred:</b>	IRS added lines to the Form 4070 to gather more information.
<b>Change in Burden:</b>	504,000 hours
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1545-0029
<b>Title:</b>	Form 941, Employer's Quarterly Federal Tax Return
<b>Purpose of the Collection:</b>	This form is used by employers to pay quarterly federal income tax, social security, and Medicare withholdings of employees.
<b>Why Increase Occurred:</b>	IRS made major changes to Form 941 by using plain language concepts, and by reformatting Form 941 along with increasing the form's white space. These changes increased the burden due to the affect on the burden methodology IRS uses.
<b>Change in Burden:</b>	17,646,575 hours
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1545-1910
<b>Title:</b>	Form 8858, Information Return of U.S. Persons With Respect to Foreign Disregarded Entities
<b>Purpose of the Collection:</b>	Form 8858 is used by certain U.S. persons who own a foreign disregarded entity (FDE).
<b>Why Increase Occurred:</b>	IRS created the Form 8858 in response to Announcement 2004-4.
<b>Change in Burden:</b>	1,832,500 hours
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1545-1928
<b>Title:</b>	Form 8891, U.S. Information Return for Beneficiaries of Certain Canadian Registered Retirement Plans
<b>Purpose of the Collection:</b>	Form 8891 is used by U.S. citizens or residents who elect to defer U.S. income tax on income accrued in a Registered Retirement Savings Plan or Registered Retirement Income Fund.
<b>Why Increase Occurred:</b>	IRS developed the Form 8891 as the result of new administrative and procedural reporting requirements under IRC 6001.
<b>Change in Burden:</b>	1,462,500 hours
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1557-0176
<b>Title:</b>	Record and Disclosure Requirements – Federal Reserve Board Regulations B, C, E, M, Z, CC, and DD.
<b>Purpose of the Collection:</b>	To afford consumers protection through the following statutes: Equal Credit Opportunity, Home Mortgage Disclosure; Electronic Funds Transfer; Consumer



	Leasing; Truth in Lending; Availability of Funds and Collection of Checks; and Truth in Savings.
<b>Why Increase Occurred:</b>	The Federal Reserve Board amended Regulations.
<b>Change in Burden:</b>	100,800 hours
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1557-0231
<b>Title:</b>	Bank Secrecy Act/Anti-Money Laundering Risk Assessment
<b>Purpose of the Collection:</b>	To enhance the ability of examiners and bank management to identify and evaluate any Bank Secrecy Act/Anti-Money Laundering Risks associated with the banks' products, services, customers, and locations.
<b>Why Increase Occurred:</b>	This is a new collection.
<b>Change in Burden:</b>	21,364 hours
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1559-0028
<b>Title:</b>	The Community Development Financial Institutions (CDFI) Program – Certification Application
<b>Purpose of the Collection:</b>	To determine whether an entity seeking CDFI certification meets the Fund's regulatory requirements for such certification.
<b>Why Increase Occurred:</b>	This is a new collection.
<b>Change in Burden:</b>	12,600 hours
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2025-0003
<b>Title:</b>	Cross-Media Electronic Reporting and Recordkeeping Rule
<b>Purpose of the Collection:</b>	To allow regulated entities to report electronically to EPA by emitting the use of electronic document receiving systems.
<b>Why Increased Occurred:</b>	EPA issued a new final rule.
<b>Change in Burden:</b>	151,963 hours
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2050-0182
<b>Title:</b>	Standardized Permit for Resource Conservation and Recovery Act (RCRA) Hazardous Waste Facilities
<b>Purpose of the Collection:</b>	The standardized permit is available to facilities that generate hazardous waste and routinely manage the waste on-site in non-thermal units such as tanks, containers, and containment buildings.
<b>Why Increase Occurred:</b>	This is a new standardized permit.
<b>Change in Burden:</b>	14,437 hours
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2050-0171
<b>Title:</b>	National Emissions Standards for Hazardous Air Pollutants (NESHAP) for Hazardous Waste Combustors
<b>Purpose of the Collection:</b>	To control emissions of hazardous air pollutants from incinerators, cement kilns and lightweight aggregate kilns that burn hazardous wastes.
<b>Why Increase Occurred:</b>	EPA issued new regulations
<b>Change in Burden:</b>	50,188 hours
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2060-0096
<b>Title:</b>	Source Compliance and State Action Reporting
<b>Purpose of the Collection:</b>	To provide for the collection of data into the National Clean Air Act database, the Air Facility Subsystem (AFS).

<b>Why Increase Occurred:</b>	EPA expanded the data requirements to include the minimum information necessary to manage a national program.
<b>Change in Burden:</b>	15,449 hours
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2060-0308
<b>Title:</b>	Recordkeeping and Reporting Requirements for the Fuel Quality Regulations for Diesel Fuel Sold in 2001 and Later Years; for Tax-exempt (Dyed) Highway Diesel Fuel; and for Nonroad Locomotive and Marine Diesel Fuel
<b>Purpose of the Collection:</b>	To evaluate compliance with diesel sulfur control requirements under the diesel rule.
<b>Why Increase Occurred:</b>	New requirements for nonroad, locomotive and marine diesel are being phased in.
<b>Change in Burden:</b>	272,658 hours
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2060-0528
<b>Title:</b>	Energy Star Product Labeling
<b>Purpose of the Collection:</b>	To identify energy-efficient products that save money on utility bills and reduce air pollution.
<b>Why Increase Occurred:</b>	This is a new collection for this program.
<b>Change in Burden:</b>	70,667 hours
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2060-0561
<b>Title:</b>	Transportation Conformity Determinations for Federally Funded and Approved Transportation Plans, Programs and Projects under the New 8-Hour Ozone and PM2.5 National Ambient Air Quality Standards
<b>Purpose of the Collection:</b>	As required by Clean Air Act section 176(c), to ensure that federally funded or approved transportation plans, improvement programs and projects are consistent with attaining and maintaining clean air throughout the country.
<b>Why Increase Occurred:</b>	New collection.
<b>Change in Burden:</b>	29,414 hours
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2060-0566
<b>Title:</b>	Recordkeeping and Reporting for the Performance-Based Qualification of Test Methods for Diesel Fuel
<b>Purpose of the Collection:</b>	EPA collects applications for refiners, importers and independent laboratories in order to permit them to qualify performance based test methods for diesel fuel.
<b>Why Increase Occurred:</b>	EPA established new laboratory application procedures.
<b>Change in Burden:</b>	46,500 hours
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2060-0570
<b>Title:</b>	Clean Air Interstate Rule to Reduce Interstate Transport of Fine Particle Matter and Ozone
<b>Purpose of the Collection:</b>	The program calls for major reductions of the air pollutants that cause particulate matter and ozone pollution. The information collected will be used to manage an emissions trading program and to track progress in the reduction of emissions.
<b>Why Increase Occurred:</b>	EPA issued a new rule.
<b>Change in Burden:</b>	382,693 hours; \$20,579,000
<b>OMB Control Number:</b>	3060-0806

<b>Title:</b>	Universal Service - Schools and Libraries Universal Service Program, FCC Forms 470 and 471.
<b>Purpose of the Collection:</b>	FCC Forms 470 and 471 are required to determine eligibility by schools and libraries for discounts under the program so that they can purchase telecommunications services, internet access, internal connections, and maintenance services. Due to suggestions from the Department of Justice, the Commission has implemented changes to its FCC Forms 470 and 471 in an effort to prevent waste, fraud and abuse in the program. Changes made to FCC Forms 470 and 471 require more certifications and other additional information.
<b>Why Increase Occurred:</b>	Revision of forms associated with existing information collection.
<b>Change in Burden:</b>	40,000 hours
<b>Agency:</b>	Federal Communications Commission
<b>OMB Control Number:</b>	3060-0854
<b>Title:</b>	Truth-in-Billing and Billing Format, CC Docket No. 98-170.
<b>Purpose of the Collection:</b>	The "Truth-in-Billing" requirements ensure that telephone bills are in a specific format, and contain full and non-misleading descriptions of charges. Previously, the "Truth-in-Billing" requirements applied only to billing for traditional landline telephone services. With this collection, the Federal Communications Commission extended requirements to wireless carriers.
<b>Why Increase Occurred:</b>	Expansion of requirements and increased number of respondents.
<b>Change in Burden:</b>	2,563,363 hours
<b>Agency:</b>	Federal Communications Commission
<b>OMB Control Number:</b>	3060-1084
<b>Title:</b>	Rules and Regulations Implementing Minimum Customer Account Record Obligations on All Local and Inter- exchange Carriers (CARE) CG Docket No. 02-386.
<b>Purpose of the Collection:</b>	Part 64 of the Federal Communication Commission's rules contains requirements designed to facilitate the exchange of customer account information between two types of phone companies - local exchange carriers (LECs) and inter-exchange carriers (IXCs). In a <b>2005 Further Notice</b> , the Commission sought comment on whether it should adopt rules governing the exchange of customer account information between LECs when customers switch from one local service provider to another.
<b>Why Increase Occurred:</b>	The Commission is considering the need for such rules based on evidence in the administrative record that many LECs do not provide complete or timely customer account information to requesting LECs that have acquired a customer of another LEC.
<b>Change in Burden:</b>	44,576 hours.
<b>Agency:</b>	Federal Communications Commission
<b>OMB Control Number:</b>	3060-1085
<b>Title:</b>	Collection of Location Information, Provision of Notice and Reporting on Interconnected Voice over Internet protocol (VoIP) E911 Compliance.
<b>Purpose of the Collection:</b>	Requires providers of interconnected voice over Internet protocol (VoIP) services to obtain information regarding their end users' location. Interconnected VoIP providers must ensure that end users understand any limitations of their service, obtain from the end user evidence of such understanding, and submit a letter to the Commission detailing their compliance with its E911.
<b>Why Increase Occurred:</b>	The Commission adopted rules requiring providers of VoIP services that interconnect with the nation's existing public switched telephone network (interconnected VoIP services) to supply E911 capabilities to their customers.
<b>Change in Burden:</b>	435,894 hours; \$43,161 annual cost.
<b>Agency:</b>	Federal Communications Commission

<b>OMB Control Number:</b>	3060-1086
<b>Title:</b>	Section 74.786, Digital Channel Assignments; Section 74.787, Digital Licensing; Section 74.790, Permissible Service of Digital TV Translator and LPTV Stations; Section 74.794, Digital Emissions, and Section 74.796, Modification of Digital Transmission Systems and Analog Transmission Systems for Digital Operation.
<b>Purpose of the Collection:</b>	Established new rules and policies for digital low power television (LPTV) and television translator (TV translator stations and modified certain rules applicable to digital Class A TV stations (Class A).
<b>Why Increase Occurred:</b>	New service.
<b>Change in Burden:</b>	55,417 hours; \$95,734 annual cost.
<b>Agency:</b>	Federal Deposit Insurance Corporation
<b>OMB Control Number:</b>	3064-0084
<b>Title:</b>	Account Based Disclosures in Connection with Federal Reserve Regulations E, CC, and DD.
<b>Purpose of the Collection:</b>	The FDIC enforces, for the banks it supervises (state nonmember banks), regulations concerning the Electronic Funds Transfer Act, Expedited Funds Availability Act, and Truth in Savings Act.
<b>Why Increase Occurred:</b>	Regulations were modified for electronic check conversion services
<b>Change in Burden:</b>	43,465 hours
<b>Agency:</b>	Federal Energy Regulatory Commission
<b>OMB Control Number:</b>	1902-0174
<b>Title:</b>	Standards for Business Practices of Interstate Natural Gas Pipelines
<b>Purpose of the Collection:</b>	To standardize procedures for critical business practices and to standardize mechanisms for electronic communication between pipelines and those with whom they do business.
<b>Why Increase Occurred:</b>	The Commission incorporated by reference Version 1.7 of the consensus standards created by the North American Standards Board. These standards deal with the operational details that permit partial or flowing gas day recalls, in particular the method by which capacity would be allocated between releasing and replacement shippers.
<b>Change in Burden:</b>	243,102 hours
<b>Agency:</b>	Federal Energy Regulatory Commission
<b>OMB Control Number:</b>	1902-0205
<b>Title:</b>	Quarterly Financial Report of Electric Utilities and Licensees and Natural Gas Pipeline Companies
<b>Purpose of the Collection:</b>	Form 3Q is a quarterly financial report.
<b>Why Increase Occurred:</b>	The formation of RTOs has created a need to update FERC's accounting and financial reporting requirements to reflect the roles of RTOs and provide more transparent and uniform accounting for and reporting of certain activities not previously addressed in FERC's regulations.
<b>Change in Burden:</b>	11,655 hours
<b>Agency:</b>	Nuclear Regulatory Commission
<b>OMB Control Number:</b>	3150-0002
<b>Title:</b>	10 CFR 73, Physical Protection of Plants and Materials
<b>Purpose of the Collection:</b>	To ensure that the health and safety of the public is protected and that licensee possession and use of special nuclear material is in compliance with license and regulatory requirements.
<b>Why Increase Occurred:</b>	NRC issued new orders.
<b>Change in Burden:</b>	155,380 hours
<b>Agency:</b>	Securities and Exchange Commission

<b>OMB Control Number:</b>	3235-0585
<b>Title:</b>	Investment Advisers Act rule 206(4)-7, Compliance procedures and practices.
<b>Purpose of the Collection:</b>	To ensure that advisers maintain comprehensive written internal compliance programs that promote compliance with the Federal securities laws.
<b>Why Increase Occurred:</b>	The Commission has approved a new rule and interpretive position that expands the Investment Advisers Act to apply to broker-dealers offering certain new types of brokerage programs which potentially provide important benefits to customers.
<b>Change in Burden:</b>	15,600 hours
<b>Agency:</b>	Securities and Exchange Commission
<b>OMB Control Number:</b>	3235-0278
<b>Title:</b>	Rule 204-2
<b>Purpose of the Collection:</b>	The collection of information is necessary for the Commission staff to use in its examination and oversight program.
<b>Why Increase Occurred:</b>	The Commission has approved a new rule and interpretive position that expands the Investment Advisers Act to apply to broker-dealers offering certain new types of brokerage programs which potentially provide important benefits to customers.
<b>Change in Burden:</b>	37,397 hours
<b>Agency:</b>	Securities and Exchange Commission
<b>OMB Control Number:</b>	3235-0596
<b>Title:</b>	Rule 204A-1 under the Investment Advisers Act of 1940
<b>Purpose of the Collection:</b>	To facilitate investment advisers' efforts to prevent fraudulent personal trading by their supervised persons.
<b>Why Increase Occurred:</b>	The Commission has approved a new rule and interpretive position that expands the Investment Advisers Act to apply to broker-dealers offering certain new types of brokerage programs which potentially provide important benefits to customers.
<b>Change in Burden:</b>	23,000 hours
<b>Agency:</b>	Securities and Exchange Commission
<b>OMB Control Number:</b>	3235-0047
<b>Title:</b>	Rule 204-3 under the Investment Advisers Act of 1940
<b>Purpose of the Collection:</b>	The collection of information is necessary to assist investors in determining whether to retain, or continue employing, the adviser.
<b>Why Increase Occurred:</b>	The Commission has approved a new rule and interpretive position that expands the Investment Advisers Act to apply to broker-dealers offering certain new types of brokerage programs which potentially provide important benefits to customers.
<b>Change in Burden:</b>	135,330 hours
<b>Agency:</b>	Securities and Exchange Commission
<b>OMB Control Number:</b>	3235-0063
<b>Title:</b>	Form 10-K – Annual Reports
<b>Purpose of Collection:</b>	Form 10-K is filed by issuers of securities to satisfy their annual reporting obligations pursuant to Sections 13 and 15(d) of the Securities Exchange Act of 1934.
<b>Why Increase Occurred:</b>	The Commission adopted amendments to existing forms and regulations and new requirements to modernize, rationalize and clarify the Commission's regulatory system.
<b>Change in Burden:</b>	39,641 hours
<b>Agency:</b>	Securities and Exchange Commission
<b>OMB Control Number:</b>	3235-0618

