Archived Information

DATED MATERIAL - OPEN IMMEDIATELY Closing Date: November 14, 2005

Fiscal Year 2006

Grant Application for the

NATIONAL RESOURCE CENTERS

AND

FOREIGN LANGUAGE AND AREA STUDIES FELLOWSHIPS PROGRAMS
CFDA No. 84.015 A & B

OMB No. 1840-0068 Expiration Date: 09/30/2007



International Education Programs Service
U.S. Department of Education
Washington, DC 20202

CHECKLIST FOR APPLICATION PACKAGE

[]	Th	ne original application and two copies must include each of the following:
ori	gin		ion Department General Administrative Regulations (EDGAR), section 75.109(a), requires the applicant to submit an and two copies of its application to the Department. <u>However, IEPS appreciates receiving three copies for the three rs</u> .
ı	[]	Application for Federal Assistance (ED 424) with original signature of the applicant's authorizing official
ı	[]	ED Form No.524 (Budget Information - Non-Construction Programs)
I	[] [ndirect cost rate information is provided in the comment section of ED 524.
I	[]	Detailed budget for each year of the grant
ı	[]	Assurances, Certifications, and Disclosures, all signed and dated
ı	[]	World Area Selection Sheet
ı	[]	Abstract (1 page)
I	[]	Application Narrative
1	[]	Appendices (3): Timeline, Course List, and Biographical Information
ı	[]	Information that addresses Section 427 of GEPA
] 4	A c	юр	y of the application has been submitted to the State Single Point of Contact, if intergovernmental review is required.
]	The	e ir	estitution's correct DUNS number is entered on form ED 424.
An	ı el	ect	ronic version of this application can be found at http://www.ed.gov/programs/iegpsnrc/index.html

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National Resource Centers (NRC) and Foreign Language and Area Studies Fellowships (FLAS) Programs

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SECTION A



UNITED STATES DEPARTMENT OF EDUCATION WASHINGTON, DC 20202

Dear Applicant:

Thank you for your interest in the Title VI National Resource Centers (NRC) and Foreign Language and Area Studies (FLAS) Fellowships Programs. This booklet includes the instructions and official forms needed to prepare an application package for Fiscal Years (FY) 2006-09. Also provided is the Technical Review Form (selection criteria) to assist you in developing the narrative portion of your application.

In preparing your NRC Program application, be advised that the Absolute Priority for this competition is: projects that include teacher training activities on the language, languages, area studies, or thematic focus of the center. The Department of Education is inviting only those applications that address this Absolute Priority.

Within the absolute priority for the NRC Program competition, we are announcing one competitive preference priority. Additionally, for the FLAS Program we are announcing two competitive preference priorities. As the name suggests, the Department of Education will award up to an additional ten points to an NRC Program application and an additional ten points to a FLAS Program application, depending on the extent to which the applications address the following competitive priorities:

- NRC Program Competitive Preference Priority Activities designed to demonstrate the quality of the center's or program's language instruction through the measurement of student proficiency in the less and least commonly taught languages;
- <u>FLAS Program Competitive Preference Priority 1</u> The Secretary will award up to five additional points to eligible applicants that plan to offer fellowships in the less and least commonly taught languages to students who are pursuing advanced level language proficiency; and
- <u>FLAS Program Competitive Preference Priority 2</u> The Secretary will award up to five additional points to eligible applicants that plan to offer fellowships to master's degree students who are more likely to pursue government service or enter a professional field.

As with the previous NRC grant competition, we are particularly interested in applications that address the following invitational priorities, including:

- NRC Invitational Priority 1 Activities designed to promote undergraduate language learning through two or more continuous years in the less or least commonly taught languages;
- NRC Invitational Priority 2 Activities designed to increase the number of specialists trained in areas that are vital to United States national security, such as Islamic societies;
- NRC Invitational Priority 3 Linkages with schools of education designed to improve teacher training in foreign languages or area or international studies with an emphasis on the less commonly taught languages and areas of the world where those languages are spoken;

NRC Invitational Priority 4 – Collaboration with Title VI Language Resource Centers, Centers for International Business Education, and American Overseas Research Centers, with the objective of increasing the nation's capacity to train and produce Americans with advanced

proficiency of the less and least commonly taught languages, along with an understanding of the societies in which those languages are spoken; and

• NRC Invitational Priority 5 – Activities that expand and enhance outreach to K-12 constituencies.

Applications that include invitational priority activities are not given a competitive or absolute preference over other applications.

If you need technical assistance or have questions regarding the instructions, the NRC and FLAS Team program officers are available to help you. Contact information is contained in this application booklet.

We look forward to receiving your application and appreciate your efforts to promote excellence in international education.

Sincerely,

Wilbert Bryant
Deputy Assistant Secretary
for Higher Education Programs

NATIONAL RESOURCE CENTERS AND

FOREIGN LANGUAGE AND AREA STUDIES FELLOWSHIPS PROGRAMS

CFDA 84.015A&B

OVERVIEW

AUTHORIZATION

Title VI of the Higher Education Act of 1965 as amended, Part A Sections 601 and 602.

PURPOSE

Grants are made to institutions of higher education and consortia of institutions of higher education for general assistance in strengthening nationally-recognized centers of excellence in foreign language and area or international studies and for fellowship assistance to meritorious graduate students undergoing training in modern foreign languages and related area or international studies.

PROGRAM DESCRIPTION

The National Resource Centers (NRC) program permits institutions to request funding either as Comprehensive Centers (including undergraduate, graduate, and professional school components) or as Undergraduate Centers (as defined in 34 Code of Federal Regulations Section 656.7). NRC applications are evaluated on the strength of their instructional programs and proposed activities for further development of the program. Foreign Language and Area Studies (FLAS) Fellowship program applications are submitted also by institutions and are evaluated on the strength of their instructional programs as well as their plans for awarding FLAS fellowships. Institutions applying for funding under both programs submit a single application addressing the selection criteria for both programs. The selection criteria are found in the program regulations 34 CFR Section 656.21 (a)-(j) for a comprehensive NRC; 34 CFR Section 656.22(a)-(j) for an undergraduate NRC; and 34 CFR Section 657.21(a)-(i) for FLAS fellowships.

ELIGIBLE APPLICANTS

Institutions of higher education or consortia of institutions of higher education

KEY DATES

- Closing date: November 14, 2005
- Anticipated award date: **May 31, 2006** (Note: The award date is anticipated only; the U.S. Department of Education is not bound by an anticipated award date.)
- Grant period: August 15, 2006 to August 14, 2010 (Note: Funding for each year is contingent on the availability of funds and substantial progress during the award period.)

CONTACT PERSONS

Program Officer	Area of Responsibility	<u>Telephone</u>
Cheryl Gibbs	Asia, Pacific Islands	202/502-7634
Ed McDermott	Middle East, Inner Asia	202/502-7636
Rod Schwartz	West Europe, Russia and East Europe	202/502-7805
Sara Starke	Europe and Russia	202/502-7688
Karla Ver Bryck Block	Africa	202/502-7632
Amy Wilson	Canada, International Studies, Latin America	202/502-7689

ESTIMATED FUNDING LEVELS

(Note: The U.S. Department of Education is not bound by the estimates given below.)

• Total amounts estimated for FY 2006: \$28,950,000 for NRC grants

\$29,129,500 for FLAS grants

Estimated number of institutional grants:
 120 NRC grants

124 FLAS grants

Estimated funding range: \$192,000-\$349,000 for NRC grants

Estimated number of fellowships
 2-10 Academic year and summer awards per

FLAS grant

LIMITATIONS ON USE OF FUNDS

FLAS PROGRAM

 Grants awarded under the FLAS Fellowship program are for fellowships only. A fellowship consists of a standard institutional payment (in lieu of tuition and fees) and a subsistence allowance. If an institution's tuition rate is lower than the standard institutional payment, the difference between actual tuition and fees and the institutional payment must be used to fund additional fellowships to the extent that funds are available for a full subsistence allowance.

Fellowship estimated amounts for FY2006 FLAS grants are listed below. These fellowship amounts might be increased for the FY 2007, FY 2008 and FY 2009 budget periods; however, when you prepare the FLAS budgets for the FY 2006 – 09 performance period, use the FY 2006 estimated amounts listed in the chart below.

	Academic Year Award	Summer Award
Institutional Payment	\$12,000 (est.)	\$4,000 (est.)
Subsistence Allowance	\$15,000 (est.)	\$2,500 (est.)
Total	\$27,000 (est.)	\$6,500 (est.)
Travel Award (optional)	Not allowed	Actual price of ticket or up to \$1,000, whichever is less

Travel awards may be made for the following purposes:

- (a) To defray a summer FLAS recipient's travel costs when attending a language program at a site other than at the grantee institution, or
- (b) To bring a summer FLAS awardee enrolled at another institution to the grantee's program.
- NOTE: Indirect costs may not be charged to the FLAS grant.

NRC PROGRAM

- Equipment costs exceeding 10% of an NRC grant are not allowable.
- Grant funds may not be used to supplant institutional funds.
- Indirect costs may not exceed 8% of the total NRC grant.

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DEPARTMENT OF EDUCATION

Office of Postsecondary Education

Overview Information

National Resource Centers (NRC) Program for Foreign Language and Area Studies or Foreign Language and International Studies

Program and Foreign Language and Area Studies (FLAS) Fellowships

Program

Notice inviting applications for new awards for fiscal year (FY) 2006.

Catalog of Federal Domestic Assistance (CFDA) Number: 84.015A and 84.015B

Dates:

Applications Available: October 14, 2005.

Deadline for Transmittal of Applications: See the chart listed under section IV. Application and Submission Information, 3. Submission Dates and Times (chart).

Deadline for Intergovernmental Review: See chart.

Eligible Applicants: (1) Institutions of higher education; and (2) Consortia of institutions of higher education that meet the eligibility requirements in the regulations for the NRC and FLAS programs.

Estimated Available Funds: The Administration has requested \$28,950,000 for the NRC program and \$29,129,500 for the FLAS program for FY 2006. The actual level of funding, if any, depends on final congressional action. However, we are inviting

applications to allow enough time to complete the grant process, if Congress appropriates funds for these programs.

Estimated Range of Awards: \$192,000-\$349,000 per year for the NRC program and \$39,000-\$377,000 per year for the FLAS program.

Estimated Average Size of Awards: \$241,251 per year for the NRC program and \$234,915 per year for the FLAS program.

Estimated Number of Awards: 120 NRC awards and 124 FLAS awards.

We estimate that the 124 FLAS awards will yield 926 academic year fellowships and 635 summer fellowships.

Note: Information concerning the FLAS program subsistence allowance and institutional payment is provided elsewhere in this notice in section II Award Information.

Note: The Department is not bound by any estimates in this notice.

Project Period: Up to 48 months.

Full Text of Announcement

I. Funding Opportunity Description

Purpose of Program: The NRC program makes awards to institutions of higher education or consortia of these institutions for establishing or strengthening nationally recognized foreign language and area or international studies centers or programs. NRC awards are used to support undergraduate centers or comprehensive centers, which include undergraduate, graduate and professional school components.

The FLAS program provides allocations of fellowships to institutions of higher education or consortia of these

institutions to assist meritorious students undergoing graduate training in modern foreign languages and related area or international studies.

<u>Priorities</u>: In accordance with 34 CFR 75.105(b)(2)(ii), these priorities are from the regulations for the NRC program (34 CFR 656.23(a)(4)) and for the FLAS program (34 CFR 657.22(a)(7)).

<u>NRC Program Absolute Priority</u>: For FY 2006 this priority is an absolute priority. Under 34 CFR 75.105(c)(3) we consider only applications that meet this priority.

This priority is:

Projects that include teacher training activities on the language, languages, area studies, or thematic focus of the center.

NRC Program Competitive Preference Priority: For FY 2006 this priority is a competitive preference priority. Under 34 CFR 75.105(c)(2)(i) we award up to an additional ten points to an application, depending on the extent to which the application meets this priority.

This priority is:

Activities designed to demonstrate the quality of the center's or program's language instruction through the measurement of student proficiency in the less and least commonly taught languages.

Within the absolute priority and competitive preference priority, we are particularly interested in applications that address the following invitational priorities.

NRC Program Invitational Priorities: For FY 2006 these priorities are invitational priorities. Under 34 CFR 75.105(c)(1) we do not give an application that meets these invitational priorities a competitive or absolute preference over other applications.

These priorities are:

NRC Invitational Priority 1

Activities designed to promote undergraduate language learning through two or more continuous years in the less or least commonly taught languages.

NRC Invitational Priority 2

Activities designed to increase the number of specialists trained in areas that are vital to United States national security, such as Islamic societies.

NRC Invitational Priority 3

Linkages with schools of education designed to improve teacher training in foreign languages or area or international studies with an emphasis on the less commonly taught languages and areas of the world where those languages are spoken.

NRC Invitational Priority 4

Collaboration with Title VI Language Resource Centers,

Centers for International Business Education, and American

Overseas Research Centers, with the objective of increasing the nation's capacity to train and produce Americans with advanced proficiency of the less and least commonly taught languages,

along with an understanding of the societies in which those languages are spoken.

NRC Invitational Priority 5

Activities that expand and enhance outreach to $\mbox{K-}12$ constituencies.

FLAS Program Competitive Preference Priorities: For FY 2006 these priorities are competitive preference priorities. Under 34 CFR 75.105(c)(2)(i) we award up to an additional ten points to an application, depending on the extent to which the application meets these priorities.

These priorities are:

FLAS Competitive Preference Priority 1

The Secretary will award up to five additional points to eligible applicants that plan to offer fellowships in the less and least commonly taught languages to students who are pursuing advanced level language proficiency.

FLAS Competitive Preference Priority 2

The Secretary will award up to five additional points to eligible applicants that plan to offer fellowships to master's degree students who are more likely to pursue government service or enter a professional field.

Program Authority: 20 U.S.C. 1122.

Applicable Regulations: (a) The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 74, 75, 77, 79, 82, 84, 85, 86, 97, 98 and 99. (b) The General Provisions for International Education Programs in 34 CFR part 655. (c) The

regulations for the NRC program in 34 CFR part 656. (d) The regulations for the FLAS program in 34 CFR part 657.

Note: The regulations in 34 CFR part 79 apply to all applications except federally recognized Indian tribes.

II. Award Information

Type of Award: Discretionary grants.

Estimated Available Funds: The Administration has requested \$28,950,000 for the NRC program and \$29,129,500 for the FLAS program for FY 2006. The actual level of funding, if any, depends on final congressional action. However, we are inviting applications to allow enough time to complete the grant process, if Congress appropriates funds for these programs.

Estimated Range of Awards: \$192,000-\$349,000 per year for the NRC program and \$39,000-\$377,000 per year for the FLAS program.

Estimated Average Size of Awards: \$241,251 per year for the NRC program and \$234,915 per year for the FLAS program.

Estimated FLAS Program Subsistence Allowance: The subsistence allowance for an academic year 2006-2007 fellowship is \$15,000, and the subsistence allowance for a summer 2007 fellowship is \$2,500.

Estimated FLAS Program Institutional Payment: The institutional payment in lieu of tuition for an academic year 2006-2007 fellowship is \$12,000, and the institutional payment in lieu of tuition for a summer 2007 fellowship is \$4,000.

Estimated Number of Awards: 120 NRC awards and 124 FLAS awards. We estimate that the 124 FLAS awards will yield 926 academic year fellowships and 635 summer fellowships.

 $\underline{\text{Note}}$: The Department is not bound by any estimates in this notice.

Project Period: Up to 48 months.

III. Eligibility Information

- 1. Eligible Applicants: (1) Institutions of higher education; and (2) Consortia of institutions of higher education that meet the eligibility requirements in the program regulations for the NRC and FLAS programs.
- 2. <u>Cost Sharing or Matching</u>: These programs do not involve cost sharing or matching.

IV. Application and Submission Information

1. Address to Request Application Package: You may obtain an application package via the Internet by downloading the package from the program Web site:

http://www.ed.gov/HEP/iegps

If you do not have access to the Internet, you may contact Carla White, International Education Programs Service, U.S.

Department of Education, at (202) 502-7631 to request a paper copy of the package.

If you use a telecommunications device for the deaf (TDD), you may call the Federal Relay Service (FRS) at 1-800-877-8339.

Individuals with disabilities may obtain a copy of the application package in an alternative format (e.g., Braille,

large print, audiotape, or computer diskette) by contacting the program contact person listed in this section.

2. Content and Form of Application Submission:

Requirements concerning the content of an application, together with the forms you must submit, are in the application package for these programs.

Page Limit: The application narrative is where you, the applicant, address the selection criteria that reviewers use to evaluate your application. You must limit the narrative to the equivalent of no more than 40 pages for a single institution application or the equivalent of no more than 50 pages for a consortium application, using the following standards:

A "page" is 8.5" x 11", on one side only, with 1" margins at the top, bottom, and both sides.

- Double space (no more than three lines per vertical inch) all text in the application narrative, including titles, headings, footnotes, quotations, references, and captions.

 However, you may single space all text in charts, tables, figures and graphs.
- Use a font that is either 12-point or larger or no smaller than 10 pitch (characters per inch). However, you may use a 10-point font in charts, tables, figures, and graphs.
- Use one of the following fonts: Times New Roman, Courier, Courier New or Arial. Applications submitted in any other font (including Times Roman, Arial Narrow) will be rejected.

Section C of the application package provides instructions about the application narrative. The narrative must include your complete response to the selection criteria.

The page limit does not apply to the cover sheet; the budget section, including the narrative budget justification; the assurances and certifications; the one-page abstract; or the appendices.

We will reject your application if--

- You apply these standards and exceed the page limit; or
- You apply other standards and exceed the equivalent of the page limit.

3. Submission Dates and Times:

Applications Available: October 14, 2005.

Deadline for Transmittal of Applications: In light of the damage caused by Hurricanes Katrina and Rita we are establishing two separate deadlines for the submission of applications for grants under this competition to permit potential applicants affected by Hurricanes Katrina and/or Rita additional time to submit their applications. We are establishing a General Deadline for all applicants, and an Extended Deadline for potential applicants who have been affected by Hurricanes Katrina and/or Rita and are located in Louisiana, Texas, Alabama, Mississippi, and Florida. Specifically, the Extended Deadline applies only to: (1) institutions of higher education, SEAs, LEAs, non-profit organizations and other public or private

organization applicants that are located in afederally-declared disaster area as determined by the Federal Emergency Management Agency (FEMA) (see http://www.fema.gov/news/disasters.fema) and that were adversely affected by Hurricanes Katrina and/or Rita, and (2) individual applicants who reside or resided, on the disaster declaration date, in a federally-declared disaster area as determined by FEMA (see

http://www.fema.gov/news/disasters.fema) and were adversely
affected by Hurricanes Katrina and/or Rita. These applicants
must provide a certification in their application that they meet
the criteria for submitting an application on the Extended

Deadline, and be prepared to provide appropriate supporting
documentation, if requested. If the applicant is submitting the
application electronically, submission of the application serves
as the applicant's attestation that they meet the criteria for
submitting an application on the Extended Deadline.

The following chart provides the applicable deadlines for the submission of applications. If this program is subject to Executive Order 12372, the relevant deadline for intergovernmental review is also indicated in the chart.

	Intergovernmental Review

General Deadline:	11/14/05	1/13/06
<pre>Extended Deadline:</pre>	12/1/05	2/1/06

Applications for grants under this competition must be submitted by mail or hand delivery. For information (including dates and times) about how to submit your application by mail or hand delivery, please refer to section IV. 6. Other Submission Requirements in this notice.

We do not consider an application that does not comply with the deadline requirements.

Deadline for Intergovernmental Review: See chart.

- 4. <u>Intergovernmental Review</u>: These programs are subject to Executive Order 12372 and the regulations in 34 CFR part 79. Information about Intergovernmental Review of Federal Programs under Executive Order 12372 is in the application package for these programs.
- 5. <u>Funding Restrictions</u>: We reference regulations outlining funding restrictions in the <u>Applicable Regulations</u> section of this notice.
- 6. Other Submission Requirements: Applications for grants under these programs must be submitted in paper format by mail or hand delivery.
 - a. Submission of Applications by Mail.

If you submit your application by mail (through the U.S. Postal Service or a commercial carrier, you must mail the original and two copies of your application, on or before the application deadline date, to the Department at the applicable following address:

By mail through the U.S. Postal Service:

U.S. Department of Education Application Control Center Attention: (CFDA Number 84.015A and 84.015B) 400 Maryland Avenue, SW. Washington, DC 20202-4260

or

By mail through a commercial carrier:

U.S. Department of Education Application Control Center- Stop 4260 Attention: (CFDA Number 84.015A and 84.015B) 7100 Old Landover Road Landover, MD 20785-1506

Regardless of the address you use, you must show proof of mailing consisting of one of the following:

- (1) A legibly dated U.S. Postal Service postmark,
- (2) A legible mail receipt with the date of mailing stamped by the U.S. Postal Service,
- (3) A dated shipping label, invoice, or receipt from a commercial carrier, or
- (4) Any other proof of mailing acceptable to the Secretary of the U.S. Department of Education.

If you mail your application through the U.S. Postal Service, we do not accept either of the following as proof of mailing:

(1) A private metered postmark, or

(2) A mail receipt that is not dated by the U.S. Postal Service.

If your application is postmarked after the application deadline date, we will not consider your application.

Note: The U.S. Postal Service does not uniformly provide a dated postmark. Before relying on this method, you should check with your local post office.

b. Submission of Applications by Hand Delivery.

If you submit your application by hand delivery, you (or a courier service) must deliver the original and two copies of your application, by hand, on or before the application deadline date, to the Department at the following address:

U.S. Department of Education Application Control Center Attention: (CFDA Number 84.015A and 84.015B) 550 12th Street, SW. Room 7041, Potomac Center Plaza Washington, DC 20202-4260

The Application Control Center accepts hand deliveries daily between 8:00 a.m. and 4:30 p.m., Washington, DC time, except Saturdays, Sundays, and Federal holidays.

Note for Mail or Hand Delivery of Paper Applications: If you mail or hand deliver your application to the Department:

- (1) You must indicate on the envelope and if not provided by the Department in Item 4 of the ED 424 the CFDA number and suffix letter, if any of the competition under which you are submitting your application.
- (2) The Application Control Center will mail a grant application receipt acknowledgement to you. If you do not receive the grant application receipt acknowledgement within 15

business days from the application deadline date, you should call the U.S. Department of Education Application Control Center at (202) 245-6288.

V. Application Review Information

Selection Criteria: The selection criteria for a comprehensive center under the NRC program are from 34 CFR 656.21. In general, the Secretary awards up to 155 possible points for these criteria. However, if the criterion from section 656.21(j) is used, the Secretary awards up to 165 possible points. The maximum possible points for each criterion are shown in parentheses.

(a) Program planning and budget. (20 points) The Secretary reviews each application to determine— (1) The extent to which the activities for which the applicant seeks funding are of high quality and directly related to the purpose of the National Resource Centers Program (5 points); (2) The extent to which the applicant provides a development plan or timeline demonstrating how the proposed activities will contribute to a strengthened program and whether the applicant uses its resources and personnel effectively to achieve the proposed objectives (5 points); (3) The extent to which the costs of the proposed activities are reasonable in relation to the objectives of the program (5 points); and (4) The long-term impact of the proposed activities on the institution's undergraduate, graduate, and professional training programs (5 points).

- (b) Quality of staff resources. (15 points) The Secretary reviews each application to determine -- (1) The extent to which teaching faculty and other staff are qualified for the current and proposed Center activities and training programs, are provided professional development opportunities (including overseas experience), and participate in teaching, supervising, and advising students (5 points); (2) The adequacy of Center staffing and oversight arrangements, including outreach and administration and the extent to which faculty from a variety of departments, professional schools, and the library are involved (5 points); and (3) The extent to which the applicant, as part of its nondiscriminatory employment practices, encourages applications for employment from persons who are members of groups that have been traditionally underrepresented, such as members of racial or ethnic minority groups, women, persons with disabilities, and the elderly (5 points).
- (c) Impact and evaluation. (25 points) The Secretary reviews each application to determine— (1) The extent to which the Center's activities and training programs have a significant impact on the university, community, region, and the Nation as shown through indices such as enrollments, graduate placement data, participation rates for events, and usage of Center resources; and the extent to which the applicant supplies a clear description of how the applicant will provide equal access and treatment of eligible project participants who are members of groups that have been traditionally underrepresented, such as

members of racial or ethnic minority groups, women, persons with disabilities, and the elderly (10 points); and (2) The extent to which the applicant provides an evaluation plan that is comprehensive and objective and that will produce quantifiable, outcome-measure-oriented data; and the extent to which recent evaluations have been used to improve the applicant's program (15 points).

- (d) Commitment to the subject area on which the Center focuses. (10 points) The Secretary reviews each application to determine the extent to which the institution provides financial and other support to the operation of the Center, teaching staff for the Center's subject area, library resources, linkages with institutions abroad, outreach activities, and qualified students in fields related to the Center.
- (e) Strength of library. (15 points) The Secretary reviews each application to determine— (1) The strength of the institution's library holdings (both print and non-print, English and foreign language) in the subject area and at the educational levels (graduate, professional, undergraduate) on which the Center focuses; and the extent to which the institution provides financial support for the acquisition of library materials and for library staff in the subject area of the Center (10 points); and (2) The extent to which research materials at other institutions are available to students through cooperative arrangements with other libraries or on-line databases and the extent to which teachers, students, and

faculty from other institutions are able to access the library's holdings (5 points).

