

**DEPARTMENT OF ENERGY****Federal Energy Regulatory Commission****Notice of Application for Transfer of License and Soliciting Comments, Motions To Intervene, and Protests**

January 13, 2004.

Take notice that the following hydroelectric application has been filed with the Commission and is available for public inspection:

a. *Application Type*: Transfer of license.

b. *Project No*: 11214-009.

c. *Date Filed*: August 19, 2003. The license was reinstated by order issued January 9, 2004.

d. *Applicants*: Southwestern Electric Cooperative, Inc. (Southwestern, Transferor) City of Carlyle, Illinois (Carlyle, Transferee).

e. *Name and Location of Project*: The Carlyle Hydroelectric Project is to be located at the U.S. Army Corps of Engineers' Carlyle Dam on the Kaskaskia River near the City of Carlyle in Clinton County, Illinois.

f. *Filed Pursuant to*: Federal Power Act, 16 U.S.C. 791a-825r.

g. *Applicant Contacts*: For Southwestern: Michael Postar, Duncan, Weinberg, Genzer & Pembroke, P.C., 1615 M Street, NW., Suite 800, Washington, DC 20036, (202) 467-6370. For the City of Carlyle: Donald H. Clarke, Law Office of GKRSE, 1500 K Street, NW., Suite 330, Washington, DC 20005, (202) 408-5400.

h. *FERC Contact*: James Hunter, (202) 502-6086.

i. *Deadline for filing comments, protests, and motions to intervene*: February 13, 2004.

All documents (original and eight copies) should be filed with: Magalie R. Salas, Secretary, Federal Energy Regulatory Commission, 888 First Street, NE., Washington, DC 20426. Comments, protests, and interventions may be filed electronically via the Internet in lieu of paper; see 18 CFR 385.2001(a)(1)(iii) and the instructions on the Commission's Web site under the "e-Filing" link. The Commission strongly encourages electronic filings. Please include the project number (P-11214-009) on any comments or motions filed.

The Commission's rules of practice and procedure require all interveners filing a document with the Commission to serve a copy of that document on each person in the official service list for the project. Further, if an intervener files comments or documents with the Commission relating to the merits of an

issue that may affect the responsibilities of a particular resource agency, they must also serve a copy of the documents on that resource agency.

j. *Description of Application*: The Applicants request Commission approval to transfer the project license from Southwestern to Carlyle.

k. This filing is available for review at the Commission in the Public Reference Room or may be viewed on the Commission's Web site at <http://www.ferc.gov> using the "FERRIS" link. Enter the docket number (P-11214) in the docket number field to access the document. For assistance, call toll-free 1-866-208-3676 or e-mail [FERCOnlineSupport@ferc.gov](mailto:FERCOnlineSupport@ferc.gov). For TTY, call (202) 502-8659. A copy is also available for inspection and reproduction at the addresses in item g. above.

l. Individuals desiring to be included on the Commission's mailing list should so indicate by writing to the Secretary of the Commission.

m. *Comments, Protests, or Motions to Intervene*—Anyone may submit comments, a protest, or a motion to intervene in accordance with the requirements of rules of practice and procedure, 18 CFR 385.210, .211, .214. In determining the appropriate action to take, the Commission will consider all protests or other comments filed, but only those who file a motion to intervene in accordance with the Commission's Rules may become a party to the proceeding. Any comments, protests, or motions to intervene must be received on or before the specified comment date for the particular application.

n. *Filing and Service of Responsive Documents*—Any filings must bear in all capital letters the title "COMMENTS", "PROTEST", OR "MOTION TO INTERVENE", as applicable, and the Project Number of the particular application to which the filing refers. Any of the above-named documents must be filed by providing the original and eight copies to: the Secretary, Federal Energy Regulatory Commission, 888 First Street, NE., Washington, DC 20426. A copy of any motion to intervene must also be served upon each representative of the Applicant specified in the particular application.

o. *Agency Comments*—Federal, State, and local agencies are invited to file comments on the described application. A copy of the application may be obtained by agencies directly from the Applicant. If an agency does not file comments within the time specified for filing comments, it will be presumed to have no comments. One copy of an

agency's comments must also be sent to the Applicant's representatives.

Magalie R. Salas,  
Secretary.

