

Caribbean Regional Program

The Challenge

The primary development challenges confronting the region are the need to: restore and accelerate sustainable economic growth by realigning the small island economies to successfully compete in the global marketplace; slow the spread of HIV/AIDS and mitigate its impact; reduce crime and violence; and integrate disaster risk reduction more effectively into national economic development policies and budgets.

Objectives, Sectors and Workforce

Mission Director: Karen Turner

MCA Status: Not a Candidate

PEPFAR Focus Country: No

Provided or Received Services From Other Missions: Provided

Program Budget (Appropriations by Objective)

538-004 Improved Business Environment-Int'l Standards
 538-005 Improved Environmental Management
 538-006 Increased Efficiency of Legal Systems
 538-008 Enhanced Caribbean Response to the HIV/AIDS
 538-009 Open Trade
 538-010 HIV/AIDS
 538-011 Disaster Rehabilitation and Preparedness
 Transfer to Other US Government Agency

	FY 2004 (\$000)	FY 2005 (\$000)	FY 2006 (\$000)	FY 2007 (\$000)	Percent Change FY 04-07	2005 SO Performance Score
538-004 Improved Business Environment-Int'l Standards	2,839	0	0	0	N/A	N/A
538-005 Improved Environmental Management	883	0	0	0	N/A	N/A
538-006 Increased Efficiency of Legal Systems	1,002	0	0	0	N/A	N/A
538-008 Enhanced Caribbean Response to the HIV/AIDS	2,013	0	0	0	N/A	N/A
538-009 Open Trade	2,949	9,006	5,881	7,000	137.4%	
538-010 HIV/AIDS	3,016	4,695	6,435	5,640	87.0%	1.63 Exceeded
538-011 Disaster Rehabilitation and Preparedness	0	42,287	0	0	N/A	N/A
Transfer to Other US Government Agency	2,584	5,952	1,980	2,000	-22.6%	
Country Total	15,286	61,940	14,296	14,640	-4.2%	

Program Budget (Appropriations by Account)

Child Survival and Health Programs Fund
 Development Assistance
 Economic Support Fund
 International Disaster and Famine Assistance

	FY 2004 (\$000)	FY 2005 (\$000)	FY 2006 (\$000)	FY 2007 (\$000)	Percent Change FY 04-07
Child Survival and Health Programs Fund	4,029	4,695	6,435	5,640	40.0%
Development Assistance	6,281	6,030	4,891	6,000	-4.5%
Economic Support Fund	4,976	8,928	2,970	3,000	-39.7%
International Disaster and Famine Assistance	0	42,287	0	0	N/A
Total	15,286	61,940	14,296	14,640	-4.2%

Program Budget by Sector and Account

Basic Education DA
 Agriculture and Environment DA
 ESF
 Higher Education & Training DA
 Economic Growth DA
 ESF
 Democracy and Governance DA
 ESF
 Conflict Management / Humanitarian Assistance DA
 IDA
 HIV / AIDS CSH
 ESF
 Child Survival and Maternal Health CSH
 Other Infectious Diseases CSH

	FY 2004 (\$000)	FY 2005 (\$000)	FY 2006 (\$000)	FY 2007 (\$000)	Percent Change FY 04-07
Basic Education DA	50	0	0	0	N/A
Agriculture and Environment DA	2,618	2,049	1,921	1,070	-59.1%
ESF	100	0	0	0	N/A
Higher Education & Training DA	200	0	0	0	N/A
Economic Growth DA	2,943	3,082	2,970	4,930	67.5%
ESF	860	8,928	2,970	2,000	132.6%
Democracy and Governance DA	50	899	0	0	N/A
ESF	3,016	0	0	1,000	-66.8%
Conflict Management / Humanitarian Assistance DA	420	0	0	0	N/A
IDA	0	42,287	0	0	N/A
HIV / AIDS CSH	3,733	4,695	5,940	5,140	37.7%
ESF	1,000	0	0	0	N/A
Child Survival and Maternal Health CSH	124	0	0	0	N/A
Other Infectious Diseases CSH	172	0	495	500	190.7%
Total	15,286	61,940	14,296	14,640	-4.2%

Workforce

US Direct Hires
 US Non Direct Hires
 Foreign Nationals

	FY 2004	FY 2005	FY 2006	FY 2007	Percent Change FY 04-07
US Direct Hires	1	2	2	2	100.0%
US Non Direct Hires	3	2	2	1	-66.7%
Foreign Nationals	10	10	10	10	0.0%
Total	14	14	14	13	-7.1%

