CENTER FOR DRUG EVALUATION AND RESEARCH

ADVISORY COMMITTEE: ONCOLOGY DRUGS ADVISORY COMMITTEE

DATE OF MEETING: 09/18-19/97

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SLIDES (PHOTOFRIN)



Photofrin: an Efficacy Supplement for Lung Cancer

Medical Officer Presentation to the Oncologic Drugs Advisory Committee

Grant Williams, M.D. September 18, 1997



- ◆ Medical
 - ◆ Grant Williams, M.D. (primary)
 - ◆ Robert Justice, M.D.
- ◆ Statistical
 - ◆ Tony Koutsoukos, Ph.D. (primary)
 - Claire Gnecco, Ph.D.
- ◆ Scientific Investigations: Gurston Turner
- ◆ Project Manager: Paul Zimmerman, R.Ph.



Electronic Interactions with DODP during NDA Review

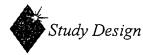
- ◆ Study Reports and Protocols in electronic format
- ◆ All primary data translated to useful format
- ◆ Good documentation of data including annotated CRFs
- ◆ Electronic mail communication
- ◆ Arrangements at Pre-NDA meeting



Palliation of Obstructive NSCLC Randomized Studies

| Study# | Location | Accrual (Actual/planned) |
|--------|-------------------|-----------------------------|
| P503 | Europe (15 sites) | 141/150 |
| P17 | US (20 sites) | 70/212 |

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◆ 2 Randomized, open-label multi-center controlled trials:

Thermal ablation with Nd:YAG (YAG) vs.
PDT with Photofrin (PDT)



Study Design: Problems

Primary Endpoints

- ◆ TTR: Not practical.
- ◆ Symptom palliation
 - No prospective analysis plan
 - · Subject to bias
 - Sensitive to quality (completeness) of data



- ◆ Response
 - ◆ Tumor measurements not done regularly.
 - ◆ Luminal response
 - It was a component of response definition
 - 50% increase may not always be meaningful
 - + Analysis plan not specified (1 wk, 1 mo, etc.)
 - ◆ Data on per cent obstruction was also collected



PDT

A course is one Photofrin injection followed by 1-2 laser Rxs. May retreat in 30 days.

YAG

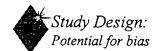
A course may have multiple laser sessions; the course ends if palliation is achieved or if investigator deems additional treatment would be futile.



- ◆ Patients should be removed from study :
 - if there is no evidence of symptom palliation or there is no objective evidence of response (i.e., stable disease) after two complete courses of PDT (up to two injections of PHOTOFRIN II and up to four laser light treatments)

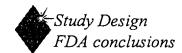
or

 if further treatment with the ND:YAG laser is deemed futile.



- Measurements of palliation and response may vary with treatment schedule.
- ◆ Different definitions of 'course'
- ◆ Different off-study criteria may encourage more dropouts on YAG
 - ◆ Less chance for response
 - ◆ Less time to report adverse events

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- ◆ Statistical comparisons between study arms are unreliable because of:
 - ◆ Retrospective determination of primary response endpoint and time windows
 - Retrospective analytical plan
 - · Asymmetry of design for off-study criteria
 - ◆ P17 was a small study, stopped prematurely



Extent of Follow-up Studies P503 and P17 combined

| | PDT n=99 | Nd:YAG n≠99 | |
|------------------|-------------|----------------|--|
| 30 days | 14 | 24 | |
| 31-61 days | 25 | 24 | |
| 62-91 days | 14 | 18 | |
| 92-182 days | 31 | 22 | |
| 183-365 days | 9 | 10 | |
| >365 days | 6 | 1 | |
| Median follow-up | 78d | 71d | |



Disposition of Patients Studies P503 and P17 combined

| | PDT n=102 | Nd:YAG | |
|----------------|--------------|----------|--|
| Not treated | 3 (3%) | 10 (9%) | |
| Progressive Dz | 35 (35%) | 39 (36%) | |
| Death | 29 (29%) | 29 (27%) | |

•Note: At least 35% of patients in each arm went off-study for a reason other than death or progression.



Luminal Response QLT analysis of Month 1 time window

| | PDT | YAG |
|------------|--------------------------|-------------|
| Trial P503 | 61% (42/69) p = 0.002 | 35% (25/72) |
| Trial P17 | 42% (14/33) p = 0.04 | 19% (7/37) |

Note: No month 1 data in 32% on PDT and in 46% on YAG



Luminal Response FDA analysis of Day 18 and after time window

| | PDT | YAG |
|------------|-----------------------------|-------------|
| Trial P503 | 64% (44/69) p = 0.09 | 49% (35/72) |
| Trial P17 | 52% (17/33) p = 0.01 | 22% (8/37) |



FDA Analyses of Response

- Other FDA ad hoc analyses of response
 - Threshold change in luminal diameter (3mm, 5mm)
 - ◆ Change in % obstruction
- Conclusions from ad hoc analyses:
 - PDT numerical advantage persists, but difference is less marked.
 - Greatest differences seen in month 1 time window.

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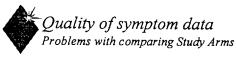
Other endpoints

- ◆ Time to treatment failure
- ◆ Time to Local Progression ✓
- These are not suitable for statistical comparison
 - ◆ Endpoints are aggregates of fuzzy elements
 - ◆ Some elements subject to bias or missing data



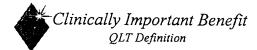
Symptom improvement QLT analysis: Month 1 improvement

| | PDT | YAG |
|--------------|--------|--------|
| Dyspnea | 30% | 17% |
| Cough | 27% | 13% |
| Hemoptysis | 30% | 21% |
| Sputum | 20% | 13% |
| Missing Data | 26-28% | 41-44% |



- ◆ No prospective analysis plan
- ◆ Missing data
 - ◆ Large amount
 - ◆ Asymmetric
- ◆ Month one cutoff favored PDT
 - ◆ Excluded 8 improvements on YAG versus 2 on PDT (Trial P503)

seared in sine fragative



- Defined as any of the following
 - ◆ Marked improvement in Sxs at month 1
 - + 2-3 grades improvement or
 - ◆ 40% improvement in FEV1
 - ◆ Moderate improvement in Sxs at month 2
 - ♦ 1-2 grade improvement or
 - + 20% improvement in FEV1
 - ◆ Durable Luminal Response (month 2)



Clinically Important Benefit QLT and FDA Results

- ◆ Patients showing benefit, QLT analysis
 - ◆ 36 PDT patients
 - ◆ 23 YAG patients
- ◆ FDA
 - Reviewed Graphical summary of 36 PDT patients
 - ◆ Concurs with clinical benefit in 33 PDT patients (32% overall)



Safety Findings Selected Categories of Toxicity, PDT vs YAG

| | PDT(%) n = 99 | YAG(%) n=99 | |
|------------------|------------------|----------------|--|
| Photosensitivity | 20 | 0 | |
| Psychiatric | 14 | 5 | |
| Dyspnea | 32 | 17 | |
| Bronchitis | 11 | 3 | |
| Hemoptysis | 18 | 12 | |

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Safety Findings Selected Categories of Toxicity, PDT vs YAG

| | PDT(%) n = 99 | YAG(%) n=99 |
|------------------|------------------|----------------|
| FMH | 10 | 6 |
| No prior XRT | 2 | 0 |
| Prior XRT | 24 | 14 |
| AE's | | |
| Severe | 22 | 25 |
| Life-threatening | 19 | 8 |
| Median survivai | 166d | 157d |
| Deaths w/i 30d | 16% | 17% |



PDT for Palliation of NSCLC Summary of findings

- PDT Efficacy findings
 - ♦ 64% and 52% luminal response after day 18
 - ◆ 32% with 'clinically important benefit'
 - Efficacy findings numerically superior to those on YAG arm; statistical comparisons suspect.
- ◆ PDT Safety findings
 - ◆ More photosensitivity, dyspnea, bronchitis, psychiatric AE's
 - Nonsignificant increase in hemoptysis and FMH



Photofrin for Superficial NSCLC Single Arm Studies

| Study# | Total Patients | Prospectively Accrued |
|--------|----------------|--------------------------|
| P505 | 32 | 14 |
| P506 | 29 | 0 |
| P507 | 41 | 41 |



INDICATION Patients

Was Surgery and XRT contraindicated?

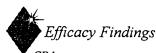
- Of 24 INDICATION patients
 - ◆ 17 had either multifocal disease or Previous XRT
 - ◆ 7 remaining had significant pulmonary compromise with FEV1 ranging from 0.6-1.0 L
- ◆ Safety and efficacy were similar to that in ALL patients

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FDA review of data quality

- Methodology
 - ◆ Reviewed individual data (electronic, tabulations, and case records)
 - Established last biopsy date
- ◆ Findings
 - In time-to-recurrence listings, there were large gaps in time between last biopsy and date of recurrence
 - ◆ Frequencies of biopsies often inadequate
 - Many CR1 had only early biopsies



- CRI
- ◆ All patients

79% (79/100)

◆ Indication pts

92% (22/24)

- ◆ 3-month CR1
 - ◆ All patients

47% (46/97)

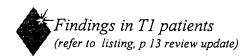
◆ Indication pts

62% (16/21)

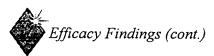


QLT CR1 and FDA 3-month CR1 by baseline tumor stage

| Tumor Stage | # Total | CR1 | 3-month CR |
|-------------|---------|----------|------------|
| Т1 | 61 | 50 (82%) | 31 (51%) |
| T2-T3 | 8 | 2 (25%) | 1 (13%) |
| TIS | 28 | 27 (96%) | 14 (50%) |

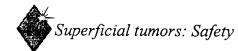


- ◆ 31/61 (51%) documented 3-month CR1
- ◆ 19/61 (31%) documented 1-year CR1
- ◆ Individuals with negative biopsies out to 5 years.



- ◆ Median Disease-Specific Survival: 5.7 years
- Median Survival:

3.5 years



- ◆ Adverse Events (102 patients)
 - ◆ Severe:
- 6%
- ◆ Life-threatening
 - 5%
- ◆ More AE's reported in Study P505
 - ◆ 94% had at least one AE
 - ◆ 33% incidence of stricture
- ◆ 3 deaths from FMH; one 20 days after procedure



Superficial Tumors, conclusions

- ◆ Important questions
 - In view of the natural history of superficial tumors do the response data (CR1, 3-month CR1, etc.) represent clinical benefit for this group or for a major subgroup (T1 tumors)?
 - Were Surgery and Radiotherapy indeed contraindicated in the INDICATION patients?

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APPEARS THIS WAY ON ORIGINAL

PHOTOFRIN® (porfimer sodium) for Injection

PRESENTATION SLIDES ONCOLOGIC DRUGS ADVISORY COMMITTEE

September 18, 1997

QLT PhotoTherapeutics Inc. 520 West 6th Avenue Vancouver, B. C. V5Z 4H5

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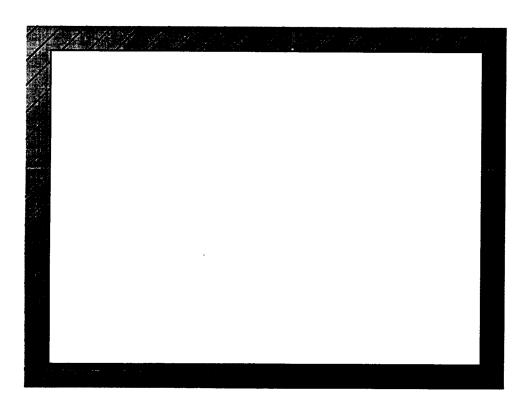
PHOTOFRIN® PDT Supplement - Lung Cancer

Palliation

 Two adequate and well controlled studies demonstrated the efficacy and safety of PHOTOFRIN® PDT in the palliation of endobronchial obstruction.

Superficial Cancer

 These independent studies and literature review provided consistent evidence of efficacy and safety of PHOTOFRIN® PDT in the treatment of patients with no standard therapeutic option.



NDA 20-451 S-002 for Lung Cancer

PHOTOFRIN® (porfimer sodium) for Injection

Intro-1

PHOTOFRIN® (porfimer sodium) for Injection

- First approval December 1995
 - Palliation of obstructing esophageal cancer
- Supplement for lung cancer February 1997

Intro-2

Supplemental Indication

- "Reduction of obstruction and palliation of symptoms in patients with completely or partially obstructing endobronchial nonsmall cell lung cancer (NSCLC)"
 - · Parallels first approval
 - 2 company-sponsored studies, 211 patients
 - P17 (US) discussed with FDA

Intro-3

Supplemental Indication

- 2. "Treatment of endobronchial carcinoma in situ or microinvasive NSCLC in patients for whom surgery and radiotherapy are not indicated"
 - 3 investigator-sponsored studies, 102 patients over 10 years (1986-1996)
 - · Consistent with draft guidelines

Intro-4

PHOTOFRIN® for Lung Cancer

Introduction:

Alexandra Mancini, MSc

Vice President, Regulatory Affairs, QLT

Palliation:

Mohammad Azab, MD, MSc

Vice President, Clinical Research and

Medical Affairs, QLT

Superficial Tumors:

Eric Edell, MD

Associate Professor of Medicine.

Mayo Medical School

Conclusions:

Mohammad Azab, MD, MSc

Intro-5

External Consultants

Thoracic Surgeon:

Harvey Pass, MD

Professor of Surgery and Oncology

Wayne State University Chief, Thoracic Surgery VA Hospital, Detroit

Radiation Oncologists:

Seth Rosenthal, MD

Assistant Radiation Professor University of California (SF)

Radiation Oncologist

Radiation Oncology Centers of Northern California

Howard Sandler, MD

Associate Professor, Department of Radiation Oncology, Associate Chair for Clinical Research

University of Michigan

Intro-6

PHOTOFRIN® PDT

Palliation of Obstructing Endobronchial NSCLC

Mohammad Azab, MD, MSc Clinical Research QLT PhotoTherapeutics Inc.

Intro-7

APPEARS THIS WAY ON ORIGINAL

PHOTOFRIN® PDT

Palliation of Obstructing Endobronchial NSCLC

Mohammad Azab, MD, MSc Clinical Research QLT PhotoTherapeutics Inc.

Background

- 178,000 new lung cancer cases per year (1997)
- 160,000 deaths per year (1997)
- Leading cause of cancer deaths
- Approximately 20% of newly diagnosed cases present with symptoms/complications of endobronchial obstruction

Palliation of Endobronchial Obstruction

Current Therapeutic Options

Physical

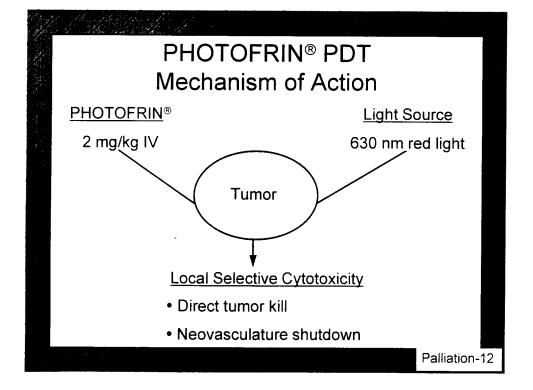
Rapid Effect

- Thermal ablation
 - Nd:YAG
- Mechanical debridements/stents
- Others

Cytotoxic

Slower Effect

- Radiotherapy
- Chemotherapy



| | í | PHOTOFRIN® PDT | - |
|-----|-----------------|-----------------------|---------------|
| *** | Clinica | al Development Pro | ogram |
| | Study | Design | No. Pts |
| | Key Studies | | |
| | P17 | Phase III | 70 |
| | P503 | PHO PDT vs. Nd:YAG | 141 |
| | | | 211 |
| | Supportive Stud | lies | |
| | P21 | Phase II dose ranging | 170 |
| | P2 | | 57 |
| | P18 | Phase III | 35 |
| | P23 | PHO PDT + XRT | 25 |
| | P504 | vs. XRT | 78 |
| | | | 365 |
| | or way . | | Palliation-13 |

PHOTOFRIN® PDT vs Nd:YAG Key Clinical Studies

- Open label, randomized, identical design
- <u>Symptomatic</u> pts with endobronchial obstruction

Study P17 20 centers US/Canada 70 pts
Study P503 15 centers Europe 141 pts
211 pts

Protocol's Treatment Schedule

PHOTOFRIN® PDT Single Course

Day 1 Day 3 Day 5

PHOTOFRIN® Light session Debridement + 2 mg/kg IV (630 nm optional 2nd nonthermal red light session light) 200 J/cm

Palliation-15

Protocol's Treatment Schedule

Nd:YAG Single Course

- Unlimited number of sessions and light energy dose
- · Goal to ablate all accessible tumor
- Debridement

