

**Arizona Part C FFY 2006 SPP/APR Response Table**

Monitoring Priorities and Indicators	Status of APR Data/SPP Revision Issues	OSEP Analysis/Next Steps
<p>1. Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.</p> <p>[Compliance Indicator]</p>	<p>The State's FFY 2006 reported data for this indicator are 67%. These data represent progress from the FFY 2005 data of 48%.</p> <p>The State met its FFY 2006 target of 65%, which was established under the December 2005 State Performance Plan (SPP) for Arizona and based, in part, on the Compliance Agreement between the Arizona Department of Economic Security (DES) and the U.S. Department of Education (Department).</p> <p>The requirements of this indicator are addressed in the three-year December 16, 2004 Compliance Agreement. The Compliance Agreement required DES to ensure compliance with specific Part C requirements, including the requirements under Indicators 1, 7, 8C and 9 below, by December 2007 and to submit a final progress report under the Compliance Agreement (Agreement) by March 31, 2008. DES submitted its final progress report on March 31, 2008. OSEP will respond separately to the March 31, 2008 final progress report. However, data from the March 31, 2008 final progress report are referenced where appropriate under the relevant indicators in this APR response chart.</p> <p>The State reported in Indicator 9 of its FFY 2006 APR that it timely corrected four of eight findings of noncompliance identified in FFY 2005 (two of six from its Cycle 3 monitoring and two of two from its dispute resolution mechanisms), and an additional three findings were corrected by December 2007. The State reported that it provided technical assistance and conducted an on-site follow-up visit to the program with the one remaining uncorrected FFY 2005 finding (from Cycle 3) and identified lack of therapy providers as the reason for noncompliance in this program.</p> <p>The State provided updated data (beyond the FFY 2006 reporting period) for this indicator in its progress reports and March 31, 2008 final progress report under the Agreement, which data OSEP will review and respond to in a separate letter.</p>	<p>In response to OSEP's June 15, 2007 FFY 2005 APR response table, the State reported that two outstanding FFY 2004 findings under this indicator (from Cycles 1 and 2 monitoring) remain uncorrected. DES reported that it has required file reviews and provided technical assistance on a quarterly basis for these two programs and will continue to do so until noncompliance is verified as corrected.</p> <p>The State also reported that noncompliance identified in FFY 2005 with the timely service provision requirements in 34 CFR §§303.340(c), 303.342(e) and 303.344(f)(1) was partially corrected. The State must demonstrate, in the FFY 2007 APR, due February 1, 2009, that the one remaining uncorrected finding identified in FFY 2005 and the two remaining findings from FFY 2004 were corrected.</p> <p>The State must review its improvement activities and revise them, if appropriate, to ensure they will enable the State to provide data in the FFY 2007 APR, due February 1, 2009, demonstrating that the State is in compliance with the requirements in 34 CFR §§303.340(c), 303.342(e) and 303.344(f)(1), including reporting correction of the noncompliance identified in the FFY 2006 APR.</p>
<p>2. Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or</p>	<p>The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p>	<p>The State did not submit valid and reliable data regarding children served in community-based settings. The State</p>

