

California Part C FFY 2006 SPP/APR Response Table

Issue that is not specific to an individual indicator:

Under IDEA Section 641(e)(1)(D) and 34 CFR §303.654, the Interagency Coordinating Council (ICC) of each jurisdiction that receives funds under Part C of the IDEA must prepare and submit to the Secretary of the U.S. Department of Education (Department) and to the Governor of its jurisdiction an annual report on the status of the early intervention programs for infants and toddlers with disabilities and their families operated within the State. The ICC may either: (1) prepare and submit its own annual report to the Department and the Governor, or (2) provide this certification with the State lead agency's Annual Performance Report (APR) under Part C of the IDEA that the ICC was using the State's Part C APR for FFY 2006 in lieu of submitting the ICC's own annual report. For both FFY 2005 and FFY 2006, the ICC has neither certified that it was using the State's Part C APR nor submitted its own report.

Monitoring Priorities and Indicators	Status of APR Data/SPP Revision Issues	OSEP Analysis/Next Steps
<p>1. Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner. [Compliance Indicator]</p>	<p>The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>The State's FFY 2006 reported data for this indicator are 94.6%. The State did not report FFY 2005 data for this indicator in its FFY 2005 APR. In its FFY 2006 APR the State reports FFY 2005 data of 91.50%. The State did not meet its FFY 2006 target of 100%.</p> <p>The State reported that four of four findings of noncompliance (identified in FFY 2005) related to this indicator were corrected in a timely manner.</p> <p>Although OSEP's FFY 2005 response table required the State to also report on outstanding noncompliance identified in FFY 2004, the State explained in the FFY 2006 APR that it is unable to report on the correction of FFY 2004 noncompliance because in FFY 2004 the State had not yet developed or implemented a system for tracking correction of the noncompliance. The State reported that an appropriate system to collect compliance data and report on the timely correction of noncompliance was implemented in FFY 2006. The State reported correction data for FFY 2005 and compliance data for FFY 2006.</p>	<p>As required by OSEP's June 15, 2007 FFY 2005 SPP/APR response table, the State provided data to measure the timeliness of initiation for all new Part C services on all IFSPs.</p> <p>The State reported that noncompliance identified in FFY 2005 with the timely service provision requirements in 34 CFR §§303.340(c), 303.342(e) and 303.344(f)(1) was corrected in a timely manner.</p> <p>OSEP appreciates the State's efforts and looks forward to reviewing in the FFY 2007 APR, due February 1, 2009, the State's demonstration that it is in compliance with the requirements in 34 CFR §§303.340(c), 303.342(e) and 303.344(f)(1).</p>
<p>2. Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or programs for typically developing children. [Results Indicator]</p>	<p>The State's FFY 2006 reported data for this indicator are 86.33%. These data represent progress from the FFY 2005 data of 72.09%. The State met its FFY 2006 target of 76.3%.</p>	<p>OSEP appreciates the State's efforts to improve performance.</p>