Protocol's Efficacy Endpoints

- Objective Tumor Response : endoscopic assessment of smallest luminal diameter
 - Complete response
 - Partial response
- Symptom Palliation : prospective scales
 - Dyspnea, cough, hemoptysis, sputum

Palliation-17

Protocol's Efficacy Endpoints

- Time to Tumor Recurrence → Time to Local Progression
- Time to Treatment Failure
- > Assessments: Week 1, Month 1, 2, 3, 6
- Analyses : intention-to-treat

Results Baseline Characteristics

| | F | P17 | | P503 |
|---------------------------------------|------|--------|------|--------|
| | PHO | Nd:YAG | PHO | Nd:YAG |
| | n=33 | n=37 | n=69 | n=72 |
| Men | 73% | 78% | 87% | 83% |
| Median age | 64 | 66 | 68 | 65 |
| Median KPS | 70 | 70 | 70 | 70 |
| Squamous Cell Carcinoma | 73% | 68% | 86% | 74% |
| Stage III or IV | 82% | 78% | 70% | 82% |
| Cardiovascular or respiratory disease | 73% | 65% | 62% | 57% |
| Prior treatment | 88% | 95% | 33% | 31% |

Palliation-19

Results Baseline Characteristics

(continued)

| | F | 217 | Р | 503 |
|---------------------------------|------|--------|------|--------|
| | PHO | Nd:YAG | PHO | Nd:YAG |
| | n=33 | n=37 | n=69 | n=72 |
| Mainstem tumors | 61% | 46% | 51% | 54% |
| ≥ 90% endobronchial obstruction | 67% | 57% | 61% | 64% |
| Atelectasis | 79% | 95% | 57% | 58% |
| Dyspnea | 97% | 92% | 88% | 88% |
| Cough | 91% | 90% | 95% | 89% |
| Hemoptysis | 58% | 59% | 61% | 56% |
| Sputum | 82% | 76% | 73% | 70% |

Course 1 - ITT Analysis Objective Tumor Response

Week 1 (Day 1-17) Month 1 (Day 18-45)

| | P17 | | P503 | |
|---------|-------|--------|-------|--------|
| | РНО | Nd:YAG | PHO | Nd:YAG |
| | n=33 | n=37 | n=69 | n=72 |
| CR + PR | | | | |
| Week 1 | 45% | 51% | 65% | 61% |
| Month 1 | 42% * | 19% | 61% * | 36% |

* p < 0.05

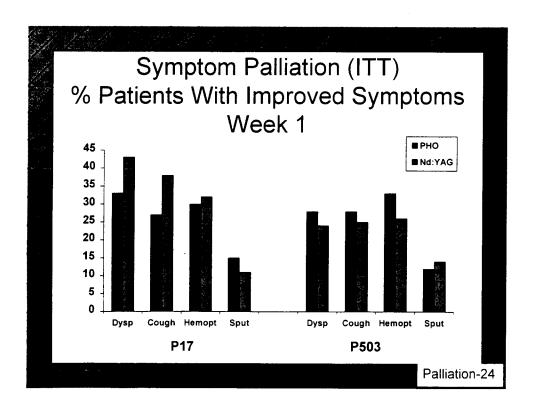
Palliation-21

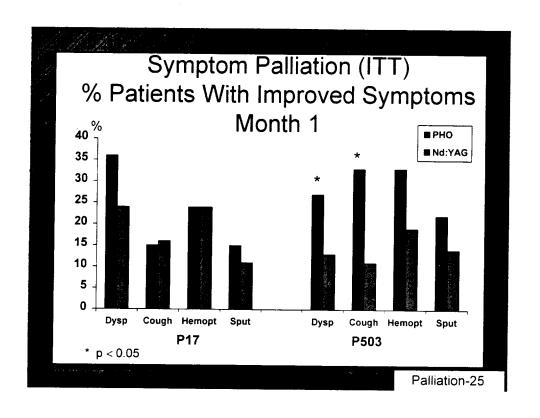
Course 1 - ITT Analysis Objective Tumor Response

| | I | P17 | | P503 | |
|----------------|------|----------------------------|------|--------|--|
| | PHO | Nd:YAG | PHO | Nd:YAG | |
| | n=33 | n=37 | n=69 | n=72 | |
| Stable disease | | and the second contraction | | | |
| Week 1 | 18% | 14% | 22% | 17% | |
| Month 1 | 3% | 24% | 12% | 17% | |
| Progression | | | | | |
| Week 1 | 3% | 3% | 3% | 0% | |
| Month 1 | 9% | 11% | 1% | 3% | |
| Not assessed | | | | | |
| Week 1 | 33% | 32% | 10% | 22% | |
| Month 1 | 45% | 46% | 26% | 46% | |

Objective Tumor Response Discussion

- Consistency of higher PHO PDT responses in 2 randomized multicenter trials in ITT analysis
- Higher PHO PDT response rate in the analysis of evaluable patients
- · Same pattern of higher PDT response rate
 - Using different response criteria
 - Using best response at any time point





| | Month 1 Palliatio | n of Patie | ents With |
|---------------|-----------------------------|--------------|-----------------|
| | Severe Symp | | |
| 37/0 | | , | COMBINED |
| | | PHO n=102 | Nd:YAG n=109 |
| at a constant | Dyspnea | n=30 | n=39 |
| | Improvement ≥ 1 grade | 50% | 28% |
| | Improvement ≥ 2 grades | 33% | 13% |
| | Cough | n=14 | n=11 |
| | Improvement ≥ 1 grade | 50% | 27% |
| | Improvement ≥ 2 grades | 29% | 9% |
| | Hemoptysis | n=6 | n=11 |
| | Improvement ≥ 1 grade | 50% | 18% |
| | Improvement ≥ 2 grades | 50% | 18% |
| | Sputum | n=1 | n=4 |
| | name gradient de la company | | Palliation-26 |

Clinically Important Benefit

- · Clinically Important Symptom Relief
 - 2 grades dyspnea, or 3 grades of cough or hemoptysis at Month ≥ 1
 - 1 grade dyspnea, or 2 grades cough or hemoptysis through Month ≥ 2
 - Elimination of all symptoms

and/or

Durable CR or PR to Month ≥ 2

- · No or minimal adverse events
- · No intervening therapy

| Clinically Importar | nt Bene | fit |
|--|-----------------|--------------------------------------|
| | P17 + P503 | COMBINED |
| | PDT n=102 | Nd:YAG n=109 |
| Pts with clinically important benefit | 36% | 23% |
| Clinically important symptom relief | 23% | 12% |
| Durable objective tumor response | 27% | 19% |
| Median duration of benefit (days) | 63 ⁺ | 67⁺ |
| Range | (1+ - 738) | (1 ⁺ - 542 ⁺) |
| Number of patients still in response at last assessment | 23 | 20 |
| Comment of the Commen | | Palliation-28 |

P17 + P503 COMBINED

| F#G. a. D. was a to | PHO | Nd:YAG |
|---------------------------------------|-------|--------|
| Efficacy Parameter | n=102 | n=109 |
| CR + PR (Week 1) | 59% | 58% |
| CR + PR (Month 1) | 55% * | 29% |
| Symptom palliation (Month 1) | | |
| Dyspnea | 30% * | 17% |
| Cough | 27% * | 13% |
| Hemoptysis | 30% | 21% |
| Sputum | 20% | 13% |
| Pts with clinically important benefit | 36% | 23% |
| Median TLP (days) | 80 | 67 |
| Median TTF (days) | 58 * | 40 |
| Median survival (days) | 166 | 157 |

* $p \le 0.05$

Palliation-29

Safety Results

- Combined data overview (all treated patients)
- All adverse events (AEs) presented by worst severity and irrespective of relationship to therapy
- AEs collected over the whole follow-up period

Extent of Follow-Up

| P17 | + P | 503 | COM | IBINED |
|-----|-----|-----|-----|--------|
|-----|-----|-----|-----|--------|

| | PHO n=99 | Nd:YAG n=99 |
|--------------------------|-------------|----------------|
| ≤ 30 days | 14% | 24% |
| 31 - 91 days | 39% | 42% |
| > 91 days | 46% | 33% |
| Median days of follow-up | 78 | 66 |
| Range (days) | 5 - 753 | 6 - 552 |

Palliation-31

Safety Results Overview

| P17 | + | P503 | COMBINED |
|-----|---|------|----------|
| | | | |

| | n=99 | Nd:YAG n=99 |
|----------------------------|------|----------------|
| At least 1 adverse event | 73% | 64% |
| Severe or life-threatening | 41% | 33% |
| ≤ 30 days | 23% | 21% |
| All deaths (≤30 days) | 16% | 17% |
| Withdrawal due to AEs | 3% | 3% |
| | | |

Life-Threatening Pulmonary Events

| - | Key St | tudies |) | (RT Studie: | S |
|-----------------------------|------------|--------|-----------------------|-------------|---------|
| | P17 + P503 | | P2 + P18 + P23 + P504 | | |
| | РНО | Nd:YAG | PHO+XRT | XRT | XRT+EBT |
| | n-99 | n=99 | n=82 | n=78 | n=28 |
| Fatal Massive Hemoptysis | 10 (10%) | 6 (6%) | 14 (17%) | 6 (8%) | 7 (25%) |

Possible causes of FMH:

- Tumor progression eroding a pulmonary vessel
- Treatment-induced tumor resolution
- Instrumentation injury

Palliation-33

Life-Threatening Pulmonary Events

FMH

- Incidence is consistent with literature (4-32%)
- Early FMH (≤ 30 days of treatment):
 - PHOTOFRIN® vs. Nd:YAG 4% on each arm
- · Proposed label:
 - PDT is contraindicated in patients with tumor eroding into a major blood vessel

Life-Threatening Pulmonary Events

P17 + P503

| | PHO n=99 | Nd:YAG n=99 | |
|---------------------------|-------------|----------------|--|
| Respiratory insufficiency | 5 (5%) | 1 (1%) | |
| ≤ 30 days | 3 | 1 | |
| > 30 days | 2 | 0 | |

Possible causes:

- Necrotic debris

- Mucus plug

Proposed label:

- Mandatory debridement bronchoscopy

- Caution in main airway lesions

Palliation-35

Frequently Occurring Adverse Events (≥ 10 %) P17 + P503 COMBINED

| | | 1 17 TOOG GOMBINED | | |
|---------------------------|-------------|--------------------|--|--|
| | PHO n=99 | Nd:YAG n=99 | | |
| Photosensitivity reaction | 20%* | 0% | | |
| Psychiatric | 14%* | 5% | | |
| Dyspnea | 32%* | 17% | | |
| Hemoptysis | 18% | 12% | | |
| Cough | 17% | 13% | | |
| Pneumonia | 12% | 10% | | |
| Bronchitis | 11%* | 3% | | |
| Fever | 15% | 10% | | |
| Pain | 6% | 12% | | |
| | | | | |

* p ≤ 0.05

Photosensitivity

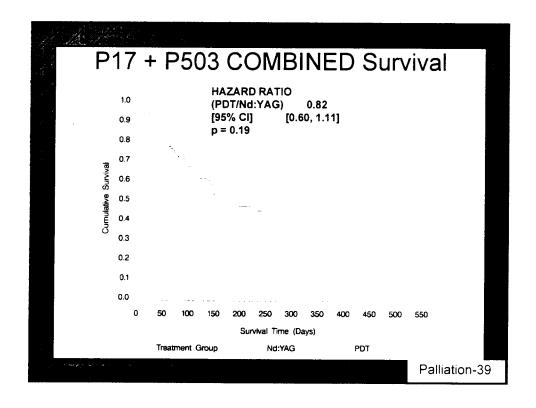
- Mild to moderate sunburn in 19/20 patients
- · Transient, self-limiting
- Prevented by patient education
- Instructions provided in the label

Palliation-37

Dyspnea - Temporal Relationship to Treatment Procedure

| Total Patients | PHO | Nd:YAG | |
|------------------|-----|--------|--|
| | 32% | 17% | |
| ≤ 30 days | 16% | 11% | |
| > 30 days | 16% | 6% | |

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Palliation of Endobronchial Cancer PHOTOFRIN® Efficacy Summary

- Relief of endobronchial obstruction in 50%, and symptom palliation in 30%
- Better objective response than Nd:YAG
- PHOTOFRIN® PDT was equal or better than Nd:YAG in symptom palliation
- Approximately one-third of patients achieved clinically important benefit

PHOTOFRIN® Safety Summary

- Incidence of pts with any AEs, deaths ≤ 30 days, severe or life-threatening AEs, overall survival and withdrawal similar between PHO PDT and Nd:YAG
- PHOTOFRIN® local effects consistent with its pharmacological action (transient inflammatory reaction/acute tumor resolution)
- The safety profile of PHO PDT is acceptable for the proposed indication

Palliation-41

Treatment of Superficial Endobronchial Tumors

Eric Edell, MD
Associate Professor of Medicine,
Division of Pulmonary and Critical Care
Mayo Medical School

Background

- · Overall survival results unsatisfactory
 - 14% 5-yearsurvival
- Treatment of early stage cancer offers the best opportunity for long-term survival
- NCI-sponsored multicenter screening study:
 - Memorial Sloan-Kettering
 - Johns Hopkins
 - Mayo Clinic

superficial-1

Background

- Mayo Lung Project 1970's identified 54 pts with radiographically occult cancer
- Hematoporphyrin derivative (HpD) first used for localization
- Risk of developing second cancer
 - 1-5% per year
- · Need for tissue-sparing therapy
- Nonsurgical pts with early cancer (HpD-PDT)
 - First treated Tokyo and Mayo 1980

PDT for Early Lung Cancer

- Tokyo Medical College since 1980
 - 297 cancers (251 pts)
 - 116 early cancers (95 pts)
 - CR 77 pts (81%)
 - recurrence 12 (16%)
- PHOTOFRIN® PDT approved in Japan in 1994

superficial-3

PDT for Early Lung Cancer

- Mayo Clinic since 1980
 - 58 nonsurgical pts early cancer
 - CR after first PDT 49 pts (84%)
 - Recurrence after single treatment 19 pts (39%)
 - median Time to Tumor Recurrence (TTR) of 4.1 years
 - recurrence after second treatment 11 pts (22%)
 - Median survival of 3.5 years

PDT for Early Lung Cancer in Surgical Candidates

- Mayo currently treating both nonsurgical and surgical patients with early superficial cancer
- Surgical candidates

21 pts

- CR after first PDT

15 pts (71%)

- Recurrence after first PDT

4 pts (19%)

• follow-up 24-116 mos (median 72)

superficial-5

Indication

Treatment of endobronchial carcinoma in situ or microinvasive NSCLC in patients for whom surgery and radiotherapy are not indicated.

