



United States Department of Agriculture
Office of Inspector General



Office of Inspector General

Annual Plan

Fiscal Year 2008

October 1, 2007 – September 30, 2008



Message from the Inspector General

I am pleased to present the USDA OIG annual plan for fiscal year (FY) 2008. Our plan sets out the goals and objectives for USDA OIG work in FY 2008. The annual plan is aligned with our current strategic plan for FY 2007 – 2012.

The FY 2008 annual plan describes how OIG will achieve its mission of promoting effectiveness and integrity in the delivery of USDA programs. The work outlined in the annual plan is the most critical work being performed by OIG as we move toward our goals of assessing how effectively USDA uses taxpayer dollars, improves programs and operations, serves the American public, and increases efficiency and accountability.

In the area of public health and safety, OIG is reviewing the Food Safety and Inspection Service's Risk-Based Inspection System for overseeing meat and poultry processing plants, which may not be implemented until OIG provides the House and Senate Appropriations Subcommittees with its findings. OIG is also placing an emphasis on investigating allegations of criminal activity in animal fighting, including dogfighting and cockfighting activities. To strengthen program integrity, OIG is analyzing program participant information common to the Risk Management Agency and Farm Service Agency to determine any reporting inconsistencies, and investigating allegations of criminal activity identified thereby. In addition, OIG will continue to evaluate the adequacy of security over USDA's information technology resources, while sharpening its focus on the stewardship of natural resources. All the while, OIG will continually strive to enhance its own ability to fulfill its mission.

This annual plan reflects the updated strategic goals detailed in our strategic plan. Our goals addressing 1) safety, security, and public health and 2) the integrity of entitlements and benefits programs remain essentially the same. The goal addressing the management of public resources has been split to make a distinction between the two diverse areas of 1) USDA's management improvement initiatives and 2) USDA's stewardship over natural resources. We are now also placing more prominence on our fifth strategic goal, to ensure OIG's own internal readiness to fulfill its mission.

On behalf of the entire Office of Inspector General, let me say that we look forward to continuing to work with the Acting Secretary and the Congress in the interest of the American public to improve USDA programs and operations.



Phyllis K. Fong
Inspector General

Contents

Introduction	1
Resources and Organization	1
FY 08 Work Plan	1
Goal 1	1
Strengthen USDA's ability to implement safety and security measures to protect the public health as well as agricultural and Departmental resources	
Goal 2	3
Reduce program vulnerabilities and strengthen program integrity in the delivery of benefits to program participants	
Goal 3	4
Support USDA in implementing its management improvement initiatives	
Goal 4	5
Increase the efficiency and effectiveness with which USDA manages and exercises stewardship over natural resources	
Goal 5	5
Strive for a highly qualified diverse workforce with the tools and training necessary to continuously enhance OIG's ability to fulfill its mission and communicate its accomplishments	
Performance Summary and Results	6
Conclusion	7
Appendix A	7
Related Materials and Information	
Appendix B	8
OIG Organizational Chart and Functional Responsibilities	
Appendix C	10
Distribution of Audit Resources by Agency	
Appendix D	11
Distribution of Investigative Resources by Agency	
Appendix E	12
Fiscal Year 2008 Audits Planned	

Introduction

The United States Department of Agriculture (USDA), Office of Inspector General's (OIG) Strategic Plan for FY 2007-2012 sets forth our mission, vision, and goals for 5 years. OIG has set its direction to provide a positive return on the U.S. taxpayers' investment by asking the following critical questions of all the work that we plan to undertake: Are we addressing the most important matters? Are we achieving significant results?

The FY 08 work that OIG intends to undertake in support of our current strategic plan is presented in this annual plan.

Resources and Organization

The work proposed for this annual plan was also assessed in terms of the availability of the necessary resources to accomplish it. Appendix B describes our current organizational structure and functional responsibilities.

FY 08 Work Plan

A summary of the work planned in support of each of the identified strategic goals for FY 08 follows.

Goal 1 – Strengthen USDA's ability to implement safety and security measures to protect the public health as well as agricultural and Departmental resources.

We expect to use 16.7 percent of our audit and 15 percent of our investigative resources for this goal.¹ Our focus includes the investigation of threats to the food supply, the agricultural sector, USDA employees, and national security. Our audit focus includes the assessment of management control systems designed to ensure that the Department is effectively protecting both the consumer and the Nation's agricultural resources. Our audit work will also focus on Homeland Security and the continued evaluation of the

effectiveness of the Department's actions in coordinating and communicating increased security controls, Departmentwide and with other Federal departments. Priority work in FY 08 will include the following:

- Determine if Performance-Based Inspection System (PBIS) enhancements for specified risk material (SRM) violations have been implemented and what management reports are being generated. FSIS uses PBIS to schedule and track meat and poultry inspection activities. SRMs are high-risk materials that have been proven to carry bovine spongiform encephalopathy, commonly known as "mad cow disease."
- Continue to evaluate the Food Safety and Inspection Service's (FSIS) plan for implementing a Risk-Based Inspection System at meat and poultry processing establishments.
- Investigate allegations of animal fighting, including dogfighting and cockfighting. Animal fighting presents serious human and animal health risks, such as avian influenza, particularly when animals are transported between States or smuggled into the United States for fighting purposes. Further, these investigations will assist in preventing other types of violent crimes associated with these illegal activities.
- Investigate threats involving the safety of meat, poultry, or egg products to ensure the timely response and appropriate, prompt corrective action necessary to protect the public from the ill effects of food tampering or tainted food.
- Examine FSIS' protocols for determining the equivalency of foreign countries' inspection systems to determine the adequacy for reinspection processes for meat and poultry imports.
- Investigate animal, animal product, plant, and plant product smuggling that may introduce devastating pests and diseases into the American agricultural sector.
- Review the Food Emergency Response Network's (FERN) capability to adequately respond to significant food threat events at the local, State, and Federal levels.

