

Advisory Committee will be held via conference call on Wednesday, July 14, 2003, from 11 a.m. to 1 p.m. The conference call will be closed to the public to allow for oral discussion on a pre-decisional Government document titled "Physical Security Assessment of Cyber Assets", which is related to vulnerabilities of physical assets.

**FOR FURTHER INFORMATION CONTACT:** Telephone Ms. Marilyn Witcher, (703) 607-6214, or write the Manager, National Communications System, 701 South Court House Roads, Arlington, Virginia 22204-2198.

**Nicholas E. Andre,**

*Federal Register Liaison Officer, National Communications System.*

[FR Doc. 03-16706 Filed 7-1-03; 8:45 am]

**BILLING CODE 5001-08-M**

## NUCLEAR REGULATORY COMMISSION

### Agency Information Collection Activities: Proposed Collection; Comment request

**AGENCY:** U.S. Nuclear Regulatory Commission (NRC).

**ACTION:** Notice of pending NRC action to submit an information collection request to OMB and solicitation of public comment.

**SUMMARY:** The NRC is preparing a submittal to OMB for review of continued approval of information collections under the provisions of the Paperwork Reduction Act of 1995 (44 U.S.C. Chapter 35).

### Information Pertaining to the Requirement To Be Submitted

1. *The title of the information collection:* NRC Form 171, "Duplication Request".

2. *Current OMB approval number:* OMB 3150-0066.

3. *How often the collection is required:* On occasion.

4. *Who is required or asked to report:* Individuals or companies requesting duplication of NRC documents.

5. *The number of annual respondents:* 15,800.

6. *The number of hours needed annually to complete the requirement or request:* 1,883 hours.

7. *Abstract:* This form is utilized by individual members of the public requesting reproduction of publicly available documents in NRC's Headquarters Public Document Room. Copies of the form are utilized by the reproduction contractor to accompany the orders and are then discarded.

Submit, by (September 2, 2003), comments that address the following questions:

1. Is the proposed collection of information necessary for the NRC to properly perform its functions? Does the information have practical utility?

2. Is the burden estimate accurate?

3. Is there a way to enhance the quality, utility, and clarity of the information to be collected?

4. How can the burden of the information collection be minimized, including the use of automated collection techniques or other forms of information technology?

A copy of the draft supporting statement may be viewed free of charge at the NRC Public Document Room, One White Flint North, 11555 Rockville Pike, Room O-1 F21, Rockville, MD 20852. OMB clearance requests are available at the NRC worldwide Web site: <http://www.nrc.gov/public-involve/doc-comment/omb/index.html>. The document will be available on the NRC home page site for 60 days after the signature date of this notice.

Comments and questions about the information collection requirements may be directed to the NRC Clearance Officer, Brenda Jo. Shelton, U.S. Nuclear Regulatory Commission, T-5 C3, Washington, DC 20555-0001, by telephone at (301) 415-7233, or by Internet electronic mail to [INFOCOLLECTS@NRC.GOV](mailto:INFOCOLLECTS@NRC.GOV).

Dated at Rockville, Maryland, this 25th day of June 2003.

For the Nuclear Regulatory Commission.

**Brenda Jo. Shelton,**

*NRC Clearance Officer, Office of the Chief Information Officer.*

[FR Doc. 03-16702 Filed 7-1-03; 8:45 am]

**BILLING CODE 7590-01-P**

## NUCLEAR REGULATORY COMMISSION

### Workshop on the Industry Initiating Events Performance Indicator for the Industry Trends Program

**AGENCY:** Nuclear Regulatory Commission.

**ACTION:** Notice of workshop.

**SUMMARY:** The Nuclear Regulatory Commission (NRC) is holding a workshop on issues related to the Industry Initiating Events Performance Indicator (IIEPI) for the NRC's Industry Trends Program (ITP). The public workshop is being held to discuss the technical approach and characteristics of the IIEPI.