Studies Analyzed by QLT

- 3 open label, single arm studies
 - P505 Dr. Karl Haüssinger, Germany: 32 pts
 - P506 Dr. Stephen Lam, Canada, and
 Dr. Thomas Sutedja, The Netherlands : 29 pts
 - P507 Dr. Michel Leroy, France: 41 pts

superficial-7

Patient Population

- 102 patients treated over 10 years
- Tis, T1, T2 N0 M0
- Radiologically occult
- Patients considered inoperable by referring and treating physicians
- Some may have been eligible for radiotherapy

Selection of INDICATION Subset

 Eligibility for radiotherapy or surgery based on independent expert evaluations

• Expert consultants:

- 2 radiation oncologists: Dr. Rosenthal

Dr. Sandler

- 1 thoracic surgeon:

Dr. Pass

• Final subset of 24 patients

superficial-9

Why Neither Surgery Nor Radiotherapy Were Indicated (n=24)

| | Surgery | | Radiotherapy | |
|--------------------------------|---------|-------|--------------|--------|
| Poor pulmonary function | 12 | (50%) | 7 | (29%)ª |
| Prior high dose radiation | 0 | (0%) | 9 | (38%) |
| Multifocal, multilobar disease | 5 | (21%) | 8 | (33%)ª |
| Proximal airway | 5 | (21%) | 0 | (0%) |
| Prior Stage III disease | 2 | (8%) | 0 | (0%) |
| Poor medical condition | 0 | (0%) | 1 | (4%) |

^a 1 patient had both

Baseline Characteristics

| | INDICATION | ALL | |
|-------------------------|------------|-------|--|
| | n=24 | n=102 | |
| Men | 92% | 90% | |
| Median Age | 61 | 64 | |
| Prior Therapy | 75% | 56% | |
| Median FEV ₁ | 1.0 L | 1.7 L | |
| Multiple tumors | 42% | 22% | |

superficial-11

Baseline Tumor Characteristics

| | INDICATION | ALL | |
|-----------------------|------------|-------|--|
| | n=24 | n=102 | |
| Squamous | 83% | 85% | |
| T_is | 42% | 23% | |
| T, | 58% | 62% | |
| T_2/T_3 | 0% | 8% | |
| Radiologically occult | 79% | 88% | |

Prior Lung Cancer

| | INDICATION n=24 | ALL n=102 |
|-------------------|--------------------|--------------|
| Prior Lung Cancer | 17 (71%) | 56 (55%) |
| Prior stage: | | |
| Tis | 5 | 6 |
| T 1 | 4 | 18 |
| T 1 N1 | 1 | 2 |
| T 2 N0 | 3 | 14 |
| T 2 N1-2 | 1 | 7 |
| Т3 | 0 | 1 |
| T 3 N1-2 | 2 | 3 |

Efficacy Endpoints

- Histologic Complete Tumor Response
- Time to Tumor Recurrence
- Survival
- Disease-Specific Survival

Histologically Confirmed CR Percentage of Patients

| | INDICATION | ALL | | |
|----------------|------------|----------|--|--|
| | n=24 | n=100 | | |
| Total CR | 22 (92%) | 79 (79%) | | |
| [95% CI] | [81,100] | [71,87] | | |
| After 1 course | 92% | 75% | | |

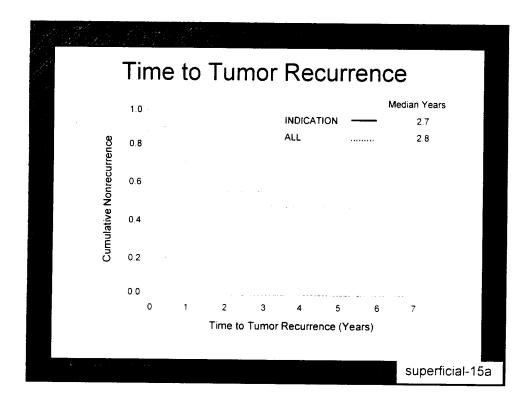
superficial-14

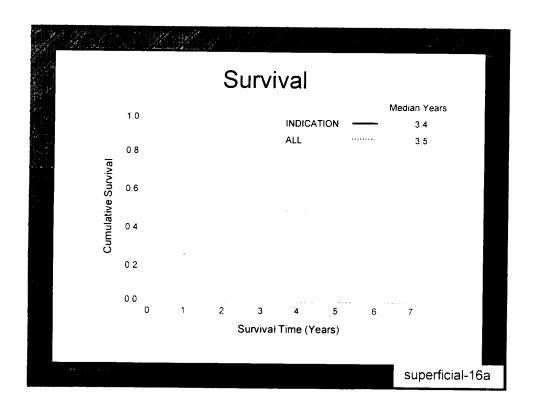
Time to Tumor Recurrence (TTR) After First CR

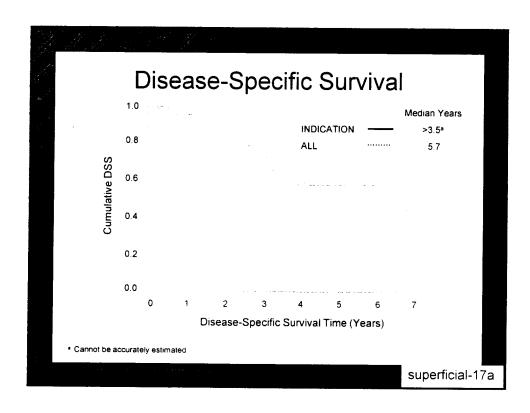
| | INDICATION | ALL | | |
|--------------------|----------------------------|------------------------|--|--|
| | n=22 | n=79 | | |
| Recurrences | 10 (46%) | 35 (44%) | | |
| Median TTR (years) | 2.7 | 2.8 | | |
| [95% CI] | [1.0, — ^a] | [1.5, — ^a] | | |
| Range (years) | (0.1 - 10.1 ^b) | $(0.1^{b} - 10.1^{b})$ | | |

a cannot be estimated

b censored - patients still in response







Tumor Response FDA ΑII **Approach** n=100 n=97 CR 46 (47%) 79 (79%) Median TTR (yrs) 2.8 >3.0 [CI] [1.5, -a][2.7, -a]a could not be estimated

Survival by T Stage

| | | | | 4-yr |
|-------|----------|-----------|------------------------|----------|
| Stage | n | Survival | [CI] | Survival |
| Tis | 23 | > 3.5 yrs | [3.3, — ^a] | 55% |
| T1 | 63 | 3.0 yrs | [2.3, 5.7] | 44% |

a could not be estimated

Disease-Specific Survival by T Stage

| | | DS | | 4-yr DS |
|-------|----|-----------|------------------------|----------|
| Stage | n | Survival | [CI] | Survival |
| Tis | 23 | > 3.5 yrs | [3.5, — ^a] | 63% |
| T1 | 63 | 5.7 yrs | [3.0, — ^a] | 56% |

a could not be estimated

Safety Results Overview

| | ALL Treated |
|----------------------------|-------------|
| At least 1 adverse event | 50% |
| Severe or life-threatening | 11% |
| ≤ 30 days | 6% |
| Deaths (within 30 days) | 1% |
| Withdrawal due to AEs | 0% |
| | |

superficial-18

Severe/Life-Threatening Events ≤ 30 Days

- Photosensitivity (2%)
- Dyspnea with/without cough (4%)
 - 2 received light overdose
 - 1 treated concurrently in both mainstem bronchi
 - 1 treated in sole remaining airway

| | Safety | |
|---|-----------|--------------------|
| Most Frequent A | Adverse I | Events (≥ 5%) |
| | n=102 | • |
| Photosensitivity reactions | 23% | |
| Respiratory | | |
| Exudate | 23% | 22 mild, 1 severe |
| Obstruction | 21% | All mild |
| Edema | 18% | All mild |
| Stricture | 10% | All mild |
| Ulceration | 9% | All mild |
| Cough | 8% | Mixed |
| Dyspnea | 6% | Mixed |
| Bronchitis | 5% | 4 mild, 1 moderate |
| eren er en er | | superficial-20 |

| Kais Tau ISKo | Effica | acy Su | ımmary | . d. ² |
|------------------|--------|--------|------------|--------------------|
| | n | CR | Recurrence | Median Survival |
| P505, P506, P507 | | | | |
| All | 100 | 79% | 44% | 3.5 yrs |
| Indication | 24 | 92% | 46% | 3.4 yrs |
| FDA method | 97 | 47% | 29% | 3.5 yrs |
| Japan | 251 | 81% | 16% | _ |
| Mayo Clinic | 58 | 84% | 38% 22% | 3.5 yrs |
| | | | | superficial-21 |

Conclusion

PHOTOFRIN® PDT is a safe and effective therapy for the treatment of carcinoma in situ or microinvasive NSCLC in patients for whom surgery and radiotherapy are not indicated.

superficial-22

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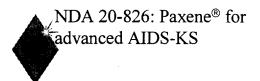
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CENTER FOR DRUG EVALUATION AND RESEARCH

<u>ADVISORY COMMITTEE</u>: ONCOLOGY DRUGS ADVISORY COMMITTEE

DATE OF MEETING: 09/19/97

SLIDES (PAXENE)



Oncology Drugs Advisory Committee September 19, 1997

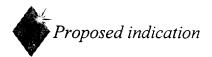
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Review team

Secondary Reviewer Ken Kobayashi, M.D. John Johnson, M.D. Anthony Koutsoukos, Ph.D. Clare Gnecco, Ph.D. Margaret Brower, Ph.D. Pharmacology Paul Andrews, Ph.D. Elena Mishina, Ph.D. Biopharm Atiquur Rahman, Ph.D. Rebecca Wood, Ph.D. DSI Gurston Turner, Ph.D. Project management Dianne Spillman Dottie Pease

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2



"PAXENE® is indicated after failure of first line or subsequent systemic chemotherapy for the treatment of advanced AIDS-related Kaposi's sarcoma"

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OBJECTIVE TUMOR RESPONSE

CLINICAL BENEFIT

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139-281

(Taxof*f*

(Taxol⁵)*

USC

MGH



- 9/93-1/95 study 139-174 (Saville et al.)
- 2/95-12/95 study 139-281 (Gill et al.)
- 7/95-study proposal for 100 pt RCCT
- 9/95 study IX-110-081 protocol submitted
- 12/4/96 applicant-FDA meeting
- 1/96-9/97 study IX-110-081 active
- 8/15/96 pre-NDA teleconference
- 3/31/97 NDA submitted
- 8/19/97 applicant-FDA meeting
- 9/15/97 special considerations meeting

| Studies submitted for review | | | | | | | |
|------------------------------|-------|----|---------------------------|----|------------------------|----|---------------------|
| clocol | Site | N | Design | | Secondary endpoints | | Treatme schedul |
| (Paxene ⁵) | multi | 89 | open-label, single arm | RR | TTR TTP QOL | 89 | 100 mg/ 3h q 14d |
| 139-174 (Taxol*)* | NCI | 29 | open-label, single arm | RR | | 19 | 135 mg/ 3h q 21d |

59 open-label,

single arm

q 7d x4 ODAC, 9/19/97 *literature reports only

DOR

survival

100 mg/m²

3h q 14d

30 mg/m²

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Study objectives

- To determine response rate and median time to tumor progression for patients with advanced refractory AIDS-KS treated with a 3h infusion of Paxene® at a dose of 100 mg/m² q14 d;
- To determine the toxicity profile of this dose and schedule;
- To evaluate clinical benefit in this patient population.

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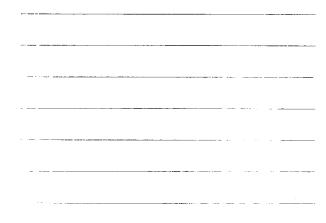


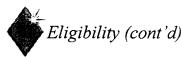
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- Advanced AIDS-KS;
- Failure of at least 1 prior systemic chemorx regimen to maintain significant benefit;
- Systemic rx indicated for:
 - ✓ ≥ 25 mucocutaneous lesions
 - √ (symptomatic) visceral involvement
 - √ symptomatic lymphedema

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- ≥ 5 measurable (raised) cutaneous lesions;
- KPS ≥60%;
- ≥ 2 weeks since last systemic chemorx

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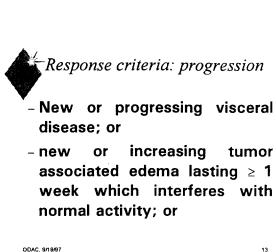
Response criteria Complete response: "Absence of any detectable residual disease, incl. tumor-associated edema, persisting for at least 4 weeks." - Biopsy required for persistent pigmented macular lesions ODAC, 9/19/97 BEST POSSIBLE COPY Response criteria (cont'd) - Partial Response: No new lesions, visceral disease, or new/ worsening tumorassociated edema or effusions; AND ODAC, 9/19/97



Response criteria (cont'd)

- ó 50% decrease in lesion counts for >= 4 weeks; OR
- ✓≥ 50% decrease in the total area of the five marker lesions; OR
- ✓ complete flattening of ≥ 50% of all previously raised lesions.

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Response criteria: progression

tumor

- $-a \ge 25\%$ increase in the total lesion count; or
- a ≥ 25% increase in the total area of the marker lesions; or
- a change in the character of ≥ 25% of all previously "flat" lesions to "raised".

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- Does not address situation in which progression according to increase in tumor area occurs prior to PR based on total lesion count or raised lesion count
- Method of calculating progression based on lesion flattening subject to individual interpretation

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FDA response analysis: methods

- Followed protocol specifications with the following comments:
 - √ Response was not limited to first 10 cycles;
 - ✓ All initial demonstrations of PR required confirmation at 4 weeks;
 - ✓ Progression on any subscale defined the overall response as progression on that date.

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16

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Patient characteristics: IX-110-081

| | N (%) |
|---------------------------------|-------------|
| Median KPS (range) | 80 (60-100) |
| T ₁ | 74 (83) |
| I ₁ | 75 (84) |
| S ₁ | 72 (81) |
| ≥ 25 mucocutaneous lesions | 72 (81) |
| Sx visceral disease | 23 (26) |
| Visceral disease | 5 (6) |
| (enrolled after 7/96 amendment) | |
| Sx lymphedema | 45 (50) |

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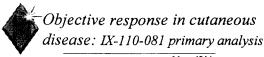
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Patient characteristics (cont'd)

| | N (%) |
|------------------------------|---------|
| No. of prior chemo | 1 (1-5) |
| ≥ 2 prior chemo | 10 (11) |
| any prior Doxil® | 27 (30) |
| any prior DaunoXome* | 40 (45) |
| last rx stopped for toxicity | 15 (17) |
| last rx stopped for PD | 69 (77) |
| PD best response to last rx | 30 (34) |

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| | N | (%) |
|------------------|----|-------|
| PR (FDA draft) | 31 | (35) |
| PR (FDA revised) | 37 | (42) |
| SD | 16 | (18) |
| PD | 22 | (25) |
| NE | 14 | (16) |
| total | 89 | (100) |

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Time to event parameters: IX-110-081 cutaneous disease primary analysis

Median (95% c.i.)

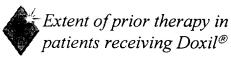
time to response, days median (range)

34 (13-231)

time to progression, days

163 (105-221)

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| | N (%) | |
|-------|----------|------|
| 0 | 13 | (48) |
| 1 | 12 | (44) |
| 2 | 2 | (7) |
| Total | 27 (100) | |

| following first-line Doxil® therap | R | esponse to l bllowing firs | Paxene® i t-line Do | n patients xil® therap |
|------------------------------------|---|-------------------------------|------------------------|---------------------------|
|------------------------------------|---|-------------------------------|------------------------|---------------------------|

| | N | (%) |
|-------|----|-------|
| PR | 3 | (23) |
| SD | 6 | (46) |
| PD | 2 | (15) |
| NE | 2 | (15) |
| total | 13 | (100) |

22

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Response to Paxene® in patients following 2nd line or greater Doxil® therapy

| • · | N | (%) |
|-------|----|-------|
| PR | | (43) |
| SD | 3 | (21) |
| PD | 2 | (14) |
| NE | 3 | (21) |
| total | 14 | (100) |

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23

| | | |
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| | | |
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| A | _ Discrepa | ıncies arisi | ing during | review: |
|---|---------------|--------------|------------|--------------|
| | X-110-0 | 81 cutaneo | ous diseas | e (original) |
| ~ | | | | |

| Issue | N | Subgroup totals |
|---------------------------------------|----|--------------------|
| Claimed CR not confirmed at 28d | 1 | |
| Claimed CCR changed to PR | 1 | 2 |
| Progressed before claimed response | 8 | |
| Claimed PR only documented at <28d | 7 | |
| PR not documented w/decline ≥ 50% | 1 | |
| PR incompletely evaluated | 1 | 17 |
| SD upgraded to PR | 3 | |
| SD changed to either PD (1) or NE (1) | 2 | 5 |
| Total | 24 | 24 |

24

| A L | |
|-----|--|
| | Discrepancies arising during review: |
| W | IX-110-081 cutaneous disease (revised) |
| V | |

| issue | N | Subgroup |
|--|----|----------|
| Claimed CR not confirmed at 28d | 1 | |
| Claimed CCR changed to PR | 1 | 2 |
| Progressed before claimed response | 5 | |
| Claimed PR only documented at <28d | 1 | |
| PR not documented w/decline ≥ 50% | 1 | 7 |
| SD changed to either PD (12) or NE (1) | 13 | |
| SD upgraded to PR | 3 | 16 |
| PD upgraded to SD | 2 | |
| NE upgraded to SD | 1 | 3 |
| Total | 28 | 28 |

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| 1 | |
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| W | 1 |
| V | • |

Objective response in other reported experience with Taxol®

| Gill et al. N (%)* | Saville et al. N (%)* |
|-----------------------|--|
| 1 (2) | 2 (7) |
| 32 (57) | 18 (62) |
| 21/40 (52) | 14/19 (74) |
| 9 (16) | 1 (3) |
| 56 | 29 |
| | N (%)* 1 (2) 32 (57) 21/40 (52) 9 (16) |

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Progression criteria: flattened lesions

a change in the character of ≥ 25% of all previously "flat" lesions to "raised"

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Example: patient 651

| no. | no. | no. | day | cycle |
|--------|-------------------------------|--|---|---|
| raised | flat 1b | flat 1a | | |
| 38 | 8 | 8 | 1 | 1 |
| | | | | |
| 29 | 17 | 17 | 33 | 3 |
| 5 | 41 | 30 | 48 | 4 |
| 12 | 41 | 26 | 61 | 5 |
| 6 | 41 | 25 | 75 | 6 |
| 5 | 41 | 26 | 96 | 7 |
| | | • | • | |
| 7 | 41 | 24 | 139 | 10 |
| | | | | 14 |
| | 38 29 5 12 6 5 | 8 38 17 29 41 5 41 12 41 6 41 5 41 7 | flat 1a flat 1b raised 8 8 38 . . . 17 17 29 30 41 5 26 41 12 25 41 6 26 41 5 . . . | flat 1a flat 1b raised 1 8 8 38 33 17 17 29 48 30 41 5 61 26 41 12 75 25 41 6 96 26 41 5 139 24 41 7 |

