

**EPA**  
**FY 2004 End of Year**  
**Enforcement & Compliance**  
**Assurance Results**



*Prepared by the Office of Enforcement and Compliance Assurance*

*November 15, 2004*



# EPA FY 2004 End of Year Enforcement & Compliance Assurance Results

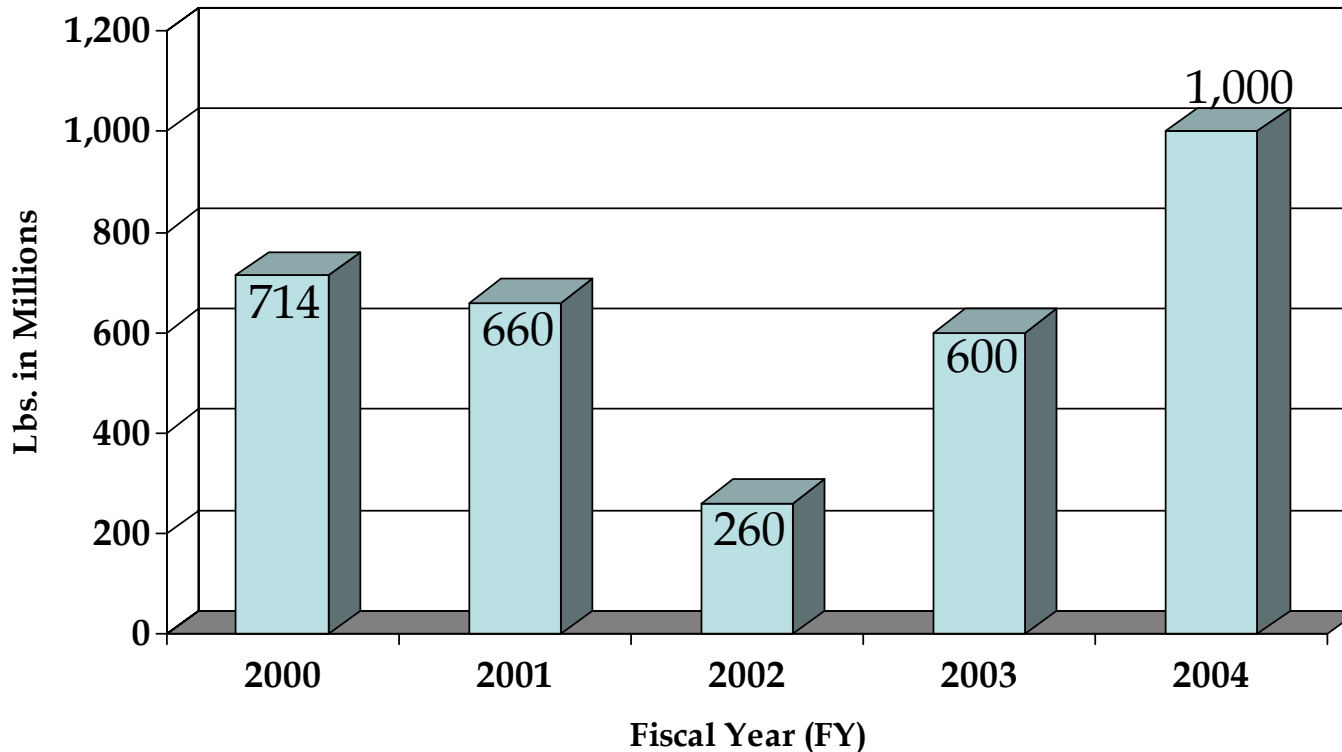
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Total Entities Reached by Compliance Assistance	16



# EPA Environmental Results

## Estimated Pollutant Reduction Commitments Obtained through Formal Enforcement Case Conclusions FY 2000 - FY 2004



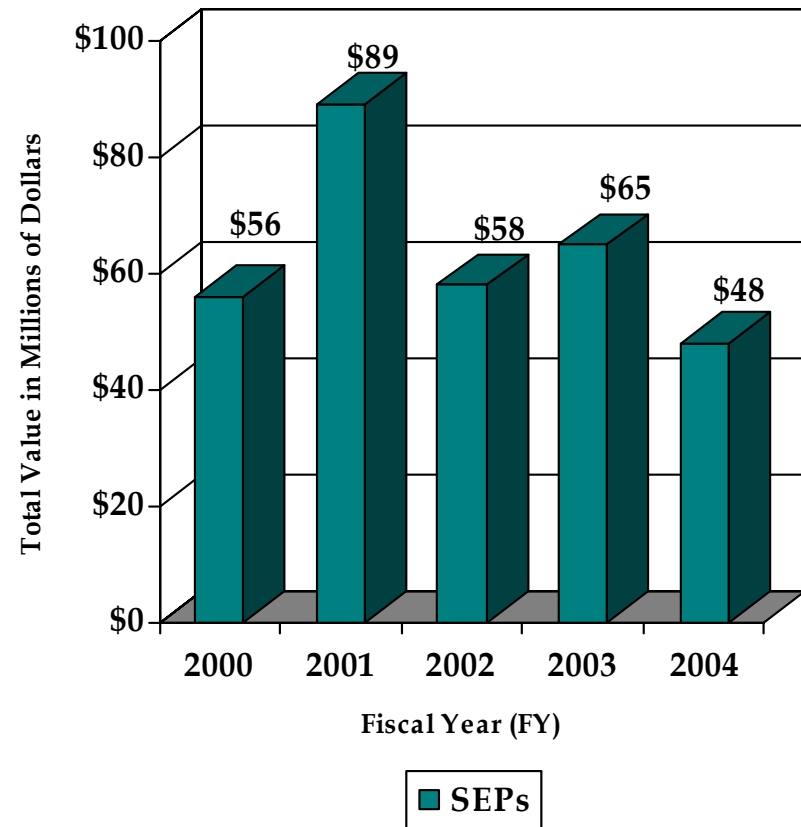
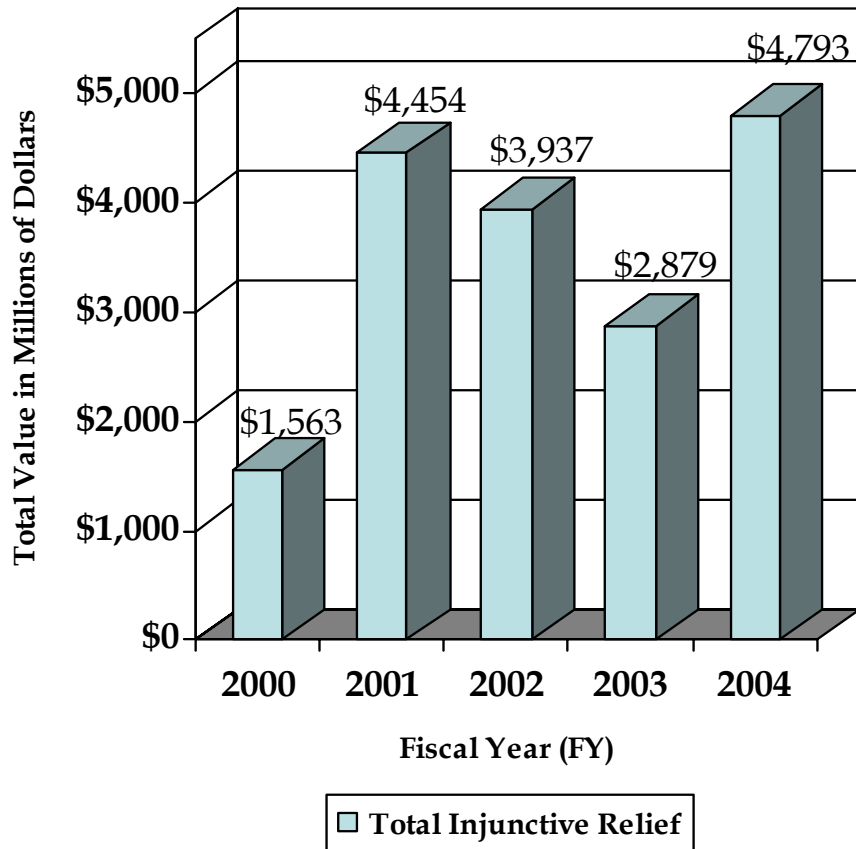
FY 2004 Data Source: Integrated Compliance Information System (ICIS), October 29, 2004 & Manual Calculations