Operating Expense	FY 2004 (\$000)	FY 2005 (\$000)	FY 2006 (\$000)	FY 2007 (\$000)	Percent Change FY 04-07
Salaries and benefits	71	189	109	110	54.9%
Travel	160	192	71	74	-53.8%
Transportation of things	0	26	2	33	N/A
Rent	96	82	78	71	-26.0%
Security	9	4	7	7	-22.2%
Equipment	66	4	1	0	N/A
ICASS - Operating Expense only	60	85	179	190	216.7%
Other Operating Expense	108	81	85	61	-43.5%
Total OE Budget	570	663	532	546	-4.2%
US direct hire salary and benefits	0	267	231	255	N/A
Program Funded Administrative Expenses				676	
Country Total Administrative Budget				1,477	
Percent of Bureau OE Total				1.3%	

Mission Summary	FY 2004	FY 2005	FY 2006	FY 2007
Program per US Direct Hire (\$000)	15,286	30,970	7,148	7,320
Program per All US (\$000)	3,822	15,485	3,574	4,880
Program per Position (\$000)	1,092	4,424	1,021	1,126
Operating Expense as % of Program Funding				3.7%
Program Funded Admin Expense as % of Total Admin				45.8%
Total Admin Expense as % of Program Funding				10.1%

Other Major Donors:

Bilateral: Canada and United Kingdom

Multilateral: World Bank, United Nations organizations, Caribbean Development Bank, European Union

Caribbean Regional Program PROGRAM SUMMARY

(in thousands of dollars)

Accounts	FY 2004 Actual	FY 2005 Actual	FY 2006 Current	FY 2007 Request
Child Survival and Health Programs Fund	4,029	4,695	6,435	5,640
Development Assistance	6,281	6,030	4,891	6,000
Economic Support Fund	4,976	8,928	2,970	3,000
International Disaster and Famine Assistance	0	42,287	0	0
Total Program Funds	15,286	61,940	14,296	14,640

STRATEGIC OBJECTIVE SUMMARY

538-004 Improved Business Environment-Int'l Standards				
DA	1,979	0	0	0
ESF	860	0	0	0
538-005 Improved Environmental Management				
DA	883	0	0	0
538-006 Increased Efficiency of Legal Systems				
DA	470	0	0	0
ESF	532	0	0	0
538-008 Enhanced Caribbean Response to the HIV/AIDS crisis				
CSH	2,013	0	0	0
538-009 Open Trade				
DA	2,949	6,030	4,891	6,000
ESF	0	2,976	990	1,000
538-010 HIV/AIDS				
CSH	2,016	4,695	6,435	5,640
ESF	1,000	0	0	0
538-011 Disaster Rehabilitation and Preparedness				
IDA	0	42,287	0	0
TRANSFER				
ESF	2,584	5,952	1,980	2,000

Mission Director,
Karen Turner

Data Sheet

USAID Mission:	Caribbean Regional Program
Program Title:	Open Trade
Pillar:	Economic Growth, Agriculture and Trade
Strategic Objective:	538-009
Status:	Continuing
Planned FY 2006 Obligation:	\$4,891,000 DA; \$990,000 ESF
Prior Year Unobligated:	\$0
Proposed FY 2007 Obligation:	\$6,000,000 DA; \$1,000,000 ESF
Year of Initial Obligation:	2004
Estimated Year of Final Obligation:	2009

Summary: USAID's program intends to help position the Caribbean region to succeed in an open trade environment and, in doing so, to accelerate and sustain economic growth. The geographic scope of this regional activity is the Caribbean Community (CARICOM) member states, with special focus on six of the nine countries of the Organization of Eastern Caribbean States (OECS), namely Dominica, St. Lucia, Antigua and Barbuda, Grenada, St. Kitts, and St. Vincent and the Grenadines. USAID will initially work intensively with two of these countries, Antigua & Barbuda and Dominica to develop successful models that can be replicated in the other countries of the OECS and ultimately CARICOM. USAID's program supports the effective management of natural resources and the integration of disaster risk reduction and mitigation concepts into the region's economic planning and implementation.