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Differing interpretations of progression criteria

| | Method of determining baseline for progression | Reference value | New lesions needed for PD |
|----|--|-----------------|------------------------------|
| 1a | Obs. no. flat lesions at nadir of raised lesions | 30 | 7 |
| 1b | Calculated no. flat lesions at nadir of raised lesions | 41 | 10 |
| 2 | Obs no. flat lesions in cycle immed prior to nadir of raised lesions | 17 | 4 |
| 3 | No. of raised lesions that flattened by nadir of raised lesion count | 33 | 8 |
| 4 | Nadir raised lesion count | 5 | 1 |

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29

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Baselines for progression: 651

| cycle | day | no. flat 1a | no. flat 1b | | Δ raised from start |
|-------|-----|----------------|----------------|----|----------------------------|
| 1 | 1 | 8 | 8 | 38 | 0 |
| | | | | | |
| 3 | 33 | 17 | 17 | 29 | 9 |
| 4 | 48 | 30 | 41 | 5 | -33 |
| 5 | 61 | 26 | 41 | 12 | -26 |
| 6 | 75 | 25 | 41 | 6 | -32 |
| 7 | 96 | 26 | 41 | 5 | -3 3 |
| | | | | | |
| 10 | 139 | 24 | 41 | 7 | -31 |
| 14 | 229 | 13 | 43 | 14 | -24 |

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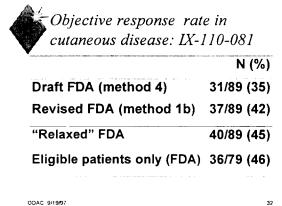
Progression criteria: outcomes

| Method | New lesions needed for progression | Day of progression | Overall response |
|--------|--|--------------------|------------------|
| 1a | 7 | day 61 | PD |
| 1b | -10 | >day 229 | PR |
| 2 | 4 | day 61 | PD |
| 3 | 8 | day 229 | PR |
| 4 | 1 | day 61 | PD |

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31

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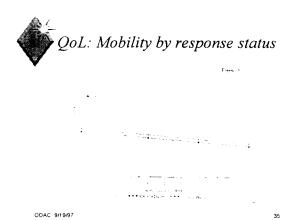
Elements of clinical benefit

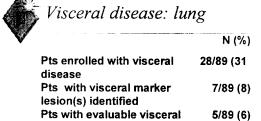
- Foot KS
- Facial KS
- Edema
- Lung KS
- KPS
- KS-related pain

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| V | improved i | not mproved | tota |
|----------------------------|---------------|----------------|------|
| | N (%) | N (%) | N |
| Facial lesions | 6 (25) | 18 (75) | 24 |
| Foot lesions | 1 (9) | 10 (91) | 11 |
| Lower extremity lymphedema | 6 (12) | 42 (88) | 48 |

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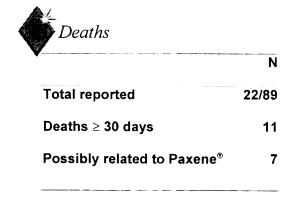
lesion(s) identified
Pts with evaluable visceral disease*
Response in pts with 3/5 (60) evaluable disease
*all lung lesions

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Breakdown of deaths possibly related to Paxene®

| | N (% |
|--|----------|
| cytopenia/infection* | 5/22 (14 |
| septic shock/respiratory arrest | 1/22 (4 |
| pulmonary hypertension with congestive heart failure (applicant attribution) | 1/22 (4 |
| total | 7/22 (32 |

*includes 1 death partially attributed to hypocalcemia and 1 death partially attributed to possible TB

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| • | Recurrent | de novo | ongoing | other | total N (%) |
|-------------------------|-----------|---------|---------|-------|----------------|
| MAI | 2 | 3 | | | 5 (6) |
| Tuberculosis | | 1 | 1 | 1 | 3 (3) |
| Candida | 21 | 8 | | | 29 (32) |
| Pneumocystis carinii | 7 | 1 | | | 8 (9) |
| Cryptococcal meningitis | 1 | 1 | | | 2 (2) |
| Viral | 11 | 18 | | 1 | 29 (32) |
| Other | | 3 | | | 35 (39) |

ODAC, 9/19/97



Hematologic toxicity

| | gr 3 g | r 4-5 | total N (%) |
|---------------------|--------|-------|----------------|
| Neutropenia | 20 | 37 | 76 (85) |
| Febrile neutropenia | | | 11 (12) |
| Leukopenia | 17 | 44 | 76 (85) |
| Thrombocytopenia | 5 | 2 | 27 (30) |
| Anemia | 8 | 2 | 82 (92) |
| | | | |

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| Hen | natopoietic suppori |
|-----|---------------------|
| | rx initiated wh |

tota! N (%) G-CSF 34 (38)* 37 (41) Erythropoletin 7 (8) **RBC** transfusion 15 (17) 15 (17)

*includes 3 pts continuing prior rx w/G-CSF

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-Non-hematologic toxicities

| | gr 3 g | jr 4 | total N (%) |
|----------------------------------|--------|------|----------------|
| Liver | 12 | 2 | 78 (88) |
| Isolated elev bilirubin* | 6 | 2 | 9 (10) |
| Alopecia | | | 49 (55) |
| Diarrhea | 2 | 0 | 20 (22) |
| Arthralgia/myalgia/arthritis | 26 | 2 | 29 (32) |
| Renal | 3 | 3 | 9 (10) |
| Neuro | 2 | 0 | 34 (38) |
| Malignancy/lymphadenopathy | | | 3 (3) |
| *prob due to protease inhibitors | | | |

ODAC. 9/19/97



The submitted phase II study in 89 patients provides evidence of objective tumor response after failure of first line or subsequent systemic chemotherapy for the treatment of advanced AIDS-related Kaposi's sarcoma with an overall response rate of 42%

ODAC, 9/19/97

Conclusion

Proof of clinical benefit is less clear and is an important point for Advisory Committee deliberation

| Domain | FDA assessment | N (%) |
|-----------|---|-----------|
| Facial KS | improvement in facial lesions | 6/24 (25) |
| Foot KS | improvement in foot lesions | 1/11 (9) |
| Edema | decrease by visual assessment | 6/48(12) |
| Lung KS | decrease in lung lesions | 3/5 (60) |

ODAC, 9/19/97

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Conclusion

- The Phase II study was not adequate and well controlled to evaluate the secondary endpoints:
 - √ time to progression
 - √ duration of response
 - √ survival

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INTRODUCTION AT O D A C

September 19, 1997

Samuel Broder, M.D.

Kaposi's sarcoma (KS) is an angioproliferative tumor characterized histogically by endothelial and spindle cell proliferation, angiogenesis, inflammatory cell infiltration, and edema. In 1994, a new human herpes virus, HHV-8 or KSHV, was discovered and found to be closely associated with this tumor and may play a role in its pathogenesis.

This tumor is one of the hallmarks of AIDS. **Slide 1 [Face KS Patient]** The inter-relationship between immunodeficiency diseases and cancer

generally, and between AIDS and Kaposi's sarcoma specifically, has been a very high priority of the National Cancer Institute and its viral cancer programs.

Thus, clinical research done at NCI suggested that KS is sensitive to paclitaxel, a natural product originally derived from the pacific yew. This line of work is an extension of about 30 years of research on paclitaxel by NCI.

Slide 2 [Mechanisms of Action of Paclitaxel]

Paclitaxel, of course, has effects on tubulin and the state of tubulin polymerization. But perhaps even more interesting are newly described mechanisms of actions for this agent. Paclitaxel inhibits angiogenesis and induces apoptosis by Bcl-2 phosphorylation triggered by Raf-1 activation. It is possible that these new mechanisms may be induced by lower plasma concentrations of paclitaxel than the effects on the microtubule system.

AIDS-related Kaposi's sarcoma frequently can be an aggressive disease, often with extensive cutaneous lesions, but also involvement of the oral cavity

and visceral organs. AIDS-related KS can be complicated by lymphedema Slide 3 [Patient with Lymphedema] of the extremities, the face, or the genitalia. GI lesions may cause bleeding, pain and obstruction, and pulmonary lesions may be associated with respiratory insufficiency or death. Even in the absence of symptomatic visceral disease or edema, KS may have a serious impact on Quality of Life by causing disfigurements and social isolation or by serving as a visual reminder of an AIDS diagnosis. When KS lesions can be covered or obscured by clothing, a patient's recognition that

lesions are growing or progressing is still a serious medical challenge.

Slide 4 [Therapeutic Options]

Although milder forms of AIDS-KS with slow progression and without life threatening visceral involvement can be treated with local or intralesional therapies, the more serious, advanced forms, if left untreated, do not spontaneously resolve, as a general rule, and require cytotoxic chemotherapy.

As is true in virtually all oncology, the status of prior chemotherapy is an important consideration. Efficacy

results with patients naive to chemotherapy should generally not be pooled with results in second or third-line therapy.

Since the early 1990s, the ABV regimen, which consists of doxorubicin, bleomycin and vincristine, has been considered the standard of care. In evaluating individual patients or in making comparisons between clinical trials, it is important to know whether the patients have been previously treated with doxorubicin. Moreover, in the past two years, liposomal anthracyclines have been introduced,

but for a variety of reasons, it is important not to lump these two therapies together indiscriminately. Slide 5 [DaunoXome] DaunoXome, i.e., liposomal daunorubicin, was approved as first-line treatment based on a prospective randomized comparison versus ABV. Although response rates were similar (23% for DaunoXome and 30% for ABV), significantly less alopecia and neuropathy were observed with DaunoXome. Slide 6 [Doxil] Doxil, i.e., liposomal doxorubicin, was approved as second-line treatment of advanced

AIDS-Kaposi's sarcoma based on a 27% response rate in 34 evaluable patients.

By contrast the response rates reported for paclitaxel for second-line treatment of KS have been higher, as discussed at the ODAC immediately preceding this meeting. or safety purposes it is probably wise to use all available patients - but paclitaxel is not an exception to the rule that for efficacy purposes it is important not to pool <u>first</u> and <u>second-line</u> patient data.

Also, because of the non-linearity of paclitaxel pharmacokinetics, caution is

in order when one extrapolates from one dosing level or apparent doseintensity to another. We will touch upon these points in our presentation.

Slide 7 [Paxene in AIDS-KS] We believe our study of Paxene makes an important contribution to the knowledge base for paclitaxel in second-line AIDS-KS therapy. Our study included advanced patients who frequently had failed second-line or third-line treatments. Specifically, many of the patients were Doxil failures. Another ma or point is that the study presented today is the first

prospective multicenter study of paclitaxel in advanced KS and, as such, may give a more realistic estimate of community based results. We will also provide important information on pharmacokinetics as well as information on co-administration with protease inhibitors. We believe that much of this information is unavailable in any other form. or prescribers, it is important to have as much empirical data as possible on both the positive features and limitations of paclitaxel.

inally, we wish to thank the DA and this committee for permitting some of the patients who participated in this study to speak here today, at the conclusion of our scientific presentation.

All clinical progress depends on the willingness and courage of patients to enter studies on the safety and efficacy of new drugs.

Thank you.

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Paclitaxel Mechanisms of Actions

- Microtubule Stabilization
- Anti-Angiogenesis
- Apoptosis (Bcl-2 Phosphorylation)
 Raf-1 Activation

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ODAC-9/19/97

We present data today on the PKs of paclitaxel in AIDS-KS patients in the study just described by Dr. Gill. It must be recognized that these studies were very difficult to conduct given the demands on the patient's time and we are very grateful to the patients who participated in this pharmacokinetic study.

S1 Eleven (11) patients from one site volunteered for the pharmacokinetic sampling. These patients were taking 4 to 20 concomitant medications, which included one or more RTIs, imidazole antifungals, and the protease inhibitor indinavir. The protease inhibitors are of particular interest because paclitaxel and protease inhibitors are metabolized by CYP4503A and almost all of the marketed protease inhibitors carry a <u>warning</u> in their product label of potential interactions with concomitant medications that also utilize this metabolic pathway.

Serial plasma sampling, which involved about 20 samples per patient, occurred over 51 hours during and after the 3-hr infusion of paxene on one of the cycles.

Nine patients were studied on one cycle and 2 patients were studied twice on 2 consecutive cycles.

- S2 The next slide shows the mean plasma concentration time curve for paclitaxel in the 9 patients who were studied on one cycle.
 - Mean pharmacokinetic parameters are shown in this slide. I wish to point out that peak plasma concentration (Cmax) was

about 1100 ng/mL or about 1.3 uM and body clearance averaged 27 L/hr/m2.

- A comparison of the some of the pharmacokinetic parameters obtained at 100 mg/m2 was made using a weighted analysis to values obtained from other Paxene studies in 37 patients with solid tumors when a higher dose (175 mg/m2) was adminstered. As noted on the left-hand side of the slide, a 75% increase in the administered dose (that is from 100 to 175 mg/m2), was accompanied by a much greater increase in peak paclitaxel plasma levels and in areas under the plasma concentration time curves to the last detectable concentration and to infinity. The dashed line would be the expected increase in these parameters if the drug obeyed linear kinetics. These data demonstrate the nonlinearity of the pharmacokinetics of paclitaxel over the range of 100 to 175 mg/m2.
- We also evaluated the pharmacokinetics of paxene in those patients taking indinavir and those who did not. As noted on this slide there were no differences in the average values for Cmax, CL, Vd or t1/2 between these 2 groups. In another 2 patients, paclitaxel kinetics were obtained on 2 consecutive cycles, one in the absence of indinavir and the second after 2 weeks of indinavir therapy. As shown here, the plasma levels of paclitaxel were similar with and without indinavir confirming that indinavir does not alter the disposition of paclitaxel.

Imidazole antifungal agents are known to inhibit CYP 450 enzymes and it was of interest to assess whether those patients taking imidazole antifungals, primarily fluconazole, had greater exposure to paclitaxel. On this slide, it is clear

that there was no indication that patients taking antifungals had higher Cmax or reduced clearance values compared to those not taking these drugs.

In conclusion these studies define for the first time 1) the pharmacokinetics of paclitaxel in AIDS-KS patients taking multiple HIV therapies, 2) the nonlinear pharmacokinetics of paclitaxel over the range from 100 to 175 mg/m2 and 3) there was no appreciable interaction between paclitaxel and indinavir or imidazole antifungal agents.

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- 1. This Paxene study was conducted in patients with advanced AIDS-related KS. It was a prospective phase II trial in patients who had failed prior cytotoxic chemotherapy. The trial was conducted at 9 centers in the US with accrual and data collection between in Jan 96 and April 97.
- 2. Patients were eligible for this trial if they had advanced disease defined by the presence of one or more of the following criterias, had failed prior systemic chemotherapy, and had KPS of 60 or above. Concommitant use of anti-retroviral therapy including Protease inhibitors was allowed.
- 3 Primary study end points included best response and time to progression.

 Secondary end points included change in symptom distress scale and KPS over time. Paxene Pharmacokinetics were also done in a subset of the cases and the data will be presented by Dr. Ken Duchin.
- 4. The response criteria are similar to those defined by the ACTG- Oncology committee for the past several years, shown here. Complete and partial responses were required to be maintained for at least 28 days.
- 5. The treatment regimen consisted of Paxene given at a dose of 100 mg/M2 over 3 hrs every two weeks. One dose reduction to 75 mg/M2 was allowed; in the event of more severe toxicity, treatment was withheld until recovery, as outlined in the protocol. Use of G-CSF was allowed for neutropenia.
- 6. 89 patients were enrolled at 9 sites through April 97
- 7. Patient demographics are outlined here. The mean age of the study population was 38 yrs. The median baseline CD4 count was 40, and majority of the patients had KPS between 70 and 80.

- 8. Antiretroviral therapy was taken by 71% at the time of study entry, including use of protease inhibitors in 33 cases. A third of the patients were receiving therapy for CMV infection and 30% of the patients were receiving G-CSF.
- 9. Tumor assessment at baseline showed mucocutaneous disease in all but 2 patients, facial disease in 42 and oral KS in 40. Tumor associated edema was present in nearly half the cases, and visceral disease in a third of the cases. Pulmonary disease was the most common visceral site of involvment.
- 10. TIS staging system is based on three prognostic factors which include tumor burden, immunological status, and systemic symptoms as outlined here.
- 11. Based on the TIS system, 90% of the patients in this study had 2 or more poor risk factors.
- 12. All patients had received prior cytotoxic chemotherapy. Just over a third of the patients received two or more prior regimens. Among these, 41 patients had received liposomal daunorubicin, and 27 had received liposomal doxorubicin.
- 13. A median of 8 cycles of Paxene was administered with a range of 1 to 27. 34 patients remain on therapy after 10 cycles. The median dose intensity was 44 mg/M2/week.
- 12. Response rates were assessed by intent to treat analysis. Complete and partial responses were observed in 46%, with a 95% confidence interval of 41 to 62 percent. These data represent the independent review by Dr. Kaplan who was not an investigator in this trial.