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<p>3. Percent of infants and toddlers with IFSPs who demonstrate improved:</p> <p>A. Positive social-emotional skills (including social relationships); B. Acquisition and use of knowledge and skills (including early language/ communication); and C. Use of appropriate behaviors to meet their needs.</p> <p>[Results Indicator; New]</p>	<p>The State's FFY 2006 reported progress data for this indicator are:</p> <table border="1" data-bbox="541 347 1413 1065"> <thead> <tr> <th data-bbox="541 347 1056 521">06-07 Infant and Toddler Outcome Progress Data</th> <th data-bbox="1056 347 1178 521">Social Emotional</th> <th data-bbox="1178 347 1299 521">Knowledge & Skills</th> <th data-bbox="1299 347 1413 521">Appropriate Behavior</th> </tr> </thead> <tbody> <tr> <td data-bbox="541 521 1056 589">a. % of infant & toddlers who did not improve functioning.</td> <td data-bbox="1056 521 1178 589">10.08%</td> <td data-bbox="1178 521 1299 589">5.43%</td> <td data-bbox="1299 521 1413 589">10.08%</td> </tr> <tr> <td data-bbox="541 589 1056 727">b. % of infant & toddlers who improved but not sufficient to move nearer to functioning comparable to same-aged peers.</td> <td data-bbox="1056 589 1178 727">20.16%</td> <td data-bbox="1178 589 1299 727">24.81%</td> <td data-bbox="1299 589 1413 727">20.16%</td> </tr> <tr> <td data-bbox="541 727 1056 826">c. % of infant & toddlers who improved to a level nearer to same-aged peers but did not reach it.</td> <td data-bbox="1056 727 1178 826">10.08%</td> <td data-bbox="1178 727 1299 826">12.4%</td> <td data-bbox="1299 727 1413 826">4.65%</td> </tr> <tr> <td data-bbox="541 826 1056 925">d. % of infant & toddlers who improved functioning to reach a level comparable to same-aged peers.</td> <td data-bbox="1056 826 1178 925">17.83%</td> <td data-bbox="1178 826 1299 925">21.71%</td> <td data-bbox="1299 826 1413 925">14.73%</td> </tr> <tr> <td data-bbox="541 925 1056 1024">e. % of infant & toddlers who maintained functioning at a level comparable to same-aged peers.</td> <td data-bbox="1056 925 1178 1024">41.86%</td> <td data-bbox="1178 925 1299 1024">29.46%</td> <td data-bbox="1299 925 1413 1024">46.51%</td> </tr> <tr> <td data-bbox="541 1024 1056 1065">Insufficient data</td> <td data-bbox="1056 1024 1178 1065"></td> <td data-bbox="1178 1024 1299 1065">6.2%</td> <td data-bbox="1299 1024 1413 1065">3.88%</td> </tr> </tbody> </table> <p>The State provided improvement activities for this indicator covering the remaining years of the SPP.</p>	06-07 Infant and Toddler Outcome Progress Data	Social Emotional	Knowledge & Skills	Appropriate Behavior	a. % of infant & toddlers who did not improve functioning.	10.08%	5.43%	10.08%	b. % of infant & toddlers who improved but not sufficient to move nearer to functioning comparable to same-aged peers.	20.16%	24.81%	20.16%	c. % of infant & toddlers who improved to a level nearer to same-aged peers but did not reach it.	10.08%	12.4%	4.65%	d. % of infant & toddlers who improved functioning to reach a level comparable to same-aged peers.	17.83%	21.71%	14.73%	e. % of infant & toddlers who maintained functioning at a level comparable to same-aged peers.	41.86%	29.46%	46.51%	Insufficient data		6.2%	3.88%	<p>The State reported the required progress data and improvement activities. The State must provide progress data with the FFY 2007 APR, due February 1, 2009 and baseline data and targets with the FFY 2008 APR, due February 1, 2010.</p>
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<p>4. Percent of families participating in Part C who report that early intervention services have helped the family:</p> <p>A. Know their rights; B. Effectively communicate their children's needs; and C. Help their children develop and</p>	<p>The State reported FFY 2005 baseline data in its FFY 2005 APR. The State did not report FFY 2006 data for this indicator and will report data in the FFY 2007 APR. OSEP was unable to determine whether the State met its FFY 2006 target or whether there was progress or slippage.</p>	<p>OSEP's June 15, 2007 FFY 2005 SPP/APR response table noted that the State did not have an approved sampling plan for this indicator. The State submitted a revised sampling plan with the FFY 2006 APR. OSEP will respond to the State on the sampling plan under separate cover. The State reported it is using the NCSEAM</p>																												

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Monitoring Priorities and Indicators	Status of APR Data/SPP Revision Issues	OSEP Analysis/Next Steps
<p>learn. [Results Indicator]</p>		<p>survey. With the FFY 2007 APR, due February 1, 2009, the State must report data for FFY 2007.</p>
<p>5. Percent of infants and toddlers birth to 1 with IFSPs compared to: A. Other States with similar eligibility definitions; and B. National data. [Results Indicator]</p>	<p>The State's FFY 2006 reported data under for this indicator are 1.15%. These data represent progress from the FFY 2005 data of 1.14%. The State met its FFY 2006 target of .95 %.</p>	<p>OSEP appreciates the State's efforts to improve performance.</p>
<p>6. Percent of infants and toddlers birth to 3 with IFSPs compared to: A. Other States with similar eligibility definitions; and B. National data. [Results Indicator]</p>	<p>The State's FFY 2006 reported data for this indicator are 2.11%. These data represent progress from the FFY 2005 data of 1.99%. The State met its FFY 2006 target of 1.80%.</p>	<p>OSEP appreciates the State's efforts to improve performance.</p>
<p>7. Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline. [Compliance Indicator]</p>	<p>The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>The State's FFY 2006 reported data for this indicator are 90.28%. The State's FFY 2005 data were 90.43%. The State did not meet its FFY 2006 target of 100%.</p> <p>The State reported that three of four findings of noncompliance identified in FFY 2005 were corrected in a timely manner. For the uncorrected noncompliance, the State reported that it provided technical assistance to the program.</p> <p>Although OSEP's FFY 2005 response table required the State to also report on outstanding noncompliance identified in FFY 2004, the State explained in the FFY 2006 APR that it is unable to report on correction of FFY 2004 noncompliance, because in FFY 2004 the State had not yet developed or implemented a system for tracking the correction of noncompliance. The</p>	<p>The State reported that the noncompliance identified in FFY 2005 with the requirements in 34 CFR §§303.321(e)(2), 303.322(e)(1), and 303.342(a) was partially corrected. The State must report, in the FFY 2007 APR due February 1, 2009, that the one uncorrected FFY 2005 finding of noncompliance was corrected.</p> <p>The State must review its improvement activities and revise them, if appropriate, to ensure they will enable the State to demonstrate in the FFY 2007 APR, due February 1, 2009, that the State is in compliance with the 45-day timeline</p>