- (f) Quality of the Center's non-language instructional program. (20 points) The Secretary reviews each application to determine— (1) The quality and extent of the Center's course offerings in a variety of disciplines, including the extent to which courses in the Center's subject matter are available in the institution's professional schools (5 points); (2) The extent to which the Center offers depth of specialized course coverage in one or more disciplines of the Center's subject area (5 points); (3) The extent to which the institution employs a sufficient number of teaching faculty to enable the Center to carry out its purposes and the extent to which instructional assistants are provided with pedagogy training; and (4) The extent to which interdisciplinary courses are offered for undergraduate and graduate students. The Secretary is assigning a total of ten points to factors (3) and (4).
- (g) Quality of the Center's language instructional program.

 (20 points) The Secretary reviews each application to determine—

 (1) The extent to which the Center provides instruction in the languages of the Center's subject area and the extent to which students enroll in the study of the languages of the subject area through programs or instruction offered by the Center or other providers (5 points); (2) The extent to which the Center provides three or more levels of language training and the extent to which courses in disciplines other than language,

linguistics, and literature are offered in appropriate foreign languages (5 points); (3) Whether sufficient numbers of language faculty are available to teach the languages and levels of instruction described in the application and the extent to which language teaching staff (including faculty and instructional assistants) have been exposed to current language pedagogy training appropriate for performance-based teaching (5 points); and (4) The quality of the language program as measured by the performance-based instruction being used or developed, the adequacy of resources for language teaching and practice, and language proficiency requirements (5 points).

(h) Quality of curriculum design. (10 points) The Secretary reviews each application to determine -- (1) The extent to which the Center's curriculum has incorporated undergraduate instruction in the applicant's area or topic of specialization into baccalaureate degree programs (for example, major, minor, or certificate programs) and the extent to which these programs and their requirements (including language requirements) are appropriate for a Center in this subject area and will result in an undergraduate training program of high quality (5 points); (2) The extent to which the Center's curriculum provides training options for graduate students from a variety of disciplines and professional fields and the extent to which these programs and their requirements (including language requirements) are appropriate for a Center in this subject area and result in graduate training programs of high quality; and

- (3) The extent to which the Center provides academic and career advising services for students; the extent to which the Center has established formal arrangements for students to conduct research or study abroad and the extent to which these arrangements are used; and the extent to which the institution facilitates student access to other institutions' study abroad and summer language programs. The Secretary is assigning a total of five points to factors (2) and (3).
- (i) Outreach activities. (20 points) The Secretary reviews each application to determine the extent to which the Center demonstrates a significant and measurable regional and national impact of, and faculty and professional school involvement in, domestic outreach activities that involve— (1) Elementary and secondary schools (10 points); (2) Postsecondary institutions (5 points); and (3) Business, media, and the general public (5 points).
- (j) Degree to which priorities are served (10 points): If, under the provisions of Sec. 656.23, the Secretary establishes competitive priorities for Centers, the Secretary considers the degree to which these priorities are being served.

The selection criteria for an undergraduate center under the NRC program are from 34 CFR 656.22. In general, the Secretary awards up to 155 possible points for these criteria. However, if the criterion from section 656.22(j) is used, the Secretary awards up to 165 possible points. The maximum possible points for each criterion are shown in parentheses.

- (a) Program planning and budget. (20 points) The Secretary reviews each application to determine— (1) The extent to which the activities for which the applicant seeks funding are of high quality and directly related to the purpose of the National Resource Centers Program (5 points); (2) The extent to which the applicant provides a development plan or timeline demonstrating how the proposed activities will contribute to a strengthened program and whether the applicant uses its resources and personnel effectively to achieve the proposed objectives (5 points); (3) The extent to which the costs of the proposed activities are reasonable in relation to the objectives of the program (5 points); and (4) The long-term impact of the proposed activities on the institution's undergraduate training program (5 points).
- (b) Quality of staff resources. (15 points) The Secretary reviews each application to determine— (1) The extent to which teaching faculty and other staff are qualified for the current and proposed Center activities and training programs, are provided professional development opportunities (including overseas experience), and participate in teaching, supervising, and advising students (5 points); (2) The adequacy of Center staffing and oversight arrangements, including outreach and administration and the extent to which faculty from a variety of departments, professional schools, and the library are involved (5 points); and (3) The extent to which the applicant, as part of its nondiscriminatory employment practices, encourages

applications for employment from persons who are members of groups that have been traditionally underrepresented, such as members of racial or ethnic minority groups, women, persons with disabilities, and the elderly (5 points).

- (c) Impact and evaluation. (25 points) The Secretary reviews each application to determine -- (1) The extent to which the Center's activities and training programs have a significant impact on the university, community, region, and the Nation as shown through indices such as enrollments, graduate placement data, participation rates for events, and usage of Center resources; the extent to which students matriculate into advanced language and area or international studies programs or related professional programs; and the extent to which the applicant supplies a clear description of how the applicant will provide equal access and treatment of eligible project participants who are members of groups that have been traditionally underrepresented, such as members of racial or ethnic minority groups, women, persons with disabilities, and the elderly (10 points); and (2) The extent to which the applicant provides an evaluation plan that is comprehensive and objective and that will produce quantifiable, outcome-measureoriented data; and the extent to which recent evaluations have been used to improve the applicant's program (15 points).
- (d) Commitment to the subject area on which the Center focuses. (10 points) The Secretary reviews each application to determine the extent to which the institution provides financial

and other support to the operation of the Center, teaching staff for the Center's subject area, library resources, linkages with institutions abroad, outreach activities, and qualified students in fields related to the Center.

- (e) Strength of library. (15 points) The Secretary reviews each application to determine— (1) The strength of the institution's library holdings (both print and non-print, English and foreign language) in the subject area and at the educational levels (graduate, professional, undergraduate) on which the Center focuses; and the extent to which the institution provides financial support for the acquisition of library materials and for library staff in the subject area of the Center (10 points); and (2) The extent to which research materials at other institutions are available to students through cooperative arrangements with other libraries or on-line databases and the extent to which teachers, students, and faculty from other institutions are able to access the library's holdings (5 points).
- (f) Quality of the Center's non-language instructional program. (20 points) The Secretary reviews each application to determine— (1) The quality and extent of the Center's course offerings in a variety of disciplines (5 points); (2) The extent to which the Center offers depth of specialized course coverage in one or more disciplines of the Center's subject area (5 points); (3) The extent to which the institution employs a sufficient number of teaching faculty to enable the Center to

carry out its purposes and the extent to which instructional assistants are provided with pedagogy training; and (4) The extent to which interdisciplinary courses are offered for undergraduate students. The Secretary is assigning a total of ten points to factors (3) and (4).

(q) Quality of the Center's language instructional program. (20 points) The Secretary reviews each application to determine-- (1) The extent to which the Center provides instruction in the languages of the Center's subject area and the extent to which students enroll in the study of the languages of the subject area through programs offered by the Center or other providers (5 points); (2) The extent to which the Center provides three or more levels of language training and the extent to which courses in disciplines other than language, linguistics, and literature are offered in appropriate foreign languages (5 points); (3) Whether sufficient numbers of language faculty are available to teach the languages and levels of instruction described in the application and the extent to which language teaching staff (including faculty and instructional assistants) have been exposed to current language pedagogy training appropriate for performance-based teaching (5 points); and (4) The quality of the language program as measured by the performance-based instruction being used or developed, the adequacy of resources for language teaching and practice, and language proficiency requirements (5 points).

- (h) Quality of curriculum design. (10 points) The Secretary reviews each application to determine -- (1) The extent to which the Center's curriculum has incorporated undergraduate instruction in the applicant's area or topic of specialization into baccalaureate degree programs (for example, major, minor, or certificate programs) and the extent to which these programs and their requirements (including language requirements) are appropriate for a Center in this subject area and will result in an undergraduate training program of high quality (5 points); and (2) The extent to which the Center provides academic and career advising services for students; the extent to which the Center has established formal arrangements for students to conduct research or study abroad and the extent to which these arrangements are used; and the extent to which the institution facilitates student access to other institutions' study abroad and summer language programs (5 points).
- (i) Outreach activities. (20 points) The Secretary reviews each application to determine the extent to which the Center demonstrates a significant and measurable regional and national impact of, and faculty and professional school involvement in, domestic outreach activities that involve (1) Elementary and secondary schools (10 points); (2) Postsecondary institutions (5 points); and (3) Business, media and the general public (5 points).
- (j) Degree to which priorities are served (10 points): If, under the provisions of Sec. 656.23, the Secretary establishes

competitive priorities for Centers, the Secretary considers the degree to which these priorities are being served.

The selection criteria used in selecting institutions for an allocation of fellowships under the FLAS program are from 34 CFR 657.21. The Secretary evaluates an application for an allocation of fellowships on the basis of the quality of the applicant's Center or program. In general, the Secretary awards up to 140 possible points for these criteria. However, if priority criteria are used, the Secretary awards up to 150 possible points. The maximum possible points for each criterion are shown in parentheses.

- (a) Foreign language and area studies fellowships awardee selection procedures. (15 points) The Secretary reviews each application to determine whether the selection plan is of high quality, showing how awards will be advertised, how students apply, what selection criteria are used, who selects the fellows, when each step will take place, and how the process will result in awards being made to correspond to any announced priorities.
- (b) Quality of staff resources. (15 points) The Secretary reviews each application to determine— (1) The extent to which teaching faculty and other staff are qualified for the current and proposed activities and training programs, are provided professional development opportunities (including overseas experience), and participate in teaching, supervising, and advising students (5 points); (2) The adequacy of applicant

staffing and oversight arrangements and the extent to which faculty from a variety of departments, professional schools, and the library are involved (5 points); and (3) The extent to which the applicant, as part of its nondiscriminatory employment practices, encourages applications for employment from persons who are members of groups that have been traditionally underrepresented, such as members of racial or ethnic minority groups, women, persons with disabilities, and the elderly (5 points).

(c) Impact and evaluation. (25 points) The Secretary reviews each application to determine-- (1) The extent to which the applicant's activities and training programs have contributed to an improved supply of specialists on the program's subject as shown through indices such as graduate enrollments and placement data; and the extent to which the applicant supplies a clear description of how the applicant will provide equal access and treatment of eligible project participants who are members of groups that have been traditionally underrepresented, such as members of racial or ethnic minority groups, women, persons with disabilities, and the elderly (20 points); and (2) The extent to which the applicant provides an evaluation plan that is comprehensive and objective and that will produce quantifiable, outcome-measureoriented data; and the extent to which recent evaluations have been used to improve the applicant's program (5 points).

- (d) Commitment to the subject area on which the applicant or program focuses. (10 points) The Secretary reviews each application to determine— (1) The extent to which the institution provides financial and other support to the operation of the applicant, teaching staff for the applicant's subject area, library resources, and linkages with institutions abroad (5 points); and (2) The extent to which the institution provides financial support to graduate students in fields related to the applicant's teaching program (5 points).
- (e) Strength of library. (15 points) The Secretary reviews each application to determine— (1) The strength of the institution's library holdings (both print and non-print, English and foreign language) for graduate students; and the extent to which the institution provides financial support for the acquisition of library materials and for library staff in the subject area of the applicant (10 points); and (2) The extent to which research materials at other institutions are available to students through cooperative arrangements with other libraries or on-line databases (5 points).
- (f) Quality of the applicant's non-language instructional program. (20 points) The Secretary reviews each application to determine— (1) The quality and extent of the applicant's course offerings in a variety of disciplines, including the extent to which courses in the applicant's subject matter are available in the institution's professional schools (10 points); (2) The extent to which the applicant offers depth of specialized course

coverage in one or more disciplines on the applicant's subject area (5 points); (3) The extent to which the institution employs a sufficient number of teaching faculty to enable the applicant to carry out its purposes and the extent to which instructional assistants are provided with pedagogy training; and (4) The extent to which interdisciplinary courses are offered for graduate students. The Secretary is assigning a total of five points to factors (3) and (4).

(g) Quality of the applicant's language instructional program. (20 points) The Secretary reviews each application to determine-- (1) The extent to which the applicant provides instruction in the languages of the applicant's subject area and the extent to which students enroll in the study of the languages of the subject area through programs or instruction offered by the applicant or other providers (5 points); (2) The extent to which the applicant provides three or more levels of language training and the extent to which courses in disciplines other than language, linguistics, and literature are offered in appropriate foreign languages (5 points); (3) Whether sufficient numbers of language faculty are available to teach the languages and levels of instruction described in the application and the extent to which language teaching staff (including faculty and instructional assistants) have been exposed to current language pedagogy training appropriate for performance-based teaching (5 points); and (4) The quality of the language program as measured by the performance-based instruction being used or developed,

the adequacy of resources for language teaching and practice, and language proficiency requirements (5 points).

- (h) Quality of curriculum design. (20 points) The

 Secretary reviews each application to determine— (1) The extent
 to which the applicant's curriculum provides training options
 for graduate students from a variety of disciplines and
 professional fields and the extent to which these programs and
 their requirements (including language requirements) are
 appropriate for an applicant in this subject area and result in
 graduate training programs of high quality (10 points); (2) The
 extent to which the applicant provides academic and career
 advising services for students (5 points); and (3) The extent to
 which the applicant has established formal arrangements for
 students to conduct research or study abroad and the extent to
 which these arrangements are used; and the extent to which the
 institution facilitates student access to other institutions'
 study abroad and summer language programs (5 points).
- (i) Priorities (10 points): If one or more competitive priorities have been established under section 657.22, the Secretary reviews each application for information that shows the extent to which the Center or program meets these priorities.

VI. Award Administration Information

1. Award Notices: If your application is successful, we notify your U.S. Representative and U.S. Senators and send you a

Grant Award Notification (GAN). We may also notify you informally.

If your application is not evaluated or not selected for funding, we notify you.

2. Administrative and National Policy Requirements: We identify administrative and national policy requirements in the application package and reference these and other requirements in the Applicable Regulations section of this notice.

We reference the regulations outlining the terms and conditions of an award in the <u>Applicable Regulations</u> section of this notice and include these and other specific conditions in the GAN. The GAN also incorporates your approved application as part of your binding commitments under the grant.

- 3. Reporting: At the end of your project period, you must submit a final performance report, including financial information, as directed by the Secretary. If you receive a multi-year award, you must submit an annual performance report that provides the most current performance and financial expenditure information as specified by the Secretary in 34 CFR 75.118. For the NRC and FLAS programs, final and annual reports must be submitted into the Evaluation, Exchange, Language, International, and Area Studies online reporting system.
- 4. Performance Measures: Under the Government Performance and Results Act of 1993 (GPRA), the objective for the NRC and FLAS programs is to support the maintenance of a U.S. higher education system able to produce experts in less commonly taught

languages and area studies who are capable of contributing to the needs of the U.S. Government, academic and business institutions.

The Department will use the following measures to evaluate its success in meeting this objective.

NRC Performance Measure 1: Percentage of National Resource Center Ph.D. graduates who are employed in higher education, government, or national security.

NRC Performance Measure 2: Percentage of critical languages taught as reflected in the list of critical languages referenced in title VI of the Higher Education Act of 1965, as amended.

FLAS Performance Measure 1: The average competency score of Foreign Language and Area Studies Fellowships recipients at the end of one full year of instruction (post test) minus the average competency score at the beginning of the year (pretest).

VII. Agency Contact

For Further Information Contact: Carla White, International Education Programs Service, U.S. Department of Education, 1990 K Street, NW., suite 6000, Washington, DC 20006-8521. Telephone:

(202) 502-7631 or via Internet: OPE NRC-FLAS@ed.gov

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computer diskette) on request to the program contact persons listed in this section.

VIII. Other Information

Electronic Access to This Document: You may view this document, as well as all other documents of this Department published in the Federal Register, in text or Adobe Portable Document Format (PDF) on the Internet at the following site:

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Dated:

Sally L. Stroup, Assistant Secretary for Postsecondary Education.

SECTION B

TITLE VI - INTERNATIONAL EDUCATION PROGRAMS

SEC. 601. INTERNATIONAL AND FOREIGN LANGUAGE STUDIES.

Part A of title VI (20 U.S.C. 1121 et seq.) is amended to read as follows:

PART A--INTERNATIONAL AND FOREIGN LANGUAGE STUDIES

SEC. 601. FINDINGS AND PURPOSES.

- (a) FINDINGS- Congress finds as follows:
 - (1) The security, stability, and economic vitality of the United States in a complex global era depend upon American experts in and citizens knowledgeable about world regions, foreign languages, and international affairs, as well as upon a strong research base in these areas.
 - (2) Advances in communications technology and the growth of regional and global problems make knowledge of other countries and the ability to communicate in other languages more essential to the promotion of mutual understanding and cooperation among nations and their peoples.
 - (3) Dramatic post-Cold War changes in the world's geopolitical and economic landscapes are creating needs for American expertise and knowledge about a greater diversity of less commonly taught foreign languages and nations of the world.
 - (4) Systematic efforts are necessary to enhance the capacity of institutions of higher education in the United States for--
 - (A) producing graduates with international and foreign language expertise and knowledge; and
 - (B) research regarding such expertise and knowledge.
 - (5) Cooperative efforts among the Federal Government, institutions of higher education, and the private sector are necessary to promote the generation and dissemination of information about world regions, foreign languages, and international affairs throughout education, government, business, civic, and nonprofit sectors in the United States.
- (b) PURPOSES- The purposes of this part are--
 - (1) (A) to support centers, programs, and fellowships in institutions of higher education in the United States for producing increased numbers of trained personnel and research in foreign languages, area studies, and other international studies;
 - (B) to develop a pool of international experts to meet national needs;
 - (C) to develop and validate specialized materials and techniques for foreign language acquisition and fluency, emphasizing (but not limited to) the less commonly taught languages;
 - (D) to promote access to research and training overseas; and
 - (E) to advance the internationalization of a variety of disciplines throughout undergraduate and graduate education;
 - (2) to support cooperative efforts promoting access to and the dissemination of international and foreign language knowledge, teaching materials, and research, throughout education, government, business, civic, and nonprofit sectors in the United States, through the use of advanced technologies; and
 - (3) to coordinate the programs of the Federal Government in the areas of foreign language, area studies, and other international studies, including professional international affairs education and research.

SEC. 602. GRADUATE AND UNDERGRADUATE LANGUAGE AND AREA CENTERS AND PROGRAMS

- (a) NATIONAL LANGUAGE AND AREA CENTERS AND PROGRAMS AUTHORIZED -
 - (1) CENTERS AND PROGRAMS -
 - (A) IN GENERAL The Secretary is authorized--
 - (i) to make grants to institutions of higher education, or combinations thereof, for the purpose of establishing, strengthening, and operating comprehensive foreign language and area or international studies centers and programs; and
 - (ii) to make grants to such institutions or combinations for the purpose of establishing, strengthening, and operating a diverse network of undergraduate foreign language and area or international studies centers and programs.
 - (B) NATIONAL RESOURCES- The centers and programs referred to in paragraph (1) shall be national resources for--
 - (i) teaching of any modern foreign language;
 - (ii) instruction in fields needed to provide full understanding of areas, regions, or countries in which such language is commonly used:
 - (iii) research and training in international studies, and the international and foreign language aspects of professional and other fields of study; and
 - (iv) instruction and research on issues in world affairs that concern one or more countries.
 - (2) AUTHORIZED ACTIVITIES- Any such grant may be used to pay all or part of the cost of establishing or operating a center or program, including the cost of--
 - (A) teaching and research materials;
 - (B) curriculum planning and development;
 - (C) establishing and maintaining linkages with overseas institutions of higher education and other organizations that may contribute to the teaching and research of the center or program;
 - (D) bringing visiting scholars and faculty to the center to teach or to conduct research;
 - (E) professional development of the center's faculty and staff;

- (F) projects conducted in cooperation with other centers addressing themes of world regional, cross-regional, international, or global importance;
- (G) summer institutes in the United States or abroad designed to provide language and area training in the center's field or topic; and
- (H) support for faculty, staff, and student travel in foreign areas, regions, or countries, and for the development and support of educational programs abroad for students.
- (3) GRANTS TO MAINTAIN LIBRARY COLLECTIONS- The Secretary may make grants to centers described in paragraph (1) having important library collections, as determined by the Secretary, for the maintenance of such collections.
- (4) OUTREACH GRANTS AND SUMMER INSTITUTES- The Secretary may make additional grants to centers described in paragraph (1) for any one or more of the following purposes:
 - (A) Programs of linkage or outreach between foreign language, area studies, or other international fields, and professional schools and colleges.
 - (B) Programs of linkage or outreach with 2- and 4-year colleges and universities.
 - (C) Programs of linkage or outreach with departments or agencies of Federal and State governments.
 - (D) Programs of linkage or outreach with the news media, business, professional, or trade associations.
 - (E) Summer institutes in foreign area, foreign language, and other international fields designed to carry out the programs of linkage and outreach described in subparagraphs (A), (B), (C), and (D).
- (b) GRADUATE FELLOWSHIPS FOR FOREIGN LANGUAGE AND AREA OR INTERNATIONAL STUDIES-
 - (1) IN GENERAL- The Secretary is authorized to make grants to institutions of higher education or combinations of such institutions for the purpose of paying stipends to individuals undergoing advanced training in any center or program approved by the Secretary.
 - (2) ELIGIBLE STUDENTS- Students receiving stipends described in paragraph (1) shall be individuals who are engaged in an instructional program with stated performance goals for functional foreign language use or in a program developing such performance goals, in combination with area studies, international studies, or the international aspects of a professional studies program, including predissertation level studies, preparation for dissertation research, dissertation research abroad, and dissertation writing.
- (c) SPECIAL RULE WITH RESPECT TO TRAVEL- No funds may be expended under this part for undergraduate travel except in accordance with rules prescribed by the Secretary setting forth policies and procedures to assure that Federal funds made available for such travel are expended as part of a formal program of supervised study.
- (d) ALLOWANCES- Stipends awarded to graduate level recipients may include allowances for dependents and for travel for research and study in the United States and abroad.

Higher Education Programs; 34 CFR Parts 606, 607, 611, 637, 648, 656, 657, 658, 660, 661, 662, 663, 664, and 669; Final regulations. [OPE]FR Doc 05-5547

05-5547

[Federal Register: March 21, 2005 (Volume 70, Number 53)]

[Rules and Regulations] [Page 13371-13377]

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DEPARTMENT OF EDUCATION

34 CFR Parts 606, 607, 611, 637, 648, 656, 657, 658, 660, 661, 662,

663, 664, and 669

Higher Education Programs

AGENCY: Office of Postsecondary Education, Department of Education.

ACTION: Final regulations.

SUMMARY: These final regulations remove all references to points in the selection criteria the Department of Education (Department) uses to evaluate applications submitted under the higher education discretionary grant programs. We are taking this action because the current point assignments are outdated and do not permit sufficient flexibility to establish important program objectives. Taking this action allows us that flexibility and ensures that grant awards are made to high quality applicants.

The final regulations also remove the requirement that in competitions for grants under the Partnership and Teacher-Recruitment components of the Teacher Quality Enhancement Grants Program, the Secretary hold a two-stage competition in which applicants must submit a pre-application and a full application. The current structure did not prove effective in producing high quality applications for this program. Removing the requirement for a pre-application reduces burden on applicants and the Department and allows both to target their resources on the full application stage.

There are some amendments in these final regulations that are purely technical corrections to the regulations.

DATES: These regulations are effective April 20, 2005.

FOR FURTHER INFORMATION CONTACT: Lorraine Kennedy, U.S. Department of Education, 1990 K Street, NW., room 8018, Washington, DC 20006-8544. Telephone: (202) 502-7762. Pamela Maimer, U.S. Department of Education, 1990 K Street, NW., room 8014, Washington, DC 20006-8544. Telephone:

(202) 502-7704.

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SUPPLEMENTARY INFORMATION: On December 22, 2004, the Secretary

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published a notice of proposed rulemaking (NPRM) for 34 CFR parts 606, 607, 611, 637, 648, 656, 657, 658, 660, 661, 662, 663, 664, and 669 in the Federal Register (69 FR 76636). In the preamble of the NPRM, the Secretary discussed on pages 76636 and 76637 the major changes proposed to the current regulations. These are as follows:

The Secretary proposed removing the mandatory point values from the selection criteria in the regulations associated with the application process for discretionary grant programs. These amendments provide the Secretary with the flexibility to select specific point values from year to year to address current priorities for the programs.

The Secretary also proposed amending 34 CFR part 611, which governs the Teacher Quality Enhancement Grants (TQE) program. This amendment makes discretionary the existing requirement that in competitions for grants under the program's Partnership and Teacher-Recruitment components, the Secretary conduct a two-stage process for selecting applicants involving the submission and review of pre-applications and full applications.

There were no differences between the NPRM and these final regulations.

