

ANTIMICROBIAL RESISTANCE IN INTENSIVE CARE UNITS

Scott K. Fridkin, MD, and Robert P. Gaynes, MD

As the treatment of many patients shifts from the hospital setting to home or other alternative health care settings, health care delivery in the intensive care unit (ICU) continues to face new and difficult challenges. First, this shift in patient care has caused an increase in the severity of illness among patients receiving care in the hospital compared with hospitalized patients of the previous decade. This shift may partially explain the changes in hospital demographics among hospitals participating in the Centers for Disease Control and Prevention's (CDC's) National Nosocomial Infections Surveillance (NNIS) System. Data from NNIS shows a 17% increase in the number of ICU beds at the hospitals from 1988 to 1995, whereas total hospital bed capacity has decreased slightly (Fig. 1).² Second, patients who receive care in ICUs are at increased risk for nosocomial infections, especially pneumonia, urinary tract infection, and bloodstream infection.¹⁷ Third, the emergence of antimicrobial-resistant pathogens in ICUs has made treating these infections very difficult and, in some cases, impossible. This article reviews important aspects of the ICU environment that contribute to infections with antimicrobial resistant bacteria, summarizes rates of resistance in the most common pathogens associated with nosocomial infections among ICU patients, and provides an overview of strate-

gies to prevent the proliferation of antimicrobial-resistant bacteria.

FACTORS IN INTENSIVE CARE UNITS PROMOTING ANTIMICROBIAL RESISTANCE

Cross-Transmission

Several factors unique to ICUs contribute to cross-transmission of antimicrobial-resistant pathogens. First, the urgent nature of critical care often does not allow for necessary aseptic technique or handwashing. Second, evidence suggests antimicrobial-resistant pathogens are carried from patient to patient (exogenous flora) via the unwashed hands of health care workers.¹⁹ The large number and wide variety of health care workers attending to patients' needs have inconsistent training and compliance with hand washing, gloving, and gowning. Third, specific agents used for hand washing, the degree of asepsis used in maintaining invasive devices, and the level of crowding in ICUs may impact on the cross-transmission of these pathogens as well.^{11, 15, 24, 36} Finally, the introduction of antimicrobial-resistant bacteria into an ICU may occur upon transfer of critically ill patients unknowingly colonized or infected with such bacteria from other facilities.

From the Nosocomial Infections Surveillance Activity, Hospital Infections Program, National Center for Infectious Diseases, Centers for Disease Control and Prevention, Atlanta, Georgia

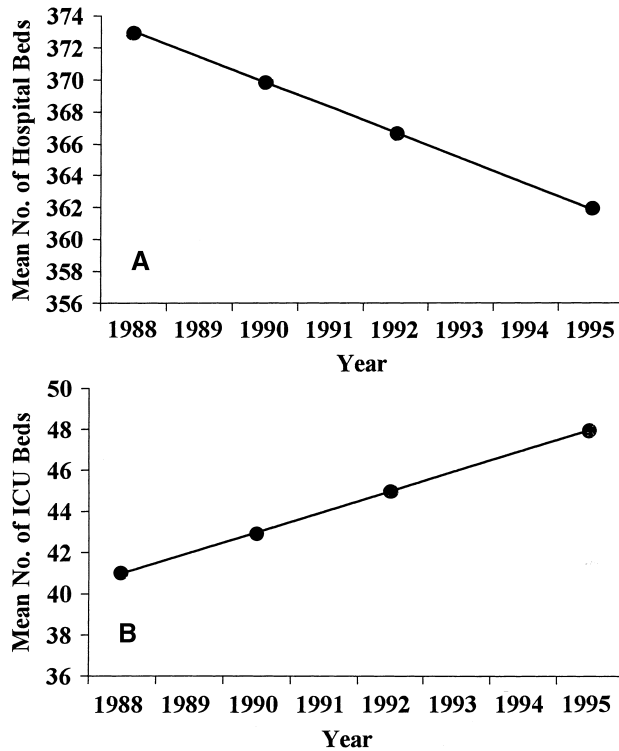


Figure 1. Results of five surveys of 70 hospitals participating in the National Nosocomial Infections Surveillance (NNIS) system from 1988 through 1996; the survey examined the total number of licensed beds and the relative number of intensive care unit (ICU) beds. *A*, A plot of the mean number of total hospital beds by year that fits a regression line. *B*, A similar plot of the mean number of NNIS ICU beds by year. Although there was a decrease in the mean number of total hospital beds during this period, it was not significant. In contrast, the increase in the number of NNIS ICU beds was statistically significant ($P < .05$). (From Archibald L, McGowan JE Jr, et al: Antimicrobial resistance in hospitals and outpatients in the United States: The increasing importance of the intensive care unit. *Clin Infect Dis* 24:211–215, 1997; with permission.)

Host Defense

Colonization of ICU patients with antimicrobial-resistant pathogens can lead to clinical infection because of breakdown of normal host defenses. ICU patients are particularly susceptible to nosocomial infection because the normal skin and mucosal barriers to infection are commonly compromised by the use of invasive devices. It is no surprise that the incidence of nosocomial infection in ICU patients is correlated with the use of invasive devices.²⁸ In addition, ICU patients often have severe underlying illnesses, suppressed immune systems, malnutrition, and a history of frequent hospitalization. These types of patients may be more likely than otherwise healthier patients to be (1) colonized or in-

fectured with an antimicrobial-resistant pathogen from exposures during a previous health care encounter, and (2) exposed to antimicrobial agents before hospitalization in the ICU. All of these factors—especially the need to use antimicrobial agents in ICU patients (as discussed subsequently)—contribute to the increased risk of developing nosocomial infections with antimicrobial-resistant pathogens.^{7, 18, 21, 41, 60}

Antimicrobial Use

Perhaps no other factor is more important in the development of antimicrobial resistance than antimicrobial use.^{9, 41, 42, 55} Many studies have demonstrated a correlation be-

tween antimicrobial use and antimicrobial resistance at the hospital level.^{54, 59} Of studies involving hospital-acquired pathogens, 22 reviewed by McGowan³⁸ showed a fairly consistent association between use and resistance. Unfortunately, nearly all of these studies were reports from single hospitals, which may not be representative of other hospitals. A previous multicenter study in the 1970s, however, demonstrated that changes in aminoglycoside use paralleled changes in aminoglycoside-resistant gram-negative bacilli.²⁰ One other multicenter study also demonstrated this type of relationship among several antimicrobials and the corresponding resistant pathogens, including ceftazidime use and ceftazidime-resistant *Enterobacter cloacae*.³

RATES OF ANTIMICROBIAL RESISTANCE IN INTENSIVE CARE UNITS

Gram-Positive Pathogens

Table 1 shows the eight most common pathogens associated with nosocomial infections among ICU patients from January 1989 through June 1998. The relative frequency of each of these pathogens (or pathogen groups) is influenced by the site of infection and the type of ICU, where type of ICU is an indirect measure of case mix.^{49, 50} Each of the pathogens listed has demonstrated antimicrobial resistance to at least one, if not several, of the antimicrobial agents commonly used to treat infections caused by these pathogens.