The following slides are representative examples of responding patients.

- 13. This patient with advanced cutaneous disease and extensive edema causing severe pain and requiring crutches showed marked improvement after 19 cycles.
- 14. Another patient with facial lesions and edema showed marked improvement of the tumor and local edema.

- 15. The response rates were 47% in patients who had received only one prior chemotherapy regimen, and 44% for those who received two or more regimens. The response rates in those who had received prior liposomal duanobucin or doxorubicin were 51 and 33% respectively.
- 16. The impact of protease inhibitor use was also examined. 29 patients did not receive any protease inhibitor during the trial. The response rates in this group were similar to the overall group. These data suggest that the protease inhibitor use does not appear to have a significant impact on the response rates.
- 17. The median time to response was 49 days. The duration of response was calculated from the initiation of treatment, and the median has not yet been reached and is in excess of 306 days.
- 18. Time to treatment failure for the whole study population occurred after a median of 234 days.

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ODAC PRESENTATION September 19, 1997

Slide: Thank you. Good morning ladies and gentleman, members of ODAC and guests. My name is Gregory Harriman and I am with Baker Norton Pharmaceuticals. Before beginning my presentation, I would like to have Dr. Duchin from Baker Norton get up and give a brief presentation of the pharmacokinetic studies.

Ken Duchin

First, I would like to summarize study results relating to quality of life and patient benefit. Then, I will review the safety results, including the safety of PAXENE in patients on protease inhibitors. Finally, I will provide some conclusions regarding the efficacy of PAXENE in the treatment of patients with advanced AIDS-KS who have failed prior cytotoxic chemotherapy. In many cases, these patients have failed more than one cytotoxic chemotherapy regimen, including Doxil. Such patients are an important group of patients for whom the identification of effective treatment can be challenging.

Slide: Quality of life was assessed by a prospectively-obtained, patient-administered Symptom Distress Scale, as well as by Karnofsky Performance Status and photographs. The Symptom Distress Scale contains 15 questions relating to overall well-being (for example outlook, concentration and fatigue), and disease-related symptoms (for example appearance, pain, mobility and breathing).

Each question uses a 5-point Likert-type format in which a score of 1 is the best possible score, meaning no distress, and a score of 5 is the worst possible score, meaning severe distress. The Symptom Distress Scale was to be administered at baseline and every 3rd cycle. Internal consistency and test-retest reliability estimates have indicated the scale is reliable, and the scale has been previously validated.

Karnofsky Performance Status was to be assessed at baseline and each cycle. Photographs of marker lesions and other involved areas were to be obtained at baseline and every 6 weeks.

Slide: Shown here is the median total score from all 15 questions for patients at baseline, and cycles 4, 7 and 10. There was a highly statistically significant improvement in the median score at cycles 4, 7 and 10. Very few patients were lost between baseline and cycle 4, indicating that the improvement seen at cycle 4, at least, is unlikely due to bias.

Assessment of tumor responses can be difficult and open to a certain amount of interpretation. Thus, it is possible for a patient to not be scored as having a tumor response, despite having clear evidence of clinical benefit.

Slide: Patient 695. Shown here is a patient previously treated with Doxil. He had extensive involvement of his foot with tumor and a large ulcer. The patient was informed that he might need to have his foot amputated. Following treatment with PAXENE, the patient had a dramatic improvement in the tumor and ulcer on his foot. This

Patient 695

Pre-Study

Cycle 16

1

patient was not scored as having a tumor response, although he clearly benefited from his treatment. This patient, and others, are with us today, and they hope to have an opportunity to tell you about their experience with PAXENE.

Slide: Patient 674 This patient had extensive lesions of his gums as well as a very severe lesion on his chest. While there were some differences of opinion as to whether he was a responder, he clearly has had improvement in his disease.

Slide: Shown here are median scores in patients with facial lesions for questions relating to the patients appearance at baseline and cycles 4, 7 and 10. There was a statistically significant improvement in this score at cycles 4, 7 and 10. Again, few patients were lost between baseline and cycle 4, indicating that the improvement at cycle 4, at least, is unlikely due to bias.

<u>Slide:</u> Patient 676. As can be seen, this patient had severe, disfiguring lesions and edema on his face. I should mention that the patient agreed to have these pictures shown. With treatment, he had a marked improvement in lesions and edema.

<u>Slide</u>: This slide shows improvement in symptoms, such as pain and mobility, related to lymphedema. Again, there was a statistically significant improvement in these symptoms at cycle 4. While improvement continued at cycles 7 and 10, it was no longer statistically significant.

Slide: Patient 627. This patient had marked lymphedema in his right leg which responded well to treatment, with maintained improvement to cycle 13 at shown here.

<u>Slide:</u> Patient 857. This patient had severely crusted lesions with significant lymphedema in his left lower extremity. The lymphedema showed definite improvement with treatment at cycle 3.

<u>Slide:</u> This slide shows improvement in symptoms related to pulmonary disease, which include breathing and cough. A statistically significant improvement in the median score was seen at cycles 4 and 7. Although a similar magnitude of improvement was seen at cycle 10, this was not statistically significant.

Slide: Patient 648. This patient had severe pulmonary involvement and had previously been treated with both DaunoXome and Doxil. Of note, he was on oxygen prior to treatment, but was able to discontinue this following treatment with PAXENE.

Slide: Patient 692. This patient also had pulmonary involvement. At cycle 13 of treatment, pulmonary lesions were significantly improved, as demonstrated by a decrease in the pulmonary lesion seen of this cut of the CT scan.

Slide: Forty-six percent of patients had improvement in their Karnofsky Performance Status during treatment. The improvement seen was statistically significant. The majority of remaining patients had no change in their Karnofsky status and only a few patients had worsening.

Thus, improvement in quality of life was seen in patients treated with PAXENE, as judged by improvement in symptoms, by Karnofsky Performance Status and by photographic improvement.

<u>Safety</u>

Slide: With regard to safety, frequent hematologic and non-hematologic adverse events which occurred in the 89 patients are summarized here. The major toxicities were hematologic, including neutropenia and anemia. Other frequently occurring adverse events included asthenia, alopecia, nausea and/or vomiting, arthralgias/myalgias, peripheral neuropathy and rash.

<u>Slide</u>: Adverse events were analyzed by whether or not patients were on protease inhibitors as shown in this slide. There was little difference in the incidence of adverse events between the two groups of patients and none of the differences were statistically significant.

<u>Slide</u>: There were a total of 70 opportunistic infections in 30 patients, which represents 34% of patients. Of these opportunistic infections, 17 which involved mycobacteria, pneumocystis, cryptococcus and CMV would be considered serious.

Slide: There were 11 deaths which occurred while patients were on study. Of these 11 deaths, the investigator felt 4 were related to PAXENE. Three of these patients died of sepsis with associated neutropenia and one patient died of congestive heart failure due to pulmonary hypertension.

Slide: We also have substantial safety data with PAXENE, using different doses and schedules, in patients who have other forms of

cancer. Shown here are adverse events, included in the NDA, on not only AIDS-KS patients, but an additional 226 patients who received PAXENE at either 140 mg/m2 over 96 hours or 175 mg/m2 over 3 hours. Again, the major toxicities are hematologic.

Slide: However, alopecia, arthralgia/myalgia and peripheral neuropathy were also fairly common, although severe grades of these toxicities were not common. Hypersensitity reactions were also relatively uncommon. We currently have safety data on a total of over 500 patients.

In summary, while AIDS-KS patients are potentially at increased risk because of their underlying disease and multiple concomitant, no unusual or unexpected toxicities were observed in AIDS-KS patients treated with PAXENE.

Slide: Now, I would like to summarize all the data which has been presented by responding to the questions which were addressed by FDA to ODAC. First - Is the Paxene® study size of 89 patients adequate for approval of a drug for the use "after failure of first line or subsequent systemic chemotherapy for the treatment of AIDS-related Kaposi's sarcoma"?

Slide: To answer this question, this study must be put into perspective with respect to studies which led to the approval of other drugs for a similar indication. As discussed, the study reported here was a prospective, multicenter study enrolling 89 patients, with two geographically distinct sites (Los Angeles and Boston) enrolling 25 or more patients each. It should again be kept in mind that all 89

patients had failed prior cytotoxic chemotherapy and many had failed two or more cytotoxic chemotherapies. Thus, these patients, by-andlarge, represent a very refractory population.

In looking at the study sizes for other drugs currently approved for second-line treatment of AIDS-KS, there were 2 studies upon which Taxol was approved for this indication. One study, which looked at a dose and schedule of 135 mg/m2 every 3 weeks, enrolled 29 patients. However, only 19 of these patients had received prior systemic therapy, of which only 7 evaluable patients had received cytotoxic chemotherapy. Moreover, only 4 of these had received an anthracycline. The second Taxol study used a dose and schedule of 100 mg/m2 every 2 weeks. In this study, 56 patients were enrolled, however only 40 of these patients had received prior systemic chemotherapy.

The approval of Doxil for second-line therapy in AIDS-KS was based on 77 patients who had received prior combination chemotherapy. However, only 34 of these patients were felt by the FDA to be evaluable.

Thus, the PAXENE study containing 89 patients, and representing a refractory population of patients, is larger than any other study used to support approval of a drug for second-line or subsequent treatment of advanced AIDS-KS.

Slide: Does the Paxene® study show patient benefit based on the 42% cutaneous tumor response rate, the clinical benefits assessments and the QOL assessments?

Slide: As previously discussed, the overall tumor response rate with Patients had advanced AIDS-KS, as PAXENE was 46%. demonstrated by the large number of patients with disfiguring lesions, tumor related edema and visceral disease. In addition, the vast majority of these patients were poor risk by TIS staging. Moreover, as mentioned, these patients were a very refractory population with respect to prior cytotoxic chemotherapy. Thus, the 46% tumor response rate should be viewed as highly significant. The fact that patients had substantial response rates, even after failing Doxil, which Xuntil August 4th of this year the only approved drug for second-line treatment of advanced AIDS-KS, and the significant response rates in patients who have failed 2 or more prior cytotoxic regimens, should be viewed as evidence of substantial activity. Time to progression and duration of response with PAXENE were also substantial given this patient population.

Slide: Moreover, patients demonstrated improvement in quality of life, based upon significant improvement in total Symptom Distress Scale scores, as well as improvement in symptoms related to facial lesions, lymphedema and pulmonary disease. This is the first time that a prospective QOL assessment containing such a Symptom Distress Scale has been used in AIDS-KS patients. Significant improvements were also seen in Karnofsky Performance Status and evidence of improvement was documented by photographs.

In sum, the combination of high tumor response rates, as well as improvements in quality of life measurements provide substantial evidence in support of patient benefit.

<u>Slide:</u> "Is the Paxene® safety acceptable in view of the efficacy results and results available with alternative therapy?

Slide: Efficacy results were just discussed. With regard to safety, this slide shows the most important or the most common adverse events with PAXENE in comparison to adverse events reported in AIDS-KS patients with Taxol and Doxil. The point here is that PAXENE exhibited no higher incidences for any of the toxicities seen with Taxol, and in some cases, the rate may be a lower.

Slide: As discussed earlier, in this study a substantial amount of safety experience was gained with the coadministration of protease inhibitors and PAXENE. No significant differences were seen in the rates of major or common adverse events. Furthermore, pharmacokinetic studies were performed to assess the effects of protease inhibitors on the pharmacokinetics of paclitaxel.

Thus, while PAXENE has some significant toxicities, as expected with this cytotoxic drug, its safety is no worse and in certain adverse events may be better than that of Taxol, which is currently approved for second-line treatment of AIDS-KS.

<u>Slide:</u> Is the Paxene® NDA approvable for the indication of use "after failure of first line or subsequent systemic chemotherapy for the treatment of advanced AIDS-related chemotherapy"?

PAXENE demonstrates a high tumor response rate in Slide: patients, all of whom have failed at least one or more cytotoxic chemotherapies. Moreover, the tumor response rate is similar to that of Taxol when usedd at the same dose and schedule of 100 mg/m2 every 2 weeks and is higher than that of Doxil. Importantly, PAXENE demonstrates substantial tumor response rates even in patients who In contrast, only one patient, who previously have failed Doxil. received Doxil was treated with Taxol in registration-seeking studies. In conclusion, PAXENE induces tumor Conclusion 1 Slide: responses, as defined by ACTG criteria, in 46% of patients with advanced, AIDS-related KS who had failed first line or subsequent systemic chemotherapy. PAXENE improves quality of life, as assessed by a Symptom Distress Scale and Karnofsky Performance Status. PAXENE is safe in the treatment of AIDS-related KS. Slide: Conclusion 2 PAXENE induces tumor responses in 33% of patients who failed prior Doxil therapy and 41% in patients who received at least two prior cytotoxic chemotherapies. PAXENE is safe and effective in patients on concomitant protease inhibitors. Slide: The proposed indication is: "PAXENE is indicated after failure of first-line or subsequent chemotherapy, including liposomal doxorubicin, in patients with advanced AIDS-related Kaposi's relief of disease-related symptoms. for sarcoma. and Coadministration with protease inhibitors does not diminish the efficacy or alter the side effect profile of PAXENE."

I would now like to provide an opportunity for some of the patients who have been treated with PAXENE to come up and share their experiennces with you. Thank you very much.

Thank you. That concludes our presentation and we will be happy to answer any questions.

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Therapeutic Options

- Dependent on Extent of Disease, Rate of Disease Progression, KS Associated Symptoms
- Local Therapy
 - Surgical, Cryotherapy
 - Radiotherapy, Laser
 - Intralesional Chemotherapy, Biologicals
- Systemic Therapy
 - Interferon
 - Chemotherapy

Liposomal Daunorubicin - DaunoXome®

• First Line Cytotoxic Treatment for Advanced AIDS-Related KS (from Product Label)

| | DaunoXome | ABV |
|--------------------------|-------------|------------|
| | (n = 116) | (n = 111) |
| Respone Rate | 23% | 30% |
| Median Response Duration | 3.6 Months | 3.7 Months |
| Median Survival | 11.2 Months | 9.6 Months |
| Neutropenia Grade IV | 15% | 5% |
| Alopecia | 8% | 36% |
| Neuropathy | 13% | 41% |

Liposomal Doxorubicin - Doxil®

• Treatment of AIDS-related KS (After Failure or Intolerance of Combination Chemotherapy (from Product Label)

Number of Evaluable Patients 34

Response Rate 27%

Median Response Duration 2.4 Months

Paxene® in AIDS-KS

- Second and Third-Line Treatment
- Doxil Failures
- Prospective Multicenter
- Pharmacokinetics
- Co-Administration with Protease Inhibitors

PAXENE® (Paclitaxel) in Advanced AIDS-Related Kaposi's Sarcoma

IX-110-081

Study Design

- Failed First Line or Subsequent Chemotherapy
- Open-Label, Phase II, Prospective
- 9 US Sites
- Enrollment Jan 96 with Follow-Up Through Apr 97

Eligibility Criteria

- Advanced AIDS-KS
 - 25 Mucocutaneous Lesions
 - Visceral Involvement
 - Symptomatic Lymphedema
- Failed First Line or Subsequent Systemic Chemotherapy
- Karnofsky Performance Status ≥ 60
- Concomitant Anti-Retroviral Therapy
 Allowed Including Protease Inhibitors

Endpoints

- Primary
 - Best Tumor Response First 10 Cycles
 - Time to Progression
- Secondary
 - Symptom Distress Scale
 - Karnofsky Performance Status
- Pharmacokinetics

ACTG Response Criteria

Complete

Absence of Any Detectable Disease

Biopsy Required for Confirmation

Partial Response

No New Lesions, No New Visceral Sites or Tumor-Associated Edema AND

≥ 50% Decrease in: Total Number of Lesions OR

Surface Area of 5 Marker Lesions OR

Measurable Visceral Disease OR

Complete Flattening of at Least 50% All Previously Raised

Dosing Schedule

- PAXENE® 100 mg/m² 3-Hour I.V. Every Two Weeks
- Premedication
 - Dexamethasone
 - Cimetidine or Ranitidine
 - Diphenhydramine
- One Dose Reduction to 75 mg/m² per Protocol
- Concomitant G-CSF Allowed

Enrollment (N = 89)

| Investigator | Institution | N (%) |
|------------------|---|---------|
| Gill | USC | 39 (44) |
| Groopman/Scadden | Harvard | 25 (28) |
| Saville | UCSD | 8 (9) |
| Friedman-Kien | NYU | 6 (7) |
| Others St V | Univ Oregon NY Med Coll Vincent's Hosp (NY) | 11(12) |

