- the effect, if you start out with 5 percent 1 2 mortality rate, then you can't get any better than 5 percent treatment effect; whereas if 3 you have a 70 percent mortality rate, you can 5 have a 70 percent treatment effect. DR. SINGER: That's right. 7 certainly much larger in older patients, the treatment effect; the magnitude is different. 8 9 I'm not sure I know how to answer that 10 question. 11 ACTING CHAIR TOWNSEND: Dr. Fleming has a comment. 12 13 DR. FLEMING: That's a very intriguing issue, and you are right on target 14 15 by noting that when we talk about whether
- We in our presentation today have

 followed the lead for what people have done in

 this area, which is to look at the absolute

 difference. And people talk about 10 percent

 margins as the absolute different. Well, if

depends on the measure we use.

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there is effect modifiers or interaction it

you have a 15 percent mortality, you're
allowing a 10 percent margin, you are allowing
a 67 percent relative increase in mortality.

Might it be that a 67 percent relative increase in mortality on a relative risk scale could be a margin that could be used to extrapolate to younger people? The data are certainly consistent with your observation. The data are consistent with the fact that we may well still have that same level of benefit in the very young, even in non-bacteremic young people. It requires huge numbers of patients though to validate that that relative risk approach can be extrapolated to those younger patients.

But it's a very reasonable supposition that you've making. By the way if you take that approach, though, and you use the margins that many of us are advocating we could use in more higher mortality settings, 15 mortality you could use a 10 percent margin, in a 2 percent mortality, you could

1	basically - in a 3 percent mortality you could
2	use a 2 percent margin, so yes, I think there
3	is a basis for arguing that in these very low
4	risk people there still is an effect. It's
5	hard to prove it because to show a relative
6	risk of having or whatever it takes, 88
7	events, and 88 events are easy to get in older
8	patients or bacteremic patients; they're
9	really hard to get cumulative data and aiding
10	events in younger non-bacteremic. But the
11	data aren't inconsistent with your
12	supposition.
13	But if we followed that
14	supposition then the margin you would use in
15	a population that had 3 percent mortality
16	would be 2 percent; the margin you'd use in a
17	population with 1 percent mortality would be
18	2/3rds of a percent.
19	ACTING CHAIR TOWNSEND: Dr. Musher.
20	DR. MUSHER: I just wanted to say
21	once again, Dr. Fleming, I don't really
22	understand - I wish I could, but I was going

1 to say that we have got to talk abo9ut a 2 proportional decrease. You can't talk about 3 the absolute number. It just doesn't make any Honestly, just as was stated, if your 5 mortality starts out at 4 percent and you drop 6 it to 2 percent, that is not a 2 percent 7 decline; that's a 50 percent decline. And that's exactly the same if it 8 9 starts out at 50 percent and it goes to 25 10 percent. 11 DR. FLEMING: So you are concurring 12 with what we are saying? 13 DR. MUSHER: Yes, exactly right, and I noted it as the slides were going along 14 15 also. DR. FLEMING: And we used the 16 17 absolute, because that's what tradition has been in this area. But it's very fair to say 18 19 that when you have a very low rate of events 20 occurring in young children who are - in 21 people below 30 who aren't bacteremic, the 22 data indicate there is undoubtedly a benefit,

- 1 but understanding what that relative benefit
- is is so much harder when there are so few
- 3 events.
- 4 So if we extrapolate the same
- 5 level of benefit, which is a big assumption,
- 6 but if you do you can come up with margins.
- 7 But the point is, if you have a 10
- 8 percent margin when you have a 15 percent
- 9 mortality in the control, that would be
- 10 extrapolated to a 2 percent margin when you
- 11 have a 3 percent mortality in control,
- 12 following the relative risk concept.
- 13 ACTING CHAIR TOWNSEND: Thank you,
- 14 Dr. Singer.
- DR. SINGER: Thank you.
- ACTING CHAIR TOWNSEND: Our next
- 17 presentation will be by Dr. Nambiar,
- 18 contemporary CAP trials and determination of
- 19 treatment effect.
- 20 CONTEMPORARY CAP TRIALS AND DETERMINATION OF
- 21 TREATMENT EFFECT
- DR. NAMBIAR: Thank you, Dr.

- 1 Townsend, and good afternoon, everybody.
- 2 An overview of my talk is as
- follows. I summarize the recent CAP trials
- 4 that have been submitted to the agency for
- 5 registration purposes. This includes both
- 6 oral and IV studies.
- 7 I will make an attempt to link
- 8 historical studies to contemporary CAP trials,
- 9 outlining the difficulties we face in linking
- 10 these two sets of patients.
- 11 I'll also review the alternate
- approaches we took to determining a treatment
- 13 effect in CAP.
- 14 For inclusion in current CAP
- 15 studies, patients should have a new infiltrate
- on a chest X-ray and at least two of the
- following signs and symptoms: a cough, sputum
- 18 production, auscultatory findings, dyspnea,
- 19 tachypnea, fever, elevated white count and
- 20 hypoxemia.
- 21 Though microbiologic evaluation
- has to be performed on each patient, isolation

of a pathogen is not required for overall
evaluating. The primary endpoint in these
studies was clinically cure at the test of
cure visit, seven to 21 days after completion
of treatment.

The primary analysis populations were the intention to treat and per-protocol population.

Clinical cure was defined as complete resolution or improvement of all signs and symptoms, and improvement or lack of progression of all abnormalities on chest radiograph, such that no additional antibacterial therapy was required.

Microbiologic response could be categorized as any of the following four: the pathogen was considered eradicated and the original pathogen was absent from the test of clear culture; it was categorized as being persistent if the original pathogen was still present in the test of clear culture.

Presumed eradication and presumed

persistence were indirectly derived from the

clinical outcome. So if you had a clinical

cure, and there was no specimen available for

culture at the test of cure visit, you were

deemed to have presumed eradication. And on

the contrary, if you were a clinical failure,

without a culture at the test of cure visit,

you were considered to be presumed persistent.

The intention to treat population included all randomized patients, the perprotocol or clinically valuable patients were those ITT patients who had no major protocol violations.

The modified or microbiologic intention to treat population includes all intention to treat patients who had a baseline pathogen including those with a positive serological diagnosis.

The microbiologically valuable populations were those patients who were in the MITT population and had no major protocol violations.

1 Seven comparative studies 2. conducted from 2000 to present were reviewed. Most of these studies were multinational. 3 4 They were all randomized, double blind, non-5 inferiority trails that used a pre-specified, 6 noninferiority margin of 10 or 15 percent. 7 About three to 500 patients were randomized per study. The active controls 8 9 used in these trials are varied, and included 10 clarithromycin, amoxicillin and clavulanate or levofloxacin. 11 12 This is a summary of the common 13 clinical features seen in these patients. Most patients had either cough or sputum 14 15 production. Interestingly, fever has generated a fair amount of discussion this 16 17 morning, and fever was reported only in 19 to 33 percent of patients. 18 19 In one study 98 percent of 20 patients were febrile, but in this study fever 21 was a requirement to be enrolled in this particular trial. 22

Other symptoms included chills,
shortness of breath and chest pain. Multilobar disease was seen in 16 to 25 percent of
patients.

This graph represents the frequency with which patients had a baseline pathogen. So the bars represent the percentage of patients who had a microbiologically documented infection. And the bars in pink represent those who had streptococcus pneumonia confirmed on culture, either from the sputum or the blood.

So as you can see in the graph streptococcus pneumonia was not identified in a large number of patients, and varied from about 6 to 20 percent of patients.

In this graph we have presented the treatment difference between the test drug and the active comparator in the intent to treat and in the per-protocol population so the vertical bars represent the 95 percent confidence intervals around the treatment -

for the treatment difference, in the intent to treat or the per-protocol population for each of the seven studies.

And as you can see all studies would have met a noninferiority margin of 15 percent; and five studies would have met the noninferiority margin of 10 percent.

So to summarize, what we found on review of the oral CAP studies the mean age of patients was 46 years, with a range from 18 to 98 years; majority of patients had PORT scores of two or less; five to 10 percent of patients had PORT scores of three; baseline pathogens were identified in 45 to 75 percent of patients; 6 to 20 percent had streptococcus pneumoniae, and anywhere from zero to 2 percent had streptococcus pneumonia bacteremia.

More than 80 percent of patients were clinically cured in the intention to treat population, and that was 90 percent or greater in the pro-protocol population.