Analysis of Comments

In response to the Secretary's invitation in the NPRM, the Department did not receive any comments on the changes to the TQE program. Several parties submitted comments on the proposed regulations regarding removal of mandatory point values. An analysis of the comments follows. Generally, we do not address technical and other minor changes—and suggested changes the law does not authorize the Secretary to make. Analysis of Comments and Changes

Comments: Several commenters believed that the elimination of points will result in some institutions being denied the opportunity to compete for grants because they will not have enough time to prepare because of the change.

Discussion: The Secretary does not agree that eliminating specific point values from the regulations will reduce the opportunity for potential grantees to compete for grants. The regulations continue to specify the criteria used in making the grants in each program. Moreover, the points to be awarded for each criteria will be specified in a Federal Register notice or in the application package, which will be available in enough time for potential applicants to prepare their applications.

Change: None.

Comment: Several commenters wrote that eliminating points from the

criteria will result in a reduced focus on institutions that serve disadvantaged students or programs that serve a particular group.

Discussion: The Secretary understands the concerns of the commenters. We do not believe that the proposed change will lead to reduced focus on institutions that serve disadvantaged students or particular groups of students. Removing point values from the regulations does not change the selection criteria or otherwise change the focus of the programs.

Change: None.

Comment: Several commenters stated that the elimination of points

would result in a preference for four-year institutions over two-year institutions.

Discussion: We have no reason to believe that the removal of points from the regulations will result in a preference for four-year institutions over two-year institutions in grant awards. The selection criteria will remain the same, so the removal of points will not effect the selection of applicants.

Change: None.

Executive Order 12866

1. Potential Costs and Benefits

We have reviewed these final regulations in accordance with Executive Order 12866. Under the terms of the order we have assessed the potential costs and benefits of this regulatory action.

The potential costs associated with the final regulations are those resulting from statutory requirements and those we have determined to be necessary for administering these programs effectively and efficiently.

In assessing the potential costs and benefits—both quantitative and qualitative—of these final regulations, we have determined that the benefits regulations justify the costs.

We have also determined that this regulatory action would not unduly interfere with State, local, and tribal governments in the exercise of their governmental functions.

2. Summary of Potential Costs and Benefits

We discussed the potential costs and benefits of these final regulations in the preamble to the NPRM in the section titled Supplementary Information.

Regulatory Flexibility Act Certification

The Secretary certifies that these final regulations will not have a significant economic impact on a substantial number of small entities. Small entities affected by these regulations are small institutions of higher education. The changes will not have a significant economic impact on the institutions affected.

Paperwork Reduction Act of 1995

The Paperwork Reduction Act of 1995 does not require you to respond to a collection of information unless it displays a valid OMB control number. We display the valid OMB control numbers assigned to collections of information in these final regulations at the end of the affected sections of the regulations.

Assessment of Educational Impact

In the NPRM we requested comments on whether the proposed regulations would require transmission of information that any other agency or authority of the United States gathers or makes available.

Based on the response to the NPRM and on our review, we have determined that these final regulations do not require transmission of information that any other agency or authority of the United States gathers or makes available.

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List of Subjects

34 CFR Parts 606 and 607

Colleges and universities, Grant programs—education, Reporting and recordkeeping requirements.

34 CFR Part 611

Colleges and universities, Elementary and secondary education, Grant programs—education.

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34 CFR Part 637

Colleges and universities, Educational study programs, Equal educational opportunity, Grant programs—education, Reporting and recordkeeping requirements, Science and technology, Women.

34 CFR Part 648

Colleges and universities, Grant programs—education, Reporting and recordkeeping requirements, Scholarships and fellowships.

34 CFR Part 656

Colleges and universities, Cultural exchange programs, Educational study programs, Grant programs—education, Reporting and recordkeeping requirements.

34 CFR Part 657

Colleges and universities, Cultural exchange programs, Educational study programs, Grant programs—education, Reporting and recordkeeping requirements, Scholarships and fellowships.

34 CFR Part 658

Colleges and universities, Cultural exchange programs, Educational study programs, Grant programs—education.

34 CFR Part 660

Colleges and universities, Cultural exchange programs, Educational Research, Educational study programs, Grant programs—education.

34 CFR Part 661

Business and industry, Colleges and universities, Educational study programs, Grant programs—education, Student aid.

34 CFR Part 662

Colleges and universities, Educational Research, Educational study programs, Grant programs—education, Scholarships and fellowships.

34 CFR Part 663

Colleges and universities, Educational Research, Educational study programs, Grant programs—education, Scholarships and fellowships, Teachers. 34 CFR Part 664

Colleges and universities, Educational Research, Educational study programs, Grant programs—education, Teachers.

34 CFR Part 669

Colleges and universities, Educational Research, Educational study programs, Grant programs—education, Reporting and recordkeeping requirements, Teachers.

Dated: March 16, 2005.

Sally L. Stroup,

Assistant Secretary for Postsecondary Education.

For the reasons discussed in the preamble, the Secretary amends parts 606, 607, 611, 637, 648, 656, 657, 658, 660, 661, 662, 663, 664, and 669 of title 34 of the Code of Federal Regulations as follows:

PART 606--DEVELOPING HISPANIC-SERVING INSTITUTIONS PROGRAM

- 1. The authority citation for part 606 continues to read as follows:
 - Authority: 20 U.S.C. 1101 et seq., unless otherwise noted.
- 2. Section 606.20 is amended by-
 - A. Revising paragraph (b);
 - B. In paragraph (c)(1), removing the words "scores at least 50

points" and adding, in their place, the words "meets the requirements"; and

Removing paragraph (c)(2)(i) and redesignating paragraphs (c)(2)(ii) and (c)(2)(iii) as paragraphs (c)(2)(i) and (c)(2)(ii), respectively. The revision reads as follows:

Sec. 606.20 How does the Secretary choose applications for funding?

(b) The Secretary informs applicants of the maximum possible score for each criterion in the application package or in a notice published in the Federal Register.

Section 606.21 is amended by-

- Removing all of the parentheticals that end in "points)"; and
- Revising the introductory text to read as follows:

Sec. 606.21 What are the selection criteria for planning grants?

The Secretary evaluates an application for a planning grant on the basis of the criteria in this section.

Section 606.22 is amended by-4.

- Revising the introductory text;
- Removing all of the parentheticals that end in "points)": B.
- In paragraphs (a)(1) and (a)(2), removing the punctuation "."; and C.
- In paragraph (a)(3), adding the word "and" after the punctuation ";".

The revision reads as follows:

Sec. 606.22 What are the selection criteria for development grants?

The Secretary evaluates an application for a development grant on the basis of the criteria in this section.

- Section 606.23 is amended by-
 - Removing all of the parentheticals that end in "point)"; and
 - Revising the introductory text of paragraphs (a) and (b) to read as follows:
 - Sec. 606.23 What special funding consideration does the Secretary provide?
 - If funds are available to fund only one additional planning grant and each of the next fundable applications has received the same number of points under Sec. 606.20 or 606.21, the Secretary awards additional points, as provided in the application package or in a notice published in the Federal Register, to any of those applicants that -- * * * * *
 - If funds are available to fund only one additional development grant and each of the next fundable applications has received the same number of points under Sec. 606.20 or 606.22, the Secretary awards additional points, as provided in the application package or in a notice published in the Federal Register, to any of those applicants that-

PART 607--STRENGTHENING INSTITUTIONS PROGRAM

The authority citation for part 607 continues to read as follows:

Authority: 20 U.S.C. 1507-1509c, 1066-1069f, unless otherwise noted.

- Section 607.20 is amended by-
 - Removing paragraph (c) and redesignating paragraphs (b)(1) and (2) as paragraphs (c)(1) and (2), respectively;
 - In redesignated paragraph (c)(2), removing the reference to "(b)(1)" and adding, in its place, the reference "(c)(1)";
 - Adding a new paragraph (b); and
 - D. Revising paragraph (d).

The addition and revision read as follows:

Sec. 607.20 How does the Secretary choose applications for funding?

(b) The Secretary informs applicants of the maximum possible score for each criterion in the application package or in a notice published in the Federal Register.

- The Secretary considers funding an application for a development grant that—
 - Is submitted with a comprehensive development plan that satisfies all the elements required of such a plan under Sec. 607.8; and
 - In the case of an application for a cooperative arrangement grant, demonstrates that the grant will enable each eligible participant to meet the goals and objectives of its comprehensive development plan better and at a lower cost than if each eligible participant were funded individually.
- Section 607.21 is amended by-
 - Removing all of the parentheticals that end in "points)"; and
 - Revising the introductory text to read as follows:

Sec. 607.21 What are the selection criteria for planning grants?

The Secretary evaluates an application for a planning grant on the basis of the criteria in this section.

- Section 607.22 is amended by-
 - Revising the introductory text;
 - Removing all of the parentheticals that end in "points)";
 - In paragraphs (a)(1) and (a)(2), removing the punctuation "."; and
 - In paragraph (a)(3), adding the word "and" after the punctuation";".

The revision reads as follows:

Sec. 607.22 What are the selection criteria for development grants?

The Secretary evaluates an application for a development grant on the basis of the criteria in this section.

- 10. Section 607.23 is amended by-
 - Removing all of the parentheticals that end in "point)"; and
 - Revising the introductory text of paragraphs (a) and (b) to read as follows: Sec. 607.23 What special funding consideration does the Secretary provide?

- (a) If funds are available to fund only one additional planning grant and each of the next fundable applications has received the same number of points under Sec. 607.20 or 607.21, the Secretary awards additional points, as provided in the application package or in a notice published in the Federal Register, to any of those applicants that—
- (b) If funds are available to fund only one additional development grant and each of the next fundable applications has received the same number of points under Sec. 607.20 or 607.22, the Secretary awards additional points, as provided in the application package or in a notice published in the Federal Register, to any of those applicants that—

PART 611--TEACHER QUALITY ENHANCEMENT GRANTS PROGRAM

11. The authority citation for part 611 continues to read as follows:

Authority: 20 U.S.C. 1021 et seq. and 1024(e), unless otherwise noted.

Sec. 611.2 [Amended]

- 12. Section 611.2 is amended by, in paragraph (a), removing the words "paragraphs (a)(1), (a)(2)(iii), or (a)(3)(iii) of Sec. 611.3" and adding, in their place, the words "paragraphs (a)(1), (a)(2)(i)(B), (a)(2)(ii), (a)(3)(i)(B), or (a)(3)(ii) of Sec. 611.3".
- 13. Section 611.3 is amended by-
 - A. Revising paragraphs (a)(2) and (a)(3); and
 - B. In paragraph (b), removing the words "paragraphs (b)(2)(ii) and

(b)(3)(ii)" and adding, in their place, the words "paragraphs (a)(2)(i)(A) and (a)(3)(i)(A)".

The revisions read as follows:

Sec. 611.3 What procedures does the Secretary use to award a grant?

(a) * * *

(2) For the Partnership Grants Program, the Secretary may use a two-stage application process to determine which applications to fund.

(i) If the Secretary uses a two-stage application process, the

Secretary uses-

- (A) The selection criteria in Sec. Sec. 611.21 through 611.22 to evaluate pre-applications submitted for new grants, and to determine those applicants to invite to submit full program applications; and
- (B) For those applicants invited to submit full applications, the selection criteria and competitive preference in Sec. Sec. 611.23 through 611.25 to evaluate the full program applications.
 - (ii) If the Secretary does not use a two-stage application process, the Secretary uses the selection criteria and competitive preference in Sec. Sec. 611.23 through 611.25 to evaluate applications.
 - (3) For the Teacher Recruitment Grants Program, the Secretary may use a two-stage application process to determine which applications to fund.
 - (i) If the Secretary uses a two-stage application process, the

Secretary uses-

- (A) The selection criteria in Sec. 611.31 to evaluate pre-applications submitted for new grants, and to determine those applicants to invite to submit full program applications; and
- (B) For those applicants invited to submit full applications, the selection criteria in Sec. 611.32 to evaluate the full program applications.
 - (ii) If the Secretary does not use a two-stage application process, the Secretary uses the selection criteria in Sec. 611.32 to evaluate applications.

PART 637--MINORITY SCIENCE AND ENGINEERING IMPROVEMENT PROGRAM

14. The authority citation for part 637 continues to read as follows:

Authority: 20 U.S.C. 1067-1067c, 1067g-1067k, 1068, 1068b, unless otherwise noted.

- 15. Section 637.31 is amended by-
 - A. Revising paragraph (b); and
 - B. Removing paragraph (c) and redesignating paragraphs (d)(1), (2), and
- (3) as paragraphs (c)(1), (2), and (3), respectively.

The revision reads as follows:

Sec. 637.31 How does the Secretary evaluate an application?

- (b) The Secretary informs applicants of the maximum possible score for each criterion in the application package or in a notice published in the Federal Register.
- 16. Section 637.32 is amended by-
 - A. Revising the introductory text;
 - B. Removing all of the parentheticals that end in "points)";
 - C. In paragraph (a)(2)(v), removing the parenthetical "(See EDGAR 34 CFR 75.581)" and adding, in its place, the parenthetical "(See 34 CFR 75.580)".
 - D. In paragraph (b)(2)(iv), removing the word "groups" the second time it appears and adding, in its place, the word "group";
 - E. In paragraph (d)(1), removing the parenthetical "(See EDGAR 34 CFR 75.590--Evaluation by the grantee; where applicable)" and adding in it
 - 75.590--Evaluation by the grantee; where applicable)" and adding, in its place, the parenthetical "(See 34 CFR 75.590)";
 - F. Removing the authority citation that appears immediately before paragraph (f); and
 - G. Revising paragraph (f)(2)(iii).

The revisions read as follows:

Sec. 637.32 What selection criteria does the Secretary use?

The Secretary evaluates applications on the basis of the criteria in this section.

- (f) * * * (2) * * *
 - (iii) Involvement of appropriate individuals, especially science faculty, in identifying the institutional needs.

PART 648--GRADUATE ASSISTANCE IN AREAS OF NATIONAL NEED

17. The authority citation for part 648 continues to read as follows:

Authority: 20 U.S.C. 1135-1135ee, unless otherwise noted

- 18 Section 648.30 is amended by-
 - Revising paragraph (b); and
 - Removing paragraph (c).

The revision reads as follows:

Sec. 648.30 How does the Secretary evaluate an application?

The Secretary informs applicants of the maximum possible score for each criterion in the application package or in a notice published in the (b) Federal Register.

- 19. Section 648.31 is amended by-
 - Removing all of the parentheticals that end in "points)": and Α
 - В. Revising the introductory text to read as follows:

Sec. 648.31 What selection criteria does the Secretary use?

The Secretary evaluates an application on the basis of the criteria in this section.

PART 656--NATIONAL RESOURCE CENTERS PROGRAM FOR FOREIGN LANGUAGE

AND AREA STUDIES OR FOREIGN LANGUAGE AND INTERNATIONAL STUDIES

20. The authority citation for part 656 continues to read as follows:

Authority: 20 U.S.C. 1122, unless otherwise noted.

21. Section 656.20 is amended by revising paragraph (b) to read as follows:

Sec. 656.20 How does the Secretary evaluate an application?

- (b) The Secretary informs applicants of the maximum possible score for each criterion in the application package or in a notice published in the Federal Register.
- 22. Section 656.21 is amended by-
 - Removing all of the parentheticals that end in "points)"; and
 - Revising the introductory text to read as follows:

Sec. 656.21 What selection criteria does the Secretary use to evaluate an application for a comprehensive Center?

The Secretary evaluates an application for a comprehensive Center on the basis of the criteria in this section.

- 23. Section 656.22 is amended by-
 - Removing all of the parentheticals that end in "points)"; and
 - Revising the introductory text to read as follows:

Sec. 656.22 What selection criteria does the Secretary use to evaluate an application for an undergraduate Center?

The Secretary evaluates an application for an undergraduate Center on the basis of the criteria in this section.

PART 657--FOREIGN LANGUAGE AND AREA STUDIES FELLOWSHIPS PROGRAM

24. The authority citation for part 657 continues to read as follows:

Authority: 20 U.S.C. 1122, unless otherwise noted.

- Section 657.20 is amended by-
 - In paragraph (a), adding the word "institutional" before the word "application"; and
 - R Revising paragraph (b) to read as follows:

Sec. 657.20 How does the Secretary evaluate an institutional application for an allocation of fellowships?

The Secretary informs applicants of the maximum possible score for each criterion in the application package or in a notice published in the Federal Register.

- 26. Section 657.21 is amended by-
 - Removing all of the parentheticals that end in "points)"; and
 - Adding introductory text to read as follows:

Sec. 657.21 What criteria does the Secretary use in selecting institutions for an allocation of fellowships?

The Secretary evaluates an institutional application for an allocation of fellowships on the basis of the criteria in this section.

PART 658--UNDERGRADUATE INTERNATIONAL STUDIES AND FOREIGN LANGUAGE

PROGRAM

27. The authority citation for part 658 continues to read as follows:

Authority: 20 U.S.C. 1124, unless otherwise noted.

- Section 658.30 is revised to read as follows:
 - Sec. 658.30 How does the Secretary evaluate an application?
 - (a) The Secretary evaluates an application from an institution of higher education or a combination of such institutions on the basis of the criteria in Sec. Sec. 658.31 and 658.32. The Secretary informs applicants of the maximum possible score for each criterion in the application package or in a notice published in the Federal Register.
 - The Secretary evaluates an application from an agency or organization or professional or scholarly association on the basis of the criteria in Sec. Sec. 658.31 and 658.33. The Secretary informs applicants of the maximum possible score for each criterion in the application package or in a notice published in the Federal Register.

(Authority: 20 U.S.C. 1124)

- Section 658.31 is amended by-
 - A. Removing the parentheticals "(10)" and "(5)" each time they appear; and
- Revising the introductory text to read as follows:

Sec. 658.31 What selection criteria does the Secretary use?

The Secretary evaluates an application for a project under this program on the basis of the criteria in this section.

- 30. Section 658.32 is amended by-
 - Removing the parentheticals "(15)" and "(10)" each time they appear; and
 - B. Revising the introductory text to read as follows:

Sec. 658.32 What additional criteria does the Secretary apply to institutional applications?

In addition to the criteria referred to in Sec. 658.31, the Secretary evaluates an application submitted by an institution of higher education or a combination of such institutions on the basis of the criteria in this section.

31. Section 658.33 is amended by-

- A. In paragraph (a), removing the parenthetical "(30)"; and
- B. Revising the introductory text to read as follows:

Sec. 658.33 What additional criterion does the Secretary apply to applications from organizations and associations? In addition to the criteria referred to in Sec. 658.31, the Secretary evaluates an application submitted by an organization or association on the basis of the criterion in this section.

PART 660--THE INTERNATIONAL RESEARCH AND STUDIES PROGRAM

32. The authority citation for part 660 continues to read as follows:

Authority: 20 U.S.C. 1125, unless otherwise noted.

33. Section 660.30 is revised to read as follows:

Sec. 660.30 How does the Secretary evaluate an application?

- (a) The Secretary evaluates an application for a research project, a study, or a survey on the basis of the criteria in Sec. Sec. 660.31 and 660.32. The Secretary informs applicants of the maximum possible score for each criterion in the application package or in a notice published in the Federal Register.
- (b) The Secretary evaluates an application for the development of specialized instructional materials on the basis of the criteria in Sec. Sec. 660.31 and 660.33. The Secretary informs applicants of the maximum possible score for each criterion in the application package or in a notice published in the Federal Register.

(Authority: 20 U.S.C. 1125)

- 34. Section 660.31 is amended by-
 - A. Removing all of the parentheticals that end in "points)"; and
 - B. Revising the introductory text to read as follows:

Sec. 660.31 What selection criteria does the Secretary use for all applications for a grant?

The Secretary evaluates an application for a project under this program on the basis of the criteria in this section. The Secretary informs applicants of the maximum possible score for each criterion in the application package or in a notice published in the Federal Register.

- 35. Section 660.32 is amended by-
 - A. Removing all of the parentheticals that end in "points)"; and
 - B. Revising the introductory text to read as follows:

Sec. 660.32 What additional selection criteria does the Secretary use for an application for a research project, a survey, or a study? In addition to the criteria referred to in Sec. 660.31, the Secretary evaluates an application for a research project, study, or survey on the basis of the criteria in this section.

36. Section 660.33 is amended by-

- A. Removing all of the parentheticals that end in "points)"; and
- B. Revising the introductory text to read as follows:

Sec. 660.33 What additional selection criteria does the Secretary use for an application to develop specialized instructional materials? In addition to the criteria referred to in Sec. 660.31, the Secretary evaluates an application to develop specialized instructional materials on the basis of the criteria in this section.

* * * *

PART 661--BUSINESS AND INTERNATIONAL EDUCATION PROGRAM

37. The authority citation for part 661 continues to read as follows:

Authority: 20 U.S.C. 1130-1130b, unless otherwise noted.

38. Section 661.30 is revised to read as follows:

Sec. 661.30 How does the Secretary evaluate an application?

The Secretary evaluates an application for a grant under this program on the basis of the criteria in Sec. 661.31. The Secretary informs applicants of the maximum possible score for each criterion in the application package or in a notice published in the Federal Register. (Authority: 20 U.S.C. 1130a)

- Section 661.31 is amended by—
 - A. Removing all of the parentheticals that end in "points)";
 - B. In paragraph (e), adding the punctuation "." after the word "resources"; and
 - C. Revising the introductory text to read as follows:

Sec. 661.31 What selection criteria does the Secretary use?

The Secretary evaluates an application for a grant under this program on the basis of the criteria in this section.

* * * * *

PART 662--FULBRIGHT-HAYS DOCTORAL DISSERTATION RESEARCH ABROAD

FELLOWSHIP PROGRAM

40. The authority citation for part 662 continues to read as follows:

Authority: Section 102(b)(6) of the Mutual Educational and Cultural Exchange Act of 1961 (Fulbright-Hays Act), 22 U.S.C. 2452(b)(6), unless otherwise noted.

- 41. Section 662.21 is amended by-
 - A. Removing all of the parentheticals that end in "points)" and removing the parentheticals "(10)", "(15)", and "(5)" wherever they appear;
 - B. In paragraph (c)(2), removing the word "a"; and
 - C. Revising paragraph (a) to read as follows:

Sec. 662.21 What criteria does the Secretary use to evaluate an application for a fellowship?

(a) General. The Secretary evaluates an application for a fellowship on the basis of the criteria in this section. The Secretary informs applicants of the maximum possible score for each criterion in the application package or in a notice published in the Federal Register.

. . . .

PART 663--FULBRIGHT-HAYS FACULTY RESEARCH ABROAD FELLOWSHIP PROGRAM

42. The authority citation for part 663 continues to read as follows:

Authority: Sec. 102(b)(6) of the Mutual Educational and Cultural Exchange Act of 1961 (Fulbright-Hays Act), 22 U.S.C. 2452(b)(6), unless otherwise noted

- 43. Section 663.21 is amended by-
 - A. Removing all of the parentheticals that end in "points)" and removing the parentheticals "(10)", "(15)", and "(5)" wherever they appear; and 0
 - B. Revising paragraph (a) to read as follows:
- Sec. 663.21 What criteria does the Secretary use to evaluate an application for a fellowship?
 - (a) General. The Secretary evaluates an application for a fellowship on the basis of the criteria in this section. The Secretary informs applicants of the maximum possible score for each criterion in the application package or in a notice published in the Federal Register.

PART 664--FULBRIGHT-HAYS GROUP PROJECTS ABROAD FELLOWSHIP PROGRAM

44. The authority citation for part 664 continues to read as follows:

Authority: 22 U.S.C. 2452(b)(6), unless otherwise noted.

- Section 664.30 is amended by—
 - A. Revising paragraph (a);
 - B. Removing paragraph (b); and
 - C. Redesignating paragraphs (c) and (d) as paragraphs (b) and (c), respectively. The revision reads as follows:

Sec. 664.30 How does the Secretary evaluate an application?

(a) The Secretary evaluates an application for a Group Project

Abroad on the basis of the criteria in Sec. 664.31. The Secretary informs applicants of the maximum possible score for each criterion in the application package or in a notice published in the Federal Register.

- 46. Section 664.31 is amended by-
 - A. Removing all of the parentheticals that end in "points)." and removing the parenthetical that ends in "points)"; and
 - B. Revising the introductory text to read as follows:

Sec. 664.31 What selection criteria does the Secretary use?

The Secretary uses the criteria in this section to evaluate applications for the purpose of recommending to the J. William Fulbright Foreign Scholarship Board Group Projects Abroad for funding under this part.

PART 669--LANGUAGE RESOURCE CENTERS PROGRAM

47. The authority citation for part 669 continues to read as follows:

Authority: 20 U.S.C. 1123, unless otherwise noted.

48. Section 669.20 is revised to read as follows:

Sec. 669.20 How does the Secretary evaluate an application?

The Secretary evaluates an application for an award on the basis of the criteria contained in Sec. Sec. 669.21 and 669.22. The Secretary informs applicants of the maximum possible score for each criterion in the application package or in a notice published in the Federal Register. (Authority: 20 U.S.C. 1123)

- 49. Section 669.21 is amended by-
- Removing all of the parentheticals that end in "points)";
- B. In paragraph (c), removing the symbol "Sec. "; and
- C. Revising the introductory text to read as follows:

Sec. 669.21 What selection criteria does the Secretary use?