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BILLING CODE 6717-01-P

**EXPORT-IMPORT BANK OF THE UNITED STATES**

[Public Notice 59]

**Agency Information Collection Activities; Submission for OMB Review, Comment Request**

**AGENCY**: Export-Import Bank of the United States (Ex-Im Bank).

**ACTION**: Notice and request for comments.

**SUMMARY**: Under the provisions of the Paperwork Reduction Act of 1995, the Export-Import Bank of the United States is submitting to the Office of Management and Budget (OMB) a request to review and approve a revised exporter and banker survey. The purpose of the survey is to fulfill a statutory mandate (The Export-Import Bank Act of 1945, as amended, 12 U.S.C. 635) which directs Ex-Im Bank to report annually to the U.S. Congress any action taken toward providing export credit programs that are competitive with those offered by official foreign export credit agencies. The Act further stipulates that the annual report on competitiveness should include the results of a survey of U.S. exporters and U.S. commercial lending institutions which provide export credit to determine their experience in meeting financial competition from other countries whose exporters compete with U.S. exporters.

Accordingly, Ex-Im Bank is requesting that the proposed survey (EIB No. 00-02) be sent to approximately 50 respondents, split equally between bankers and exporters. The revised survey is similar to the previous survey, as it asks bankers and exporters to evaluate the competitiveness of Ex-Im Bank's programs vis-à-vis foreign export credit agencies. However, it has been modified in order to account for newer policies and to capture enough information to provide a better analysis of our competitiveness. In addition, the survey will be available on Ex-Im Bank's Web site, [www.exim.gov](http://www.exim.gov), with recipients encouraged to respond on-line as well.

**DATES**: Written comments should be received on or before February 13, 2004, to be assured of consideration.

**ADDRESSES**: Direct all requests for additional information to Alan Jensen,

Export-Import Bank of the U.S., 811 Vermont Avenue, NW., room 1279, Washington, DC 20571, (202) 565-3767. Direct all comments to David Rostker, Office of Management and Budget, Office of Information and Regulatory Affairs, NEOB Room 10202, Washington, DC 20503, (202) 395-3897.

**SUPPLEMENTARY INFORMATION:** With respect to the proposed collection of information, Ex-Im bank invites comments as to:

—Whether the proposed collection of information is necessary for the proper performance of the functions of Ex-Im Bank, including whether the information will have a practical use;

—The accuracy of Ex-Im Bank's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used;

—Ways to enhance the quality, usefulness, and clarity of the information to be collected; and

—Ways to minimize the burden of collection of information on those who are to respond, including through the use of appropriate automated electronic, mechanical, or other technological collection techniques or other forms of information technology; e.g.,

permitting electronic submission of responses.

*Title and Form Number:* 2003 Exporter & Banker Survey of Ex-Im Bank Competitiveness, EIB Form 00-02.

*OMB Number:* 3048-0004.

*Type of Review:* Revision of a currently approved collection.

*Annual Number of Respondents:* 50.

*Annual Burden Hours:* 50.

*Frequency of Reporting or Use:* Annual Survey.

Dated: January 14, 2004.

**Solomon Bush,**

*Agency Clearance Officer.*

**BILLING CODE 6690-01-M**

OMB #3048-0004

**PART 1 – EXPORTER/BANKER COMPANY PROFILE***[Note: See “Part 1 Attachment” for answer choices to questions 1-6 below.]*

Company Name \_\_\_\_\_ Address \_\_\_\_\_

Years in Business  Years in Exporting/Trade Finance 

Person Completing the Survey \_\_\_\_\_ Title \_\_\_\_\_

Phone Number \_\_\_\_\_ Fax Number \_\_\_\_\_ Email \_\_\_\_\_

Have you used Ex-Im Bank’s medium-term or long-term program in the previous calendar year? 

Which medium/long-term programs did you use? Check all that apply:

 Insurance  Loans  GuaranteeCompared to 2002, my 2003 volume of exports/trade finance was:  1.**EXPORTERS**2003 total sales volume  2. 2003 total U.S. export sales volume  3.% of total export sales volume that was Ex-Im Bank supported  4.**BANKERS**2003 total export credit extended with a term over one year  5.% of 2003 total export credit extended with a term over one year that was Ex-Im Bank supported  6.