1 Mortality in these studies was 2. less than 2 percent. 3 The IV CAP studies were generally similar to those of the oral CAP studies. 4 5 important difference is that some of them were open label trials. 7 The endpoints and analysis populations in the IV studies was similar to 8 9 those of the oral CAP studies, and the study 10 size ranged from about 300 to 700 patients. 11 In summary the mean age of 12 patients in the IV CAP studies was 56 years; 13 55 percent of patients had PORT scores of one or two; 20 percent of patients had PORT score 14 15 three; and 20 percent has PORT score four. 16 Less than 5 percent of patients were enrolled with PORT scores of five. 17 Baseline pathogens were identified in 30 to 55 18 19 percent of patients; 20 percent had strep 20 pneumonia; and 49 percent of patients had 21 strep pneumonia bacteremia. Clinical cure about 80 percent in 22

- the intention to treat population and 90
- 2 percent in the pro-protocol population.
- 3 Mortality ranged from 2 to 4
- 4 percent.
- 5 Moving on to the second part of my
- 6 talk, which is an attempt to bridge the gap
- 7 between the historical studies and
- 8 contemporary CAP studies.
- 9 As Dr. Singer has already
- summarized, all the CAP studies in the early
- 11 1900s, this is just a quick summary of those
- studies, they are primarily conducted in
- hospitalized patients. The severity of the
- 14 disease in these studies is unclear, but we
- 15 think it is reasonable to assume that most had
- 16 moderate to severe disease.
- 17 There was no standardized
- 18 classification system used across these
- 19 studies. Most studies were primarily in
- 20 patients with pneumococcal pneumonia, though
- 21 some studies did include patients without
- 22 confirmed pneumococcal etiology.

1 In current CAP trials, the 2. majority have been older CAP studies; they have all been nonferiority trials, with a 3 4 prespecified margin of 10 to 15 percent. 5 By and large patients in these studies were otherwise healthy with mild to 7 moderate CAP. Few patients had PORT scores of 8 three or greater. The proportion of patients 9 with strep pneumonia identified as etiologic 10 agent was small, and the number of patients with bacteremia was also small. 11 12 The primary endpoint was clinical 13 Generally success rates in these outcome. trials were high with very small differences 14 15 between the tests and the active comparator. And mortality was low in most studies. 16 17 So the three major areas which I would like to highlight where we've had issues 18 in linking historical data with current CAP 19 20 trials are the patient populations, 21 microbiology and endpoints.

And I'll give you my clinical

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- perspective and the statistical implications of these uncertainties will be discussed by Dr. Valappil and his staff.
- It is difficult to define a

 patient population identical to those seen in

 historical studies. So this raises some

 important questions.

Can patients in current CAP trials be compared to those in historical studies if matched for age?

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As Dr. Singer had outlined in her presentation, a large majority of patients in the observation studies, and in the controlled historical studies were under 50 years of age.

The second question is, is it acceptable to assign a PORT score for patients in historical studies based on age alone? We only have limited data on comorbidities.

In terms of microbiology, again as Dr. Singer had outlined in her summary slide, historical data is primarily for patients with pneumococcal pneumonia. Granted there were a

few patients in whom other organisms were
isolated, including staphylococcus aureus and
hemolytic sterptococci, and there was also a
group of patients in whom no pathogen was
identified.

And as I have summarized for you from our current CAP trials, streptococcus pneumonia is isolated in only a small fraction of cases, and a smaller proportion of patients have bacteremia.

So this raises the question whether or not the treatment effect from these historical studies can be extrapolated to organisms other than streptococcus pneumonia.

Based on the treatment effect seen for mortality, we have seen the treatment effect is larger in patients older than 50 years of age and in bacteremic patients.

In current studies mortality is low. It's important to note that as risky therapy is used in patients failing treatment, mortality is prevented in many cases.

1 Clinical outcome is the primary 2. endpoint in current studies rather than mortality. Based on limited data for other 3 4 endpoints that are available from historical 5 studies, is it reasonable to extrapolate to the treatment effect in the form of clinical 7 outcome that is assessed in present trials? A second question that needs to be 8 9 answered is, as death is included in clinical 10 failure, is it reasonable to assume that the treatment effect for clinical outcome is 11 12 likely to be greater than that seen for 13 mortality. Moving on to the last part of my 14 talk which is the alternative approaches we 15 reviewed in a further attempt to quantify the 16 17 magnitude of treatment effect in patients with community-acquired pneumonia. 18

We reviewed studies that had looked at outcomes of discordant therapy, either based on adherence to treatment guidelines or based on in vitro susceptibility

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of the infecting pathogen.

2 We reviewed studies that looked at

3 the effect of timing of antibiotic

4 administration on outcome or mortality.

5 We reviewed failed active

6 comparator studies; superiority studies; and

7 also dose-ranging studies.

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In the literature discordant therapy is defined two ways. It's discordant based on guidelines, and generally it's based on whether or not the therapy was concordant with the IDSA or ATS guidelines which were

Most of these studies have been retrospective studies that have used varying definitions of discordant therapy.

current at that point in time.

The vast majority of studies have looked at concordant or discordant therapy in the first 48 hours.

The endpoints in these studies
varied. Some studies looked at 48 hour
mortality, while others have looked at 30-day

- 1 mortality or in-hospital mortality.
- The number of patients who
- 3 received discordant therapy in these studies
- 4 was generally very small.
- 5 While studies did adjust for
- 6 severity of illness or other covariates using
- 7 propensity scores, some other studies did not
- 8 adjust for these covariants.
- 9 We also reviewed studies that
- 10 looked at discordant therapy based on in vitro
- 11 susceptibility of streptococcus pneumonia.
- Generally there was no different in mortality
- or clinical success was seen as reviewed in a
- 14 recent metanalysis.
- The recent change in penicillin
- 16 breakpoints are definitions used in some of
- these studies may not be applicable.
- 18 We reviewed active comparator
- 19 studies, and most studies that we identified
- in the literature have all been noninferiority
- 21 studies, though some have shown superiority,
- 22 and I have identified two of them here, and

1 I'm sure there are others.

2 In one of these studies

3 levofloxacin was compared with ceftiaxone or

4 cefuroxene. That was the File study and the

5 Finch study.

6 Moxifloxacin was compared with 7 amoxicillin clavulanate with or without

8 clarithromycin.

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Generally on review of active comparator studies we noticed that all classes of antibacterials are effective, and summary reviews have not demonstrated superiority of one class over another.

Hence any estimate of treatment difference would be an underestimate. The fact that very few studies demonstrated superiority represent that this was likely a chance finding alone.

Studies that have looked at the

timing of antibiotic administration were

primarily observational studies. Most of them

have been retrospective studies, but there

1 have been prospective studies as well.

And time to first antibiotic dose

of four hours has been associated with better

outcome.

We were unable to identify any study that showed superiority of one dosing regimen over another, though there are studies that compare one regimen versus not another.

And most of them again have been noninferiority studies.

One field active comparator study that has been discussed even at the workshop is the daptomycin studies. These were phase three randomized double-blind noninferiority trials in hospitalized CAP patients where intravenous daptomycin was compared to intravenous centriaxone. Results of these studies were published about two weeks ago in CID.

Of the two blind studies, the second study was stopped early based on results of the first study. These are fairly

- 1 contemporary studies, having been conducted 2 from 2000 to 2002.
- Results in the publication are
 based on the full studies, so I don't have
 results by individual study.

four hundred and thirteen

daptomycin and 421 centriaxone-treated

patients were enrolled in these two studies

combined. A little over 40 percent fo

patients had a PORT score of two; a third of

patients had PORT scores of three; and the

remainder were PORT scores of four; there was

one patient with a PORT score of five.

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A little less than a third of patients had a microbiologically documented infection which in this instance was either streptococcus pneumonia or staphylococcus aureus, with 28 percent of daptomycin treated patients and about 25 percent of centriaxonetreated patients.

About 7 percent of patients in each of the treatment arms had bacteremic.

And these are the results for the pooled population. Both the intention to treat and the clinically valuable population were the co-primary analysis populations for this study.

And in both populations daptomycin was inferior to the comparator, with a treatment difference in the ITT population fo minus 6.5, and in parentheses and provided the 95 percent confidence intervals.

Twenty one daptomycin treated patients and 12 centriaxone treated patients died during the study.

Besides the inferiority of daptomycin, one other important aspect of the study, which was based on a post hoc analysis, in the pooled CE population, was that daptomycin-treated patients who received prior effective therapy for less than 24 hours had higher success rates than those who did not receive such therapy. And I think this is an important point of discussion as we discuss

future of clinical trials in CAP.

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Though daptomycin has been shown

to interact with pulmonary surfactant, we feel

that the daptomycin effect seen here is likely

larger than what one would see with placebo.

We also reviewed studies that looked at other endpoints, such as time to resolution of symptoms.

We certainly did identify studies that have identified superiority of one regimen over another for such endpoints.

However for most of these studies there were either a secondary endpoint, or were part of a subgroup analysis.

Some studies have used clinicianreported outcomes and some have used patientreported outcomes that were not validated.

CAP-Sym is a patient-based outcome measure that was evaluated in outpatients with CAP and was published a few years ago. To the best of my knowledge, this tool has not been used to support a primary endpoint in

1 registration of trials.

So to summarize the supportive

data we reviewed to quantify the treatment

effect in CAP. Overall the studies did

support the effectiveness of anti-bacterials

in CAP. The choice of anti-bacterial and its

timing of administration appear to be

important.

However, the supportive

information we reviewed was not directly

contributory to determining the magnitude of

treatment effect. Alternate endpoint, such as

time to resolution of signs or symptoms, maybe

an option for future trials.

I would be remiss if I didn't spend a minute or so talking about pediatric CAP trials being a pediatrician myself, and I also see that it has generated a fair amount of discussion already today.

I know Dr. Nelson had to spend some time talking about pediatric studies.

And a lot of what we are discussing today is

just as relevant to the pediatric population.