In general, gram-positive organisms (i.e., *Staphylococcus aureus*, coagulase-negative staphylococci, enterococci) are commonly associated with central line-associated bloodstream or surgical site infection (see Table 1).¹⁷ Examination of the rates of antimicrobial resistance among these pathogens shows that rates of methicillin-resistant *S. aureus* (MRSA) and methicillin-resistant coagulase-negative staphylococci have increased steadily over the past decade (Fig. 2A, B). Perhaps in response to the increasing numbers of infections with MRSA, which requires treatment with vancomycin, there has been a dramatic rise in the percentage of enterococcal isolates resistant to vancomycin—from 0.5% in 1989 to 22% in 1997 among ICU patients with nosocomial infection reported to NNIS (Fig. 2C). These data also illustrate the importance of the ICU in rates of antimicrobial resistance. For each of the gram-positive organisms evaluated, the rates of resistance were significantly higher in patients cared for in the ICU than in non-ICU patients (Table 2).

Gram-Negative Pathogens

Gram-negative bacilli are frequently associated with nosocomial infections in ICU patients, particularly ventilator-associated pneumonia and catheter-associated urinary tract infections (see Table 1).¹⁷ Of particular concern is the nosocomial infection caused by enterobacteria-producing extended-spectrum β -lactamases (ESBLs), particularly *Klebsiella pneumoniae*. Organisms that possess these enzymes are usually resistant to multiple antimicrobials and hydrolyze third-generation cephalosporins and aztreonam, rendering

Table 1. EIGHT MOST COMMON PATHOGENS ASSOCIATED WITH NOSOCOMIAL INFECTION IN AN INTENSIVE CARE UNIT PATIENT, NATIONAL NOSOCOMIAL INFECTIONS SURVEILLANCE SYSTEM, JANUARY 1989–JULY 1998

Pathogen	Relative Percentage by Site of Infection					
	All sites n = 235,758	BSI n = 50,091	PNEU n = 64,056	UTI n = 47,502	SSI n = 22,043	Other n = 52,066
Coagulase-negative staphylococci	14.3	39.3	2.5	3.1	13.5	15.4
<i>Staphylococcus aureus</i>	11.4	10.7	16.8	1.6	12.6	13.7
<i>Pseudomonas aeruginosa</i>	9.9	3.0	16.1	10.6	9.2	8.7
Enterococci spp.	8.1	10.3	1.9	13.8	14.5	5.9
<i>Enterobacter</i> spp.	7.3	4.2	10.7	5.7	8.8	6.8
<i>Escherichia coli</i>	7.0	2.9	4.4	18.2	7.1	4.0
<i>Candida albicans</i>	6.6	4.9	4.0	15.3	4.8	4.3
<i>Klebsiella pneumoniae</i>	4.7	2.9	6.5	6.1	3.5	3.5
Others	30.7	21.8	37.1	25.6	26	37.7
Total	100	100	100	100	100	100

BSI = laboratory confirmed (primary) bloodstream infection; PNEU = pneumonia; UTI = urinary tract infection; SSI = surgical site infection.

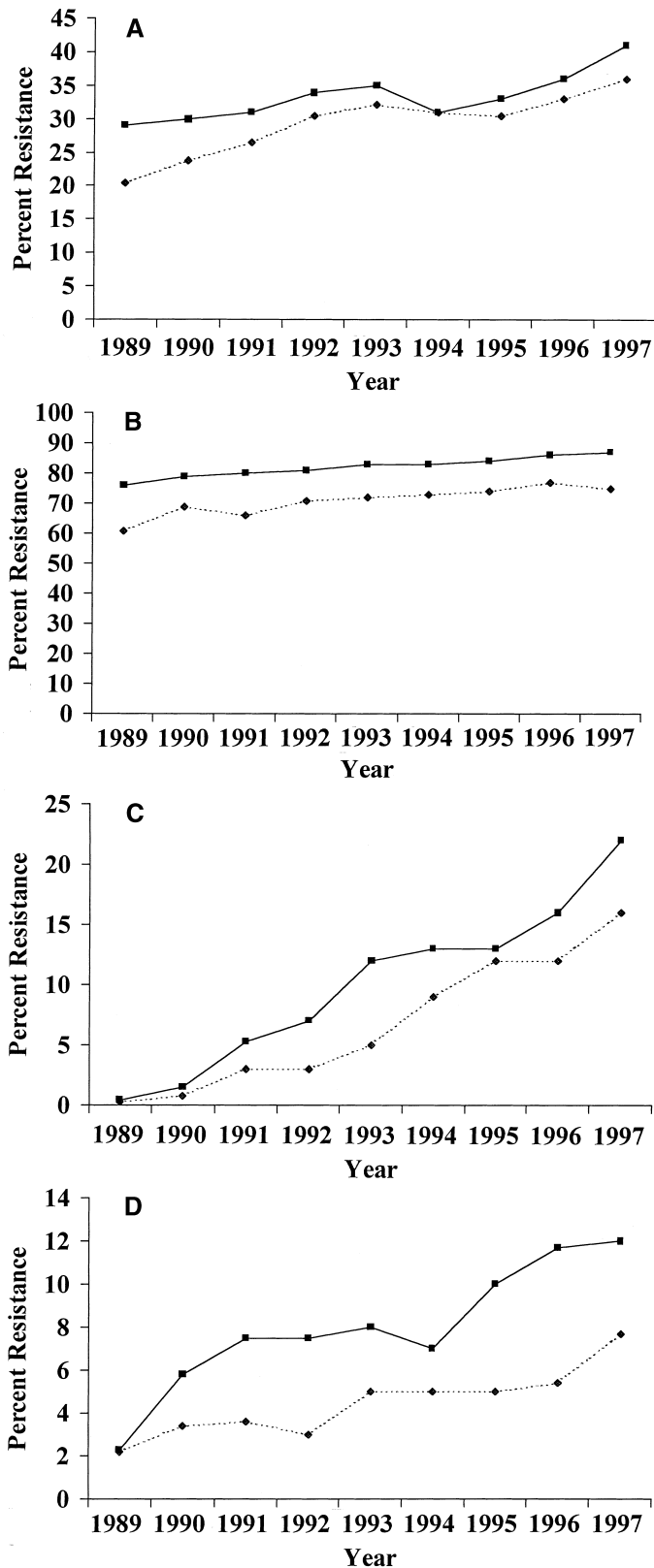


Figure 2. Proportion of isolates associated with a nosocomial infection among ICU (solid line) or non-ICU (dotted line) patients who were A, *S. aureus* resistant to methicillin; B, coagulase-negative staphylococci resistant to methicillin; C, enterococci resistant to vancomycin; D, *Klebsiella pneumoniae* resistant to third-generation cephalosporins (i.e., ceftriaxone, cefotaxime, or ceftazidime);

