

## Board of Governors of the Federal Reserve System



# Report of Bank Holding Company Intercompany Transactions and Balances—FR Y-8

This report is required by law: Section 5(c) of the Bank Holding Company Act (12 U.S.C. 1844) and Section 225.5(b) of Regulation Y (12 C.F.R. 225.5(b)).

The Report of Bank Holding Company Transactions and Balances are to be prepared in accordance with the instructions provided by the Federal Reserve System.

The Federal Reserve System regards the individual company information provided by each respondent as confidential. If it should be determined subsequently that any information collected on this form must be released, respondents will be notified.

I, \_\_\_\_\_  
Name and Title of Officer

an authorized officer of the named bank holding company, hereby declare that this report has been examined by me and is true and complete to the best of my knowledge and belief.

\_\_\_\_\_  
Signature of Bank Holding Company Official

\_\_\_\_\_  
Date of Signature

Date of Report:

\_\_\_\_\_  
Month / Date / Year (BHCD 9999)

\_\_\_\_\_  
Legal Title of Bank Holding Company (TEXT 9010)

\_\_\_\_\_  
(Mailing Address of the Bank Holding Company) Street / P.O. Box (TEXT 9110)

\_\_\_\_\_  
City (TEXT 9130) State (TEXT 9200) ZIP Code (TEXT 9220)

PLEASE CHECK TYPE OF REPORT:

Original  Interim\*  Revised

\*In addition to the semiannual report, an interim report is required under certain conditions (see instructions).

Location of principal office:

\_\_\_\_\_  
City County

\_\_\_\_\_  
State ZIP Code

Return to the appropriate Federal Reserve District Bank the completed original and the number of copies specified by that District Bank.

### For Federal Reserve Bank Use Only

BHC Number \_\_\_\_\_

RSSD Number \_\_\_\_\_

Person to whom questions about this report should be directed:

\_\_\_\_\_  
Name / Title (TEXT 8901)

\_\_\_\_\_  
Area Code / Phone Number (TEXT 8902)

The Federal Reserve may not conduct or sponsor, and an organization is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Public reporting burden for this information collection is estimated at an average of 3.0 hours per response, including time to gather and maintain data in the required form and to review instructions and complete the information collection. Comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing the burden, may be sent to Secretary, Board of Governors of the Federal Reserve System, Washington, D.C. 20551, and to the Office of Management and Budget, Paperwork Reduction Project (7100-0126), Washington, D.C. 20503.

Name of Bank Holding Company \_\_\_\_\_

**For Federal Reserve Bank Use Only**

BHC Number _____		
RSSD Number _____		
Should this report be checked for additional information sent by the respondent? (1 = Yes, 2 = No) .....		
<table border="1"> <tr> <td>BHCD 8360</td> </tr> <tr> <td> </td> </tr> </table>	BHCD 8360	
BHCD 8360		

# Report of Bank Holding Company Intercompany Transactions and Balances

Report at the close of business \_\_\_\_\_, \_\_\_\_\_

## Section 1 – Assets Transferred<sup>1</sup>

PLEASE READ INSTRUCTIONS BEFORE COMPLETING THIS REPORT

Dollar Amounts in Thousands	Total Amount Transferred During the Reporting Period								Amount Transferred in Column B that was Past Due, Nonaccrual, or Restructured <sup>2</sup>				
	A. TO: Subsidiary Banks and Their Subsidiaries FROM: Other BHC Members <sup>3</sup>				B. TO: Other BHC Members FROM: Subsidiary Banks and Their Subsidiaries				C. TO: Other BHC Members FROM: Subsidiary Banks and Their Subsidiaries				
	BHCD/ BHCI <sup>4</sup>	Bil	Mil	Thou	BHCD/ BHCI <sup>4</sup>	Bil	Mil	Thou	BHCD/ BHCI <sup>4</sup>	Bil	Mil	Thou	
1. Securities (including obligations of States and political subdivisions of the U.S., but excluding U.S. Treasury securities, obligations of other U.S. government agencies and corporations, and securities issued by other BHC members) .....	8300				8301				8302				1.
2. Loans secured by real estate .....	8303				8304				8305				2.
3. Other loans, lease financing receivables, and other assets representing extensions of credit .....	8306				8307				8308				3.
4. Real estate owned other than premises .....	8309				8310				8311				4.
5. Other assets, excluding those listed in the detailed instructions .....	8312				8313				8314				5.
6. TOTAL AMOUNT TRANSFERRED (Sum each column) .....	8315				8316				8317				6.