Disclaimer: Due to enhanced data quality reviews, minor corrections may have been made to previously reported data. As such, this FY 2004 End-of-Year Report contains updated enforcement and compliance data for prior years.



# EPA Environmental Results

## Injunctive Relief and Supplemental Environmental Projects (SEPs) FY 2000 - FY 2004



FY 2004 Data Source: Integrated Compliance Information System (ICIS), October 29, 2004 & Manual Calculations

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# EPA Environmental Results

## EPA Cases Reporting Environmental Benefits FY 2003 - FY 2004

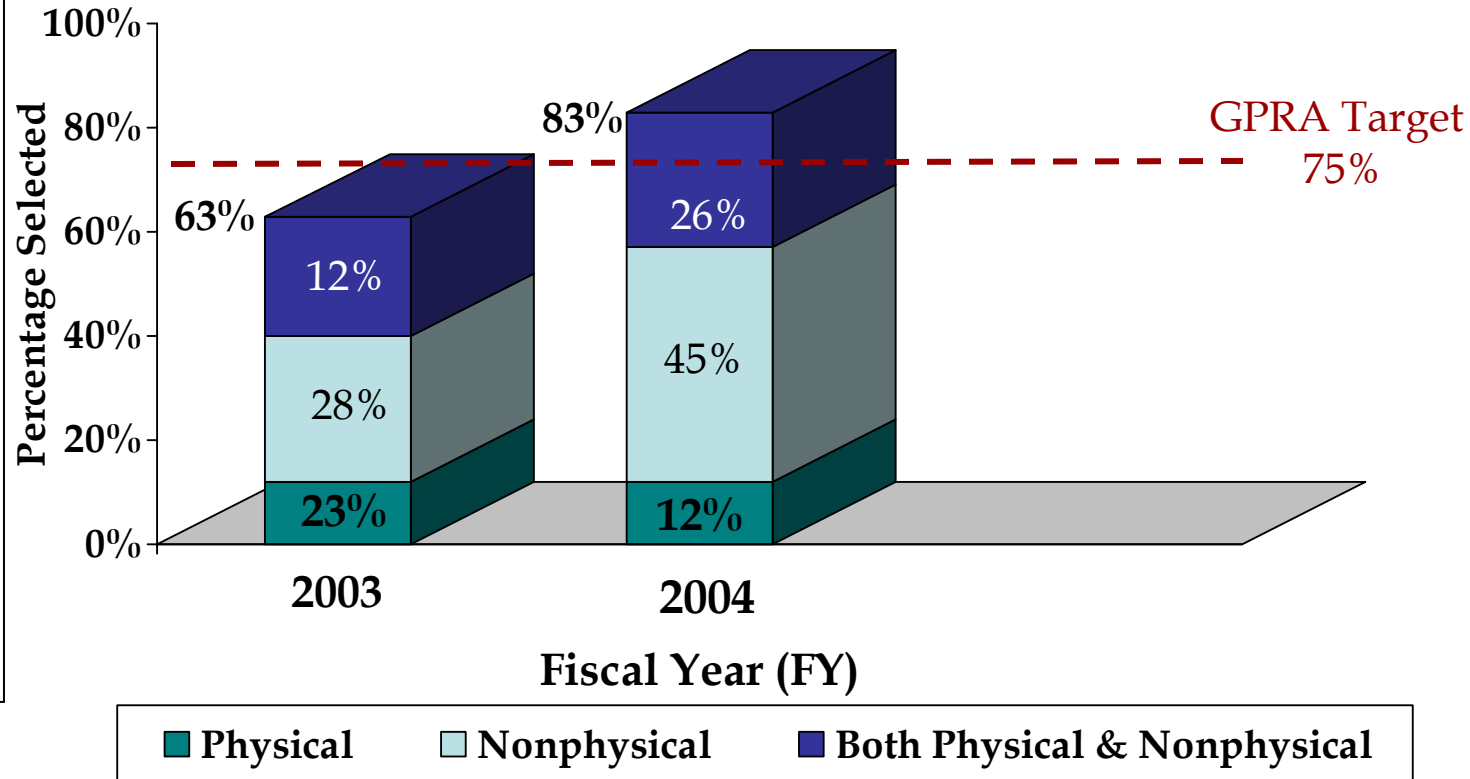
### Examples of Complying Actions

#### Physical Actions:

- ✓ Disposal
- ✓ Emission or Discharge Change
- ✓ Storage Change
- ✓ Wetlands Mitigation