The Secretary evaluates an application on the basis of the criteria in this section.

* * * * *

[FR Doc. 05-5547 Filed 3-18-05; 8:45 am]

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[Code of Federal Regulations] [Title 34, Volume 3]

[Revised as of July 1, 2001]

[CITE: 34CFR655]

TITLE 34--EDUCATION

CHAPTER VI--OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

PART 655--INTERNATIONAL EDUCATION PROGRAMS--GENERAL PROVISIONS

Subpart A--General

Sec.

655.1 Which programs do these regulations govern?

655.3 What regulations apply to the International Education Programs?

655.4 What definitions apply to the International Education Programs?

Subpart B--What Kinds of Projects Does the Secretary Assist?

655.10 What kinds of projects does the Secretary assist?

Subpart C [Reserved]

Subpart D--How Does the Secretary Make a Grant?

655.30 How does the Secretary evaluate an application?

655.31 What general selection criteria does the Secretary use?

655.32 What additional factors does the Secretary consider in making grant awards?

Authority: 20 U.S.C 1121-1130b, unless otherwise noted.

Source: 47 FR 14116, Apr. 1, 1982, unless otherwise noted.

Subpart A--General

Sec. 655.1 Which programs do these regulations govern?

The regulations in this part govern the administration of the following programs in international education:

- (a) The National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies (section 602 of the Higher Education Act of 1965, as amended);
- (b) The Language Resource Centers Program (section 603);
- (c) The Undergraduate International Studies and Foreign Language Program (section 604);
- (d) The International Research and Studies Program (section 605); and
- (e) The Business and International Education Program (section 613).

(Authority: 20 U.S.C. 1121-1130b)

[47 FR 14116, Apr. 1, 1982, as amended at 58 FR 32575, June 10, 1993; 64CFR 7739, Feb. 16, 1999]

Sec. 655.3 What regulations apply to the International Education Programs?

The following regulations apply to the International Education Programs:

- (a) The Education Department General Administrative Regulations (EDGAR) as follows:
 - (1)34 CFR part 74 (Administration of Grants to Institutions of Higher Education, Hospitals, and Nonprofit Organizations).
 - (2)34 CFR part 75 (Direct Grant Programs).
 - (3)34 CFR part 77 (Definitions that Apply to Department Regulations).
 - (4)34 CFR part 79 (Intergovernmental Review of Department of Education Programs and Activities), except that part 79 does not apply to 34 CFR parts 660, 669, and 671.
 - (5)34 CFR part 82 (New Restrictions on Lobbying).
 - (6)34 CFR part 85 (Governmentwide Debarment and Suspension (Nonprocurement) and Governmentwide Requirements for Drug-Free Workplace (Grants).
 - (7)34 CFR part 86 (Drug-Free Schools and Campuses).
- (b) The regulations in this part 655; and
- (c) As appropriate, the regulations in--
 - (1)34 CFR part 656 (National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies);
 - (2)34 CFR part 657 (Foreign Language and Area Studies Fellowships Program);
 - (3)34 CFR part 658 (Undergraduate International Studies and Foreign Language Program);
 - (4)34 CFR part 660 (International Research and Studies Program);
 - (5)34 CFR part 661 (Business and International Education Program); and
 - (6)34 CFR part 669 (Language Resource Centers Program).

(Authority: 20 U.S.C. 1121-1127; 1221e-3)

[47 FR 14116, Apr. 1, 1982, as amended at 58 FR 32575, June 10, 1993; 64 FR 7739, Feb. 16, 1999]

Sec. 655.4 What definitions apply to the International Education Programs?

(a) Definitions in EDGAR. The following terms used in this part and 34 CFR parts 656, 657, 658, 660, 661, and 669 are defined in 34 CFR part 77:

AcquisitionEDGARGrant periodPrivateApplicantEquipmentLocal educational agencyPublicApplicationFacilitiesNonprofitSecretary

Award Fiscal year Project State educational agency
Budget Grant Project period Supplies
Contract Grantee

(Authority: 20 U.S.C. 1121-1127)

(b) Definitions that apply to these programs: The following definition applies to International Education Programs: Combination of institutions of higher education means a group of institutions of higher education that have entered into a cooperative arrangement for the purpose of carrying out a common objective, or a public or private nonprofit agency, organization, or institution designated or created by a group of institutions of higher education for the purpose of carrying out a common objective on their behalf.

Critical languages means each of the languages contained in the list of critical languages designated by the Secretary pursuant to section 212(d) of the Education for Economic Security Act, except that, in the implementation of this definition, the Secretary may set priorities according to the purposes of title VI of the Higher Education Act of 1965, as amended.

Institution of higher education means, in addition to an institution that meets the definition of section 101(a) of the Higher Education Act of 1965, as amended, an institution that meets the requirements of section 101(a) except that (1) it is not located in the United States, and (2) it applies for assistance under title VI of the Higher Education Act of 1965, as amended, in consortia with institutions that meet the definitions in section 101(a).

(Authority: 20 U.S.C. 1121-1127, and 1141) [47 FR 14116, Apr. 1, 1982, as amended at 58 FR 32575, June 10, 1993; 64 FR 7739, Feb. 16, 1999]

Subpart B--What Kinds of Projects Does the Secretary Assist?

Sec. 655.10 What kinds of projects does the Secretary assist?

Subpart B of 34 CFR parts 656, 657, 658, 660, 661, and 669 describes the kinds of projects that the Secretary assists under the International Education Programs.

(Authority: 20 U.S.C. 1021-1027) [47 FR 14116, Apr. 1, 1982, as amended at 58 FR 32575, June 10, 1993, 64 FR 7739, Feb. 16, 1999]

Subpart C [Reserved]

Subpart D--How Does the Secretary Make a Grant?

Sec. 655.30 How does the Secretary evaluate an application?

The Secretary evaluates an applications for International Education Programs on the basis of-

- (a) The general criteria in Sec. 655.31; and
- (b) The specific criteria in, as applicable, subpart D of 34 CFR parts 658, 660, 661, and 669.

(Authority: 20 U.S.C. 1121-1127) [64 FR 7739, Feb. 16, 1999]

Sec. 655.31 What general selection criteria does the Secretary use?

- (a) Plan of operation.
 - (1)The Secretary reviews each application for information that shows the quality of the plan of operation for the project.
 - (2)The Secretary looks for information that shows—
 - (i) High quality in the design of the project;
 - (ii) An effective plan of management that ensures proper and efficient administration of the project;
 - (iii) A clear description of how the objectives of the project relate to the purpose of the program;
 - (iv) The way the applicant plans to use its resources and personnel to achieve each objective; and
 - (v) A clear description of how the applicant will provide equal access and treatment for eligible project participants who are members of groups that have been traditionally underrepresented, such as—
 - (3) Members of racial or ethnic minority groups:
 - (4)Women; and
 - (5)Handicapped persons.
- (b) Quality of key personnel.
 - (1)The Secretary reviews each application for information that shows the quality of the key personnel the applicant plans to use on the project.
 - (2)The Secretary looks for information that shows--
 - (i) The qualifications of the project director (if one is to be used);
 - (ii) The qualifications of each of the other key personnel to be used in the project. In the case of faculty, the qualifications of the faculty and the degree to which that faculty is directly involved in the actual teaching and supervision of students; and
 - (iii) The time that each person referred to in paragraphs (b)(2) (i) and (ii) of this section plans to commit to the project; and
 - (iv) The extent to which the applicant, as part of its nondiscriminatory employment practices, encourages applications for employment from persons who are members of groups that have been traditionally underrepresented, such as members of racial or ethnic minority groups, women, handicapped persons, and the elderly.
 - (3)To determine the qualifications of a person, the Secretary considers evidence of past experience and training, in fields related to the objectives of the project, as well as other information that the applicant provides.
- (c) Budget and cost effectiveness.
 - (1)The Secretary reviews each application for information that shows that the project has an adequate budget and is cost effective.
 - (2)The Secretary looks for information that shows--
 - (i) The budget for the project is adequate to support the project activities; and
 - (ii) Costs are reasonable in relation to the objectives of the project.
- (d) Evaluation plan.
 - (1)The Secretary reviews each application for information that shows the quality of the evaluation plan for the project.

- (2)The Secretary looks for information that shows methods of evaluation that are appropriate for the project and, to the extent possible, are objective and produce data that are quantifiable.
- (e) Adequacy of resources.
 - (1)The Secretary reviews each application for information that shows that the applicant plans to devote adequate resources to the project.
 - (2)The Secretary looks for information that shows--
 - (i) Other than library, facilities that the applicant plans to use are adequate (language laboratory, museums, etc.); and
 - (ii) The equipment and supplies that the applicant plans to use are adequate.

(Authority: 20 U.S.C. 1121-1127)

Sec. 655.32 What additional factors does the Secretary consider in making grant awards?

Except for 34 CFR parts 656, 657, and 661, to the extent practicable and consistent with the criterion of excellence, the Secretary seeks to achieve an equitable distribution of funds throughout the Nation.

(Authority: 20 U.S.C. 1126(b)). [58 FR 32575, June 10, 1993]

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[Title 34, Volume 3]

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TITLE 34—EDUCATION

CHAPTER VI--OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

PART 656--NATIONAL RESOURCE CENTERS PROGRAM FOR FOREIGN LANGUAGE AND AREA STUDIES OR FOREIGN LANGUAGE AND INTERNATIONAL STUDIES

Subpart A--General

Sec.

656.1 What is the National Resource Centers Program?

656.2 Who is eligible to receive a grant?

656.3 What activities define a comprehensive or undergraduate National Resource Center?

656.4 What types of Centers receive grants?

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656.20 How does the Secretary evaluate an application?

656.21 What selection criteria does the Secretary use to evaluate an application for a comprehensive Center?

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656.23 What priorities may the Secretary establish?

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656.30 What are allowable costs and limitations on allowable costs?

Authority: 20 U.S.C. 1122, unless otherwise noted.

Source: 61 FR 50193, Sept. 24, 1996, unless otherwise noted.

Subpart A--General

Sec. 656.1 What is the National Resource Centers Program?

Under the National Resource Centers Program for Foreign Language and Areas Studies or Foreign Language and International Studies (National Resource Centers Program), the Secretary awards grants to institutions of higher education and combinations of institutions to establish, strengthen, and operate comprehensive and undergraduate Centers that will be national resources for—

- (a) Teaching of any modern foreign language;
- (b) Instruction in fields needed to provide full understanding of areas, regions, or countries in which the modern foreign language is commonly used:
- (c) Research and training in international studies and the international and foreign language aspects of professional and other fields of study; and
- (d) Instruction and research on issues in world affairs that concern one or more countries.

(Authority: 20 U.S.C. 1122)

[61 FR 50193, Sept. 24, 1996, as amended at 64 FR 7739, Feb. 16, 1999]

Sec. 656.2 Who is eligible to receive a grant?

An institution of higher education or a combination of institutions of higher education is eligible to receive a grant under this part.

(Authority: 20 U.S.C. 1122)

Sec. 656.3 What activities define a comprehensive or undergraduate National Resource Center?

A comprehensive or undergraduate National Resource Center--

- (a) Teaches at least one modern foreign language;
- b) Provides--
 - (1) Instruction in fields necessary to provide a full understanding of the areas, regions, or countries in which the modern foreign language taught is commonly used;
 - (2) Resources for research and training in international studies, and the international and foreign language aspects of professional and other fields of study; or
 - (3) Instruction and research on issues in world affairs that concern one or more countries
- (c) Provides outreach and consultative services on a national, regional, and local basis;
- (d) Maintains linkages with overseas institutions of higher education and other organizations that may contribute to the teaching and research of the Center;
- (e) Maintains important library collections;
- (f) Employs faculty engaged in training and research that relates to the subject area of the Center;
- (g) Conducts projects in cooperation with other centers addressing themes of world, regional, cross-regional, international, or global importance;
- (h) Conducts summer institutes in the United States or abroad designed to provide language and area training in the Center's field or topic.

(Authority: 20 U.S.C. 1122)

[64 FR 7739, Feb. 16, 1999]

Sec. 656.4 What types of Centers receive grants?

The Secretary awards grants to Centers that

- (a) Focus on--
 - (1) A single country or on a world area (such as East Asia, Africa, or the Middle East) and offer instruction in the principal language or languages of that country or area and those disciplinary fields necessary to provide a full understanding of the country or area; or
 - 2) International studies or the international aspects of contemporary issues or topics (such as international business or energy) while providing instruction in modern foreign languages; and
- (b) Provide training at the-
 - (1) Graduate, professional, and undergraduate levels, as a comprehensive Center; or
 - 2) Undergraduate level only, as an undergraduate Center.

(Authority: 20 U.S.C. 1122)

Sec. 656.5 What activities may be carried out?

- (a) A Center may carry out any of the activities described in Sec. 656.3 under a grant received under this part.
- (b) The Secretary may make an additional grant to a Center for any one or a combination of the following purposes:
 - (1) Linkage or outreach between foreign language, area studies, and other international fields and professional schools and colleges.
 - (2) Linkage or outreach with 2- and 4-year colleges and universities.
 - (3) Linkage or outreach with departments or agencies of Federal and State governments.
 - (4) Linkage or outreach with the news media, business, professional, or trade associations.
 - (5) Summer institutes in foreign area, foreign language, and other international fields designed to carry out the activities in paragraphs (b)(1) through (4) of this section.

(Authority: 20 U.S.C. 1122)

[61 FR 50193, Sept. 24, 1996, as amended at 64 FR 7739, Feb. 16, 1999]

Sec. 656.6 What regulations apply?

The following regulations apply to this program:

- (a) The regulations in 34 CFR part 655.
- (b) The regulations in this part 656.

(Authority: 20 U.S.C. 1122)

Sec. 656.7 What definitions apply?

The following definitions apply to this part:

- (a) The definitions in 34 CFR part 655.
- (b) Area studies means a program of comprehensive study of the aspects of a world area's society or societies, including study of history, culture, economy, politics, international relations, and languages.
- (c) Center means an administrative unit of an institution of higher education that has direct access to highly qualified faculty and library resources, and coordinates a concentrated effort of educational resources, including language training and various academic disciplines, in the area and subject matters described in Sec. 656.3.
- (d) Comprehensive Center means a Center that—
 - (1) Contributes significantly to the national interest in advanced research and scholarship;
 - (2) Offers intensive language instruction;
 - (3) Maintains important library collections related to the area of its specialization;
 - (4) Makes training available to a graduate, professional, and undergraduate clientele; and
 - (5) Engages in curriculum development and community outreach.
- (e) For purposes of this section, intensive language instruction means instruction of at least five contact hours per week during the academic year or the equivalent of a full academic year of language instruction during the summer.
- (f) Undergraduate Center means an administrative unit of an institution of higher education that--
 - (1) Contributes significantly to the national interest through the education of students who matriculate into advanced language and area studies programs or professional school programs;
 - (2) Incorporates substantial international and foreign language content into baccalaureate degree program;
 - (3) Makes training available predominantly to undergraduate students; and
 - (4) Engages in research, curriculum development, and community outreach.

(Authority: 20 U.S.C. 1122)

Subpart B--How Does One Apply for a Grant?

Sec. 656.10 What combined application may an institution submit?

An institution that wishes to apply for a grant under this part and for an allocation of fellowships under 34 CFR part 657 may submit one application for both.

(Authority: 20 U.S.C. 1122)

Subpart C--How Does the Secretary Make a Grant?

Sec. 656.20 How does the Secretary evaluate an application?

- (a) The Secretary evaluates an application for a comprehensive Center under the criteria contained in Sec. 656.21, and for an undergraduate Center under the criteria contained in Sec. 656.22.
- (b) In general, the Secretary awards up to 155 possible points for these criteria. However, if the criterion in Sec. 656.21(j) or Sec. 656.22(j) is used, the Secretary awards up to 165 possible points. The maximum possible points for each criterion are shown in parentheses.

(Authority: 20 U.S.C. 1122)

The Secretary uses the following criteria in evaluating an application for a comprehensive Center:

- (a) Program planning and budget. (20 points) The Secretary reviews each application to determine--
 - (1) The extent to which the activities for which the applicant seeks funding are of high quality and directly related to the purpose of the National Resource Centers Program (5 points);
 - (2) The extent to which the applicant provides a development plan or timeline demonstrating how the proposed activities will contribute to a strengthened program and whether the applicant uses its resources and personnel effectively to achieve the proposed objectives (5 points);
 - (3) The extent to which the costs of the proposed activities are reasonable in relation to the objectives of the program (5 points); and
 - (4) The long-term impact of the proposed activities on the institution's undergraduate, graduate, and professional training programs (5 points).
- (b) Quality of staff resources. (20 points) The Secretary reviews each application to determine—
 - (1) The extent to which teaching faculty and other staff are qualified for the current and proposed Center activities and training programs, are provided professional development opportunities (including overseas experience), and participate in teaching, supervising, and advising students (10 points);
 - (2) The adequacy of Center staffing and oversight arrangements, including outreach and administration and the extent to which faculty from a variety of departments, professional schools, and the library are involved (5 points); and
 - (3) The extent to which the applicant, as part of its nondiscriminatory employment practices, encourages applications for employment from persons who are members of groups that have been traditionally underrepresented, such as members of racial or ethnic minority groups, women, persons with disabilities, and the elderly (5 points).
- (c) Impact and evaluation. (20 points) The Secretary reviews each application to determine—
 - (1) The extent to which the Center's activities and training programs have a significant impact on the university, community, region, and the Nation as shown through indices such as enrollments, graduate placement data, participation rates for events, and usage of Center resources; and the extent to which the applicant supplies a clear description of how the applicant will provide equal access and treatment of eligible project participants who are members of groups that have been traditionally underrepresented, such as members of racial or ethnic minority groups, women, persons with disabilities, and the elderly (10 points); and
 - (2) The extent to which the applicant provides an evaluation plan that is comprehensive and objective and that will produce quantifiable, outcome-measure-oriented data; and the extent to which recent evaluations have been used to improve the applicant's program (10 points).
- (d) Commitment to the subject area on which the Center focuses. (10 points) The Secretary reviews each application to determine the extent to which the institution provides financial and other support to the operation of the Center, teaching staff for the Center's subject area, library resources, linkages with institutions abroad, outreach activities, and qualified students in fields related to the Center.
- (e) Strength of library. (15 points) The Secretary reviews each application to determine--
 - (1) The strength of the institution's library holdings (both print and non-print, English and foreign language) in the subject area and at the educational levels (graduate, professional, undergraduate) on which the Center focuses; and the extent to which the institution provides financial support for the acquisition of library materials and for library staff in the subject area of the Center (10 points); and
 - (2) The extent to which research materials at other institutions are available to students through cooperative arrangements with other libraries or on-line databases and the extent to which teachers, students, and faculty from other institutions are able to access the library's holdings (5 points).
- (f) Quality of the Center's non-language instructional program. (20 points) The Secretary reviews each application to determine—
 - (1) The quality and extent of the Center's course offerings in a variety of disciplines, including the extent to which courses in the Center's subject matter are available in the institution's professional schools (5 points);
 - (2) The extent to which the Center offers depth of specialized course coverage in one or more disciplines of the Center's subject area (5 points);
 - (3) The extent to which the institution employs a sufficient number of teaching faculty to enable the Center to carry out its purposes and the extent to which instructional assistants are provided with pedagogy training (5 points); and
 - (4) The extent to which interdisciplinary courses are offered for undergraduate and graduate students (5 points).
- (g) Quality of the Center's language instructional program. (20 points) The Secretary reviews each application to determine—
 - (1) The extent to which the Center provides instruction in the languages of the Center's subject area and the extent to which students enroll in the study of the languages of the subject area through programs or instruction offered by the Center or other providers (5 points);
 - (2) The extent to which the Center provides three or more levels of language training and the extent to which courses in disciplines other than language, linguistics, and literature are offered in appropriate foreign languages (5 points);
 - (3) Whether sufficient numbers of language faculty are available to teach the languages and levels of instruction described in the application and the extent to which language teaching staff (including faculty and instructional assistants) have been exposed to current language pedagogy training appropriate for performance-based teaching (5 points); and
 - (4) The quality of the language program as measured by the performance-based instruction being used or developed, the adequacy of resources for language teaching and practice, and language proficiency requirements (5 points).
- (h) Quality of curriculum design. (15 points) The Secretary reviews each application to determine-
 - (1) The extent to which the Center's curriculum has incorporated undergraduate instruction in the applicant's area or topic of specialization into baccalaureate degree programs (for example, major, minor, or certificate programs) and the extent to which these programs and their requirements (including language requirements) are appropriate for a Center in this subject area and will result in an undergraduate training program of high quality (5 points);
 - (2) The extent to which the Center's curriculum provides training options for graduate students from a variety of disciplines and professional fields and the extent to which these programs and their requirements (including language requirements) are appropriate for a Center in this subject area and result in graduate training programs of high quality (5 points); and
 - (3) The extent to which the Center provides academic and career advising services for students; the extent to which the Center has established formal arrangements for students to conduct research or study abroad and the extent to which these arrangements are used; and the extent to which the institution facilitates student access to other institutions' study abroad and summer language programs (5 points).
- (i) Outreach activities. (15 points) The Secretary reviews each application to determine the extent to which the Center demonstrates a significant and measurable regional and national impact of, and faculty and professional school involvement in, domestic outreach activities that involve—
 - (1) Elementary and secondary schools (5 points);
 - (2) Postsecondary institutions (5 points); and
 - (3) Business, media, and the general public (5 points).
- (j) Degree to which priorities are served. (10 points) If, under the provisions of Sec. 656.23, the Secretary establishes competitive priorities for Centers, the Secretary considers the degree to which those priorities are being served.

(Approved by the Office of Management and Budget under control number 1840-0068)

(Authority: 20 U.S.C. 1122)

Sec. 656.22 What selection criteria does the Secretary use to evaluate an application for an undergraduate Center?

The Secretary uses the following criteria in evaluating an application for an undergraduate Center:

- (a) Program planning and budget. (20 points) The Secretary reviews each application to determine—
 - (1) The extent to which the activities for which the applicant seeks funding are of high quality and directly related to the purpose of the National Resource Centers Program (5 points);
 - (2) The extent to which the applicant provides a development plan or timeline demonstrating how the proposed activities will contribute to a strengthened program and whether the applicant uses its resources and personnel effectively to achieve the proposed objectives (5 points);
 - (3) The extent to which the costs of the proposed activities are reasonable in relation to the objectives of the program (5 points); and
 - (4) The long-term impact of the proposed activities on the institution's undergraduate training program (5 points).
- (b) Quality of staff resources. (20 points) The Secretary reviews each application to determine—
 - (1) The extent to which teaching faculty and other staff are qualified for the current and proposed Center activities and training programs, are provided professional development opportunities (including overseas experience), and participate in teaching, supervising, and advising students (10 points);
 - (2) The adequacy of Center staffing and oversight arrangements, including outreach and administration and the extent to which faculty from a variety of departments, professional schools, and the library are involved (5 points); and
 - (3) The extent to which the applicant, as part of its nondiscriminatory employment practices, encourages applications for employment from persons who are members of groups that have been traditionally underrepresented, such as members of racial or ethnic minority groups, women, persons with disabilities, and the elderly (5 points).
- (c) Impact and evaluation. (20 points) The Secretary reviews each application to determine—
 - (1) The extent to which the Center's activities and training programs have a significant impact on the university, community, region, and the Nation as shown through indices such as enrollments, graduate placement data, participation rates for events, and usage of Center resources; the extent to which students matriculate into advanced language and area or international studies programs or related professional programs; and the extent to which the applicant supplies a clear description of how the applicant will provide equal access and treatment of eligible project participants who are members of groups that have been traditionally underrepresented, such as members of racial or ethnic minority groups, women, persons with disabilities, and the elderly (10 points); and
 - (2) The extent to which the applicant provides an evaluation plan that is comprehensive and objective and that will produce quantifiable, outcome-measure-oriented data; and the extent to which recent evaluations have been used to improve the applicant's program (10 points)
- (d) Commitment to the subject area on which the Center focuses. (10 points) The Secretary reviews each application to determine the extent to which the institution provides financial and other support to the operation of the Center, teaching staff for the Center's subject area, library resources, linkages with institutions abroad, outreach activities, and qualified students in fields related to the Center.
- (e) Strength of library. (15 points) The Secretary reviews each application to determine—
 - (1) The strength of the institution's library holdings (both print and non-print, English and foreign language) in the subject area and at the educational levels (graduate, professional, undergraduate) on which the Center focuses; and the extent to which the institution provides financial support for the acquisition of library materials and for library staff in the subject area of the Center (10 points); and
 - 2) The extent to which research materials at other institutions are available to students through cooperative arrangements with other libraries or on-line databases and the extent to which teachers, students, and faculty from other institutions are able to access the library's holdings (5 points).
- (f) Quality of the Center's non-language instructional program. (20 points) The Secretary reviews each application to determine—
 - (1) The quality and extent of the Center's course offerings in a variety of disciplines (5 points);
 - (2) The extent to which the Center offers depth of specialized course coverage in one or more disciplines of the Center's subject area (5 points);
 - (3) The extent to which the institution employs a sufficient number of teaching faculty to enable the Center to carry out its purposes and the extent to which instructional assistants are provided with pedagogy training (5 points); and
 - (4) The extent to which interdisciplinary courses are offered for undergraduate students (5 points).
- (g) Quality of the Center's language instructional program. (20 points) The Secretary reviews each application to determine—
 - (1) The extent to which the Center provides instruction in the languages of the Center's subject area and the extent to which students enroll in the study of the languages of the subject area through programs offered by the Center or other providers (5 points);
 - (2) The extent to which the Center provides three or more levels of language training and the extent to which courses in disciplines other than language, linguistics, and literature are offered in appropriate foreign languages (5 points);
 - (3) Whether sufficient numbers of language faculty are available to teach the languages and levels of instruction described in the application and the extent to which language teaching staff (including faculty and instructional assistants) have been exposed to current language pedagogy training appropriate for performance-based teaching (5 points); and
 - (4) The quality of the language program as measured by the performance-based instruction being used or developed, the adequacy of resources for language teaching and practice, and language proficiency requirements (5 points).
- (h) Quality of curriculum design. (15 points) The Secretary reviews each application to determine—
 - (1) The extent to which the Center's curriculum has incorporated undergraduate instruction in the applicant's area or topic of specialization into baccalaureate degree programs (for example, major, minor, or certificate programs) and the extent to which these programs and their requirements (including language requirements) are appropriate for a Center in this subject area and will result in an undergraduate training program of high quality (10 points); and
 - (2) The extent to which the Center provides academic and career advising services for students; the extent to which the Center has established formal arrangements for students to conduct research or study abroad and the extent to which these arrangements are used; and the extent to which the institution facilitates student access to other institutions' study abroad and summer language programs (5 points).
- (i) Outreach activities. (15 points) The Secretary reviews each application to determine the extent to which the Center demonstrates a significant and measurable regional and national impact of, and faculty and professional school involvement in, domestic outreach activities that involve—
 - (1) Elementary and secondary schools (5 points);
 - (2) Postsecondary institutions (5 points); and
 - (3) Business, media and the general public (5 points).