Illustration continued on opposite page

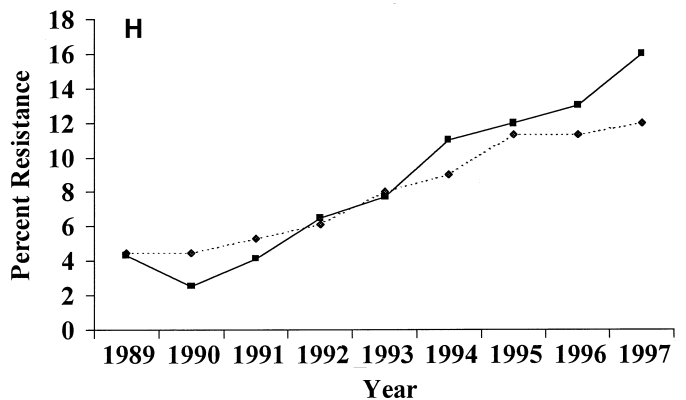
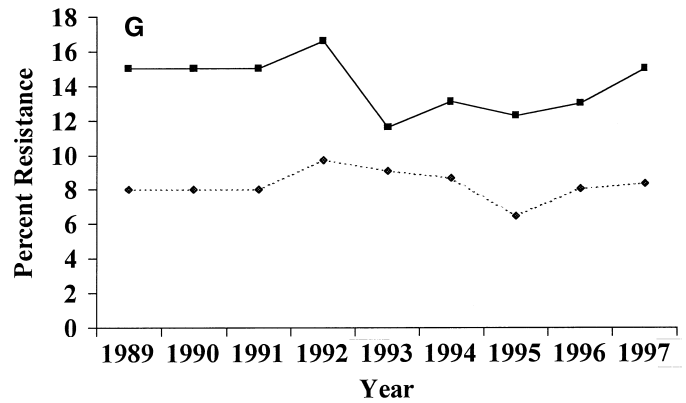
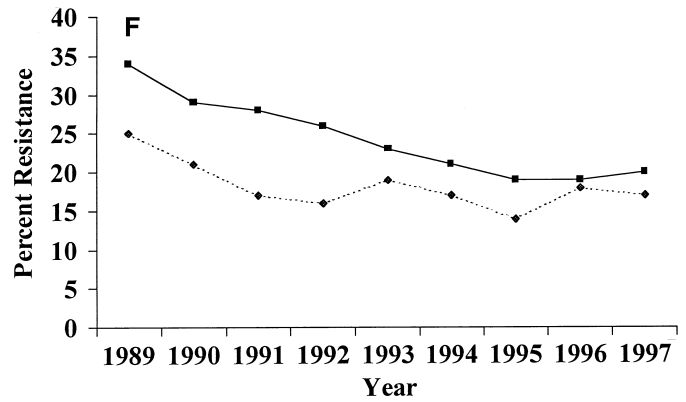
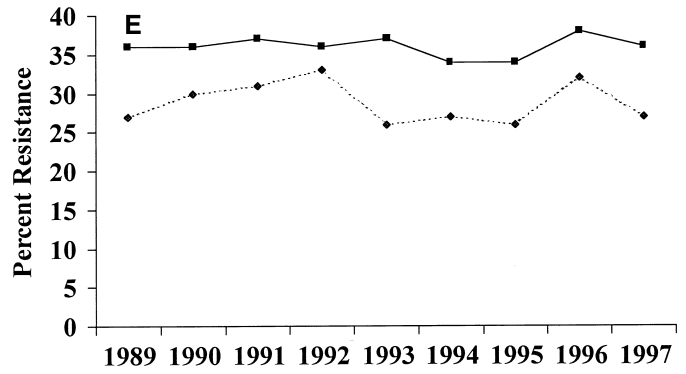


Figure 2 (Continued). E, *Enterobacter* spp. resistant to third-generation cephalosporins; F, *P. aeruginosa* resistant to third-generation cephalosporins; G, *P. aeruginosa* resistant to imipenem; and H, *Pseudomonas aeruginosa* resistant to ofloxacin or ciprofloxacin. National Nosocomial Infections Surveillance System, January 1989–June 1998.

Table 2. RELATIVE RISK OF ISOLATING THE SPECIFIC ANTIMICROBIAL-RESISTANT PATHOGEN FROM A NOSOCOMIAL INFECTION OCCURRING IN AN INTENSIVE CARE UNIT PATIENT COMPARED WITH OTHER PATIENTS, NATIONAL NOSOCOMIAL INFECTIONS SURVEILLANCE SYSTEM, JANUARY 1989–JULY 1998

Pathogen	Antimicrobial Resistance	Relative Risk Among ICU Patients (95% CI)*
Coagulase-negative staphylococci	Methicillin	1.22 (1.21–1.24)
<i>Staphylococcus aureus</i>	Methicillin	1.09 (1.07–1.16)
<i>Enterococci</i> spp.	Vancomycin	1.16 (1.13–1.20)
<i>Enterobacter</i> spp.	Third-generation cephalosporins	1.11 (1.09–1.13)
<i>Klebsiella pneumoniae</i>	Third-generation cephalosporins	1.24 (1.20–1.30)
<i>Pseudomonas aeruginosa</i>	Imipenem	1.16 (1.13–1.21)
<i>Pseudomonas aeruginosa</i>	Third-generation cephalosporins	1.13 (1.11–1.16)
<i>Pseudomonas aeruginosa</i>	Ciprofloxacin/ofloxacin	1.03 (1.00–1.05)

*Data from NNIS system, common relative risk and 95% confidence interval, by Mantel-Haenszel Statistic, controlling for year of infection.

these potent antibacterial agents useless.⁴⁸ In some cases, ceftriaxone or cefotaxime may test susceptible or intermediate to ESBL-producing *K. pneumoniae*, but the clinical utility of these agents against such isolates is uncertain, and clinical failures have been reported.^{31, 48}

Evaluation of data from NNIS hospitals shows a dramatic increase in the proportion of *K. pneumoniae* resistant to ceftriaxone, cefotaxime, or ceftazidime over the past decade, with a much greater increase among isolates recovered from ICU patients (see Fig. 2D, Table 2). The prevalence of ESBL-producing strains is easily underestimated because resistance to β -lactam agents, although increased, may fail to reach currently specified resistance breakpoints.²⁶ Tracking resistance patterns therefore may not be ideal for detecting ESBL-producing enterobacteria (e.g., some ESBL-producing *K. pneumoniae* may test intermediate to third-generation cephalosporins). Tracking resistance, as in Figure 2D, however, provides us with a rough estimate of the growing magnitude of this troublesome pathogen.

Duration of stay in the hospital, especially the ICU, has been associated with acquisition of ESBL-producing *K. pneumoniae*^{8, 45, 53} and has been implicated in inter-facility transmission within a geographic region.⁴⁰ There is strong evidence that antimicrobial exposure has an impact on the acquisition of ESBL-producing *K. pneumoniae*. One study⁴⁸ demonstrated that preferential use of a specific β -lactam/ β -lactamase inhibitor combination (i.e., piperacillin/tazobactam) rather than ceftazidime was associated with a decrease in rates of isolating these organisms in the ICU. Another⁴⁷ demonstrated that patients exposed

to any β -lactam/ β -lactamase inhibitor combination (i.e., amoxicillin/clavulanic acid, ampicillin/sulbactam, ticarcillin/clavulanic acid, or piperacillin/tazobactam) appeared to be at decreased risk of colonization or infection with ESBL-producing *K. pneumoniae* in multivariate analysis. This suggests that preferential use of β -lactam/ β -lactamase inhibitor combinations may be an important control measure, along with hand-washing and infection control precautions, to help control outbreaks of ESBL-producing *K. pneumoniae*.