¹ All of the OIG teams contribute to each different goal, but currently we can quantify and project out only audit, inspections, and investigations resources to be directed to each goal.

- Evaluate the Animal and Plant Health Inspection Service's (APHIS) actions taken pursuant to its statutory enforcement authority to determine if regulated animal breeders/dealers' facilities are operating within the requirements of the Animal Welfare Act.
 - Review USDA oversight of laboratories with overlap (target both humans and animals) select agents registered with APHIS. Determine if USDA's oversight is comparable to the U.S. Department of Health and Human Services' (HHS) oversight of similar laboratories registered with the Centers for Disease Control and Prevention (CDC).
 - Respond to national emergencies affecting the American agricultural sector.
 - Enhance the capabilities of OIG's Emergency Response Program, including the Emergency Response Team and the Wildland Fire Investigations Team.
 - Evaluate APHIS' controls over animal import centers to ensure that restricted animals are properly transported and determine whether required quarantines and biosecurity controls are administered.
 - Examine APHIS' Plant Protection and Quarantine Program to assess its effectiveness.
 - Coordinate with and participate in the FBI's Joint Terrorism Task Forces in support of ongoing investigative efforts to combat domestic and international terrorism.
- Determine whether agricultural products marketed as "organic" meet the requirements of the National Organic Program.
 - Determine which USDA agencies have oversight responsibility for issuing permits for genetically engineered animal and insect research and whether USDA regulations provide adequate authority to control such research.
 - Review USDA controls, regulations, and policies over the import of genetically engineered plants and seeds for which the Department has responsibility.
 - Focus on OIG efforts in preventing agro-terrorism and enhancing coordination with both domestic and international partners.
 - Determine if the Forest Service has an adequate firefighting succession plan to replace retiring critical wildfire suppression personnel.
 - Protect USDA employees and facilities from threats to safety and security, including investigations of Forest Service (FS) firefighter deaths and violent assaults on employees.
 - Determine if National Resources Conservation Service (NRCS) program controls for rehabilitation of flood control dams are in place to mitigate potential threats or dangers to life and property.

Goal 2 – Reduce program vulnerabilities and strengthen program integrity in the delivery of benefits to program participants.

We expect to use 22.9 percent of our audit and 65 percent of our investigative resources for Goal 2. Our investigative focus includes threats to the integrity and effectiveness of USDA benefits programs. The audit focus includes continued examination of the effectiveness of internal control systems and identification of risk indicators to increase OIG's and USDA's ability to prevent or detect program abuse and criminal activity. Work to be performed in FY 08 will include the following:

- Evaluate the Farm Service Agency's (FSA) development of a comprehensive compliance system that would replace existing compliance efforts associated with individual production adjustment, price support, and farm loan programs.
- Investigate allegations of criminal activity in farm programs, including the crop insurance program and the farm loan programs. Also, identify potential allegations of criminal activity through the comparison of data from FSA and the Risk Management Agency (RMA).
- Assess the effectiveness of the quality control reviews performed by approved insurance providers (AIP) and of RMA's oversight of AIP quality control reviews.
- Analyze program participant information common to RMA and FSA to determine any reporting inconsistencies.
- Analyze National Agricultural Statistics Service's (NASS) published yield data and the use of this data by RMA in the crop insurance program.
- Review the controls in place for the 2007 crop year pilot program involving provisions for pasture, rangeland, and forage vegetation losses.
- Review NASS price discovery data collections for various crops to ensure that prices established and/or obtained are reliable.
- Evaluate whether data collected by NASS are reliable to support the average yields for various crops in a region/county.
- Investigate allegations of criminal activity in various USDA programs relating to national emergencies.
- Evaluate Rural Housing Service (RHS) controls in place over lender activities in the Single-Family Housing Guaranteed Loan Program.
- Determine if RHS has controls and procedures in place to ensure that bonds for funding guaranteed rural rental housing loans are used by lenders in an effective and efficient manner.
- Continue to monitor EBT systems for the Food Stamp Program to determine if controls are in place and newly implemented systems are functioning as designed.
- Investigate allegations of criminal activity in food and nutrition programs, including the Food Stamp Program; the Women, Infants, and Children Program; and the National School Lunch Program.
- Monitor USDA-wide hurricane disaster relief efforts to ensure accountability for USDA disaster assistance funds and enhance integrity in the delivery of benefits. As part of these efforts, participate with the U.S. Attorneys' Hurricane Katrina fraud task forces to assist in identifying criminal activity in USDA assistance programs (e.g., crop insurance, indemnity programs, food stamp/Electronic Benefits Transfer (EBT), grant and loan fraud, etc.) that OIG will pursue through investigation.
- Investigate allegations of criminal activity in USDA disaster assistance programs associated with natural disasters including drought and flooding.
- Determine whether school food purchases are conforming to new dietary guidelines.

Goal 3 – Support USDA in implementing its management improvement initiatives.