(j) Degree to which priorities are served. (10 points) If, under the provisions of Sec. 656.23, the Secretary establishes competitive priorities for Centers, the Secretary considers the degree to which those priorities are being served. (Approved by the Office of Management and Budget under control number 1840-0068.)

(Authority: 20 U.S.C. 1122)

Sec. 656.23 What priorities may the Secretary establish?

- (a) The Secretary may select one or more of the following funding priorities:
 - (1) Specific countries or world areas, such as, for example, East Asia, Africa, or the Middle East.
 - (2) Specific focus of a Center, such as, for example, a single world area; international studies; a particular issue or topic, e.g., business, development issues, or energy; or any combination.
 - (3) Level or intensiveness of language instruction, such as intermediate or advanced language instruction, or instruction at an intensity of 10 contact hours or more per week.
 - (4) Types of activities to be carried out, for example, cooperative summer intensive language programs, course development, or teacher training activities.
- (b) The Secretary may select one or more of the activities listed in Sec. 656.5 as a funding priority.
- (c) The Secretary announces any priorities in the application notice published in the Federal Register.

(Authority: 20 U.S.C. 1122)

Subpart D--What Conditions Must Be Met By a Grantee?

Sec. 656.30 What are allowable costs and limitations on allowable costs?

- (a) Allowable costs. Except as provided under paragraph (b) of this section, a grant awarded under this part may be used to pay all or part of the cost of establishing, strengthening, or operating a comprehensive or undergraduate Center including, but not limited to, the cost of—
 - 1) Faculty and staff salaries and travel;
 - (2) Library acquisitions;
 - (3) Teaching and research materials;
 - (4) Curriculum planning and development;
 - (5) Bringing visiting scholars and faculty to the Center to teach, conduct research, or participate in conferences or workshops;
 - (6) Training and improvement of staff;
 - (7) Projects conducted in cooperation with other centers addressing themes of world, regional, cross-regional, international, or global importance; and
 - (8) Summer institutes in the United States or abroad designed to provide language and area training in the Center's field or topic.
- (b) Limitations on allowable costs. The following are limitations on allowable costs:
 - (1) Equipment costs exceeding 10 percent of the grant are not allowable.
 - (2) Funds for undergraduate travel are allowable only in conjunction with a formal program of supervised study in the subject area on which the Center focuses.
 - (3) Grant funds may not be used to supplant funds normally used by applicants for purposes of this part.

(Authority: 20 U.S.C. 1122)

[61 FR 50193, Sept. 24, 1996, as amended at 64 FR 7739, Feb. 16, 1999]

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TITLE 34—EDUCATION

CHAPTER VI--OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION PART 657--FOREIGN LANGUAGE AND AREA STUDIES FELLOWSHIPS PROGRAM

Subpart A--General

Sec.

657.1 What is the Foreign Language and Area Studies Fellowship Program?

657.2 Who is eligible to receive an allocation of fellowships?

657.3 Who is eligible to receive a fellowship?

657.4 What regulations apply?

657.5 What definitions apply?

Subpart B--How Does an Institution or a Student Submit an Application?

657.10 What combined application may an institution submit?

657.11 How does a student apply for a fellowship?

Subpart C--How Does the Secretary Select an Institution for an Allocation of Fellowships?

657.20 How does the Secretary evaluate an institutional application for an allocation of fellowships?

657.21 What criteria does the Secretary use in selecting institutions for an allocation of fellowships?

657.22 What priorities may the Secretary establish?

Subpart D--What Conditions Must Be Met By a Grantee and a Fellow?

657.30 What is the duration of and what are the limitations on fellowships awarded to individuals by institutions?

657.31 What is the amount of a fellowship?

657.32 What is the payment procedure for fellowships?

657.33 What are the limitations on the use of funds for overseas fellowships?

657.34 Under what circumstances must an institution terminate a fellowship?

Authority: 20 U.S.C. 1122, unless otherwise noted.

Source: 61 FR 50202, Sept. 24, 1996, unless otherwise noted.

Subpart A--General

Sec. 657.1 What is the Foreign Language and Area Studies Fellowships Program?

Under the Foreign Language and Area Studies Fellowships Program, the Secretary awards fellowships, through institutions of higher education, to students who are—

(a) Enrolled for graduate training in a Center or program approved by the Secretary under this part; and

b) Undergoing performance-based modern foreign language training or training in a program for which performance-based modern foreign language instruction is being developed, in combination with area studies, international studies, or the international aspects of professional studies.

(Authority: 20 U.S.C. 1122)

Sec. 657.2 Who is eligible to receive an allocation of fellowships?

- (a) The Secretary awards an allocation of fellowships to an institution of higher education or to a combination of institutions of higher education that—
 - (1) Operates a Center or program approved by the Secretary under this part;
 - (2) Teaches modern foreign languages under a program described in paragraph (b) of this section; and
 - (3) In combination with the teaching described in paragraph (a)(2) of this section—
 - (i) Provides instruction in the disciplines needed for a full understanding of the area, regions, or countries in which the foreign languages are commonly used; or
 - (ii) Conducts training and research in international studies, the international aspects of professional and other fields of study, or issues in world affairs that concern one or more countries.
- (b) In teaching those modern foreign languages for which an allocation of fellowships is made available, the institution must be either using a program of performance-based training or developing a performance-based training program.
- (c) The Secretary uses the criteria in Sec. 657.21 both to approve Centers and programs for the purpose of receiving an allocation of fellowships and to evaluate applications for an allocation of fellowships.
- (d) An institution does not need to receive a grant under the National Resource Center Program (34 CFR part 656) to receive an allocation of fellowships under this part.

(Authority: 20 U.S.C. 1122)

Sec. 657.3 Who is eligible to receive a fellowship?

A student is eligible to receive a fellowship if the student—

- (a) Is a citizen or national of the United States; or
- (b) Is a permanent resident of the United States;
- (c) Is accepted for enrollment or is enrolled-
 - (1) In an institution receiving an allocation of fellowships; and
 - (2) In a program that combines modern foreign language training with—
 - (i) Area or international studies; or
 - (ii) Research and training in the international aspects of professional and other fields of study;

- (d) Shows potential for high academic achievement based on such indices as grade point average, class ranking, or similar measures that the institution may determine; and
- (e) Is enrolled in a program of modern foreign language training in a language for which the institution has developed or is developing performance-based instruction.

(Authority: 20 U.S.C. 1122)

Sec. 657.4 What regulations apply?

The following regulations apply to this program:

- (a) The regulations in 34 CFR part 655.
- (b) The regulations in this part 657.

(Authority: 20 U.S.C. 1122)

Sec. 657.5 What definitions apply?

The following definitions apply to this part:

- (a) The definitions in 34 CFR 655.4.
- (b) Center means an administrative unit of an institution of higher education that has direct access to highly qualified faculty and library resources, and coordinates a concentrated effort of educational activities, including training in modern foreign languages and various academic disciplines, in its subject area.
- (c) Fellow means a person who receives a fellowship under this part.
- (d) Fellowship means the payment a fellow receives under this part.
- (e) Program means a concentration of educational resources and activities in modern foreign language training and related studies.

(Authority: 20 U.S.C. 1122)

Subpart B--How Does an Institution or a Student Submit an Application?

Sec. 657.10 What combined application may an institution submit?

An institution that wishes to apply for an allocation of fellowships and for a grant to operate a Center under 34 CFR part 656 may submit a combined application for both grants to the Secretary.

(Authority: 20 U.S.C. 1122)

Sec. 657.11 How does a student apply for a fellowship?

- (a) A student shall apply for a fellowship directly to an institution of higher education that has received an allocation of fellowships.
- (b) The applicant shall provide sufficient information to enable the institution to determine whether he or she is eligible to receive a fellowship and whether he or she should be selected to receive a fellowship.

(Authority: 20 U.S.C. 1122)

Subpart C--How Does the Secretary Select an Institution for an Allocation of Fellowships?

Sec. 657.20 How does the Secretary evaluate an institutional application for an allocation of fellowships?

- (a) The Secretary evaluates an application for an allocation of fellowships on the basis of the quality of the applicant's Center or program. The applicant's Center or program is evaluated and approved under the criteria in Sec. 657.21.
- (b) In general, the Secretary awards up to 140 possible points for these criteria. However, if priority criteria are used, the Secretary awards up to 150 possible points. The maximum possible points for each criterion are shown in parentheses. (Authority: 20 U.S.C. 1122)

Sec. 657.21 What criteria does the Secretary use in selecting institutions for an allocation of fellowships?

- (a) Foreign language and area studies fellowships awardee selection procedures. (15 points) The Secretary reviews each application to determine whether the selection plan is of high quality, showing how awards will be advertised, how students apply, what selection criteria are used, who selects the fellows, when each step will take place, and how the process will result in awards being made to correspond to any announced priorities.
- (b) Quality of staff resources. (15 points) The Secretary reviews each application to determine—
 - (1) The extent to which teaching faculty and other staff are qualified for the current and proposed activities and training programs, are provided professional development opportunities (including overseas experience), and participate in teaching, supervising, and advising students (5 points);
 - (2) The adequacy of applicant staffing and oversight arrangements and the extent to which faculty from a variety of departments, professional schools, and the library are involved (5 points); and
 - (3) The extent to which the applicant, as part of its nondiscriminatory employment practices, encourages applications for employment from persons who are members of groups that have been traditionally underrepresented, such as members of racial or ethnic minority groups, women, persons with disabilities, and the elderly (5 points).
- (c) Impact and evaluation. (20 points) The Secretary reviews each application to determine—
 - (1) The extent to which the applicant's activities and training programs have contributed to an improved supply of specialists on the program's subject as shown through indices such as graduate enrollments and placement data; and the extent to which the applicant supplies a clear description of how the applicant will provide equal access and treatment of eligible project participants who are members of groups that have been traditionally underrepresented, such as members of racial or ethnic minority groups, women, persons with disabilities, and the elderly (15 points); and
 - (2) The extent to which the applicant provides an evaluation plan that is comprehensive and objective and that will produce quantifiable, outcome-measure-oriented data; and the extent to which recent evaluations have been used to improve the applicant's program (5 points).
- (d) Commitment to the subject area on which the applicant or program focuses. (10 points) The Secretary reviews each application to determine—
 - (1) The extent to which the institution provides financial and other support to the operation of the applicant, teaching staff for the applicant's subject area, library resources, and linkages with institutions abroad (5 points); and
 - (2) The extent to which the institution provides financial support to graduate students in fields related to the applicant's teaching program (5 points).
- (e) Strength of library. (15 points) The Secretary reviews each application to determine—

- (1) The strength of the institution's library holdings (both print and non-print, English and foreign language) for graduate students; and the extent to which the institution provides financial support for the acquisition of library materials and for library staff in the subject area of the applicant (10 points); and
- (2) The extent to which research materials at other institutions are available to students through cooperative arrangements with other libraries or on-line databases (5 points).
- (f) Quality of the applicant's non-language instructional program. (25 points) The Secretary reviews each application to determine—
 - (1) The quality and extent of the applicant's course offerings in a variety of disciplines, including the extent to which courses in the applicant's subject matter are available in the institution's professional schools (10 points);
 - (2) The extent to which the applicant offers depth of specialized course coverage in one or more disciplines on the applicant's subject area (5 points);
 - (3) The extent to which the institution employs a sufficient number of teaching faculty to enable the applicant to carry out its purposes and the extent to which instructional assistants are provided with pedagogy training (5 points); and
 - (4) The extent to which interdisciplinary courses are offered for graduate students (5 points).
- (q) Quality of the applicant's language instructional program. (20 points) The Secretary reviews each application to determine—
 - (1) The extent to which the applicant provides instruction in the languages of the applicant's subject area and the extent to which students enroll in the study of the languages of the subject area through programs or instruction offered by the applicant or other providers (5 points);
 - (2) The extent to which the applicant provides three or more levels of language training and the extent to which courses in disciplines other than language, linguistics, and literature are offered in appropriate foreign languages (5 points);
 - (3) Whether sufficient numbers of language faculty are available to teach the languages and levels of instruction described in the application and the extent to which language teaching staff (including faculty and instructional assistants) have been exposed to current language pedagogy training appropriate for performance-based teaching (5 points); and
 - (4) The quality of the language program as measured by the performance-based instruction being used or developed, the adequacy of resources for language teaching and practice, and language proficiency requirements (5 points).
- (h) Quality of curriculum design. (20 points) The Secretary reviews each application to determine-
 - (1) The extent to which the applicant's curriculum provides training options for graduate students from a variety of disciplines and professional fields and the extent to which these programs and their requirements (including language requirements) are appropriate for an applicant in this subject area and result in graduate training programs of high quality (10 points);
 - (2) The extent to which the applicant provides academic and career advising services for students (5 points); and
 - (3) The extent to which the applicant has established formal arrangements for students to conduct research or study abroad and the extent to which these arrangements are used; and the extent to which the institution facilitates student access to other institutions' study abroad and summer language programs (5 points).
- (i) Priorities. (10 points) If one or more competitive priorities have been established under Sec. 657.22, the Secretary reviews each application for information that shows the extent to which the Center or program meets these priorities.

(Approved by the Office of Management and Budget under control number 1840-0068)

(Authority: 20 U.S.C. 1122)

Sec. 657.22 What priorities may the Secretary establish?

- (a) The Secretary may establish one or more of the following priorities for the allocation of fellowships:
 - (1) Specific world areas, or countries, such as East Asia or Mexico.
 - (2) Languages, such as Chinese.
 - (3) Levels of language offerings.
 - (4) Academic disciplines, such as linguistics or sociology.
 - (5) Professional studies, such as business, law, or education;
 - (6) Particular subjects, such as population growth and planning, or international trade and business.
 - (7) A combination of any of these categories. (b) The Secretary announces any priorities in the application notice published in the Federal Register.

(Authority: 20 U.S.C. 1122)

Subpart D--What Conditions Must Be Met by a Grantee and a Fellow?

Sec. 657.30 What is the duration of and what are the limitations on fellowships awarded to individuals by institutions?

- (a) Duration. An institution may award a fellowship to a student for-
 - (1) One academic year; or
 - (2) One summer session if the summer session provides the fellow with the equivalent of one academic year of modern foreign language study.
- (b) Vacancies. If a fellow vacates a fellowship before the end of an award period, the institution to which the fellowship is allocated may reaward the balance of the fellowship to another student if—
 - (1) The student meets the eligibility requirements in Sec. 657.3; and
 - (2) The remaining fellowship period comprises at least one full academic quarter, semester, trimester, or summer session as described in paragraph (a)(2) of this section.

(Authority: 20 U.S.C. 1122)

Sec. 657.31 What is the amount of a fellowship?

- (a) (1) In institution shall award a stipend to fellowship recipients.
 - (2) Each fellowship includes an institutional payment and a subsistence allowance to be determined by the Secretary.
 - (3) If the institutional payment determined by the Secretary is greater than the tuition and fees charged by the institution, the institutional payment portion of the fellowship is limited to actual tuition and fees. The difference between actual tuition and fees and the Secretary's institutional payment shall be used to fund additional fellowships to the extent that funds are available for a full subsistence allowance.
 - (4) If permitted by the Secretary, the fellowship may include an allowance for travel and an allowance for dependents.
- (b) The Secretary announces in an application notice published in the Federal Register—
 - (1) The amounts of the subsistence allowance and the institutional payment for an academic year and the subsistence allowance and the institutional payment for a summer session;
 - (2) Whether travel and dependents' allowances will be permitted; and

(3) The amount of travel and dependents' allowances.

(Authority: 20 U.S.C. 1122)

Sec. 657.32 What is the payment procedure for fellowships?

- (a) An institution shall pay a fellow his or her subsistence and any other allowance in installments during the term of the fellowship.
- (b) An institution shall make a payment only to a fellow who is in good standing and is making satisfactory progress.
- (c) The institution shall make appropriate adjustments of any overpayment or underpayment to a fellow.
- (d) Funds not used by one recipient for reasons of withdrawal are to be used for alternate recipients to the extent that funds are available for a full subsistence allowance.

(Authority: 20 U.S.C. 1122)

Sec. 657.33 What are the limitations on the use of funds for overseas fellowships?

- (a) Before awarding a fellowship for use outside the United States, an institution shall obtain the approval of the Secretary.
- (b) The Secretary may approve the use of a fellowship outside the United States if the student is—
 - (1) Enrolled in an overseas foreign language program approved by the institution at which the student is enrolled in the United States for study at an intermediate or advanced level or at the beginning level if appropriate equivalent instruction is not available in the United States: or
 - (2) Engaged during the academic year in research that cannot be done effectively in the United States and is affiliated with an institution of higher education or other appropriate organization in the host country.

(Authority: 20 U.S.C. 1122)

Sec. 657.34 Under what circumstances must an institution terminate a fellowship?

An institution shall terminate a fellowship if-

- (a) The fellow is not making satisfactory progress, is no longer enrolled, or is no longer in good standing at the institution; or
- (b) The fellow fails to follow the course of study, including modern foreign language study, for which he or she applied, unless a revised course of study is otherwise approvable under this part.

(Authority: 20 U.S.C. 1122)

SECTION C

Paperwork Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is <u>1840-0068</u>. The time required to complete this information collection is estimated to average 200 hours for the project director per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Washington, D.C. 20202-4651. If you have comments or concerns regarding the status of your individual submission of this form, write directly to: National Resource Center and Foreign Language and Area Studies Fellowship Programs, U.S. Department of Education, 400 Maryland Avenue, S.W., (1990 K Street, NW 6th Floor) Washington, D.C. 20202-4651.

APPLICATION SECTIONS AND INSTRUCTIONS

Table of Contents: Include a one-page table of contents.

Abstract: Provide a one-page abstract. Include the following:

- Description of Center or Program
- Mission
- Degree Programs
- Language(s) and Discipline(s) Coverage
- Faculty
- Enhancement Activities unique to the center or program
- Library
- Outreach

Overview of what is to be achieved with FY06-09 funding

Budget: See required Education Department forms and instructions. In addition to the required budget forms, applications must include detailed budgets (costs and descriptions) for each year of the grant. Cross-references to the narrative and appendices are helpful.

Narrative: The narrative must respond to the NRC and FLAS programs, and the purposes of their respective selection criteria. The evaluation criteria are found in the following sections of the CFR:

Comprehensive Centers (84.015A)

Undergraduate Centers (84.015A)

Foreign Language and Area Studies Fellowships (84.015B)

34 CFR §656.22

34 CFR §656.22

34 CFR §657.21

In developing the narrative, applicants are encouraged to follow the order of the selection criteria listed in the Technical Review Form on pages 1d – 18d. All relevant material (text, charts, tables) must be included in the body of the narrative. Cross-references to the budget section and appendices are helpful and should be used.

<u>PAGE LIMIT</u>: You must limit the narrative to the equivalent of no more than 40 pages for a single institution application or the equivalent of no more than 50 pages for a consortium application, using the following standards:

- A "page" is 8.5" x 11", on one side only, with 1" margins at the top, bottom, and both sides.
- Double space (no more than three lines per vertical inch) all text in the application narrative, including titles, headings, footnotes, quotations, references, and captions. However, you may single space all text in charts, tables, figures and graphs.
- Use a font that is either 12-point or larger or no smaller than 10 pitch (characters per inch). However, you may use a 10-point font in charts, tables, figures, and graphs.
- Use one of the following fonts: Times New Roman, Courier, Courier New or Arial. Applications submitted in any other font (including Times Roman, Arial Narrow) will not be accepted.

The page limit does not apply to the cover sheet; the budget section, including the narrative budget justification; the assurances and certifications; the one-page abstract; or the appendices.

We will reject your application if--

- You apply these standards and exceed the page limit; or
- You apply other standards and exceed the equivalent of the page limit.

Appendices

The font and spacing restrictions imposed on the narrative do not apply to the appendices.

Each application must include only three appendices. Information in excess of the three required appendices will not be considered.

1. **Timeline:** A timeline is relevant to the NRC Program application only. The timeline reflects all proposed program development activities to be funded during the four-year grant period. The timeline represents activities that contribute to strengthening of the overall program. The timeline must not exceed <u>four pages</u>.

Cross-reference pages in the narrative, when appropriate. Identify activities that are new, continuing, or ending during the four-year cycle. Demonstrate how costs will be picked up by the institution(s). Use color or shading to illustrate program development, cost sharing, and other strategies for institutionalizing the activities.

If applying as a consortium, clearly identify the institution(s) conducting the activity(ies).

2. Course List: Present the course list on double-sided pages.

The course list must include information for the following three years:

2004-2005 courses and enrollments

2005-2006 courses being offered 2006-2007 courses to be offered

Indicate the number of credits per course. Include information on courses that are cross-listed. Identify courses that will be funded by the grant in 2006-2007.

For courses with less than 100% (but equal to or more than 25%) area or international content, include the percent of area/international content with a notation explaining the percent. Be sure to explain the inclusion of courses from whose titles the area or international content cannot be easily discerned; for example, including in a Latin American Studies Course List an International Economics course taught by a Latin Americanist and for which 50% of the readings focus on Latin American case studies. Do not include courses with less than 25% area or international content.

3. **Faculty Biographical Information (Bios):** Present two bios per page and double-side the pages in this section. It is helpful if a table of contents precedes the biographical information, to assist the readers in locating a specific bio. You may organize the bios in alphabetical order or by discipline. Include information for all teaching and other professional staff directly involved in the applicant's training program. Please include information about language pedagogy background for language faculty.

