

I.A.: OVERVIEW BY09

Descriptive Information BY09

Template Name	BY2009
Investment Name	OASAM - SHIMS (Safety and Health Information Management System)
Investment Revision Number	9
Is this investment a consolidated business case?	No
Point of Contact	Caroline, Birog
Revision Comment	
Date of Submission	9/10/2007
Agency	Department of Labor
Bureau	DM - OASAM
Name of this Capital Asset	OASAM - SHIMS (Safety and Health Information Management System)
Exhibit 53 Part	IT Investments by Mission Area
OMB Investment Type	01 - Major Investment
OMB Exhibit 53 Major Mission Area	Safe and Secure Workplaces
What kind of investment will this be in this Budget Year?	Multi-Agency Collaboration
OMB Short Description	SHIMS provides the capability to file injury/illness claims electronically. This initiative allows for agencies across the federal government to access a DOL hosted service. The investment supports PMA goals of Expanding e-Gov and Financial Performance.

Screening Questions BY09

Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

The Safety and Health Information Management System (SHIMS) Hosting Solution Integration Initiative, is a Web based System used for the collection, submission and tracking of CA1 (Notice of Traumatic Injury and Claim for Continuation of Pay/Compensation) and CA2 (Notice of Occupational Disease and Claim for Compensation) forms for the Department of Labor's Office of Worker Safety and Health (OWSH). OWSH collects incident reports electronically therefore eliminating the need for manual reporting. SHIMS was developed in compliance with Federal on-the-job injury and illness claim reporting requirements of the Federal Employee Safety, Health, and Re-employment (SHARE) Initiative. SHIMS implements the goals of the President's Management Agenda (PMA) E-Government initiative, by providing accessible electronic means for workers to file injury and illness claims. DOL SHIMS is a Fee-For-Service initiative designed for reuse by all federal agencies. Currently there are three agencies in addition to DOL utilizing SHIMS, (Transportation Security Administration, Department of Education, and Federal Air Marshals) all have entered into a Fee-For-Service agreement by way of a Memorandum Of Understanding (MOU), with DOL. DOL plans to incorporate other federal agencies in the use of SHIMS, to provide a standardized, efficient way of reporting injury / illness claims to the Office of Workers Compensation.

SHIMS directly supports the Department's Strategic Goals of:

- a) Safe and Secure Workplaces -- by maximizing the use of reports and statistics, which will be more timely and accurate, to improve workplaces thus minimizing the opportunity for injury and absences; and
 - b) Federal Government Efficiency - Reduce overall spending for workers compensation
- SHIMS utilizes EVM on the DME component of the investment for managing and monitoring cost, schedule, and performance. EVM compliance is a requirement in the SHIMS contracts. SHIMS plans to perform annual operational analysis on the steady state component, beginning Q1 FY08, to measure how the investment is performing relative to operational cost and schedule, addressing customer and stakeholder requirements and identifying any performance gaps.

Did the Agency's Executive/Investment Committee approve this request?	Yes
If "yes," what was the date of this approval?	7/6/2007
Did the Project Manager review this Exhibit?	Yes
Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.	No
Will this investment include electronic assets (including computers)?	Yes
Is this investment for new construction or major retrofit of	No

a Federal building or facility? (answer applicable to non-IT assets only)

If "yes," is an ESPC or UESC being used to help fund this investment?

If "yes," will this investment meet sustainable design principles?

If "yes," is it designed to be 30% more energy efficient than relevant code?

Does this investment directly support one of the PMA initiatives? Yes

If "yes," check all of the PMA initiatives that apply: Expanded E-Government
Financial Performance

Is this investment for information technology? Yes

Briefly describe how this asset directly supports the identified initiative(s)? The use of SHIMS advances the goals of the Expanded E-Government initiative, by providing accessible electronic services for workers to file injury and illness claims and to report information. The increase in the timeliness of claims and reduction of overall spending supports improvements in financial performance.

IT Screening Questions BY09

If the answer to Question, "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance): (1) Project manager has been validated as qualified for this investment

If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities? N/A

Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval? No

I.B.: SUMMARY OF SPENDING BY09

Summary of Spending BY09

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in thousands, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

REDACTED EXHIBIT 300 MULTI-AGENCY BY09: OASAM - SHIMS (Safety and Health In...