2.

As in adults historical studies in children have showed reduction in mortality after introduction of sulfonamides. In addition to the observational data that Dr. Singer had discussion, which is from Bullowa paper, we did review some other studies that looked at treatment benefit in children with sulfonamides. Most of these have been K series, and the greatest treatment difference really was seen in infants less than a year of age.

In the Raycraft series there were about 200 infants who were less than a year of age, and the mortality was 10 percent compared to 30 percent based on historical controls.

There were no concurrent control trials that we were able to identify.

And Ormiston study was again a K series of about - I forget the number, but it was less than 50 children.

22 Most recent CAP trials in children

have all been equivalency trials. 1 In these 2. studies children with severe or very severe pneumonia based on the WHO classification 3 4 system was used. And this morning Dr. Nelson 5 had reviewed some of these studies with you. The regimens used in these trials 7 varied. Amoxicillin was compared to either intravenous ampicillin or penicillin. 8 9 Chloramaphenicol was compared to a combination 10 of ampicillin and gentamicin. In most studies the clinical 11 12 outcomes in both treatment groups were very 13 similar. There was one recent study which 14 showed that the combination of ampicillin and 15 gentomycin was superior to chloramphenicol in 16 17 children aged two to 59 months who had very severe pneumonia based on the WHO 18 19 classification system. 20 So to summarize what I've 21 presented thus far, I've given you an overview of recent CAP trials both oral and IV studies. 22

I have briefly touched upon the study design endpoint, analysis populations, microbiology and outcomes in these studies.

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It's important to note that

although treatment effect was demonstrated in

historical studies, there are difficulties and

limitations in linking historical data to

current CAP trials.

I've also provided you an overview of additional data that are supportive of anti-bacterial effect in CAP though not directly contributory to estimating a treatment effect.

So to conclude I would like to say that patient populations described in the historical studies differ from those seen in current CAP trials. In current CAP trials patients may be less ill; a small proportion have strep pneumonia, as the baseline pathogen; and very few patients are bacteremic.

The endpoints evaluated in

historical studies are different from those 1 used in current CAP trials. 2. So for noninferiority trials in CAP we need to define 3 patient populations and endpoints that are 5 best supported by the treatment effect seen in historical studies. 7 This should be the end. would really like to acknowledge Dr. Carol 8 9 Higgins, one of our statistical team leaders, 10 who analyzed all the data on the contemporary CAP trials and had presented it at great 11 12 length at the workshop in January. 13 Thank you. ACTING CHAIR TOWNSEND: Thank you, 14 Dr. Nambiar. 15 We have time for one or two 16 questions. 17 Dr. Wong-Beringer. DR. WONG-BERINGER: I wonder if you 18 19 could comment on one particular area which I 20 haven't heard so far today, and that is 21 specifically measuring the host-microbial interaction there. 22

1 I think we appreciate more that 2. antibiotics have more profound biological 3 effects on these organisms than perhaps even 4 up-regulating the virulence expression here, 5 and that could play a role in confounding the treatment effect. 7 And secondly the polymorphisms in human susceptibility or host inflammatory 8 9 response to the infection itself could also 10 introduce a difference in treatment effect 11 there. Please comment on where these 12 13 might fit in in the study design or alternative approach. 14 DR. NAMBIAR: I don't think I'm 15 16 equipped to answer that question. 17 In terms of exposure response, I know Chris, one of our clinical 18 19 pharmacologist, is certainly going to -- I 20 think his presentation will follow later this 21 afternoon, so you will have a better idea 22 about exposure response.

1	Your second question is in terms
2	of host and immunomodulatory
3	DR. WONG-BERINGER: Possibly.
4	DR. NAMBIAR: In terms of how to
5	factor that into clinical trials?
6	DR. WONG-BERINGER: Well, I think,
7	not necessarily on the immunomodulating part,
8	but in terms of the host's response to
9	treatment, or the driver could be the
10	inflammatory response and host genetic
11	difference there. In perhaps the more severe
12	pneumonia group.
13	DR. NAMBIAR: But that would be in
14	addition to the anti-bacterial effect?
15	DR. WONG-BERINGER: Right, and
16	differentiating the treatment groups.
17	DR. NAMBIAR: I'm not quite sure
18	how I could differentiate the two. And I
19	suppose if you are doing a randomized
20	controlled trial you would expect it to be
21	balanced across arms.
22	So are you trying to suggest that

there is an alternative way of looking at just 1 2 that aspect separate from the anti-bacterial effect? 3 4 DR. WONG-BERINGER: I guess I would 5 be interested in looking at the treatment groups, measuring the play, the role where 6 7 genetic polymorphism could play a role in affecting the treatment response there. 8 9 DR. NAMBIAR: I'm possibly not 10 aware of any particular polymorphism or 11 genetic marker that identifies an outcome, but 12 maybe there is somebody else in the audience 13 who is better aware than I am. I do not know of any specific genetic polymorphism that I 14 15 could use as a marker in patients with CAP. DR. WONG-BERINGER: 16 Not 17 specifically in CAP; it's certainly a developing area. And I think in terms of 18 19 going forward in the future trial design. 20 DR. NAMBIAR: There is a fair 21 amount of discussion in that regard in 22 pharmacogenetics and all that, which is -- I

1 will not claim to be an expert, but I am certain there is a lot of discussion. 2. ACTING CHAIR TOWNSEND: Dr. Venitz. 3 4 DR. VENITZ: I just want to make 5 sure that I understand the current registration trials. Those patients have not 7 demonstrated sensitivity in culture to the study drug; is that correct? 8 9 DR. NAMBIAR: Well, what happens 10 is, when they get enrolled you often don't 11 have a microbiological pathogen documented at 12 the time of enrollment; and there is usually 13 a delay of 24 or 48 hours before you identify the organism. 14 15 And as was discussed this morning, by and large what happens is, if you do - once 16 you get the culture back, and if the organism 17 is resistant, very often if the patient is 18 19 doing well, they sometimes remain in the 20 study, but often they are taken out of the 21 study once you identify a resistant organism. DR. VENITZ: So what's the

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1 proportion of patients that would be included 2 in the analysis, in the final ITT analysis, that would not be sensitive to the study drug? 3 4 DR. NAMBIAR: There is more than 5 one we are analyzing. So sometimes we leave them - we do leave them in the pure intent to 7 treat population. Because everybody stays 8 And often they are considered 9 failures. But sometimes if there is a large 10 number we may end up doing a sensitivity 11 analysis and looking at it more ways than one. ACTING CHAIR TOWNSEND: Dr. 12 13 Follmann. DR. FOLLMANN: I have a brief 14 15 comment and then a question. So I thought the presentation was 16 illuminating for me because it's showing that 17 the current trials you're using in CAP have 18 19 very low mortality rate; in fact they are not 20 using mortality as an endpoint, but cure. 21 Part of our task today and 22 tomorrow is to come up with a margin, and most

of our discussion is focused on margin for 1 2 mortality. And maybe there is some margin we're comfortable with in a study that had a 3 fairly high mortality rate, 10, 20 percent, or 5 30 percent or so; but it doesn't seem like those are the kinds of trials that are being 7 done. 8 Now is that because such patients 9 don't exist? Or is it because they were 10 designed with a cure endpoint in mind and a 11 particular margin in mind? Because if we come out of here and 12 13 just say we have a margin for a trial where we have a population of 20, 30, 40 percent 14 15 mortality, is that going to be helpful? Would such a trial be possible? 16

DR. NAMBIAR: I think there are two answers to your question.

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One is, when patients are failing therapy they are often given rescue therapy, so in effect you are preventing mortality; so that's one reason why you don't see a high

1 mortality.

And secondly a lot of our patients
meet one or the other of exclusion criteria.

So a lot of these very sick patients don't get
enrolled in the trials.

And as I've shown in the parenteral studies too, patients with PORT scores of four are only a small fraction, and PORT scores of five are hardly ever enrolled.

So there are two reasons why you do see a low mortality.

DR. FOLLMANN: And then the other comment had to do with your brief reference to some superiority studies which you said were successful. And if you have any more details on those, I'd be curious about them, because you know one way out of this mess would be to do superiority studies in patients with extremely mild CAP if it's possible.

DR. NAMBIAR: I actually have the publications. These were studies designed as noninferiority studies. And both of them, if

1 I remember correctly, were open labeled 2 studies. So they were designed as noninferiority trials, and I thought it's a 10 3 percent or 15 percent margin. But at the end 5 of the day when they got the results it 6 happened to demonstrate superiority. 7 So they were not designed to be superiority studies. 8 9 ACTING CHAIR TOWNSEND: Dr. Musher. 10 DR. MUSHER: I'd like to make a few 11 comments if I could please. 12 First of all, an open label study 13 that looks at something other than mortality is not a valid study. Because if a doctor 14 15 knows what's the matter -- I'm going to comment on this briefly tomorrow -- the doctor 16 knows which drug the patient is getting; the 17 patient knows which drug the patient is 18 19 getting, and you are interpreting resolution 20 of symptoms and other kinds of things -ladies and gentlemen, that's no study. The 21 22 FDA shouldn't encourage that, the FDA

shouldn't accept those data. That's a series

of anecdotal reports; that's not a study. I

feel very strongly about that.