Include the following:

- Department and tenure status
- Education
- Academic experience
- · Overseas experience
- Language(s) with proficiency level indicated (<u>Note</u>: include scale for explanation)
- · Percent of time dedicated to area/international studies courses
- Area/International studies courses taught
- Research and teaching specialization
- · Recent publications
- Number of dissertations or theses supervised in past five years
- Distinctions

FREQUENTLY ASKED QUESTIONS AND ANSWERS APPLICATION PREPARATION AND SUBMISSION:

- Q. Our center has received NRC and FLAS grants in the past. Is it appropriate to refer to this in our application?
- A. Yes, if you think that it will be helpful to the review panel. However, the International Education Programs Service (IEPS) strongly suggests that you refrain from referring to your proposal as an application for "renewal" of your grant. A new competition for grant awards is held every four years. In selecting applications for funding, no preference is given to applicants who have received NRC and FLAS grants in the past. All successful applicants are considered to have competed effectively for new grant awards.
- Q. What techniques does the U.S. Department of Education (US/ED) consider helpful for presenting to the readers the application narrative and other important information?
- **A.** Applicants are advised to address each part of the selection criteria in the application narrative. References to the application budget and appendices are strongly recommended to facilitate the readers' evaluation.
- Q. Can an institution apply for funding for both an undergraduate National Resource Center and a FLAS fellowship program?
- **A.** Yes. However, the applicant will need to address selection criteria related to the strength of its graduate training in addition to those focusing on the strength of and development plans for the undergraduate curriculum.
- Q. Are institutions applying for both National Resource Centers and FLAS Fellowship funding permitted a longer page limit than institutions applying for a grant under only one program?
- A. No. Narrative page limits are 40 double-spaced pages for single institution applications and 50 double-spaced pages for consortia applications, regardless of whether they are for undergraduate or comprehensive NRC funding or FLAS fellowships or both. Please see the Closing Date Notice.
- Q. Are consortia with more than two members permitted a longer page limit than two-member consortia?
- A. No. All consortia must comply with the 50 double-spaced page narrative limit.
- Q. Do we include the budget, forms, and appendices within the page limit?
- A. No. Please refer to the Closing Date Notice and to Section C (page 2c) for specific guidance.
- Q. How much money should we request for an NRC grant?
- A. IEPS suggests that applicants limit requests to \$250,000 per year for single institution centers and \$260,000 per year for consortial centers. These amounts are guidelines based on the anticipated available funds. Limiting your requested funds to the suggested amounts allows the readers to evaluate proposals based on a more realistic scope of NRC-funded work.
- Q. Are undergraduate students eligible to receive FLAS fellowships?
- A. No. Title VI of the Higher Education Act as amended specifies that the recipients be students at the graduate level
- Q. Are faculty members eligible to receive FLAS fellowships?
- **A.** Yes. Faculty members have occasionally received summer FLAS awards for intensive language study. Please consult your program officer if you plan to use awards for this purpose.
- Q. Should we describe in the application our center's "outreach" to people in other countries?
- **A.** Yes, but not in response to the "Outreach Activities" selection criterion, which asks readers to evaluate the *regional and national impact* of an applicant's activities. To the extent that your center engages in projects that facilitate overseas study and research or professional development opportunities for U.S.-based students and educators, these activities might be relevant in addressing other selection criteria.

Q. How many copies of an application should we submit?

- **A.** Applicants are required to submit an original application and two copies. The original application stays in the program office and the copies are read by the peer review panel. Because each application is evaluated by three reviewers, the program office would appreciate receiving a total of one original plus three copies.
- Q. Is an exception ever made for an application postmarked after the closing-date?
- A. No, never.

<u>APPLICATION PROCESSING:</u> (These procedures are described in the Education Department General Administrative Regulations (EDGAR) §75.215-236.)

- Q. If the application receives a waiver of the e-Application requirement, what happens to my application after I have sent it to the US/ED Application Control Center?
- **A.** Your application is delivered to the Application Control Center (ACC), the unit authorized to receive grant applications. ACC confirms receipt of an original application and copies and assigns each an identifying number (PR/Award number, e.g., P015**A**0--- P015**B**060---), and sends the applications to the program office, where applications are screened for eligibility. Applications that are eligible are then assigned to expert reviewers for the external "peer review" process.

The panels of experts read and score each eligible application. Program officers then review the readers' comments and scores and make funding recommendations to US/ED officials. Once recommendations are approved, grant award notifications (GANs) are issued, if appropriations are available.

- Q. What happens to my application if US/ED finds it to be ineligible?
- **A.** It is not evaluated. Section 75.216 of EDGAR prohibits US/ED from evaluating an application if it does not meet the program eligibility criteria or does not otherwise comply with application requirements. An ineligible application is returned to the applicant with a letter explaining why it was not evaluated.
- Q. Who evaluates the applications submitted for the competition?
- A. Each application is evaluated by three area or international studies experts, one of whom is a language specialist, from outside US/ED who represent a variety of disciplines. The experts are required to use the program statute and regulations, the program selection criteria, and any priorities and other requirements that have been published in the <u>Federal Register</u> as guidance in reviewing the applications. The review panels provide written comments and scores to support their judgments about the quality, significance, and impact of the proposed project.
- Q. What criteria do the reviewers use when scoring an application?

Reviewers score each application using the selection criteria published in the <u>Federal Register</u> as part of the program regulations. Reviewers are instructed to use only the published criteria and to base their evaluation strictly on information provided in the application.

- Q. What information does US/ED consider when selecting applications for funding?
- **A.** US/ED considers: (1) the information in each application, (2) the readers' scores and comments resulting from the peer review of the applications, and (3) any other information relevant to a criterion, priority, or other requirement that applies to the selection of applications for new grants.
- Q. How long does it take US/ED to complete the review process?
- **A.** Most review processes take about six to eight months from the deadline date through the issuance of the signed Grant Award Notification.

PRIORITIES: (Described in EDGAR §75.105(c))

Q. What is the absolute priority for the FY 2006-09 competition?

A. There is one absolute priority for NRCs in this competition.

Absolute Priority: Projects that include teacher training activities.

The National Resource Centers (NRC) program has one "absolute priority", which is to conduct Teacher Training activities. All NRC applicants must address this priority in their applications. This does not suggest applicants devote a separate section of their application to Teacher Training initiatives or activities. All that is required is that reviewers are able to discern what training activities for educators the applicant will conduct during the funding cycle. References to the teacher training activities can be placed in the narrative, budget, and/or timeline.

There is no absolute priority for the FY 2006-09 Foreign Language and Area Studies (FLAS) program competition.

Q. Are there other priorities?

A. Yes. Please note that the Closing Date Notice for FY2006-09 funding announces one competitive preference priority and five invitational priorities for the National Resource Centers program and two competitive preference priorities for the FLAS Fellowships Programs.

Q. Our center engages in outreach activities. Does that mean that we have fulfilled the Absolute Priority for National Resource Centers?

A. Not necessarily. "Outreach activities" include many projects that are not teacher training. To meet the absolute priority, applicants must include information that demonstrates the existence, development, or expansion of effective teacher training activities.

Post-Award Issue: (This question relates to EDGAR §75.118 and §75.253.)

Q. How will decisions about continuation funding be made?

A. Grantees are required to submit annual performance reports on time through EELIAS that describe the projects' accomplishments, GPRA data, and budgetary status. These reports assist IEPS staff in determining continuation funding. Although estimated funding levels for all four years of the grant are established at the time of the initial grant award notification, the amount of funding received in Years 2, 3 and 4 of the grant can increase or decrease depending on: (1) the timely submission of all required reports, (2) evidence in the reports that you have made substantial progress toward meeting original grant objectives, (3) program officers' review and analysis of report data, (4) information from site visits, and (5) the congressional appropriation of funds for the programs.

Successful applicants will receive instructions for submitting electronic performance reports through EELIAS soon after their grants have been awarded.

NOTICE TO ALL APPLICANTS

The purpose of this enclosure is to inform you about a new provision in the Department of Education's General Education Provisions Act (GEPA) that applies to applicants for new grant awards under Department programs. This provision is Section 427 of GEPA, enacted as part of the Improving America's Schools Act of 1994 (Public Law (P.L.) 103-382).

To Whom Does This Provision Apply?

Section 427 of GEPA affects applicants for new grant awards under this program. ALL APPLICANTS FOR NEW AWARDS MUST INCLUDE INFORMATION IN THEIR APPLICATIONS TO ADDRESS THIS NEW PROVISION IN ORDER TO RECEIVE FUNDING UNDER THIS PROGRAM.

(If this program is a State-formula grant program, a State needs to provide this description only for projects or activities that it carries out with funds reserved for State-level uses. In addition, local school districts or other eligible applicants that apply to the State for funding need to provide this description in their applications to the State for funding. The State would be responsible for ensuring that the school district or other local entity has submitted a sufficient section 427 statement as described below.)

What Does This Provision Require?

Section 427 requires each applicant for funds (other than an individual person) to include in its application a description of the steps the applicant proposes to take to ensure equitable access to, and participation in, its Federally-assisted program for students, teachers, and other program beneficiaries with special needs. This provision allows applicants discretion in developing the required description. The statute highlights six types of barriers that can impede equitable access or participation: gender, race, national origin, color, disability, or age. Based on local circumstances, you should determine whether these or other barriers may prevent your students, teachers, etc. from such access or participation in, the Federally-funded project or activity. The description in your application of steps to be taken to overcome these barriers need not be lengthy; you may provide a clear and succinct description of how you plan to address those barriers that are applicable to your circumstances. In addition, the information may be provided in a single narrative, or, if appropriate, may be discussed in connection with related topics in the application.

Section 427 is not intended to duplicate the requirements of civil rights statutes, but rather to ensure that, in designing their projects, applicants for Federal funds address equity concerns that may affect the ability of certain potential beneficiaries to fully participate in the project and to achieve to high standards. Consistent with program requirements and its approved application, an applicant may use the Federal funds awarded to it to eliminate barriers it identifies.

What are Examples of How an Applicant Might Satisfy the Requirement of This Provision?

The following examples may help illustrate how an applicant may comply with Section 427.

- (1) An applicant that proposes to carry out an adult literacy project serving, among others, adults with limited English proficiency, might describe in its application how it intends to distribute a brochure about the proposed project to such potential participants in their native language.
- (2) An applicant that proposes to develop instructional materials for classroom use might describe how it will make the materials available on audio tape or in braille for students who are blind.
- (3) An applicant that proposes to carry out a model science program for secondary students and is concerned that girls may be

less likely than boys to enroll in the course, might indicate how it intends to conduct "outreach" efforts to girls, to encourage their enrollment.

We recognize that many applicants may already be implementing effective steps to ensure equity of access and participation in their grant programs, and we appreciate your cooperation in responding to the requirements of this provision.

Estimated Burden Statement for GEPA Requirements

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is **1890-0007**. The time required to complete this information collection is estimated to average 1.5 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. **If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to:** Director, Grants Policy and Oversight Staff, U.S. Department of Education, 400 Maryland Avenue SW, Washington, DC 20202-4250.

Applicants for grants under the National Resource Centers (84.015A) and Foreign Language and Area Studies Fellowships (84.015B) Programs should address Section 427 of GEPA in the narrative sections of the application dealing with the selection criteria, "Quality of Staff Resources" and "Impact and Evaluation" or in the context of any other selection criterion the applicant deems appropriate. However, it is not required that the provision be addressed in the application narrative; a separate narrative describing how the applicant plans to address the provision can be appended to the Federal forms submitted with the application. Please note, however, that the selection criteria must be addressed in full in the narrative.

The Evaluation of Exchange, Language, International and Area Studies:

On August 25, 2000, the Office of Management and Budget approved the Evaluation of Exchange, Language, and Area Studies (EELIAS) electronic performance reporting system for collecting performance narratives and project data for all Title VI programs.

If your center or program is awarded a new FY 2006 National Resource Centers program grant and a Foreign Language and Area Studies Fellowships grant, you will be required to submit your annual and final performance reports using EELIAS. EELIAS is designed to collect comparable performance information across Title VI programs. In addition to providing evaluation data to inform the federal strategic planning and budget processes, the performance reports assist IEPS program officers in determining grantees' progress toward meeting the approved project objectives and whether to make non-competing continuation awards in fiscal years 2007, 2008 and 2009.

Notice to Applicants: The Government Performance and Results Act (GPRA)

What is GPRA?

The Government Performance and Results Act of 1993 (GPRA) is a straightforward statute that requires all federal agencies to manage their activities with attention to the consequences of those activities. Each agency is to clearly state what it intends to accomplish, identify the resources required, and periodically report their progress to the Congress. In so doing, it is expected that the GPRA will contribute to improvements in accountability for the expenditures of public funds, improve congressional decision-making through more objective information on the effectiveness of federal programs, and promote a new government focus on results, service delivery, and customer satisfaction.

How has the Department of Education Responded to the GPRA Requirements?

As required by GPRA, the Department of Education has prepared a strategic plan for 2002-2007. This plan reflects the Department's priorities and integrates them with its mission and program authorities and describes how the Department will work to improve education for all children and adults in the U.S. The Department's goals, as listed in the plan, are:

Goal 1: Create a Culture of Achievement
Goal 2: Improve Student Achievement

Goal 3: Develop Safe Schools and Strong Character

Goal 4: Transform Education into an Evidence-based Field

Goal 5: Enhance the Quality of and Access to Postsecondary and Adult Education

Goal 6: Establish Management Excellence

The performance indicators for the International Education Programs are part of the Department's plan for meeting Goal 5: Enhance the Quality and Access to Postsecondary and Adult Education.

What are the Performance Indicators for the International Education Programs?

The Department's specific goal for the International Education Programs is "to meet the nation's security and economic needs through the development and maintenance of a national capacity in foreign languages, and area and international studies." The objective and performance indicators are as follows:

- 1. Maintain a U.S. higher education system with the capacity to produce experts in less commonly taught languages and area studies who are capable of contributing to the needs of U.S. government, academic, and business institutions.
 - (1.1) Title VI supported institutions provide the majority of the instruction in foreign languages, especially in less commonly taught languages.
 - (1.2) Percentage of graduates of Title VI supported programs report that they found employment that utilizes their language and/or area expertise.

Application for Federal Education Assistance (ED 424)



U.S. Department of Education Form Approved OMB No. 1890-0017 Exp. 04/30/2008

Applicant Information				Organizational Unit		
1. Name and Address Legal Name:				_		
Address:						
- C:4				State County		
City				State County	ZIP Code + 4	
2. Applicant's D-U-N-S Number				6. Novice ApplicantY	6. Novice ApplicantYesNo	
3. Applicant's T-I-N					7. Is the applicant delinquent on any Federal debt?YesNo (If "Yes," attach an explanation.)	
4. Catalog of Federal D	Domestic Assistance	e #: 84			,	
Title:				8. Type of Applicant (Enter	r appropriate letter in the box.)	
		-				
5. Project Director:				A - State B - Local C - Special District D - Indian Tribe E - Individual	F - Independent School District G - Public College or University H - Private, Non-profit College or University I - Non-profit Organization J - Private, Profit-Making Organization	
				K - Other (Specify):	,	
City	-	State	Zip code + 4	K - Ouici (specify).		
	Fax				-	
E-Mail Address:				9. State Application Identi	fier	
			<u> </u>			
Application Information 10. Type of Submission: -PreApplication -Application Construction Construction Non-Construction Non-Construction				 13. Are any research activities involving human subjects planned at any time during the proposed project period? Yes (Go to 13a.) No (Go to item 14.) 13a. Are all the research activities proposed designated to be 		
11. Is application subject to review by Executive Order 12372 process? Yes (Date made available to the Executive Order 12372 process for review):// No (If "No," check appropriate box below.)				Are all the Testact activities proposed designated to be exempt from the regulations? Yes (Provide Exemption(s) #): No (Provide Assurance #):		
						Program is not covered by E.O. 12372. Program has not been selected by State for review.
12. Proposed Project D	Dates: / /	/	/			
, ,,,,,	<u>S</u> 1	art Date:	End Date:			
			Authorized Represen 16. To the best of my knowl	tative Information edge and belief, all data in this preap	plication/application are true	
15a. Federal	\$	00	•	ment has been duly authorized by the		
b. Applicant	\$		and the applicant will comply with the attached assurances if the assistance is awarded.			
c. State	\$		a. Authorized Representative (Please type or print name clearly.)			
d. Local	\$		<u>-</u>			
e. Other	\$	00	b. Title:			
f. Program Income	\$	00	c. Tel. #: ()	Fax #: ()		
g. TOTAL	\$	00	e. Signature of Authorized			
					Date: / /	
					Date:/	

ED 424 Form Item 16 World Area and Application Type Selection Sheet

Please check the World Area focus for this application:	
Africa	
Asia	
Canada	
East Asia	
Europe/Eurasia	
Inner Asia	
International	
Latin America & Caribbean	
Middle East	
Pacific Islands	
Russia/East Europe	
South Asia	
Southeast Asia	
Western Europe	
Other (please specify)	
Please check application type:	
Comprehensive NRC and FLAS	
Undergraduate NRC and FLAS	
Comprehensive NRC only	
Undergraduate NRC only	
FLAS only	

- Legal Name and Address. Enter the legal name of applicant and the name
 of the primary organizational unit which will undertake the assistance
 activity.
- 2. D-U-N-S Number. Enter the applicant's D-U-N-S Number. If your organization does not have a D-U-N-S Number, you can obtain the number by calling 1-800-333-0505 or by completing a D-U-N-S Number Request Form. The form can be obtained via the Internet at the following URL: http://www.dnb.com.
- **3. Tax Identification Number.** Enter the taxpayer's identification number as assigned by the Internal Revenue Service.
- **4. Catalog of Federal Domestic Assistance (CFDA) Number.** Enter the CFDA number and title of the program under which assistance is requested. The CFDA number can be found in the federal register notice and the application package.
- **5. Project Director.** Name, address, telephone and fax numbers, and e-mail address of the person to be contacted on matters involving this application.
- 6. Novice Applicant. Check "Yes" or "No" only if assistance is being requested under a program that gives special consideration to novice applicants. Otherwise, leave blank.
 - Check "Yes" if you meet the requirements for novice applicants specified in the regulations in 34 CFR 75.225 and included on the attached page entitled "Definitions for Form ED 424." By checking "Yes" the applicant certifies that it meets these novice applicant requirements. Check "No" if you do not meet the requirements for novice applicants.
- 7. Federal Debt Delinquency. Check "Yes" if the applicant's organization is delinquent on any Federal debt. (This question refers to the applicant's organization and not to the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes.) Otherwise, check "No."
- 8. Type of Applicant. Enter the appropriate letter in the box provided.
- 9. State Application Identifier. State use only (if applicable).
- 10.Type of Submission. See "Definitions for Form ED 424" attached.
- **11.Executive Order 12372.** See "Definitions for Form ED 424" attached. Check "**Yes**" if the application is subject to review by E.O. 12372. Also, please enter the month, day, and four (4) digit year (e.g., 12/12/2001). Otherwise, check "**No.**"
- Proposed Project Dates. Please enter the month, day, and four (4) digit year (e.g., 12/12/2001).
- **13. Human Subjects Research.** (See I.A. "Definitions" in attached page entitled "Definitions for Form ED 424.")
 - **If Not Human Subjects Research.** Check "No" if research activities involving human subjects are not planned at any time during the proposed project period. The remaining parts of Item 13 are then not applicable.
 - **If Human Subjects Research.** Check "**Yes**" if research activities involving human subjects are planned at any time during the proposed project period, either at the applicant organization or at any other performance site or collaborating institution. Check "**Yes**" even if the research is exempt from the regulations for the protection of human subjects. (See I.B. "Exemptions" in attached page entitled "Definitions for Form ED 424.")
- 13a. If Human Subjects Research is Exempt from the Human Subjects Regulations. Check "Yes" if all the research activities proposed are designated to be exempt from the regulations. Insert the exemption

- number(s) corresponding to one or more of the six exemption categories listed in I.B. "Exemptions." In addition, follow the instructions in II.A. "Exempt Research Narrative" in the attached page entitled "Definitions for Form ED 424." Insert this narrative immediately following the ED 424 face page.
- **13a.** If Human Subjects Research is Not Exempt from Human Subjects Regulations. Check "No" if some or all of the planned research activities are covered (not exempt). In addition, follow the instructions in II.B. "Nonexempt Research Narrative" in the page entitled "Definitions for Form ED 424." Insert this narrative immediately following the ED 424 face page.
- 13a. Human Subjects Assurance Number. If the applicant has an approved Federal Wide (FWA) or Multiple Project Assurance (MPA) with the Office for Human Research Protections (OHRP), U.S. Department of Health and Human Services, that covers the specific activity, insert the number in the space provided. If the applicant does not have an approved assurance on file with OHRP, enter "None." In this case, the applicant, by signature on the face page, is declaring that it will comply with 34 CFR 97 and proceed to obtain the human subjects assurance upon request by the designated ED official. If the application is recommended/selected for funding, the designated ED official will request that the applicant obtain the assurance within 30 days after the specific formal request.

Note about Institutional Review Board Approval. ED does not require certification of Institutional Review Board approval with the application. However, if an application that involves non-exempt human subjects research is recommended/selected for funding, the designated ED official will request that the applicant obtain and send the certification to ED within 30 days after the formal request.

- 14. Project Title. Enter a brief descriptive title of the project. If more than one program is involved, you should append an explanation on a separate sheet. If appropriate (e.g., construction or real property projects), attach a map showing project location. For preapplications, use a separate sheet to provide a summary description of this project.
- 15. Estimated Funding. Amount requested or to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions should be included on appropriate lines as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses. If both basic and supplemental amounts are included, show breakdown on an attached sheet. For multiple program funding, use totals and show breakdown using same categories as item 14.
- **16. Certification.** To be signed by the authorized representative of the applicant. A copy of the governing body's authorization for you to sign this application as official representative must be on file in the applicant's office. Be sure to enter the telephone and fax number and e-mail address of the authorized representative. Also, in item 16e, please enter the month, day, and four (4) digit year (e.g., 12/12/2001) in the date signed field.

Paperwork Burden Statement. According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1890-0017. The time required to complete this information collection is estimated to average between 15 and 45 minutes per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the estimate(s) or suggestions for improving this form, please write to: U.S. Department of Eugation, Washington, D.C. 20202-4700. If you have comments or concerns regarding the status of your individual submission of this form write directly to: Joyce I. Mays, Application Control Center, U.S. Department of Education, 400 Maryland Avenue, SW, Washington, DC 20202-4260.

Novice Applicant (See 34 CFR 75.225). For discretionary grant programs under which the Secretary gives special consideration to novice applications, a novice applicant means any applicant for a grant from ED that—

- Has never received a grant or subgrant under the program from which it seeks funding;
- Has never been a member of a group application, submitted in accordance with 34 CFR 75.127-75.129, that received a grant under the program from which it seeks funding; and
- Has not had an active discretionary grant from the Federal government in the five years before the deadline date for applications under the program. For the purposes of this requirement, a grant is active until the end of the grant's project or funding period, including any extensions of those periods that extend the grantee's authority to obligate funds.

In the case of a group application submitted in accordance with 34 CFR 75.127-75.129, a group includes only parties that meet the requirements listed above.

Type of Submission. "Construction" includes construction of new buildings and acquisition, expansion, remodeling, and alteration of existing buildings, and initial equipment of any such buildings, or any combination of such activities (including architects' fees and the cost of acquisition of land). "Construction" also includes remodeling to meet standards, remodeling designed to conserve energy, renovation or remodeling to accommodate new technologies, and the purchase of existing historic buildings for conversion to public libraries. For the purposes of this paragraph, the term "equipment" includes machinery, utilities, and built-in equipment and any necessary enclosures or structures to house them; and such term includes all other items necessary for the functioning of a particular facility as a facility for the provision of library services.

Executive Order 12372. The purpose of Executive Order 12372 is to foster an intergovernmental partnership and strengthen federalism by relying on State and local processes for the coordination and review of proposed Federal financial assistance and direct Federal development. The application notice, as published in the Federal Register, informs the applicant as to whether the program is subject to the requirements of E.O. 12372. In addition, the application package contains information on the State Single Point of Contact. An applicant is still eligible to apply for a grant or grants even if its respective State, Territory, Commonwealth, etc. does not have a State Single Point of Contact. For additional information on E.O. 12372 go to

 $http://www.archives.gov/federal_register/codification/executive_order/12372 \\.html$

PROTECTION OF HUMAN SUBJECTS IN RESEARCH

I. Definitions and Exemptions

A. Definitions.

A research activity involves human subjects if the activity is research, as defined in the Department's regulations, and the research activity will involve use of human subjects, as defined in the regulations.

—Research

The ED Regulations for the Protection of Human Subjects, Title 34, Code of Federal Regulations, Part 97, define research as "a systematic investigation, including research development, testing and evaluation, designed to develop or contribute to generalizable knowledge." If an activity follows a deliberate plan whose purpose is to develop or contribute to generalizable knowledge it is research. Activities which meet this definition constitute research whether or not they are conducted or supported under a program which is considered research for other purposes. For example, some demonstration and service programs may include research activities.

-Human Subject

The regulations define human subject as "a living individual about whom an investigator (whether professional or student) conducting research obtains (1)

data through intervention or interaction with the individual, or (2) identifiable private information." (1) If an activity involves obtaining information about a living person by manipulating that person or that person's environment, as might occur when a new instructional technique is tested, or by communicating or interacting with the individual, as occurs with surveys and interviews, the definition of human subject is met. (2) If an activity involves obtaining private information about a living person in such a way that the information can be linked to that individual (the identity of the subject is or may be readily determined by the investigator or associated with the information), the definition of human subject is met. [Private information includes information about behavior that occurs in a context in which an individual can reasonably expect that no observation or recording is taking place, and information which has been provided for specific purposes by an individual and which the individual can reasonably expect will not be made public (for example, a school health record).]