<b>Title:</b>	Rule 173 – Notice of Registration
<b>Purpose of Collection:</b>	To require brokers and dealers to furnish a notice to purchasers in registered securities offerings informing them that the sale of securities was made pursuant to a registration statement or in a transaction in which a final prospectus would have been required to be delivered in the absence of Rule 172.
<b>Why Increase Occurred:</b>	The Commission adopted amendments to existing forms and regulations and new requirements that would modernize, rationalize and clarify the Commission’s regulatory system.
<b>Change in Burden:</b>	3,874,167 hours
<b>Agency:</b>	Securities and Exchange Commission
<b>OMB Control Number:</b>	3235-0324
<b>Title:</b>	Form S-4 – Registration Statement
<b>Purpose of Collection:</b>	Form S-4 is the registration form used to register securities issued in business combination transactions under the Securities Act of 1933.
<b>Why Increase Occurred:</b>	The Commission proposed amendments to existing forms and regulations and new requirements to modernize, rationalize and clarify the Commission’s regulatory system.
<b>Change in Burden:</b>	12,835 hours; \$11,551,000s
<b>Agency:</b>	Securities and Exchange Commission
<b>OMB Control Number:</b>	3235-0059
<b>Title:</b>	Regulation 14A and Schedule 14A (Commission Rules 14a-1 through 14a-15)
<b>Purpose of Collection:</b>	Regulation 14A and Schedule 14A specify the information to be disclosed in proxy statements to security holders of companies registered under Section 12 of the Securities Exchange Act 1934 to aid informed voting decisions.
<b>Why Increase Occurred:</b>	The amendments increase the disclosure regarding the operation of board nominating committees and add a new disclosure requirement concerning the means, if any, by which shareholders may communicate with members of boards.
<b>Change In Burden:</b>	16,173 hours
<b>Agency:</b>	Securities and Exchange Commission
<b>OMB Control Number:</b>	3235-0073
<b>Title:</b>	Form S-3
<b>Purpose of Collection:</b>	Form S-3 is used by issuers that meet the registration requirements and transaction requirements specified by the form to register securities under the Securities Act of 1933.
<b>Why Increase Occurred:</b>	The Commission adopted new and amended rules and forms to address comprehensively the registration, disclosure and reporting requirement for asset-backed securities.
<b>Change in Burden:</b>	10,500 hours
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-0072
<b>Title:</b>	Continuing Disability Review Report
<b>Purpose of the Collection:</b>	Information collected on form SSA-454 is used to determine whether an individual who receives Title II /Title XVI payments continues to be disabled.
<b>Why Increase Occurred:</b>	SSA revised the form for consistency with other SSA disability forms, and to facilitate future electronic collection and data propagation to SSA’s Electronic Disability Collect System. The revisions resulted in a change in the burden estimate from 30 to 60 minutes per response.
<b>Change in Burden:</b>	366,020 hours
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-0579

<b>Title:</b>	Disability Report - Adult
<b>Purpose of the Collection:</b>	The SSA-3368 and its associated electronic collections are used by the applicants for Title II/TitleXVI disability payments to document information needed to make a disability eligibility determination.
<b>Why Increase Occurred:</b>	SSA created a new form.
<b>Change in Burden:</b>	42,000 hours
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-0660
<b>Title:</b>	Social Security Number Verification Service (SSNVS)
<b>Purpose of the Collection:</b>	SSNVS is a free and secure Internet service used by employers that allows them to perform verification of their employees' names and SSN information against SSA records.
<b>Why Increase Occurred:</b>	The burden increase occurred due to the expansion of SSNVS from a pilot application to national implementation.
<b>Change in Burden:</b>	1,999,917 hours

## FY 2006 CHANGES IN BURDEN

### FY 2006 Burden Reductions from Changing Regulations

<b>Agency:</b>	Department of Housing and Urban Development
<b>OMB Control Number:</b>	2577-0006
<b>Title:</b>	Public Housing Agency (PHA), Lease Requirements, Recordkeeping Requirements
<b>Purpose of the Collection:</b>	PHAs are required to keep records for implementation of Federal regulations governing dwelling leases in public housing.
<b>How Reduction Achieved:</b>	HUD issued a proposed Rule to streamline public housing regulations.
<b>Change in Burden:</b>	-15,840 hours
<b>Agency:</b>	Department of Housing and Urban Development
<b>OMB Control Number:</b>	2577-0220
<b>Title:</b>	Public Housing Admissions/Occupancy Policies
<b>Purpose of the Collection:</b>	Public Housing Agencies, which have entered in an Annual Contribution Contract with HUD, must develop and keep on file the admission and occupancy policies approved by HUD.
<b>How Reduction Achieved:</b>	HUD issued a proposed Rule to streamline public housing regulations.
<b>Change in Burden:</b>	-19,200 hours
<b>Agency:</b>	Department of Transportation
<b>OMB Control Number:</b>	2126-0001
<b>Title:</b>	Hour of Service of Driver Regulations
<b>Purpose of the Collection:</b>	This information is required for the Record of Duty Status (RODS) of drivers of commercial motor vehicle (CMVs) drivers to help ensure that motor carriers and CMV drivers comply with the limitations on maximum driving and duty time prescribed in the Federal Motor Carrier Safety Regulations (FMCSRs).
<b>How Reduction Achieved:</b>	DOT estimates that at least 239,400 commercial drivers operating in the short-haul sector would be relieved of the requirement to file RODS as a result of the 2005 Hour of Service of Driver Regulations final rule.
<b>Change in Burden:</b>	-7,273,200 hours
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2050-0021
<b>Title:</b>	Spill Prevention, Control and Countermeasure (SPCC) Plans

<b>Purpose of the Collection:</b>	To implement requirements related to SPCC Plans pursuant to the Oil Pollution Prevention Regulation.
<b>How Reduction Achieved:</b>	EPA plans a rule to amend SPCC Plan requirements to reduce the regulatory burden by providing streamlined options for facilities and equipment that meet qualifying criteria.
<b>Change in Burden:</b>	-490,813 hours; -\$16,379,000
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2070-0093
<b>Title:</b>	Toxic Chemical Reporting (Form R)
<b>Purpose of the Collection:</b>	To collect information concerning toxic chemical releases into the air, land, and water.
<b>How Reduction Achieved:</b>	In July 2005, EPA promulgated the TRI Reporting Forms Modification Rule (Phase I) to eliminate or simplify certain elements on the TRI reporting forms
<b>Change in Burden:</b>	-48,491 hours
<b>Agency:</b>	Federal Energy Regulatory Commission
<b>OMB Control Number:</b>	1902-0174
<b>Title:</b>	Standards for Business Practices of Interstate Natural Gas Pipelines, RM96-1-024
<b>Purpose of the Collection:</b>	Final Rule incorporated by reference Version 1.6 of standards developed by the Wholesale Gas Quadrant of the North American Energy Standards Board.
<b>How Reduction Achieved:</b>	Pipelines had to incorporate the Version 1.6 standards into their tariffs as of July 1, 2003. As of July 1, 2006, the standards will have been fully implemented for a three year period.
<b>Change in Burden:</b>	-209,064 hours

### **FY 2006 Burden Reductions from Cutting Redundancy**

<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0524-0040
<b>Title:</b>	Questionnaire for Potential Reviewers
<b>Purpose of the Collection:</b>	To collect contact and expertise information from the individuals that perform the peer review of applications that are submitted to the Cooperative State Research, Education, and Extension Service (CSREES). CSREES uses this information to ensure it can select people with the relevant expertise to review applications.
<b>How Reduction Achieved:</b>	CSREES reduced burden of this collection by merging it with the CSREES Proposal Review Process.
<b>Change in Burden:</b>	-12,500 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0910-0472
<b>Title:</b>	Requirements for Testing Human Blood Donors for Evidence of Infection Due to Communicable Disease Agents; and Requirements for Donor Notification
<b>Purpose of the Collection:</b>	The written application would describe the intended use of the blood or blood component, including identification of all consignees and the procedures for collecting, handling, labeling, and shipping the blood. The disclosure requirements ensure that personnel handling human blood or blood components that have tested repeatedly reactive for markers of infection with communicable disease agents are aware of this fact and can take appropriate safety precautions.
<b>How Reduction Achieved:</b>	HHS attributes this decrease to the consolidation of this collection into 0910-0116.
<b>Change in Burden:</b>	-142,145 hours



**Agency:** Department of Housing and Urban Development  
**OMB Control Number:** 2507-0001  
**Title:** Customer Service and Satisfaction Survey  
**Purpose of the Collection:** To measure resident satisfaction with living conditions and assesses the overall living conditions of residents.  
**How Reduction Achieved:** Survey no longer applies to multifamily housing.  
**Change in Burden:** -12,555 hours

**Agency:** Department of Labor  
**OMB Control Number:** 1205-New  
**Title:** Employment and Training Administration (ETA) Management Information and Longitudinal Evaluation Reporting System (EMILE)  
**Purpose of the Collection:** ETA plans to implement program performance measures to account for the federal dollars invested in employment and training initiatives and improve information available about program effectiveness.  
**How Reduction Achieved:** ETA streamlined the collection by requiring common performance measures.  
**Change in Burden:** -511,038 hours and -\$18,169,000

### **FY 2006 Burden Reductions from Changing Forms**

**Agency:** Department of Agriculture  
**OMB Control Number:** 0524-0039  
**Title:** Cooperative State Research, Education, and Extension Service (CSREES) Application Kit for Research and Extension Programs  
**Purpose of the Collection:** The purpose of this collection is to ensure applications submitted to CSREES contain the forms and narrative information used for application evaluation and administration purposes.  
**How Reduction Achieved:** CSREES reduced burden by transitioning to the uniform government-wide Research and Related R&R forms established by Grants.gov.  
**Change in Burden:** - 103,137

**Agency:** Department of Agriculture  
**OMB Control Number:** 0560-0096  
**Title:** Payment Eligibility/Limitation Determination under the Noninsured Crop Disaster Assistance Program.  
**Purpose of the Collection:** The information collection is used to determine payment eligibility and payment limitations under the various programs.  
**How Reduction Achieved:** USDA reduced burden by consolidating several forms in the information collection into a single form to be used by all respondents.  
**Change in Burden:** - 121,204 hours

### **FY 2006 Burden Reductions from Using Information Technology**

**Agency:** Department of Agriculture  
**OMB Control Number:** 0524-0036  
**Title:** Reporting Requirements for State Plans of Work for Agricultural Research and Extension Formula Funds  
**Purpose of the Collection:** The purpose of this collection of information is to comply with statutory requirements that require States and eligible institutions to submit a plan of work for approval by the Secretary of Agriculture.  
**How Reduction Achieved:** Providing an option to submit plans of work and other information collected related to the plans of work electronically will reduce burden.  
**Change in Burden:** - 25,260

<b>Agency:</b>	Department of Commerce
<b>OMB Control Number:</b>	0607-0152
<b>Title:</b>	Shippers Export Declaration/Automated Export System (AES)
<b>Purpose of the collection:</b>	To collect official export statistics and to detect and prevent the export of high technology items or military goods to unauthorized destinations or end users.
<b>How Reduction Achieved:</b>	The Census Bureau plans full mandatory electronic reporting via AES.
<b>Change in Burden:</b>	-167,000 hours
<b>Agency:</b>	Department of Education
<b>OMB Control Number:</b>	1845-0001
<b>Title:</b>	Free Application for Federal Student Aid (FAFSA)
<b>Purpose of the Collection:</b>	The FAFSA collects information from applicants to enable need-based eligibility calculations for Title IV Student Aid.
<b>How Reduction Achieved:</b>	Education plans process changes to encourage an increase in Web applications and decrease in paper applications.
<b>Change in Burden:</b>	-26,137 hours
<b>Agency:</b>	Department of Education
<b>OMB Control Number:</b>	1845-0008
<b>Title:</b>	Student Aid Report (SAR)
<b>Purpose of the Collection:</b>	The SAR is used to notify all applicants of their eligibility to receive federal student aid for postsecondary education.
<b>How Reduction Achieved:</b>	Education plans process changes to encourage an increase in Web applications and decrease in paper applications.
<b>Change in Burden:</b>	-160,027 hours
<b>Agency:</b>	Department of Homeland Security
<b>OMB Control Number:</b>	1660-0069
<b>Title:</b>	National Fire Incident Reporting System (NFIRS) Versions 4.1 and 5.0
<b>Purpose of the Collection:</b>	To gather and analyze information on the magnitude of the Nation's fire problem, as well as its detailed characteristics and trends.
<b>How Reduction Achieved:</b>	Voluntary participation of fire departments in NFIRS using predominantly electronic means of reporting (90% for v5.0; 80% for v4.1) has reduced the recordkeeping hour and cost burden for incidents reported.
<b>Change in Burden:</b>	-703,104 hours
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1557-0081
<b>Title:</b>	Consolidated Reports of Condition and Income (Call Report)
<b>Purpose of the Collection:</b>	To monitor the condition, performance, and risk profile of individual institutions and the national bank industry as a whole. The reports provide the most current statistical data available for evaluating institutions' corporate applications, for identifying areas of focus for examinations, and for monetary and other public policy purposes.
<b>How Reduction Achieved:</b>	Completion of implementation of Central Data Repository, the Internet-based system to modernize and streamline how Call Report data are collected, validated, managed, and distributed.
<b>Change in Burden:</b>	-30,012 hours
<b>Agency:</b>	Federal Energy Regulatory Commission
<b>OMB Control Number:</b>	1902-0096; 1902-0154; 1902-0086; 1902-0089
<b>Title:</b>	Electronic Filing of Documents
<b>Purpose of the Collection:</b>	All collection relate to tariff filings.
<b>How Reduction Achieved:</b>	On July 7, 2004, FERC proposed in RM01-5-000 that future tariff filings be made over the Internet with software developed by the Commission. This initiative will make it easier for regulated entities to file and view tariffs and rate

	schedules, and to facilitate tariff research, by permitting companies to submit tariffs and rate schedules electronically.
<b>Change in Burden:</b>	-26,923 hours (21,500 hours in 1902-0096)
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-0010
<b>Title:</b>	Application for Child's Insurance Benefits
<b>Purpose of the Collection:</b>	The SSA-4 is used to collect information necessary for a child to receive Title II benefits.
<b>How Reduction Achieved:</b>	In late 2005 the SSA-4 became available for electronic signature via the Signature Proxy Initiative.
<b>Change in Burden:</b>	-14,500 Hours
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-0014
<b>Title:</b>	Request to be Selected as a Representative Payee
<b>Purpose of the Collection:</b>	The information collected on form SSA-11-BK is necessary to determine a proper payee for a Social Security beneficiary.
<b>How Reduction Achieved:</b>	In November 2005, the SSA-11 will become available for electronic signature via the Signature Proxy Initiative.
<b>Change in Burden:</b>	-17,681 Hours
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-0618
<b>Title:</b>	Internet Social Security Benefits Application (ISBA)
<b>Purpose of the Collection:</b>	The information collected on the benefit application forms SSA-1, SSA-2 and SSA-16 are used to establish initial filing for Social Security Retirement and Disability benefits.
<b>How Reduction Achieved:</b>	ISBA collections are available for electronic signature via the Signature Proxy Initiative.
<b>Change in Burden:</b>	-32,028 Hours