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**PART 2—EXPERIENCE WITH FOREIGN EXPORT CREDIT AGENCIES (ECAs)**

*[Note: See "Part 2 Attachment" for the possible answer choices to the questions below.]*

Please indicate your experience in the previous calendar year in using, receiving support from or working with other official ECAs. Please select the appropriate answer for each ECA listed.

Canada (EDC)	<input type="text"/>	Japan (NEXI)	<input type="text"/>
France (Coface)	<input type="text"/>	UK (ECGD)	<input type="text"/>
Germany (Hermes)	<input type="text"/>	Other (identify)	<input type="text"/>
Italy (SACE)	<input type="text"/>	Other (identify)	<input type="text"/>
Japan (JBIC)	<input type="text"/>	Other (identify)	<input type="text"/>

Please indicate your experience in the previous calendar year in facing competitors that received support from foreign official ECAs. Please select the appropriate answer for each ECA listed.

Canada (EDC)	<input type="text"/>	Japan (NEXI)	<input type="text"/>
France (Coface)	<input type="text"/>	UK (ECGD)	<input type="text"/>
Germany (Hermes)	<input type="text"/>	Other (identify)	<input type="text"/>
Italy (SACE)	<input type="text"/>	Other (identify)	<input type="text"/>
Japan (JBIC)	<input type="text"/>	Other (identify)	<input type="text"/>

**PART 2 (Continued)**

Why do you approach Ex-Im Bank for support? Please indicate the approximate frequency with which each of the following challenges or needs arise, as well as a typical region or situation that presents such a challenge/need.

*[Note: When the survey is being completed on-line, if the cursor is placed over the question further explanation of that question will "pop up." The more detailed explanations are found in the "Part 2 Attachment."]*

Challenge/Need	%	Typical Region or Situation
Face competition from companies that receive ECA support:	_____	_____
Find a lack of useful private market financing available:	_____	_____
Need continuing U.S. government involvement:	_____	_____
Other (Please identify):	_____	_____
Other (Please identify):	_____	_____

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**PART 3 – EXPERIENCE WITH EX-IM BANK AS COMPARED TO FOREIGN ECAs**

Using the guide below, please grade Ex-Im Bank as it compares to other ECAs in the following categories:

*[Note: When the survey is being completed on-line, if the cursor is placed over an element in which Ex-Im Bank is to be graded then the definition of that element will “pop up.” The definitions for each of the elements are found in the “Part 3 Attachment.”]*

<b>A+</b>	= Fully competitive. Consistently equal to the (or is the sole) ECA offering the most competitive position on this element. Levels the playing field on this element with the most competitive offer from any of the major ECAs.
<b>A</b>	= Generally competitive. Consistently offers terms on this element equal to the average terms of the typical major ECA. Levels the playing field on this element with the typical offer from the major ECAs.
<b>A-/B+</b>	= In between A and B
<b>B</b>	= Modestly competitive. Consistently offers terms on this element equal to the least competitive of the major ECAs. Does not quite level the playing field on this element with most of the major ECAs.
<b>B-/C+</b>	= In between B and C
<b>C</b>	= Barely competitive. Consistently offers terms on this element that are a notch below those offered by any of the major ECAs. Puts exporter at financing disadvantage on this element that may, to a certain extent, be compensated for in other elements or by exporter concessions.
<b>C-/D+</b>	= In between C and D
<b>D</b>	= Uncompetitive. Consistently offers terms on this element that are far below those offered by other major ECAs. Puts exporter at financing disadvantage on this element so significant that it is difficult to compensate for and may be enough to lose a deal.
<b>F</b>	= Does not provide program or element

**CORE BUSINESS POLICIES AND PRACTICES****Ex-Im Bank's Cover Policy**

Scope of country risk

Depth of non-sovereign risk

Breadth of availability (e.g., restrictions)

**Interest Rate Provided by Ex-Im Bank**

Loans (CIRR)

Insurance cover

Guarantee cover

**Ex-Im Bank's Risk Premia on**

Sovereign

Non-sovereign

**Ex-Im Bank's Co-financing**

# and utility of bilateral agreements

Flexibility in one-off deals

**PART 3 (Continued)**

Do you have any comments on Ex-Im Bank's cover policy, interest rates, risk premia or co-financing as they compare to those offered by other ECAs? For example, what core business policies and practices, if changed, would impact your competitiveness? Please be as specific as possible.