B. Exemptions.

Research activities in which the **only** involvement of human subjects will be in one or more of the following six categories of *exemptions* are not covered by the regulations:

- (1) Research conducted in established or commonly accepted educational settings, involving normal educational practices, such as (a) research on regular and special education instructional strategies, or (b) research on the effectiveness of or the comparison among instructional techniques, curricula, or classroom management methods.
- (2) Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures or observation of public behavior, unless: (a) information obtained is recorded in such a manner that human subjects can be identified, directly or through identifiers linked to the subjects; and (b) any disclosure of the human subjects' responses outside the research could reasonably place the subjects at risk of criminal or civil liability or be damaging to the subjects' financial standing, employability, or reputation. If the subjects are children, exemption 2 applies only to research involving educational tests and observations of public behavior when the investigator(s) do not participate in the activities being observed. Exemption 2 does not apply if children are surveyed or interviewed or if the research involves observation of public behavior and the investigator(s) participate in the activities being observed. [Children are defined as persons who have not attained the legal age for consent to treatments or procedures involved in the research, under the applicable law or jurisdiction in which the research will be conducted.]
- (3) Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures or observation of public behavior that is not exempt under section (2) above, if the human subjects are elected or appointed public officials or candidates for public office; or federal statute(s) require(s) without exception that the confidentiality of the personally identifiable information will be maintained throughout the research and thereafter.
- (4) Research involving the collection or study of existing data, documents, records, pathological specimens, or diagnostic specimens, if these sources are publicly available or if the information is recorded by the investigator in a manner that subjects cannot be identified, directly or through identifiers linked to the subjects.
- (5) Research and demonstration projects which are conducted by or subject to the approval of department or agency heads, and which are designed to study, evaluate, or otherwise examine: (a) public benefit or service programs; (b) procedures for obtaining benefits or services under those programs; (c) possible changes in or alternatives to those programs or procedures; or (d) possible changes in methods or levels of payment for benefits or services under those programs.
- (6) Taste and food quality evaluation and consumer acceptance studies, (a) if wholesome foods without additives are consumed or (b) if a food is consumed that contains a food ingredient at or below the level and for a use found to be safe, or agricultural chemical or environmental contaminant at or below the level found to be safe, by the Food and Drug Administration or approved by the Environmental Protection Agency or the Food Safety and Inspection Service of the U.S. Department of Agriculture.

II. Instructions for Exempt and Nonexempt Human Subjects Research Narratives

If the applicant marked "Yes" for Item 13 on the ED 424, the applicant must provide a human subjects "exempt research" or "nonexempt research" narrative and insert it immediately following the ED 424 face page.

A. Exempt Research Narrative.

If you marked "Yes" for item 13 a. and designated exemption numbers(s), provide the "exempt research" narrative. The narrative must contain sufficient information about the involvement of human subjects in the proposed research to allow a determination by ED that the designated exemption(s) are appropriate. The narrative must be succinct.

B. Nonexempt Research Narrative.

If you marked "No" for item 13 a. you must provide the "nonexempt research" narrative. The narrative must address the following seven points. Although no specific page limitation applies to this section of the application, be succinct.

- (1) Human Subjects Involvement and Characteristics: Provide a detailed description of the proposed involvement of human subjects. Describe the characteristics of the subject population, including their anticipated number, age range, and health status. Identify the criteria for inclusion or exclusion of any subpopulation. Explain the rationale for the involvement of special classes of subjects, such as children, children with disabilities, adults with disabilities, persons with mental disabilities, pregnant women, prisoners, institutionalized individuals, or others who are likely to be vulnerable
- (2) **Sources of Materials**: Identify the sources of research material obtained from individually identifiable living human subjects in the form of specimens, records, or data. Indicate whether the material or data will be obtained specifically for research purposes or whether use will be made of existing specimens, records, or data.

- (3) **Recruitment and Informed Consent**: Describe plans for the recruitment of subjects and the consent procedures to be followed. Include the circumstances under which consent will be sought and obtained, who will seek it, the nature of the information to be provided to prospective subjects, and the method of documenting consent. State if the Institutional Review Board (IRB) has authorized a modification or waiver of the elements of consent or the requirement for documentation of consent.
- (4) **Potential Risks**: Describe potential risks (physical, psychological, social, legal, or other) and assess their likelihood and seriousness. Where appropriate, describe alternative treatments and procedures that might be advantageous to the subjects.
- (5) **Protection Against Risk**: Describe the procedures for protecting against or minimizing potential risks, including risks to confidentiality, and assess their likely effectiveness. Where appropriate, discuss provisions for ensuring necessary medical or professional intervention in the event of adverse effects to the subjects. Also, where appropriate, describe the provisions for monitoring the data collected to ensure the safety of the subjects.
- (6) **Importance of the Knowledge to be Gained**: Discuss the importance of the knowledge gained or to be gained as a result of the proposed research. Discuss why the risks to subjects are reasonable in relation to the anticipated benefits to subjects and in relation to the importance of the knowledge that may reasonably be expected to result.
- (7) **Collaborating Site(s)**: If research involving human subjects will take place at collaborating site(s) or other performance site(s), name the sites and briefly describe their involvement or role in the research.

Copies of the Department of Education's Regulations for the Protection of Human Subjects, 34 CFR Part 97 and other pertinent materials on the protection of human subjects in research are available from the Grants Policy and Oversight Staff, Office of the Chief Financial Officer, U.S. Department of Education, Washington, D.C. 20202-4250, telephone: (202) 245-6120, and on the U.S. Department of Education's Protection of Human Subjects in Research Web Site at http://www.ed.gov/about/offices/list/ocfo/humansub.html.



U.S. DEPARTMENT OF EDUCATION BUDGET INFORMATION NON-CONSTRUCTION PROGRAMS

OMB Control Number: 1890-0004 Expiration Date: 10-31-2007

Name of Institution/Organization

Applicants requesting funding for only one year should complete the column under "Project Year 1." Applicants requesting funding for multi-year grants should complete all applicable columns. Please read all instructions before completing form.

SECTION A - BUDGET SUMMARY U.S. DEPARTMENT OF EDUCATION FUNDS

Budget Categories	Project Year 1 (a)	Project Year 2 (b)	Project Year 3 (c)	Project Year 4 (d)	Project Year 5 (e)	Total (f)
1. Personnel						
2. Fringe Benefits						
3. Travel						
4. Equipment						
5. Supplies						
6. Contractual						
7. Construction						
9. Total Direct Costs (lines 1-8)						
10. Indirect Costs*						
11. Training Stipends						
12. Total Costs (lines 9-11)						
*Indirect Cost Information (To If you are requesting reimbursem (1) Do you have an Indirect Co (2) If yes, please provide the form Period Covered by the Indi Approving Federal agency: (3) For Restricted Rate Program Is included in your app	nent for indirect costs on line ost Rate Agreement approve following information: irect Cost Rate Agreement: : ED Other (ms (check one) Are you	ne 10, please answer the forced by the Federal government. From://	To:/ (mm.	n/dd/yyyy)		

Name of Institution/Organization			Applicants requesting funding for only one year should complete the column under "Project Year 1." Applicants requesting funding for multi-year grants should complete all applicable columns. Please read all instructions before completing form.				
SECTION B - BUDGET SUMMARY NON-FEDERAL FUNDS							
Budget Categories	Project Year 1 (a)	Project Year 2 (b)	Project Year 3 (c)	Project Year 4 (d)	Project Year 5 (e)	Total (f)	
1. Personnel							
2. Fringe Benefits							
3. Travel							
4. Equipment							
5. Supplies							
6. Contractual							
7. Construction							
8. Other							
9. Total Direct Costs (Lines 1-8)							
10. Indirect Costs							
11. Training Stipends							
12. Total Costs (Lines 9-11)							

SECTION C – BUDGET NARRATIVE (see instructions)

Instructions for ED 524

General Instructions

This form is used to apply to individual U.S. Department of Education (ED) discretionary grant programs. Unless directed otherwise, provide the same budget information for each year of the multi-year funding request. Pay attention to applicable program specific instructions, if attached. Please consult with your Business Office prior to submitting this form.

Section A - Budget Summary U.S. Department of Education Funds

All applicants must complete Section A and provide a breakdown by the applicable budget categories shown in lines 1-11.

Lines 1-11, columns (a)-(e): For each project year for which funding is requested, show the total amount requested for each applicable budget category.

Lines 1-11, column (f): Show the multi-year total for each budget category. If funding is requested for only one project year, leave this column blank.

Line 12, columns (a)-(e): Show the total budget request for each project year for which funding is requested.

Line 12, column (f): Show the total amount requested for all project years. If funding is requested for only one year, leave this space blank.

Indirect Cost Information:

If you are requesting reimbursement for indirect costs on line 10, this information is to be completed by your Business Office. (1): Indicate whether or not your organization has an Indirect Cost Rate Agreement that was approved by the Federal government. (2): If you checked "yes" in (1), indicate in (2) the beginning and ending dates covered by the Indirect Cost Rate Agreement. In addition, indicate whether ED or another Federal agency (Other) issued the approved agreement. If you check "Other," specify the name of the Federal agency that issued the approved agreement. (3): If you are applying for a grant under a Restricted Rate Program (34 CFR 75.563 or 76.563), indicate whether you are using a restricted indirect cost rate that is included on your approved Indirect Cost Rate Agreement or whether you are using a restricted indirect cost rate that complies with 34 CFR 76.564(c)(2). Note: State or Local government agencies may not use the provision for a restricted indirect cost rate specified in 34 CFR 76.564(c)(2). Check only one response. Leave blank, if this item is not applicable.

Section B - Budget Summary Non-Federal Funds

If you are required to provide or volunteer to provide matching funds or other non-Federal resources to the project, these should be shown for each applicable budget category on lines 1-11 of Section B.

Lines 1-11, columns (a)-(e): For each project year, for which matching funds or other contributions are provided, show the total contribution for each applicable budget category.

Lines 1-11, column (f): Show the multi-year total for each budget category. If non-Federal contributions are provided for only one year, leave this column blank.

Line 12, columns (a)-(e): Show the total matching or other contribution for each project year.

Line 12, column (f): Show the total amount to be contributed for all years of the multi-year project. If non-Federal contributions are provided for only one year, leave this space blank.

Section C - Budget Narrative [Attach separate sheet(s)] Pay attention to applicable program specific instructions, if attached.

- Provide an itemized budget breakdown, and justification by project year, for each budget category listed in Sections A and B. For grant projects that will be divided into two or more separately budgeted major activities or sub-projects, show for each budget category of a project year the breakdown of the specific expenses attributable to each sub-project or activity.
- If applicable to this program, provide the rate and base on which fringe benefits are calculated.
- 3. If you are requesting reimbursement for indirect costs on line 10, this information is to be completed by your Business Office. Specify the estimated amount of the base to which the indirect cost rate is applied and the total indirect expense. Depending on the grant program to which you are applying and/or your approved Indirect Cost Rate Agreement, some direct cost budget categories in your grant application budget may not be included in the base and multiplied by your indirect cost rate. For example, you must multiply the indirect cost rates of "Training grants" (34 CFR 75.562) and grants under programs with "Supplement not Supplant" requirements ("Restricted Rate" programs) by a "modified total direct cost" (MTDC) base (34 CFR 75.563 or 76.563). Please indicate which costs are included and which costs are excluded from the base to which the indirect cost rate is applied.

When calculating indirect costs (line 10) for "Training grants" or grants under "Restricted Rate" programs, you must refer to the information and examples on ED's website at: http://www.ed.gov/fund/grant/apply/appforms/appforms.html.

You may also contact (202) 377-3838 for additional information regarding calculating indirect cost rates or general indirect cost rate information.

4. Provide other explanations or comments you deem necessary.

Paperwork Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1890-0004. The time required to complete this information collection is estimated to vary from 13 to 22 hours per response, with an average of 17.5 hours per response, including the time to review instructions, search existing data sources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Washington, D.C. 20202-4651. If you have comments or concerns regarding the status of your individual submission of this form, write directly to (insert program office), U.S. Department of Education, 400 Maryland Avenue, S.W., Washington, D.C. 20202.

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

- 1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management, and completion of the project described in this application.
- Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
- 4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- 5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
- 6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), which prohibits discrimination on the basis of

- handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. 6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g). 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C., 290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (i) the requirements of any other nondiscrimination statute(s) which may apply to the application.
- 7. Will comply, or has already complied, with the requirements of Titles II and III of the uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
- 8. Will comply, as applicable, with the provisions of the Hatch Act (5 U.S.C. 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

Standard Form 424B (Rev. 7-97) Prescribed by OMB Circular A-102

- Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. 276a to 276a-7), the Copeland Act (40 U.S.C. 276c and 18 U.S.C. 874) and the Contract Work Hours and Safety Standards Act (40 U.S.C. 327-333), regarding labor standards for federally assisted construction subagreements.
- 10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- 11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. 1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C., 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).
- Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. 1721 et seq.) related to protecting components or

- potential components of the national wild and scenic rivers system.
- 13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-1 et seq.).
- 14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
- 15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
- Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. 4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
- 17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, □Audits of States, Local Governments, and Non-Profit Organizations. □
- 18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE	
APPLICANT ORGANIZATION		DATE SUBMITTED

Standard Form 424B (Rev. 7-97) Back

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans and Cooperative Agreements.

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal Loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.
- (2) If any funds other Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loam or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance.

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee or any agency, a member of Congress, an officer or employee of Congress or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Applicant's Organization	
Printed Name of Authorized Representative	Printed Title of Authorized Representative
Signature	Date

ED 80-0013 08/05

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion -- Lower Tier Covered Transactions

This certification is required by the Department of Education regulations implementing Executive Order 12549, Debarment and Suspension, 34 CFR Part 85, for all lower tier transactions meeting the threshold and tier requirements stated at Section 85.110.

Instructions for Certification

- 1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
- 2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
- 3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- 4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
- 5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

- 6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled \square Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion-Lower Tier Covered Transactions, \square without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions
- 7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may but is not required to, check the Nonprocurement List.
- 8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

NAME OF APPLICANT	PR/AWARD NUMBER AND/OR PROJECT NAME
PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE	
SIGNATURE	DATE

ED 80-0014, 9/90 (Replaces GCS-009 (REV.12/88), which is obsolete)

Disclosure of Lobbying Activities

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

(See reverse for public burden disclosure)

1. Type of Federal Action: a. contract b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	2. Status of Federal a. bid/offed b. initial a c. post-av	er/application award	3. Report Type: a. initial filing b. material change For material change only: Year quarter Date of last report
4. Name and Address of Reporting English Prime Subawardee Tier, if Known	ntity:	5. If Reportin Address of I	g Entity in No. 4 is Subawardee, Enter Name and Prime:
Congressional District, if known: 6. Federal Department/Agency:		7. Federal Prog Name/Descripti	nal District, if known: gram Name/Description: Federal Program on: if applicable:
8. Federal Action Number, if known:		9. Award Amor	unt, if known:
10. a. Name and Address of Lobbying (if individual, last name, first name, MI	_		
11. Information requested through this f by title 31 U.S.C. section 1352. This discipant activities is a material representation of reliance was placed by the tier above who was made or entered into. This discipant to 31 U.S.C. 1352. This information to the Congress semi-annually and will be a inspection. Any person who fails to disclosure shall be subject to a civil penalty \$10,000 and not more than \$100,000 for each	losure of lobbying fact upon which en this transaction osure is required on will be reported vailable for public file the required ty of not less than	Print Name:	Date:
Federal Use Only		Authorized for Lo Standard Form -	ocal Reproduction LLL (Rev. 7-97)

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

- 1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
- 2. Identify the status of the covered Federal action.
- 3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
- 4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
- 5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
- 6. Enter the name of the federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
- 7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
- 8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitations for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Included prefixes, e.g., "RFP-DE-90-001."
- 9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
- 10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
 - (b) Enter the full names of the individual(s) performing services, and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
- 11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503

Appendix

Intergovernmental Review of Federal Programs

This appendix applies to each program that is subject to the requirements of Executive Order 12372 (Intergovernmental Review of Federal Programs) and the regulations in 34 CFR part 79.

The objective of the Executive order is to foster an intergovernmental partnership and to strengthen federalism by relying on State and local processes for State and local government coordination and review of proposed Federal financial assistance.

Applicants must contact the appropriate State Single Point of Contact to find out about, and to comply with, the State's process under Executive Order 12372.

Applicants proposing to perform activities in more than one State should immediately contact the Single Point of Contact for each of those States and follow the procedure established in each of those States under the Executive order. A listing containing the Single Point of Contact for each State is included in this appendix.

In States that have not established a process or chosen a program for review, State, areawide, regional, and local entities may submit comments directly to the Department.

Any State Process Recommendation and other comments submitted by a State Single Point of Contact and any comments from State, areawide, regional, and local entities must be mailed or hand-delivered by the date indicated in the actual application notice to the following address: The Secretary, EO 12372--CFDA# [commenter must insert number--including suffix letter, if any], U.S. Department of Education, room 7W301, 400 Maryland Avenue, SW., Washington, DC 20202.

Proof of mailing will be determined on the same basis as applications (see 34 CFR 75.102). Recommendations or comments may be hand-delivered until 4:30 p.m. (Washington, DC time) on the date indicated in the actual application notice.

PLEASE NOTE THAT THE ABOVE ADDRESS IS NOT THE SAME ADDRESS
AS THE ONE TO WHICH THE APPLICANT SUBMITS ITS COMPLETED
APPLICATION. DO NOT SEND APPLICATIONS TO THE ABOVE ADDRESS.

STATE SINGLE POINTS OF CONTACT (SPOCs)

It is estimated that in 2004 the Federal Government will outlay \$400 billion in grants to State and local governments. Executive Order 12372, "Intergovernmental Review of Federal Programs," was issued with the desire to foster the intergovernmental partnership and strengthen federalism by relying on State and local processes for the coordination and review of proposed Federal financial assistance and direct Federal development. The Order allows each State to designate an entity to perform this function. Below is the official list of those entities. For those States that have a home page for their designated entity, a direct link has been provided on the official version: http://www.whitehouse.gov/omb/grants/spoc.html.

States that are not listed on this page have chosen not to participate in the intergovernmental review process, and therefore do not have a SPOC. If you are located within one of these States, you may still send application materials directly to a Federal awarding agency.

Contact information for Federal agencies that award grants can be found in The Catalog Contents Page. You can access Appendix IV by Agency [http://12.46.245.173/CFDA/appx4 web.pdf] or by State [http://12.46.245.173/CFDA/appx4 web state.pdf].

ADICANOAO	CALIFORNIA
ARKANSAS	CALIFORNIA
Tracy L. Copeland	Grants Coordination
Manager, State Clearinghouse	State Clearinghouse
Office of Intergovernmental Services	Office of Planning and Research
Department of Finance and Administration	P.O. Box 3044, Room 222
1515 W. 7 th Street, Room 412	Sacramento, California 95812-3044
Little Rock, Arkansas 72203	Telephone: (916) 445-0613
Telephone: (501) 682-1074	FAX: (916) 323-3018
FAX: (501) 682-5206	State.clearinghouse@opr.ca.gov
tracy.copeland@dfa.state.ar.us	
DELAWARE	DISTRICT OF COLUMBIA
Sandra R. Stump	Marlene Jefferson
Executive Department	DC Government Office of Partnerships
Office of the Budget	and Grants Development
540 S. Dupont Highway, 3 rd Floor	414 4 th Street, NW
Dover, Delaware 19901	Washington, DC 20001
Telephone: (302) 739-3323	Telephone: (202) 727-6518
FAX: (302) 739-5661	FAX: (202) 727-1652
sandy.stump@state.de.us	marlene.Jefferson@dc.gov
<u>oundy.otamptagotato.do.do</u>	manono.congressing.gov
FLORIDA	GEORGIA
Lauren P. Milligan	Barbara Jackson
Florida State Clearinghouse	Georgia State Clearinghouse
Florida Dept. of Environmental	270 Washington Street, SW, 8 th Floor
Protection	Atlanta, Georgia 30334
3900 Commonwealth Boulevard	Telephone: (404) 656-3855
Mall Station 47	
	$1 \text{ F}\Delta X$ (404) 656-7901
	FAX: (404) 656-7901
Tallahassee, Florida 32399-3000	FAX: (404) 656-7901 gach@mail.opb.state.ga.us
Tallahassee, Florida 32399-3000 Telephone: (850) 245-2161	\ /
Tallahassee, Florida 32399-3000 Telephone: (850) 245-2161 FAX: (850) 245-2190	\ /
Tallahassee, Florida 32399-3000 Telephone: (850) 245-2161 FAX: (850) 245-2190 Lauren.Milligan@dep.state.fl.us	gach@mail.opb.state.ga.us
Tallahassee, Florida 32399-3000 Telephone: (850) 245-2161 FAX: (850) 245-2190 Lauren.Milligan@dep.state.fl.us ILLINOIS	gach@mail.opb.state.ga.us IOWA
Tallahassee, Florida 32399-3000 Telephone: (850) 245-2161 FAX: (850) 245-2190 Lauren.Milligan@dep.state.fl.us ILLINOIS Roukaya McCaffrey	gach@mail.opb.state.ga.us IOWA Kathy Mable
Tallahassee, Florida 32399-3000 Telephone: (850) 245-2161 FAX: (850) 245-2190 Lauren.Milligan@dep.state.fl.us ILLINOIS Roukaya McCaffrey Department of Commerce and	gach@mail.opb.state.ga.us IOWA Kathy Mable lowa Department of Management
Tallahassee, Florida 32399-3000 Telephone: (850) 245-2161 FAX: (850) 245-2190 Lauren.Milligan@dep.state.fl.us ILLINOIS Roukaya McCaffrey Department of Commerce and Economic Opportunities	IOWA Kathy Mable lowa Department of Management State Capitol Building Room G12
Tallahassee, Florida 32399-3000 Telephone: (850) 245-2161 FAX: (850) 245-2190 Lauren.Milligan@dep.state.fl.us ILLINOIS Roukaya McCaffrey Department of Commerce and Economic Opportunities 620 East Adams, 6 th Floor	IOWA Kathy Mable Iowa Department of Management State Capitol Building Room G12 1007 E Grand Avenue
Tallahassee, Florida 32399-3000 Telephone: (850) 245-2161 FAX: (850) 245-2190 Lauren.Milligan@dep.state.fl.us ILLINOIS Roukaya McCaffrey Department of Commerce and Economic Opportunities 620 East Adams, 6 th Floor Springfield, Illinois, 62701	IOWA Kathy Mable Iowa Department of Management State Capitol Building Room G12 1007 E Grand Avenue Des Moines, Iowa 50319
Tallahassee, Florida 32399-3000 Telephone: (850) 245-2161 FAX: (850) 245-2190 Lauren.Milligan@dep.state.fl.us ILLINOIS Roukaya McCaffrey Department of Commerce and Economic Opportunities 620 East Adams, 6 th Floor Springfield, Illinois, 62701 Telephone: (217) 524-0188	IOWA Kathy Mable Iowa Department of Management State Capitol Building Room G12 1007 E Grand Avenue Des Moines, Iowa 50319 Telephone: (515) 281-8834
Tallahassee, Florida 32399-3000 Telephone: (850) 245-2161 FAX: (850) 245-2190 Lauren.Milligan@dep.state.fl.us ILLINOIS Roukaya McCaffrey Department of Commerce and Economic Opportunities 620 East Adams, 6 th Floor Springfield, Illinois, 62701	IOWA Kathy Mable Iowa Department of Management State Capitol Building Room G12 1007 E Grand Avenue Des Moines, Iowa 50319