### **FY 2006 Burden Reductions from Statutory Requirements**

<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0560-0182
<b>Title:</b>	Tobacco Marketing Quota Referenda
<b>Purpose of the Collection:</b>	This information collection required FSA to proclaim national marketing quotas for tobacco and to conduct a referendum of the farmers who were engaged in the production of the crop of tobacco harvested immediately prior to the referendum to determine whether these farmers are in favor of, or opposed to, national marketing quotas for the next three marketing years.
<b>How Reduction Achieved:</b>	This collection will be discontinued.
<b>Change in Burden:</b>	-29,556 hours
<b>Statute Title and Public Law:</b>	Fair and Equitable Tobacco Reform Act of 2004 (P.L. 108-357)
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0560-0217
<b>Title:</b>	Designation of Burley Tobacco Sales and Annual Waiver to Release Information Restricted by the Privacy Act.
<b>Purpose of the Collection:</b>	This collection of information is used for burley tobacco marketing and other producer services.
<b>How Reduction Achieved:</b>	This collection will be discontinued.
<b>Change in Burden:</b>	-187,504 hours
<b>Statute Title and Public Law:</b>	Fair and Equitable Tobacco Reform Act of 2004 (P.L. 108-357)

**Agency:** Department of Agriculture  
**OMB Control Number:** 0584-0012  
**Title:** 7 CFR 220, School Breakfast Program  
**Purpose of the Collection:** The School Breakfast Program (SBP) provides cash assistance to States to operate nonprofit breakfast programs in schools and residential childcare institutions.  
**How Reduction Achieved:** Sec. 201 of the Child Nutrition and WIC Reauthorization Act of 2004 eliminates the requirement to document costs in order to receive the severe need reimbursement rate.  
**Change in Burden:** -2,128,932 hours  
**Statute Title and Public Law:** Child Nutrition and WIC Reauthorization Act of 2004 (P.L. 108-265)

**Agency:** Department of Housing and Urban Development  
**OMB Control Number:** 2577-0029  
**Title:** Operating Fund Subsidies Allocation Formula  
**Purpose of the Collection:** The Operating Fund program provides operating subsidies to public housing agencies to fund the operating and maintenance expenses associated with 1.2 million public housing units.  
**How Reduction Achieved:** The decrease will be a result of a revised funding formula requiring significantly less information.  
**Change in Burden:** -13,248  
**Statute Title and Public Law:** FY 2004 Consolidated Appropriations Act (P.L. 108-99)

**Agency:** Federal Energy Regulatory Commission  
**OMB Control Number:** 1902-0060, 1902-0062, 1902-0128  
**Title:** Gas Pipeline Certificates: Construction, Acquisition and Abandonment (0060); Import/Export Related (0062) and Environmental Impact Statement (0128), RM05-31 Final Rule, Regulations Implementing EPA Act 2005, Pre-Filing Procedures  
**Purpose of the Collection:** To implement mandatory pre-filing process for review of LNG terminal facilities prior to a prospective applicant's filing for authorization to construct these facilities.  
**How Reduction Achieved:** Statute eliminated the need for these regulatory requirements.  
**Change in Burden:** -27,080 hours total.  
**Statute Title and Public Law:** Energy Policy Act of 2005 (P.L. 109-58)

### **FY 2006 Burden Reductions from Miscellaneous Actions**

**Agency:** Department of Agriculture  
**OMB Control Number:** 0560-0082  
**Title:** Emergency Conservation Program  
**Purpose of the Collection:** The forms are contracts with the producers for extension requests to complete cost-share practices and to request assistance and certify completion in order to receive payments  
**How Reduction Achieved:** The reduction was achieved due to the decreasing need for the Agricultural Conservation Program (ACP) and one form was discontinued for the Forest Incentives Program and Stewardship Incentives Program.  
**Change in Burden:** - 112,627 hours

**Agency:** Department of Labor  
**OMB Control Number:** 1205-0414  
**Title:** MIS Requirements for Youth Opportunity Grants

<b>Purpose of the Collection:</b>	Forms ETA-9086 and ETA-9087 were used to manage Opportunity Grants, collect information on the characteristics of youth enrolled, services provided, and program outcomes. The information was used to ensure the effective use of grant funds and for reporting to Congress.
<b>How Reduction Achieved:</b>	Collection to be discontinued due to the end of the grant program.
<b>Change in Burden:</b>	-51,840 hours
<b>Agency:</b>	Department of Transportation
<b>OMB Control Number:</b>	2120-0680
<b>Title:</b>	Part 60 - Flight Simulation Device Initial and Continuing Qualification and Use (NPRM)
<b>Purpose of the Collection:</b>	The collection of this information is necessary to ensure safety of flight by ensuring complete and adequate training, testing, checking, and experience is obtained and maintained by those who conduct flight simulation training.
<b>How Reduction Achieved:</b>	The FAA changed the rule based on comments received during the NPRM stage.
<b>Change in Burden:</b>	-22,174 hours
<b>Agency:</b>	Department of the Treasury
<b>OMB Control Number:</b>	1545-0066
<b>Title:</b>	Form 2688, Application for Additional Extension of Time to File U.S. Individual Income Tax Return
<b>Purpose of the Collection:</b>	Taxpayers use this form to ask for more time to file Form 1040EZ, 1040A, 1040, 1040NR-EZ, or 1040NR.
<b>How Reduction Achieved:</b>	This form was obsolete because taxpayers can now get more time to file without having to file this form
<b>Change in Burden:</b>	-2,240,312 hours
<b>Agency:</b>	Department of Veterans Affairs
<b>OMB Control Number:</b>	2900-0646
<b>Title:</b>	Medication Prescribed by non-VA Physicians
<b>Purpose of the Collection:</b>	To obtain sufficient information to allow VA to provide these veterans with prescribed medications at a lower cost prior to their initial primary care visits.
<b>How Reduction Achieved:</b>	VA plans to phase out this program during the coming year when we anticipate eliminating the backlog of individuals awaiting an appointment for primary care visits.
<b>Change in Burden:</b>	-30,287 hours
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2040-0188
<b>Title:</b>	Notice of Intent for Storm Water Discharges Associated with Construction Activity under a National Pollutant Discharge Elimination System (NPDES) General Permit: Oil and Gas Rule
<b>Purpose of the Collection:</b>	To provide coverage to applicants for stormwater discharges associated with construction activity disturbing greater than 5 acres under the NPDES Construction Stormwater General Permit.
<b>How Reduction Achieved:</b>	EPA expects to codify a change to the CWA as specified in the Energy Bill passed by Congress in August 2005. That revision to the CWA will result in a decrease in the number of construction starts seeking permit coverage under the general permit by excluding oil and gas construction activities.
<b>Change in Burden:</b>	-38,415 hours
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2040-0250

<b>Title:</b>	Final NPDES and ELG Regulatory Revisions for Concentrated Animal Feeding Operations (CAFOs): CAFO Rule Revisions Based on Second Circuit Court Decision in Waterkeeper Alliance, et al. v. EPA
<b>Purpose of the Collection:</b>	The regulations regulate land application of manure, litter and wastewater generated at these facilities. Permit application activities for permittees involve completing and submitting either an NOI or an application for an individual permit. Manure samples must be collected annually and analyzed for nutrient content; sampling results must be retained and made available to off-site users. Records of the volume of manure and process wastewater produced must also be maintained, and large CAFOs must create and maintain off-site transfer records.
<b>How Reduction Achieved:</b>	The CAFO rule revisions will result in fewer CAFO operations being required to seek coverage under an NPDES permit.
<b>Change in Burden:</b>	-650,000 hours
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2060-0443
<b>Title:</b>	The Consolidated Federal Air Rule for the Synthetic Organic Chemical Manufacturing Industry (SOCMI).
<b>Purpose of the Collection:</b>	The regulation consolidates several Clean Air Act standards for SOCMI. This information collection covers the relevant compliance data that respondents report to EPA and state and local authorities, consistent with the consolidated rule.
<b>How Reduction Achieved:</b>	Removed a reporting requirement related to notifying EPA of the date of anticipated start-up for a new, modified or reconstructed affected entity because the requirement that is not necessary for compliance.
<b>Change in Burden:</b>	-35,758 hours

### **FY 2006 Burden Increases from Statutory Requirements**

<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0580-0024
<b>Title:</b>	Livestock and Meat Marketing Study (Part 2 – Transactions Data Collection)
<b>Purpose of the Collection:</b>	To collect data on procurement and sales transactions from a sample of meat packers, meat processors, food wholesalers, food retailers, food service operations and meat exporters.
<b>Why Increase Occurred:</b>	In fiscal year 2003, Congress appropriated \$4.5 million for a packer concentration study and directed that the study address issues related to packer ownership of livestock. In order to fully comply with the Congressional mandate, transactions data (detailed data on procurement of inputs and sale of outputs) are needed to conduct comparative analyses of the reasons for, and effects of, packer ownership and other marketing arrangements as used throughout the livestock and meat industries.
<b>Change in Burden:</b>	29,120 hours
<b>Statute Title and Public Law:</b>	Consolidated Appropriations Resolution for Fiscal Year 2003 (P.L. 108-7)
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0584-New
<b>Title:</b>	Senior Farmers' Market Nutrition Program (SFMNP) Regulations
<b>Purpose of the Collection:</b>	To determine program eligibility and to ensure compliance with program requirements for the purpose of providing fresh, locally grown fruits and vegetables from farmers' markets, roadside stands, and community-supported agriculture programs to low-income seniors.
<b>Why Increase Occurred:</b>	New program mandated by Congress.
<b>Change in Burden:</b>	237,409 hours

<b>Statute Title and Public Law:</b>	Farm Security and Rural Investment Act of 2002 (P.L. 107-171)
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0584-0026
<b>Title:</b>	7 CFR Part 245, Determining Eligibility for Free and Reduced Priced Meals
<b>Purpose of the Collection:</b>	Determination and verification of eligibility for free and reduced price meal
<b>Why Increase Occurred:</b>	Child Nutrition and WIC Reauthorization Act of 2004 requires direct certification with the Food Stamp Program and implements provisions relating to verification of applicants approved for free or reduced price meals.
<b>Change in Burden:</b>	166,225 hours
<b>Statute Title and Public Law:</b>	Child Nutrition and WIC Reauthorization Act of 2004 (P.L. 108-265)
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0584-0043
<b>Title:</b>	WIC Program Regulations – Reporting and Recordkeeping Burden
<b>Purpose of the Collection:</b>	To determine program eligibility and to ensure compliance with program requirements for the purpose of providing food, nutrition counseling, and access to health services to low-income women, infants, and children.
<b>Why Increase Occurred:</b>	Section 203 of the Child Nutrition and WIC Reauthorization Act of 2004 included new requirements for WIC vendor cost containment provision.
<b>Change in Burden:</b>	136,230
<b>Statute Title and Public Law:</b>	Child Nutrition and WIC Reauthorization Act of 2004 (P.L. 108-265)
<b>Agency:</b>	Department of Commerce
<b>OMB Control Number:</b>	0651-0016
<b>Title:</b>	Rules for Patent Maintenance Fees
<b>Purpose of the Collection:</b>	To maintain utility patents, to petition the USPTO to accept delayed or refused payments, and to designate an address for fee-related correspondence.
<b>Why Increase Occurred:</b>	PTO revised the maintenance fee schedule associated with keeping utility patents in force at 3 ½, 7 ½, and 11 ½ years.
<b>Change in Burden:</b>	\$43,112,650
<b>Statute Title and Public Law:</b>	The Consolidated Appropriations Act, 2005 (P.L. 108-447).
<b>Agency:</b>	Department of Commerce; U.S. Patent and Trademark Office
<b>OMB Control Number:</b>	0651-0021
<b>Title:</b>	Patent Cooperation Treaty
<b>Purpose of the Collection:</b>	To process, search, and examine international patent applications under the provisions of the Patent Cooperation Treaty, which allows applicants to file one standardized application to seek protection for an invention in over 100 designated countries.
<b>Why Increase Occurred:</b>	PTO revised the fee structure for international applications entering the national stage to provide for a separate national fee, search fee, and examination fee.
<b>Change in Burden:</b>	\$41,549,045
<b>Statute Title and Public Law:</b>	The Consolidated Appropriations Act, 2005 (P.L. 108-447).
<b>Agency:</b>	Department of Commerce
<b>OMB Control Number:</b>	0651-0033
<b>Title:</b>	Post Allowance and Refiling
<b>Purpose of the Collection:</b>	Permits applicants to pay the issue fee to receive their patent and avoid abandonment of the application, to request <i>ex parte</i> and <i>inter parte</i> reexaminations, to correct errors in a patent, and to request reissue patents.
<b>Why Increase Occurred:</b>	PTO revised the fee structure for reissue applications to provide for separate national, search, and examination fees, and adjusted patent issue fees to reflect fluctuations in the Consumer Price Index (CPI).
<b>Change in Burden:</b>	\$15,560,850
<b>Statute Title and Public Law:</b>	The Consolidated Appropriations Act, 2005 (P.L. 108-447).