Now I want to comment on the -
I'm not so knowledgeable about who designs the studies. But Dr. Wunderink commented that we have too many patients in our studies who don't have severe disease. So you notice a remarkable uniformity, a 90 percent success rate in just about everybody, and just about every trial of pneumonia no matter what drug was given.

So what kind of a situation is that? Well, pick patients who -- first of all, I absolutely agree with Dr. Wunderink, we shouldn't be mixing together the so-called mild and the so-called moderate. I think his point should be listened to. These patients had mild pneumonia, most of them.

20 Well, I think that the
21 pharmaceutical industry is the one that
22 designs the studies. And they are going to

1 design a study in which their drug is going to 2 look good. And if they design one that's 3 going to look good, then you take a bunch of 4 people who've got mild pneumonia and put them 5 in a study, and you can compare it with 6 anything you want, any other kind of 7 treatment, and they are all going to do very And I think that's what you see in 8 9 these studies. 10 So I think Wunderink is on target, 11 and if you want to compare drug A with drug B 12 you've got to take patients who are sick 13 enough so it's going to make a difference. 14 Thank you. 15 ACTING CHAIR TOWNSEND: Thank you 16 very much. 17 DR. NAMBIAR: Thank you. ACTING CHAIR TOWNSEND: Next 18 19 presentation will be by Dr. Valappil, 20 noninferiority margin for CAP studies. 21 NON-INFERIORITY ISSUES IN TRIALS OF 22 COMMUNITY ACQUIRED PNEUMONIA

1	DR. VALAPPIL: Thank you, Dr.
2	Townsend.
3	Good afternoon.
4	The outline of my presentation is
5	as follows: critical steps in designing a
6	noninferiority trial; statistical
7	uncertainties in noninferiority studies;
8	discounting and preservation of controlled
9	treatment of fact; historical evidence and
10	captions; magnitude of treatment benefit. And
11	then I will summarize my talk with future
12	considerations.
13	Dr. Fleming has gone extensively

17 issues.

But primarily for custom-specific

19 issues have led to the noninferiority trials.

What are the critical steps in

21 designing a noninferiority trial? Determine

discussing the noninferiority design and

issues, so I'm not going to go through it

again, and go into that and discuss the

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that the historical evidence of sensitivity to

drug effect exists. Determine the design 1 2. features of the historical placebo control trials from which the drug effect has been 3 4 established. Determine a scientifically 5 justifiable noninferiority margin. And also assure the quality of the trial and its 7 conduct. Any kind of subjectivity or 8 9 imprecision can be rewarded in an 10 noninferiority trial, and can artificially make the treatment look similar when in fact 11 12 they are not. 13 What are the statistical uncertainties in noninferiority studies? 14 are the sources of uncertainties? 15 Magnitude and position of the 16 17 estimate of active control treatment of fact, based on historical placebo control studies. 18 19 Lack of constancy assumption, that is the 20 potential lack of comparability of historical evidence. Estimate of the size of the 21 treatment effects in the current 22

1 noninferiority setting.

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The observed treatment effect from a single study or a collection of studies may not be reproducible, introducing bias. It shows up in the estimate of the treatment difference between the test drug and active control in the current noninferiority setting.

This scenario is to demonstrate unclear treatment of fact. As you can see, the conference results in lapse and it is difficult to differentiate the untreated effect from the active control effect. And essentially a noninferiority margin determination will be difficult.

On the other hand in this scenario you see a substantial treatment effect, of active control over untreated effect. And a noninferiority margin can be defined provided the evidence is coming from adequate and well controlled historical studies.

Now the question is, how to account for statistical uncertainties in estimating a noninferiority margin? Two steps
involved essentially: discounting or reduction
of the historical control effect size; and
preservation of the control effect.

Discounting of the historical control effect size is required to account for greater sources of uncertainties. For example it could be due to lack of constancy assumption, or lack of inter-trial variability. Differences in patient population, differences in dosing, or divisional control drug.

Preservation of the discounted treatment effect size is based on the 95 percent confidence developed around the difference in treatment effect.

The proportional -- the control effect preserve these based on good clinical judgment. Smaller noninferiority margin should be chosen when treatment failure results in irreversible outcomes such as mortality.

1 Now the question is, why a smaller 2 NI margin? Let us consider an example using the endpoint clinical success or failure. 3 if the clinical success rate is -- note that the clinical failure also include mortality. 5 6 So if you consider a clinical success rate of 7 95 percent, the corresponding mortality rate would be 5 percent, or the clinical failure 8 9 rate would be 5 percent. 10 If you consider an 85 percent 11 success rate, then the corresponding failure 12 rate in gross mortality is 15 percent, which 13 is almost three times compared to the first So there is an increased mortality. 14 15 we need to balance the mortality with the clinical success. 16 17 So the message is, how much higher mortality is clinically and ethically 18 19 acceptable when moving from a more objective 20 endpoint like mortality to a clinical 21 endpoint? Now let us talk about the 22

noninferiority inference. Let us assume that 1 2. we have established a noninferiority margin based on adequate and well controlled 3 historical studies. What would be the 5 statistical inference? 6 There are four scenarios in this 7 outline. Please note that there is a yellow dotted line on the left side which indicates 8 9 a noninferiority margin. 10 The first two scenarios clearly 11 shows that the noninferiority is demonstrated. However, if you look at the second scenario, 12 13 the treatment difference, the point estimate of the treatment difference was above zero, 14 15 indicating a better treatment effect. 16 However, there is a large variability associated with that. 17 In the scenario three it clearly 18 19 fails to demonstrate noninferiority because 20 the lower limit of the 95 percent conference 21 limit around the treatment difference has

fallen below the noninferiority margin.

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What else in scenario four? 1 2 does simultaneously demonstrate a noninferiority and superiority. 3 Now let us discuss on the 4 5 historical evidence in CAP studies. Dr. Singer has gone in great detail on the 7 historical studies and issues, so I'm not going to discuss that again. 8 9 However, I would like to point out 10 some of the issues based on the historical 11 studies. 12 What is the reliability of the 13 control effect based on the historical The historical data for CAP would studies? 14 not be considered adequate based on the 15 current standard for adequate and well 16 controlled studies. 17 There are several design issues 18 19 which were introduced by us. All cause 20 mortality rate were evaluated in these historical studies. There was limited 21 information on the resolution of clinical 22

- signs and symptoms, as measured in the current
 CAP studies.
- No true placebo controlled studies

 were conducted. However studies which use no

 specific treatment, for example, symptomatic

 therapy, were considered as untreated or

 placebo.
- There are major limitations in the historical studies, including but not limited to the following.
- These studies were not blinded.

 Some were observation studies, while some were

 controlled, though not randomized for current

 standards.
- Majority of patients were

 hospitalized with pneumococcal or lobar
 pneumonia.
- Subjects were assigned to antibacterial drugs including penicillin,
 sulfonamide, and tetracycline. There was
 significant variability and mortality rates
 across studies.

1 The difference in overall 2 mortality based on point estimates, in pneumococcal or lobar pneumonia, from the 3 control studies it was in the range of 10 to 5 19 percent, and it gets higher if you look at 6 the bacteremic patients. 7 However, there is significant variability in these estimates, and therefore, 8 9 it lacks reliability. 10 This diagram indicates and first 11 of all this is based on the controlled 12 clinical trials, looking at the variability in 13 mortality rates. 14 As you can see, Dr. Singer has 15 pointed out that there is - sorry - 10 to 19 percent of treatment difference between the 16 17 point estimates. However if you look at the variability around that estimate it is quite 18 19 There is large variability. high. 20 Also note that this study, the 21 Agranat, has a small number of patients. Probably that might explain the large 22

1 variability around those estimates.

2 But again there is a lot of

3 uncertainty in the point estimates.

4 Now if I may go back to the

5 historical evidence and talk about the control

6 effect, whether it is reproducible or not.

7 There appears to be a mortality 8 benefit in hospitalized CAP patients that seem

to have moderate to severe pneumococcal or

10 lobar pneumonia.

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However, there is significant variability and associated uncertainties in the estimated historical mortality rates. The magnitude of effect may not be reliable and reproducible in all CAP patients who appear to have less severe disease.

Now the question is, can we control the constants of a control effect based on the historical studies? Does the control effect remain constant over time? How do historical trials compare to the current trials? Difference in the patient population,

- this is definition, outcome criteria; those
 durational control and timing of the outcome
 assessment.
- What is the internal variability

 of the effect size in the historical studies?

 As I said earlier, the anti-bacterials used in

 these studies included sulfonamides,

 penicillin and tetracycline, and we have lot

 more options in the current studies.

The mortality rates in the current
studies are not very high, probably close to
four percent, again, depending on which
patient population you look at. If we look at
the high risk population probably it is much
higher than that.

16 The lack of -- although it may -
17 if I may just go back -- current studies don't

18 have that many. I'm just hypothetically

19 saying that if you could identify the high

20 risk patient population, probably the

21 mortality rates could be higher.

The lack of comparability between

historical CAP studies and the current studies raise concerns in determining a precise estimate of the treatment effect.

