### § 1000.175

## § 1000.175 What is the process for conducting the negotiation phase?

- (a) Within 30 days of receiving a written request to negotiate, the bureau and the Tribe/Consortium will agree to a date to conduct an initial negotiation meeting. Subsequent meetings will be held with reasonable frequency at reasonable times.
- (b) Tribe/Consortium and bureau lead negotiators must:
- (1) Be authorized to negotiate on behalf of their government; and
- (2) Involve all necessary persons in the negotiation process.
- (c) Once negotiations have been successfully completed, the bureau and Tribe/Consortium will prepare and either execute or disapprove an AFA within 30 days or by a mutually agreed upon date.

### § 1000.176 What issues must the bureau and the Tribe/Consortium address at negotiation meetings?

The negotiation meetings referred to in §1000.175 must address at a minimum the following:

- (a) The specific Tribe/Consortium proposal(s) and intentions;
- (b) Legal or program issues that the bureau or the Tribe/Consortium identify as concerns;
- (c) Options for negotiating programs and related budget amounts, including mutually agreeable options for developing alternative formats for presenting budget information to the Tribe/Consortium;
- (d) Dates for conducting and concluding negotiations:
- (e) Protocols for conducting negotiations:
- (f) Responsibility for preparation of a written summary of the discussions; and
- (g) Who will prepare an initial draft of the AFA.

# \$ 1000.177 What happens when the AFA is signed?

- (a) After all parties have signed the AFA, a copy is sent to the Tribe/Consortium.
- (b) The Secretary forwards copies of the AFA to:
- (1) The House Subcommittee on Native Americans and Insular Affairs; and

- (2) The Senate Committee on Indian Affairs;
- (c) For BIA programs, the AFA is also forwarded to each Indian Tribe/Consortium served by the BIA Agency that serves any Tribe/Consortium that is a party to the AFA.

### § 1000.178 When does the AFA become effective?

The effective date is not earlier than 90 days after the AFA is submitted to the Congressional committees under §1000.177(b).

### § 1000.179 What happens if the Tribe/ Consortium and bureau negotiators fail to reach an agreement?

- (a) If the Tribe/Consortium and bureau representatives do not reach agreement during the negotiation phase by the mutually agreed to date for completing negotiations, the Tribe/Consortium and the bureau may each make a last and best offer to the other party.
- (b) If a last and best offer is not accepted within 15 days, the bureau will provide a written explanation to the Tribe/Consortium explaining its reasons for not entering into an AFA for the requested program, together with the applicable statement prescribed in subpart R of this part, concerning appeal or review rights.
- (c) The Tribe/Consortium has 30 days from receipt of the bureau's written explanation to file an appeal. Appeals are handled in accordance with subpart R of this part.

NEGOTIATION PROCESS FOR SUCCESSOR ANNUAL FUNDING AGREEMENTS

### § 1000.180 What is a successor AFA?

A successor AFA is a funding agreement negotiated after a Tribe's/Consortium's initial agreement with a bureau for continuing to perform a particular program. The parties to the AFA should generally use the terms of the existing AFA to expedite and simplify the exchange of information and the negotiation process.

# § 1000.181 How does the Tribe/Consortium initiate the negotiation of a successor AFA?

Although a written request is desirable to document the precise request