<b>Agency:</b>	Department of Education
<b>OMB Control Number:</b>	1820-0517
<b>Title:</b>	Part B, Individuals With Disabilities Education Act Implementation of FAPE Requirements
<b>Purpose of the Collection:</b>	The Individuals with Disabilities Education Improvement Act requires States to report the extent to which children with disabilities served under IDEA-B receive special education and related services with their non-disabled peers.
<b>Why Increase Occurred:</b>	Statute now requires data be disaggregated by gender and Limited English Proficiency status. In response to focus group input, the Department also plans a change to reporting categories for the three-to-five-year-old age group. Disaggregation of previously combined data elements increases burden.
<b>Change in Burden:</b>	156,240 hours
<b>Statute Title and Public Law:</b>	Individuals with Disabilities Education Improvement Act of 2004 (P.L. 108-446)
<b>Agency:</b>	Department of Education
<b>OMB Control Number:</b>	1820-0521
<b>Title:</b>	Report of Children with Disabilities Exiting Special Education During the School Year
<b>Purpose of the Collection:</b>	The Individuals with Disabilities Education Improvement Act (H.R. 1350) requires States to report the number of students aged 14 and older served under IDEA-B exiting special education.
<b>Why Increase Occurred:</b>	Statute now requires data be disaggregated by gender and Limited English Proficiency status. Disaggregation of previously combined data elements increases burden.
<b>Change in Burden:</b>	54,840 hours
<b>Statute Title and Public Law:</b>	Individuals with Disabilities Education Improvement Act of 2004 (P.L. 108-446)
<b>Agency:</b>	Department of Education
<b>OMB Control Number:</b>	1820-0621
<b>Title:</b>	Report of Children with Disabilities Subject to Disciplinary Removal
<b>Purpose of the Collection:</b>	The Individuals with Disabilities Education Improvement Act (H.R. 1350) requires States to report the number of children and youth and the number of acts involving students served under IDEA involving a unilateral removal by school personnel or suspension/expulsion.
<b>Why Increase Occurred:</b>	The statute changed to require States report suspensions of 1 day or more. The previous requirement only required reporting of suspensions of 10 days or more.
<b>Change in Burden:</b>	121,640 hours
<b>Statute Title and Public Law:</b>	Individuals with Disabilities Education Improvement Act of 2004 (P.L. 108-446)
<b>Agency:</b>	Department of Education
<b>OMB Control Number:</b>	1820-New
<b>Title:</b>	Number of Children with Disabilities Enrolled in Private Schools by their Parents
<b>Purpose of the Collection:</b>	The Individuals with Disabilities Education Improvement Act requires Local Education Agencies to collect enrollment counts from the private schools and submit the counts to the State Education Agency.
<b>Why Increase Occurred:</b>	The statute requires Education to gather more information.
<b>Change in Burden:</b>	143,430 hours
<b>Statute Title and Public Law:</b>	Individuals with Disabilities Education Improvement Act of 2004 (P.L. 108-446)
<b>Agency:</b>	Department of Education



<b>OMB Control Number:</b>	1820-New
<b>Title:</b>	Local Education Agency (LEA) Consultation with Private School Representatives
<b>Purpose of the Collection:</b>	The Individuals with Disabilities Education Improvement Act requires Local Education Agencies to (1) provide a written explanation of the reason why services will not be provided to the school, in cases when services will not be provided; (2) collect a written affirmation from the private school representative that a timely, face-to-face consultation was held; and (3) forward both documents to the State Education Agencies.
<b>Why Increase Occurred:</b>	The statute requires Education to gather more information.
<b>Change in Burden:</b>	35,556 hours
<b>Statute Title and Public Law:</b>	Individuals with Disabilities Education Improvement Act of 2004 (P.L. 108-446)
<b>Agency:</b>	Department of Education
<b>OMB Control Number:</b>	1830-New
<b>Title:</b>	Perkins Accountability Regulations
<b>Purpose of the Collection:</b>	This collection is being used to collect additional data regarding student performance based on consultations with the states.
<b>Why Increase Occurred:</b>	Data elements and processes for collecting data were changed.
<b>Change in Burden:</b>	11,400 hours
<b>Statute Title and Public Law:</b>	Carl D. Perkins Vocational and Technical Education Act of 1998 (P.L. 105-332)
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-New
<b>Title:</b>	Notice of Denial of Prescription Drug Coverage
<b>Purpose of the Collection:</b>	Under the new Medicare Prescription Drug program, if a Part D plan denies drug coverage, the Part D plan must give the enrollee written notice of the coverage determination.
<b>Why Increase Occurred:</b>	HHS developed this collection in connection with the new statutory program.
<b>Change in Burden:</b>	528,000 hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173)
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-New
<b>Title:</b>	Medicare Prescription Drug Coverage and Your Rights
<b>Purpose of the Collection:</b>	Under the new Medicare Prescription Drug program, a Part D plan sponsor must arrange with its network pharmacies to post or distribute notices informing enrollees to contact their plan to request a coverage determination or an exception if the enrollee disagrees with the information provided by the pharmacy.
<b>Why Increase Occurred:</b>	HHS developed this collection in connection with the new statutory program.
<b>Change in Burden:</b>	583,333 hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173)
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-New
<b>Title:</b>	Electronic Data Interchange (EDI) Enrollment Form and the Medicare EDI Registration Form
<b>Purpose of the Collection:</b>	Health care providers, clearinghouses, and health plans that wish access to the Medicare system are required to complete this form. The information will be used to assure that those entities that access the Medicare system are aware of applicable provisions and penalties.

<b>Why Increase Occurred:</b>	HHS developed this collection in connection with the new statutory requirements.
<b>Change in Burden:</b>	400,000 hours
<b>Statute Title and Public Law:</b>	Health Insurance Portability and Accountability Act of 1996 (P.L. 104-191)
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-New
<b>Title:</b>	Coordination of Benefits between Part D Plans and Other Prescription Coverage Providers
<b>Purpose of the Collection:</b>	The information is necessary to assist with coordination of prescription drug benefits provided to the Medicare beneficiary at the pharmacy.
<b>Why Increase Occurred:</b>	HHS developed this collection in connection with the new statutory program.
<b>Change in Burden:</b>	1,017,914 hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173)
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-New
<b>Title:</b>	Medicare Health Support Program Medical Records Abstraction
<b>Purpose of the Collection:</b>	To monitor the performance of the Medicare Health Support (MHS) Program and promptly identify signs of program effects, CMS requests permission to extract data from the medical records of beneficiaries residing in eight pilot program areas.
<b>Why Increase Occurred:</b>	HHS developed this collection in connection with the new statutory program.
<b>Change in Burden:</b>	12,416 hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173)
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-New
<b>Title:</b>	Payment Error Rate Measurement in Medicaid and the State Children's Health Insurance Program (SCHIP)
<b>Purpose of the Collection:</b>	The Improper Payments Information Act (IPIA) of 2002 requires CMS to produce national error rates for Medicaid and SCHIP. To comply with the IPIA, CMS needs the information to be collected from states and providers in order to sample and review adjudicated claims in a randomly selected number of states.
<b>Why the increase occurred:</b>	This new collection gathers information required by the new statute.
<b>Change in Burden:</b>	58,680 hours
<b>Statute Title and Public Law:</b>	Improper Payment Information Act of 2002 (P.L. 107-300)
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-New
<b>Title:</b>	Retiree Drug Subsidy (RDS) Payment Request and Instructions
<b>Purpose of the Collection:</b>	Under the Medicare Prescription Drug, Improvement, and Modernization Act of 2003 and implementing regulations at 42 CFR Subpart R plan sponsors (employers, unions) who offer prescription drug coverage to their qualified covered retirees are eligible to receive a 28% tax-free subsidy for allowable drug costs. In order to qualify, plan sponsors must submit required prescription cost data to CMS in order to receive the subsidy.
<b>Why the increase occurred:</b>	This is a new collection required by regulations implementing a new statute.
<b>Change in Burden:</b>	1,850,000 hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173)
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-New

<b>Title:</b>	Competitive Acquisition Program (CAP) for Medicare Part B Drugs: Physician Election Agreement
<b>Purpose of the Collection:</b>	The CAP Physician Election Agreement will be used by physicians to elect to participate in the CAP beginning in the Fall of 2005.
Why the increase occurred:	This is a new collection.
<b>Change in Burden:</b>	20,000 hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173)
<b>Agency:</b>	Department of Homeland Security
<b>OMB Control Number:</b>	1652-New
<b>Title:</b>	Air Cargo Security Requirements
<b>Purpose of the Collection:</b>	To collect security information for all cargo aircraft operations, including background checks for indirect air carrier employees and individuals who have access to airport ramps used by cargo operators.
<b>Why Increase Will Occur:</b>	New requirements to ensure the security of air cargo.
<b>Change in Burden:</b>	59,607 hours and \$3,600,000
<b>Statute Title and Public Law:</b>	Aviation and Transportation Security Act (P.L. 107-71)
<b>Agency:</b>	Department of Homeland Security
<b>OMB Control Number:</b>	1652-New
<b>Title:</b>	Aircraft Repair Station Security Requirements
<b>Purpose of the Collection:</b>	To require repair stations to conduct vulnerability assessments as well as create and maintain a security program.
<b>Why Increase Will Occur:</b>	Statutory requirement to implement security measures at aircraft repair stations.
<b>Change in Burden:</b>	14,350 hours
Change in Costs:	\$140,000
<b>Statute Title and Public Law:</b>	Vision 100-Century of Aviation Reauthorization Act (P.L. 108-176)
<b>Agency:</b>	Department of Homeland Security
<b>OMB Control Number:</b>	1652-New
<b>Title:</b>	Secure Flight
<b>Purpose of the Collection:</b>	Requires air carriers to provide passenger name records to TSA for comparison against government-maintained terrorist watch lists.
<b>Why Increase Will Occur:</b>	This is a new program.
<b>Change in Burden:</b>	1,804,000 hours and \$36,200,000
<b>Statute Title and Public Law:</b>	Aviation and Transportation Security Act (P.L. 107-71)
<b>Agency:</b>	Department of Homeland Security
<b>OMB Control Number:</b>	1652-New
<b>Title:</b>	Transportation Worker Identification Credential
<b>Purpose of the Collection:</b>	To perform background checks and to provide for a single, uniform credential for transportation workers nationwide who require access to secure transportation areas. Initial implementation (via an NPRM) will begin with the maritime community.
<b>Why Increase Will Occur:</b>	This is a new program.
<b>Change in Burden:</b>	850,000 hours
<b>Statute Title and Public Law:</b>	Maritime Transportation Security Act of 2002 (P.L. 107-295)
<b>Agency:</b>	Department of Homeland Security
<b>OMB Control Number:</b>	1651-New
<b>Title:</b>	U.S.-Singapore Free Trade Agreement (SFTA)
<b>Purpose of the Collection:</b>	This collection of information will be necessary to ensure and verify that importers who claim preferential tariff treatment under the SFTA are entitled to such treatment.

<b>Why Increase Occurred:</b>	The increase will occur due to the signing of a new agreement with the Republic of Singapore and the subsequent enactment of legislation to implement the provisions of this agreement.
<b>Change in Burden:</b>	10,000 hours
<b>Statute Title and Public Law:</b>	United States–Singapore Free Trade Agreement Implementation Act, P.L. 108-78)
<b>Agency:</b>	Department of Housing and Urban Development
<b>OMB Control Number:</b>	2502-New
<b>Title:</b>	Manufactured Housing Installation Program
<b>Purpose of the Collection:</b>	HUD is authorized to establish and enforce construction and safety standards for manufactured homes and model manufactured home installation standards.
<b>Why Increase Occurred:</b>	The installation program has to meet Statutory requirements of the National Manufactured Housing Construction and Safety Standards Act, as amended by the Manufactured Housing Improvement Act of 2000,
<b>Change in Burden:</b>	157,000 hours
<b>Statute Title and Public Law:</b>	Manufactured Housing Improvement Act of 2000 (P.L. 106-569)
<b>Agency:</b>	Department of the Interior
<b>OMB Control Number:</b>	1010-New
<b>Title:</b>	30 CFR Part 285–Requirements for Alternative Energy or Alternative Use
<b>Purpose of the Collection:</b>	To develop a new program for alternative energy developments and alternate uses of existing facilities on the OCS.
<b>Why Increase Occurred:</b>	This new program is required by new statute.
<b>Change in Burden:</b>	10,000 hours
<b>Statute Title and Public Law:</b>	Energy Policy Act of 2005 (P.L. 109-190)
<b>Agency:</b>	Department of Transportation
<b>OMB Control Number:</b>	2120-New
<b>Title:</b>	Extended Operations (ETOPS) of Multi-Engine Airplanes
<b>Purpose of the Collection:</b>	Rule will codify current practices that permit certificated air carriers to operate two-engine airplanes over long-range routes.
<b>Why Increase Occurred:</b>	New agency rule
<b>Change in Burden:</b>	152,330 hours
<b>Agency:</b>	Department of Transportation
<b>OMB Control Number:</b>	2132-New
<b>Title:</b>	Job Access and Reverse Commute Programs
<b>Purpose of the Collection:</b>	Information is used to determine eligibility for grant benefits and ensure compliance with Federal requirements.
<b>Why Increase Occurred:</b>	Reporting activities required by SAFETEA-LU.
<b>Change in Burden:</b>	78,609 hours
<b>Statute Title and Public Law:</b>	Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) (P.L. 109-59)
<b>Agency:</b>	DOT/Federal Railroad Administration
<b>OMB Control Number:</b>	2130-0010
<b>Title:</b>	Track Safety Standards (Inspection of Continuous Welded Rail)
<b>Purpose of the Collection:</b>	To ensure that qualified persons inspect track and take action to allow the safe passage of trains and that railroads comply with the Track Safety Standards set out in this Part (49 CFR 213).
<b>Why Increase Occurred:</b>	FRA is issuing an interim final rule (IFR) to amend the Track Safety Standards at 49 CFR § 213.119 to improve the inspection of rail joints in continuous welded rail and thereby reduce the number of rail accidents.
<b>Change in Burden:</b>	27,485 hours