Patient Characteristics (N = 89)

| | <u>N (%)</u> |
|------------------------------|--------------|
| Race/Ethnicity | |
| White | 49 (55) |
| Hispanic | 27 (30) |
| Other | 13 (15) |
| Age | 38 ± 7 |
| CD4 (mm ³) | |
| Median | 40 |
| Range | 0 -1139 |
| Karnofsky Performance Status | |
| ≥ 90 | 25 (29) |
| 70 - 80 | 53 (61) |
| 60 | 9 (10) |

Concomitant Therapy at Study Entry (N = 89)

| | N (%) | ! |
|--|---------------------|---|
| Anti-Retroviral Therapy Reverse Transcriptase Inhibitors Protease Inhibitors | 63 (71) 52 33 | |
| Antiviral Therapy (CMV Retinitis) Ganciclovir/Cidofovir/Foscarnet | 30 (34) | |
| G-CSF (Filgrastim) | 27 (30) | |

Disease Sites (N = 89)

| <u>Site</u> | N (%) |
|----------------------------|---------|
| Mucocutaneous Lesions | 87 (98) |
| Facial | 42 |
| Oral | 40 |
| Tumor-Associated Edema | 45 (51) |
| Visceral | 37 (42) |
| Pulmonary | 20 |
| Gastrointestinal | 12 |
| Liver, Adrenal, Peritoneal | 5 |

TIS Staging

| | Good Risk | Poor Risk |
|---|--|--|
| T | Skin, Lymph Nodes Minimal Oral KS | Edema, GI-KS, Visceral KS Extensive Oral KS |
| I | CD4 ≥ 200 | CD4 < 200 |
| S | No Prior OI No B-Symptoms KPS ≥ 70 | Prior OI Prior B-Symptoms KPS < 70 |

TIS Staging Patient Characteristics

| | Poor Risk |
|---------------------|-----------|
| Category | N (%) |
| Tumor Burden | 74 (83) |
| Immune Status | 75 (84) |
| Systemic Illness | 72 (81) |
| | |
| 1 or More Poor Risk | 86 (97) |
| 2 or More Poor Risk | 80 (90) |
| 3 or More Poor Risk | 55 (62) |

Prior Cytotoxic Chemotherapy (N = 89)

| Number of Previous Treatments 1 ≥ 2 | <u>N</u> 57 32 89 | \frac{\%}{64}\frac{36}{100} |
|--------------------------------------|-------------------|--|
| Previous Chemotherapy | | |
| Liposomal Daunorubicin (Daunoxome®) | 41 | 46 |
| Liposomal Doxorubicin (Doxil®) | 27 | 30 |
| Other Anthracycline | 28 | 31 |

PAXENE® Therapy

| Number of Cycles Median | 8 |
|------------------------------------|----|
| Ongoing Beyond 10 Cycles | 34 |
| Dose Intensity (mg/m²/week) Median | 44 |

Tumor Response (N = 89)

| | ITT Analysis N (%) |
|-------------------|-----------------------|
| Overall Response | 41 (46) |
| (95% CI) | (41 - 62) |
| Complete Response | 2 (2) |
| Partial Response | 39 (44) |
| Stable Disease | 29 (33) |
| Progression | 5 (6) |
| Not Evaluable | 14 (16) |

Pre-Study

Cycle 19

Response by Previous Cytotoxic Chemotherapy (N = 89)

| | ı | Tumo | r |
|---------------------|----------|----------|-----------|
| Previous | Response | | |
| Regimens | N | <u>%</u> | 95% CI |
| 1 | 28/57 | 47 | (36 - 62) |
| ≥ 2 | 13/32 | 41 | (23 - 59) |
| | | | • |
| Daunoxome | 21/41 | 51 | (36 - 67) |
| Doxil | 9/27 | 33 | (15 - 52) |
| Other Anthracycline | 14/28 | 50 | (14 - 53) |

Tumor Response: All Patients Compared to No Protease Inhibitors

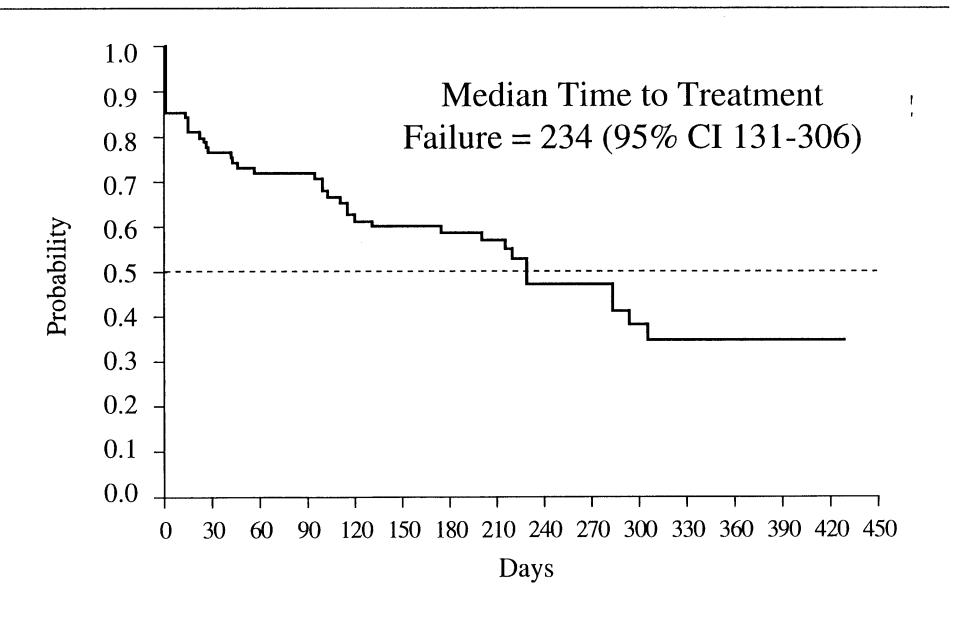
| | Tumor Response | |
|--------------------|-----------------------|---------|
| | N (%) | 95% CI |
| All Patients | 41/89 (46) | (36-57) |
| No Use of Protease | 12/29 (41) | (27-60) |

APPEARS THIS WAY ON ORIGINAL

Longitudinal Response Parameters

| | Median (Days) | 95% CI (<u>Days)</u> |
|---------------------------------|------------------|--------------------------|
| Time to Response $(N = 41)$ | 49 | (33-61) |
| Duration of Response $(N = 41)$ | Not Reached | 306 - Not Reached |

Time to Treatment Failure



Paxene® Pharmacokinetics

• 11 Patients

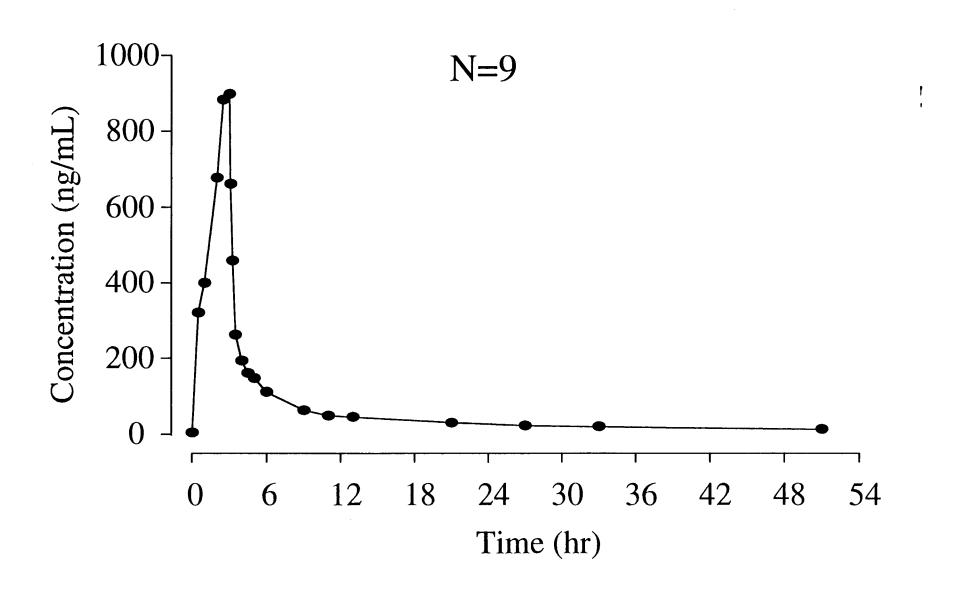
Reverse Transcriptase Inhibitors (D4T, ZDV, 3TC, DDI)

Imidazole Antifungal Agents

Indinavir

- 9 Patients Studied Once, 2 Patients Studied Twice
- Plasma Sampling (> 250 Samples) for 48 hr Post Infusion

Mean Plasma Paclitaxel Concentrations During and After Paxene (100 mg/m² x 3 hr) in AIDS-KS

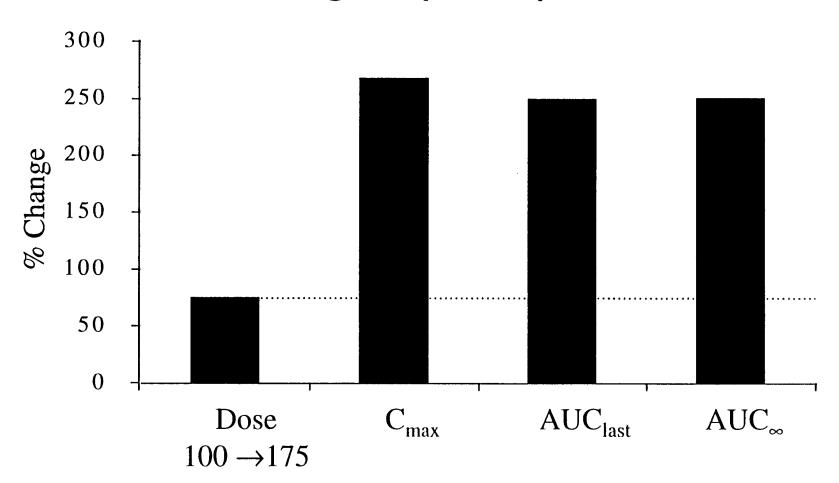


PK Parameters of Paxene® in AIDS-KS

| | Cmax (ng/mL) | CL (L/hr/m ²) | V_{dss} (L/m^2) | t ¹ / ₂ (hr) |
|------|--------------|------------------------------|---------------------|------------------------------------|
| Mean | 1118 | 27 | 402 | 25 |
| SD | 300 | 7 | 151 | 6 |
| CV | 27 | 25 | 38 | 25 |

Paclitaxel PKs

Comparison of 100 mg/m² (n = 9) vs. 175 mg/m² (n = 37) Over 3 Hours

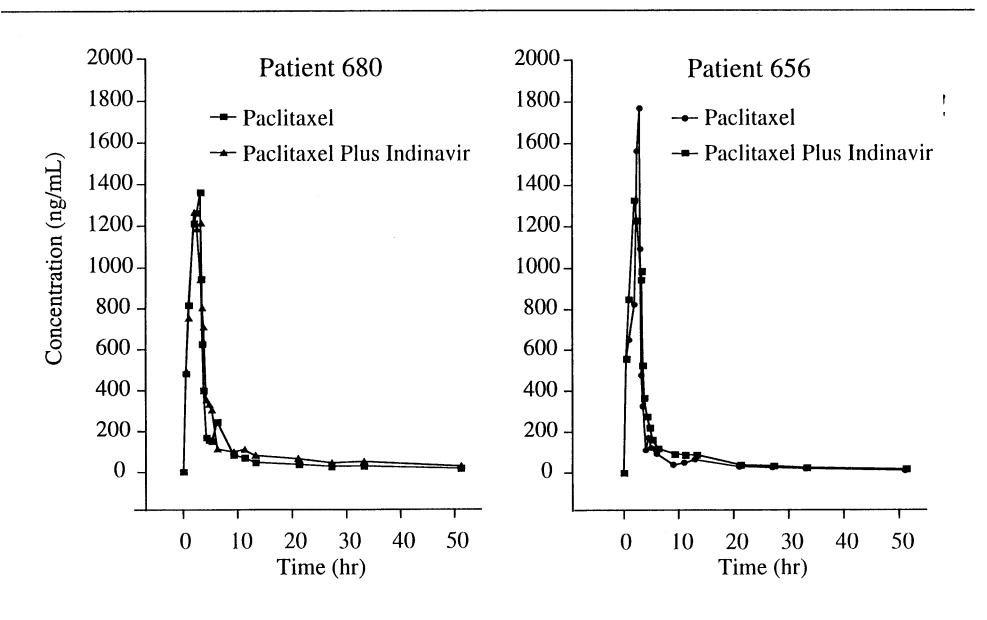


Effect of Indinavir on Paclitaxel Pharmacokinetics

Mean (SD)

| | No Indinavir $(N = 5)$ | Indinavir $(N = 4)$ |
|-------------------------------|------------------------|---------------------|
| Cmax (ng/mL) | 1073 (301) | 1175 (334) |
| CL (L/hr/m ²) | 27 (8) | 28 (5) |
| V_{dss} (L/m ²) | 421 (168) | 368 (144) |
| $t^{1}/_{2}(hr)$ | 26 (6) | 23 (8) |

Effect of Indinavir on Paclitaxel Plasma Levels



Effect of Imidazole Antifungal Agents (Fluconazole, Clotrimazole) on Paclitaxel Pharmacokinetics

Mean (SD)

| | No Antifungals $(N = 5)$ | Antifungals $(N = 4)$ |
|-------------------------------|--------------------------|-----------------------|
| Cmax (ng/mL) | 1159 (335) | 1068 (290) |
| CL (L/hr/m ²) | 25 (6) | 30 (7) |
| V_{dss} (L/m ²) | 334 (158) | 460 (139) |
| $t^{1}/_{2}(hr)$ | 23 (6) | 27 (7) |

Paxene® Pharmacokinetics Conclusions

- Paxene Pharmacokinetics Documented in Patients with AIDS-KS in Presence of Multiple HIV Therapies
- Paclitaxel Displays Nonlinear Pharmacokinetics Between 100 and 175 mg/m² x 3 hr
- No Appreciable Interaction with Indinavir and Imidazole Antifungals

AIDS-Related Kaposi's Sarcoma

Quality of Life/Patient Benefit Safety Summary/Conclusions

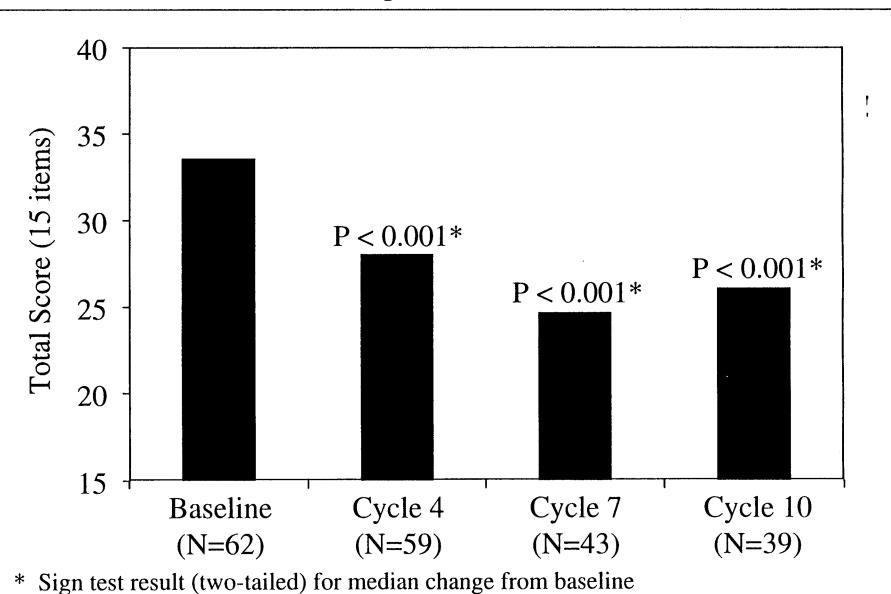
APPEARS THIS WAY ON ORIGINAL

Quality of Life

- Prospective Symptom Distress Scale
 - Self Administered
 - 15 Questions
 - General and Disease-Related Symptoms
 - 5-Point Likert-Type Format
 - Validated
- Karnofsky Performance Status
- Photographs