Inputs, Outputs, Activities:

FY 2006 Program:

Improve Economic Policy and the Business Environment (\$1,617,000 DA, \$725,000 ESF). USAID's assistance supports the efforts of regional institutions to develop laws, policies and institutions needed for successful implementation of the Caribbean Single Market and Economy (CSME) and compliance with trade agreements. One key tool is to initiate the World Bank's Doing Business surveys in Antigua and Barbuda and Dominica which prioritizes action on legal and regulatory policy changes that are necessary for these countries to be globally and regionally competitive. USAID will also provide expert technical assistance to help the governments of Antigua and Barbuda and Dominica undertake the legal and other modifications needed for successful implementation of the framework for the Caribbean Single Market and Economy (CSME) including compliance with various global trade agreements. USAID is providing capacity building support to increase these countries abilities to participate effectively in trade negotiations, particularly those related to the Free Trade Area of the Americas (FTAA). USAID funds technical assistance to modernize government systems to enable them to support more competitive business activities. There are a range of measures including reform to critical commercial laws, microeconomic reform to improve the business climate, competition policy leading to greater transparency in processes, environmental standards and government service provision in support of businesses. Principal implementers: Chemonics, CARICOM Secretariat, and the Government of Barbados.

Improve Emergency Preparedness and Disaster Mitigation (\$1,220,600 DA). USAID identifies and addresses trade-related environmental issues, as well as disaster risk reduction measures that negatively impact the region's economic viability. USAID supports public-private cooperation to help increase the enforcement of building codes that dramatically reduce damage to physical structures from predictable natural disasters, such as hurricanes and earthquakes. USAID supports the policy dialogue within CARICOM, the OECS, and other key sector stakeholders to make disaster risk reduction a priority with a specific agenda for action. Principal implementer: Chemonics.

Improve Private Sector Competitiveness (\$2,053,400 DA, \$265,000 ESF). USAID's funds are improving the ability of the private sector to develop a more competitive business environment in the region and improve the response of firms to regional and global market demands. The program provides technical assistance for business development to help identify opportunities in new business sectors. This will increase the diversification of exports, enable more competitive industries to emerge, and improve business services. USAID's assistance also supports the review of business activities related to World Cup 2007 Cricket to identify possibilities to leverage opportunities for small business. Principal implementer: Chemonics.

FY 2007 Program:

Improve Economic Policy and the Business Environment (\$2,147,000 DA, \$730,000 ESF). USAID will continue to provide technical assistance to support the region's participation in global trade through targeted help in services as well as linking negotiations with the private sector. USAID assistance will continue to focus on strengthening the dialogue between the public and private sectors on prioritizing and achieving successful actions on initiatives foster private sector competitiveness. In addition, 2007-2008 will be a landmark period for the CSME as the region seeks to strengthen a common market and move to a common economy in 2008. USAID assistance supports the necessary actions of regional institutions to achieve this vision. USAID will continue assistance to support tax and customs reforms in the OECS as well as to the governments of Antigua and Barbuda and Dominica to carry out reforms to support a more competitive business environment. Principal contractors and grantees: Chemonics, CARICOM Secretariat, Government of Barbados; and the Caribbean Regional Technical Assistance Center.

Improve Emergency Preparedness and Disaster Mitigation (\$1,113,000 DA). The activity will continue to support the region's actions on trade-related environment issues and initiatives ensuring that island states are able to better withstand natural disasters. USAID assistance will be provided for activities that support legislation and enforcement of disaster risk reduction measures. Principal contractors and grantees: Chemonics.

Improve Private Sector Competitiveness (\$2,740,000 DA, \$270,000 ESF). USAID's assistance will continue to support the development of the private sector in the OECS with a focus on Antigua and Barbuda and Dominica while at the same time emphasizing diversification and development of business clusters and industries. Business development activities will focus on preparing the region to maximize the economic benefits from hosting the Cricket World Cup in 2007. USAID-funded activities will also improve labor skills in the region by working with the private sector to deliver workforce skills training. USAID activities will ensure that the private sector will have available relevant economic and trade data to make informed business and investment decisions. A limited number of policy studies will identify remaining constraints to the movement of persons, capital, goods and other factors of production across the region. Principal contractor: Chemonics.

Performance and Results: Activities under this program have been delayed as a result of Hurricane Ivan, which devastated the region in September 2004. USAID focused much of its effort on designing, developing and implementing the 12-month, \$42 million hurricane recovery program for Grenada and the eastern Caribbean. However, steps have been taken to operationalize the program and to facilitate a speedy start-up early FY 2006. The implementation contractor will be tasked with rapid scale up of activities to ensure that the program gets back on track.