We expect to use 53.8 percent of our audit and 19 percent of our investigative resources for Goal 3. Our audit focus is on improved financial management and accountability, Information Technology (IT) security and management, research, real property management and procurement, renewable energy programs, and the Government Performance and Results Act. Our investigative focus includes allegations involving potential criminal violations that have employee corruption or fraud implications. Work to be performed in FY 08 includes the following:

- Audit the FY 07/08 USDA consolidated financial statements and the financial statements of seven stand-alone agencies.
- Evaluate the adequacy of security over USDA's IT resources to ensure compliance with the Federal Information Security Management Act of 2002.
- Review the National Information Technology Center, the National Finance Center, and the Information Technology Service's general controls to determine whether controls are in place and operating effectively.
- Determine if security and IT application controls are in place over nonfinancial systems utilized by USDA.
- Investigate allegations of criminal misuse of Government computers by employees, including accessing and downloading child pornography.
- Monitor the development and implementation of the 2007 Farm Bill (if enacted) to determine whether provisions are effectively implemented and whether programs implemented are in compliance with the Farm Bill provisions.
- Identify the various programs within USDA involved in renewable energy and determine if entities are receiving multiple or overlapping loan and grant funds for the same projects.
- Conduct a comprehensive followup review of the Broadband Loan Program to determine if applications are being approved in those areas that qualify.
- Participate in the PCIE Investigations Subcommittee initiative to create a peer review process for computer forensics within the OIG community.
- Evaluate controls over NRCS' implementation of the Technical Service Program to ensure proper certification and monitoring of technical service providers.
- Determine if the Forest Service has taken appropriate steps to secure a cost-effective mix of aerial resources to replace grounded aircraft used in its firefighting program.
- Determine if the Forest Service's acquisition of IT software and hardware are sufficient to ensure that resources are acquired in the most cost-efficient manner, development of systems will timely meet the agency's needs, and the agency has complied with the applicable Federal Acquisition Regulation.
- Conduct a followup review of the Grain Inspection, Packers and Stockyards Administration (GIPSA) management and oversight of the Packers and Stockyards Program (P&SP) reporting process.
- Conduct a review of the Department's Office of Workers' Compensation Program procedures and the status of employees currently participating in the program.
- Determine borrowers' compliance with regulations and loan agreements relating to RHS' rural rental housing project management and related controls designed to ensure such compliance.
- Monitor the effectiveness and enforcement of disqualification, debarment, and suspension regulations in USDA.
- Participate in the national and local procurement fraud task forces that partner with the U.S. Department of Justice and the various Offices of Inspector General.
- Investigate allegations of employee corruption and fraud.

Goal 4 – Increase the efficiency and effectiveness with which USDA manages and exercises stewardship over natural resources.

We expect to use 6.6 percent of our audit and 1 percent of our investigative resources for Goal 4. Our audit and investigative focus is USDA's management and stewardship of natural resources, including soil, water, and recreational settings. Priority work in FY 08 will include the following:

- Determine the effectiveness of the NRCS review process in assessing producer compliance with conservation provisions.
- Determine whether the Forest Service has implemented an adequate system of internal controls to ensure that the agency is accountable for the efficient and effective delivery of its programs.

Goal 5 – Strive for a highly qualified diverse workforce with the tools and training necessary to continuously enhance OIG's ability to fulfill its mission and communicate its accomplishments.

Our focus is to ensure readiness of the OIG workforce, timely report information to stakeholders, and satisfy employees' needs. Work in FY 08 will include the following:

- Solicit stakeholder feedback through interviews and customer service surveys (where appropriate) on an annual basis.
- Develop and deliver timely and informative Semiannual Reports to Congress, OIG Annual Plans, and other OIG publications to stakeholders.
- Provide Civil Rights and Equal Employment Opportunity training for all OIG employees.
- Update and publish high-priority IG management and policy directives.
- Timely acknowledge the receipt of Freedom of Information Act (FOIA) and Privacy Act (PA) requests to OIG and reduce the FOIA/PA backlog of requests.
- Provide high-quality and appropriate training to all OIG staff through the OIG Professional Development Conference in June 2008.
- Meet or exceed the goals established by the Department's Small Business Office for awards to small business contractors.
- Improve the speed of the wide-area network by replacing routers and switches, increasing the circuit size where practical, and optimizing routing tables.
- Implement OIG Intranet enhancements based on recommendations from the Intranet Review Committee.
- Align all OIG performance plans to the OIG Strategic Plan.
- Provide effective supervisory training for all new supervisors.

Performance Summary and Results

Our Strategic Plan established a life-cycle approach to our outputs that tracks individual actions at critical milestones to identify the results of our work. We began measuring the results of our work in FY 04. During FY 07, two of

the three performance measures met or exceeded performance targets. The other performance measure missed the target by only 1 percent. The following illustrates our FY 07 results in comparison to our performance targets.

OIG Strategic and Performance Goals and FY 2007 Results

Strategic Goal	Performance Goals
(1) Safety, Security and Public Health	Support USDA in the enhancement of safety and security measures to protect USDA and agricultural resources and in related public health concerns
(2) Integrity of Benefits and Entitlement Programs	Reduce program vulnerabilities and enhance integrity in the delivery of benefits to individuals
(3) Management of Public Resources	Increase the efficiency and effectiveness with which USDA manages and employs public assets and resources, including physical and information resources

Performance Measure	FY 07 Target	FY 07 Actual
(1) Audit/Investigation resources dedicated to critical-risk and high-impact work.	90%	92.8%
(2) Audit recommendations resulting in management decision within 1 year	85%	84.0%
(3) Investigative cases where criminal, civil, or administrative action is taken in response to OIG Reports.	65%	73.7%

Conclusion

Throughout the FY 08 performance year, periodic reporting will measure progress toward the performance objectives identified for work under this annual plan. Adjustments may be made to reflect shifting priorities, increased or reduced resources, or other circumstances that may arise.

Every effort was made in developing this annual plan to ensure realistic assessment of the planned work's strategic alignment and importance, anticipated outcomes, and

resource requirements. We believe it represents a balanced and achievable set of performance objectives for FY 08.