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<p>programs for typically developing children.</p> <p>[Results Indicator]</p>	<p>The State’s FFY 2006 reported data for this indicator are 84% (based on a child count of 5,299 eligible children receiving services -- 4,289 receiving services in the home and 155 receiving services in community-based settings). However, the State reported that the number of children receiving services in community-based and other settings is not reliable because the FFY 2006 community-based setting data: (1) were not cross-walked from the prior reporting system (which required reporting data for the category programs for typically developing children and other categories); and (2) did not account for all children served through DDD, which is a major provider of early intervention services.</p> <p>The State also reported that, if its category 2 (community-settings) data are removed from the calculation, the State’s FFY 2006 data for this indicator are 81%.</p> <p>The State’s FFY 2006 data are not valid and reliable and thus, OSEP could not determine whether the State met its FFY 2006 target of 86% or whether there was progress or slippage from the State’s FFY 2005 data of 86%.</p>	<p>provided its plan to collect and report the required valid and reliable data for this indicator beginning in FFY 2007. The State must provide the required data in the FFY 2007 APR, due February 1, 2009.</p>																								
<p>3. Percent of infants and toddlers with IFSPs who demonstrate improved:</p> <p>A. Positive social-emotional skills (including social relationships);</p> <p>B. Acquisition and use of knowledge and skills (including early language/communication); and</p> <p>C. Use of appropriate behaviors to meet their needs.</p> <p>[Results Indicator; New]</p>	<p>The State’s FFY 2006 reported progress data for this indicator are:</p> <table border="1" data-bbox="604 883 1430 1498"> <thead> <tr> <th data-bbox="604 883 1094 1057">06-07 Infant and Toddler Outcome Progress Data</th> <th data-bbox="1094 883 1203 1057">Social Emotional</th> <th data-bbox="1203 883 1312 1057">Knowledge &amp; Skills</th> <th data-bbox="1312 883 1430 1057">Appropriate Behavior</th> </tr> </thead> <tbody> <tr> <td data-bbox="604 1057 1094 1127">a. % of infant &amp; toddlers who did not improve functioning.</td> <td data-bbox="1094 1057 1203 1127">9%</td> <td data-bbox="1203 1057 1312 1127">13.6%</td> <td data-bbox="1312 1057 1430 1127">9.1%</td> </tr> <tr> <td data-bbox="604 1127 1094 1260">b. % of infant &amp; toddlers who improved but not sufficient to move nearer to functioning comparable to same-aged peers.</td> <td data-bbox="1094 1127 1203 1260">9%</td> <td data-bbox="1203 1127 1312 1260">13.6%</td> <td data-bbox="1312 1127 1430 1260">18.2%</td> </tr> <tr> <td data-bbox="604 1260 1094 1362">c. % of infant &amp; toddlers who improved to a level nearer to same-aged peers but did not reach it.</td> <td data-bbox="1094 1260 1203 1362">18%</td> <td data-bbox="1203 1260 1312 1362">22.7%</td> <td data-bbox="1312 1260 1430 1362">18.2%</td> </tr> <tr> <td data-bbox="604 1362 1094 1464">d. % of infant &amp; toddlers who improved functioning to reach a level comparable to same-aged peers.</td> <td data-bbox="1094 1362 1203 1464">32%</td> <td data-bbox="1203 1362 1312 1464">22.7%</td> <td data-bbox="1312 1362 1430 1464">27.2%</td> </tr> <tr> <td data-bbox="604 1464 1094 1498">e. % of infant &amp; toddlers who</td> <td data-bbox="1094 1464 1203 1498">32%</td> <td data-bbox="1203 1464 1312 1498">27.3%</td> <td data-bbox="1312 1464 1430 1498">27.2%</td> </tr> </tbody> </table>	06-07 Infant and Toddler Outcome Progress Data	Social Emotional	Knowledge & Skills	Appropriate Behavior	a. % of infant & toddlers who did not improve functioning.	9%	13.6%	9.1%	b. % of infant & toddlers who improved but not sufficient to move nearer to functioning comparable to same-aged peers.	9%	13.6%	18.2%	c. % of infant & toddlers who improved to a level nearer to same-aged peers but did not reach it.	18%	22.7%	18.2%	d. % of infant & toddlers who improved functioning to reach a level comparable to same-aged peers.	32%	22.7%	27.2%	e. % of infant & toddlers who	32%	27.3%	27.2%	<p>The State reported the required progress data and improvement activities. The State must provide progress data with the FFY 2007 APR, due February 1, 2009, and baseline data and targets, with the FFY 2008 APR, due February 1, 2010.</p>
06-07 Infant and Toddler Outcome Progress Data	Social Emotional	Knowledge & Skills	Appropriate Behavior																							
a. % of infant & toddlers who did not improve functioning.	9%	13.6%	9.1%																							
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maintained functioning at a level comparable to same-aged peers.																		