Other common antimicrobial-resistant pathogens encountered among ICU patients include *Pseudomonas aeruginosa* resistant to imipenem and *P. aeruginosa* or *Enterobacter* spp. resistant to third-generation cephalosporins, such as cefotaxime, ceftriaxone, or ceftazidime. Examination of data from NNIS hospitals shows that rates of resistance among these pathogens again appear higher among isolates from ICU patients compared with non-ICU patients (see Fig. 2E–G, Table 2). The rates of resistance have been relatively stable over the past decade, however. Ampicillin-resistant *Escherichia coli* is of less concern to the ICU clinician because alternative therapy is readily available and these patients are commonly on a broad-spectrum agent to which the organism is susceptible.

Finally, the rate of fluoroquinolone resistance (i.e., resistance to ofloxacin or ciprofloxacin) among *P. aeruginosa* reported to NNIS has increased rapidly over the past decade (Fig. 2H). In contrast to all the other pathogens discussed so far, however, quinolone-resistant *P. aeruginosa* is not more prevalent among ICU patients compared with non-ICU patients (see Table 2). There are probably many reasons for this. Contributing factors

may include the large amounts of quinolones used by patients outside the ICU, or the development of fluoroquinolone resistance among *P. aeruginosa* unrelated to the ICU setting.³⁷

Candida

Candida albicans is the seventh most common pathogen associated with nosocomial infection in ICU patients (see Table 1). In general, resistance to antifungal agents among *Candida* spp. is rare. Susceptibility testing for *C. albicans* is difficult and not routinely performed in most hospitals, however, so data on the frequency of fluconazole-resistant *C. albicans* tend to be limited to research scenarios.⁴⁷ Therapeutic options to treat patients with *C. albicans* infection include polyenes (amphotericin), imidazoles, and triazoles. The emergence of antimicrobial-resistant fungal pathogens limits the few therapeutic options. Some acquired immunodeficiency syndrome patients, particularly those with greater exposure to azole therapy or low CD4 counts, have developed azole-resistant *C. albicans* infections.^{29, 35} Resistance to azoles has not been well documented in human immunodeficiency virus-negative patients. The appearance of azole-resistant *C. albicans* infection in AIDS patients portends resistance in other immunocompromised patient populations. Data suggest that increasing use of prophylactic antifungal therapy in patients at highest risk for endogenous *Candida* spp. infection may lead to the increasing frequency of infections with fungi such as *C. krusei*, which have intrinsic azole-resistance, or the even azole-resistant *C. glabrata* or *C. albicans*.^{1, 14, 29, 35} Consequently, issues relating to azole-resistant *Candida* spp. will usually be limited to the specialized care unit exclusively treating patients with a severely compromised immune system. Of concern are data from a recent multicenter study of 50 U.S. medical centers that documented that 10% of *C. albicans* isolates from the bloodstream of hospitalized patients were resistant to fluconazole.⁴⁴ The resistant rate ranged from 5% to 15%, depending on the region of the United States, suggesting that local factors, such as amount of azole usage, may play a role in the relative frequency of azole-resistant *C. albicans* infections.

EVALUATING ANTIMICROBIAL USE IN INTENSIVE CARE UNITS

When evaluating the relative rates of different antimicrobial agents used in the hospital, total grams may be misleading. Because different agents have different potency, researchers often standardize amounts of antimicrobials used by referring to the *defined daily dose* (DDD), which is the grams an average person will receive in a day (for vancomycin, 1 DDD=2 g). By dividing the actual grams by the DDD, comparisons between different agents of differing potency can be made with more validity. The actual DDD values may vary among studies and should be published with each study. Furthermore, the total amount of antimicrobials used in a hospital or an ICU will be confounded by the number of patients in the hospital or ICU each day. Accurate comparisons of antimicrobial use among ICUs or hospitals therefore should evaluate the rate of use (i.e., DDD per 1000 patient-days).

Data from Project ICARE, a study assessing antimicrobial use and resistance at a subset of 41 hospitals participating in the NNIS system, show that use is significantly higher among ICU patients than non-ICU patients for third-generation cephalosporins combined, ceftazidime alone, intravenous vancomycin, anti-pseudomonas penicillin, intravenous fluoroquinolones, or imipenem (Fig. 3).¹⁶ There is no significant difference between ICU and non-ICU areas in use of antistaphylococcal penicillin (i.e., methicillin group), first-generation cephalosporins, second-generation cephalosporins, or aztreonam (see Fig. 3). Significantly lower rates of use were reported in adult ICU areas for trimethoprim/sulfamethoxazole, oral vancomycin, or oral fluoroquinolone. If oral and parenteral fluoroquinolone use was combined, however, rates of usage were similar between ICU and non-ICU areas.

This observation lends support to the hypothesis presented earlier that the reason rates of quinolone-resistant *P. aeruginosa* are similar among nosocomial infections in ICU patients compared with non-ICU patients (see Fig. 2H) is that the exposure to quinolones may be similar in both parts of the hospital. In summary, for each of the antimicrobial groups used at higher rates in ICU areas, there was a correspondingly higher rate of the respective resistant pathogens among ICU

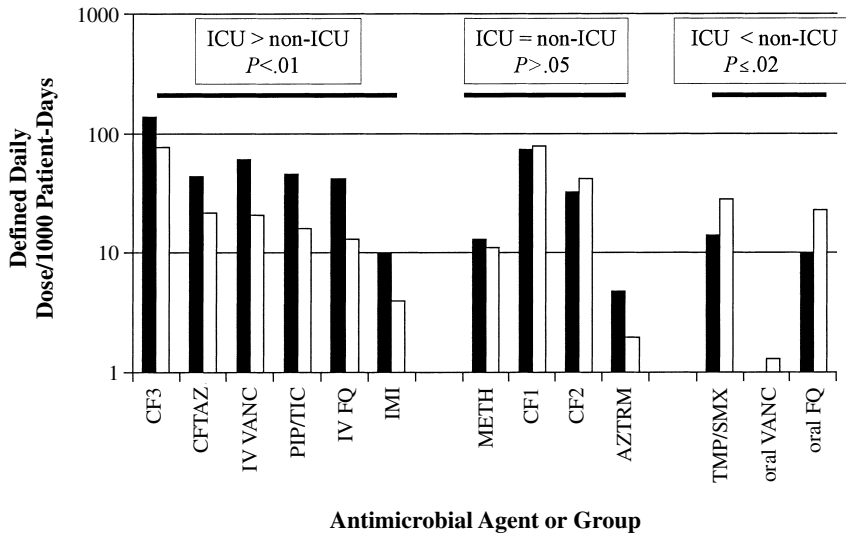


Figure 3. Comparison of median rates of antimicrobial use (i.e., defined daily doses per 1000 patient-days) reported in adult ICUs (n = 108) and non-ICU areas combined (n = 40). All use is for intravenous antimicrobials and oral (where applicable), except where noted. CF3 = third-generation cephalosporins (ceftriaxone, cefotaxime, ceftazidime, and ceftizoxime); CFTAZ = ceftazidime; VANC = vancomycin; PIP/TIC = antipseudomonal penicillins (piperacillin, piperacillin/tazobactam, ticarcillin, ticarcillin/clavulanic acid); FQ = fluoroquinolones (ofloxacin and ciprofloxacin); IMI = imipenem; METH = methicillin group (oxacillin, nafcillin, methicillin); CF1 = first-generation cephalosporins (cefazolin, cephalothin); CF2 = second-generation cephalosporins (cefotetan, cefoxitin, cefuroxime); AZTRM = aztreonam, TMP/SMX = trimethoprim/sulfamethoxazole. Solid bar = ICU patients; open bar = non-ICU inpatients.

patients compared with non-ICU inpatients among hospitals reporting data to ICARE (Table 4).

