

Using Risk to Direct In-Plant Processing and Off-line Slaughter Inspection Activities

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Key Points

- All processing plants continue to have daily inspection
- Focuses on processing and off-line slaughter inspection activities e.g., does not cover carcass by carcass inspection
- Implementation of Risk-Based Inspection in processing is a multi-phase process to allow adequate time of inspection program personnel to familiarize themselves with the system
- Training will be provided to inspection program personnel
- Allows time for programming of computerized Risk-Based System and for the development and delivery of training
- Under Risk-Based Inspection, the inspection level will be based on a combination of the plant's ability to control the risk and the inherent risk of the product
- Noncompliance records will continue to be documented for regulatory noncompliance, but not all NRs will be treated equally when determining the plant's ability to control the risk

Implementation Proposal

- During the period that the scheduler is turned off, inspection program personnel will familiarize themselves with situations that could be predictive indicators for basis of concern, e.g., construction in a RTE operation, new suppliers of raw materials, significant employee turnover
- Should we use predictive indicators?
- How would we capture predictive indicators?

Implementation Proposal

- What are other examples of predictive indicators?
- Inherent risk and risk control are combined to calculate an “inspection level” for each establishment

Risk Combinations

Y

Inherent Product Risk	High	<i>Level 2</i>	<i>Level 3</i>	<i>Level 3</i>
	Medium	<i>Level 1</i>	<i>Level 2</i>	<i>Level 3</i>
	Low	<i>Level 1</i>	<i>Level 1</i>	<i>Level 2</i>
		<i>Consistent</i>	<i>Variable</i>	<i>More Variable</i>

Establishment Risk Control

X

Risk Combinations

Y

Inherent Product Risk

High	Level 3	Level 4	Level 5
Medium	Level 2	Level 3	Level 4
Low	Level 1	Level 2	Level 3
	Consistent	Variable	More Variable

Establishment Risk Control

X

Questions

How many levels of inspection are optimal?

Questions

How do plants move from one level to another?

Questions

How frequently should FSIS evaluate data to make decisions on the plant moving from one level to another?