	2001	2002	2003	2004	2005	2006	PY 2007	CY 2008	BY 2009
Planning									
Budgetary Resources	0	0	0	0	0	0	0	0	0
Acquisition									
Budgetary Resources	0	30	115	0	283	843	1218	566	100
Subtotal Planning & Acquisition									
Budgetary Resources	0	30	115	0	283	843	1218	566	100
Operations & Maintenance									
Budgetary Resources	0	0	10	600	45	0	364	821	897
TOTAL									
Budgetary Resources	0	30	125	600	328	843	1582	1387	997
Government FTE Costs									
Budgetary Resources	0	0	0	0	0	26	27	28	29
Planning									
Budgetary Resources	0	0	0	0	0	26	27	28	0
Acquisition									
Budgetary Resources	0	0	0	0	0	0	0	0	0
Maintenance									
Budgetary Resources	0	0	0	0	0	0	0	0	29

Full Time Equivalent BY09

Use the following table to provide the number of Government Full Time Equivalents (FTE) represented by the Government FTE Costs in the Summary of Spending Table. Numbers should be entered in decimal format for each of the categories listed.

	2001	2002	2003	2004	2005	2006	PY 2007	CY 2008	BY 2009
Security	0	0	0	0	0	0	0	0	0
IT	0	0	0	0	0	0	0	0	0
Financial Management	0	0	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Program Management	0	0	0.9	0.9	0.1	0.1	0.1	0.1	0.1
Other	0	0	0	0	0	0	0	0	0
Total*	0	0	1.0	1.0	0.2	0.2	0.2	0.2	0.2

I.C.: ACQUISITION/CONTRACT STRATEGY BY09

Sensitive Data

* * * SENSITIVE DATA: This information was omitted completely * * *

I.D.: PERFORMANCE INFORMATION BY09

Sensitive Data

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I.E.: SECURITY AND PRIVACY BY09

Sensitive Data

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I.F.: ENTERPRISE ARCHITECTURE (EA) BY09

General EA Questions BY09

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target enterprise architecture? Yes

If "no," please explain why this investment is not included in your agency's target enterprise architecture?

Is this investment included in the agency's EA Transition Strategy? Yes

Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)? Yes

If "yes," please describe how the application will leverage existing components and/or applications across the Government.

SHIMS is currently being reused by other federal agencies Department of Education and Transportation Security Agency. SHIMS reuse is also being actively pursued with other federal agencies in order to expand e-Government, such as Department of Health and Human Services and Homeland Security. The increased timeliness of claims and reduction of overall spending supports the improvement in financial performance.

IV.A.: MULTI-AGENCY COLLABORATION OVERSIGHT BY09

Partners BY09

Part IV should be completed only for investments identified as an E-Gov initiative, a Line of Business(LOB) Initiative, or a Multi-Agency Collaboration effort. The "Multi-Agency Collaboration" choice should be selected in response to Question 6 in Part I, Section A above. Investments identified as "Multi-Agency Collaboration" will complete only Parts I and IV of the exhibit 300.

Multi-agency Collaborations, such as E-Gov and LOB initiatives, should develop a joint exhibit 300.

As a joint exhibit 300, please identify the agency stakeholders. Provide the partner agency and partner agency approval date for this joint exhibit 300.

Stakeholder Table		
Partner Agency Name	Partner Agency	Joint Exhibit Approval Date
Education, Department of	018	
Health and Human Services, Department of	009	
Homeland Security, Department of	024	

Analysis Background BY09

An Alternatives Analysis for multi-agency collaborations should also be obtained. At least three viable alternatives, in addition to the current baseline (i.e., the status quo), should be included in the joint exhibit 300. Use OMB Circular A-94 for all investments, and the Clinger Cohen Act of 1996 for IT investments, to determine the criteria you should use in your Benefit/Cost Analysis.

Did you conduct an alternatives analysis for this project?	Yes
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IV.B.: RISK MANAGEMENT BY09

Risk Management Plan BY09

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan?	Yes
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What is the date of the risk management plan?	5/3/2007
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IV.C.: COST AND SCHEDULE PERFORMANCE BY09

Sensitive Data

* * * SENSITIVE DATA: This information was omitted completely * * *