PREVENTING THE EMERGENCE AND SPREAD OF RESISTANT BACTERIA IN INTENSIVE CARE UNITS

Optimizing Use of Antimicrobial Agents

A workshop sponsored by the CDC and the National Foundation for Infectious Diseases recommended that hospitals should monitor antimicrobial use in an attempt to reduce the emergence and spread of antimicrobial-resistant pathogens.²² Such monitoring also can aid the infection-control team in determining how to focus its efforts in reducing the emergence and spread of antimicrobial-resistant pathogens.⁵⁶ Most importantly, controlling antimicrobial resistance (and use) is a multifaceted problem requiring a multidisciplinary approach.²⁷

Data Collection and Feedback

One method to optimize use includes providing feedback data to ICU clinicians. Such data will help clinicians make wise empiric therapy choices and provide direction in altering antimicrobial choice in efforts to reduce specific problems with resistance. One study demonstrated that rates of antimicrobial resistance may differ among specific types of ICUs and that feedback to clinicians on ICU-specific rates of resistance leads to changes in antimicrobial selection and subsequent reduction in the ICU-specific resistance rates.⁵⁹ Feedback regarding antimicrobial use may be necessary as well.

Comparative Data

Hospitals may use comparative data, such as those provided by Project ICARE, to determine whether specific ICUs or the entire hospital is overusing antimicrobials. Caution must be used in making any comparisons of antimicrobial use data because antimicrobial use depends on the types of patients cared for in the ICU. Data from Project ICARE illustrate that different types of ICU use different amounts of specific antimicrobials (Table 3).

Table 3. POOLED MEANS AND PERCENTILES OF ANTIMICROBIAL USE IN DEFINED DAILY DOSES PER 1000 PATIENT-DAYS, REPORTED FROM 20 CORONARY CARE UNITS, 19 MEDICAL INTENSIVE CARE UNITS, 27 MEDICAL/SURGICAL UNITS, 12 CARDIOTHORACIC UNITS, AND 19 GENERAL-SURGICAL UNITS, JANUARY 1996–DECEMBER 1997, PROJECT ICARE: PRELIMINARY ANALYSIS

Type of ICU	Antimicrobial or Antimicrobial Group	Rate of Use (DDD/1000 Patient-Days)				
		Total DDD	Pooled Mean	Percentiles		
				10%	50%	90%
Coronary (n = 20 ICUs)						
	Ampicillin group	2335	38.1	5.8	41.3	92.8
	Anti-pseudomonal penicillin group	1491	24.4	0.5	15.0	92.0
	Methicillin group	1219	19.9	0.0	13.2	44.0
	First-generation cephalosporins	5615	91.7	9.1	39.5	330.5
	Second-generation cephalosporins	2982	48.7	2.7	21.3	61.1
	Third-generation cephalosporins	5296	86.5	21.2	87.3	171.7
	Imipenem	394	6.4	0.0	3.2	24.7
	Aztreonam	389	6.4	0.0	1.3	14.6
	Ciprofloxacin	2277	37.2	0.0	30.6	97.3
	Trimethoprim/sulfamethoxazole	1710	27.9	0.0	14.0	82.2
	Vancomycin (parenteral)	2141	35.0	9.2	25.6	108.9
Medical (n = 19 ICUs)						
	Ampicillin group	6692	115	39.4	96.9	206.6
	Anti-pseudomonal penicillin group	5103	87.6	2.7	80.3	180.1
	Methicillin group	1492	25.6	0.7	20.4	46.2
	First-generation cephalosporins	1925	33.1	17.1	33.5	70.3
	Second-generation cephalosporins	3121	53.6	7.2	51.0	102.0
	Third-generation cephalosporins	12,129	208	74.8	173.7	382.5
	Imipenem	1439	24.7	0.0	16.7	54.5
	Aztreonam	431	7.4	0.0	5.4	24.1
	Ciprofloxacin	3629	62.3	0.6	50.6	117.0
	Trimethoprim/sulfamethoxazole	2792	47.9	0.0	35.5	95.7
	Vancomycin (parenteral)	4939	84.8	27.4	59.1	157.2
Medical-Surgical (n = 27 ICUs)						
	Ampicillin group	11,414	92.2	30.1	98.1	160.7
	Anti-pseudomonal penicillin group	7240	58.5	19.8	46.7	100.0
	Methicillin group	2829	22.8	0.0	14.3	60.5
	First-generation cephalosporins	15,480	125	30.2	85.1	257.8
	Second-generation cephalosporins	8539	69.0	7.4	47.4	103.9
	Third-generation cephalosporins	23,961	194	94.0	200.7	322.1
	Imipenem	3858	31.2	0.7	25.0	66.3
	Aztreonam	1664	13.4	0.2	8.5	36.2
	Fluoroquinolone group	9945	80.3	9.6	64.4	134.9
	Trimethoprim/sulfamethoxazole	5051	40.8	0.0	23.2	95.5
	Vancomycin (parenteral)	8379	67.7	25.6	53.2	134.2
Cardiothoracic (n = 12 ICUs)						
	Ampicillin group	959	27.1	5.3	25.7	45.8
	Anti-pseudomonal penicillin group	989	28.0	0.7	22.5	51.0
	Methicillin group	397	11.2	0.0	2.4	18.6
	First-generation cephalosporins	9596	271	74.6	305.0	465.4
	Second-generation cephalosporins	1898	53.6	0.7	20.5	141.1
	Third-generation cephalosporins	2942	83.1	16.5	74.6	120.7
	Imipenem	523	14.8	0.0	4.5	37.5
	Aztreonam	313	8.9	0.0	0.9	7.8
	Fluoroquinolone group	1692	47.8	7.8	31.4	86.2
	Trimethoprim/sulfamethoxazole	345	9.7	0.0	3.4	13.6
	Vancomycin (parenteral)	4606	130	24.8	85.6	198.0
General-surgical (n = 19 ICUs)						
	Ampicillin group	5968	109	49.8	97.5	197.9
	Anti-pseudomonal penicillin group	3456	62.9	2.9	58.0	138.8
	Methicillin group	1489	27.1	1.6	14.2	50.3
	First-generation cephalosporins	11,635	212	94.7	195.2	557.2
	Second-generation cephalosporins	3283	59.8	21.3	53.3	103.4
	Third-generation cephalosporins	9089	165	95.6	142.8	249.8
	Imipenem	1880	34.2	0.0	18.4	66.3
	Aztreonam	713	13.0	1.4	10.9	36.1
	Fluoroquinolone group	4015	73.1	25.9	69.1	122.4
	Trimethoprim/sulfamethoxazole	1961	35.7	1.7	17.9	68.7
	Vancomycin (parenteral)	6439	117	42.0	87.6	207.5

DDD = Defined daily doses.