#### Nonphysical Actions:

- ✓ Auditing
- ✓ Reporting
- ✓ Testing
- ✓ Training



FY 2004 Data Source: Integrated Compliance Information System (ICIS), October 29, 2004

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# EPA Environmental Results

## Government Performance Results Act (GPR) - Goal 5 Measures

Measure Description	FY 04 Target	FY 04 Actual	Status
Millions of pounds of pollutants required to be reduced.	350 Mil lbs.	1,000 Mil lbs.	GOAL EXCEEDED
Number of Federal Inspections/Evaluations Conducted	15,500	21,000	GOAL EXCEEDED
Percent of concluded enforcement actions that require physical action that result in pollutant reductions and/or changes in facility management or information practices.	75%	83%	GOAL EXCEEDED
Develop and use valid compliance rates or other indicators of compliance for selected populations.	5	5	GOAL MET
Number of facilities, states, technical assistance providers or other entities reached through targeted compliance assistance	500,000	731,000	GOAL EXCEEDED
Conduct EPA-assisted inspections to help build state program capacity.	400	600	GOAL EXCEEDED
Number of Criminal Investigations	400	425	GOAL EXCEEDED
Number of Civil Investigations	225	455	GOAL EXCEEDED
Facilities voluntarily self-disclose and correct violations with reduced or no penalty as a result of EPA self-disclosure policy.	500	969	GOAL EXCEEDED

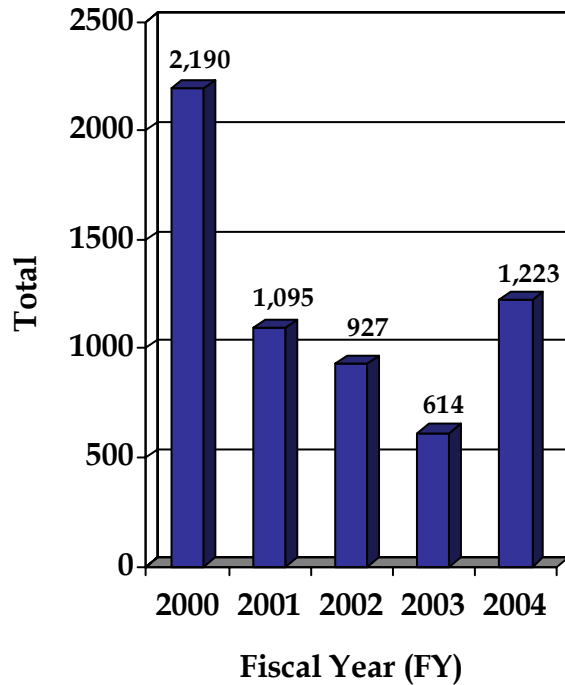


# EPA Compliance Incentives Program

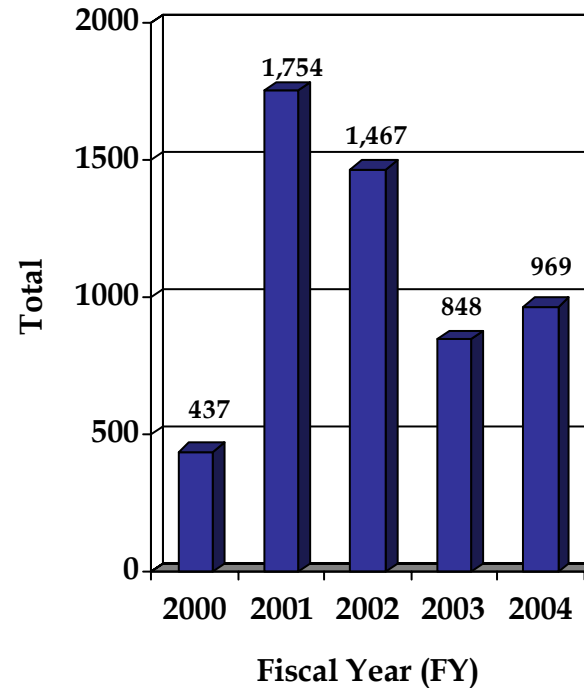
## Facilities Disclosing & Correcting Violations

FY 2000 - FY 2004

### Audit Policy Disclosures



### Audit Policy Resolutions Correcting Violations



FY 04 GPRA Target = 500 Facilities Resolved

FY 2004 Data Source: Integrated Compliance Information System (ICIS), October 29, 2004

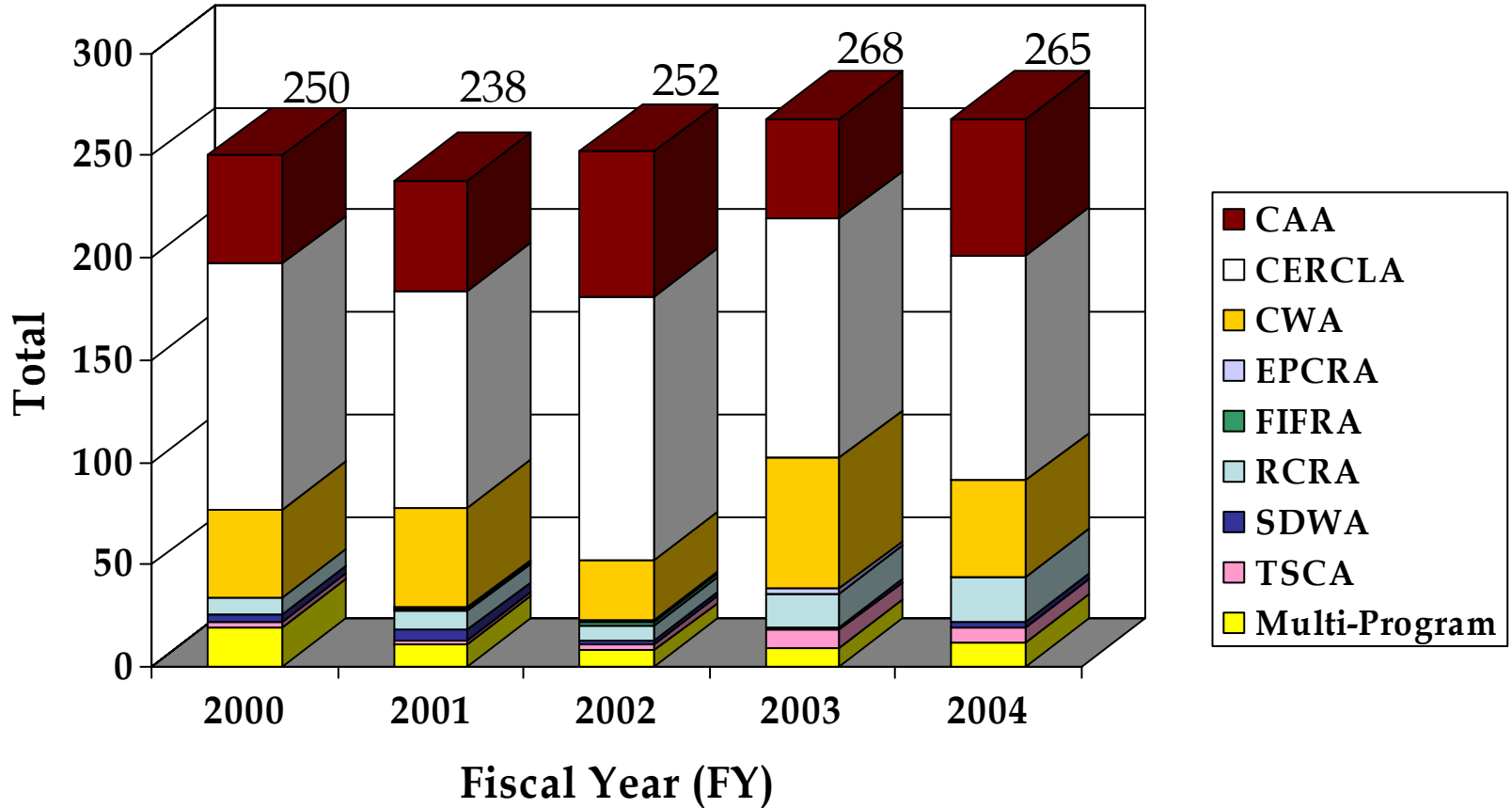
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# EPA Civil Enforcement Program