As with any plan, it is subject to change when necessary to allow OIG to remain responsive to critical or emerging issues and assist the Department in managing risks appropriately.

We will report on our results against this plan in our Semiannual Reports to Congress.

APPENDIX A

Related Materials and Information

OIG Strategic Plan for FY 2007-2012:

<http://www.usda.gov/oig/webdocs/OIGStrat2007.2012.pdf>

USDA Strategic Plan for FY 2005-2010:

<http://www.usda.gov/ocfo/usdasp/pdf/sp05-08.pdf>

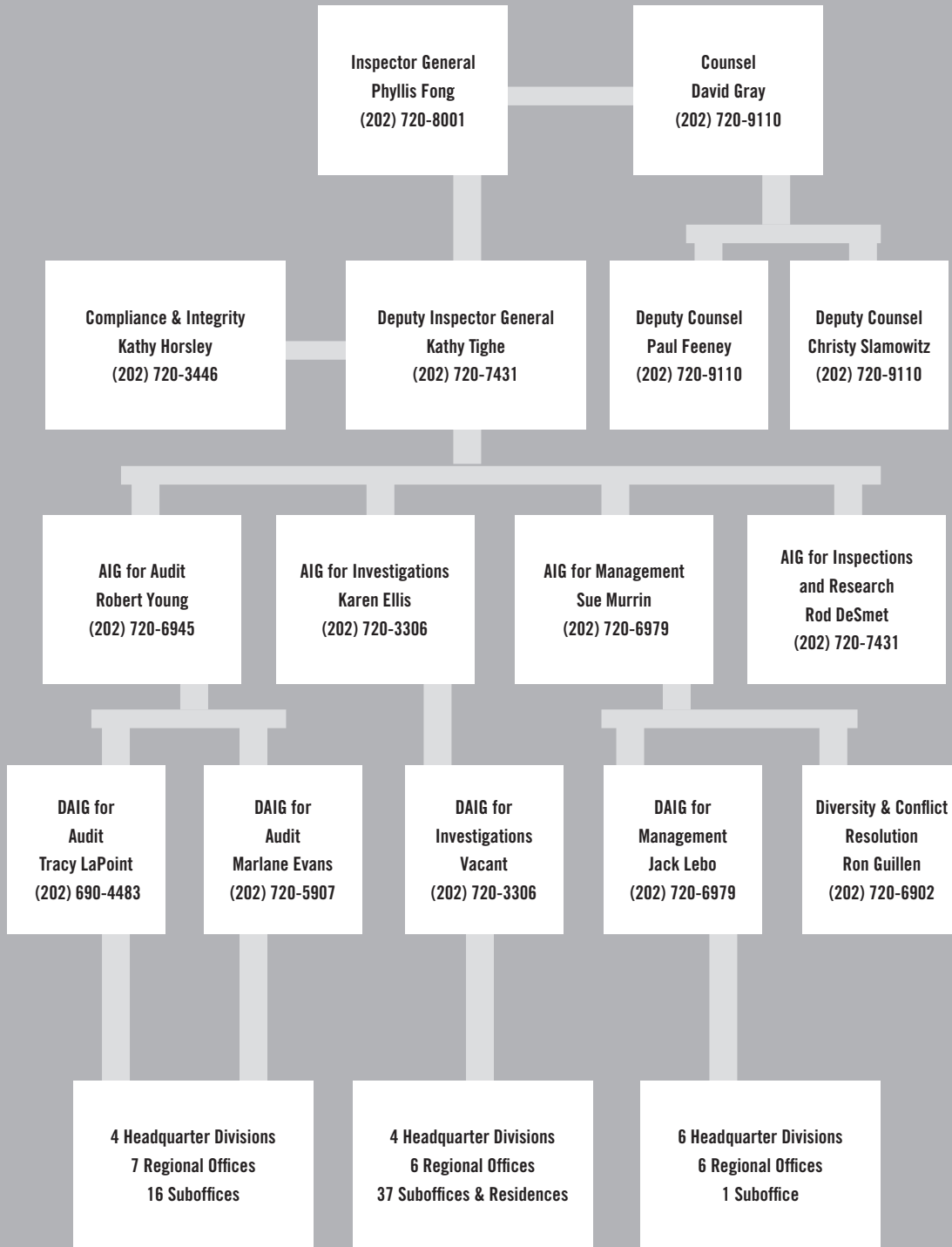
USDA Major Management Challenges for FY 2007-2008:

<http://www.usda.gov/oig/webdocs/MgmtChallenges2007.pdf>

APPENDIX B

Organization Chart and Functional Responsibilities

September 2007



Areas of Responsibility:

Audit

Audit examines the economy and efficiency of USDA programs and operations, including program results, compliance with applicable laws and regulations, and fair presentation of financial reports. While the majority of audit work is performed by OIG staff, Audit also contracts with certified public accountants for some work and oversees the quality of work done by auditors under contract to other USDA agencies. OIG audits are performed in accordance with Government Auditing Standards published by the U.S. Government Accountability Office and in accordance with the professional standards set by the American Institute of Certified Public Accountants.

Investigations

Investigations utilizes specific law enforcement authorities, tools, and techniques, including the use of asset forfeiture, to conduct investigations and prevent fraud, waste, and abuse in the programs and operations of USDA. Investigative work is intended to result in appropriate actions to resolve allegations and to prevent and deter future instances of illegal or fraudulent acts or misconduct.