Third-generation cephalosporins (ceftriaxone, cefotaxime, ceftazidime, and ceftizoxime), first-generation cephalosporins (cefazolin, cephalothin), second-generation cephalosporins (cefotetan, cefoxitin, cefuroxime), anti-pseudomonal penicillin group (piperacillin, piperacillin/tazobactam, ticarcillin, ticarcillin/clavulanic acid), fluoroquinolone group (ofloxacin and ciprofloxacin), methicillin group (oxacillin, nafcillin, methicillin).

Table 4. SUMMARY OF RATES OF ANTIMICROBIAL-RESISTANT PATHOGENS AND USE OF THE ANTIMICROBIAL AGENTS ASSOCIATED WITH RESISTANCE IN THE INTENSIVE CARE UNIT AREAS COMPARED WITH NON-INTENSIVE CARE UNIT AREAS, PROJECT ICARE, PHASE 2, 1996–1997

Higher Resistance in ICUs Compared with Non-ICU Areas	Higher Use in ICUs Compared with Non-ICU Areas
<i>E. coli</i> , <i>P. aeruginosa</i> : ceftazidime	Third-generation cephalosporins or ceftazidime
<i>P. aeruginosa</i> : piperacillin	Ureido/carboxy penicillins
Enterococci: vancomycin	Vancomycin
Similar or Less Resistance in ICUs Compared with Non-ICU Areas	Similar or Less Use in ICUs Compared with Non-ICU Areas
Pneumococcus: penicillin	Aminopenicillins
<i>E. coli</i> , <i>P. aeruginosa</i> : quinolones	Quinolones

We therefore report use rates by specific type of ICU back to ICARE hospitals. Accounting for the type of ICU, when an ICU is using a specific antimicrobial at a rate beyond the 90th percentile, evaluating how and why that usage is so high may help optimize use.

Patterns of Use

After an institution determines that it is overusing antimicrobials, a detailed examination of patterns is needed. Antimicrobial use can be divided into three categories—empiric therapy, definitive therapy, and prophylaxis. Each aspect of therapy may need to be addressed by different means to achieve any benefit. Surprisingly, only about 30% of all antimicrobials in hospitals are used for definitive therapy in which the susceptibility patterns for the infection-associated pathogen are known.¹⁰ The problem behind a specific ICU's excessive use of an antimicrobial may result from misuse within any or all of the three categories.

Most data on reducing inappropriate use of antimicrobials have involved vancomycin.^{13, 30, 52, 57, 61} These studies documented 30% to 80% of empiric and 20% to 25% of definitive vancomycin therapies were inappropriate. It may be necessary to implement antimicrobial control programs tailored to the areas of most inappropriate use or greatest amount of use

to optimize use. For instance, one study demonstrated that most vancomycin use occurred during the first 3 days of therapy, and that focusing efforts on improving initial empiric therapy reduced inappropriate use greatly.⁵⁷

Interventions

Efforts to improve antimicrobial use in hospitals have generally focused on cost-saving interventions,^{32, 51} although some studies have documented decreased rates of colonization or infection with antimicrobial-resistant bacteria after interventions.^{14, 39, 62} These interventions usually include some restriction policy on specific antimicrobial agents, with or without other mechanisms, such as automatic stop orders after 72 hours of empiric use.⁵⁶ A recent study by White et al⁶² demonstrated that preapproval for selected parenteral agents reduced rates of antimicrobial-resistant pathogens without compromising patient outcomes, with the greatest effect occurring within ICUs. In another study, rates of vancomycin-resistant enterococci were reduced when vancomycin use was reduced.⁴⁶ In general, however, the efficacy of specific aspects of programs to improve antimicrobial use remains unclear and their effectiveness in reducing antimicrobial resistance has been difficult to assess.³⁹ In addition, implementation of either criteria-based guidelines (i.e., appropriate versus inappropriate use) or diagnosis-based guidelines (e.g., community-acquired pneumonia) have been promulgated by professional societies. Their effectiveness in optimizing antimicrobial use has not yet been determined, but appears promising.

Preventing Cross-Transmission

Hand Washing

Hand washing is considered the single most important measure for preventing the spread of infection in hospitals.^{19, 33} Hands should be washed between patient contacts, after contact with potentially infectious material (e.g., blood, body fluids, patient-care items), and after removal of examination gloves. Although CDC recommends hand washing with bland soap to be sufficient in most settings,¹⁹ there is evidence that routine hand antisepsis may be helpful in reducing rates of nosocomial infections and spread of

antimicrobial-resistant bacteria in ICU settings.^{11, 12, 25, 33} This measure is recommended in areas in which vancomycin-resistant enterococci may be endemic.²⁵

Several studies have shown poor compliance with, and quality of, hand washing by health care workers.^{11, 23, 34, 36} These studies illustrate that various interventions to improve hand washing have had limited success, including use of automatic sinks, new emollient soap, antiseptic hand rub solution, or chlorhexidine-containing soap. As a measure to control cross-transmission, many ICUs have supplemented conventional antiseptic hand washing with alcohol-based hand rubs, which may be particularly useful when and where hand washing facilities are inaccessible.³³ The efficacy of these agents in reducing rates of infections with antimicrobial-resistant bacteria (and infections with *Candida* spp.) needs to be assessed.

Gloves

Glove use, in addition to hand washing, has been shown to decrease the spread of bacteria between patients and health care workers and among patients.⁴³ Glove use, however, has not been shown to be a replacement for hand washing. The "Standard Precautions" described in the CDC's guidelines for isolation precautions in hospitalized patients include routine glove use when touching blood, body fluids, secretions, excretions, and contaminated items, with removal of gloves and hand washing promptly after use. In addition, routine glove use may be appropriate in certain settings. In an ICU in which resistant bacteria (i.e., multiresistant *Staphylococcus aureus* or vancomycin-resistant enterococci) behave as commensal skin flora^{4, 5}; for example, routine wearing and changing of gloves between patient contacts may prevent hand contamination and transmission after touching intact, but contaminated, skin of patients or contaminated environmental surfaces.

Gowns

The use of gowns as an added barrier has been recommended for years to help control the spread of MRSA within hospitals.^{6, 19} Evidence of their efficacy in controlling the spread of other antimicrobial-resistant pathogens is less well developed. In one study of a

medical ICU in a large urban hospital, gowns were found to offer no benefit beyond that afforded by gloves in controlling the spread of endemic vancomycin-resistant enterococci.⁵⁸ CDC does recommend gowns for substantial contact with patients who are colonized or infected with vancomycin-resistant enterococci, however.²⁵ In some settings, such as neonatal units, routine gown use may be required by local public health or hospital policy, but such recommendations should be avoided unless based on documented evidence of local need and efficacy in controlling the spread of pathogens.