## EPA Civil Judicial Referrals to Department of Justice (DOJ)

*FY 2000 - FY 2004*



*FY 2004 Data Source: Integrated Compliance Information System (ICIS), October 29, 2004*

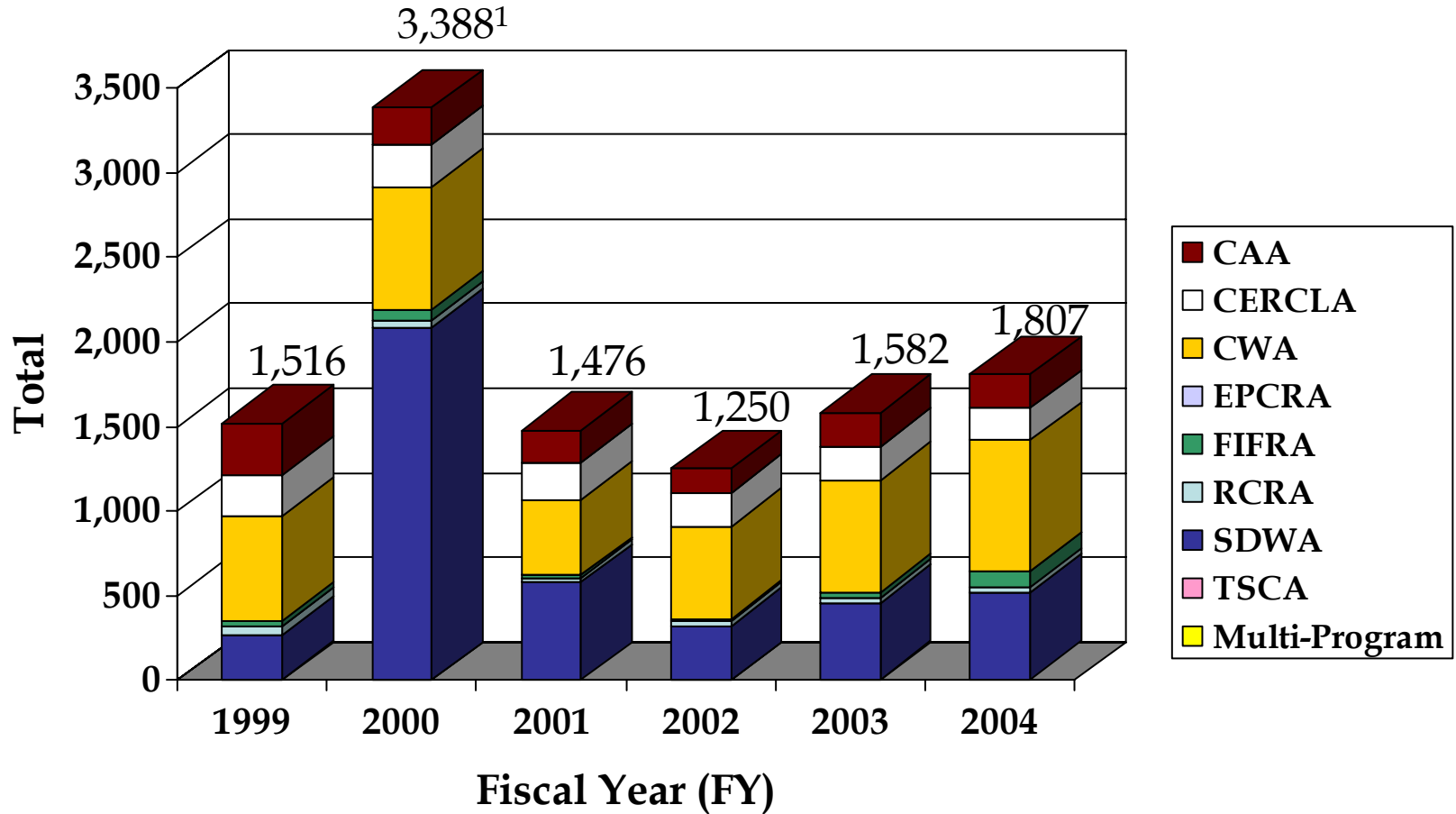
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# EPA Civil Enforcement Program

## Administrative Compliance Orders FY 1999 - FY 2004



<sup>1</sup> The large FY 2000 total is due to the initial year of enforcement of the SDWA Consumer Confidence Rule.