KENTUCKY MAINE Joyce Benson Ron Cook State Planning Office The Governor's Office for Local Development 184 State Street 1024 Capital Center Drive, Suite 340 38 State House Station Frankfort, Kentucky 40601 Augusta, Maine 04333 Telephone: (502) 573-2382 / (800) 346-5606 Telephone: (207) 287-3261 FAX: (502) 573-2512 (direct): (207) 287-1461 (207) 287-6489 Ron.Cook@Ky.Gov FAX: joyce.benson@state.me.us **MARYLAND MICHIGAN** Linda C. Janey, J.D. Richard Pfaff Southeast Michigan Council of Governments Director, Capital Planning and **Development Review** 535 Griswold, Suite 300 Maryland Department of Planning Detroit, Michigan 48226 301 West Preston Street. Room 1104 Telephone: (313) 961-4266 Baltimore, Maryland 21201-2305 FAX: (313) 961-4869 Telephone: (410) 767-4490 pfaff@semcog.org FAX: (410) 767-4480 linda@mail.op.state.md.us MISSISSIPPI **MISSOURI** Mildred Tharpe Federal Assistance Clearinghouse Clearinghouse Officer Office of Administration Department of Finance and P.O. Box 809 Administration Truman Building, Room 840 1301 Woolfolk Building, Suite E Jefferson City, Missouri 65102 501 North West Street Telephone: (573) 751-4834 Jackson, Mississippi 39201 FAX: (573) 522-4395 Telephone: (601) 359-6762 igr@mail.oa.state.mo.us Fax: (601) 359-6758 **NEVADA NEW HAMPSHIRE** Michael Stafford MaryAnn Manoogian Department of Administration Director, New Hampshire Office of State Clearinghouse **Energy and Planning** 209 E. Musser Street, Room 200 Attn: Intergovernmental Review Process Carson City, Nevada 89701 Benjamin Frost Telephone: (775) 684-0209 57 Regional Drive FAX: (775) 684-0260 Concord, New Hampshire 03301-8519 mstafford@budget.state.nv.us Telephone: (603) 271-2155 FAX: (603) 271-2615 irp@nh.gov **NEW YORK** NORTH DAKOTA Linda Shkrell Jim Bovd Office of Public Security ND Department of Commerce Homeland Security Grants Coordination 1600 East Century Avenue, Suite 2 633 3rd Avenue P.O. Box 2057 New York, NY 10017 Bismarck, North Dakota 58502-2057 Telephone: (212) 867-1289 Telephone: (701) 328-2676 FAX: (212) 867-1725 FAX: (701) 328-2308

jboyd@state.nd.us

RHODE ISLAND	SOUTH CAROLINA
Joyce Karger	SC Clearinghouse
Department of Administration	Budget and Control Board
One Capitol Hill	Office of State Budget
Providence Rhode Island 02908-5870	1201 Main Street, Suite 950
Telephone: (401) 222-6181	Columbia, South Carolina 29201
FAX: (401) 222-2083	Telephone: (803) 734-0494
jkarger@doa.state.ri.us	FAX: (803) 734-0645
<u>jiango egaloanoanoan</u>	clearinghouse@budget.state.sc.us
TEXAS	UTAH
Denise S. Francis	Sophia DiCaro
Director, State Grants Team	Utah State Clearinghouse
Governor's Office of Budget and Planning	Governor's Office of Planning and Budget Utah
P.O. Box 12428	Capitol Complex
Austin, Texas 78711	Suite E210, P.O. Box 142210
Telephone: (512) 305-9415	Salt Lake City, Utah 84114-2210
FAX: (512) 936-2681	Telephone: (801) 538-1027
dfrancis@governor.state.tx.us	FAX: (801) 538-1547
diranology governor. state. tx. as	ddicaro@utah.gov
WEST VIRGINIA	WISCONSIN
Fred Cutlip, Director	Jeff Smith
Community Development Division	Section Chief, Federal/State Relations
West Virginia Development Office	Wisconsin Department of Administration
Building #6, Room 553	101 East Wilson Street, 6 th Floor
Charleston, West Virginia 25305	P.O. Box 7868
Telephone: (304) 558-4010	Madison, Wisconsin 53707
FAX: (304) 558-3248	Telephone: (608) 266-0267
fcutlip@wvdo.org	FAX: (608) 267-6931
Teathp@wvdo.org	jeffrey.smith@doa.state.wi.us
AMERICAN SAMOA	GUAM
PAT M. GALEA'I	Director
FEDERAL GRANTS/PROGRAMS COORDINATOR	
	Bureau of Budget and Mgmt. Research
Office of Federal Programs/Office of the	Office of the Governor
OFFICE OF FEDERAL PROGRAMS/OFFICE OF THE GOVERNOR	Office of the Governor P.O. Box 2950
OFFICE OF FEDERAL PROGRAMS/OFFICE OF THE GOVERNOR Department of Commerce	Office of the Governor P.O. Box 2950 Agana, Guam 96910
OFFICE OF FEDERAL PROGRAMS/OFFICE OF THE GOVERNOR Department of Commerce American Samoa Government	Office of the Governor P.O. Box 2950 Agana, Guam 96910 Telephone: 011-671-472-2285
OFFICE OF FEDERAL PROGRAMS/OFFICE OF THE GOVERNOR Department of Commerce American Samoa Government Pago Pago, American Samoa 96799	Office of the Governor P.O. Box 2950 Agana, Guam 96910 Telephone: 011-671-472-2285 FAX: 011-671-472-2825
OFFICE OF FEDERAL PROGRAMS/OFFICE OF THE GOVERNOR Department of Commerce American Samoa Government Pago Pago, American Samoa 96799 Telephone: (684) 633-5155	Office of the Governor P.O. Box 2950 Agana, Guam 96910 Telephone: 011-671-472-2285
OFFICE OF FEDERAL PROGRAMS/OFFICE OF THE GOVERNOR Department of Commerce American Samoa Government Pago Pago, American Samoa 96799 Telephone: (684) 633-5155 Fax: (684) 633-4195	Office of the Governor P.O. Box 2950 Agana, Guam 96910 Telephone: 011-671-472-2285 FAX: 011-671-472-2825
OFFICE OF FEDERAL PROGRAMS/OFFICE OF THE GOVERNOR Department of Commerce American Samoa Government Pago Pago, American Samoa 96799 Telephone: (684) 633-5155 Fax: (684) 633-4195 pmgaleai@samoatelco.com	Office of the Governor P.O. Box 2950 Agana, Guam 96910 Telephone: 011-671-472-2285 FAX: 011-671-472-2825 jer@ns.gov.gu
OFFICE OF FEDERAL PROGRAMS/OFFICE OF THE GOVERNOR Department of Commerce American Samoa Government Pago Pago, American Samoa 96799 Telephone: (684) 633-5155 Fax: (684) 633-4195 pmgaleai@samoatelco.com NORTH MARIANA ISLANDS	Office of the Governor P.O. Box 2950 Agana, Guam 96910 Telephone: 011-671-472-2285 FAX: 011-671-472-2825 jer@ns.gov.gu
OFFICE OF FEDERAL PROGRAMS/OFFICE OF THE GOVERNOR Department of Commerce American Samoa Government Pago Pago, American Samoa 96799 Telephone: (684) 633-5155 Fax: (684) 633-4195 pmgaleai@samoatelco.com NORTH MARIANA ISLANDS Ms. Jacoba T. Seman	Office of the Governor P.O. Box 2950 Agana, Guam 96910 Telephone: 011-671-472-2285 FAX: 011-671-472-2825 jer@ns.gov.gu PUERTO RICO Jose Caballero / Mayra Silva
OFFICE OF FEDERAL PROGRAMS/OFFICE OF THE GOVERNOR Department of Commerce American Samoa Government Pago Pago, American Samoa 96799 Telephone: (684) 633-5155 Fax: (684) 633-4195 pmgaleai@samoatelco.com NORTH MARIANA ISLANDS Ms. Jacoba T. Seman Federal Programs Coordinator	Office of the Governor P.O. Box 2950 Agana, Guam 96910 Telephone: 011-671-472-2285 FAX: 011-671-472-2825 jer@ns.gov.gu PUERTO RICO Jose Caballero / Mayra Silva Puerto Rico Planning Board
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Changes to this list can be made only after OMB is notified by a State's officially designated representative. E-mail messages can be sent to ephillips@omb.eop.gov. If you prefer, you may send correspondence to the following postal address:

Attn: Grants Management Office of Management and Budget New Executive Office Building, Suite 6025 725 17th Street, NW Washington, DC 20503

Please note: Inquiries about obtaining a Federal grant should not be sent to the OMB e-mail or postal address shown above. The best source for this information is the Catalog of Federal Domestic Assistance or CFDA http://www.cfda.gov and the Grants.gov website (http://www.grants.gov).

INSTRUCTIONS FOR TRANSMITTING APPLICATIONS

If you want to apply for a grant and be considered for funding, you must meet the following deadline requirements:

Applications Sent by Mail

You must mail the original and two copies of the application on or before the deadline date to:

U.S. Department of Education Application Control Center Attention: CFDA# 84.015A/84.015B 400 Maryland Avenue, SW Washington, DC 20202 – 4260

To help expedite our review of your application, we would appreciate your voluntarily including an additional two copies of your application.

You must show one of the following as proof of mailing:

- (1) A legibly dated U. S. Postal Service Postmark.
- (2) A legible mail receipt with the date of mailing stamped by the U. S. Postal Service.
- (3) A dated shipping label, invoice, or receipt from a commercial carrier.
- (4) Any other proof of mailing acceptable to the Secretary.

If you mail an application through the U.S. Postal Service, we do not accept either of the following as proof of mailing:

- (1) A private metered postmark.
- (2) A mail receipt that is not dated by the U.S. Postal Services.

An applicant should note that the U.S. Postal Service does not uniformly provide a dated postmark. Before relying on this method, an applicant should check with its local post office.

Applications Delivered by Commercial Carrier:

Special Note: Due to recent disruptions to normal mail delivery, the Department encourages you to consider using an alternative delivery method (for example, a commercial carrier, such as Federal Express or United Parcel Service; or U. S. Postal Service Express Mail) to transmit your application for this competition to the Department. If you use an alternative delivery method, please obtain the appropriate proof of mailing under "Applications Sent by Mail," then follow the mailing instructions under the appropriate delivery method.

Applications that are delivered by commercial carrier, such as Federal Express, United Parcel Service, etc. should be mailed to the:

U.S. Department of Education Application Control Center – Stop 4260 Attention: CFDA# 84.015A/84.015B 7100 Old Landover Road Landover, MD 20785-1506

Applications Delivered by Hand

You or your courier must hand deliver the original and number of copies requested of the application by 4:30 p.m. (Washington, DC time) on or before the deadline date.

To help expedite our review of your application, we would appreciate your voluntarily including an additional two copies of your application.

U.S. Department of Education Application Control Center Attention: CFDA# 84.015A/84.015B 550 12th Street, SW PCP - Room 7041 Washington, DC 20202 – 4260

The Application Control Center accepts application deliveries daily between 8:00 a.m. and 4:30 p.m. (Washington, DC time), except Saturdays, Sundays and Federal holidays.

10/04

SECTION D

FY 2006 TECHNICAL REVIEW FORM ED DISCRETIONARY GRANT PROGRAM:

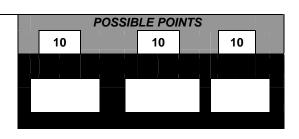
HEA TITLE VI NATIONAL RESOURCE CENTERS AND FOREIGN LANGUAGE AND AREA STUDIES FELLOWSHIPS

CFDA No. 84.015(A & B)

WO	DRLD AREA		,	RATING SCALE		
						5 10 15 20 25
				Outstar Very Go		5 10 15 20 25 4 8 12 16 20
PR	Number(s) and Applicant Institution:			Good		4 8 12 16 20 3 6 9 12 15
				Averag	e	2 4 6 8 10
				Poor		1 2 3 4 5
						0 0 0 0 0
				not de	escribed	
Ev	aluation Criteria	Max	Points		ing Summary	
				Comprehensive	Undergraduate	FLAS
		NRC	FLAS	NRC	NRC	Fellowships
1.	Commitment to the Subject Area	10	10	0	0	0
2.	Quality of Curriculum Design	10	20	0	0	0
3.	Quality of the Applicant's Non-Language Instructional Program	20	20	0	0	0
4.	Quality of the Applicant's Language Instructional Program	20	20	0	0	0
5.	Strength of Library	15	15	0	0	0
6.	Quality of Staff Resources	15	15	0	0	0
7.	Outreach Activities	20	0	0	0	N/A
8.	Program Planning and Budget	20	0	0	0	N/A
9.	Impact and Evaluation	25	25	0	0	0
10.	FLAS Awardee Selection Procedures	0	15	N/A	N/A	0
11.	Competitive Priorities	10	10	0	0	0
		165	150			
		тоти	AL:	0	0	0
	and Organization (southern and last many)					
Ge	neral Comments: (continue on last page)					
D-	vioused by:	Date				
ĸe	viewed by:	Date	.		_	

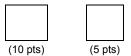
Evaluation Criteria Comp NRC UG NRC FLAS

1. Commitment to the Subject Area on Which the Applicant Focuses



A. To what extent does the institution provide financial and other support to:

- the operation of the applicant's center or program, (10 pts)



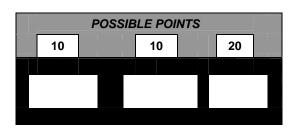
- teaching staff for the applicant's subject area,
- library resources for the applicant's subject area,
- linkages with institutions abroad,
- (for NRC applicants) center outreach activities, and
- (for NRC applicants) students in fields related to the center's teaching program?
- B. For FLAS applicants, to what extent does the institution provide financial support to graduate students in fields related to the applicant's teaching program?



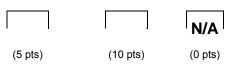
(5 pts)

RATING SCALE								
If total points are:	5	10	15	20	25			
Outstanding	5	10	15	20	25			
Very Good	4	8	12	16	20			
Good	3	6	9	12	15			
Average	2	4	6	8	10			
Poor	1	2	3	4	5			
Unacceptable or	0	0	0	0	0			
not described								

2. Quality of Curriculum Design

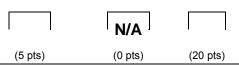


A. For NRC applicants, to what extent has undergraduate instruction in the applicant's area or topic of specialization been incorporated into baccalaureate degree programs (for example, major, minor, or certificate programs) in the applicant's subject area?



Are the programs and their requirements (including language requirements) appropriate for a center in this subject area and will they result in an undergraduate training program of high quality?

B. For comprehensive NRC and FLAS applicants, to what extent does the applicant's curriculum provide training options for graduate students from a variety of disciplines and professional fields?



For comprehensive NRC and FLAS applicants, are the graduate student training options and requirements (including language requirements) appropriate for an applicant in this subject area and do they result in graduate training programs of high quality?

RATING SCALE							
If total points are:	5	10	15	20	25		
Outstanding	5	10	15	20	25		
Very Good	4	8	12	16	20		
Good	3	6	9	12	15		
Average	2	4	6	8	10		
Poor	1	2	3	4	5		
Unacceptable or	0	0	0	0	0		
not described							

2. Quality of Curriculum Design (continued)

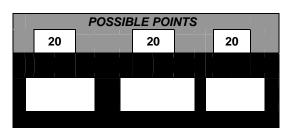
To what extent does the applicant provide academic and career advising for students?

To what extent has the applicant established formal arrangements for students to conduct research or study abroad and to what extent do students use these arrangements?

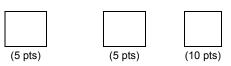
To what extent does the institution facilitate student access to other institutions' study abroad and summer language programs?

RATING SCALE						
If total points are:	5	10	15	20	25	
Outstanding	5	10	15	20	25	
Very Good	4	8	12	16	20	
Good	3	6	9	12	15	
Average	2	4	6	8	10	
Poor	1	2	3	4	5	
Unacceptable or	0	0	0	0	0	
not described						

3. Quality of the Applicant's Non-Language Instructional Program

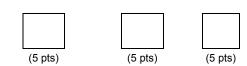


A. To what extent does the applicant offer courses in a variety of non-language disciplines and, for area studies programs, cover the countries of the area?



For comprehensive NRC and FLAS applicants, to what extent are courses in the applicant's subject matter available in the institution's professional schools?

To what extent does the applicant offer depth of specialized course coverage in one or more disciplines of the applicant's subject area?

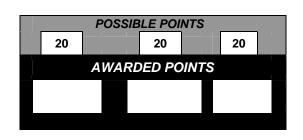


RATING SCALE									
If total points are:	5	10	15	20	25				
Outstanding	5	10	15	20	25				
Very Good	4	8	12	16	20				
Good	3	6	9	12	15				
Average	2	4	6	8	10				
Poor	1	2	3	4	5				
Unacceptable or	0	0	0	0	0				
not described									

3.	3. Quality of the Applicant's Non-Language Instructional Program (continued)							
	To what extent are interdisciplinary courses offered for:							
	-(for Undergraduate NRC applicants) Undergraduate students? (10 pts) (10 pts) (5 pts)							
	-(for Comprehensive NRC applicants) Undergraduate and graduate students?							
	-(for FLAS applicants) graduate students?							
	Are sufficient numbers of non-language faculty available to teach the							
	courses described in the narrative and course list?							
	To what extent are instructional assistants (if any) provided with pedagogy training?							

RATING SCALE									
If total points are:	5	10	15	20	25				
Outstanding	5	10	15	20	25				
Very Good	4	8	12	16	20				
Good	3	6	9	12	15				
Average	2	4	6	8	10				
Poor	1	2	3	4	5				
Unacceptable or	0	0	0	0	0				
not described									

4. Quality of the Applicant's Language Instructional Program



A. To what extent does the applicant provide instruction in the languages of the applicant's subject area?



To what extent do students enroll in the study of the languages of the subject area through programs or instruction offered by the applicant or other providers?

B. To what extent does the applicant provide three or more levels of language training?

•	-	

(5 pts) (5 pts) (5 pts)

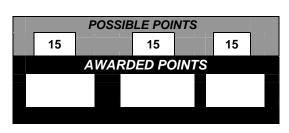
To what extent are courses in disciplines other than language, linguistics, and literature offered in appropriate foreign languages?

RATING SC	RATING SCALE								
If total points are:	5	10	15	20	25				
Outstanding	5	10	15	20	25				
Very Good	4	8	12	16	20				
Good	3	6	9	12	15				
Average	2	4	6	8	10				
Poor	1	2	3	4	5				
Unacceptable or	0	0	0	0	0				
not described									

4.	Quality	of the Applicant's Language Instructional Program (con	tinued)		
	C. Are su langua course To whassist	Are sufficient numbers of language faculty available to teach the languages and levels of instruction described in the narrative and			
	course list?	(5 pts)	(5 pts)	(5 pts)	
		To what extent have language teaching staff (faculty and instructional			
		assistants) been exposed to current language pedagogy training appropriate for performance-based teaching?			
	D	What is the guality of the lenguage program of macrowed by			
	D.	What is the quality of the language program as measured by: - the performance-based instruction being used or developed,	(5 pts)	(5 pts)	(5 pts)
		- the performance-based instruction being used of developed,	(5 pts)	(o pts)	(0 pts)
		the adequation and process for large and tracking and process and			
		- the adequacy of resources for language teaching and practice, and			
		- language proficiency requirements?		DATING	20415

RATING SCALE							
If total points are:	5	10	15	20	25		
Outstanding	5	10	15	20	25		
Very Good	4	8	12	16	20		
Good	3	6	9	12	15		
Average	2	4	6	8	10		
Poor	1	2	3	4	5		
Unacceptable or	0	0	0	0	0		
not described							

5. Strength of Library



A. What is the relative strength of the institution's library holdings (both print and non-print, English and foreign language) in the applicant's subject area for the educational levels the applicant serves?



B. To what extent does the institution provide financial support for library acquisitions and for library staff in the applicant's subject area?

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- (5 pts)
- (5 pts) (5 pts)

C. To what extent are research materials at other institutions available to students through cooperative arrangements with other libraries or online databases?

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(5 pts)

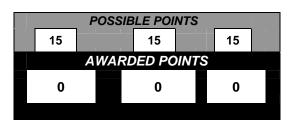
(5 pts)

(5 pts)

For NRC applicants, to what extent are teachers, students, and faculty from other institutions able to access the library's holdings?

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RATING SC	AL	Ε			
If total points are:	5	10	15	20	25
Outstanding	5	10	15	20	25
Very Good	4	8	12	16	20
Good	3	6	9	12	15
Average	2	4	6	8	10
Poor	1	2	3	4	5
Unacceptable or	0	0	0	0	0
not described					

6. Quality of Staff Resources



A. To what extent are the teaching faculty and other professional staff members qualified for the current and proposed center activities and training programs?

(5 pts)	(5 pts)	(5 pts)

To what extent are professional development opportunities, including overseas experience, for faculty and staff made available?

How much time will the applicant's faculty and administrators commit to the teaching, supervision, and advising of students?

RATING SCALE							
5	10	15	20	25			
5	10	15	20	25			
4	8	12	16	20			
3	6	9	12	15			
2	4	6	8	10			
1	2	3	4	5			
0	0	0	0	0			
	5 4 3 2 1	5 10 5 10 4 8 3 6 2 4 1 2	5 10 15 5 10 15 4 8 12 3 6 9 2 4 6 1 2 3	5 10 15 20 5 10 15 20 4 8 12 16 3 6 9 12 2 4 6 8 1 2 3 4			

6	Quality	, of	Staff	Docources	(continued)
O	Quality	OI	Stan	Resources	(continued)

В.	To what extent are faculty from a variety of departments, professional schools, and the library represented in the applicant's center or			
	program oversight arrangements?	(5 pts)	(5 pts)	(5 pts)

For NRC applicants, how adequate are the staffing plans for the center's administration and outreach activities?

C. To what extent does the applicant, as part of its nondiscriminatory employment practices, encourage applications for employment from persons who are members of groups that have been traditionally underrepresented, such as members of racial or ethnic minority groups, women, persons with disabilities, and the elderly?

<u></u>	_			_	_	
RATING SCALE						
If total points are:	5	10	15	20	25	
Outstanding	5	10	15	20	25	
Very Good	4	8	12	16	20	
Good	3	6	9	12	15	
Average	2	4	6	8	10	
Poor	1	2	3	4	5	
Unacceptable or	0	0	0	0	0	
not described						

7. Outreach Activities

For outreach activities in the following categories, to what extent does the applicant demonstrate:

- (a) a significant and measurable regional and national impact, and
- (b) faculty and professional school involvement?

- elementary and secondary schools	(10 pts)	(10 pts)
- postsecondary institutions	(5 pts)	(5 pts)
- business, media, and the general public		
- ·	(5 pts)	(5 pts)

RATING SCALE								
If total points are:	5	10	15	20	25			
Outstanding	5	10	15	20	25			
Very Good	4	8	12	16	20			
Good	3	6	9	12	15			
Average	2	4	6	8	10			
Poor	1	2	3	4	5			
Unacceptable or	0	0	0	0	0			
not described								

(5 pts)

POSSIBLE POINTS

20 **AWARDED POINTS**

0

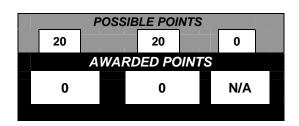
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8. Program Planning and Budget



A. Are the activities for which the applicant seeks funding of high quality and directly related to the purpose of the National Resource Centers program?



B. To what extent does the applicant provide a development plan or timeline which demonstrates how the proposed activities will contribute to a strengthened program by the end of the grant period?

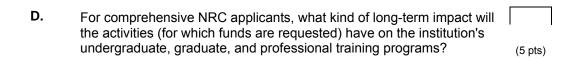


Does the applicant plan to use its resources and personnel effectively to achieve each objective?

RATING SCALE						
If total points are:	5	10	15	20	25	
Outstanding	5	10	15	20	25	
Very Good	4	8	12	16	20	
Good	3	6	9	12	15	
Average	2	4	6	8	10	
Poor	1	2	3	4	5	
Unacceptable or	0	0	0	0	0	
not described						

R	Program	Planning	and	Rudget	(continued)
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C.	Are the costs of the proposed activities reasonable in relation to the objectives of the program?	(5 pts)	(5 pts)



For undergraduate NRC applicants, what kind of long-term impact will the activities (for which funds are requested) have on the institution's	
undergraduate training program?	(5 pts)

RATING SCALE					
If total points are:	5	10	15	20	25
Outstanding	5	10	15	20	25
Very Good	4	8	12	16	20
Good	3	6	9	12	15
Average	2	4	6	8	10
Poor	1	2	3	4	5
Unacceptable or	0	0	0	0	0
not described					

9. Impact and Evaluation

 POSSIBLE POINTS

 25
 25
 25

 AWARDED POINTS

 0
 0
 0

A. For all NRC applicants, to what extent do the center's activities and training programs have a significant impact on the university, community, region and the nation as shown through indices such as enrollments, graduate placement data, participation rates for events, and usage of center resources?

(10 pts)	(10 pts)

For undergraduate NRC applicants, to what extent do students matriculate into advanced language and area or international studies programs or related professional programs?

To what extent will provision be made for equal access and treatment for eligible students and other project participants who are members of groups that have been traditionally under-represented (such as members of racial or ethnic minority groups, women, persons with disabilities, and the elderly)?

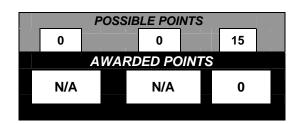
RATING SCALE					
If total points are:	5	10	15	20	25
Outstanding	5	10	15	20	25
Very Good	4	8	12	16	20
Good	3	6	9	12	15
Average	2	4	6	8	10
Poor	1	2	3	4	5
Unacceptable or	0	0	0	0	0
not described					

9. Impact and Evaluation (continued)

В.	Does the applicant provide an evaluation plan that is comprehensive and objective and that will produce quantifiable, outcome-measure-oriented data?	(15 pts)	(15 pts)	(5 pts)
	To what extent have recent evaluations been used by the applicant to improve its program?			
	For FLAS Applicants, to what extent have the applicants activities and training programs contributed to an improved supply of specialists on the program's subject as shown through indicies such as graduate enrollments and placement data			(20 pts)

RATING SCALE					
If total points are:	5	10	15	20	25
Outstanding	5	10	15	20	25
Very Good	4	8	12	16	20
Good	3	6	9	12	15
Average	2	4	6	8	10
Poor	1	2	3	4	5
Unacceptable or	0	0	0	0	0
not described					

10. FLAS Awardee Selection Procedures



Does the applicant provide a selection plan which describes:

- how awards will be advertised at the institution,

(15 pts)

- how students apply for awards,
- what selection criteria are used,
- who selects the fellows,

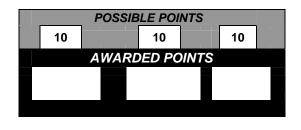
- how the selection plan may result in awards being made to correspond to any announced competitive priorities, and

- when each step in the selection process will take place?

RATING SCALE					
If total points are:	5	10	15	20	25
Outstanding	5	10	15	20	25
Very Good	4	8	12	16	20
Good	3	6	9	12	15
Average	2	4	6	8	10
Poor	1	2	3	4	5
Unacceptable or	0	0	0	0	0
not described					

11. Competitive Priorities

(applicable only when announced in the closing date notice)



To what extent will the applicant serve the priorities currently announced?

(10 pts)	(10 pts)	(10 pts)