California Part C FFY 2006 SPP/APR Response Table

Monitoring Priorities and Indicators	Status of APR Data/SPP Revision Issues	OSEP Analysis/Next Steps
	<p>State reported in the FFY that it revised processes for the identification and timely correction of noncompliance in FFY 2006 to include on-site reviews and self-assessment data. The State reported FFY 2006 data for correction of noncompliance identified in FFY 2005 and compliance data for FFY 2006.</p>	<p>requirements in 34 CFR §§303.321(e)(2), 303.322(e)(1), and 303.342(a), including reporting correction of the noncompliance identified in the FFY 2006 APR.</p>
<p>8. Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including: A. IFSPs with transition steps and services; [Compliance Indicator]</p>	<p>The State revised the improvement activities for Indicator 8 in its SPP and OSEP accepts those revisions.</p> <p>The State’s FFY 2006 reported data for this indicator are 90%. These data represent progress from the FFY 2005 data of 85.71%. The State did not meet its target of 100%.</p> <p>The State reported that two of two findings of noncompliance identified in FFY 2005 related to Indicator 8A were corrected in a timely manner.</p> <p>OSEP’s FFY 2005 response table required the State to also report on outstanding noncompliance it identified in FFY 2004. The State explained in the FFY 2006 APR that it is unable to report on child-specific correction of FFY 2004 noncompliance because in FFY 2004, the State had not yet developed or implemented a system for tracking the correction of noncompliance. The State reported in the FFY 2006 APR that it revised processes for the identification and timely correction of noncompliance in FFY 2006 to include on-site reviews and self-assessment data. The State reported FFY 2005 correction data and compliance data for FFY 2006.</p>	<p>The State reported that noncompliance identified in FFY 2005 with IFSP transition content requirements in 34 CFR §§303.148(b)(4) and 303.344(h) was corrected in a timely manner.</p> <p>The State must review its improvement activities and revise them, if appropriate, to ensure they will enable the State to provide data in the FFY 2007 APR, due February 1, 2009, demonstrating that the State is in compliance with the IFSP transition content requirements, including reporting correction of the noncompliance identified in the FFY 2006 APR.</p>
<p>8. Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including: B. Notification to LEA, if child potentially eligible for Part B; and [Compliance Indicator]</p>	<p>The State revised the improvement activities for Indicator 8 in its SPP and OSEP accepts those revisions.</p> <p>The State’s reported data for this indicator are 100%. These data represent progress from the FFY 2005 data of 92.86%. The State met its target of 100%. OSEP’s FFY 2005 response table required the State to also report on outstanding noncompliance it identified in FFY 2004. The State explained in the FFY 2006 APR that it is unable to report on child-specific correction of FFY 2004 noncompliance because in FFY 2004, the State had not yet developed or implemented a system for tracking the correction of noncompliance. The State reported in the FFY 2006 APR that it revised processes for the identification and timely correction of noncompliance in FFY 2006 to include on-site reviews and self-assessment data. The State reported FFY 2005 correction data and compliance data for</p>	<p>OSEP appreciates the State’s efforts in achieving compliance with the requirements in 34 CFR §303.148(b)(1).</p>