<b>Statute Title and Public Law:</b>	Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) (P.L. 109-59)
<b>Agency:</b>	Department of Veterans Affairs
<b>OMB Control Number:</b>	2900-0098
<b>Title:</b>	Application for Survivor's and Dependant's Educational Assistance.
<b>Purpose of the Collection:</b>	Spouses, surviving spouses, and children of veterans must submit evidence of basic eligibility for entitlement to Survivors' and Dependents' Educational Assistance (DEA) under chapter 35, title 38, U. S. C. VA Form 22-5490 serves as the application to apply for DEA benefits.
<b>Why Increase Occurred:</b>	The following laws require significant increases in hours and constitute a program change: Sections 101 and 303 of Public Law 108-183, enacted December 16, 2003, Sections 105 and 106 of Public Law 108-454, enacted December 10, 2004, all allowing claimants to receive additional benefits, but requiring VA to get added information from the individual.
<b>Change in Burden:</b>	15,500 hours
<b>Statute Title and Public Law:</b>	Veterans Benefits Act of 2003 (P.L. 108-183); Veterans Benefits Improvement Act of 2004 (P.L. 108-454)
<b>Agency:</b>	Department of Veterans Affairs
<b>OMB Control Number:</b>	2900-0154
<b>Title:</b>	Application for VA Education Benefits.
<b>Purpose of the Collection:</b>	In order to receive VA Educational Assistance Allowance under chapters 30 and 32 of title 38, U.S.C., chapters 1606 and 1607 of title 10, U.S.C., section 903 of Public Law 96-342, and the education provision under the National Call to Service provision of Public Law 107-314; veterans, servicepersons, reservists, and eligible dependents must complete VA Form 22-1990, Application for Education Benefits serves as the application to apply for benefits.
<b>Why Increase Occurred:</b>	Public Law 107-314 (National Call to Service) and Public Law 108-375 (Chapter 1607) created new educational assistance programs that will have an overall increase in the number of claimants anticipated to receive educational assistance. VA now has to administer two additional programs.
<b>Change in Burden:</b>	65,500 hours
<b>Statute Title and Public Law:</b>	Bob Stump National Defense Authorization Act for Fiscal Year 2003 (P.L. 107-314); Ronald W. Reagan National Defense Authorization Act for Fiscal Year 2005 (P.L. 108-375)
<b>Agency:</b>	Federal Energy Regulatory Commission
<b>OMB Control Number:</b>	1902-0096,
<b>Title:</b>	Electric Rate Schedule Filings, RM06-4 NOPR, Regulations Implementing EAct 2005, Providing Incentive-Based Rate Treatments for the Transmission of Electric Energy in Interstate Commerce by Public Utilities, section 1241
<b>Purpose of the Collection:</b>	To establish incentive-based (including performance-based) rate treatments for the transmission of electric energy in interstate commerce.
<b>How Increase Occurred:</b>	Section 1241 of EAct 2005 created section 219 of the Federal Power Act (FPA) which mandates that FERC establish through regulations incentive-based rate treatments for transmission of electric energy in interstate commerce.
<b>Change in Burden:</b>	51,080 hours
<b>Statute Title and Public Law:</b>	Energy Policy Act of 2005 (P.L. 109-58)
<b>Agency:</b>	Federal Energy Regulatory Commission
<b>OMB Control Number:</b>	1902-0212
<b>Title:</b>	Electric Reliability Organization, RM05-30 NOPR, Regulations Implementing EAct 2005, section 215
<b>Purpose of the Collection:</b>	FERC must issue regulations that establish criteria that an entity must satisfy in order to qualify as the Electric Reliability Organization and to develop

	procedures under which the ERO may propose or modify reliability standards and create procedures to enforce these standards.
<b>How Increase Occurred:</b>	The creation of certification procedures for potential applicants plus the procedures for development of reliability standards.
<b>Change in Burden:</b>	To be determined.
<b>Statute Title and Public Law:</b>	Energy Policy Act of 2005 (P.L. 109-58)
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-0416
<b>Title:</b>	Statement for Determining Continuing Eligibility For Supplemental Security Income Payments
<b>Purpose of the Collection:</b>	Form SSA-8203 collects information to determine in high-error probability redetermination cases if SSI recipients met and continue to meet statutory requirements for SSI eligibility.
<b>Why Increase Occurred:</b>	A new financial verification question has been added under authority of Section 213 of the Foster Care Independence Act of 1999.
<b>Change in Burden:</b>	11,250 hours
<b>Statute Title and Public Law:</b>	Foster Care Independence Act of 1999 (P.L. 106-169)
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-0444
<b>Title:</b>	Application for Supplemental Security Income
<b>Purpose of the Collection:</b>	The SSA-8001 is used to determine eligibility for and the amount of Supplemental Security Income payments.
<b>Why Increase Occurred:</b>	The burden for this collection increased because of a legislative change (Section 213 of the Foster Care Independence Act of 1999).
<b>Change in Burden:</b>	85,309 hours
<b>Statute Title and Public Law:</b>	Foster Care Independence Act of 1999 (P.L. 106-169)
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-0690
<b>Title:</b>	Reinstatement of Entitlement to Disability Benefits
<b>Purpose of the Collection:</b>	Individuals use this to request reinstatement of disability benefits via the Expedited Reinstatement provision.
<b>Why Increase Occurred:</b>	New statute.
<b>Change in Burden:</b>	14,299 hours
<b>Statute Title and Public Law:</b>	Ticket to Work and Work Incentives Improvement Act of 1999 (P.L. 106-170)
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-New
<b>Title:</b>	Medicare Prescription Drug Assistance Notices of Review and Redetermination Form
<b>Purpose of the Collection:</b>	Form SSA-1026 and its accompanying letters (SSA-L1026, active and passive versions) are used by SSA to conduct eligibility redeterminations for recipients of the Medicare Part D subsidy.
<b>Why Increase Occurred:</b>	These forms will help set up the redetermination system required by the Medicare Modernization Act of 2003.
<b>Change in Burden:</b>	658,334 hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173).
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-New
<b>Title:</b>	Representative Payment Policies and Administrative Procedures for Imposing Penalties for False or Misleading Statements or Withholding of Information

<b>Purpose of the Collection:</b>	These regulations establish rules and procedures for implementing provisions of the Social Security Protection Act of 2004 (SSPA).
<b>Why Increase Occurred:</b>	The regulations for the SSPA include public reporting requirements.
<b>Change in Burden:</b>	71,259 hours
<b>Statute Title and Public Law:</b>	Social Security Protection Act of 2004
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-New
<b>Title:</b>	Medicare Part B Regulations
<b>Purpose of the Collection:</b>	These regulations will establish the rules and procedures for implementing the legislatively mandated changes to Medicare Part B.
<b>Why Increase Occurred:</b>	The Medicare Modernization Act of 2003 includes changes to Medicare Part B, and rules are needed for these changes.
<b>Change in Burden:</b>	77,093 Hours
<b>Statute Title and Public Law:</b>	Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173).

### **FY 2006 Burden Increases from Miscellaneous Actions**

<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0579-New
<b>Title:</b>	14th Periodic Amendment, Importation of Fruits and Vegetables
<b>Purpose of the Collection:</b>	All shipments of citrus from foreign countries must be accompanied by a phytosanitary certificate issued by the National Plant Protection Organization of the foreign country with an additional declaration stating that the fruit originated in an area that is free of citrus canker
<b>Why Increase Occurred:</b>	APHIS proposes to amend the fruit and vegetables regulations to list a number of fruits and vegetables from certain parts of the world as eligible, under specified conditions, for importation into the United States..
<b>Change in Burden:</b>	121,541 hours
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0579-New
<b>Title:</b>	Cut Flowers from Countries with Chrysanthemum White Rust (CWR)
<b>Purpose of the Collection:</b>	Each shipment of cut flowers must be accompanied by a phytosanitary certificate issued by the National Plant Protection Organization of the country of origin that contains an additional declaration stating that the place of production as well as the consignment have been inspected and found free of Puccinia horiana. Box labels and other documents accompanying shipments of cut flowers must be marked with the identity of the registered production site.
<b>Why Increase Occurred:</b>	This program is necessary because of numerous recent findings of CWR on cut flowers from Europe that pose a risk of introducing CWR in the United States.
<b>Change in Burden:</b>	81,704 hours
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0579-New
<b>Title:</b>	Trinchinae Certification Program
<b>Purpose of the Collection:</b>	The purpose of the collection is to observe the swine housing and feeding facilities, review specific on-farm records and gather responses from the person responsible for care of the swine at the production sites.
<b>Why Increase Occurred:</b>	This is a new program to collect information regarding the methods and production practices used on-farm to produce pork in a more efficient and practical manner.
<b>Change in Burden:</b>	32,964

<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0579-New
<b>Title:</b>	National Veterinary Accreditation Program
<b>Purpose of the Collection:</b>	The purpose of this collection is to certify private veterinary practitioners to work cooperatively with Federal veterinarians and State animal health authorities to perform competent health certifications and to maintain extensive disease surveillance and monitoring.
<b>Why Increase Occurred:</b>	This is a new program to require accredited veterinarians to complete supplemental training modules and receive written approval from APHIS prior to performing accredited duties in a different State and require renewal of accreditation every 3 years.
<b>Change in Burden:</b>	15,000 hours
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0579-New
<b>Title:</b>	Chronic Wasting Disease (CWD), Herd Certification Program
<b>Purpose of the Collection:</b>	The purpose of this collection is to establish interstate movement requirements for the movement of cervids to prevent the interstate movement of elk and deer that pose a risk of spreading CWD.
<b>Why Increase Occurred:</b>	This is a new program that will require owners of elk and deer herds to follow program requirements for animal ID, testing, herd management, and movement of animals.
<b>Change in Burden:</b>	399,602 hours
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0583-New
<b>Title:</b>	Food Security Plans.
<b>Purpose of the Collection:</b>	To ensure that official establishments and plants, warehouses, and import houses have food security plans.
<b>Why Increase Occurred:</b>	New regulation requiring establishments to develop and maintain a Food Security Plan to help ensure the security of their food production and to safeguard the nation's food supply from the threat of terrorism.
<b>Change in Burden:</b>	26,272 hours
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0583-New
<b>Title:</b>	Nutrition Labeling of Ground or Chopped Meat and Poultry Products and Single Ingredient Products.
<b>Purpose of the Collection:</b>	To provide consumers with easily obtainable nutritional information.
<b>Why Increase Occurred:</b>	New regulation to provide additional nutrition labeling information.
<b>Change in Burden:</b>	186,588 hours
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0584-0055
<b>Title:</b>	7 CFR Part 226, Child and Adult Care Food Program
<b>Purpose of the Collection:</b>	The information collected is used by State agencies administering the program to determine eligibility, ensure acceptance of responsibility in managing an effective food service, implement systems of appropriating program funds, and ensure compliance with program requirements.
<b>Why Increase Occurred:</b>	E.O. 13279 and 13280 requires State agencies to collect and report information related to faith-based and community organizations.
<b>Change in Burden:</b>	10,385 hours
<b>Agency:</b>	Department of Agriculture
<b>OMB Control Number:</b>	0584-0074



<b>Title:</b>	Worksheet for Food Stamp Program Quality Control Review (FNS 380)
<b>Purpose of the Collection:</b>	The FNS-380 is a worksheet used in the Food Stamp Program to determine eligibility and benefits for households selected for review in the quality control sample of active cases.
<b>Why Increase Occurred:</b>	FNS increased the sample size from 54,703 to 57,146.
<b>Change in Burden:</b>	23,208 hours
<b>Agency:</b>	Department of Commerce
<b>OMB Control Number:</b>	0607-0919
<b>Title:</b>	2006 Census Test
<b>Purpose of the collection:</b>	Test of forms and procedures for the 2010 Census.
<b>Why Increase Occurred:</b>	Collection to be submitted as new.
<b>Change in Burden:</b>	37,808 hours
<b>Agency:</b>	Department of Commerce
<b>OMB Control Number:</b>	0607-New
<b>Title:</b>	2007 Economic Census, Classification Report NC-99023
<b>Purpose of the collection:</b>	To collect classifying information from select businesses prior to mailing the 2007 Economic Census.
<b>Why Increase Occurred:</b>	Collection to be submitted as new.
<b>Change in Burden:</b>	33,333 hours
<b>Agency:</b>	Department of Commerce
<b>OMB Control Number:</b>	0607-New
<b>Title:</b>	2007 Economic Census, Wholesale Trade
<b>Purpose of the collection:</b>	To collect, compile and publish 5-year census statistics about this sector(s) of the Nation's economy.
<b>Why Increase Occurred:</b>	Collection to be submitted as new.
<b>Change in Burden:</b>	830,000 hours
<b>Agency:</b>	Department of Commerce
<b>OMB Control Number:</b>	0607-New
<b>Title:</b>	2007 Economic Census, Retail Trade; and Accommodation & Food Services
<b>Purpose of the collection:</b>	To collect, compile and publish 5-year census statistics about this sector(s) of the Nation's economy.
<b>Why Increase Occurred:</b>	Collection to be submitted as new.
<b>Change in Burden:</b>	1,500,000 hours
<b>Agency:</b>	Department of Commerce
<b>OMB Control Number:</b>	0607-New
<b>Title:</b>	2007 Economic Census, Utilities; Transportation & Warehousing; Finance & Insurance; and Real Estate, Rental and Leasing
<b>Purpose of the collection:</b>	To collect, compile and publish 5-year census statistics about this sector(s) of the Nation's economy.
<b>Why Increase Occurred:</b>	Collection to be submitted as new.
<b>Change in Burden:</b>	960,000 hours
<b>Agency:</b>	Department of Commerce
<b>OMB Control Number:</b>	0607-New
<b>Title:</b>	2007 Economic Census, Information; Professional, Scientific, and Technical Services; Management of Companies & Enterprises; Administrative and Support and Waste Management and Remediation Services; Educational Services; Health Care & Social Assistance; Arts, Entertainment, & Recreation, and Other Services
<b>Purpose of the collection:</b>	To collect, compile and publish 5-year census statistics about this sector(s) of the Nation's economy.

<b>Why Increase Occurred:</b>	Collection to be submitted as new.
<b>Change in Burden:</b>	1,600,000 hours
<b>Agency:</b>	Department of Commerce
<b>OMB Control Number:</b>	0651-0031
<b>Title:</b>	Patent Processing (Updating)
<b>Purpose of the Collection:</b>	During the pendency of a patent application or the period of enforceability of a patent, certain situations arise which require that additional information be supplied so the USPTO may further process the patent or application.
<b>Why Increase Occurred:</b>	Addition of requirements and fees related to new and proposed rules and increased fees relating to existing requirements based on fluctuations in the Consumer Price Index (CPI).
<b>Change in Burden:</b>	77,400 hours and \$32,888,473 in annual costs
<b>Agency:</b>	Department of Education
<b>OMB Control Number:</b>	1850-New
<b>Title:</b>	Impact Evaluation of a School-Based Violence Prevention Program
<b>Purpose of the Collection:</b>	In an ongoing effort to reduce the levels of violence and bullying in our nation's middle schools, the U.S. Department of Education is sponsoring a national study of a violence prevention intervention. The purpose of the study is to implement and test an intervention that combines a classroom-based curriculum with a whole-school approach. The study will implement and evaluate the program using a rigorous randomized control trial design.
<b>Why Increase Occurred:</b>	This is a new collection to support Section 4121 of the No Child Left Behind Act (P.L. 107-110) authorizing the Secretary to carry out programs to promote safety.
<b>Change in Burden:</b>	36,200 hours
<b>Agency:</b>	Department of Education
<b>OMB Control Number:</b>	1865-New
<b>Title:</b>	Emerging Issues in Character Education
<b>Purpose of the Collection:</b>	This collection is designed to describe the implementation of the Partnerships in Character Education program over time, and its performance with a particular emphasis on understanding how and to what extent the program helps further the goals of the No Child Left Behind legislation. The findings will help make program and policy decisions related to the improved use and integration of educational technology.
<b>Why Increase Occurred:</b>	This is a new collection.
<b>Change in Burden:</b>	11,250 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0910-0437
<b>Title:</b>	Medical Device Reporting: Manufacturer Reporting, Importer Reporter, User Facility Reporting, and Distributor Reporting
<b>Purpose of the Collection:</b>	Information from these reports will be used to evaluate risks associated with medical devices and to enable FDA to take appropriate regulatory measures to protect the public health.
<b>Why Will Increase Occur:</b>	The total amount of burden hours increased by 30,379 hours because of two changes. First, although reports no longer need to be submitted to FDA, device distributors must still maintain records of complaints. Second, the burden formerly associated with distributors' reports was not previously transferred from the reporting to the recordkeeping burden section.
<b>Change in Burden:</b>	30,379 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0910-0460