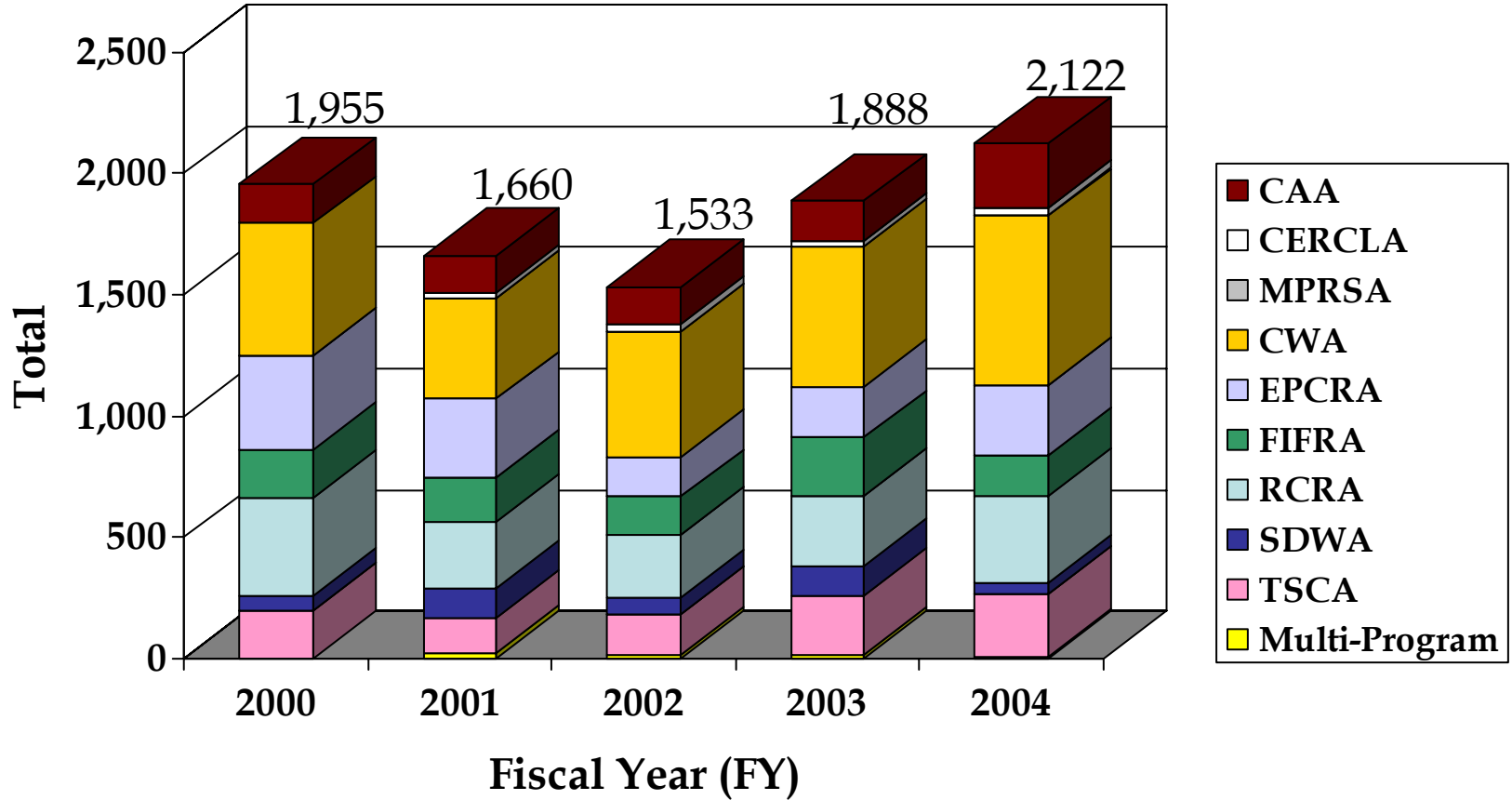
FY 2004 Data Source: Integrated Compliance Information System (ICIS), October 29, 2004

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# EPA Civil Enforcement Program

## Administrative Penalty Complaints FY 2000 - FY 2004



FY 2004 Data Source: Integrated Compliance Information System (ICIS), October 29, 2004

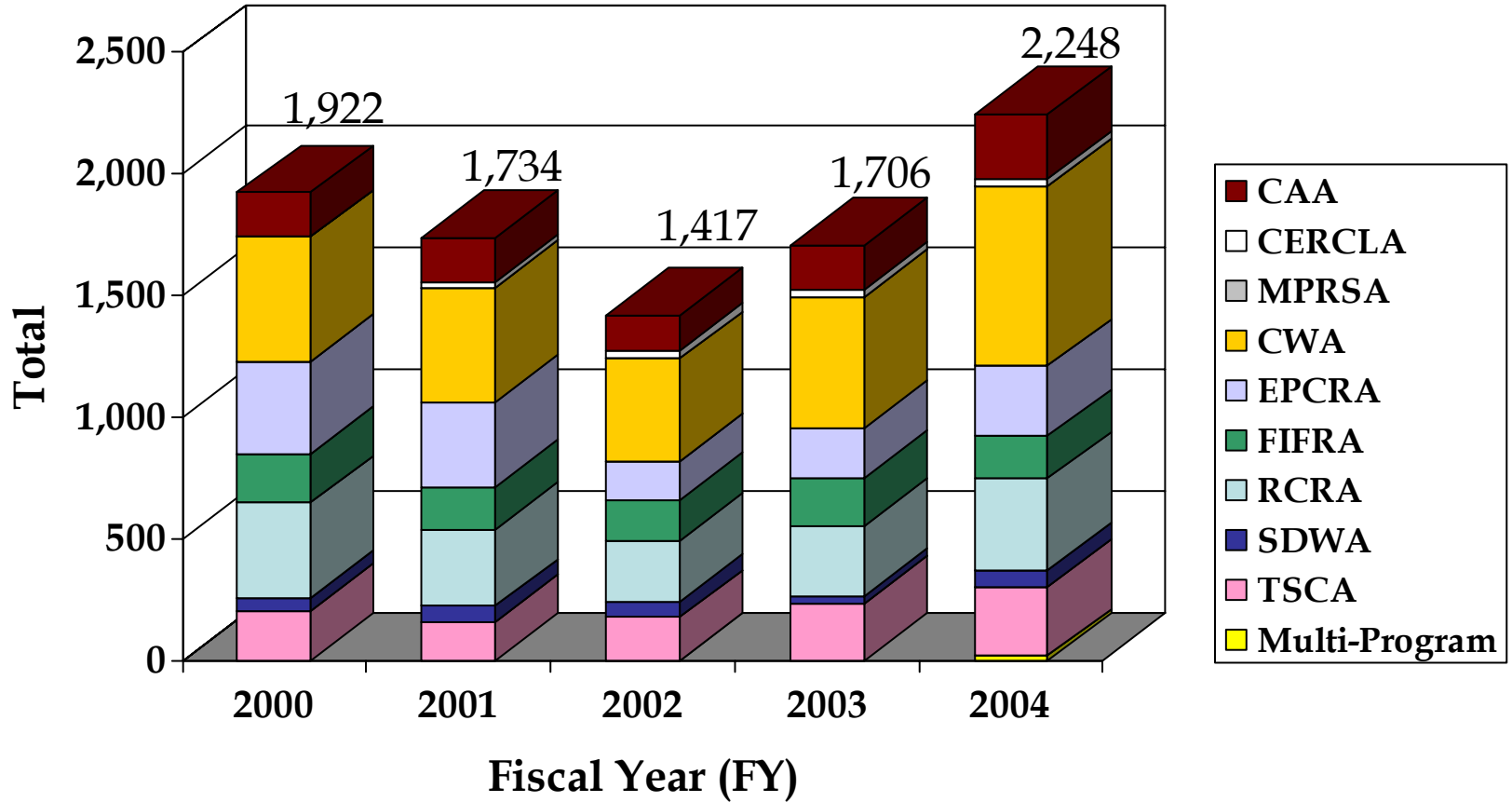
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# EPA Civil Enforcement Program