<p data-bbox="94 391 569 516">4. Percent of families participating in Part C who report that early intervention services have helped the family:</p> <p data-bbox="94 537 516 699">A. Know their rights; B. Effectively communicate their children's needs; and C. Help their children develop and learn.</p> <p data-bbox="94 721 327 753">[Results Indicator]</p>	<p data-bbox="590 391 1482 451">The State revised the baseline and improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p data-bbox="590 472 1152 505">The State's reported data for this indicator are:</p> <table border="1" data-bbox="611 521 1455 834"> <thead> <tr> <th data-bbox="611 521 1083 634"></th> <th data-bbox="1083 521 1215 634">FFY 2005 Data</th> <th data-bbox="1215 521 1335 634">FFY 2006 Data</th> <th data-bbox="1335 521 1455 634">FFY 2006 Target</th> </tr> </thead> <tbody> <tr> <td data-bbox="611 634 1083 675">A. Know their rights.</td> <td data-bbox="1083 634 1215 675">94%</td> <td data-bbox="1215 634 1335 675">94%</td> <td data-bbox="1335 634 1455 675">90%</td> </tr> <tr> <td data-bbox="611 675 1083 753">B. Effectively communicate their children's needs.</td> <td data-bbox="1083 675 1215 753">95%</td> <td data-bbox="1215 675 1335 753">95%</td> <td data-bbox="1335 675 1455 753">90%</td> </tr> <tr> <td data-bbox="611 753 1083 834">C. Help their children develop and learn.</td> <td data-bbox="1083 753 1215 834">95%</td> <td data-bbox="1215 753 1335 834">96%</td> <td data-bbox="1335 753 1455 834">90%</td> </tr> </tbody> </table> <p data-bbox="590 855 1482 915">These data represent progress for 4C and remain unchanged for 4A and 4B from the FFY 2005 data.</p> <p data-bbox="590 937 1247 969">The State met its FFY 2006 targets for 4A, 4B and 4C.</p>		FFY 2005 Data	FFY 2006 Data	FFY 2006 Target	A. Know their rights.	94%	94%	90%	B. Effectively communicate their children's needs.	95%	95%	90%	C. Help their children develop and learn.	95%	96%	90%	<p data-bbox="1503 391 1976 451">OSEP appreciates the State's efforts to improve performance.</p>
	FFY 2005 Data	FFY 2006 Data	FFY 2006 Target															
A. Know their rights.	94%	94%	90%															
B. Effectively communicate their children's needs.	95%	95%	90%															
C. Help their children develop and learn.	95%	96%	90%															
<p data-bbox="94 1005 569 1065">5. Percent of infants and toddlers birth to 1 with IFSPs compared to:</p> <p data-bbox="94 1086 564 1146">A. Other States with similar eligibility definitions; and B. National data.</p> <p data-bbox="94 1167 327 1200">[Results Indicator]</p>	<p data-bbox="590 1005 1451 1065">The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p data-bbox="590 1086 1482 1146">The State's FFY 2006 reported data for this indicator are .60%. These data represent progress from the FFY 2005 data of .59%.</p> <p data-bbox="590 1167 1215 1200">The State did not meet its FFY 2006 target of .67%.</p>	<p data-bbox="1503 1005 1986 1130">OSEP looks forward to the State's data demonstrating improvement in performance in the FFY 2007 APR, due February 1, 2009.</p>																
<p data-bbox="94 1289 569 1349">6. Percent of infants and toddlers birth to 3 with IFSPs compared to:</p> <p data-bbox="94 1370 564 1430">A. Other States with similar eligibility definitions; and B. National data.</p>	<p data-bbox="590 1289 1451 1349">The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p data-bbox="590 1370 1446 1430">The State's FFY 2006 reported data for this indicator are 1.81%. These data represent progress from the FFY 2005 data of 1.61%.</p> <p data-bbox="590 1451 1125 1484">The State met its FFY 2006 target of 1.65%.</p>	<p data-bbox="1503 1289 1976 1349">OSEP appreciates the State's efforts to improve performance.</p>																

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[Results Indicator]		
<p>7. Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.</p> <p>[Compliance Indicator]</p>	<p>The State's FFY 2006 reported data for this indicator are 59%. These data represent progress from the FFY 2005 data of 39%.</p> <p>The State did not meet its FFY 2006 target of 60%, which was established under the December 2005 SPP for Arizona. The requirements of this indicator are addressed in the December 16, 2004 Agreement between DES and the Department, which is also discussed under Indicator 1 above.</p> <p>The State reported in its FFY 2006 APR that it made no findings under this indicator in FFY 2005 and that it had corrected, as of September 2007, eight of 14 FFY 2004 findings under this indicator. The State also reported that the remaining six findings were uncorrected, but that significant progress was made in correcting four of the six findings. For the six uncorrected FFY 2004 findings under this indicator, the State reported that it: (1) required programs that demonstrated continued noncompliance to provide data on a weekly, biweekly or semi-monthly basis to monitor progress; (2) required submission of follow-up reports and updates on specific children; (3) continued intensive oversight and intervention; and (4) did not renew the contract for one EIS program.</p> <p>The State provided updated data (beyond the FFY 2006 reporting period) for this indicator in its progress reports including the March 31, 2008 final progress report under the Agreement. OSEP will review and respond to this data in a separate letter.</p>	<p>The State reported that noncompliance identified in FFY 2004 with the 45-day timeline requirements in 34 CFR §§303.321(e)(2), 303.322(e)(1), and 303.342 was partially corrected. The State must demonstrate, in the FFY 2007 APR, due February 1, 2009, that the six uncorrected findings of noncompliance from FFY 2004 were corrected.</p> <p>The State must review its improvement activities and revise, if appropriate, to ensure they will enable the State to provide data in the FFY 2007 APR, due February 1, 2009, demonstrating that the State is in compliance with the requirements in 34 CFR §§303.321(e)(2), 303.322(e)(1), and 303.342, including reporting correction of the noncompliance identified in the FFY 2006 APR.</p>