<b>Title:</b>	Current Good Manufacturing Practice for Blood and Blood Components; Notification of Consignees and Transfusion Recipients Receiving Blood and Blood Components at Increased Risk of Transmitting HCV Infection (Lookback); Final Rule
<b>Purpose of the Collection:</b>	This collection of information provides important information to consignees and/or recipients of prior collections of blood and blood components from a donor who later returned to donate and tested repeatedly reactive for antibody to HIV or HCV.
<b>Why Increase Occurred:</b>	This is a new program.
<b>Change in Burden:</b>	723,264 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0910-New
<b>Title:</b>	Labeling for Human Prescription Drugs; Revised Format
<b>Purpose of the Collection:</b>	Amend regulations at 21 CFR 201.56 and 201.57 governing the format and content of prescription drug labeling.
<b>Why Increase Occurred:</b>	This is a revision of an existing collection due to publication of a final rule.
<b>Change in Burden:</b>	501,383 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0910-New
<b>Title:</b>	Current Good Manufacturing Practices (CGMPs) for Positron Emission Tomography (PET) drugs.
<b>Purpose of the Collection:</b>	Section 121 of the FDA Modernization Act directs FDA to establish CGMP requirements for PET drugs.
<b>Why Increase Occurred:</b>	FDA intends to publish a new regulation.
<b>Change in Burden:</b>	86,656 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0910-New
<b>Title:</b>	Draft Guidance for Industry on the Pediatric Research Equity Act
<b>Purpose of the Collection:</b>	Provide guidance on interpreting the Pediatric Research Equity Act
<b>Why Increase Occurred:</b>	New Guidance
<b>Change in Burden:</b>	12,580 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0910-New
<b>Title:</b>	Human Subject Protection; Foreign Clinical Studies Not Conducted Under an IND.
<b>Purpose of the Collection:</b>	Use of foreign clinical studies in drug marketing approval applications.
<b>Why Increase Occurred:</b>	FDA will publish a new final rule.
<b>Change in Burden:</b>	18,400 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0910-New
<b>Title:</b>	Safety Reporting Requirements for Human Drugs
<b>Purpose of the Collection:</b>	This rule provides information about safety reporting requirements for human drugs.
<b>Why Increase Occurred:</b>	This is the total burden in the proposed rule. The final rule will be published in parts. Therefore, each final rule (part) will have a lower burden than was in the proposal. There is a possibility that one or more parts will publish in 2006.
<b>Change in Burden:</b>	5,799,477 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0910-New

<b>Title:</b>	Foreign and Domestic Establishment Registration and Listing Requirements; proposed rule
<b>Purpose of the Collection:</b>	Electronic submission of drug establishment registration information and drug product listing information (revision to 21 CFR 207).
<b>Why Increase Occurred:</b>	New Collection
<b>Change in Burden:</b>	196,342 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0910-New
<b>Title:</b>	Treatment use of Investigational Drugs
<b>Purpose of the Collection:</b>	Amend the IND regulations to describe the way patients may obtain investigational drugs for treatment use.
<b>Why Increase Occurred:</b>	Revision as part of a proposed rule.
<b>Change in Burden:</b>	23,084 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0920-0134
<b>Title:</b>	Foreign Quarantine Regs (42 CFR 71)
<b>Purpose of the Collection:</b>	2 CFR Part 71 authorizes quarantine officers and other personnel to inspect and undertake necessary control measures with respect to conveyances, persons, and shipment of animals and etiologic agents in order to protect the public's health.
<b>Why Increased Occurred:</b>	Addition of the passenger locator forms.
<b>Change in the Burden:</b>	225,015 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0920-0696
<b>Title:</b>	Program Evaluation and Monitoring System (PEMS)
<b>Purpose of the collection:</b>	The purpose of this data collection will be to collect standardized data from grantees regarding: 1) agency or organizational identifiers and descriptors; 2) intervention activities; 3) behavioral or service outcomes at the client level; and 4) program performance indicators.
<b>Why Increase Occurred:</b>	New collection
<b>Change in the Burden:</b>	122,172 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0920-New
<b>Title:</b>	Estimating the Cost of Sigmoidoscopy and Colonoscopy for Colorectal Cancer Screening in U.S. Healthcare Facilities
<b>Purpose of Collection:</b>	The estimated procedure costs will be compared to the reimbursement rates for both screening procedures, to determine whether the difference between payments to facilities and costs incurred is a potential barrier to expansion of CRC screening to uninsured or underinsured populations.
<b>Why Increase Occurred:</b>	New submission
<b>Change in Burden:</b>	11,011 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0920-New
<b>Title:</b>	A Site Specific Modular Evaluation Instrument for Behavior Outcome Measurement
<b>Purpose of Collection:</b>	A standardized methodology to monitor outcomes associated with agency intervention will provide the data needed for demonstrating effectiveness and efficiency as well as identifying areas for improvement.
<b>Why Increase Occurred:</b>	New submission
<b>Change in Burden:</b>	20,438 hours
<b>Agency:</b>	Department of Health and Human Services

<b>OMB Control Number:</b>	0920-New
<b>Title:</b>	Centers of Excellence for Autism and Developmental Disabilities Research and Epidemiology (CADDRE)
<b>Purpose of the Collection:</b>	The purpose of this study is to conduct a multi-site, collaborative epidemiological investigation of possible causes for autism spectrum disorders.
<b>Why Increase Occurred:</b>	New submission
<b>Change in Burden:</b>	10,000 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0920-New
<b>Title:</b>	Public Health Injury Surveillance and Prevention Programs
<b>Purpose of the Collection:</b>	This project will develop and maintain injury surveillance programs including those that focus on traumatic brain injury. Program recipients will collect information from pre-existing state data sets to calculate injury indicators in their state.
<b>Why Increase Occurred:</b>	New submission
<b>Change in the Burden:</b>	12,000 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0920-New
<b>Title:</b>	Morbidity Monitoring Project
<b>Purpose of the Collection:</b>	The purpose of this data collection is to supplement HIV/AIDS surveillance programs in 26 selected state and local health departments by collecting data on behaviors and clinical outcomes
<b>Why Increase Occurred:</b>	New submission
<b>Change in the Burden:</b>	18,200 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0920-New
<b>Title:</b>	The National Electronic Data Surveillance System (NEDSS)
<b>Purpose of the Collection:</b>	NEDSS is an initiative that promotes the use of data and information system standards to advance the development of efficient, integrated, and interoperable surveillance systems at federal, state, and local levels.
<b>Why Increase Occurred:</b>	New submission.
<b>Change in the Burden:</b>	58,903 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0920-New
<b>Title:</b>	Environmental Health Specialists Network (EHS-Net) Data Collection Methodology and Instrument
<b>Purpose of the Collection:</b>	The National Center for Environmental Health (NCEH) plans to use the Environmental Health Specialists Network (EHS-Net) system to assist public health officials in better identifying and assessing environmental factors contributing to foodborne outbreaks.
<b>Why Increase Occurred:</b>	New submission.
<b>Change in the Burden:</b>	16,800 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0925-0406
<b>Title:</b>	Agriculture Health Study
<b>Purpose of the Collection:</b>	To learn why farmers and other agriculture workers are at a greater risk of certain cancers compared to the rest of the population.
<b>Why Increase Occurred:</b>	The number of CAT1 interviews is very large, so the program may need to extend data collection for a fourth year.
<b>Change in Burden:</b>	40,563 hours

<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0930-0266
<b>Title:</b>	Access to Recovery (ATR) Program
<b>Purpose of the collection:</b>	States will use these outcome measures to meet the reporting requirements of the Government Performance and Results Act (GPRA) by quantifying the effects and accomplishments of the funded programs.
<b>Why Increase Occurred:</b>	New Project
<b>Change in Burden:</b>	81,318 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-New
<b>Title:</b>	National Implementation of the Hospital CAHPS Survey
<b>Purpose of the Collection:</b>	HCAHPS includes a nationally recognized and quality approved standardized survey instrument and data collection protocol allowing for flexibility in the mode of administration.
<b>Why Increase Occurred:</b>	New Collection.
<b>Change in Burden:</b>	285,525 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-New
<b>Title:</b>	Wheelchairs (Including POV)
<b>Purpose of the Collection:</b>	For Medicare to consider item for coverage and payment.
<b>Why Increase Occurred:</b>	New Collection.
<b>Change in Burden:</b>	260,000 hours
<b>Agency:</b>	Department of Health and Human Services
<b>OMB Control Number:</b>	0938-New
<b>Title:</b>	Ambulance Services (42 CFR 410.40, 410.41, and 414.610)
<b>Purpose of the Collection:</b>	The Ambulance Supplier form will be used by Medicare Carriers to ensure that established compliance requirements are met. By separate submission, suppliers will be required to submit additional information to Medicare Carriers when operational changes occur, thus ensuring that Carrier records are up-to-date
<b>Why Increase Occurred:</b>	New Collection.
<b>Change in Burden:</b>	20,634 hours
<b>Agency:</b>	Department of Homeland Security
<b>OMB Control Number:</b>	1660-0017
<b>Title:</b>	Public Assistance Progress Report and Program Forms
<b>Purpose of the Collection:</b>	FEMA regulation 44 CFR, Section 206.204(f) requires grantees to submit quarterly progress reports for large disaster response projects.
<b>Why Increase Occurred:</b>	First, the program has experienced an increase in the number of participating State, local, and Tribal governments and qualifying Not-for-Profit organizations, mostly attributed to the increased number and magnitude of disaster events. Secondly, the use of the Progress Report has been expanded to include respondents from a similar program (OMB 1660-0058) or discontinued collection (OMB 1660-0063).
<b>Change in Burden:</b>	40,927 hours
<b>Agency:</b>	Department of Homeland Security
<b>OMB Control Number:</b>	1660-0062
<b>Title:</b>	State/Local/Tribal Hazard Mitigation Plans Under Section 322 of the Disaster Mitigation Act of 2000
<b>Purpose of the Collection:</b>	Section 322 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C. 5165, enacted under Pub.L.106-390, requires that each state, local, and tribal government have a hazard mitigation plan in place in order to receive Stafford Act assistance, excluding assistance provided pursuant

<b>Why Increase Occurred:</b>	to emergency provisions. This collection allows FEMA to collect information needed to approve mitigation plans and determine eligibility for such assistance.
<b>Change in Burden:</b>	The change in burden hours is largely due to the change in hours required for local, State, or Tribal governments to complete a new plan. 410,000 hours
<b>Agency:</b>	Department of Homeland Security
<b>OMB Control Number:</b>	1652-New
<b>Title:</b>	Passenger Identity Verification Form
<b>Purpose of the Collection:</b>	For individuals who have a name similarity to individual on a TSA watch list, and are thus negatively affected when traveling aboard commercial aircraft, to distinguish themselves from the actual individual on a TSA watch list; helps streamline and expedite future check-in experiences.
<b>Why Increase Will Occur:</b>	Improvement to an existing program.
<b>Change in Burden:</b>	26,000 hours
<b>Agency:</b>	Department of Justice
<b>OMB Control Number:</b>	1140-0029
<b>Title:</b>	Records and Supporting Data: Daily Summaries, Records of Production, Storage and Disposition and Supporting Data by Explosives Manufacturers
<b>Purpose of the Collection:</b>	To show the daily activities in the manufacture, use, storage, and disposition of explosive materials by manufacturers. The records show where and to whom explosive materials are sent and if lost or stolen, ATF will be immediately notified on the discovery of the loss or theft.
<b>Why Increase Occurred:</b>	There is an increase in the number of licensed explosive manufacturers that are required to maintain records.
<b>Change in Burden:</b>	61,685 hours
<b>Agency:</b>	Department of Labor; OSHA
<b>OMB Control Number:</b>	1218-0252
<b>Title:</b>	Chromium VI Final Rule for General Industry, Maritime, and Construction
<b>Purpose of the Collection:</b>	The purpose of the Final rule is to reduce the numbers of fatalities and illnesses occurring among employees exposed to Chromium VI in general industry, construction and maritime. Exposure to Chromium VI poses significant risk of lung cancer, nasal septum ulcerations and perforations, dermatoses and asthma to workers.
<b>Why Increase Occurred:</b>	New collection of information.
<b>Change in Burden:</b>	696,630 hours and \$36,625,000
<b>Agency:</b>	Department of Labor
<b>OMB Control Number:</b>	1220-0011
<b>Title:</b>	Report on Current Employment Statistics
<b>Purpose of the Collection:</b>	The Current Employment Statistics (CES) program provides current monthly statistics on employment, hours, and earnings, by industry and geography. CES estimates are among the most visible and widely-used Principal Federal Economic Indicators (PFEIs). CES data are also among the timeliest of the PFEIs, with their release each month by BLS in the <i>Employment Situation</i> , typically on the first Friday of each month. The statistics are fundamental inputs in economic decision processes at all levels of government, private enterprise, and organized labor.
<b>Why Increase Occurred:</b>	Introduction of all employee payroll data.
<b>Change in Burden:</b>	18,300 hours
<b>Agency:</b>	Department of Labor
<b>OMB Control Number:</b>	1293-New
<b>Title:</b>	Uniformed Services Employment and Reemployment Rights Act of 1994

<b>Purpose of the Collection:</b>	The rule serves to protect the employment and reemployment rights of veterans and employees who must be absent from their civilian jobs in order to fulfill their Reserve or National Guard obligations.
<b>Why Increase Occurred:</b>	New collection of information.
<b>Change in Burden:</b>	66,250 hours
<b>Agency:</b>	Department of Transportation
<b>OMB Control Number:</b>	2120-New
<b>Title:</b>	Enhanced Airworthiness Program for Airplane Systems/Fuel Tank Safety (EAPAS/FTS)
<b>Purpose of the Collection:</b>	The rule consists of regulatory changes applying to wiring systems and fuel tank systems in transport category airplanes.
<b>Why Increase Occurred:</b>	New agency rule
<b>Change in Burden:</b>	113,899 hours
<b>Agency:</b>	Department of Transportation
<b>OMB Control Number:</b>	2130-0568
<b>Title:</b>	Federal Railroad Administration (FRA) Emergency Order No. 24
<b>Purpose of the Collection:</b>	To require railroads to modify their operating rules and take certain other actions to ensure that their employees properly operate hand-operated main track switches in non-signaled territory.
<b>Why Increase Occurred:</b>	Due to a recent spate of rail accidents involving improperly set hand-operated main track switches, FRA determined that public safety compelled it to issue this Emergency Order (No. 24).
<b>Change in Burden:</b>	106,250 hours
<b>Agency:</b>	Department of Transportation
<b>OMB Control Number:</b>	2137-0022
<b>Title:</b>	“Testing, Inspection, and Marking Requirements for Cylinders”
<b>Purpose of the Collection:</b>	Information is needed to ascertain whether cylinders have been qualified, inspected, and retested in accordance with the hazardous materials regulations in order to assure the safe transportation of hazardous materials in cylinders.
<b>Why Increase Occurred:</b>	Docket HM-220F, Notice of Proposed Rulemaking (NPRM), “Aluminum Cylinders Manufactured of Aluminum Alloy 6351-T6 Used in Scuba, SCBA, And Oxygen Service-Revised Requalification and Use Criteria,” was published on September 10, 2003, proposing to amend the requirements concerning these types of cylinder to enhanced safety. In order to expand the types of cylinders affected, a supplemental NPRM was published on October 26, 2005.
<b>Change in Burden:</b>	133,333 hours and \$964,000
<b>Agency:</b>	Department of Veterans Affairs
<b>OMB Control Number:</b>	2900-New
<b>Title:</b>	Regulation on Beneficiary Travel
<b>Purpose of the Collection:</b>	Information is used to assist in determining eligibility for Beneficiary Travel benefit and in certain cases to ascertain reimbursement or payment amounts for this benefit. Appropriate VA health care facility staff will collect verbal and possibly written information (such as a bill, receipt or appointment letter) from the potential beneficiary when that person requests Beneficiary Travel reimbursement. Information collected will depend on the claimant’s current eligibility status and benefit requested.
<b>Why Increase Occurred:</b>	Standardization of criteria in these regulations places a reporting burden on veterans wishing to be considered for beneficiary travel reimbursement.
<b>Change in Burden:</b>	390,708 hours
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2040-0042