This can be due to any number of sources including differences in patient population; advances in standard of care; differences in the endpoints; mortality or clinical failures; or emerging drug resistance issues.

How about the study quality and conduct, based on the historical studies? The historical CAP studies were not randomized per current standards, blinded or controlled for potential biases. Therefore in general when using historical studies the following issues can undermine its ability to reliably estimate the treatment benefit.

For example that includes
subjective endpoints, lack of specificity in
the diagnosis of patients, spontaneous
resolution of signs and symptoms, treatment
noncompliance, contribution of therapies, or

1 misclassification of outcomes.

2 So if I may summarize the

3 historical evidence, the historical data may

4 be primarily limited to those with moderate to

5 severe disease, due to streptococcus

6 pneumonia. Historical studies do not provide

7 quantitative estimates of clinical benefit

8 other than all-cause mortality.

9 The microbiological etiology in
10 historical studies, the first one, recent CAP

11 studies.

12 Thus far I have summarized the

13 historical evidence and its limitations.

14 Given all the issues in the historical

15 studies, the interpretation of the data can

16 probably vary.

17 However, hence, our interpretation

18 of the historical data is different from the

19 IDSA position paper, and therefore I would

20 like to make a few general comments.

This presentation focused only on

22 controlled trials, while the IDSA position

paper has also included or considered
remaining studies.

absolutely mortality rate in the controlled studies by pooling studies, and did not take into consideration the lack of internal consistency in the mortality rates approach to this.

As you are aware, pooling studies makes several strong assumptions, including similarity in the patient population; disease characteristics; treatment duration. And it may be difficult to meaningfully interpret the results.

Now I would like to make a few comments on the Kingston paper, tetracycline versus placebo was studied in 290 healthy Marine recruits between age 17 to 22 years with mild communicative pneumonia. Mycoplasma pneumonia was the etiology in only 133, that was 46 percent of the subject, of the total number of subjects, which is only a subgroup

- 1 of patients.
- 2 There are several endpoints being
- 3 looked at, for example, mean time to
- 4 defervescence, normalized chest X-ray,
- 5 resolution of cough, and a few other
- 6 endpoints.
- 7 There are potential multiple
- 8 pressing issues and inflation of overall type
- 9 rates based on this exploratory analysis.
- 10 Duration of fever is based on
- 11 cumulative percent and it is not clear how
- missing values were accounted, and it has the
- 13 potential for overestimating the treatment
- 14 effect.
- These findings are based on a
- 16 single study, and subgroup analysis, and
- therefore, these results cannot be
- 18 generalized.
- 19 Now if I may go back to the
- 20 magnitude of treatment benefit based on
- 21 historical studies. There appears to be a
- treatment benefit based on all-cause mortality

- in the historical studies. In hospitalized

 CAP patients with pneumococcal or lobar

 pneumonia, although the estimates lack

 precision as it explained.

 However, mortality is lower in
- current studies due to availability of
 alternative therapies which could rescue
 patients and prevent death, as Dr. Nambiar has
 mentioned.
- The question is, can we translate
 the mortality benefit observed in historical
 studies to a clinical benefit as measured in
 current studies, or will it be misleading?

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The margin chosen for a noninferiority trial cannot be greater than the smallest effect size that active drug would be reliably expected to have compared with the placebo in the setting of the planned trial.

A noninferiority trial design is possible if you use mortality as the endpoint, because we have historical data to back up.

1 Or scientifically justified 2. extrapolation of the mortality benefit seen in historical studies to another clinically 3 meaningful endpoint, probably clinical 5 failure. Dr. Nambiar has already discussed 7 the results based on the current CAP studies, and the clinical cure rates were higher than 8 9 80 percent in the ITT population. 10 Now let us consider a 15 percent 11 clinical failure rate which includes mortality 12 in this hypothetical example. The main 13 purpose here is to show that all failures are not the same. 14 15 So as you can see here, the red indicates the mortality rate, and the green 16 indicates the rescue rate, and the white 17 indicates failure rate other than mortality. 18 19 The first figure, you can see a clear mortality difference, whereas in the 20 21 second figure you see the mortality as well as

the rescue therapy being given, but they are

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- 1 balanced across the treatment arms plus that has less control. 2.
- 3 Now again if I may remind you, this is only based on the 15 percent mortality 5 rate. I'm only addressing that part. Whereas in Figure 3 you see a differential effect of 7 mortality and differential effect of rescue 8 therapy -- rescue rate in both the test drug

as well as the control.

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10 So in a noninferiority trial all 11 these will be classified as clinical failure, 12 although there is a differential effect in the 13 treatment arms based on the mortality as well as the rescue rate. So this is going to be a 14 15 problem in noninferiority trials.

Future trial design and 16 17 considerations. Dr. Gitterman is going to talk about all these issues tomorrow. 18

However, I would like to discuss a few issues. 19

Primary endpoint: all-cause 21 mortality is probably the ideal endpoint; the practicality is different, but it has the 22

1	backing of the historical studies.
2	Clinical failure, including
3	mortality could be another option for whatever
4	we can strongly, we can justify that
5	extrapolation of the clinical the
6	noninferiority margin based on mortality
7	rates.
8	PRO Instrument is another option.
9	However it lacks historical data to link, and
10	therefore at this time can only be used in
11	superiority trials to establish the effect.
12	One example could be the time to
13	resolution of clinical signs and symptoms.
14	Now how about the primary
15	hypothesis, are we talking about superiority
16	or noninferiority type hypothesis? If it is
17	a noninferiority type process, then we need to
18	discuss about the choice of margin. So that
19	raises the following issues.
20	What is the magnitude of
21	antibacterial treatment effect based on
22	historical studies? Did we control for the

variability in historical data, and discount 1 2. for the uncertainties? If so, in what patient 3 population and for which endpoint? Did we preserve some fraction of 4 5 the control effect? 6 So we have to answer all these 7 questions before we move into a noninferiority discussion. 8 9 How about the patient population? 10 Identify patient populations that are 11 comparable to those in historical studies to 12 precisely estimate the treatment benefit. 13 Now the question is, who should be enrolled? Should it be based on PORT scores 14 or some other clinical criteria? 15 Dr. Alexander has gone through the 16 details this morning, discussing about the 17 PORT scores and the mortality rates. 18 19 Now the second question is, should 20 we enroll only patients with a confirmed 21 bacteriological etiology? Again these issues are going to be discussed today and tomorrow. 22

1 So with that I'd like to conclude

2 the talk.

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3 ACTING CHAIR TOWNSEND: Thank you,

4 Dr. Valappil. Time for a couple of

5 questions? Dr. Dowell?

enrollment criteria.

DR. DOWELL: I want to follow up on that last point you raised, which has been alluded to a number of times, and that's

The presentation before he went through nicely the enrollment criteria for the modern studies, including a new chest X-ray infiltrate I think, and two or more of a list of six or so other features.

What we haven't heard about is the enrollment criteria for the historical trials that we're comparing all these to. I imagine for the bacteremic patients that's relatively straightforward because they had pneumococcal bacteremia, but the -- what about all those patients without pneumococcal bacteremia? It seems if we are going to be comparing patients

1	in the modern trials to patients in the
2	historical trials, we need to know whether the
3	enrollment criteria were similar, and in
4	particular, for the historical trials, what
5	about those nonbacteremic patients? Can you
6	or anybody else tell us some more details
7	about the type of chest X-ray that was
8	required? What other clinical features were
9	they, like the modern trials that we just
10	heard about?
11	Mostly it sounded like, they
12	didn't have fevers, they mostly had cough,
13	sputum production, not much else.
14	DR. VALAPPIL: You are absolutely
15	right. I wish I could shed some light on
16	that, but historical studies, other than the
17	bacteremic patients, you really couldn't
18	ascertain any clear direction as to what the
19	signs and symptoms or inclusion criteria.
20	ACTING CHAIR TOWNSEND: Dr. Musher.
21	DR. MUSHER: Interestingly I was
22	going to comment on the same point, sir. You

mentioned in your talk that those earlier 1 2 studies were, I think you used the phrase, limited to patients who had moderate to severe 3 4 pneumonia. So I'd like to comment that I was 5 an intern at Bellevue already 20 years into the antibiotic era. You met so many of you --6 7 just about everybody in this room is younger than I am -- if you had an infiltrate and a 8 9 fever and you came to the ER at Bellevue, even 10 if you were otherwise perfectly stable and 11 perfectly fine, you got hospitalized. 12 In the pre-antibiotic era, I 13 assure you that if you came to a hospital or to a physician and you had a pneumonia, you 14 15 were put in the hospital. So they put in everybody. 16 17 that has two implications. They didn't start

So they put in everybody. Now that has two implications. They didn't start out with moderate or severe pneumonia, so I think that should inform any discussion by anybody on the subject of placebo. They all began with some range of disease, but if you don't treat them, guys, guess what happens?