<p>8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:</p> <p>A. IFSPs with transition steps and services;</p> <p>[Compliance Indicator]</p>	<p>The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>The State's FFY 2006 reported data for this indicator are 91%. These data represent progress from the FFY 2005 data of 80%.</p> <p>The State did not meet its FFY 2006 target of 100%.</p> <p>The State provided in its FFY 2006 APR updated data from December 2007 reflecting 92% compliance for this indicator.</p> <p>The State reported under Indicator 9 of its FFY 2006 APR that it timely corrected four of five findings of noncompliance identified in FFY 2005 and that the remaining FFY 2005 finding was corrected by December</p>	<p>As required by OSEP's June 15, 2007 FFY 2005 APR response table and August 15, 2007 amendment to the Agreement, the State reported that noncompliance identified in FFY 2004 and FFY 2005 with the IFSP transition content requirements in 34 CFR §§303.148(b)(4) and 303.344(h) was corrected.</p> <p>The State must review its improvement activities and revise them, if appropriate, to ensure they will enable</p>

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Monitoring Priorities and Indicators	Status of APR Data/SPP Revision Issues	OSEP Analysis/Next Steps
	<p>2007. The State also reported that all outstanding findings from FFY 2004 were corrected.</p>	<p>the State to provide data in the FFY 2007 APR, due February 1, 2009, demonstrating that the State is in compliance with the requirements in 34 CFR §§303.148(b)(4) and 303.344(h), including reporting correction of the noncompliance identified in the FFY 2006 APR.</p>
<p>8. Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including:</p> <p>B. Notification to LEA, if child potentially eligible for Part B; and</p> <p>[Compliance Indicator]</p>	<p>The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>The State’s FFY 2006 reported data for this indicator are 68%. These data represent slippage from the FFY 2005 data of 89%.</p> <p>The State did not meet its FFY 2006 target of 100%.</p> <p>The State provided in its FFY 2006 APR updated data from December 2007 reflecting 78% compliance for this indicator.</p> <p>The State reported under Indicator 9 of its FFY 2006 APR that it timely corrected three of four findings of noncompliance identified in FFY 2005 and that the remaining FFY 2005 finding was corrected by December 2007. The State also reported that all outstanding findings from FFY 2004 were corrected.</p>	<p>As required by OSEP’s June 15, 2007 FFY 2005 APR response table and August 15, 2007 amendment to the Agreement, the State reported that noncompliance identified in FFY 2004 and FFY 2005 with the LEA notification requirements in 34 CFR §303.148(b)(1) was corrected.</p> <p>The State must review its improvement activities and revise them, if appropriate, to ensure they will enable the State to provide data in the FFY 2007 APR, due February 1, 2009, demonstrating that the State is in compliance with the requirements in 34 CFR §303.148(b)(1), including reporting correction of the noncompliance identified in the FFY 2006 APR.</p>
<p>8. Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including:</p> <p>C. Transition conference, if child potentially eligible for Part B.</p>	<p>The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>The State’s FFY 2006 reported data for this indicator are 67%. These data represent progress from the FFY 2005 data of 57%.</p> <p>The State did not meet its FFY 2006 target of 100%.</p> <p>The requirements of this indicator are addressed in the December 16, 2004 Agreement between DES and the Department, which is also discussed under Indicator 1 above.</p>	<p>As required by OSEP’s June 15, 2007 FFY 2005 APR response table and August 15, 2007 amendment to the Agreement, the State reported that noncompliance identified in FFY 2004 and FFY 2005 with the timely transition conference requirements in 34 CFR §303.148(b)(2)(i) (as modified by IDEA section 637(a)(9)) was corrected.</p>

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<p>[Compliance Indicator]</p>	<p>The State reported under Indicators 8 and 9 of its FFY 2006 APR that it timely corrected four of six findings of noncompliance identified in FFY 2005 under this indicator (from Cycle 3) and that the fifth finding was corrected by December 2007 and the remaining finding was corrected by March 2008. The State also reported that all outstanding findings from FFY 2004 under this indicator had been corrected.</p>	<p>The State must review its improvement activities and revise them, if appropriate, to ensure they will enable the State to provide data in the FFY 2007 APR, due February 1, 2009, demonstrating that the State is in compliance with the requirements in 34 CFR §303.148(b)(2)(i) (as modified by IDEA section 637(a)(9)), including reporting correction of the noncompliance identified in the FFY 2006 APR.</p>