Inspections and Research

The Office of Inspections and Research is responsible for conducting inspections of USDA's programs and operations; providing technical and scientific advice to Audit and Investigations; and conducting research and analyses addressing areas of interest to OIG and USDA, including best practice studies and other projects involving data analysis. Emphasis is placed on the use of empirical information, research techniques, and other data-mining methodologies.

Counsel

The Office of Counsel (OC) provides legal advice and representation on issues arising during the course of audit, investigative, and inspection activities or administrative and management issues facing OIG. OC also manages OIG's congressional and media relations, ethics, Freedom of Information Act, and Privacy Act programs.

Management

The Office of Management (OM) ensures that the people, money, technology and equipment, and policies are in place so that OIG can function efficiently and effectively. Responsibilities include asset management, budget formulation and execution, human resources, cross-OIG workplace training, information technology, and policy preparation for OIG. OM also facilitates OIG's planning activities and prepares cross-cutting documents on OIG accomplishments.

Compliance and Integrity

The Office of Compliance and Integrity conducts systematic and independent reviews and investigations of OIG operations. Reviews are generally focused on management and internal controls, and investigations are generally in response to allegations of OIG employee misconduct or mismanagement issues.

APPENDIX C

Distribution of Audit Resources by Agency

FY 2008 Planned	
NATURAL RESOURCES AND ENVIRONMENT – 13.2%	
Forest Service (FS)	9.9
Natural Resources Conservation Service (NRCS)	3.3
FARM AND FOREIGN AGRICULTURAL SERVICES – 14.0%	
Farm Service Agency (FSA)	6.6
Foreign Agricultural Service (FAS)	0.2
Risk Management Agency (RMA)	7.0
Commodity Credit Corporation (financials) (CCC)	0.2
RURAL DEVELOPMENT – 15.4%	
Rural Development (RD)	4.6
Rural Utilities Service (RUS)	2.7
Rural Housing Service (RHS)	6.3
Rural Business-Cooperative Service (RBS)	1.7
Rural Telephone Bank (financials) (RTB)	0.1
FOOD, NUTRITION, AND CONSUMER SERVICES – 9.3%	
Food and Nutrition Service (FNS)	9.3
FOOD SAFETY – 6.6%	
Food Safety and Inspection Service (FSIS)	6.6
MARKETING AND REGULATORY PROGRAMS – 10.9%	
Agricultural Marketing Service (AMS)	2.3
Animal and Plant Health Inspection Service (APHIS)	7.9
Grain Inspection, Packers and Stockyards Administration (GIPSA)	0.7
RESEARCH, EDUCATION, AND ECONOMICS – 2.8%	
Agricultural Research Service (ARS)	1.0
Cooperative State Research, Education, and Extension Service (CSREES)	0.2
National Agricultural Statistics Service (NASS)	1.6
EXECUTIVE SECRETARIAT AND OTHER ENTITIES – 5.1%	
Office of the Chief Financial Officer (OCFO), Office of the Chief Information Officer (OCIO), Office of Procurement and Property Management (OPPM), Delta Regional Authority (DRA), Civil Rights (CR).	5.1
MULTIPLE AGENCY – 22.7%	
	22.7

APPENDIX D

Distribution of Investigative Resources by Agency

FY 2008 ANTICIPATED	
NATURAL RESOURCES AND ENVIRONMENT – 4.0%	
Forest Service (FS)	2.4
Natural Resources Conservation Service (NRCS)	1.6
FARM AND FOREIGN AGRICULTURAL SERVICES – 26.0%	
Farm Service Agency (FSA)	17.1
Foreign Agricultural Service (FAS)	1.8
Risk Management Agency (RMA)	7.1
RURAL DEVELOPMENT – 5.4%	
Rural Utilities Service (RUS)	0.2
Rural Housing Service (RHS)	3.7
Rural Business-Cooperative Service (RBS)	1.5
FOOD, NUTRITION, AND CONSUMER SERVICES – 35.6%	
Food and Nutrition Service (FNS)	35.6
FOOD SAFETY – 5.7%	
Food Safety and Inspection Service (FSIS)	5.7
MARKETING AND REGULATORY PROGRAMS – 12.7%	
Agricultural Marketing Service (AMS)	1.0
Animal and Plant Health Inspection Service (APHIS)	11.5
Grain Inspection, Packers and Stockyards Administration (GIPSA)	0.2
RESEARCH, EDUCATION, AND ECONOMICS – 1.7%	
Agricultural Research Service (ARS)	1.6
Cooperative State Research, Education, and Extension Service (CSREES)	0.1
OTHER – 8.9%	
Departmental Administration (DA), Office of the Chief Financial Officer (OCFO), Office of the Chief Information Officer (CIO), Civil Rights (CR), OIG, Other	8.9

APPENDIX E

Fiscal Year 2008 Audits Planned and In Process

AGENCY	TITLE	GOAL
AMS	Fresh Product Grading	Safety and Security
	Adequacy of Meat Grading Procedures	Safety and Security
	Oversight of the National Organic Program	Safety and Security
	Integrity of Pork Promotion Program - Excessive Benefits to Third Party Contractors	Integrity of Benefits
APHIS	APHIS Monitoring of Adverse Event Reporting	Safety and Security
	Follow up for APHIS Licensing of Animal Exhibitors	Safety and Security
	Controls Over Animal Import Centers	Safety and Security
	Impact of Investigative and Enforcement Services (IES) on APHIS' Programs	Safety and Security
	* Controls Over Permits to Import Agricultural Products	Safety and Security
	Controls Over the Detection of Foreign Animal Diseases	Safety and Security
	Effectiveness of Fines and Penalties Against Large Importers	Safety and Security
	BSE Maintenance Sampling - Phase IV and Plant BSE Testing	Safety and Security
	Plant Protection and Quarantine (PPQ) Program	Safety and Security
	APHIS Oversight of Designated Qualified Persons Enforcing the Horse Protection Act	Safety and Security
	Safeguards Over Hazardous Materials Used for the Control of Pests	Safety and Security
	Avian Influenza Testing Laboratories	Safety and Security
	* Controls Over APHIS Pilot Certifications	Safety and Security
	APHIS Inspection of Breeders	Safety and Security
ARS	ARS - Major Facilities Construction Projects	Mgmt Improvement Init
	* ARS - Management Controls Over Research Agreements	Mgmt Improvement Init
CCC	* FY 2007 CCC Financial Statements	Mgmt Improvement Init
	FY 2008 CCC Financial Statements	Mgmt Improvement Init