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<p>8. Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including:</p> <p>C. Transition conference, if child potentially eligible for Part B.</p> <p>[Compliance Indicator]</p>	<p>FFY 2006.</p> <p>The State revised the improvement activities for Indicator 8 in its SPP and OSEP accepts those revisions</p> <p>The State’s FFY 2006 reported data for this indicator are 100%. These data represent progress from the FFY 2005 data of 92.86%. The State met its target of 100%.</p> <p>OSEP’s FFY 2005 response table required the State to also report on outstanding noncompliance it identified in FFY 2004. The State explained in the FFY 2006 APR that it is unable to report on child-specific correction of FFY 2004 noncompliance because in FFY 2004, the State had not yet developed or implemented a system for tracking the correction of noncompliance. The State reported in the FFY 2006 APR that it revised processes for the identification and timely correction of noncompliance in FFY 2006 to include on-site reviews and self-assessment data. The State reported FFY 2005 correction data and compliance data for FFY 2006.</p>	<p>OSEP appreciates the State’s efforts in achieving compliance with the requirements in 34 CFR §303.148(b)(2)(i) (as modified by IDEA section 637(a)(9)).</p>
<p>9. General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.</p> <p>[Compliance Indicator]</p>	<p>The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>The State’s FFY 2006 reported data for this indicator are 87.5% (or timely correction of 21 of 24 FFY 2005 findings). These data represent progress from the FFY 2005 data of 1.43% (or one of 70 findings). The State did not meet its FFY 2006 target of 100%.</p> <p>OSEP’s FFY 2005 response table required the State to also report on outstanding noncompliance it identified in FFY 2004. The State explained in the FFY 2006 APR that it is unable to report on child-specific correction of FFY 2004 noncompliance because in FFY 2004 the State had not yet developed or implemented a system for tracking the correction of noncompliance. The State reported in its FFY 2006 APR that it revised processes for the identification and timely correction of noncompliance in FFY 2006 to include on-site reviews and self-assessment data. The State reported FFY 2005 correction data and compliance data for FFY 2006.</p> <p>In its FFY 2006 APR, the State indicated that, for reporting purposes, it considers there to be a finding if “the number of occurrences [of compliance] for a specific category of a specific local program falls under 85 percent.”</p>	<p>The State must report, in the FFY 2007 APR, due February 1, 2009, that the State has corrected the remaining three FFY 2005 findings of noncompliance identified in Indicator 9.</p> <p>The State must review its improvement activities and revise them, if appropriate, to ensure they will enable the State to provide data in the FFY 2007 APR, due February 1, 2009, demonstrating that the State timely corrected noncompliance identified in FFY 2006 (2006-2007) under this indicator in accordance with IDEA section 635(a)(10)(A) and 34 CFR §303.501.</p> <p>In addition, in responding to Indicators 1, 7, and 8A, the State must specifically identify and address the noncompliance identified in this table under those indicators.</p>

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	While the State may take into account the extent of the noncompliance in determining what corrective action is needed, the State must ensure the correction of any noncompliance, notwithstanding the extent of the noncompliance.	In its FFY 2007 APR, due February 1, 2009, the State must confirm that the State ensures the correction of any noncompliance, notwithstanding the extent of the noncompliance.
10. Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint. [Compliance Indicator]	The State's FFY 2006 reported data for this indicator are 100%, based on nine complaints. These data remain unchanged from the FFY 2005 data of 100%.	OSEP appreciates the State's efforts in achieving compliance with the timely complaint resolution requirements in 34 CFR §§303.510 through 303.512.
11. Percent of fully adjudicated due process hearing requests that were fully adjudicated within the applicable timeline. [Compliance Indicator]	The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions. The State's FFY 2006 reported data for this indicator are 100%. These data remain unchanged from the FFY 2005 data of 100%. The State met its FFY 2006 target of 100%.	OSEP appreciates the State's efforts in achieving compliance with the timely due process hearing resolution requirements in 34 CFR §§303.420 and 303.423(b).
12. Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted). [Results Indicator]	Not applicable.	This indicator does not apply to the State because the State has not adopted the Part B due process procedures.
13. Percent of mediations held that resulted in mediation agreements. [Results Indicator]	The State's FFY 2006 reported data for this indicator are 100%.	OSEP appreciates the State's efforts to improve performance. On pages 40 and 47 of the State's FFY 2006 APR, the State reported that it will not revise its mediation procedures to ensure availability of mediation consistent with IDEA sections 639(a)(8) and 615. The State

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		<p>must provide a specific assurance with its FFY 2008 grant application confirming that during FFY 2008: (1) mediation will be available to parties at any time (even if not related to a due process request or complaint); and (2) the State's mediation procedures will be revised by June 30, 2009.</p>
<p>14. State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate. [Compliance Indicator]</p>	<p>The State's FFY 2006 reported data for this indicator are 90.3%. However, OSEP's calculation of the data for this indicator is 82.6%. The State did not meet its FFY 2006 target of 100%.</p>	<p>The State must review its improvement activities and revise them, if appropriate, to ensure they will enable the State to provide data in the FFY 2007 APR, due February 1, 2009, demonstrating that the State is in compliance with the timely and accurate data requirements in IDEA sections 616, 618, and 642 and 34 CFR §§76.720 and 303.540.</p>