HARRIMAN #3

Median Symptom Improvement in Patients by Total Score

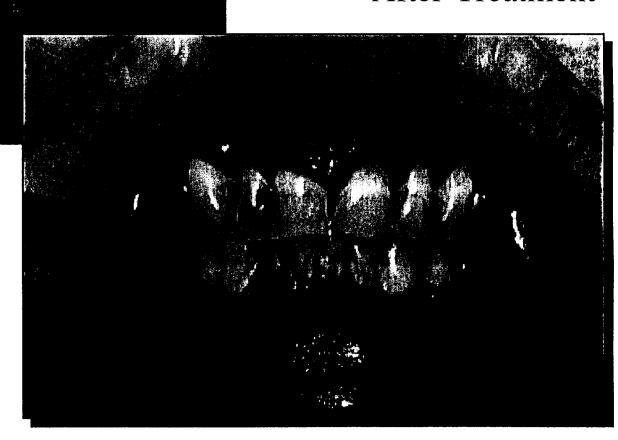


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After-Treatment

Pre-Study

APPEARS THIS WAY ON ORIGINAL

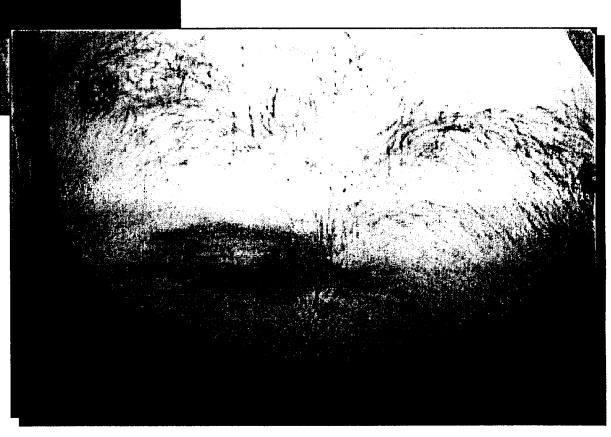


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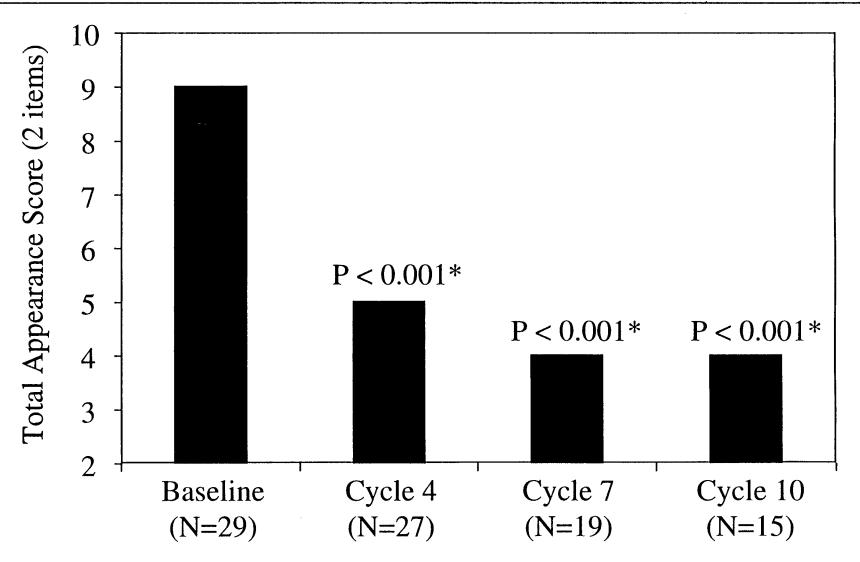
After-Treatment



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Median Symptom Improvement in Appearance of Patients with Facial Involvement



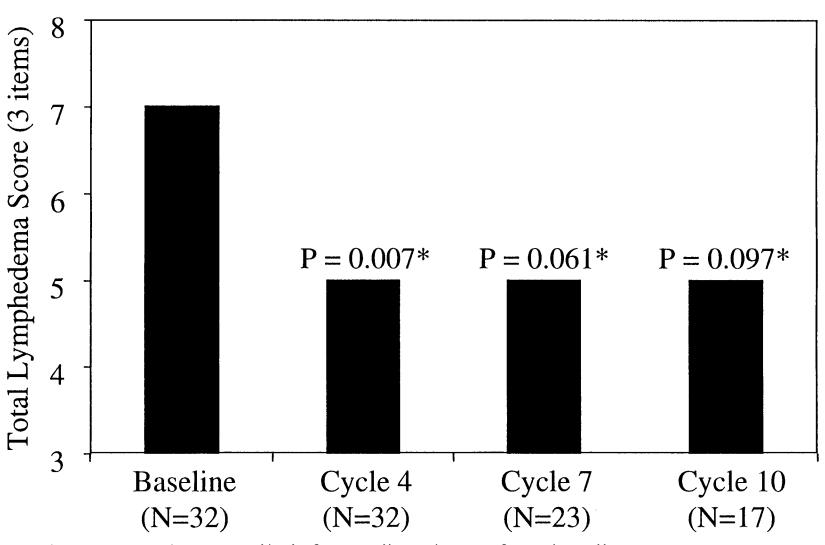
^{*} Sign test result (two-tailed) for median change from baseline

Pre-Study

Cycle 16

HARRIMAN #9

Median Symptom Improvement in Patients with Lymphedema

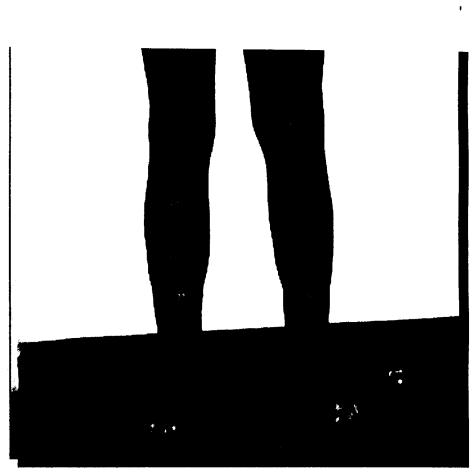


^{*} Sign test result (two-tailed) for median change from baseline

Pre-Study

Cycle 13

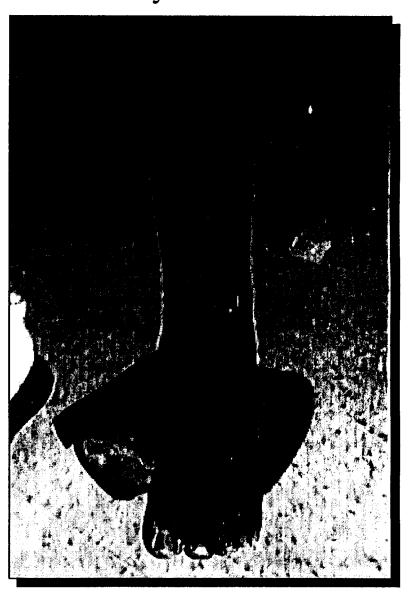




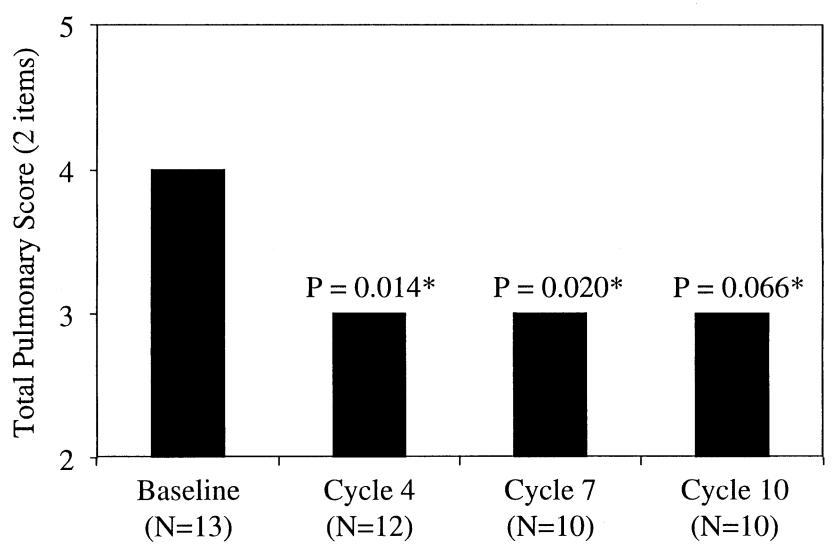
Pre-Study



Cycle 3

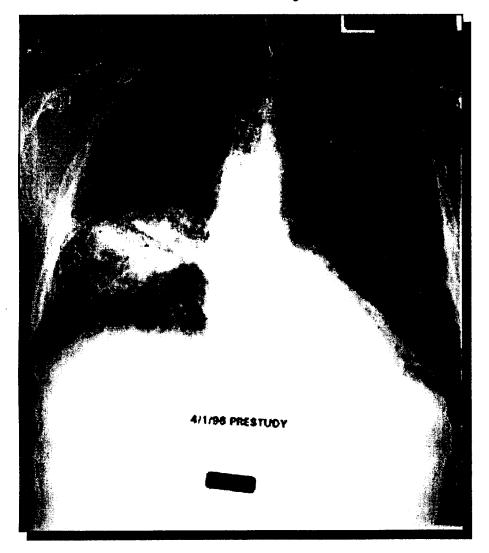


Median Symptom Improvement in Patients with Pulmonary Involvement

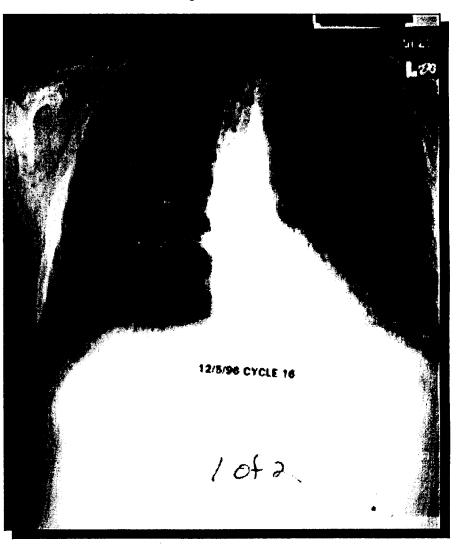


^{*} Sign test result (two-tailed) for median change from baseline

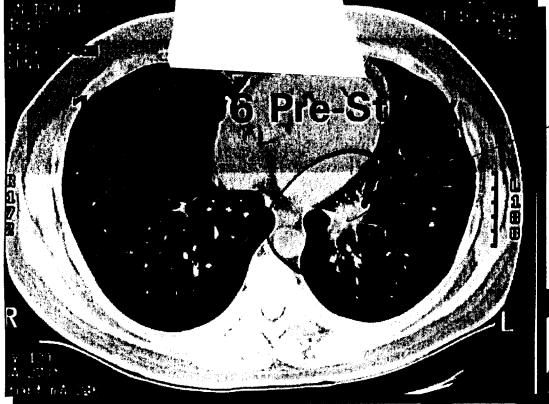
Pre-Study



Cycle 16



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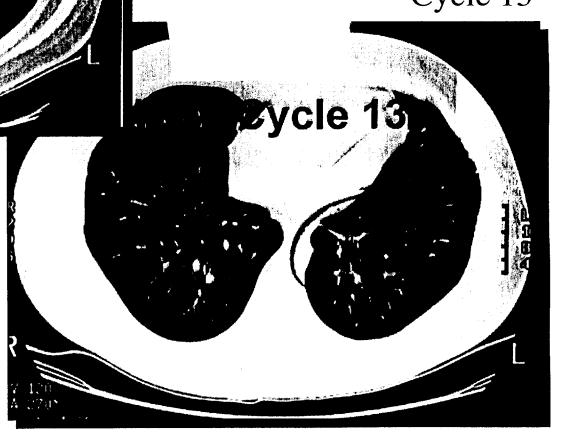
Marie

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Cycle 13

Pre-Study

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HARRIMAN # 15 Cycle 8

Karnofsky Performance Status Changes from Baseline

N(%)

Improved

35 (46)

APPEARS THIS WAY ON ORIGINAL Unchanged

32 (42)

Worsened

9 (12)

ADDEARS THIS WAY ON ORIGINAL

p = 0.02 Maxwell-Stuart Test Compared with Baseline

Frequent Treatment Adverse Events

| | All <u>N (%)</u> | Severe (≥ Grade 3) <u>N (%)</u> | ! |
|--|---------------------|---------------------------------|---|
| Hematological | | | |
| Neutropenia | 74 (83) | 54 (61) | |
| – Anemia | 52 (58) | 9 (10) | |
| Asthenia | 53 (60) | 3 (3) | |
| Alopecia | 50 (56) | 9 (10) | |
| Nausea and/or Vomiting | 44 (49) | 7 (8) | |
| Arthralgias/Myalgia | 27 (30) | 1 (1) | |
| Neuropathy | 36 (41) | 4 (5) | |
| • Rash | 32 (36) | 1 (1) | |

Major Toxicities By Protease Inhibitor Use

| | No PI (N=27) | PI (N=62) |
|------------------------------|--------------|-----------|
| Neutropenia | | |
| All Grades | 20 (74) | 48 (80) |
| - Severe | 14 (52) | 33 (55) |
| Febrile Neutropenia | 1 (4) | 4 (7) |
| Anemia | 13 (48) | 38 (63) |
| Hypersensitivity | 2 (7) | 7 (11) |
| Alopecia | 9 (33) | 28 (47) |
| Fever | 12 (44) | 25 (42) |
| Opportunistic Infections | 10 (37) | 20 (33) |
| Arthralgia / Myalgia | 7 (26) | 14 (23) |
| Neuropathy | 6 (22) | 24 (40) |

Incidence of Opportunistic Infections (N = 89)

| | | <u>N</u> | |
|---------------------------------|---|------------------------------|------------------------------|
| | Mycobacterial (TB, MAI) | 3 | ! |
| | PCP | 4 | |
| | Cryptococcal Meningitis | 2 | |
| | CMV Retinitis | 8 | |
| APPEARS THIS WAY ON ORIGINAL | Oral Thrush Candida Esophagitis/Laryngitis HSV, VZV Condyloma/Molluscum Oral Hairy Leukoplakia Isospora Belli | 23 5 17 3 4 1 | APPEARS THIS WAY ON ORIGINAL |

Paxene® Deaths on Study

| | Related | Non-Related | Total |
|--------------------------|---------|-------------|-------|
| Progressive KS | 0 | 3 | 3 |
| Non-KS HIV Complications | 0 | 2 | 2 |
| Sepsis/Infection | 3 | 1 | 4 |
| Cardiopulmonary | 1 | 1 | _2 |
| Total | 4 | 7 | (11) |

Paxene: Hematological Toxicity

| | % Incidence | | | |
|---|--|---|--|--|
| Manager Lander Land Manager Land Land Land Land Land Land Land Land | 100 mg/m ² Over 3 Hours Q2W | 140 mg/m ² Over 96 Hours Q3W | 175 mg/m ² Over 3 Hours Q3W | |
| Adverse Event | n = 89 | n = 58 | n = 168 | |
| Bone Marrow | | | | |
| Neutropenia | | | | |
| $< 2,000/\text{mm}^3$ | 83 | 62 | 84 | |
| $< 500/\text{mm}^3$ | 36 | 22 | 35 | |
| Thrombocytopenia | | | | |
| $< 100,000/\text{mm}^3$ | 17 | 25 | 20 | |
| $< 50,000 / \text{mm}^3$ | 7 | 2 | 3 | |
| – Anemia | | | | |
| < 11 g/dL | 58 | 89 | 79 | |
| < 8 g/dL | 7 | 39 | 18 | |

Paxene: Major Non-Hematological Toxicity

| | | % Incidence | |
|---|-----------------------|-----------------------|-----------------------|
| | 100 mg/m ² | 140 mg/m ² | 175 mg/m ² |
| | Over 3 Hours | Over 96 Hours | Over 3 Hours |
| | Q2W | Q3W | Q3W |
| Adverse Event | n = 89 | n = 58 | n = 168 |
| • Alopecia | 56 | 35 | 67 |
| Hypersensitivity | | | |
| – All | 10 | 2 | 8 |
| Severe | 0 | 0 | 0 |
| Arthralgia/Myalgia | | | |
| Any Symptoms | 30 | 26/29 | 43/32 |
| Severe Symptoms | 2 | 2/0 | 7/7 |
| Peripheral Neuropathy | | | |
| Any Symptoms | 41 | 17 | 51 |
| Severe Symptoms | 5 | 0 | 5 |

Paxene[®]

Question #1

Is the Paxene® study size of 89 patients adequate for approval of a drug for use "after failure of first line or subsequent systemic chemotherapy for the treatment of advanced AIDS-related Kaposi's sarcoma"?

Comparison of Studies Sizes

| | Paxene [®] | $Taxol^{@}$ | | Doxil® ! |
|---|----------------------|----------------------|----------------------|---------------------|
| | 100 mg/m^2 | 135 mg/m^2 | 100 mg/m^2 | 20 mg/m^2 |
| | q2w | q3w | q2w | q3w |
| Total Number of Patients | 89 | 29 | 56 | 77 |
| Failed Prior Chemotherapy | 89 | 19 | 40 | 77 |
| Evaluable and Faile Prior Chemotherapy | | 18 | 40 | 34 |

Paxene[®]

Question #2

Does the Paxene® study show patient benefit based on the 42% cutaneous tumor response rate, the clinical benefits assessments and the QOL assessments?