Face Masks

Personal respirators are used to protect health care workers from infection by patients with pulmonary tuberculosis. The use of these when caring for patients with pulmonary infections caused by antimicrobial-resistant bacteria is unlikely to affect the risk for cross-infection.¹⁹

Patient Isolation or Cohorting

Isolating or cohorting patients with known infections or colonization by antimicrobial-resistant bacteria is an effective means of controlling patient-to-patient transmission. Isolation recommendations for clinical syndromes and for specific pathogens have been published by CDC and the Society for Healthcare Epidemiology of America.^{19, 25, 56} In decisions about when to cohort patients colonized or infected with an antimicrobial-resistant pathogen, surveillance cultures are useful in determining the extent of the cohort. The patients should remain isolated (or in a cohort) until adequate therapy for the infection is instituted and the patient is no longer a carrier.

Traffic Control

An often overlooked and understudied aspect of infection control is the importance of minimizing movement of personnel through ICUs. Ancillary staff from respiratory therapy, nutritional support, pharmacy, consultative services, anesthesiology, and radiology pass in and out of ICUs frequently, which may increase the risk of cross-infection from other parts of the hospital or within the ICU. ICU directors and staff should consider devel-

oping traffic guidelines for ICUs and should ensure that all personnel who spend time working in the ICU have the necessary infection-control education.

CONCLUSION

Several considerations must be kept in mind when evaluating antimicrobial resistance in the ICU setting. The magnitude of the problem cannot be determined without knowledge of a hospital's (or an individual ICU's) pattern of antimicrobial use. Dramatic differences in antimicrobial resistance exist within individual hospitals and may depend on both antimicrobial use and infection control practices. Only by improving surveillance of antimicrobial resistance and antimicrobial use can hospitals begin to make rational decisions about allocating scarce resources toward improving patient care by reducing rates of infection with antimicrobial-resistant bacteria. No strategy for controlling resistance or optimizing antimicrobial use will be successful unless the entire health care delivery system views this problem as vital. A multidisciplinary, systems-oriented approach involving the hospital leadership is required to succeed in combating the growing problem of antimicrobial resistance in ICUs.²²

References

1. Abi-Said D, Anaissie E, Uzon O, et al: The epidemiology of hematogenous candidiasis caused by different *Candida* species. *Clin Infect Dis* 24:1122-1128, 1997
2. Archibald L, Phillips L, McGowan JE Jr, et al: Antimicrobial resistance in hospitals and outpatients in the United States: The increasing importance of the intensive care unit. *Clin Infect Dis* 24:211-215, 1997
3. Ballou CH, Schentag JJ: Trends in antibiotic utilization and bacterial resistance: Report of the National Nosocomial Resistance Surveillance Group. *Diagn Microbiol Infect Dis* 15:37S-42S, 1992
4. Beezhold D, Slaughter S, Hayden MK, et al: Skin colonization with vancomycin-resistant enterococci in hospitalized patients with bacteremia. *Clin Infect Dis* 24:704-706, 1997
5. Bonten MJ, Hayden MK, Nathan C, et al: Epidemiology of colonization of patients and environment with vancomycin-resistant enterococci. *Lancet* 348:1615-1619, 1996
6. Boyce JM, Jackson MM, Pugliese G, et al: Methicillin-resistant *Staphylococcus aureus* (MRSA): A briefing for acute care hospitals and nursing facilities. *Infect Control Hosp Epidemiol* 15:105-115, 1994
7. Boyce JM, Opal SM, Chow JW, et al: Outbreak of multidrug-resistant *Enterococcus faecium* with transferable vanB class vancomycin resistance. *J Clin Microbiol* 32:1148-1153, 1994
8. Burwen DR, Banerjee SN, Gaynes RP, et al: Ceftazidime resistance among selected nosocomial gram-negative bacilli in the United States. *J Infect Dis* 170:1622-1625, 1994
9. Chow JW, Yu VL, Shlaes DM: Epidemiologic perspectives of *Enterobacter* for the infection control professional. *Am J Infect Control* 22:195-201, 1994
10. Cooke D, Salter AJ, Phillips I: Antimicrobial misuse, antibiotic policies and information resources. *J Antimicrob Chemother* 6:435-443, 1980
11. Doebbeling BN, Stanley GL, Sheetz CT, et al: Comparative efficacy of alternative hand-washing agents in reducing nosocomial infections in intensive care units. *N Engl J Med* 327:88-93, 1992
12. Ehrenkranz NJ: Bland soap handwash or hand antiseptics? The pressing need for clarity. *Infect Control Hosp Epidemiol* 13:299-301, 1992
13. Evans ME, Kortas KJ: Vancomycin use in a university medical center: Comparison with Hospital Infection Control Practices Advisory Committee Guidelines. *Infect Control Hosp Epidemiol* 17:356-359, 1996
14. Fridkin SK, Jarvis WR: Epidemiology of nosocomial fungal infections. *Clin Microbiol Rev* 9:499-511, 1996
15. Fridkin SK, Pear SM, Williamson TH, et al: The role of understaffing in central venous catheter-associated bloodstream infections. *Infect Control Hosp Epidemiol* 17:150-158, 1996
16. Fridkin SK, Steward CD, Edwards JR, et al: Surveillance of antimicrobial use and antimicrobial resistance in U.S. hospitals: Project ICARE Phase 2. *Clin Infect Dis* 1999, in press
17. Fridkin SK, Welbel SF, Weinstein RA: Magnitude and prevention of nosocomial infections in the intensive care unit. *Infect Dis Clin North Am* 11:479-496, 1996
18. Frieden TR, Munsiff SS, Low DE, et al: Emergence of vancomycin-resistant *Enterococcus* in New York City. *Lancet* 342:490-491, 1993
19. Garner JS, Hospital Infection Control Practices Advisory Committee: Guideline for isolation precautions in hospitals. Part I. Evolution of isolation practices. *Am J Infect Control* 24:24-31, 1996
20. Gerding DN, Larson TA: Resistance surveillance programs and the incidence of gram-negative bacillary resistance to amikacin from 1967-1985. *Am J Med* 80(6B):22-28, 1986
21. Gold HS, Moellering RCJ: Antimicrobial-drug resistance. *N Engl J Med* 335:1445-1453, 1996
22. Goldmann DA, Weinstein RA, Wenzel RP, et al: Strategies to prevent and control the emergence and spread of antimicrobial-resistant microorganisms in hospitals. *JAMA* 275:234-240, 1996
23. Graham M: Frequency and duration of handwashing in an intensive care unit. *Am J Infect Control* 18:77-81, 1990
24. Haley RP, Bregman DA: The role of understaffing and overcrowding in recurrent outbreaks of staphylococcal infection in a neonatal special-care unit. *J Infect Dis* 245:875-885, 1982
25. Hospital Infection Control Practices Advisory Committee: Recommendations for preventing the spread of vancomycin resistance. *Infect Control Hosp Epidemiol* 16:105-113, 1996
26. Jacoby GA, Han P: Detection of extended-spectrum

