#### § 75.1400-1

capable of stopping the fully loaded platform, cage, or other device.

- (c) Cages, platforms, or other devices used to transport persons in shafts and slopes shall be equipped with safety catches or other no less effective devices approved by the Secretary that act quickly and effectively in an emergency. Such catches or devices shall be tested at least once every two months.
- (d) Hoisting equipment, including automatic elevators, used to transport persons shall be examined daily.
- (e) Where persons are transported into or out of a mine by a hoist, a qualified hoisting engineer shall be on duty while any person is underground. No such engineer, however, shall be required for automatically operated cages, platforms, or elevators.

[48 FR 53239, Nov. 25, 1983]

### §75.1400-1 Hoists; brakes, capability.

Brakes on hoists used to transport persons shall be capable of stopping and holding the fully loaded platform, cage, or other device at any point in the shaft, slope, or incline.

# § 75.1400-2 Hoists; tests of safety catches; records.

A record shall be made in a book of the tests, required by §75.1400, of the safety catches or other devices approved by the Secretary. Each entry shall be signed by the person making the tests and countersigned by a responsible official.

## § 75.1400-3 Daily examination of hoisting equipment.

Hoists and elevators shall be examined daily and such examinations shall include, but not be limited to, the following:

- (a) *Elevators*. A visual examination of the rope for wear, broken wires, and corrosion, especially at excessive strain points such as near the attachments and where the rope rests on sheaves:
- (b) *Hoists and elevators*. (1) An examination of the rope fastenings for defects:
  - (2) An examination of safety catches;
- (3) An examination of the cages, platforms, elevators, or other devices for loose, missing or defective parts;

- (4) An examination of the head sheaves to check for broken flanges, defective bearings, rope alignment, and proper lubrication; and
- (5) An observation of the lining and all other equipment and appurtenances installed in the shaft.

[48 FR 53239, Nov. 25, 1983]

# § 75.1400-4 Certifications and records of daily examinations.

At the completion of each daily examination required by \$75.1400, the person making the examination shall certify, by signature and date, that the examination has been made. If any unsafe condition is found during the examinations required by \$75.1400-3, the person conducting the examination shall make a record of the condition and the date. Certifications and records shall be retained for one year.

[48 FR 53239, Nov. 25, 1983, as amended at 60 FR 33723, June 29, 1995]

### § 75.1401 Hoists; rated capacities; indicators.

Hoists shall have rated capacities consistent with the loads handled. An accurate and reliable indicator of the position of the cage, platform, skip, bucket, or cars shall be provided.

[48 FR 53239, Nov. 25, 1983]

#### § 75.1401-1 Hoists; indicators.

The indicator required by \$75.1401 of this subpart shall be placed so that it is in clear view of the hoisting engineer and shall be checked daily to determine its accuracy.

[48 FR 53239, Nov. 25, 1983]

### §75.1402 Communication between shaft stations and hoist room.

### [STATUTORY PROVISIONS]

There shall be at least two effective methods approved by the Secretary of signaling between each of the shaft stations and the hoist room, one of which shall be a telephone or speaking tube.

### § 75.1402-1 Communication between shaft stations and hoist room.

One of the methods used to communicate between shaft stations and the hoist room shall give signals which can

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be heard by the hoisting engineer at all times while men are underground.

#### § 75.1402-2 Tests of signaling systems.

Signaling systems used for communication between shaft stations and the hoist room shall be tested daily.

#### §75.1403 Other safeguards.

[STATUTORY PROVISIONS]

Other safeguards adequate, in the judgment of an authorized representative of the Secretary, to minimize hazards with respect to transportation of men and materials shall be provided.

#### § 75.1403-1 General criteria.

- (a) Sections 75.1403–2 through 75.1403–11 set out the criteria by which an authorized representative of the Secretary will be guided in requiring other safeguards on a mine-by-mine basis under §75.1403. Other safeguards may be required.
- (b) The authorized representative of the Secretary shall in writing advise the operator of a specific safeguard which is required pursuant to §75.1403 and shall fix a time in which the operator shall provide and thereafter maintain such safeguard. If the safeguard is not provided within the time fixed and if it is not maintained thereafter, a notice shall be issued to the operator pursuant to section 104 of the Act.
- (c) Nothing in the sections in the §75.1403 series in this Subpart O precludes the issuance of a withdrawal order because of imminent danger.

# § 75.1403-2 Criteria—Hoists transporting materials; brakes.

Hoists and elevators used to transport materials should be equipped with brakes capable of stopping and holding the fully loaded platform, cage, skip, car, or other device at any point in the shaft, slope, or incline.

## § 75.1403-3 Criteria—Drum clutch; cage construction.

- (a) The clutch of a free-drum on a personnel hoist should be provided with a locking mechanism or interlocked with the brake to prevent accidental withdrawal of the clutch.
- (b) Cages used for hoisting persons should be constructed with the sides

enclosed to a height of at least six feet and should have gates, safety chains, or bars across the ends of the cage when persons are being hoisted or lowered.

- (c) Self-dumping cages, platforms, or other devices used for transportation of persons should have a locking device to prevent tilting when persons are transported.
- (d) An attendant should be on duty at the surface when persons are being hoisted or lowered at the beginning and end of each shift.
- (e) Precautions should be taken to protect persons working in shaft sumps.
- (f) Workers should wear safety belts while doing work in or over shafts.

[48 FR 53239, Nov. 25, 1983]

### § 75.1403-4 Criteria—Automatic elevators.

- (a) The doors of automatic elevators should be equipped with interlocking switches so arranged that the elevator car will be immovable while any door is opened or unlocked, and arranged so that such door or doors cannot be inadvertently opened when the elevator car is not at a landing.
- (b) A "Stop" switch should be provided in the automatic elevator compartment that will permit the elevator to be stopped at any location in the shaft.
- (c) A slack cable device should be used where appropriate on automatic elevators which will automatically shut-off the power and apply the brakes in the event the elevator is obstructed while descending.
- (d) Each automatic elevator should be provided with a telephone or other effective communication system by which aid or assistance can be obtained promptly.

#### §75.1403-5 Criteria—Belt conveyors.

- (a) Positive-acting stop controls should be installed along all belt conveyors used to transport men, and such controls should be readily accessible and maintained so that the belt can be stopped or started at any location.
- (b) Belt conveyors used for regularly scheduled mantrips should be stopped while men are loading or unloading.