**FOR FURTHER INFORMATION, CONTACT:** Thomas H. Boyce, Inspection Program

Branch, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001. Mr. Boyce may be reached at (301) 415-1130 or by e-mail at [thb@nrc.gov](mailto:thb@nrc.gov).

**DATES:** The workshop will be held on July 30, 2003, from 8:30 a.m. to 2:30 p.m.

**ADDRESSES:** The workshop will be held in Room O-7B4 at the U.S. Nuclear Regulatory Commission in the One White Flint North Building, 11545 Rockville Pike, Rockville, Maryland.

The workshop will focus on the technical approach and characteristics discussed in the draft report "Development of an Integrated Industry Initiating Events Indicator." The draft report is available for public inspection in the NRC Public Document Room located at One White Flint North, 11555 Rockville Pike, Public File Area O1 F21, Rockville, Maryland, or from the Publicly Available Records (PARS) component of NRC's Agencywide Document Access and Management System (ADAMS), (ADAMS # ML031750752). ADAMS is accessible from the NRC Web site at <http://www.nrc.gov/NRC/ADAMS/index.html> (the Public Electronic Reading Room). For more information, contact the NRC Public Document Room (PDR) reference staff at 1-800-397-4209, 301-415-4737 or by email to [pdr@nrc.gov](mailto:pdr@nrc.gov).

Those who are unable to attend in person may send written comments on the draft report to: Chief, Rules and Directives Branch, Division of Administrative Services, Office of Administration, Mail Stop T6-D59, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001. Comments may be hand-delivered to the NRC at 11545 Rockville Pike, Rockville, Maryland, between 7:45 a.m. and 4:15 p.m. on Federal workdays. Comments may be submitted by Email at [nrcprep@nrc.gov](mailto:nrcprep@nrc.gov). All comments received by the Commission, including comments made by Federal, State, and local agencies, Indian tribes, or other interested persons, will be made available electronically at the Commission's PDR in Rockville, Maryland, or from the PARS component of ADAMS.

### SUPPLEMENTARY INFORMATION:

The IIEPI is being developed as part of the NRC's Industry Trends Program (ITP) to provide a more risk-informed indicator of the industry safety performance of operating power reactors for initiating events. The indicators in the ITP are used by the NRC to report to Congress on industry safety performance as part of NRC's annual Performance and Accountability Report. Information on the ITP may be found in

SECY-03-0057, "FY 2002 Results of the Industry Trends Program for Operating Power Reactors and Status of Ongoing Development," which is available electronically on the NRC's Web page at <http://www.nrc.gov/reading-rm/doc-collections/commission/secys/2003/>.

The draft technical report describes the technical approach and characteristics of the IIEPI, as well as an approach to establishing thresholds for the indicator. The following topics in the draft report will be discussed during the workshop:

- Industry Trends Program and Framework for IIEPI
- Technical Approach to IIEPI
- IIEPI Characteristics
- Technical Discussion
- Implementation Discussion

Dated at Rockville, Maryland, this 25th day of June 2003.

For the Nuclear Regulatory Commission.

**Stuart A. Richards,**

Chief, Inspection Program Branch, Division of Inspection Program Management, Office of Nuclear Reactor Regulation.

[FR Doc. 03-16701 Filed 7-1-03; 8:45 am]

BILLING CODE 7590-01-P

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## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-48067; File No. SR-Amex-2003-48]

### Self-Regulatory Organizations; Notice of Filing and Immediate Effectiveness of Proposed Rule Change by the American Stock Exchange LLC Relating to the Amendment of Exchange Rules 340 and 341 and the Adoption of New Exchange Rule 359 To Provide for the Processing of Forms U-4 and U-5 by the NASD's Web CRD System

June 19, 2003.