<b>Title:</b>	Underground Injection Control Program Information
<b>Purpose of the Collection:</b>	To estimate the burden and cost to owners and operators of Class I - V injection wells in order to demonstrate compliance with Underground Injection Control regulations. Respondents submit permit applications, wastewater monitoring data, mechanical integrity testing reports, and well closure reports. Primacy states submit information to EPA on permits, compliance and enforcement, inspections, and inventory for all well classes.
<b>Why Increases Occurred:</b>	Statutory change in Class II and Class V UIC Programs
<b>Change in burden:</b>	127,843 hours
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2040-0266
<b>Title:</b>	Microbial Rules; National Primary Drinking Water Regulations: Long Term 2 Enhanced Surface Water Treatment Rule
<b>Purpose of the Collection:</b>	To allow the States and EPA to determine appropriate requirements for specific public water systems and to evaluate compliance with the LT2ESWTR rule designed to significantly reduce levels of Cryptosporidium in finished drinking water. For the first 3 years after LT2ESWTR promulgation, the major information requirements concern monitoring activities and compliance tracking.
<b>Why Increases Occurred:</b>	New regulatory monitoring, reporting, and public notification requirements
<b>Change in burden:</b>	141,498 hours and \$7,016,000
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2040-New
<b>Title:</b>	Disinfectants/Disinfection By-products, National Primary Drinking Water Regulations: Stage 2 Disinfection Byproducts Rule
<b>Purpose of the Collection:</b>	To allow the States and EPA to determine appropriate requirements for specific water systems, and to evaluate compliance with the rule. For the first three years after Stage 2 DBPR promulgation, the major information requirements involve monitoring activities, which include conducting the Initial Distribution System Evaluation (IDSE), submission of the IDSE report, and tracking compliance.
<b>Why Increases Occurred:</b>	New Regulation
<b>Change in Burden:</b>	222,576 hours and \$9,083,000
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2040-New
<b>Title:</b>	Microbial Rules; Ground Water Rule
<b>Purpose of the Collection:</b>	The final Ground Water Rule establishes a risk-based approach to target ground water systems that are vulnerable to fecal contamination instead of requiring disinfection for all ground water systems. The information collected as result of the final GWR will allow the States and EPA to make decisions and evaluate compliance with the rule.
<b>Why Increases Occurred:</b>	New Regulation
<b>Change in burden:</b>	370,571 hours
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2040-New
<b>Title:</b>	Survey of Airport Deicing Operations
<b>Purpose of the Collection:</b>	To help the Agency compile a national assessment of wastewater discharges from aircraft and runway deicing operations. This ICR is part of an effort to develop wastewater discharge standards, called "effluent guidelines" for airports pursuant to the Agency's 2004 Effluent Guidelines Plan. The airport questionnaire will collect technical and economic information on pavement

	deicing, wastewater collection, and treatment systems. The airline questionnaire will collect similar information on aircraft operations.
<b>Why Increases Occurred:</b>	New collection
<b>Change in Burden:</b>	20,851 hours
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2050-0053
<b>Title:</b>	Wastewater Treatment Exemptions
<b>Purpose of the Collection:</b>	To allow respondents to prepare and submit the site-specific sampling and analysis plan to notify the regulatory agency of an exemption now that benzene and 2-ethoxyethanol have been added to the list of solvents whose mixtures with wastewaters are exempted from the definition of hazardous waste.
<b>Why Increase Occurred:</b>	New regulation
<b>Change in Burden:</b>	45,898 hours
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2060-0571
<b>Title:</b>	Best Workplaces for Commuters Program (Survey)
<b>Purpose of the Collection:</b>	To establish information for participation in EPA's Best Workplaces for Commuters (BWC) program, to develop a baseline of information on employee commuter benefits and commuting habits, to provide support for and recognize BWC Employers, and to monitor and measure success of the BWC program.
<b>Why Increase Occurred:</b>	New survey
<b>Change in Burden:</b>	14,338 hours
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2070-0133
<b>Title:</b>	Pesticide Container Design and Residue Removal Regulations (40 CFR 165)
<b>Purpose of the Collection:</b>	To evaluate the hazards associated with the use and disposal of pesticide containers. Title 7, Section 136q of the United States Code requires EPA to establish pesticide container design standards as well as pesticide residue removal standards and procedures. This collection requires businesses subject to the container regulations (pesticide registrants) and repackaging regulations (registrants and refillers) to maintain records of test data, cleaning procedures, certain data when a container is refilled and other supporting information.
<b>Why Increase Occurred:</b>	New collection.
<b>Change in Burden:</b>	173,635 hours
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2070-New
<b>Title:</b>	Pesticide Containment Structure Regulations (40 CFR 165)
<b>Purpose of the Collection:</b>	To prevent pesticide contamination of soil, ground water and surface water at specified sites where pesticides are stored in refillable bulk containers and where container refilling operations occur. This collection will require agricultural retailers and refilling establishments, custom blenders and commercial applicators of agricultural pesticides to maintain certain records to help ensure the safe use, reuse and refill of containers pursuant to 7 USC 136q.
<b>Why Increase Occurred:</b>	New collection.
<b>Change in Burden:</b>	20,305 hours
<b>Agency:</b>	Environmental Protection Agency
<b>OMB Control Number:</b>	2070-New
<b>Title:</b>	Endocrine Disruptor Screening Program
<b>Purpose of the Collection:</b>	To gather certain data under a chemical screening program in order to determine whether certain chemical substances may have hormonal effects in humans, as required by the section 408(p) of the Federal Food, Drug and Cosmetics Act, as

	amended by the Food Quality Protection Act (21 USC 346a(p)). The most likely respondents to this collection pesticide manufacturers/registrants.
<b>Why Increase Occurred:</b>	New collection.
<b>Change in Burden:</b>	10,000 hours
<b>Agency:</b>	Federal Trade Commission
<b>OMB Control Number:</b>	3084-0047
<b>Title:</b>	FTC Administrative Activities
<b>Purpose of the Collection:</b>	The FTC's Bureau of Consumer Protection uses various telephone complaint hotlines, and alternatively, three different online complaint forms to handle consumer grievances.
<b>Why Increase Occurred:</b>	Implementation of the Do Not Call Registry (with a resulting increase in consumer complaints about unwanted calls); a planned revision of the FTC identity theft complaint form; a new applicant background form that will collect race and ethnicity data in accordance with directives from the U.S. Equal Employment Opportunity Commission; and two new FTC program evaluations of limited scope, consisting of a review of the FTC's Competition Advocacy Program and review of the FTC's divestiture orders.
<b>Change in Burden:</b>	24,000 hours
<b>Agency:</b>	Nuclear Regulatory Commission
<b>OMB Control Number:</b>	3150-0011
<b>Title:</b>	10 CFR 50, Domestic Licensing of Production and Utilization Facilities
<b>Purpose of the Collection:</b>	To allow licensees to adopt risk-informed, performance-based National Fire Protection Association Standard 805 as a voluntary alternative to deterministic fire protection requirements.
<b>Why Increase Occurred:</b>	New agency rule.
<b>Change in Burden:</b>	49,116 hours
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-0643
<b>Title:</b>	Statement for Determining Continuing Eligibility for Supplemental Security Income Payments—Adult and Child
<b>Purpose of the Collection:</b>	The SSA-3988 and SSA-3989 are used to determine whether SSI recipients have met and continue to meet all statutory and regulatory non-medical requirements for SSI eligibility, and whether they have been and are still receiving the correct payment amount.
<b>Why Increase Occurred:</b>	Revised Reinstated Forms
<b>Change in Burden:</b>	309,834 hours
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-New
<b>Title:</b>	General Request for Social Security Records—eFOIA
<b>Purpose of the Collection:</b>	The eFOIA is used to request public information from SSA. SSA uses the information collected on this electronic request for Social Security records to respond to the public's request for information under the rights provided by the Freedom of Information Act, and to track those requests by amount received, type of request, fees charged and responses sent within the required 20 days.
<b>Why Increase Occurred:</b>	New collection.
<b>Change in Burden:</b>	15,000 hours
<b>Agency:</b>	Social Security Administration
<b>OMB Control Number:</b>	0960-New
<b>Title:</b>	Consent Based Social Security Number Verification
<b>Purpose of the Collection:</b>	This service provides a fee based automated SSN verification service for private businesses and other requesting parties registered to use the system.

**Why Increase Occurred:**

New collection.

**Change in Burden:**

518,088 hours and \$7.4 Million

## Appendix C. Paperwork Reduction Act Compliance

### FY 2005 Violations Due to Lapse of OMB Approval

OMB Control Number	Title	Expiration Date	Reinstatement Date
<b>Department of Agriculture</b>			
0596-0087	Commercial Use of Woodsy Owl Symbol	03/31/2004	3/2/2005
0596-0163	SRS Publications Evaluation Card	09/30/2004	11/22/2004
<b>Department of Defense</b>			
0702-0018	Uniform Tender of Rates and/or Charges for Domestic Transportation Services (DoD/USCG Sponsored Household Goods)	10/31/2003	12/13/2004
0702-0022	Tender of Service and Letter of Intent for Personal Property Household Goods and Unaccompanied Baggage Shipments	10/31/2003	12/8/2004
0704-0264	Registration for Scientific and Technical Information Services	6/30/2004	12/13/2004
0704-0368	National Security Education Program (Service Agreement Report for Scholarship and Fellowship Awards)	3/31/2004	12/8/2004
0710-0013	Shipper's Export Declaration (SED) Program	2/29/2004	1/27/2005
<b>Department of Education</b>			
1845-0011	William D. Ford Federal Direct Loan Program Deferment Request Forms	06/30/2005	08/23/2005
1845-0015	Federal Family Education Loan, Direct Loan, and Perkins Loan Discharge Applications (JS)	06/30/2005	08/31/2005
1845-0053	Federal Direct Consolidation Loan Program Application Documents (JS)	04/30/2005	08/23/2005
1845-0066	Experimental Sites Initiative-Data Collection Instrument	06/30/2005	08/30/2005
<b>Department of Health and Human Services</b>			
0992-0005	Title 45 CFR Part 95, Subpart F -- Automatic Data Processing and Services-Conditions for Federal Financial Participation	11/30/2004	04/21/2005
0920-0337	National Childhood Blood Lead Surveillance System	10/31/2004	05/06/2005
0920-0012	National Infections Surveillance System	12/31/2003	2/3/2005
0937-0191	Application Packets for Real Property for Public Health Purposes	7/31/2004	2/3/2005
0970-0030	Refugee Resettlement Program Estimates: CMA	8/31/2004	2/1/2005
0970-0043	Refugee State of Origin Report	8/31/2004	3/4/2005
0970-0085	Provision of Services in Interstate Child Support Enforcement: Standard Forms	12/31/2003	1/19/2005
0970-0222	National Medical Support Notice - Final Rule	9/30/2004	2/1/2005

OMB Control Number	Title	Expiration Date	Reinstatement Date
0980-0270	Developmental Disabilities Protection and Advocacy Statement of Goals and Priorities	12/31/2003	3/4/2005
<b>Department of Homeland Security</b>			
1615-0004	Supplement A to form I-539 (Filing Instructions for V Nonimmigrant Status)	05/31/2005	08/11/2005
1615-0007	Alien Change of Address Card	10/31/2004	08/30/2005
1615-0017	Application for Advance Permission to Enter as Nonimmigrant (Pursuant to 212(d)(3) of the Immigration and Nationality Act)	01/31/2005	9/26/2005
1615-0019	Application for Removal	05/31/2005	08/11/2005
1615-0022	Request to Enforce Affidavit of Financial Support and Intent to Petition for custody	10/31/2004	08/11/2005
1615-0023	Application to Register Permanent Residence or Adjust Status, and Supplement A to Form I-485	05/31/2005	9/26/2005
1615-0033	Medical Examination of Aliens Seeking Adjustment of Status	05/31/2005	09/12/2005
1615-0035	Application to Adjust Status from Temporary to Permanent Resident	02/28/2005	06/13/2005
1615-0038	Petition to Remove the Conditions on Residence	01/31/2005	9/26/2005
1615-0060	Medical Certification for Disability Exceptions	03/31/2005	08/11/2005
1615-0064	Special Immigrant Visas for Fourth Preference Employment-Based Broadcasters	05/31/2005	9/26/2005
1625-0004	USCG Academy Application and Supplemental Forms	12/31/2004	09/01/2005
1625-0006	Shipping Articles	10/31/2004	08/16/2005
1625-0013	Plan Approval and Records for Load Lines	05/31/2005	08/17/2005
1651-0114	Alien Crewman Landing Permit	10/31/2004	02/08/2005
1651-0122	Screening Requirements of Carriers	11/30/2004	
1653-0021	Application for Stay of Deportation or Removal	05/31/2005	08/11/2005
1653-0028	Request for the Return of Original Documents)	03/31/2005	08/17/2005
1660-0010	Implementation of Coastal Barrier Resources Act	02/28/2005	05/13/2005
2502-0527	Rehabilitation Mortgage Insurance Underwriting Program	4/30/2004	10/29/2004
2502-0541	Lender Application for Participation in Multifamily Accelerated Processing (MAP)	2/29/2004	11/15/2004
<b>Department of Housing and Urban Development</b>			
2577-0012	Outline Specifications	10/31/2004	02/28/2005
2577-0025	Periodical Estimate for Partial Payment and Related Schedules	10/31/2004	01/18/2005
2502-0527	Rehabilitation Mortgage Insurance Underwriting Program	4/30/2004	10/29/2004
2502-0541	Lender Application for Participation in Multifamily Accelerated Processing (MAP)	2/29/2004	11/15/2004
2506-0157	Self-Help Homeownership Opportunity Program (SHOP) grants monitoring	8/31/2004	2/15/2005
<b>Department of Transportation</b>			
2127-0519	49 CFR Part 575, 104; Uniform Tire Quality Grading Standard	11/30/2004	02/10/2005
2130-0553	Positive Train Control	12/31/2004	08/01/2005