7. TRANSFERS OF PAST DUE, NONACCRUAL, OR RESTRUCTURED ASSETS. If the total amount reported in any individual cell under column C of Section 1 (items 1 through 5) exceeds five percent (5%) of total banking equity or \$10 million, whichever is less, the following information should be provided on a separate page to be attached to the report form: (1) the amount and date of each individual transfer making up the total reported, (2) the names of the entities involved in each transfer, and (3) a brief statement as to the purpose of the transfer. (TEXT 8357)

8. TRANSFERS OF OPERATIONS. Report the transfer of any subsidiary or activity (division or operation) from (to) subsidiary banks and their subsidiaries to (from) other BHC members if, and only if, assets have been transferred in an amount in excess of five percent (5%) of total banking equity<sup>2</sup> or \$10 million, whichever is less. The following information on such asset transfers should be provided on a separate page to be attached to the report form: (1) the amount and date of assets transferred, (2) the names of the entities involved in the transfer, and (3) a brief statement as to the purpose of the transfer. (TEXT 8358)

<sup>1</sup> Negative numbers should not be reported in Section 1.  
<sup>2</sup> See the definitions section of the General Instructions.  
<sup>3</sup> The phrase "other BHC members" is defined in the instructions to this report to include (a) the parent company (if the parent is not a bank) and (b) all other subsidiaries of the bank holding company that are neither banks nor direct or indirect subsidiaries of banks.  
<sup>4</sup> The mnemonic "BHCI" should be used to transmit interim report data.

# Report of Bank Holding Company Intercompany Transactions and Balances—Continued

## Section 2—Other Intercompany Transactions and Balances

PLEASE READ INSTRUCTIONS BEFORE COMPLETING THIS REPORT		Dollar Amounts in Thousands				
		BHCD	Bil	Mil	Thou	
1. Income recognized (during the reporting period) by subsidiary banks and their subsidiaries from other BHC members:						
a. Interest .....	8318					1.a.
b. Management and other service fees .....	8319					1.b.
2. Average balance of credit extended by subsidiary banks and their subsidiaries to other BHC members (include loans, advances, capitalized leases, nonequity investments, repurchase agreements, and other extensions of credit) .....	8320					2.
3. Credit lines, compensating balances, and correspondent relationships:						
a. Credit lines associated with compensating balances .....	8321					3.a.
b. Current amount of credit used in the credit lines reported in 3.a .....	8322					3.b.
c. Average amount of compensating balances maintained over the reporting period by subsidiary banks at unrelated banks in connection with credit lines extended and services provided to other BHC members .....	8323					3.c.
d. Amount of compensation, if any, recognized during the period by subsidiary banks for maintaining the balances reported in 3.c .....	8324					3.d.
4. Period-end amount of all outstanding loans, unused commitments, "guarantees," or standby letters of credit made by subsidiary banks and their subsidiaries in connection with credit extended by third parties to other BHC members .....	8325					4.
5. Gross amounts transferred during the reporting period related to Federal, State, and foreign income taxes:						
a. From subsidiary banks and their subsidiaries to other BHC members .....	8326					5.a.
b. From other BHC members to subsidiary banks and their subsidiaries .....	8327					5.b.

This report is required to collect data on the movement of funds between a domestic bank holding company and its subsidiaries in order to identify broad categories of intercompany transactions and balances that may have an adverse impact on the financial condition of the subsidiary bank(s).