AGENCY	TITLE	GOAL
OCIO	FY 2008 National Information Technology Center (NITC) - General Controls Review	Mgmt Improvement Init
	FY 2008 Information Technology Services (ITS) General Controls	Mgmt Improvement Init
CR	Review of USDA's Accountability Actions Taken on Program Civil Rights Complaints	Integrity of Benefits
CSREES	Controls Over CSREES Principle Investigators for Research and Financial Reporting	Mgmt Improvement Init
DRA	Delta Regional Authority - FY's 2005/2006	Integrity of Benefits
FAS	Management Controls Over FAS' Market Access Program	Mgmt Improvement Init
	* GSM 102 Export Guarantee Programs	Mgmt Improvement Init
FNS	Puerto Rico Nutrition Assistance Program - Administrative Expenses	Integrity of Benefits
	Vendor Monitoring in the Supplemental Program for Women, Infants, and Children (WIC) Program	Integrity of Benefits
	FNS Status of Improper Payments Information Act (IPIA) Reporting	Mgmt Improvement Init
	* FY 2007 FNS Financial Statements	Mgmt Improvement Init
	FY 2008 FNS Financial Statements	Mgmt Improvement Init
	Continued Monitoring of Electronic Benefits Transfer (EBT) Operations - National and Regional Office, State Agency, and EBT Processor Oversight	Integrity of Benefits
	Food Safety and Biosecurity at New York City Schools	Safety and Security
	* New Jersey Administrative Costs for the Food Stamp Program	Integrity of Benefits
	* Application Control Review of the Store Tracking and Redemption Subsystem II	Mgmt Improvement Init
	Child and Adult Care Food Program (CACFP) - Followup on Nationwide Report	Integrity of Benefits

AGENCY	TITLE	GOAL
FS	Forest Service Watershed Management	Natural Resources
	* Forest Service Invasive Species Program	Natural Resources
	* Forest Service Cost Efficiency of Contracted Labor Crews	Natural Resources
	* FY 2007 Forest Service Financial Statements	Mgmt Improvement Init
	FY 2008 Forest Service Financial Statements	Mgmt Improvement Init
	Management of Oil and Gas Resources on National Forest System Lands	Natural Resources
	Oversight and Control of Forest Service Activities	Natural Resources
	* Replacement of Forest Service Firefighting Aerial Resources	Mgmt Improvement Init
	Follow up on Prior Forest Service Firefighter Safety OIG Audits	Safety and Security
	Forest Service Fire Program Analysis System	Mgmt Improvement Init
	Forest Service Legacy Program - Appraisal Process	Natural Resources
	Forest Service Administration of Special Use Permits	Natural Resources
	Forest Service National Fire Plan Reporting System	Mgmt Improvement Init
	Forest Service Working Capital Fund	Mgmt Improvement Init
	Forest Service Firefighting Succession Plans	Safety and Security
Forest Service Acquisition of IT Software/Hardware	Mgmt Improvement Init	
FSA	* Tobacco Transition Payment Program - Tobacco Buyout	Integrity of Benefits
	Tobacco Transition Payment Program - Quota Producers	Integrity of Benefits
	* Followup Review at FSA on Improper Payments Information Act (IPIA) High-Risk Programs	Mgmt Improvement Init
	Farm Loan Security	Mgmt Improvement Init
	* Controls Over the Guaranteed Farm Loan Interest Rates and Interest Assistance	Integrity of Benefits
	* FY 2007 FSA Accounting for Farm Loan Programs	Mgmt Improvement Init
	FY 2008 FSA Accounting for Farm Loan Programs	Mgmt Improvement Init
	Long Term or Perpetual Easements on Conservation Contracts	Natural Resources
	FSA's Comprehensive Compliance System	Integrity of Benefits

AGENCY	TITLE	GOAL
FSA, con't.	Controls Over FSA's Wetland Restoration Initiative - Northern Great Plains	Natural Resources
	* Bioenergy Program	Integrity of Benefits
	* Price Support Prices for Dry Peas	Integrity of Benefits
	* FSA Inspection of Foreign Food Aid in Temporary Domestic Storage	Safety and Security
	Calendar Year 2006 Livestock Assistance Grant Program	Integrity of Benefits
	Marketing Assistance Loans and Loan Deficiency Payments to Cooperative Marketing Associations and Designated Marketing Associations	Integrity of Benefits
	FSA Controls Over Guaranteed Farm Ownership and Operating Loan Limits	Integrity of Benefits
	Farm Storage Facility Loan Program (FSFLP)	Integrity of Benefits
	* Payment Limitation - Louisiana	Mgmt Improvement Init
FSIS	Food Emergency Response Network (FERN)	Safety and Security
	* Egg Processing Inspection	Safety and Security
	* Risk-Based Inspection	Safety and Security
	Followup on Inspection of Meat and Poultry Imports	Safety and Security
	FSIS Team Inspection/Vacancy Rates	Safety and Security
	PBIS Enhancements for Specified Risk Materials (SRM) Violations and Improved Inspection Controls Over SRM	Safety and Security
	National Residue Program in Cull Cow Plants	Safety and Security
	FSIS Food Defense Verification Procedures	Safety and Security
GIPSA	Management and Oversight of the Packers and Stockyards Program - Followup	Mgmt Improvement Init