## EPA Final Administrative Penalty Orders

FY 2000 - FY 2004



FY 2004 Data Source: Integrated Compliance Information System (ICIS), October 29, 2004

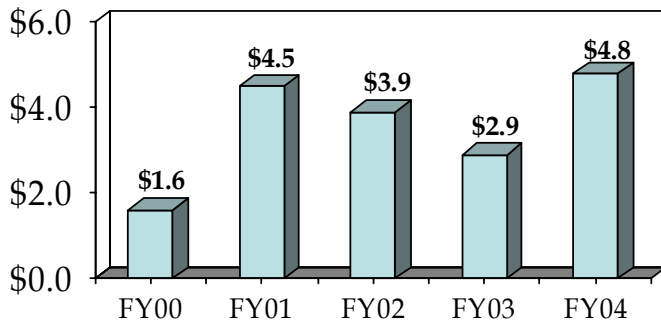
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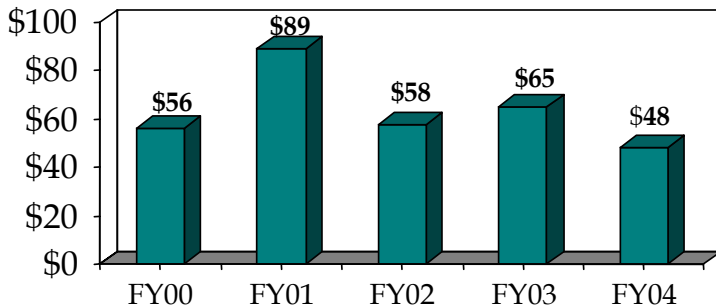
# EPA Civil Enforcement Program

