### A REVIEW OF OREGON OFFICE OF ADMINISTRATIVE HEARINGS

## RESPONSE AS PRESENTED TO THE OAH OVERSIGHT COMMITTEE ON OCTOBER 3, 2007

# THOMAS E. EWING, CHIEF ALJ OFFICE OF ADMINISTRATIVE HEARINGS

#### **Section 1: Statutory Consolidation**

Recommendation 1.1: Reinforce public perception of judicial independence. Actions:

- Consolidation in offices (Portland and Salem) visibly separate from agencies served by the OAH. Status: Completion December 2007.
- Increased use of hearing rooms in OAH rather than agency facilities. <u>Status:</u> To begin December 2007.
- Deemphasis of references to the Employment Department in OAH's website, business cards, and stationary. <u>Status:</u> Completed except for Cherry Ave. stationary.

#### Section 2: Organizational Development and Management

Recommendation 2.1: Implement a plan for managing change. Actions:

- Vision statement to be taken to staff for comment, revision, and ultimate adoption, with the following proposed elements:
  - ✓ Independence
  - ✓ Quality hearings and decision-making, and
  - ✓ Operational efficiency. <u>Status:</u> To begin October/November 2007.
- Strategic plan to be taken to staff for comment, revision, and ultimate adoption, with the following proposed elements:
  - A. Communication
    - ✓ Creation of staff forums (Leadership Team, "collaborative decision-making committee") for feedback to OAH management. <u>Status:</u> Completion October/November 2007.
    - ✓ More frequent and regular management-staff meetings. <u>Status:</u> Completion October 2007.
    - ✓ Publication of minutes of management team, steering committees in OAH's intranet. <u>Status:</u> Completion November 2007.
  - B. Cross-Training
    - ✓ Plan for improved cross-training programs of OAH ALJs, operational staff, and technical team. Status: Completion June 2008.
    - ✓ Cross-training of OAH ALJs in unemployment insurance law. <u>Status:</u> Completion April 2008.
    - ✓ Case equity and blending initiative. Status: Completion March 2008.

#### C. Technology

- ✓ New all-OAH case management (including calendaring) system. <u>Status:</u> Completion 2009-11 biennium.
- ✓ Improved electronic library of legal resources. <u>Status:</u> Beginning date TBA.
- ✓ Improved use by customers of OAH's internet website. <u>Status:</u> Beginning date TBA.

#### D. Organization

✓ Independent review by the Employment Department's Budget & Program Analysis Division for a business-case analysis of a proposed full-time dedicated UI ALJ panel. Status: To begin December 2007.

Recommendation 2.2: Restart regular meetings of the Oversight Committee. <u>Status:</u> Completed

Recommendation 2.3: Consider organizing a full-time dedicated UI Panel. Action:

• See actions under Recommendation 2.1.

#### **Section 3: Key Performance Measures**

Recommendation 3.1: Develop a strategic plan for the OAH. Action:

• See actions under Recommendation 2.1.

Recommendation 3.2: Adopt and report on a balanced and comprehensive set of performance measures.

#### Action:

• The OAH will continue to develop and use measures to evaluate performance. <a href="Status: On-going">Status: On-going</a>.

Recommendation 3.3: Report performance measurements to an expanded internal and external stakeholder audience.

#### Actions:

- OAH's key performance measures are set by the Legislature and are reported as requested. <u>Status:</u> On-going.
- Report important measures to staff and external customers. <u>Status:</u> To be implemented after strategic plan is formally adopted.

Recommendation 3.4: Produce an annual summary report. Action:

• Issuance of a biennial report focusing on progress toward implementing the strategic plan. Status: Completion January 2007 for 2005-07 biennium.

Recommendation 3.5: Use performance measures to make decisions about OAH programs and policies.

#### Action:

• See action under Recommendation 3.2.

*Recommendation 3.6: Streamline front-end data input procedures.* Action:

• The OAH will continuously evaluate staffing levels to ensure a minimal error rate. <u>Status</u>: On-going.

#### **Section 4: Customer Satisfaction**

Recommendation 4.1: Use customer satisfaction surveys that link to performance measures.

#### Action:

• Develop measures (*e.g.*, perceptions of ALJ bias, impartial hearing, timely hearing, professionalism of ALJs and operational staff) based on customer satisfaction surveys. <u>Status:</u> Completion December 2007.

Recommendation 4.2: Administer surveys before the final order is issued. Actions:

• Because quality of decisions is a critical part of the hearing process, it is important that the OAH continue to receive citizen feedback on its decision-making, despite the potential for bias. <u>Status:</u> On-going.

Recommendation 4.3: Conduct focused analyses of customer satisfaction data. Action:

• The OAH will look for opportunities to use surveys for stakeholder feedback on specific issues. <u>Status</u>: On-going.

Recommendation 4.4: Use customer satisfaction data to track progress toward specific agency goals.

#### Action:

• When appropriate, the OAH will use customer satisfaction data to track progress toward specific goals. <u>Status</u>: On-going.