Phase I/II Tuberculosis Vaccine Trials

A view from the Field

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Starting Points

 New TB vaccine will be tested in populations endemic/epidemic for tuberculosis

 Other chronic and recurrent infections will be endemic in these populations

Non-zero sum outcome

Regulatory Climate in Uganda

- Investigational New Drug (IND) Trials
 - ALVAC HIV vaccine Phase I HIV vaccine trial
 - M. vaccae immune modulating vaccine, phase II trial
 - IL-2 immune modulating therapy in TB, phase II
 - Treatment shortening of TB, phase III
- Other studies
 - Prednisolone in HIV-associated TB Phase I component
 - Community-based TB Epidemiology study
 - Children
 - Genetics
 - Community participation

Human Protection Belmont Report

- Respect for Humans
 - Informed Consent
 - Protection of vulnerable populations
- Beneficence
 - Risk Benefit assessment
- Justice
 - Fairness

Obstacles to Regulatory Compliance Developing Country Perspective

Informed Consent

Source Documentation

Clinical Drug/Vaccine Supply

Laboratory Certification

Informed Consent

- Information
 - Understanding scientific design
 - Randomization
 - Placebo
 - Communicating information
 - Illiteracy
 - Health educators
 - Translations
 - Risk-benefit in population threatened by tuberculosis

Informed Consent

- Consent
 - Voluntary participation
 - Social norms regarding authority
 - Paternalistic nature of medicine
 - Incentives or perceived incentives
 - Age
 - Children and adolescents
 - Signatures
- Documenting consent

Informed Consent

- Institutional Review Boards
 - Capacity
 - Number of boards
 - Volume proposals to review
 - Timetable for review
 - Reviews
 - Initial review
 - Annual review
 - Meeting minutes
 - Qualification of members
 - Understanding of U.S. regulations and requirements

Source Documentation

- Medical Record
 - Documentation in medical record minimal
 - Characteristics of medical record found in U.S. are uncommon in Africa
 - Dictation
 - Medical Consultation
 - Nursing Notes
 - Medical records belong to patients
 - Identifiers not used
 - No hospital patient numbers
 - No national identity numbers

Source Documentation

- Source documents
 - Case Report Form (CRF)
 - Medical record acts as CRF
 - True source documents available for abstraction
 - Methods of data entry
 - Electronic versus double-hand entry

Clinical Drug/Vaccine Supply

- Importation
 - Local drug authorities
- Cold Chain
 - Freezers
 - Temperature monitors
 - Ability to respond to power failures
 - Transportation
 - Documentation
 - Tracking and accountability
- Inventory

Laboratory Certification

- Certification
 - Clinical Laboratory Improvement Amendment (CLIA)
 - Certification Organizations, e.g., College of American Pathology (CAP)
- Laboratories in developing countries not usually certified
- Laboratory often do not meet CLIA standards
- Acceptable laboratory practices need to be negotiated

Additional Considerations

- Adverse Events
 - Safety-net
 - Culture of empiricism
 - Reporting delays
- Quality Management plan
 - Data collection, editing and verification
- Financial Disclosure form
- Specimen repository
 - Informed consent

Non-Zero Sum

Take only what you need. Leave more than you take.

African Proverb

- Training
- Infrastructure development
- Access to effective vaccine