By the end of the strategy, the Caribbean region will have transitioned to a single market and economy and will be successfully competing in an open trade environment. USAID's activities to support positive economic growth trends will have improve the quality of life for the majority of the population will experience measurable improvements in their quality of life. The vulnerability of the island nations of the OECS to environmental and physical risks will be reduced as disaster risk reduction and mitigation measures take a prominent place in national and regional economic

planning and implementation.

US Financing in Thousands of Dollars

Caribbean Regional Program

538-009 Open Trade	DA	DCA	ESF
Through September 30, 2004			
Obligations	2,243	0	0
Expenditures	0	0	0
Unliquidated	2,243	0	0
Fiscal Year 2005			
Obligations	5,854	155	2,976
Expenditures	1,921	11	0
Through September 30, 2005			
Obligations	8,097	155	2,976
Expenditures	1,921	11	0
Unliquidated	6,176	144	2,976
Prior Year Unobligated Funds			
Obligations	0	0	0
Planned Fiscal Year 2006 NOA			
Obligations	4,891	0	2,970
Total Planned Fiscal Year 2006			
Obligations	4,891	0	2,970
Proposed Fiscal Year 2007 NOA			
Obligations	6,000	0	3,000
Future Obligations	28,336	0	6,054
Est. Total Cost	47,324	155	15,000

Data Sheet

USAID Mission:	Caribbean Regional Program
Program Title:	HIV/AIDS
Pillar:	Global Health
Strategic Objective:	538-010
Status:	Continuing
Planned FY 2006 Obligation:	\$6,435,000 CSH
Prior Year Unobligated:	\$0
Proposed FY 2007 Obligation:	\$5,640,000 CSH
Year of Initial Obligation:	2004
Estimated Year of Final Obligation:	2009

Summary: USAID's regional HIV/AIDS program builds on previous interventions to support national and regional efforts to address HIV/AIDS issues, primarily in the areas of access to and use of HIV prevention, treatment and care services, improved monitoring of the epidemic and further preventing HIV transmission among high risk groups. The geographic focus is primarily nine sovereign nations not served directly by one of the four USAID bilateral missions in Haiti, Guyana, Dominican Republic, and Jamaica. The program also supports key regional organizations, such as the Caribbean Community (CARICOM) and the Organization of Eastern States (OECS). Due to the high degree of mobility and inter-island migration within the Caribbean region, USAID will carry out its regional HIV program in coordination with USAID bilateral countries with particular emphasis on highly mobile populations. This approach will ensure broad and comprehensive USAID programming in HIV/AIDS throughout the Caribbean. Given the relatively low prevalence of HIV in the general population on many islands, a special focus of the new strategy will be to target activities to most-at-risk and vulnerable populations. In addition, due to the highly stigmatized environment surrounding HIV/AIDS in the Caribbean, a cross cutting theme of the new strategy will focus on reducing stigma and discrimination. USAID continues to plan and implement activities in close collaboration with the Centers for Disease Control and Prevention and other US Government agencies under a joint USG HIV/AIDS framework for the eastern Caribbean.

Inputs, Outputs, Activities:

FY 2006 Program:

Reduce Transmission and Impact Of HIV/AIDS (\$6,435,000 CSH). USAID provides technical assistance to increase the use of prevention and treatment services; increase the number of trained counselors providing counseling and testing services, train clinical health care providers in the prevention of mother-to-child transmission services, and the care and treatment of HIV-infected patients, including the use of anti-retroviral medications. A regional network of training centers, called the Caribbean HIV/AIDS Regional Training (CHART) Initiative, has been established to increase technical capacity throughout the region. All training includes the cross-cutting theme of reducing stigma and discrimination. Should additional funding be made available, focused technical assistance will be provided at a sub-regional and national level to facilitate the availability of an adequate supply of drugs (particularly anti-retrovirals) and health commodities and supplies (particularly HIV test kits) by working to ensure that logistics and delivery systems are functioning to facilitate availability of these essential items.