**MAJOR PROGRAMS AND PERFORMANCE**

**Ex-Im Bank's Medium-Term Program**

Pricing	<input type="text"/>
% of cover	<input type="text"/>
Risk capacity	<input type="text"/>

**Ex-Im Bank's Long-Term Program**

Pricing	<input type="text"/>
% of cover	<input type="text"/>
Risk capacity	<input type="text"/>

**Ex-Im Bank's Large Aircraft Program**

Interest rate	<input type="text"/>
% of cover	<input type="text"/>
Risk capacity	<input type="text"/>

**Ex-Im Bank's Project Finance**

Core program features	<input type="text"/>
Repayment flexibilities	<input type="text"/>

**Ex-Im Bank's Foreign Currency Guarantee**

Availability of hard currency cover	<input type="text"/>
Availability of local currency cover	<input type="text"/>
Pricing	<input type="text"/>

**Ex-Im Bank's Support for Service Exports**

Availability	<input type="text"/>
Repayment terms	<input type="text"/>

Do you have any comments on Ex-Im Bank's programs for medium- and long-term financing, large aircraft, project finance, or foreign currency guarantees as compared to those of other ECAs? Do you have any comments on the support Ex-Im Bank offers for services exports as compared to that offered by other ECAs? What programs or performance, if changed, would impact your competitiveness? Please be as specific as possible.

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**PART 3 (Continued)**

Using the guide below, please indicate the competitive impact of the following economic philosophies and public policies on Ex-Im Bank's support.

+	Positive	Philosophy, policy or program has a positive impact on Ex-Im Bank's competitiveness (moves Ex-Im Bank's competitiveness grade up one notch)
*	Neutral	Philosophy, policy or program has a neutral impact on Ex-Im Bank's competitiveness (no impact on Ex-Im Bank's competitiveness grade)
-	Negative	Philosophy, policy or program has a negative impact on Ex-Im Bank's competitiveness (moves Ex-Im Bank's competitiveness grade down one notch)

ECONOMIC PHILOSOPHY

Tied aid

Market windows

Do you have any comments on Ex-Im Bank's competitiveness with regard to tied aid or market windows? For example, have you seen competition supported by market windows or tied aid financing? Please be as specific as possible. You may also provide case specific data in Part 4.



**PART 3 (Continued)****PUBLIC POLICIES**

Economic impact  Foreign content  Local costs   
 PR 17/Shipping  Environment

Do you have any comments on Ex-Im Bank's policies as they compare with other ECAs concerning economic impact, foreign content, local costs, shipping or the environment? Where other ECAs do not have a comparable public policy, such as economic impact and shipping, do you have comments on the impact of these public policies to Ex-Im Bank's competitiveness? For example, what public policies, if changed, would impact your competitiveness? Please be as specific as possible.

**COMPETITIVENESS WEIGHTING**

Now that you have graded Ex-Im Bank in several areas, please weight the overall importance of each of the four broad categories listed above to Ex-Im Bank's overall competitiveness. Please ensure that the sum of your weights equals 100%.

Core Business Policies and Practices	[0 – 100%]
Major Programs and Performance	[0 – 100%]
Economic Philosophy	[0 – 100%]
Public Policies	[0 – 100%]

*[Note: The online survey will ensure that the sum of the four percentage weightings equals 100%.]*

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**PART 4 – EX-IM BANK PROJECTS**

This template is provided as an opportunity for you to flesh out some of the grades that you gave in Part 3 by detailing any adverse impacts of Ex-Im Bank program features in specific transactions.

**Describe the competition you faced and the effect that it had on your business (eg forced to change sourcing; lost jobs; lower exports). If possible, please quantify.**

	<b><u>Cost/Policy/ Program</u></b>	<b><u>ECA</u></b>	<b><u>Market</u></b>	<b><u>Project Description</u></b>	
<b><u>Ex:</u></b>	Cover	EDC	Iran	Power Plant	As a result of Ex-Im Bank's lack of cover for Iran, we were forced to source from outside the U.S. This resulted in a loss of over \$100 million in U.S. export sales.
1					
2					
3					
4					
5					

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**PART 5 – GENERAL COMMENTS**

This space is provided for you to express your views on the general competitive environment, trends of specific competitors, etc. You may also use this space to comment on aspects of Ex-Im Bank programs, particularly those not addressed in the above questions.

EIB Form 00-02