## EPA Results of Concluded Enforcement Actions FY 2000 - FY 2004

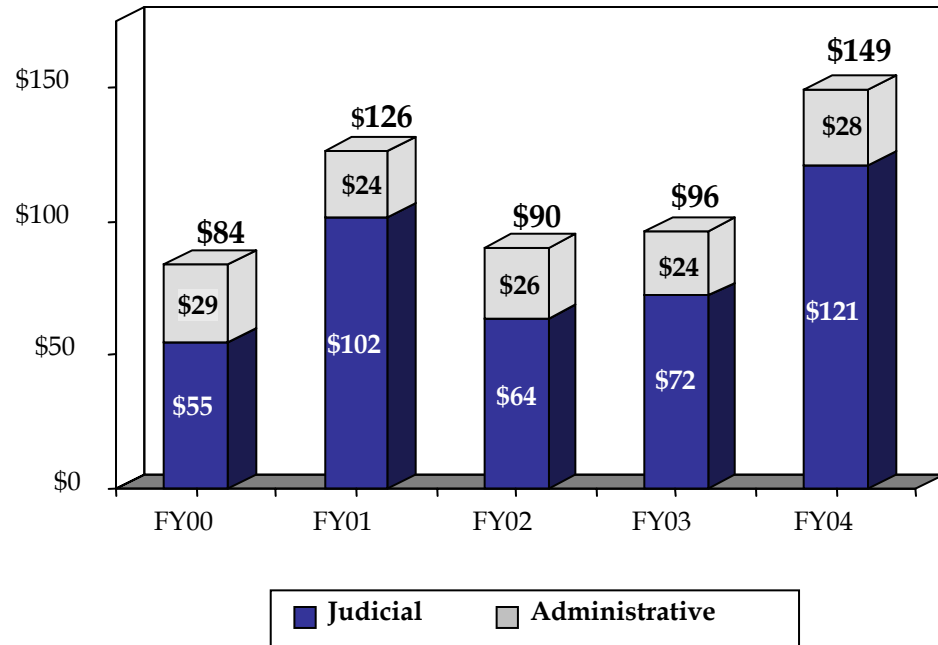
*Injunctive Relief (\$ Bil)*



*Supplemental Environmental Projects (\$Mil)*



*Total Civil Penalties (\$Mil)*



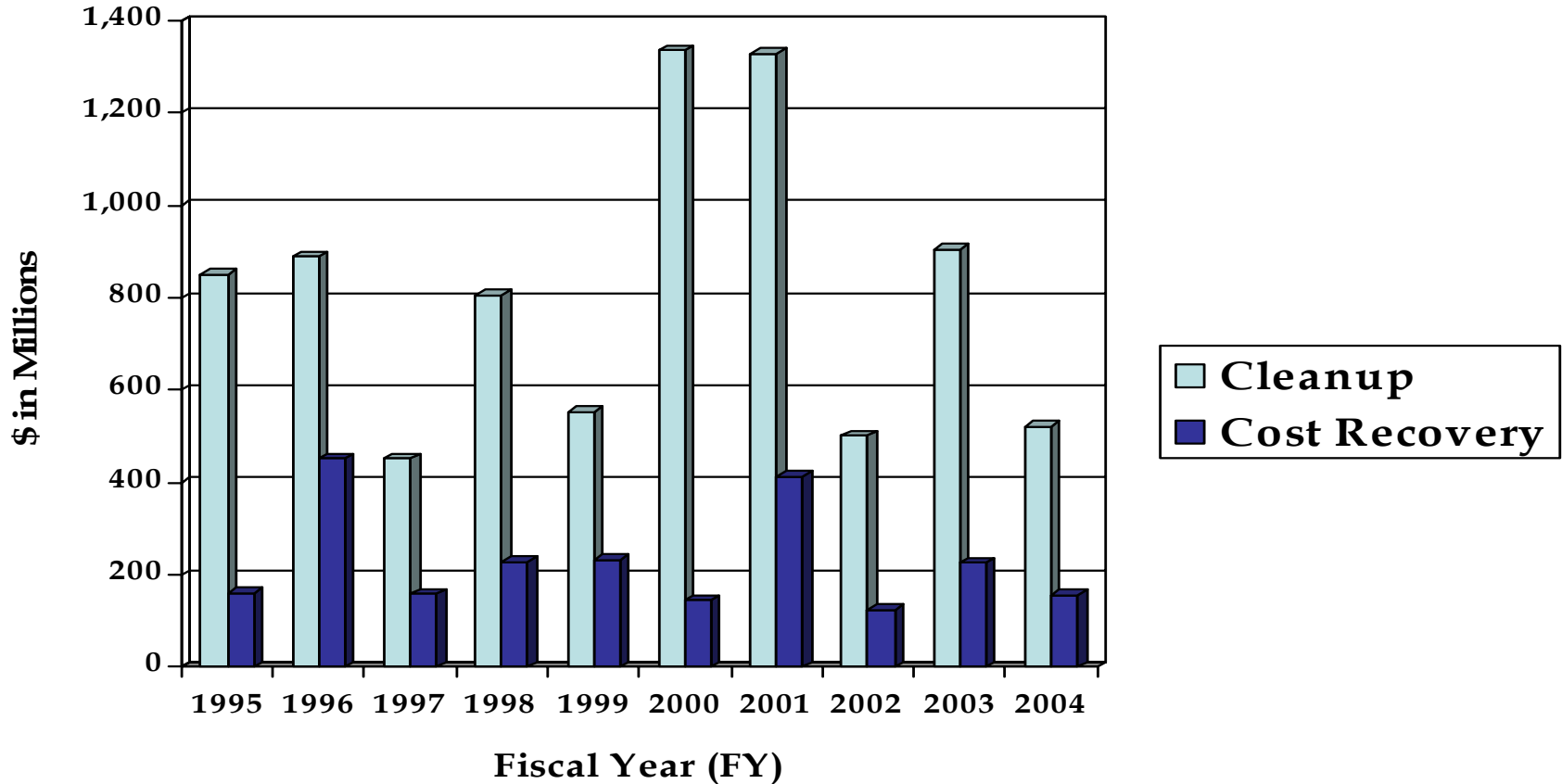
FY 2004 Data Source: Integrated Compliance Information System (ICIS), October 29, 2004



# EPA Civil Enforcement Program

## Superfund Site Remediation Program

FY 1995 - FY 2004



The Office of Site Remediation Enforcement (OSRE) takes credit for case results in CERCLIS when the Regional Administrator signs the consent decree.

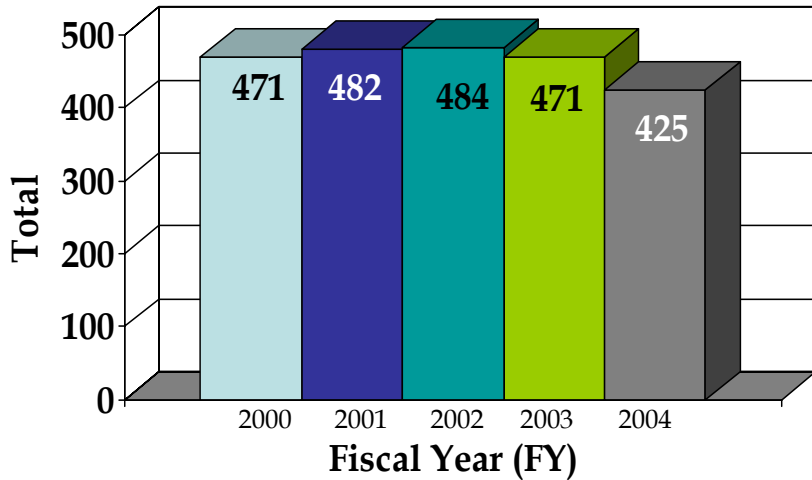
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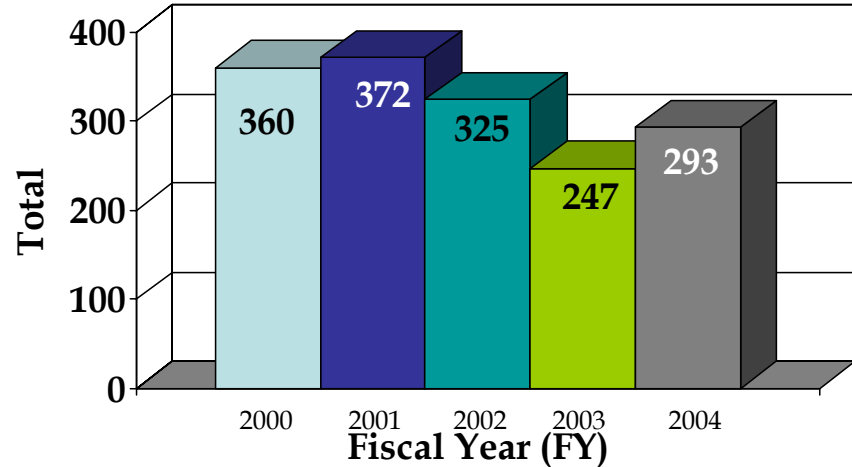
# EPA Criminal Enforcement Program