AGENCY	TITLE	GOAL
MULTI	Citrus Canker Eradication Compensation and Insurance Program	Integrity of Benefits
	* Effectiveness and Enforcement of Debarment and Suspension Regulations in USDA	Mgmt Improvement Init
	USDA National Plant Diagnostic Network (NPDN)	Safety and Security
	National Animal Health Laboratory Network	Safety and Security
	* USDA's Controls Over Select Animal Movements	Safety and Security
	* Implementation of Renewable Energy Programs in USDA	Integrity of Benefits
	Audit of Lean Six Sigma Transaction Process Initiative	Mgmt Improvement Init
	IT Security and Application Controls Over Selected Non-financial systems	Mgmt Improvement Init
	* FY 2007 USDA Financial Statements	Mgmt Improvement Init
	FY 2008 USDA Financial Statements	Mgmt Improvement Init
	FY 2008 Federal Information Security Management Act (FISMA) Report	Mgmt Improvement Init
	2007 Farm Bill Activities, Initiatives, Implementation	Mgmt Improvement Init
	* Hurricanes Katrina, Wilma, and Rita Relief Initiatives	Mgmt Improvement Init
	USDA's Response to Colony Collapse Disorder (CCD)	Safety and Security
	* Adequacy of Internal Controls Over Travel Card Expenditures - Followup	Integrity of Benefits
	* Effectiveness of Status Review Process in Assessing Producer Compliance With Conservation Provisions	Natural Resources
	* Peanut Pricing Determinations	Mgmt Improvement Init
	Rural Area Designations	Integrity of Benefits
	Controls Over Genetically Engineered Imports	Safety and Security
	Pasture, Rangeland, and Forage Loss Programs	Integrity of Benefits
	USDA Programs for Beginning Farmers and Ranchers	Mgmt Improvement Init
	* Controls Over Genetically Engineered Animals/Insects Research	Safety and Security

AGENCY	TITLE	GOAL
MULTI, con't.	* Crop Loss and Quality Adjustments for Aflatoxin Infected Corn	Integrity of Benefits
	* USDA's Role in the Export of Genetically Engineered Agricultural Commodities	Safety and Security
	Review of Adjusted Gross Income Limitation	Integrity of Benefits
	DCAA Contract Audit Administration	Integrity of Benefits
	Single Audit Management	Integrity of Benefits
	Special Requests	Mgmt Improvement Init
	Audit Followup	Mgmt Improvement Init
NASS	NASS Price Discovery Efforts for Various Crops Reported Nationwide	Integrity of Benefits
	NASS Establishments of Average Yields	Integrity of Benefits
NRCS	NRCS Wildlife Habitat Incentives Program	Natural Resources
	* Flood Control Dams Rehabilitation	Safety and Security
	NRCS Controls Over Technical Service Providers	Natural Resources
	FY 2008 NRCS Financial Statements	Mgmt Improvement Init
	Restructuring of Conservation Cost Share Programs	Natural Resources
	* Conservation Security Program	Natural Resources
	* Farm and Ranchland Protection Program (FRPP) - Review of Non-Governmental Organizations	Integrity of Benefits
OCFO	FY 2008 National Financial Center General Controls	Mgmt Improvement Init
	FY 2008 Agreed-Upon Procedures: Retirement, Health Benefits, and Life Insurance Withholdings/Contribution and Supplemental Headcount Report Submitted to the Office of Personnel Management	Mgmt Improvement Init

AGENCY	TITLE	GOAL
OPPM	Federal Biobased Products Preferred Procurement Program	Mgmt Improvement Init
RBS	* RBS Intermediary Re-lending Program	Integrity of Benefits
	Business and Industry (B&I) Guaranteed Loans - Equity Requirements	Mgmt Improvement Init
	* B&I Guaranteed Lenders with Guaranteed Loans in Default	Integrity of Benefits
RD	* FY 2007 Rural Development Financial Statements	Mgmt Improvement Init
	FY 2008 Rural Development Financial Statements	Mgmt Improvement Init
RHS	RHS Controls Over Management Companies in Puerto Rico	Integrity of Benefits
	Rural Rental Housing (RRH) Project Insurance, Maintenance, and Reserve Account Funding - Florida	Integrity of Benefits
	RRH Maintenance Costs and Inspection Procedures	Integrity of Benefits
	RRH Construction Costs	Integrity of Benefits
	Controls Over Lender Activities in the Single Family Housing (SFH) Guaranteed Loan Program	Integrity of Benefits
	RHS Controls Over SFH Grants Lifetime Limit	Mgmt Improvement Init
	Rural Critical Access Hospitals	Mgmt Improvement Init
	* RHS Bond Financing - Guaranteed Loans	Integrity of Benefits
	Community Facilities Guaranteed Loan Program	Mgmt Improvement Init
	* Oklahoma RRH Management Company	Mgmt Improvement Init
RMA	Citrus Indemnity Payments Resulting from 2005 Florida Hurricanes	Integrity of Benefits
	RMA's Catastrophic Risk Protection Program	Integrity of Benefits
	* RMA Compliance Office Activities	Mgmt Improvement Init
	Controls Over Optional Unit Provisions	Integrity of Benefits
	* FY 2007 FCIC Financial Statements	Mgmt Improvement Init
	FY 2008 FCIC Financial Statements	Mgmt Improvement Init
	Crop Revenue Coverage	Integrity of Benefits
	Underwriting and Loss Adjustment for Crop Policies Designated to the Assigned Risk Fund	Integrity of Benefits