- β -lactamases in clinical isolates of *Klebsiella pneumoniae* and *Escherichia coli*. J Clin Microbiol 34:908-911, 1996
27. Jarvis WR: Preventing the emergence of multidrug-resistant microorganisms through antimicrobial use controls: The complexity of the problem. Infect Control Hosp Epidemiol 17:490-495, 1996
 28. Jarvis WR, Edwards JR, Culver DH, et al: Nosocomial infection rates in adult and pediatric intensive care units in the United States. Am J Med 91(suppl 3B):185S-191S, 1991
 29. Johnson EM, Warnock DW, Luker J, et al: Emergence of azole drug resistance in *Candida* species from HIV-infected patients receiving prolonged fluconazole therapy for oral candidosis. J Antimicrob Chemother 35:103-114, 1995
 30. Johnson SV, Hoey LL, Vance-Bryan K: Inappropriate vancomycin prescribing based on criteria from the Centers for Disease Control and Prevention. Pharmacotherapy 15:579-585, 1995
 31. Karas JA, Pillay DG, Muckart D, et al: Treatment failure due to extended spectrum β -lactamase. J Antimicrob Chemother 37:203, 1996
 32. Klem C, Dasta JF: Efforts of pharmacy to reduce antibiotic resistance. New Horiz 4:377-384, 1996
 33. Larson EL, APIC Guidelines Committee: APIC guideline for handwashing and hand antisepsis in health care settings. Am J Infect Control 23:251-269, 1995
 34. Larson EL, McGeer A, Quraishi ZA, et al: Effect of an automated sink on handwashing practices and attitudes in high-risk units. Infect Control Hosp Epidemiol 12:422-428, 1991
 35. Maenza JR, Keruly JC, Moore RD, et al: Risk factors for fluconazole-resistant candidiasis in human immunodeficiency virus-infected patients. J Infect Dis 173:219-225, 1996
 36. Mayer JA, Dubbert PM, Miller M, et al: Increasing handwashing in an intensive care unit. Infect Control 7:259-262, 1986
 37. McCaig LF, Hughes JM: Trends in antimicrobial drug prescribing among office-based physicians in the United States. JAMA 273:214-219, 1995
 38. McGowan JE Jr: Antimicrobial resistance in hospital organisms and its relation to antibiotic use. Rev Infect Dis 5:1048, 1983
 39. McGowan JE Jr: Do intensive hospital antibiotic control programs prevent the spread of antibiotic resistance? Infect Control Hosp Epidemiol 15:478-483, 1994
 40. Monnet D, Biddle JW, Edwards JR, et al: Evidence of interhospital transmission of extended-spectrum β -lactam-resistant *Klebsiella pneumoniae* in the United States, 1986-1993. Infect Control Hosp Epidemiol 18:492-498, 1997
 41. Montecalvo MA, Shay DK, Patel P, et al: Bloodstream infections with vancomycin-resistant enterococci. Arch Intern Med 156:1458-1462, 1996
 42. Morris JGJ, Shay DK, Hebden JN, et al: Enterococci resistant to multiple antimicrobial agents, including vancomycin. Establishment of endemicity in a university medical center. Ann Intern Med 123:250-259, 1995
 43. Olsen RJ, Lynch P, Coyle MB, et al: Examination gloves as barriers to hand contamination in clinical practice. JAMA 270:350-353, 1993
 44. Pfaller MA, Jones RN, Messer SA, et al: National surveillance of nosocomial blood stream infection due to *Candida albicans*: Frequency of occurrence and antifungal susceptibility in the SCOPE Program. Diagn Microbiol Infect Dis 31:327-332, 1998
 45. Piroth L, Aube H, Doise J, et al: Spread of extended-spectrum β -lactamase-producing *Klebsiella pneumoniae*: are β -lactamase inhibitors of therapeutic value? Clin Infect Dis 27:76-80, 1998
 46. Quale J, Landman D, Saurina G, et al: Manipulation of a hospital formulary to control an outbreak of vancomycin-resistant enterococci. Clin Infect Dis 23:1020-1025, 1996
 47. Rex JH, Pfaller MA, Rinaldi MG, et al: Antifungal susceptibility testing. Clin Microbiol Rev 6:367-381, 1993
 48. Rice LB, Eckstein EC, DeVente J, et al: Ceftazidime-resistant *Klebsiella pneumoniae* isolates recovered at the Cleveland Department of Veterans Affairs Medical Center. Clin Infect Dis 23:118-124, 1996
 49. Richards M, Edwards JR, Culver DH, et al: Nosocomial infections in coronary care units in the United States. Am J Cardiol 82:789-793, 1998
 50. Richards M, Edwards JR, Culver DH, et al: Nosocomial infections in medical intensive care in the United States. Crit Care Med 1998b, in press
 51. Rifenburg RP, Paladino JA, Hanson SC, et al: Benchmark analysis of strategies hospitals use to control antimicrobial expenditures. Am J Health Syst Pharm 53:2054-2062, 1996
 52. Salemi C, Becker L, Morrissey R, et al: A clinical decision process model for evaluating vancomycin use with modified HICPAC guidelines. Clinical Performance and Quality Health Care 1:12-16, 1998
 53. Schiappa DA, Hayden MK, Matushek MG, et al: Ceftazidime-resistant *Klebsiella pneumoniae* and *Escherichia coli* bloodstream infection: A case-control and molecular epidemiologic investigation. J Infect Dis 174:529-536, 1996
 54. Seppala H, Klaukka T, Vuopio-Varkila J, et al: The effect of changes in the consumption of macrolide antibiotics on erythromycin resistance in group A streptococci in Finland. N Engl J Med 337:441-446, 1997
 55. Shlaes DM, Binczewski B, Rice LB: Emerging antimicrobial resistance and the immunocompromised host. Clin Infect Dis 17(suppl 2):S527-536, 1993
 56. Shlaes DM, Gerding DN, John JF, et al: Society for Healthcare Epidemiology of America and Infectious Diseases Society of America Joint Committee on the Prevention of Antimicrobial Resistance: Guidelines for the prevention of antimicrobial resistance in hospitals. Infect Control Hosp Epidemiol 18:275-291, 1997
 57. Singer MV, Haft R, Barlam T, et al: Vancomycin control measures at a tertiary-care hospital: impact of interventions on volume and patterns of use. Infect Control Hosp Epidemiol 19:248-253, 1998
 58. Slaughter S, Hayden M, Nathan C, et al: Effect of universal gown and glove use vs. glove-only use on acquisition of vancomycin-resistant enterococcus in a medical ICU. Ann Intern Med 125:448-456, 1996
 59. Stratton CW, Ratner H, Johnston PE, et al: Focused microbiologic surveillance by specific hospital unit as a sensitive means of defining antimicrobial resistance problems. Diagn Microbiol Infect Dis 15 (2 suppl): 11S-18S, 1992
 60. Toltzis P, Blumer JL: Antibiotic-resistant gram-negative bacteria in the critical care setting. Pediatr Clin North Am 42:687-702, 1995

61. Watanakunakorn C: Prescribing pattern of vancomycin in a community teaching hospital with low prevalence of vancomycin-resistant enterococci. *Infect Control Hosp Epidemiol* 18:767-769, 1997
62. White AC Jr, Atmar RL, Wilson J, et al: Effects of requiring prior authorization for selected antimicrobials: expenditures, susceptibilities, and clinical outcomes. *Clin Infect Dis* 25:230-239, 1997

Address reprint requests to

Scott K. Fridkin, MD
MS E-55
Nosocomial Infections Surveillance Activity
Hospital Infections Program
National Center for Infectious Diseases
Centers for Disease Control and Prevention
Atlanta, GA 30333

email: skf0@cdc.gov rpgl@cdc.gov