Pursuant to section 19(b)(1) of the Securities Exchange Act of 1934 ("Act"),<sup>1</sup> and Rule 19b-4 thereunder,<sup>2</sup> notice is hereby given that on May 23, 2003, the American Stock Exchange LLC ("Amex" or "Exchange") filed with the Securities and Exchange Commission ("SEC" or "Commission") the proposed rule change as described in Items I, II, and III below, which Items have been prepared by the Amex. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

#### I. Self-Regulatory Organization's Statement of the Terms of Substance of the Proposed Rule Change

The Exchange proposes to amend Exchange Rules 340, Disapproval of Employees, and 341, Approval of Registered Employees and Officers, and to adopt new Exchange Rule 359, Application and Termination Forms (Forms U-4 and U-5), to provide for the processing of the Uniform Application for Securities Industry Registration or Transfer ("Form U-4") and the Uniform Termination Notice for Securities Industry Registration ("Form U-5") by the National Association of Securities Dealers, Inc.'s ("NASD's") Web Central Registration Depository ("Web CRD") system for all individuals required to be registered with or approved by the Exchange.<sup>3</sup> Proposed new text is *italicized* and proposed deleted text is [bracketed] below.

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Rule 340 Disapproval of Employees—No change.

#### Commentary

.01 Any employee or prospective employee of a member or member organization who is to be admitted to the trading floor must be registered and approved by the Exchange's. To become registered, such employee or prospective employee must *electronically file* [submit] an application on the Uniform Application for Securities Industry Registration or Transfer ("Form U-4") and any amendment thereto to the Central Registration Depository. All employees and prospective employees of members and member organizations who have submitted applications for admission to the trading floor are required to be fingerprinted and to submit, or cause to be submitted, such fingerprints to the Exchange or its designee for identification and appropriate processing. Members and member organizations are required to *electronically file* [submit] a Uniform Termination Notice for [of] Securities Industry Registration ("Form U-5") and any amendment thereto to the Central Registration Depository [to the Exchange's Membership Services Department] within 10 days of the date of termination of an employee that has been approved for admission to the trading floor. Members and member organizations also are responsible for obtaining and submitting a terminated

<sup>3</sup> In connection with the instant proposal, the Exchange filed an effective on filing rule proposal related to fee schedule changes for Form U-4 and Form U-5 processing. See Securities Exchange Act Release No. 48066 (File No. SR-Amex-2003-49).

employee's Exchange identification badge to the Exchange [together with the Form U-5]. For purposes of this Commentary .01, the term "trading floor" includes, but is not limited to, any space provided to members and their employees for the resolution of errors.

.02 through .04. No change.

.05 *Transition to Web CRD.—Members and member organizations must electronically file a Form U-4 with NASD's Web-based Central Registration Depository (CRD) system on or before such time as may be specified by the Exchange for each of their employees (including members) who have access to the trading floor.*

Rule 341 Approval of Registered Employees and Officers—(a) and (b) No change.

#### Commentary

.01 Natural Persons Required to be Registered or Approved—Although the employment of each employee of a member or member organization is subject to disapproval by the Exchange, only (i) registered representatives, (ii) securities lending representatives, (iii) securities traders or (iv) a direct supervisor of (i), (ii) or (iii) above (see definitions 6, 7 and 8, General and Floor Rules), must be registered and approved. Note that a natural person who performs the duties normally performed by a (i) registered representative, (ii) securities lending representative or (iii) securities trader is also subject to this Rule, notwithstanding such natural person's assertion of "independent contractor" status. (See Rule 341B). The requirements for persons seeking Exchange approval as regular member, options principal members, allied members, partners, approved persons and subordinated lenders are set forth in Article IV, Section 2 of the Constitution and Rules 300, 301, 310, 311, 312, 317, 318 and 470. Such persons must file forms prescribed by the Exchange [which are available from the Membership Services Division].

Any person who prior to June 1, 1970, was an allied member of the Exchange, in good standing, and who as of June 1, 1970, ceases to meet the definition of an allied member shall automatically cease his status as an allied member and may upon execution of such agreements as may be required by the Exchange qualify as a registered representative, supervisory person or officer. Any person who was an allied member in good standing prior to June 1, 1970, but does not meet the definition of allied member as of June 1, 1970, may

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b-4.