AGENCY	TITLE	GOAL
RMA, con't.	RMA Validity of New Producers	Integrity of Benefits
	Mediation, Arbitration, Appeal, Reconsideration, and Administrative and Judicial Review Process in RMA	Integrity of Benefits
	* Contracting for Services Under the Agricultural Risk Protection Act (ARPA) of 2000	Mgmt Improvement Init
	Crop Insurance Prevented Planting Policy Provisions	Integrity of Benefits
	RMA Implementation of \$500,000 Claim Decision Process	Integrity of Benefits
	RMA's Oversight of Approved Insurance Providers' Quality Control Process	Integrity of Benefits
	Crop Insurance Sales Agent Rebating	Integrity of Benefits
	RMA National Operation Reviews	Integrity of Benefits
	* Group Risk Crop Insurance	Integrity of Benefits
RTB	RTB Closeout Audit	Mgmt Improvement Init
RUS	RUS Controls Over Water and Waste Disposal Loan and Grant Program	Mgmt Improvement Init
	RUS Water and Wastewater Systems Grants - Alaska	Mgmt Improvement Init
	* Texas Community Connect Grants - Closeout Audit	Integrity of Benefits
	Broadband Loan Programs	Mgmt Improvement Init

NOTES:

Asterisk () Denotes Work in Process – This is carryover work from an assignment initiated in the prior fiscal year.*

Goal 1 Safety and Security—Strengthen USDA's ability to implement safety and security measures to protect the public health as well as agricultural and Departmental resources.

Goal 2 Integrity of Benefits—Reduce program vulnerabilities and strengthen program integrity in the delivery of benefits to program participants.

Goal 3 Mgmt Improvement Initiatives—Support USDA in implementing its management improvement initiatives.

Goal 4 Natural Resources—Increase the efficiency and effectiveness with which USDA manages and exercises stewardship over natural resources.

Overview of OIG Plans for Fiscal Year 2008

Percentage of Direct Resources To Be Spent on Each FY 2008 Goal	Audit	Investigations
(1) Support USDA in the enhancement of safety and security measures to protect USDA and agricultural resources and in related public health concerns.	16.7%	15%
(2) Reduce program vulnerabilities and enhance integrity in the delivery of benefits to individuals.	22.9%	65%
(3) Support USDA in implementing its management improvement initiatives.	53.8%	19%
(4) Increase the efficiency and effectiveness with which USDA manages and exercises stewardship over natural resources.	6.6%	1%
(5) Strive for a highly qualified diverse workforce with the tools and training necessary to continuously enhance OIG's ability to fulfill its mission and communicate its accomplishments. ^a	N/A	N/A

^a Work on goal 5 includes: (1) the OIG mission support work of the Offices of Counsel, Management, and Compliance and Integrity; and (2) the training, general supervision, and equal employment opportunity work done by all OIG staff.

Performance Measures	FY 07 Target	FY 07 Actual	FY 08 Target
(1) OIG direct resources dedicated to critical-risk and high-impact work.	90%	92.8%	90%
(2) Audit or inspection recommendations resulting in management decision within 1 year of report issuance.	85%	84.0%	85%
(3)* Mandatory and Congressional, Secretarial, and agency-requested audits completed within required or agreed-to timeframes.	N/A	N/A	85%
(4)* Inspections delivered within agreed-to or established timeframes.	N/A	N/A	80%
(5)* Closed investigations that resulted in a referral for action to the Department of Justice, State/ local law enforcement officials, or relevant administrative authority.	N/A	N/A	70%
(6) Closed investigations previously referred for action that resulted in an indictment, conviction, civil suit or settlement, judgment, administrative action, or monetary result.	65%	73.7%	65%
(7)* Positive employee satisfaction rate reported in staff surveys (now done through Organizational Assessment Surveys conducted every 2 years).	N/A	N/A	70%
(8)* Time from receipt of an SF-52 for a standard recruitment action until positions are posted and opened through the automated system averages 3 days.	N/A	N/A	60%
(9)* Time to issue candidate certificates after the closing date of an announcement averages 5 days.	N/A	N/A	60%
(10)* All ITD systems including the LAN, WAN, e-mail, and ARGOS remain operational, excluding planned outages for system maintenance and outages caused by outside factors.	N/A	N/A	95%
(11)* Monthly Status of Funds Report issued within management timeframes following passage and apportionment of the FY 2008 appropriation.	N/A	N/A	70%
(12)* Micro-purchases awarded within 3 working days of receipt of approved AD-700.	N/A	N/A	85%
(13)* Administrative actions for processing new hires completed within 72 hours after they report for duty.	N/A	N/A	90%
(14)* All OIG public reports meet management and statutory timeframes for publications.	N/A	N/A	85%
(15)* Positive evaluation score on course evaluations for all EEO training courses.	N/A	N/A	75%
(16)* Quality Assurance Review reports issued in final with management response within 60 days.	N/A	N/A	75%
(17)* Quality Assurance report recommendations accepted annually.	N/A	N/A	75%
(18)* Inquiries from Members of Congress acknowledged in writing within 10 working days of receipt.	N/A	N/A	90%
(19)* The backlog of Freedom of Information Act and Privacy Act requests is reduced by 25% from the previous year's backlog.	N/A	N/A	80%

*New Performance Measure



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