<p>9. General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.</p> <p>[Compliance Indicator]</p>	<p>The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>The State's FFY 2006 reported data for this indicator are 48.28% (timely correction of 42 of 87 FFY 2005 findings). These data represent progress from the FFY 2005 data of 46%.</p> <p>The State did not meet its FFY 2006 target of 50% which was established under the December 2005 SPP for Arizona. The requirements of this indicator are addressed in the December 16, 2004 Agreement between DES and the Department, which is also discussed under Indicator 1 above. A final progress report under the Agreement was submitted by DES to the Department on March 31, 2008. Although OSEP will review and respond separately to the March 31, 2008 final progress report under the Agreement, OSEP has included below relevant data for this indicator.</p> <p>The State provided updated data in its FFY 2006 APR indicating that all but two findings for FFY 2005 were subsequently corrected beyond the one-year timeline by March 2008 (correction of all but one finding each for Indicator 1 and a nonpriority indicator, IFSP review) and correction of all but two FFY 2004 findings (which were made under Indicator 1 and are described above).</p> <p>For these uncorrected two FFY 2005 and two FFY 2004 findings, the State reported that it generally required: (1) submission of quarterly progress reports to DES/Arizona Early Intervention Program (AzEIP) on the correction of noncompliance; (2) onsite visits from the Technical Assistance and Monitoring Specialists (TAMS) and DES/AzEIP staff to</p>	<p>The State must demonstrate, in the FFY 2007 APR, due February 1, 2009, that the State has corrected the remaining noncompliance identified in Indicator 9 from FFY 2005 and FFY 2004.</p> <p>The State must review its improvement activities and revise, if appropriate, to ensure they will enable the State to provide data in the FFY 2007 APR, due February 1, 2009, demonstrating that the State timely corrected noncompliance identified in FFY 2006 (2006-2007) under this indicator in accordance with IDEA section 635(a)(10)(A) and 34 CFR §303.501. In addition, in responding to Indicators 1 and 7 and the IFSP required components, the State must specifically identify and address the noncompliance identified in this table under those indicators.</p>

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	verify progress; (3) the participation of quarterly file reviews with the regional TAMS and AzEIP Continuous Quality Improvement Coordinators; and (4) technical assistance to programs on an individual basis.	
<p>10. Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.</p> <p>[Compliance Indicator]</p>	<p>The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>The State's FFY 2006 reported data for this indicator are 100%. These data are based on one complaint. These data remain unchanged from the FFY 2005 data of 100%.</p> <p>The State met its FFY 2006 target of 100%.</p>	<p>OSEP appreciates the State's efforts in achieving compliance with the timely complaint resolution requirements in 34 CFR §§303.510 through 303.512.</p>
<p>11. Percent of fully adjudicated due process hearing requests that were fully adjudicated within the applicable timeline.</p> <p>[Compliance Indicator]</p>	<p>The State's FFY 2006 reported data for this indicator are 100%. These data are based on one adjudicated due process hearing request. These data remain unchanged from the FFY 2005 data of 100%.</p> <p>The State met its FFY 2006 target of 100%.</p>	<p>OSEP appreciates the State's efforts in achieving compliance with the timely due process hearing resolution requirements in 34 CFR §§303.420 and 303.423(b).</p>
<p>12. Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).</p> <p>[Results Indicator]</p>	<p>Not applicable.</p>	<p>This indicator does not apply to the State because the State has not adopted the Part B due process procedures.</p>
<p>13. Percent of mediations held that resulted in mediation agreements.</p> <p>[Results Indicator]</p>	<p>The State reported that no mediations were held during the FFY 2006 reporting period.</p>	<p>OSEP looks forward to reviewing the State's data in the FFY 2007 APR, due February 1, 2009.</p>
<p>14. State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.</p> <p>[Compliance Indicator]</p>	<p>The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>The State's FFY 2006 reported data for this indicator are 86.3%. However, OSEP's calculation of the data for this indicator is 89.5%.</p>	<p>The State must review its improvement activities and revise them, if appropriate, to ensure they will enable the State to provide data in the FFY 2007 APR, due February 1, 2009, demonstrating that the State is in</p>

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	The State did not meet its FFY 2006 target of 100%.	compliance with the timely and accurate data requirements in IDEA sections 616, 618, and 642 and 34 CFR §§76.720 and 303.540.