

### **Accountability Measures for Enforcement and Compliance Assurance<sup>1</sup>**

1. Environmental and/or public health benefits achieved through concluded enforcement activities, e.g., case settlements, injunctive relief, etc.  
*Pilot measure: Volunteer states will be sought to participate with EPA in pilot test use of Case Conclusion Data Sheet or comparable approaches to analyzing benefits achieved from enforcement activities.*
  
2. Rates of significant noncompliance for selected regulated populations.  
*All states continue to provide facility-specific compliance information through automated data systems. Volunteer states will be sought to participate with EPA in development of statistically valid compliance rates.*
  
3. Percentage of significant non-compliers (SNCs) that have been returned to compliance or otherwise addressed.  
*All states continue to provide facility-specific compliance information through automated data systems.*
  
4. Results of using State alternative compliance approaches (e.g., audit laws or policies, small business compliance policies, XL projects) and compliance assistance.  
*Pilot measure: Volunteer states will be sought to provide EPA with data on evaluation of the results of compliance incentives and compliance assistance efforts. Provide narrative description of alternative compliance approaches.*
  
5. Total number of inspections conducted at major facilities, and the percent of total universe of regulated sources inspected in negotiated priority areas (e.g., industry sectors, geographic areas).  
*All states continue to report facility-specific data through automated data systems. Negotiate means for reporting information on inspections of facilities not covered by current data systems.*
  
6. Enforcement actions (e.g., case referrals, orders, notices) taken, by media.  
*All states continue to provide facility-specific compliance information through automated data systems.*

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<sup>1</sup> As stated in the 1997 *Joint Statement on Measuring Progress under NEPPS*, "Beyond core performance measures, there are other program output and fiscal reporting requirements we must use to document our various program activities." States are expected to continue reporting this routine program and fiscal tracking information. At the same time, States and EPA Regions are encouraged to work together to review the value and cost of these data exchanges and eliminate low-priority reporting.

7. Number of facilities/entities reached through each type of compliance assistance activity.  
*Pilot measure: Volunteer states will be sought to participate with EPA in pilot to provide data on compliance assistance activities. Describe any current reporting a pilot State does on compliance assistance activities.*