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1 They get more severe, and some proportion of 2. them die. So they didn't begin with what we 3 might call moderate to severe pneumonia. They 4 just began with pneumococcal pneumonia. 5 Now with regard to getting into the studies, I think that is also terribly 7 important. Because we do have -- we had patients then who all -- they all had 8 9 pneumonia, and really the vast majority were 10 pneumococcal, and it was because they -- look, 11 some of them died at home. If you had a bad 12 pneumonia, and you didn't come to the 13 hospital, you die at home. So you can't say, well, only the severe ones came into the 14 15 hospital. If they were sick enough, or they felt bad, and they were able to get to a 16 17 hospital, they would come. So I do think that 18 that is very important, and it is quite 19 different from our scoring system. 20 ACTING CHAIR TOWNSEND: Thank you 21 very much.

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All right, we'll move on to the

- next discussion before the break. 1 Dr. Tornoe 2. exposure response analysis for community-3 acquired pneumonia. EXPOSURE-RESPONSE ANALYSIS FOR CAP 4 5 DR. TORNOE: Thank you, Dr. 6 Townsend. 7 So we're gathered here for this two-day meeting to discuss choices of 8 9 noninferiority margins. 10 And the question I was tasked with 11 was to figure out whether exposure response 12 analysis can contribute to this discussion of 13 our NI margin for studies of CAP. So just to explain exactly what we 14 15 mean by exposure response analysis, we tried to link the probability of clinical cure with 16 some measure of exposure. In this case area 17
- 20 particular pathogen identified for a subject.
 21 So this is an example for

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under the concentration curve divided by the

minimum inhibitory concentration for the

So the intercepts on the Y axis

here shown at 70 percent can be used as

untreated or placebo response rate.

And the difference between the

And the difference between the upper part of the curve, where you get adequate AUC/MIC ratio, the difference between this level and the untreated placebo response rate can be used as a measure of the treatment effect.

So this will be a conservative estimate since these are not truly untreated patients; they do get some drug, but just not enough.

So before I walk you through the analysis, I want to give you my conclusions to keep you out of suspense. So what is the exposure response derived treatment effect against streptococcus pneumonia in patients with mild to moderate CAP?

We identified a treatment effect of 37 percent, but the confidence intervals are pretty wide, ranging from minus six to 80

1 percent.

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So can exposure response analysis support the choice of NI margin for studies of CAP? It's very likely, but with the current amount of data we have, we cannot adequately or precisely quantify the treatment effect, and thus not come up with a NI margin for CAP trials unless minus six percent sounds doable.

Okay, so the background. We first looked through the database at the FDA to look for, what data do we have available. And fluoroquinolone antibiotics came up as a pretty decent attempt to try to quantify the effects, because they've been widely studied in the treatment of CAP.

They reported -- they've been reported that they exhibit concentration dependent killing of pathogens responsible for CAP, and the free AUC over MIC ratio is the PK/PD parameter that correlates with therapeutic effectiveness.

So we have vast information also

from preclinical information. So this is not just some hypothesis exploratory. It's more of a confirmatory hypothesis.

Since studies done in mice with six different fluoroquinolones have shown that if you plot the survival against the free AUC/MIC you see that the lower left part of the free AUC/MIC range you have zero percent survival, but as soon as you hit about 30 you see a difference. You increase the survival rate, and then above a certain, 50, you get 100 percent survival rate.

And similarly for the bacterial activity, you see as you increase the free AUC/MIC ratio you kill more of the pathogens.

So the devil is in the details to drill down on how to pool data across drugs, and make sure that you got the right -- the similar patients so you can draw conclusions from pooling data across trials.

So we picked the fluoroquinolone antibiotics. The rolling criteria in the

1	studies we looked at were clinical signs and
2	symptoms of CAP, and the presence of new or
3	progressive infiltrate on chest X-ray.

The patient population was mild to moderate, and a few severe patients were also in these studies without any specific details of classification.

There were in and out patients based on their clinical status. And most patients were between 40 and 65 years of age.

The treatment at administration was from seven to 14 days. Oral therapy was given to mild to moderate diseased patients, and IV with a switch to PO for the moderate or severe patients.

So I've listed here the three studies with four different drugs that we identified with PK information in them.

And as you can see the studies
were done both in U.S. and multinational.
They were all conducted around the year 2000.

The subset, unfortunately the

subset of patients with PK was not that big, ranging from 10 to 50 percent of the patients had actually PK samples drawn, and even fewer of them had the clinical outcome were

associated with streptococcus pneumonia.

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We have both IV and IV to oral administration, and they were given for seven to 14 days. And the test of cure visit was seven to 14, 21 days after treatment.

So in this table I've listed the top five pathogens we saw in these CAP studies where we have both PK and clinical response data.

So on the right-hand I've listed the free AUC/MICs, and I've highlighted those for streptococcus pneumonia. And that shows that only in -- with the strep pneumo that is possible to start looking for a treatment effect, since these drugs have been dosed in such amounts that very few patients show low ranges of free AUC/MIC ratios.

So you see the levofloxacin in

treated patients, they have a mean free

AUC/MIC of about 100, and the lowest observed

value is 26, while if you go to some of the

other pathogens it's up in the 100s, so it's

going to be hard to estimate that Y intercept

on the exposure response analysis.

So in order to get a measure of the drug exposure, we need three measures. First, the area under the concentration curve, we need a measure of the protein binding, and we need the MIC value associated with the pathogen for each individual, so that together we can then calculate the free AUC/MIC.

Then we also need a clinical response, which is clinical success is defined as resolution of signs and symptoms of pneumonia at the test-of-cure visit, seven to 21 days after the treatment.

When we have these two components
we can then perform our exposure response
analysis where we first use our CART analysis
to separate these untreated or subtherapeutic

treated patients from those were well treated, and then perform a logistic regression.

3 So if we start with the whole

clinical database of these data pooled, they
all have a dose associated with it. We can

6 use that as a measure of drug exposure, or the

7 dose divided by their body weight.

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If you want to get a more accurate measure of their drug exposure, we could impute their PK by using a demographic covariate such as serum creatinine since most of the drugs are read-only cleared.

And then finally we can take only those subjects who have actually observed PK, and we can derive the AUC from that.

That was the approach we took for our analysis to get the most accurate estimate of drug exposure.

Second of all, we need to know the protein binding of these drugs in order to pool -- you don't need it if you just do analysis on a single drug, but in order to

pool drugs we need to know what fraction of the drug is actually free and not protein bound, and then can have its activity.

And here you see big differences for gemi and garenoxacin from the total 24-hour AUC/MIC ratio, and their free AUC/MIC ratio.

Another subset we do is, we first look at all the patients who have pathogens isolated at their screening. A subset of that is those who have pathogen with an MIC value associated.

A subset of that is for streptococcus pneumonia with an MIC, and then where the streptococcus pneumonia is the pathogen with the highest MIC, which then is most likely to be associated with the clinical response.

So again we take the tip of the iceberg of all the data available for the exposure response analysis where patients with streptococcus pneumonia identified, and being

- the most resistant pathogen was then used for the exposure response analysis.
- 3 So in the next few slides I'll try 4 and visualize what data we're dealing with.

So on the left-hand side we have
on the Y-axis the free AUC measurements from
the four different drugs, and then on the X
axis we have the percentiles. So we take each
drug, we rank the free AUC, we sort and rank
the free AUC values, and then plot them from
the zeroth percentile to the 100th percentile.

The symbols show the clinical
failures. So as you can see there are only
four clinical failures in this database of 74
subjects.

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What you also can see is the variation in free AUC is about two to threefold, except for the gemifloxacin, where it's an eightfold difference from the lowest to the highest free AUC.

But for the MIC values shown here on the right-hand side, the ranges are much

greater, up to a 50-fold difference.

So when we combine these two

measures, and we take the free AUC and divide

it by the MIC, the biggest -- the thing that

causes the variation or the separation is

mainly the MIC values.

You can also notice with the levofloxacin treated patients the two failures occur at the lower 50 percentile, while the garenoxacin treated are at the very top of the exposure and in the middle.

And these two levofloxacin patients also had isolated pathogens at the test of cure visits, so the pathogens were persistent, while the two pathogens for the garenoxacin treated patients could not be -- no sputum could be produced, so they were perceived assisted.

So then we have now our drug exposure for the exposure response analysis, the drug exposure for a particular pathogen.

So on the X-axis again we have the

free AUC/MIC ration on a log scale for the 1 four treated -- for the four drugs tested. 2. 3 And if you look at the lower range, lowest 20th percentile for each of the drugs, it's 5 only the levofloxacin treated patients that are actually down below 30, which is this 7 level here. So only levofloxacin treated 9 patients show a very low free AUC/MIC ratio, 10 which is you look at the preclinical information where it's related to less 11 survival. 12 13 So now we are ready to perform our exposure response analysis. So now we link 14 15 the probability of clinical response with free AUC/MIC ratio. 16 I've listed the clinical failures 17 18

down by the zero, on the Y axis, where you

have the levofloxacin treated patients down

here at the very lowest end, and you have the

garenoxacin failures up here.

22 And then you have the 70 clinical

1 successes up by one.

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So the CART analysis tries to use

-- to select the optimal breakpoint for the

predicter variable, which is the free AUC/MIC,

that maximally distinguishes the response, the

clinical response.

7 So it takes into account both the 8 free AUC/MIC and the clinical response.

When we perform this analysis, we get a breakpoint of 37, which matches what we saw for the preclinical and other reported values in literature.

Unfortunately there are only five subjects in this subtherapeutic treated ratio.