## Criminal Investigations and Defendants Charged FY 2000 - FY 2004

### Criminal Investigations



### Defendants Charged



FY 2004 Data Source: Criminal Docket, October 29, 2004

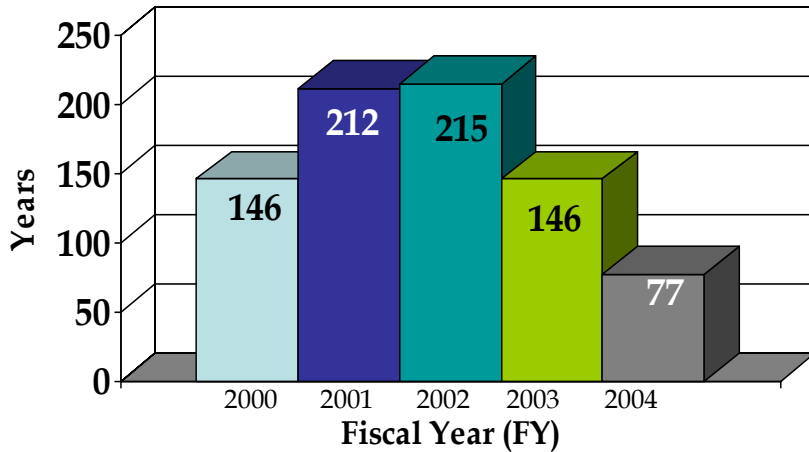
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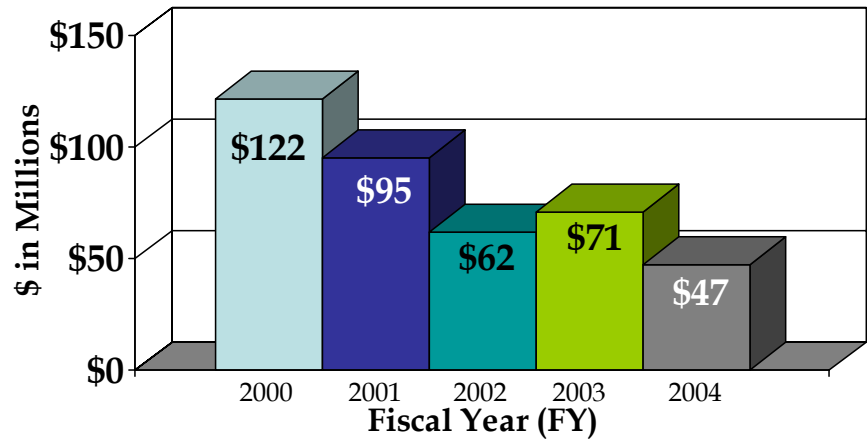
# EPA Criminal Enforcement Program

## Sentencing Results FY 2000 - FY 2004

### Incarceration



### Fines and Restitution



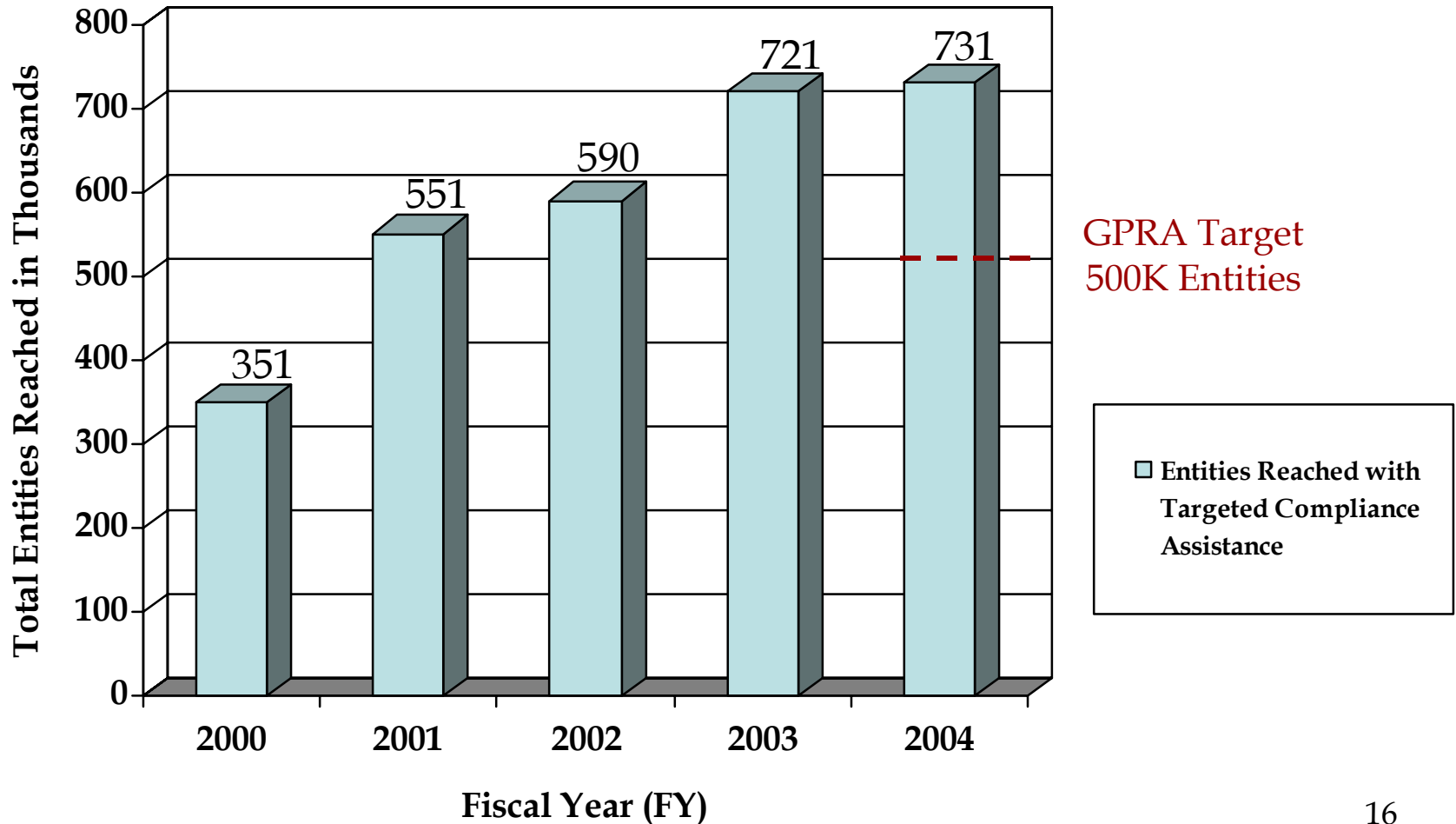
FY 2004 Data Source: Criminal Docket, October 29, 2004

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# EPA Compliance Assistance Program

## Total Targeted Entities Reached with Compliance Assistance in FY 2004



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