OMB			
Control Number	Title	Expiration Date	Reinstatement Date
2132-0562	49 U.S.C. Section 5314(a) United We Ride State Coordination Grants	12/31/2004	07/06/2005
2133-0024	Subsidy Voucher - Operating Differential Subsidy (Bulk & Liner Cargo Vessels)	01/31/2005	07/06/2005
2120-0620	Special Federal Aviation Regulation No. 71	1/31/2004	1/21/2005
2125-0522	Utility Use and Occupancy Agreements	1/31/2004	12/15/2004
2125-0519	Developing and Recording Costs for Utility Adjustments	1/31/2004	12/16/2004
<b>Department of Justice</b>			
1121-0197	Bureau of Justice Assistance Application Form: State Criminal Alien Assistance Program	2/28/2002	3/14/2005
<b>Department of the Treasury</b>			
1505-0167	Cuban Remittance Affidavit	12/31/2004	01/05/2005
1505-0195	Race and National Origin Identification	12/31/2004	05/31/2005
1545-1465	PS-54-94 (Final) Environmental Settlement Funds - Classification	02/28/2005	03/02/2005
1545-1632	REG-118662-98 (Final) New Technologies in Retirement Plans	03/31/2005	04/06/2005
1545-1901	Revenue Procedure 2004-XX, Offer to Resolve Issues Arising from Certain Tax, Withholding, and Reporting Obligations of U.S. Withholding Agents with Respect to Payments to Foreign Persons	01/31/2005	05/31/2005
1545-1913	Payment of Gift/GST Tax and/or Application for Extension of Time to File Form 709	05/31/2005	06/17/2005
1550-0018	Amendment of a Saving Association Charter	10/31/2004	01/19/2005
1550-0030	Application for Issuance of Subordinated Debt Securities/Notice of Issuance of Subordinated Debt or Mandatory Redeemable Preferred Stock	11/30/2004	08/18/2005
1550-0051	Management Official Interlocks	10/31/2004	08/18/2005
1550-0053	Application Processing Fees	10/31/2004	08/18/2005
1550-0056	Application Filing Requirements	10/31/2004	01/19/2005
1550-0059	Capital Distribution	11/30/2004	08/18/2005
1550-0095	Electronic Operations	10/31/2004	08/18/2005
1559-0005	Bank Enterprise Award (BEA) Program Application and Final Report	11/30/2004	05/31/2005
1550-0072	Mutual Holding Company	4/30/2004	1/19/2005
1513-0025	Notice of Release of Tobacco Products, Cigarette Papers, or Cigarette Tubes	6/30/2004	11/3/2004
1513-0058	Usual and Customary Business Records Maintained by Brewers	6/30/2004	11/2/2004
1513-0110	Recordkeeping for Tobacco Products Removed in Bond from Manufacturers Premises for Experimental Purposes – 27 CFR 270.232(d).	6/30/2004	11/9/2004
1505-0174	Financial Subsidiaries (Interim Final Rule)	6/30/2004	10/15/2004
<b>Federal Communications Commission</b>			
3060-0204	Section 90.20(a)(2)(v), Physically Handicapped "Special Eligibility Showing"	07/31/2005	9/28/2005
3060-0223	Section 90.129 Supplemental information to be routinely submitted with applications; non-type accepted equipment	07/31/2005	9/28/2005

OMB Control			
Number	Title	Expiration Date	Reinstatement Date
3060-0695	Section 87.219, Automatic Operations	07/31/2005	9/28/2005
3060-0882	Section 95.833, Construction Requirements	07/31/2005	9/28/2005
<b>National Science Foundation</b>			
3145-0161	Cross-Project Evaluation of the National Science Foundation's Local Systemic Change Through Teacher Enhancement Program (LSC)	07/31/2005	09/09/2005
3145-0184	eRecruitment System	05/31/2005	9/26/2005
<b>Securities and Exchange Commission</b>			
3235-0202	Rule 15c2-11, 17 CFR 240.15c2-11 (Publication or submission of quotations without current information)	05/31/2005	08/31/2005
3235-0463	Tell Us How We're Doing	04/30/2005	9/26/2005
3235-0547	SEC Investor Complaint Forms; SEC Investor Question Form	5/31/2004	3/8/2005
<b>Small Business Administration</b>			
3245-0012	Requests from borrowers (Financial Statements)	05/31/2005	9/20/2005
3245-0016	Applications for Business Loans	11/30/2004	04/21/2005
3245-0188	Personal Financial Statement	11/30/2004	03/18/2005
3245-0193	Servicing Agent Agreement	05/31/2005	09/15/2005
3245-0200	Settlement Sheet	03/31/2005	06/27/2005
3245-0201	7(a) Loan Closing Forms	05/31/2005	9/26/2005
3245-0124	U.S. Small Business Administration Advisory Committee Membership- Nominee Information	3/31/2004	2/2/2005
3245-0140	SBA Form 1222-Notice of Award SBA Form 1224 Grant/Cooperative Agreement Cost Sharing Proposal	8/31/2004	1/31/2005
3245-0185	Secondary Participation Guaranty Agreement	3/31/2004	3/8/2005
3245-0329	PRIME (Program for Investment in Micro entrepreneurs)	5/31/2004	2/2/2005

## Existing Collections in Use Without OMB Approval

Agency: Department of Agriculture/Cooperative State Research, Education and Extension Services (CSREES)  
 Title: Children, Youth, and Families at Risk (CYFAR) Year End Report and Expanded Food  
 OMB Control Number: 0524-0043  
 Date of Approval: 3/15/ 2005  
 How Discovered: CSREES conducted an internal analysis of its collections.  
 How Resolved: Granted OMB approval

Agency: Department of Agriculture/Cooperative State Research, Education and Extension Services (CSREES)  
 Title: Expanded Food and Nutrition Education Program  
 OMB Control Number: 0524-0044  
 Date of Approval: 3/15/2005



How Discovered: CSREES conducted an internal analysis of its collections.  
How Resolved: Granted OMB approval

Agency: Department of Agriculture/Forest Service  
Title: Qualified Products List for Water Enhancers  
OMB Control Number: 0596-0182  
Date of Approval: 3/17/2005  
How Discovered: The Forest Service had relied upon an OMB information collection number in the Federal Acquisition Regulation. During the review process, OPPM personnel discovered that the OMB number was defunct.  
How Resolved: Submitted an emergency clearance package that OMB approved.

Agency: Department of Agriculture/Forest Service  
Title: Qualified Products List for Foam Fire Suppressants  
OMB Control Number: 0596-0183  
Date of Approval: 3/17/2005  
How Discovered: The Forest Service had relied upon an OMB information collection number in the Federal Acquisition Regulation. During the review process, OPPM personnel discovered that the OMB number was defunct.  
How Resolved: Submitted an emergency clearance package that OMB approved.

Agency: Department of Agriculture/Forest Service  
Title: Qualified Products List for Retardant Fire Suppressants  
OMB Control Number: 0596-0184  
Date of Approval: 3/17/2005  
How Discovered: The Forest Service had relied upon an OMB information collection number in the Federal Acquisition Regulation. During the review process, OPPM personnel discovered that the OMB number was defunct.  
How Resolved: Submitted an emergency clearance package that OMB approved.

Agency: Department of Agriculture/Food Safety and Inspection Service (FSIS)  
Title: Application for Inspection, Accreditation of Laboratories, and Exemptions  
OMB Control Number: 0583-0082  
Date of Approval: 12/31/2004  
How Discovered: The Agency's Information Collection Coordinator discovered that it had no approval from OMB for the information collection related to some of its recordkeeping requirements.  
How Resolved: Received OMB approval

Agency: Department of Health and Human Services/Centers for Disease Control and Prevention  
Title: National Blood Lead Surveillance System

OMB Control Number: 0920-0337  
Date of Approval: 5/6/2005  
How Discovered: The CDC discovered the adult lead data were always collected without an OMB number.  
How Resolved: OMB clearance granted. Both the childhood and adult blood lead data collections were merged into one collection.

Agency: Department of Health and Human Services/Centers for Disease Control and Prevention  
Title: Participatory Research and the Dissemination and Translation of Research Findings  
OMB Control Number: 0920-0602  
Date of Approval: 1/4/2005  
How Discovered: CDC clearance office received a report that data were collected prior to the program receiving OMB clearance.  
How Resolved: OMB clearance was granted.

Agency: Department of Health and Human Services/Centers for Disease Control and Prevention  
Title: Cardiovascular Health Branch Management Information System  
OMB Control Number: 0920-0679  
Date of Approval: 5/6/2005  
How Discovered: During a conference call with OMB, it was discovered that the data collected during the pretesting of the instruments should have received OMB clearance.  
How resolved: OMB clearance was granted.

Agency: Department of Health and Human Services/Centers for Disease Control and Prevention  
Title: Comprehensive Cancer Control (CCC) Implementation Case Study  
OMB Control Number: 0920-0681  
Date of Approval: 5/10/2005  
How Discovered: During the preparation of the OMB submission, it was discovered that the reports of the study should have received OMB clearance.  
How Resolved: OMB clearance was granted.

Agency: Department of Health and Human Services/Centers for Disease Control and Prevention  
Title: Operations and Scope of Public STD Clinics in the US States and Territories  
OMB Control Number: 0920-0683  
Date of Approval: 5/16/2005  
How Discovered: CDC clearance office received a report that data were collected prior to the program receiving OMB clearance.  
How Resolved: OMB clearance was granted.

Agency: Department of Health and Human Services/Centers for Medicare and Medicaid  
Title: HIPAA Administrative Simplification Non-Privacy Enforcement  
OMB Control Number: 0938-0948  
Date of Approval: 9/8/2005  
How Discovered: The bootleg was found by the CMS clearance office in a report from CMS after HIPAA was implemented.  
How Resolved: OMB clearance was granted.

Agency: Department of Health and Human Services/Centers for Medicare and Medicaid  
Title: Health Insurance Reform: Security Standards; Final Rule  
OMB Control Number: 0938-0949  
Date of Approval: 3/16/2005  
How Discovered: The bootleg was found by the CMS clearance office in a report from CMS after HIPAA was implemented.  
How Resolved: OMB emergency clearance was granted.

Agency: Department of Health and Human Services/Substance Abuse and Mental Health Services Administration  
Title: Targeted Capacity Expansion Grants for Jail Diversity Programs  
OMB Control Number: 0935-XXXX  
Date of Approval: 60 Day Notice published on April 7, 2005.  
How Discovered: Discovered the violation when the program office requested consultation about revisions to the forms.  
How Resolved: A 60 Day Notice was published on April 7, 2005. The Department is working with SAMHSA to complete an information collection request package for OMB.

Agency: Department of Housing and Urban Development  
Title: Energy Efficient Mortgages  
OMB Control Number: 2502-0561  
Date of Approval: 09/14/2005  
How Discovered: Program office discovered that OMB approval was needed for this collection of information.  
How Resolved: Granted OMB approval

Agency: Department of Housing and Urban Development  
Title: Environmental Review of Proposed Housing Development  
OMB Control Number: 2506-0177  
Date of Approval: 08/09/2005  
How Discovered: During the review of this proposed submission, OCIO discovered that these requirements were in place without approval.  
How Resolved: Granted OMB approval

Agency: Department of Transportation

Title: Advanced Qualification Program (AQP) NPRM  
 OMB Control Number: 2120-0701  
 Date of Approval: 8/1/2005  
 How Discovered: The FAA discovered that the information collection in the rule was previously covered by an SFAR that had been in existence since 1990, but which did not have OMB clearance.  
 How Resolved: Granted OMB approved

Agency: Department of the Interior  
 Title: Procedures for State, Tribal and Local Government Historic Preservation Programs; 36 CFR 61  
 OMB Control Number: 1024-0038  
 Date of Approval: 11/30/2004  
 How Discovered: During renewal, found forms in use without OMB approval  
 How Resolved: Received OMB approval

Agency: Department of the Interior  
 Title: Horseshoe Crab Tagging Program  
 OMB Control Number: 1018-0127  
 Date of Approval: 06/10/2005  
 How Discovered: Staff identified data collection as needing OMB approval.  
 How Resolved: Received OMB approval

Agency: Department of Justice  
 Title: Request for Recognition of a Non-Profit Religious, Charitable, Social Service or Similar Organization  
 OMB Control Number: 1125-0012  
 Date of Approval: 09/26/2005  
 How Discovered: Internal review  
 How Resolved: Received OMB approval

Agency: Department of Treasury  
 Title: Form 3491 Consumer Cooperative Ext. Application  
 OMB Control Number: 1545-1941  
 Date of Approval: 04/01/2005  
 How Discovered: GAO Audit  
 How Resolved: Granted OMB approval

Agency: Department of Treasury  
 Title: Form 1127 Extension of Time to Pay  
 OMB Control Number: 1546-1961  
 Date of Approval: Agency plans to publish a Federal Register notice  
 How Discovered: GAO Audit  
 How Resolved: Will submit information collection package to OMB

Agency: Department of Treasury

Title: Specific Export Bond-Distilled Spirits or Wine  
OMB Control Number: 1513-XXXX  
Date of Approval: Agency plans to publish a Federal Register notice  
How Discovered: Discovered during field audit of forms  
How Resolved: Will submit information collection package to OMB

Agency: Department of Treasury  
Title: Application, Permit and Report Wine and Beer Puerto Rico  
OMB Control Number: 1513-XXXX  
Date of Approval: Agency plans to publish a Federal Register notice  
How Discovered: Discovered during field audit of forms  
How Resolved: Will submit information collection package to OMB

Agency: Department of Treasury  
Title: Drawback on Wine Exports  
OMB Control Number: 1513-XXXX  
Date of Approval: Agency plans to publish a Federal Register notice  
How Discovered: Discovered during field audit of forms  
How Resolved: Will submit information collection package to OMB

Agency: Department of Treasury  
Title: Tax Deferral Bon-Wine and Beer  
OMB Control Number: 1513-XXXX  
Date of Approval: Agency plans to publish a Federal Register notice  
How Discovered: Discovered during field audit of forms  
How Resolved: Will submit information collection package to OMB

Agency: Department of Treasury  
Title: Deferral Bond-Tobacco Products (Puerto Rico)  
OMB Control Number: 1513-XXXX  
Date of Approval: Agency plans to publish a Federal Register notice  
How Discovered: Discovered during field audit of forms  
How Resolved: Will submit information collection package to OMB

Agency: Department of Treasury  
Title: Bond Covering Removal and Use of Wine at Vinegar Plants  
OMB Control Number: 1513-XXXX  
Date of Approval: Agency plans to publish a Federal Register notice  
How Discovered: Discovered during field audit of forms  
How Resolved: Will submit information collection package to OMB

Agency: Social Security Administration  
Title: State Death Match  
OMB Control Number: 0960-0700  
Date of Approval: 03/03/2005  
How Discovered: Internal agency review

How Resolved:           Granted OMB approval

Agency:                 Social Security Administration

Title:                    Epidemiological Research Request

OMB Control Number:   0960-0701

Date of Approval:       03/03/2005

How Discovered:        Internal agency review

How Resolved:         Receive OMB approval