And they all treated with levofloxacin, while 69 subjects were of two -- failures are -- have free AUC/MIC values above 37.

So if you do the math for the two failures out of five, you get a 60 percent response rate for the subtherapeutic treated patients with free AUC/MICs below 37, while the patients above 37 have a treatment

1 response of 97 percent.

So these are the mean values. So just focus on that. There is a 37 percent treatment difference.

When I then put on the confidence intervals, which we might say are pretty wide, we see that they overlap, and the treatment effects, confidence intervals, go from minus six to 80 percent, mainly because we only have five subjects here in the low range.

So to summarize we did establish a relationship between the free AUC/MIC and clinical response, but there are some limitations to this analysis.

There were only five subjects out of the 74 who has a subtherapeutic AUC/MIC ratio, and the reason for this is that most quinolones are dosed in a manner that result in significantly higher exposures than those associated with failure in animal infection models.

Only levofloxacin treated patients

- 1 had subtherapeutic exposures, and we only had
- 2 four out of the 74 patients with clinical
- 3 failures.
- 4 So more exposure response data
- 5 with low AUC/MIC ratios are needed to
- 6 adequately quantify this treatment effect.
- 7 If we had PK samples strong for
- 8 all subjects in all these studies we might be
- 9 in another position to -- and be able to
- 10 quantify the treatment effect.
- 11 So to recap to the questions, what
- is the exposure response derived treatment
- effect against strep pneumo in patients with
- 14 mild to moderate CAP? We saw 37 percent
- 15 treatment effect but with a wide confidence
- 16 interval, and we conclude that we cannot, with
- 17 the current set of data, propose a choice of
- 18 a noninferiority margin for future CAP trials.
- 19 But it's very likely with more data that we
- can come up with a treatment effect, and use
- 21 data to support this choice.
- 22 Thank you.

1	ACTING CHAIR TOWNSEND: Thanks very
2	much, Dr. Tornoe.
3	Any questions from the panel? Dr.
4	Venitz.
5	DR. VENITZ: Yes, what limits your
6	sample size, Chris, if we had only 74 in your
7	final analysis? Was it the lack of MIC or the
8	lack of AUC information?
9	DR. TORNOE: Mostly the lack of MIC
10	values. Sorry, of PK, of AUC values. So we
11	had plenty more of patients with MIC.
12	DR. VENITZ: Did you try to use
13	proprietary information to predict AUC?
14	DR. TORNOE: Well, many of these
15	studies have various bars so some of them are
16	predicted by pop PK. But we don't try to
17	if they don't have a single sample we did not
18	try to calculate a typical patient's AUC.
19	DR. VENITZ: And what covariance
20	did you include in your various proprietary
21	models?
22	DR. TORNOE: Well, serum creatinine

1	was one of the covariates used. Body weight
2	was also
3	DR. VENITZ: Okay, thank you.
4	ACTING CHAIR TOWNSEND: Dr.
5	Kauffman.
6	DR. KAUFFMAN: A single question.
7	Is this applicable at all to non-quinolone
8	antibiotics? It seems like I mostly see it
9	talked about with quinolones.
10	DR. TORNOE: I think it would be
11	adequate also for other treatment effects.
12	But the breakpoints might be different.
13	We did try to look for other drug
14	classes and pool it, but we saw different
15	breakpoints, so we couldn't adequately pool
16	and do a combined analysis.
17	ACTING CHAIR TOWNSEND: Dr. Rex.

DR. REX: To follow up on Carol

Kauffman's question, why is it that the

numerical breakpoint for, let's say,

quinolones versus some macrolide is the

relevant observation? It would actually be

1	relatively striking, I think, to line up
2	qualitatively similar curves that had similar
3	Y intercepts. It's absolutely going to be a
4	different breakpoint, a different numerical
5	cutoff for macrolide X versus quinolone Y; no
6	good question about it. But it's the
7	biological plausibility that underwrites all
8	this that makes this such a powerful
9	observation.
10	As you said yourself, the clinical
11	data here are actually not exploratory; they
12	are confirmatory, because the prior
13	probability of this being a true result is
14	actually very high.
15	DR. TORNOE: That's true. So
16	performing or pooling data for other drugs
17	would be and showing somewhat similar
18	treatment effects would add to the
19	plausibility of these findings.
20	ACTING CHAIR TOWNSEND: Dr. Temple.
21	DR. TEMPLE: It sounds like this is
22	most promising where the drug's toxicity is

dose limiting, so you have to be a little

closer to the MIC, and where you have a lot of

variability for one reason or another.

So that sounds true, I guess. And that's where this is going to be most promising. I mean if you can give an infinite amount of something, you are never going to have anybody too low.

DR. TORNOE: That's exactly what we're seeing right here. We also tried to perform the analysis just using MIC as the predictor variable, and that could also separate. And we would beef up the numbers in the highest group with -- the group with the highest MICs, but still the confidence intervals are overlapping.

DR. TEMPLE: So if you used just

MIC then you are saying, well, you are much

more likely to be a little on the low side if

it was a very high MIC no matter what. But

even there it depends on the drug class

problem?

1	DR. TORNOE: Yes, so we only saw a
2	two to threefold difference in the PK, which
3	was much less than the MIC, so the MIC seems
4	to be driving the patients to the lower end.
5	ACTING CHAIR TOWNSEND: Dr.
6	Fleming.
7	DR. FLEMING: So when I look at
8	your Figure 3, how do I know whether or not
9	I'm simply putting a label on those people who
10	were inherently more vigorous and would have
11	had a better response? I.e. it's not
12	randomizing to one strategy that yields a low
13	AUC/MIC to another that yields a high AUC/MIC
14	and then seeing the later as a high response
15	rate that also had a high AUC/MIC. How do I
16	know that causality is not in the other
17	direction, and essentially what I've done is,
18	I've put a label on the vigorous people who
19	would have inherently done better?
20	DR. TORNOE: Well, we did explore
21	confounding, whether it was the obese patients
22	who would get the lowest AUCs, but we did not

- 1 find these. These things have already been 2. taken care of in the dose finding, in the 3 previous phases of the drug development, where they give the dose in a manner so all get 5 adequate treatment. But we did not identify age or 7 weight or any of these confounding variables to be those who then had the lowest AUCs. 8 9 DR. FLEMING: So you looked at some 10 of the factors that could explain that
- of the factors that could explain that

 confounding, but I would say what makes you

 different from me, that's based on known or

 recorded covariates, is the tip of the

 iceberg. So there is a whole lot that we

 couldn't adjust for.

DR. TORNOE: True. And if we had
more subjects that five in the subtherapeutic
treated regimen, we would look for covariates
that could explain differences. But we didn't
have the numbers to investigate these
relationships.

22 ACTING CHAIR TOWNSEND: Dr. Rex.

DR. REX: Dr. Fleming raises a really good point. How do we know that you got a different AUC, that there is not some link between the AUC that you got when you took 500 milligrams of drug X, and the likelihood that you are going to respond. a very good question. And were this the only piece of

data we had, then that question would take on a great deal of force. But it's actually not. I mean I don't want to be overly repetitious, but the fundamental underpinning of this is that in a laboratory setting, where we can take genetically homogeneous animal species, a constant organism, and where we can control the exposure very precisely, deliberately, experimentally, we find that the same thing is true.

So if it weren't a single observation, if it stood alone, and I guess I'd argue for any one of the patients in the data that we look at you don't know for sure.

1 It's not about an individual observation; it's
2 about a grand aggregate.

And there are other data. I have seen data with telythromycin that actually had a reasonably good size number of observations to the low AUC/MIC pool. And there is publicly available data.

So you can find other things and bring them together that cause the story to take on a great deal more weight than just the limited pool of macrolides.

Now your observation that if the AUCs don't vary much then you can just look at the MICs I think is an important one, and should not be -- you may want to show that analysis as well, because those MICs are -- they are telling.

DR. TORNOE: I think we have it here. So then we have a bigger end, but not substantially bigger. And then now it's not divided by MIC. This is the MIC, so the breakpoint of 0.75, then you have a 6 or 7

percent treatment effect instead of a 98 1 2. percent -- still the confidence levels are 3 overlapping. But it's showing the same trend, and you might be able to get larger numbers 5 for this. 6 And it doesn't depend on -- these 7 MICs are not drug dependent. Or you would just pick the -- against a particular drug, 8 9 and then get the MIC for that drug, and you 10 can get much bigger numbers. 11 DR. MUSHER: And this is a much 12 simpler concept for people to deal with. 13 (Laughter) DR. FOLLMANN: I hadn't seen this 14 15 kind of analysis before, but it's pretty interesting. But four failures is really not 16

So what are the prospects of getting more data for this. I guess that's my question. But even if you have more data, I think there are questions about the use of this method, some of which Tom raised. And

enough to do anything with it.

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1 the other is that really you are trying to 2 estimate what is the effect at zero AUC, and 3 you don't have anyone there, or hardly anyone there, so even if you get a lot of data you 5 will necessarily be doing an extrapolation on 6 what the shape of the curve looks here at 10 7 or five and so on, and then pushing it on to 8 zero. 9 So it's intriguing, I'm skeptical, 10 and I wonder how much data can you 11 realistically get. 12 DR. TORNOE: I absolutely agree. 13 This is going to be a conservative estimate of the treatment effect, because there are no 14 15 untreated. They do have substantial amounts

The possibility of getting more data, we looked at our database, but most of it was only around -- and back to the year 2000, where we had electronic versions of the databases.