USAID provides assistance to enhance the enabling environment for a regional HIV/AIDS response. The Caribbean region is unique because the regional organizations, structures and policy dialogue forums are actively functioning to coordinate and target all HIV/AIDS resources available to the region, to develop and reform laws and policies, and to program regional activities. USAID supports efforts to improve both regional and national level HIV/AIDS surveillance and monitoring and evaluation systems. This support is provided to the established regional organizations focusing in these areas as well as targeted at the national level within nine countries in the eastern Caribbean. Future discussions with non-governmental organizations (NGOs) will determine whether USAID will provide technical assistance to NGOs that focus on prevention and behavioral change interventions for most-at-risk-populations in selected countries. USAID activities include community-based and national scale prevention efforts within nine eastern Caribbean island nations. A special emphasis will be placed on identifying high-risk settings or "hot

spots”, including highly mobile transient points. Integrated within these activities are referral mechanisms to prevention, care, treatment, and support services. The emphasis is on achieving results in terms of behavioral change and, ultimately, reductions in HIV infection. Principal implementers: International HIV/AIDS Alliance (IHAA), University of North Carolina, and University of Washington.

FY 2007 Program:

Reduce Transmission and Impact Of HIV/AIDS (\$5,640,000 CSH). USAID's program to increase the use of prevention and treatment services will continue to strengthen the Caribbean HIV/AIDS Regional Training Initiative (CHART) to increase the number of quality trained HIV/AIDS service providers in the region. A cross-cutting theme will be the reduction of stigma and discrimination especially among health-care workers. To further enhance the enabling environment for an effective regional response to HIV/AIDS, USAID will continue to provide country-level technical assistance in monitoring and evaluation, with the aim of standardizing national systems across the region. USAID will continue to work with the regional Caribbean organizations, such as CARICOM and the Caribbean Regional Epidemiology Center (CAREC) as well as national HIV/AIDS programs on strengthening surveillance capacity. USAID may provide technical assistance to NGOs that focus on prevention and behavioral change interventions for most-at-risk-populations in selected countries. Given the modes of HIV transmission within the Caribbean, emphasis will be placed on identifying high-risk settings or “hot spots”, including highly mobile transient points to best target most at risk populations. The emphasis remains on achieving results in terms of behavioral change and, ultimately, reductions in HIV infection. Principal implementers: International HIV/AIDS Alliance (IHAA), University of North Carolina, and University of Washington.

Performance and Results: The summary of results achieved during the first reporting year include 691 HIV/AIDS service providers trained and providing services in 315 voluntary counseling and testing sites and 46 antiretroviral treatment clinics in the region. Additionally, over 7000 HIV positive patients received antiretrovirals within these 46 clinics this past year. Improvements in HIV monitoring have been demonstrated, particularly in the reporting of information from the smaller countries in the eastern Caribbean, and critical information gaps in two areas (HIV/AIDS services and high risk behavior) are being filled. Lastly, eighteen prevention programs were supported in nine countries that targeted HIV behavior change interventions for over 8,000 people, most of which were hard to reach vulnerable persons. At the end of the program, USAID will have enhanced the response to the Caribbean HIV/AIDS epidemic by expanding access to prevention and treatment services, improving the availability and use of accurate and reliable information on HIV/AIDS, and expanding access to risk reduction behavior change interventions in target countries. The planned achievements at the end of the strategy are as follows: 8,500 persons will receive anti-retroviral treatment (ART) services, 551 clinical sites will provide voluntary counseling and testing (VCT) services, 11 service outlets will provide community outreach and HIV behavior change services, 1,500 persons will benefit from community outreach behavior change services in 6 OECS countries, and all 6 OECS countries will have national strategic information capacity and systems for HIV/AIDS prevention, care and treatment programs.

US Financing in Thousands of Dollars

Caribbean Regional Program

538-010 HIV/AIDS	CSH	ESF
Through September 30, 2004		
Obligations	2,016	1,000
Expenditures	0	0
Unliquidated	2,016	1,000
Fiscal Year 2005		
Obligations	4,695	0
Expenditures	1,159	864
Through September 30, 2005		
Obligations	6,711	1,000
Expenditures	1,159	864
Unliquidated	5,552	136
Prior Year Unobligated Funds		
Obligations	0	0
Planned Fiscal Year 2006 NOA		
Obligations	6,435	0
Total Planned Fiscal Year 2006		
Obligations	6,435	0
Proposed Fiscal Year 2007 NOA		
Obligations	5,640	0
Future Obligations	12,000	0
Est. Total Cost	30,786	1,000