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ON ORIGINAL

234 Days

Paxene® Study

| Overall Response | 46% |
|---|-------------|
| • Response in Doxil® Treated Patients | 33% |
| • Response in Patients Treated with ≥ 2 Prior Cytotoxic Chemotherap | 41% ies |
| Median Duration of Response | Not Reached |

• Median Time to Treatment Failure

Paxene® Study

- QOL/Clinical Benefit
 - Significant Improvement in Symptoms
 Related to Facial Lesions, Lymphedema and
 Pulmonary Disease
 - Significant Improvement in Karnofsky
 Performance Status
 - Photographic Evidence of Improvement

Paxene[®]

Question #3

Is the Paxene® safety acceptable in view of the efficacy results and results available with alternative therapy?

APPEARS THIS WAY ON ORIGINAL

Comparison of Safety (%)

| | Paxene® | Tax | ol® | Doxil® |
|-----------------------------------|----------------------|-----------------------|-----------------------|---------------------|
| Adverse Events | 100 mg/m^2 | 135 mg/m ² | 100 mg/m ² | 20 mg/m^2 |
| Neutropenia < 2000/m ³ | 83 | 100 | 95 | 49* |
| $< 500/m^3$ | 36 | 76 | 35 | 13 |
| Febrile Neutropenia | 9 | 55 | 9 | NA^{\dagger} |
| Anemia < 11 gm/dL | 58 | 86 | 73 | 7 |
| < 8 gm/dL | 10 | 34 | 25 | NA^{\dagger} |
| Hypersensitivity or | 10 | 14 | 9 | 7 |
| Infusion Reactions | | | | |
| Alopecia | 56 | 100 | 86 | 9 |
| Nausea/Vomiting | 49 | 69 | 70 | 18/8 |
| Arthralgia/Myalgia | | | | |
| Any | 30 | 93 | 48 | < 1 |
| Severe | 2 | 14 | 16 | < 1 |
| Peripheral Neuropathy | 41 | 79 | 46 | < 1 |
| Opportunistic Infections | 34 | 76 | 54 | 50 |

^{*} $< 1000/\text{m}^3$ † Not Available

Paxene® Study

Safety

- Experience in Patients on Protease Inhibitors
- Pharmacokinetic Studies in Patients on Protease Inhibitors

APPEARS THIS WAY ON ORIGINAL

Paxene[®]

Question #4

• Is the Paxene® NDA Approvable for the Indication of Use "After Failure of First Line or Subsequent Systemic Chemotherapy for the Treatment of Advanced AIDS-Related Kaposi's Sarcoma"?

APPEARS THIS WAY ON ORIGINAL

Comparison of Efficacy

| Tumor | | | | ! |
|---------------------------|---------|---------------------|----------------------|------------------|
| Response Rate | Paxene® | Taxol | (® | <u>Doxil®</u> |
| | | 135mg/m^2 | 100 mg/m^2 | |
| All | 46% | 74% | 53% | 27% ^A |
| Doxil Failure Patients | 33% | Not Studied | Unk ^B | NA ^C |

APPEARS THIS WAY ON ORIGINAL

A 34 Evaluable Patients, Investigator Assessment

^B Only One Patient Studied

^C Not Applicable

Conclusion

- PAXENE® Induces Tumor Responses, as Defined by ACTG Criteria, in 46% of Patients With Advanced, AIDS-Related KS Who Had Failed First-Line or Subsequent Systemic Chemotherapy
- PAXENE® Improves Quality of Life, as Assessed by a Symptom Distress Scale and Karnofsky Performance Status
- PAXENE® Is Safe in the Treatment of AIDS-Related KS

Conclusion

- PAXENE® Induces Tumor Response in 33% Patients Receiving Prior Doxil® Therapy and 41% in Patients Who Received at Least Two Prior Cytotoxic Chemotherapies
- PAXENE® Is Safe and Effective in Patients on Concomitant Protease Inhibitors

Proposed Indication - PAXENE®

• PAXENE® is indicated after failure of first-line or subsequent chemotherapy, including liposomal doxorubicin, in patients with advanced AIDS related Kaposi's sarcoma, and for relief of disease-related symptoms. Coadministration with protease inhibitors does not diminish the efficacy or alter the side effect profile of PAXENE®.

Discontinuations

| | N (%) |
|--|---------|
| Treatment Discontinued (≥ 2 Cycles) | 15 (17) |
| Death | 2 (2) |
| Toxicity | 2 (2) |
| Disease Progression | 1 (1) |
| Refused Further Treatment | 2 (2) |
| Other | 8 (9) |
| Treatment Discontinued (< 2 Cycles) | 12 (14) |
| Death | 3 (3) |
| Lost to Follow-up | 1 (1) |
| Refused Further Treatment | 1 (1) |
| Other | 7 (8) |

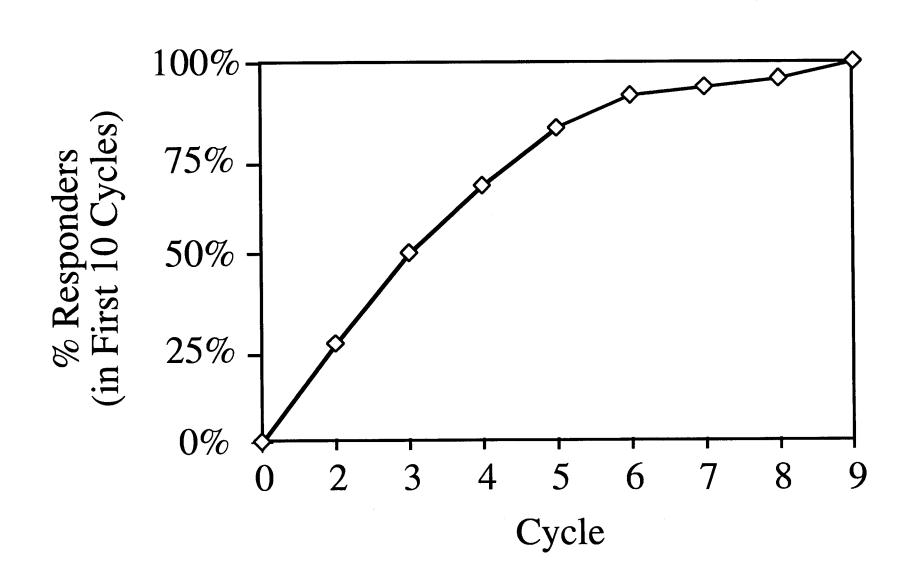
Dosing Modifications

Dose Modifications

- A One Time Dose Reduction to 75 mg/m² Was Allowed for PAXENE® Related Toxicities
- Patients Could Be Brought Back to 100 mg/m² if Toxicity Subsided

APPEARS THIS WAY
JAMINING NO

Cumulative Number of Responses # 57 by Cycle



Best Response by Use of Backup # 61 Protease Inhibitors (PI)

PI Use Prior to Response

Yes

APPEARS THIS WAY

OH ORIGINAL

No

Tumor Response

18

APPEARS THIS WAY ON ORIGINAL

23

APPEARS THIS WAY ON ORIGINAL

Paxene® Response in Pathers ** 100 P

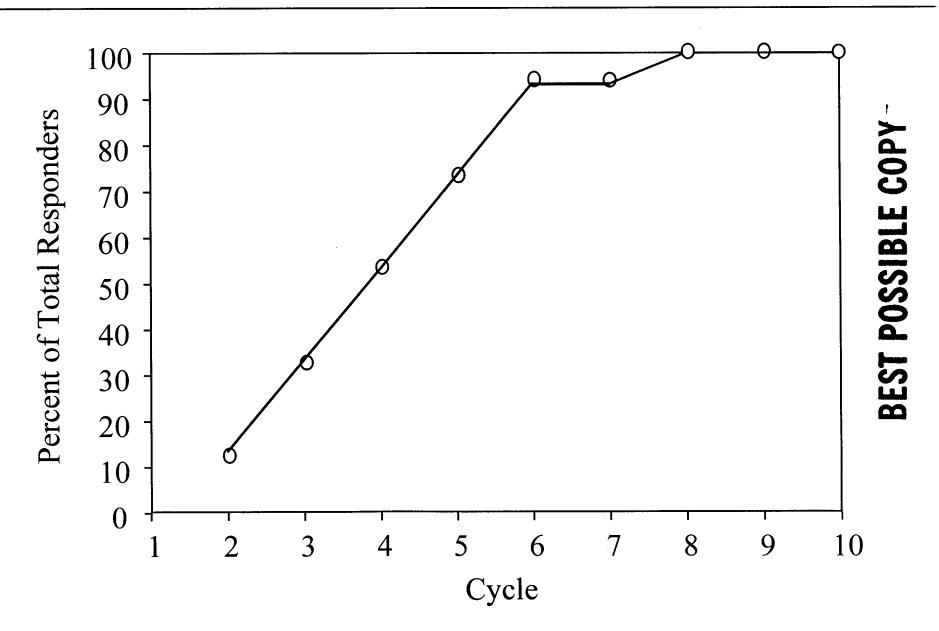
| APPEARS THIS WAY | Tumor Response | | |
|---------------------------------|----------------|----------|--------|
| OH TRIPINM | | <u>%</u> | 95% CI |
| Received Protease Inhibitors | 12/21 | 57 | 23-60 |
| No Protease Inhibitors | 12/29 | 41 | 33-70 |

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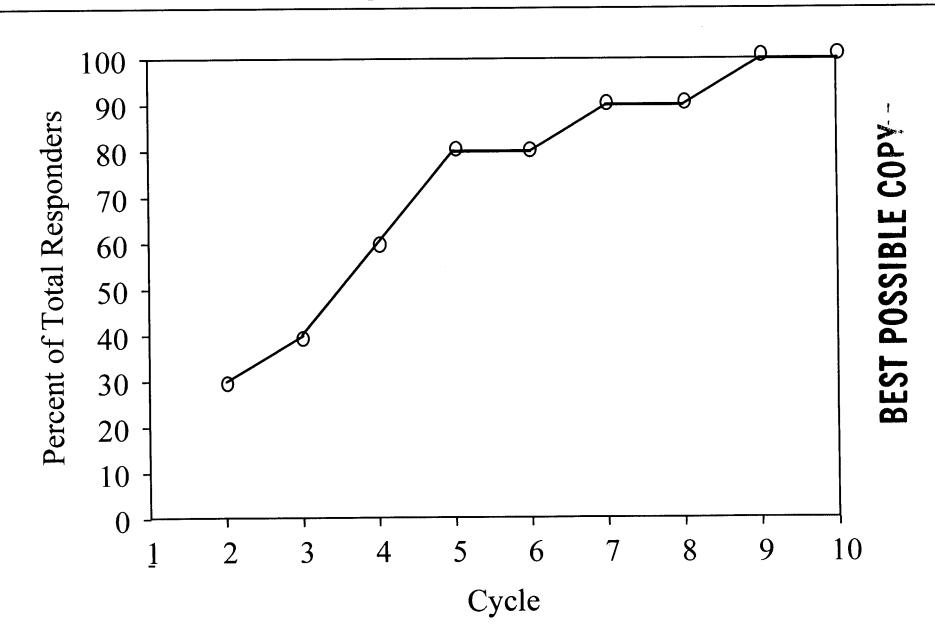
Tumor Response by Protease Inhibitor⁶³ (PI) Use Subsets of Patients

| Group | X/N (%) |
|---|---------------|
| 1. No use of PI in First 10 Cycles | 15/29 (51.7%) |
| 2. Used during Study at Least 8 Cycles | 10/21 (47.6%) |
| 3. Used < 8 Cycles | 21/39 (53.8%) |
| 4. Tumor Response before use of PI | 14/39 (35.9%) |
| 5. Tumor Response after start of PI | 7/39 (17.9%) |
| 6. Total Response after start of PI (Sum 2 and 5) | 17/60 (28.3%) |
| 7. Total Response before use of PI (Sum 1 and 4) | 29/68 (42.6%) |

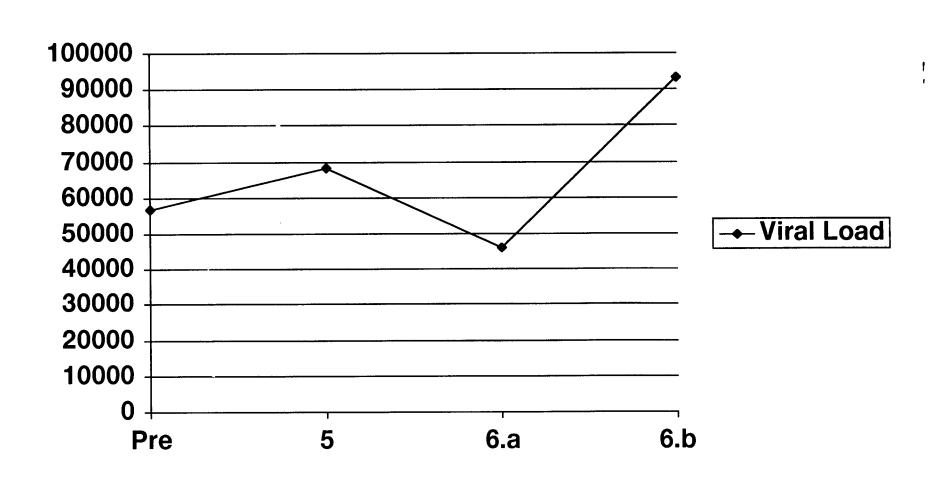
Cycle of First Response for Patients ** 65 Not Using Protease Inhibitor



Cycle of First Response for Patients Using Protease Inhibitor

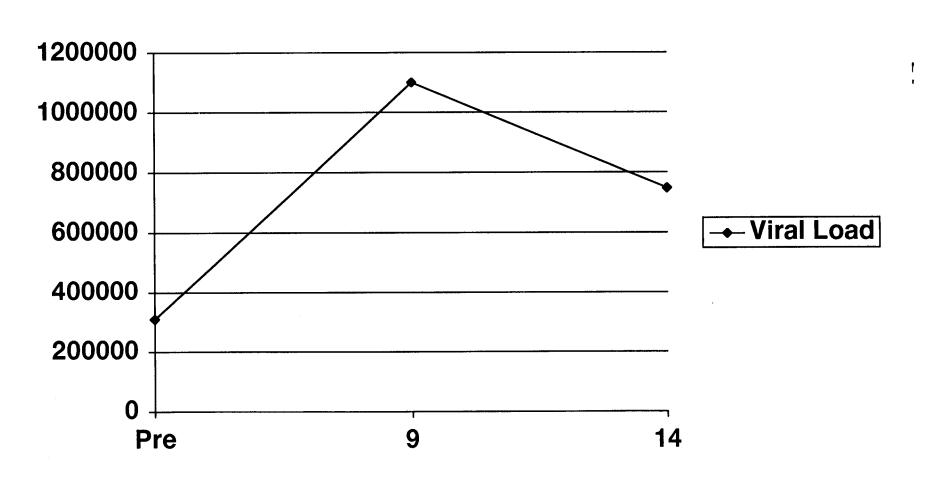


Patient # 856



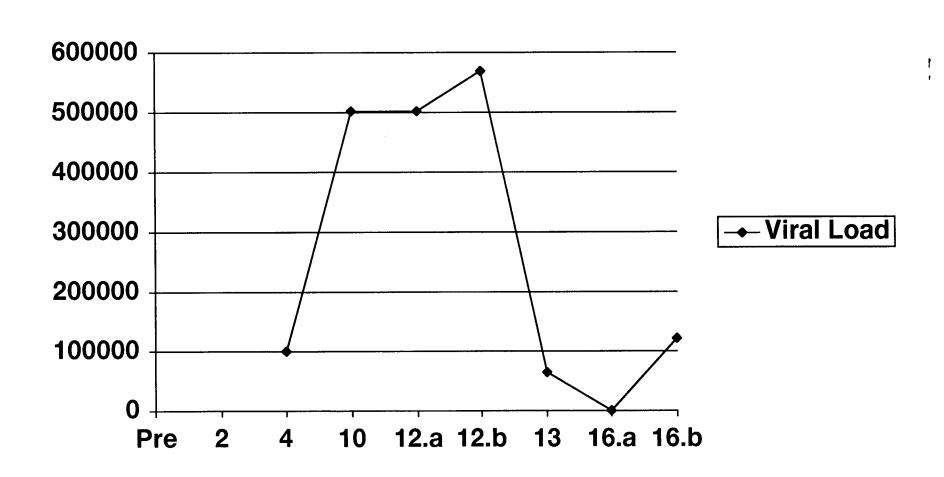
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Patient # 681



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Patient # 683



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