22 So there might be plenty of

above the MIC values.

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studies we haven't seen, or phase II doseranging studies, which would be also very helpful and you might have more subjects with PK information.

So if one could pool all that
information, that would greatly beef up the
numbers, I would suspect.

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DR. TEMPLE: These problems arise all the time whenever you try to do modeling on concentration response, and we always have these discussions with our modelers.

Another factor is that you have usually very little data at the part that is most interesting. So almost all the concentrations are nice and high, and this curve that looks sort of nice is driven by one or two people who are down low, and we have that all the time.

But there is really little

impediment to, in all the trials, all the

active control trials in review, there is very

little impediment to getting some blood

- levels, and getting more data to look at.
- 2 And maybe it just sort of adds to
- 3 the idea that the active control trial is
- 4 plausible if you start to see these
- 5 relationships.
- 6 There is an ICH guidance on this
- 7 that raises all those various issues. What it
- 8 likes is to randomize to a concentration; ha
- 9 ha, we don't see that very often. But in the
- 10 absence of that, you always, as Tom says, you
- 11 have to wonder whether there is some factor
- that both makes you fail and gives you a low
- 13 concentration. That's just inherent in it.
- I have to say on this one, that
- doesn't seem totally plausible, unless they
- are so sick they are not absorbing anything
- 17 because their gut is falling out or something.
- 18 It's got more plausibility than most things
- do, it seems to me.
- 20 ACTING CHAIR TOWNSEND: Thanks very
- 21 much.
- 22 I think we'll take a break. We

are running a little bit late, though, so if 1 we can come back here at 10 minutes to 4:00 2. 3 we'll make up a little bit of time. See you 4 then. 5 (Whereupon at 3:38 p.m. the 6 proceeding in the above-entitled matter went 7 off the record to return on the record at 3:54 8 p.m.) 9 ACTING CHAIR TOWNSEND: I think 10 we'll go ahead and get started. Welcome back, 11 everybody. So we're in the end run here on 12 13 today's session. Just a brief housekeeping 14

today's session. Just a brief housekeeping thing, for those of you who are staying here tonight, tomorrow morning you are checking out, you can bring your bags down here and we will store them somewhere or other.

So the final presentation this afternoon will be Dr. George Talbot, critical considerations in CAP trial design from a consultant's perspective.

22 CRITICAL CONSIDERATIONS IN CAP TRIAL DESIGN:

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2	DR. TALBOT: It looks like a lethal
3	weapon I was just given.

A CONSULTANT'S PERSPECTIVE

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Dr. Townsend, ladies and gentlemen
of the committee, thank you for coming back
from the break at the end of a long day.

Dr. Cox, members of the FDA, thank
you for asking me to present. It's an honor
and a privilege to do so.

Now you see on this first slide actually my title: critical considerations in CAP trial design, a consultant's perspective, which begs the question of, well, what kind of perspective is that?

You've heard from a number of academics from various societies. You've heard from the agency itself. Tomorrow I think during the public session you'll hear from industry, so that sort of leaves me.

So I'm calling this, instead of the consultant's perspective, sort of neither fish nor fowl, and hopefully what that means

is, a blend of some of the perspectives that
you will hear from other people.

Now this actually is my first

slide. And the reason I put this in here is

to remind myself to tell you a little bit

about my process for beginning to construct

this presentation.

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I've been working in this area for quite a few years, and because of that I have quite a few preconceptions, maybe I should call them learnings, about the approach to some of these issues.

13 But in preparing for this talk, I did try to set aside some of those 14 15 preconceptions and look at the data as objectively as I could; and I also tried to 16 think about what would be useful to the 17 committee in terms of understanding or 18 19 appreciating some of the issues not only in 20 trial design but issues that companies have to 21 deal with as they think about undertaking a CAP clinical trial. 22

1 I should say the previous slide 2. also was my first thought when asked to give this thought, which was, whoa. 3 4 fortunately the FDA did give me some guidance, 5 which I appreciate. And I was asked to 6 provide a reality check concerning what is 7 feasible vis-a-vis trials conducted by 8 industry, based on my experience, and thank 9 you for acknowledging that I might actually 10 have some connection with reality; and also my 11 vision of what might be a reasonable path forward for future trials for CAP products. 12 13 Now being at the end of the day is usually a disadvantage, especially since you 14 15 have to put your slides together a couple of weeks before, or maybe not quite that long 16 But I've also had the opportunity to 17 ahead. hear all the observations presented today, and 18 19 I'd like to perhaps highlight some of those as 20 I go through my talk. 21 So the discussion points today will be why do we need new antibiotics for 22

I think we know that, but there are a 1 CAP? 2 couple of key points I'd like to make; the 3 decisions that go into undertaking a CAP clinical trial program, and then some major 5 trial design issues, and the conclusions I've drawn about them. 7 You've heard my disclosures. think so far I'm the only one to put my 8 9 disclosures back up, but because I am neither 10 fish nor fowl I did want to put them up, so 11 you could see what I've done, what my 12 involvement is. It ranges from having been 13 CMO, chief medical officer, of a private company, to having various consultancies. 14 15 I'd point out that for Cerexa, Cerexa has a CAP program, and I still consult 16 to Cerexa on CAP, so that is a potential 17 conflict of interest. 18 I'd also mention that I am a 19 20 member of IDSA's AATF and participated in a 21 drafting of the position paper. 22 So why do we need new antibiotics for CAP? The point about emerging resistance
has been made. We've talked about strep
pneumo in particular, and mentioned macrolide

resistance and fluoroquinolone resistance.

I'd like to emphasize also that there are some emerging data that suggest that resistance to ceftriaxone is beginning to occur, high level resistance to ceftriaxone.

Some isolates have been seen, and therefore I think we have another concern in that area.

Another example of emerging resistance in CAP could be the appearance of really new pathogens in CAP. Staph aureus has been a relatively infrequent cause of CAP, as mentioned previously, but we now know that there are still isolated reports of MRSA as a pathogen.

Hopefully that trend will not continue, but in drug development the trends have to be anticipated, and investments have to be taken based on anticipation of those epidemiologic trends, and therefore this is

one of the things that is of concern.

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2. I think another reason we need new 3 antibiotics for CAP is that despite the changing landscape for sinusitis, AECB, and 5 acute otitis media, there clearly are some patients who need therapy with antibiotics for 7 their conditions: chronic sinusitis; sinusitis in immunocompromised hosts; recalcitrant 8 9 culture positive otitis media; et cetera. And 10 since they are the same bugs, the best place we have to understand the efficacy of new 11 antibiotics is in CAP. 12

If new antibiotics can't be studied easily in CAP -- pardon me, in these indications that I've listed here, CAP becomes the last bastion for respiratory tract infection development. And that's one of the reasons why we need clear and timely direction from the advisory committee about how to move forward, because there will be patients who will need these same antibiotics.

You've heard IDSA probably ad

nauseam mention the antibiotic pipeline being at risk. I do have some perspective on that.

The first four bullets or subbullets here I think you've heard. I believe them to be true. This one in particular is that companies large and small are considering that there are more predictable as well as more profitable options elsewhere.

The two points I'd like to
emphasize in particular is that because of
some of these parameters, many of our newer
molecules that you are seeing reach market are
coming from Japanese innovators. One I'd
mention in particular is Doripenem.

The other point is that when a compound is launched, the new descriptor can be falsely reassuring as to the -- whether the pipeline is a cornucopia of new products or not. And this is because many quote unquote new compounds have been, as I would put it, recycled from older innovators. This is not to say that these are not useful compounds.

I mentioned daptomycin, for example. And

2 there are others.

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But they do not represent the fruits of recent research efforts.

been withdrawn or not filed.

The CAP pipeline has had problems.

I should have added garenoxacin here, but I put these up here to highlight compounds that have had difficulties, and in some cases have

I emphasize the fact that many of these are oral, and we need options for oral therapy, not just IV products but oral products, partly for the reason I mentioned about other RTIs, but also because of the hostile admission and discharge pressures that you're all familiar with; the fact that there is quite a bit of outpatient CAP as mentioned; and also because there are many hurdles for oral compounds in terms of the safety profile that must be demonstrated for them to be approved for marketing.

22 And certainly as noted earlier,

new classes and mechanisms of action are highly desirable.

Personally speaking, another reason is that one day some of us, or one of our family members, may need an antibiotic for CAP.

So I'd leave you in this section with a couple of thoughts. The decisions made in 2008 about moving forward with CAP programs are going to affect what antibiotics are available or not available in 2010, 2012, 2015, and as John Bartlett, the chair of the AATF has said, the lesson of history is that we need a pipeline.

We went back to Dr. Cox's first slides, he had a list of -- I think it was your slides, Ed, excuse me, it's been a long day -- showing how the drugs evolved from pathogen-directed to different steps.

What's interesting about that slide is that you can't use for treatment most of the compounds in those first few categories